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## Highlights

- Analytical formulation of Wheatley surfaces containing two leaflets.
- Proposition of a non-linear model capable of capturing the mechanical behavior of the valve under simplified service conditions.
- Taking non-linear contact forces between leaflets into account for equilibrium stability.
- The results show that the leaflet height does not change the mechanism of force transfer along the valve.

# Mathematical representation and nonlinear modelling of the Wheatley mitral valve

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## Abstract

This study is concerned with the Wheatley design of the mitral valve. A mathematical description, in terms of elementary functions, is provided for the S-shaped leaflets. This is based on a level set containing symmetric circles (or more generally ellipses) which allow parametrization. A geometric nonlinear mechanical model subjected to a uniform pressure gradient and in the absence of inertial forces is introduced. The model results in a system of nonlinear equations that is solved using iterative incremental techniques. Under normal pressure loads, the S-shaped geometries induce internal forces which manifest themselves in two combined effects: bending and torsion. As a consequence, the supports are subject to periodic bending actions that tend

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to deform the support frame towards the interior of the valve. Providing resistance becomes vital for maintaining stable equilibrium. It is also observed that for circular base shape geometries, the mechanism for transmitting the equilibrium forces remains unchanged when the height/diameter ratio is kept below 2.

*Keywords:* Leaflets analytical description, Finite Element Method, nonlinear analysis, Static structural model

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## 1. Introduction

The human heart has a set of four valves that open and close to ensure that blood flows in the correct direction. The four valves are the aortic valve, mitral valve, pulmonary valve and tricuspid valve. Some conditions such as ageing, congenital heart disease, infections, diabetes among others can lead to malfunction of these valves affecting the health of the individual [1]. There are two main types of heart problems that can happen in any of the valves: stenosis, when the valve does not open properly and regurgitation, when the blood flows back due to poor valve closure. Diseases associated with the aortic valve account for 61% of deaths from heart valve disease, while diseases associated with the mitral valve account for 15%, with regurgitation being one of the most prevalent occurrences [2].

In general, valve pathologies can be divided into two classes: primary (or degenerative), when the disease is related to the valve itself, and secondary, when the disease results from dysfunction of adjacent cardiac structures. In the case of mitral regurgitation, primary causes are leaflet prolapse or chordal rupture, and secondary causes are ischaemic heart disease or cardiomyopathy



18 [3]. When the mitral valve functions poorly and there is no possibility of  
19 surgical repair, then valve replacement becomes a treatment option [4].

20 There are two main types of replacement mitral valves: tissue valves  
21 and mechanical valves. Tissue valves, also called biological or bioprosthetic  
22 valves, are made from animal tissue. In general, tissue valves wear out with  
23 time and require new medical interventions. On the other hand, mechani-  
24 cal valves are made from durable materials and generally do not wear out.  
25 However, patients will need to take anticoagulants for life [5, 6]. As a con-  
26 sequence, the development of replacement valves that are both durable and  
27 minimise the impact on the patient's life is of prime importance, and remains  
28 an active field of research [7, 8].

29 In terms of valve replacement design, numerous studies have demon-  
30 strated the benefits that numerical and computer simulations can provide,  
31 e.g. [9]. The formulations allow complex behaviours such as durability, bi-  
32 ological response and haemodynamics to be investigated in a single model.  
33 From an engineering point of view, an ideal valve should not only cause  
34 reduced pressure drops, but also ensure minimal regurgitation volume, min-  
35 imise turbulence production, avoid zones of high shear stresses and flow stag-  
36 nation [10]. In addition, the designer should avoid valve shapes that lead  
37 to low washout performance since this is a coagulation-facilitating factor  
38 [11, 12, 13, 14].

39 Substantial work has been done by Wheatley et al. [15] on the function of  
40 experimental polymeric valves of conventional design. Intending to create a  
41 design that not only preserves the good features of existing prosthetic heart  
42 valves, but also potentially improves the washout effect, D. J. Wheatley

43 introduced the concept of S-shaped leaflets [16, 17, 18]. The geometry of the  
44 leaflets can be obtained from the union of circular and elliptical contour lines  
45 so that a family of shapes becomes readily obtainable, which is of crucial  
46 importance for manufacturing processes [19, 20]. From these geometries,  
47 computational models can be built to simulate the global behaviour of the  
48 assembly [21]. Approaches such as these have been increasingly applied in  
49 the design of state-of-the-art valves [22, 23, 24]. In the present study we  
50 investigate Wheatley’s design for bi-leaflet mitral valves.

51 The contribution of this study is twofold. We first present a formulation  
52 describing the leaflet surface inspired by Wheatley’s design with a view to  
53 mitral prosthetic valve applications. Although the defining parameters are  
54 different, it is essentially a natural extension of previous work on the Wheat-  
55 ley aortic valve where we introduced mathematical representations of the  
56 Wheatley valve using elementary functions [19, 20, 21]. Secondly, we present  
57 a geometric nonlinear structural model capable of predicting the behaviour of  
58 the leaflets in the absence of inertial forces under uniform pressure gradients.

59 Producing a mathematical representation of the design is a useful first  
60 step before applying computational fluid dynamics to understand both the  
61 blood flow in the valve and the cavities (atrium, ventricle), and the internal  
62 leaflet stresses during valve function. Of course, the shape could have been  
63 generated via splines, but the principal advantage of a mathematical repre-  
64 sentation with elementary functions is that it permits shape changes to be  
65 easily trialled. For instance, the three-dimensional shape can be obtained  
66 directly by making linear increments along the  $z$  direction. However, this is  
67 not the only option; quadratic, cubic or higher order increments and even

68 transcendental functions are also possible in an analytical approach. That  
69 creates a family of designs, providing a flexibility that is not available with  
70 the use of splines.

## 71 2. Numerical Modelling

### 72 2.1. Wheatley geometric design

73 In this section we present a closed mathematical representation of the  
74 Wheatley Mitral Valve.

#### 75 2.1.1. The artificial mitral valve

76 A paper version of the mitral valve is displayed in Figure 1. From this,  
77 we are able to deduce the contour lines (set of points where a given scalar  
78 function assumes a constant value - see Figure 2). Indeed, close inspection  
79 of the paper valve and its associated contour lines suggests that, for each  
80 contour line, there exists four underlying symmetrically placed circles. These  
81 are displayed in Figure 3; the great circle is, for convenience, and without  
82 any loss of generality, the unit circle.

#### 83 2.1.2. Generalization from circles to ellipses

84 In this section we shall, nonetheless, replace the circles with ellipses: not  
85 only is this more general providing an infinite number of designs, it also  
86 allows us to obtain the original Wheatley design as a special case. Thus, in  
87 place of Figure 3, consider Figure 4 and the two ellipses, CHB, CFD lying  
88 on the axis  $y = 0$ . Let their major axes be  $2a$  and  $2(1 - a)$  (so that their  
89 foci are  $a$  and  $1 - a$ ), respectively. Furthermore, let them both pass through  
90  $x = 1$ .



Figure 1: Paper model of the Wheatley mitral valve.

91 Their equations can readily be found to be :

$$\frac{(x - (1 - a))^2}{a^2} + \frac{y^2}{(\gamma a)^2} = 1, \quad (1)$$

$$\frac{(x - a)^2}{(1 - a)^2} + \frac{y^2}{(\gamma(1 - a))^2} = 1, \quad (2)$$

92 where  $\gamma$  is a measure of 'ellipticity' and is related to the common eccen-

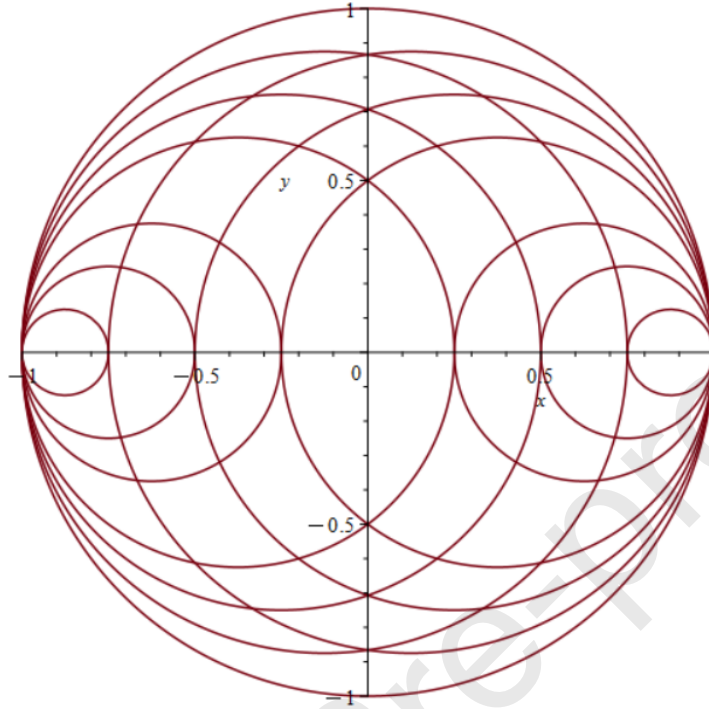


Figure 2: Mitral valve contour lines.

93 tricity,  $e$ , of the two ellipses:

$$\gamma^2 = 1 - e^2.$$

94 To obtain the equations of the two ellipses AGD and AEB it is only  
 95 necessary to rotate (1) and (2) by  $\pi$  radians. We therefore immediately  
 96 obtain

$$\frac{(x + (1 - a))^2}{a^2} + \frac{y^2}{(\gamma a)^2} = 1, \quad (3)$$

$$\frac{(x + a)^2}{(1 - a)^2} + \frac{y^2}{(\gamma(1 - a))^2} = 1. \quad (4)$$

97 The choice of  $\gamma = 1$  reduces the above equations (1) ... (4) to those of

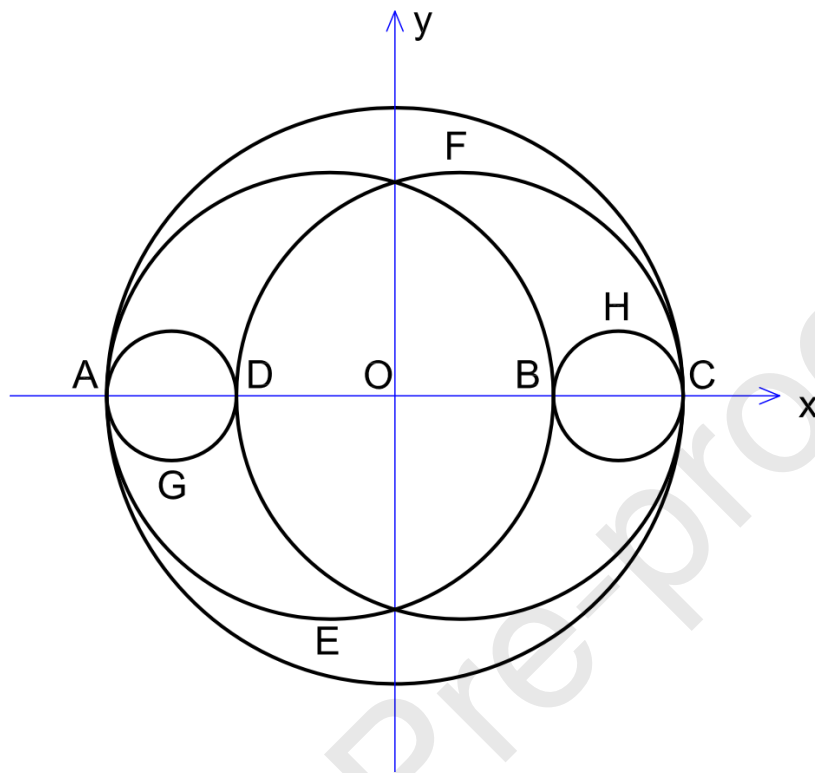


Figure 3: Two large and two smaller circles with the auxiliary unit circle.

98 circles shown in Figure 3.

99 *2.1.3. Parametrization of the elliptic equations*

100 For graphical purposes we require a parametrization of the four ellipses.

101 Note we wish to trace the arcs CHBEA and AGDFC (red lines in Figure 4).

102 We may write the four equations (1), (2), (3) and (4) in parametrized form

103 as

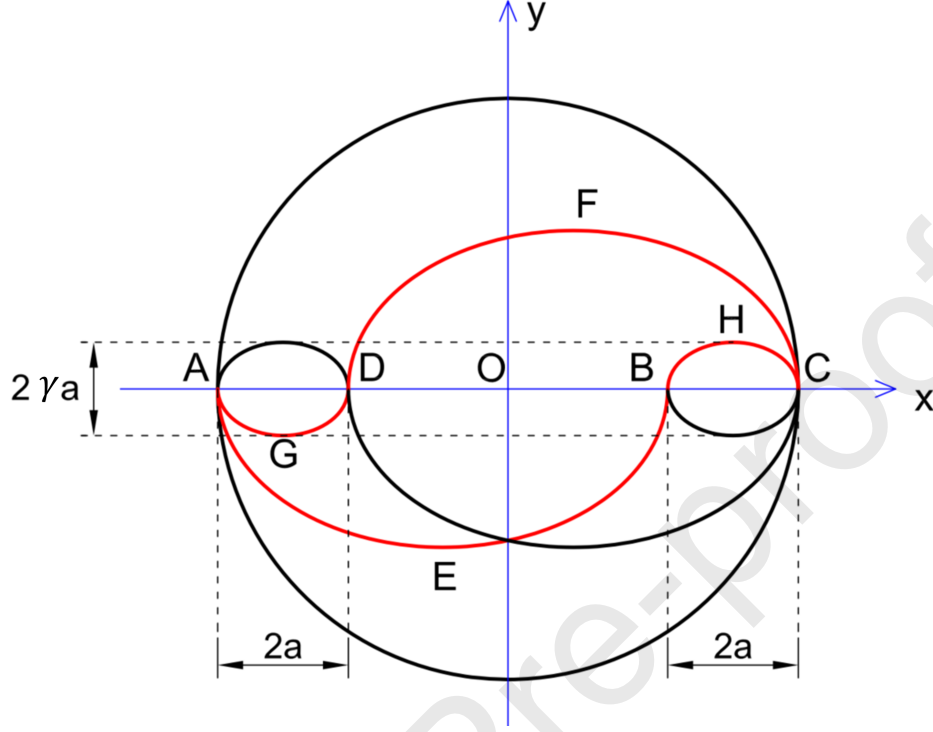


Figure 4: One large circle and four ellipses.

$$x = 1 - a + a \cos(\theta), \quad y = \gamma a \sin(\theta), \quad (5)$$

$$x = a + (1 - a) \cos(\theta), \quad y = \gamma(1 - a) \sin(\theta), \quad (6)$$

$$x = -(1 - a) + a \cos(\theta), \quad y = \gamma a \sin(\theta), \quad (7)$$

$$x = -a + (1 - a) \cos(\theta), \quad y = \gamma(1 - a) \sin(\theta). \quad (8)$$

104 Recall that we wish to trace out certain arcs: the arc CHB and the arc  
 105 BEA, and the arc AGD and the arc DFC. In order to do this we require both  
 106 starting and end points. These are the following: for (5) we need  $\theta$  to range  
 107 from 0 to  $\pi$ ; for (6) we require  $\theta$  to go from  $\pi$  to  $2\pi$ ; for (7) we need  $\theta$  run  
 108 from 0 to  $-\pi$ ; and for (8) we must have  $\theta$  ranging from 0 to  $\pi$ .

109 *2.1.4. Examples of the Wheatley elliptic mitral valve*

110 In this subsection we display examples of the geometrical representation  
 111 of the generalized Wheatley mitral valve, for  $\gamma = 1$  (Figure 5a) and  $\gamma = \frac{1}{2}$   
 112 (Figure 5b). In the special case when  $\gamma = 1$  (the original Wheatley valve)  
 113 the ellipses reduce to circles. In this case the valve is displayed partially open  
 114 (Figure 5c) and closed (Figure 5d).

115 The equations that describe the three-dimensional shape of the leaflets  
 116 allow opening and closing to be performed. Although these motions are kine-  
 117 matically compatible, they do not preserve linear and angular momentum,  
 118 nor do they follow material-specific responses. To be consistent with the  
 119 first principles of mechanics, it is necessary to design a geometric nonlinear  
 120 model, which will be detailed in the next subsection.

121 *2.2. Computational mesh*

122 In this step we establish a finite element mesh that describes the geometry  
 123 of interest. The original circular design ( $\gamma = 1$ ) is chosen. The dimensions  
 124 assumed are: 20 mm diameter at the base, 10 mm high. At the top of the  
 125 leaflets there are two semi-circles of 5 mm radius. The mesh (Figure 6)  
 126 contains 19321 linear interpolation shell (SHELL181) elements (quadrilateral,  
 127 triangles), 200  $\mu\text{m}$  element size and 19452 nodes. Each node has 6 degrees of  
 128 freedom (three translations and three rotations). Both leaflets have a uniform  
 129 thickness of 250  $\mu\text{m}$ .

130 *2.3. Material response*

131 In the present study, St Venant-Kirchhoff hyperelastic material is as-  
 132 sumed. Its relevance lies not only in its simplicity, but also by serving as a



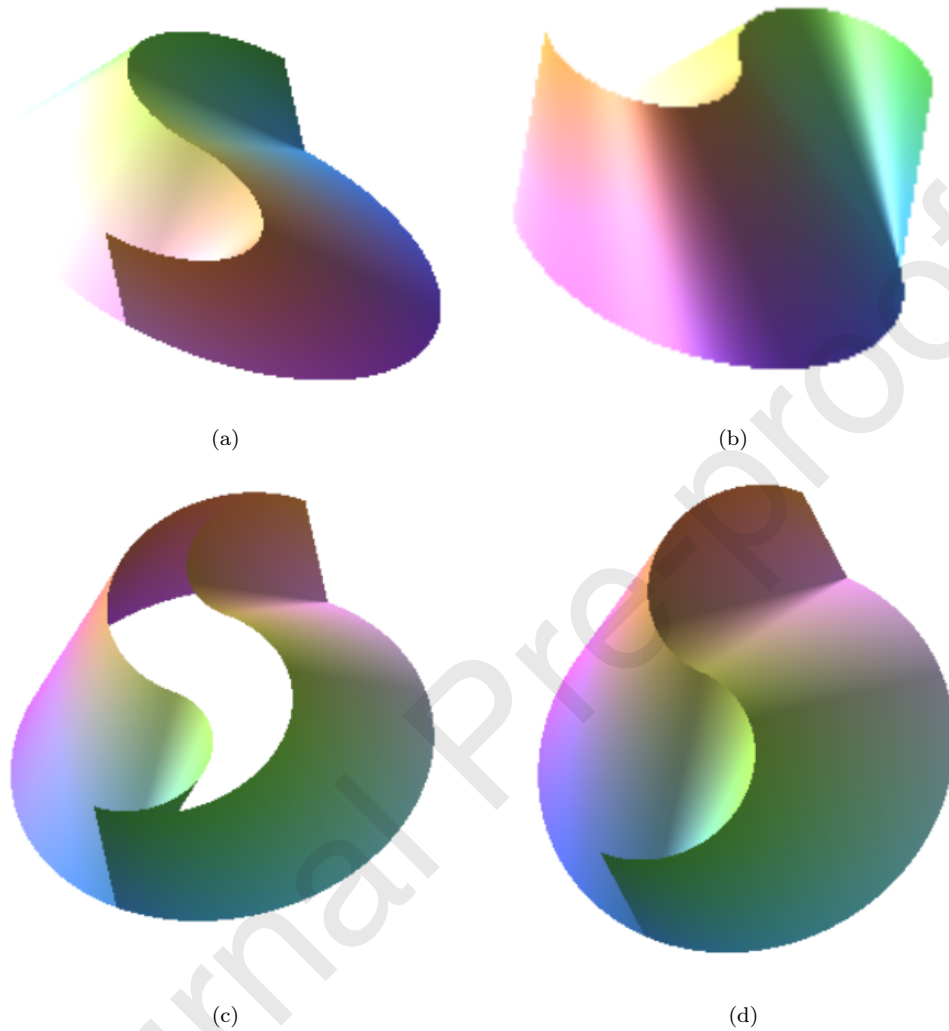


Figure 5: Mitral valve for (a)  $\gamma = 2$ , (b)  $\gamma = 1/2$  (c)  $\gamma = 1$  (open) (d)  $\gamma = 1$  (closed).

133 gateway to more elaborate material models. The resulting elasticity matrix  
 134 has the same form as the linear elasticity formulation, except that Green-  
 135 Lagrange strains are used. The second Piola-Kirchhoff stress tensor ( $\mathbf{S}$ ) is  
 136 symmetric and is related to the Green-Lagrange strain tensor ( $\mathbf{E}$ ) according

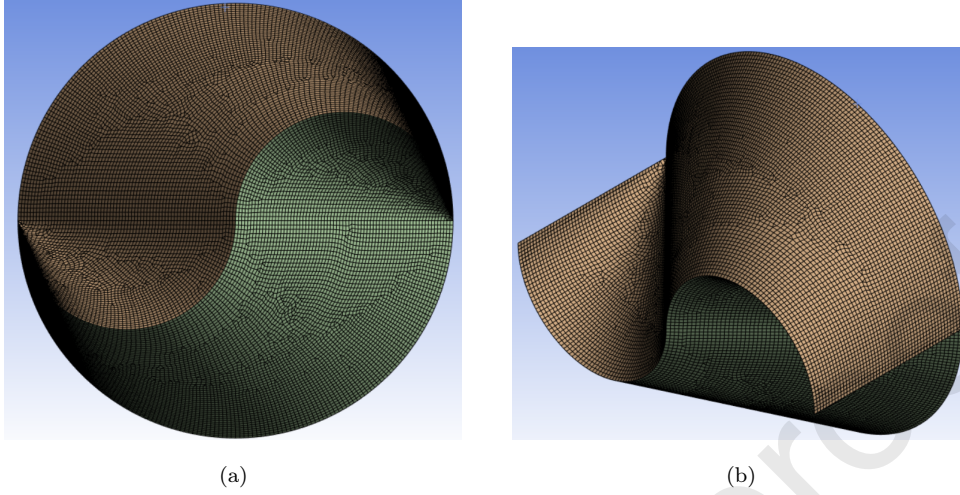


Figure 6: Finite element mesh in the reference configuration: (a) Top view and (b) Perspective view. (116.712 degrees of freedom)

137 to the St. Venant constitutive expression:

$$\mathbf{S} = \lambda \text{tr}(\mathbf{E})\mathbf{I} + 2\mu\mathbf{E}, \quad (9)$$

138 where  $\lambda$  and  $\mu$  are material parameters known as Lamé constants, and  $\mathbf{I}$   
 139 is the second order unit tensor. The quantities  $\lambda$  and  $\mu$  are related to the  
 140 usual Young Modulus ( $E$ ) and Poisson ratio ( $\nu$ ) as follows:

$$\lambda = \frac{E\nu}{(1+\nu)(1-2\nu)}, \quad (10)$$

$$\mu = \frac{E}{2(1+\nu)}. \quad (11)$$

142 The values employed in the model are shown in Table 1. It corresponds to  
 143 a thermoplastic polyurethane (TPU), although the definitive Wheatley Valve  
 144 material has not yet been established. The motivation for these parameters

145 came from a previous study carried out by our group, which is described in  
 146 reference [24].

147 It is worth noting that this formulation takes into account both the poten-  
 148 tial initial stresses and the change of configuration due to large displacements.

Table 1: Input parameters for the mechanical models

| Parameter | Description     | Value  |
|-----------|-----------------|--------|
| $\nu$     | Poisson's ratio | 0.49   |
| $E$       | Young modulus   | 65 MPa |

#### 149 2.4. Boundary conditions

150 When the valve is positioned between the atrial and ventricular cavities,  
 151 the movement of the leaflets is induced by the pressure gradient exerted by  
 152 the blood. In this study, this condition will be approximated assuming that  
 153 the valve motion will be caused by a normal pressure evenly distributed along  
 154 the surface following the variation shown in Figure 7. The base of the valve  
 155 (which is annular) and the vertical posts are kept fixed throughout the stress  
 156 analysis.

#### 157 2.5. Contact conditions

158 Contact modelling is crucial for predicting the functioning of the valve.  
 159 In biomedical community, the contact region between the two fully closed  
 160 leaflets is known as the coaptation surface. In pre-transplant patients, this  
 161 surface distributes the mechanical forces between the two leaflets and the  
 162 commissural regions of the valve. Proper coaptation modelling is important

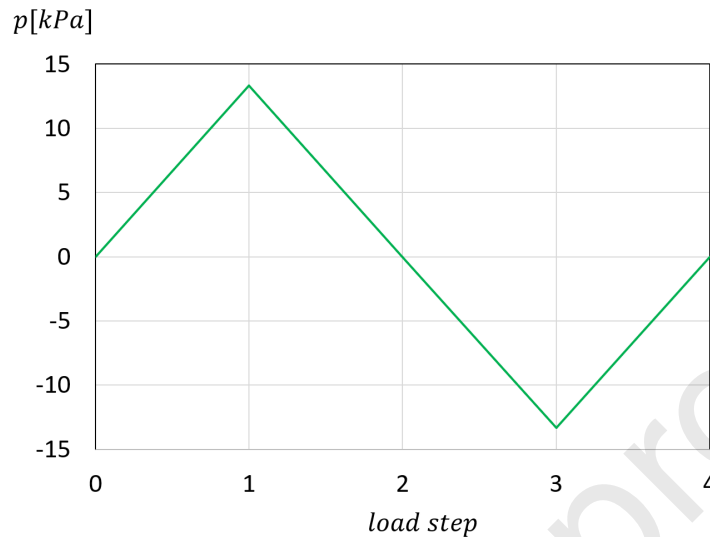


Figure 7: Normal pressure load curve.

163 not only to obtain force distributions in agreement with first mechanical  
 164 principles but also to capture physiological haemodynamics.

165 As both surfaces are flexible, it makes no difference which leaflet will be  
 166 set as the *target*. We assume that the surfaces in contact can transmit shear  
 167 forces in addition to compressive forces. When the equivalent shear stress is  
 168 less than a limit frictional stress ( $\tau_{lim}$ ), no motion occurs between the two  
 169 surfaces. This state is known as sticking. Once the equivalent frictional stress  
 170 exceeds  $\tau_{lim}$ , both surfaces will slide relative to each other. This condition is  
 171 known as sliding. When cohesion is assumed, sliding resistance exists even  
 172 in the absence of normal surface pressures. The transition point between  
 173 sticking and sliding is calculated according to Coulomb's Law:

$$\tau_{lim} = \alpha p + \beta, \quad (12)$$

$$\|\tau\| = \sqrt{\tau_1^2 + \tau_2^2} \leq \tau_{lim}. \quad (13)$$

174 where  $\tau_1$  and  $\tau_2$  are frictional components in direction 1 and 2 (mutually  
 175 perpendicular) defined on the contact surface,  $\alpha$  is the friction coefficient,  $p$   
 176 is the contact normal pressure and  $\beta$  is the contact cohesion.

177 It is also possible to define the maximum equivalent frictional stress  $\tau_{lim}$  so  
 178 that, regardless of the magnitude of the contact pressure, sliding will occur  
 179 if the magnitude of the equivalent frictional stress reaches this value [25].  
 180 Figure 8 displays a graphical representation of the frictional model.

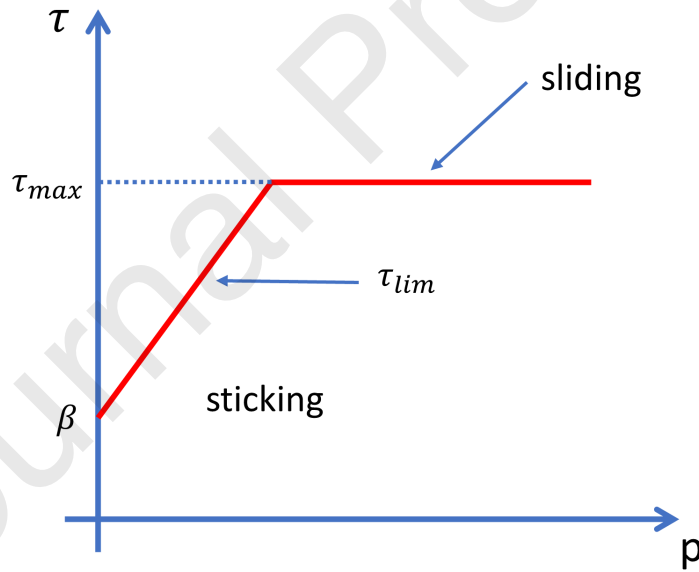


Figure 8: Graphic representation of the friction model.

181 *2.6. Computational Structural Mechanics*

182 The general dynamical analysis of the mitral leaflets gives rise to the  
 183 equation [26, 27]:

$$[\mathbb{M}]\{\ddot{U}\} + [\mathbb{C}]\{\dot{U}\} + [\mathbb{K}]\{U\} = \{F\}, \quad (14)$$

184 where  $\{U\}$  is the displacement, while  $\{\ddot{U}\}$  and  $\{\dot{U}\}$  represent the dis-  
 185 placements' first and second derivatives,  $[\mathbb{M}]$  is the mass matrix,  $[\mathbb{C}]$  and  $[\mathbb{K}]$   
 186 are the material damping and stiffness matrices, respectively, and  $\{F\}$  rep-  
 187 represents the total forces, internal and external, acting on the mitral leaflets.  
 188 In this study, we assume that inertial and damping forces can be neglected.

189 The finite element mesh (Section 2.2) is used to build a displacement  
 190 field ( $\{u\}$ ) approximated by polynomial shape function ( $[\mathbb{H}]$  in matrix form),  
 191  $\{u\} = [\mathbb{H}]\{U\}$ . Following Sung and Kwak [28], taking into account the  
 192 contact forces, equation (14) can be linearized fo provide  $\Delta\{U\}$ , which reads  
 193 as follows:

$$([\mathbb{K}_L] + [\mathbb{K}_{NL}])\Delta\{U\} = \{F\}_{ext}^{t+\Delta t} - \{F\}_{int}^t + \{F\}_c^{t+\Delta t}, \quad (15)$$

194 where

$$\{F\}_{ext}^{t+\Delta t} = \mathbf{A} \int_{\Omega_{0e}} [\mathbb{H}]^\top \{b\} \, d\Omega + \mathbf{A} \int_{\partial\Omega_{0e}} [\mathbb{H}]^\top \{P\}_0 \, d\partial\Omega, \quad (16)$$

$$\{F\}_{int}^t = \mathbf{A} \int_{\Omega_{0e}} [\mathbb{B}_L]^\top [\mathbb{S}]^t \, d\Omega, \quad (17)$$

$$\{F\}_c^{t+\Delta t} = \mathbf{A} \int_{\Gamma_{0e}^c} [\mathbb{H}]^\top (p\{\mathbf{n}\} + \tau\{\mathbf{m}\}) \, d\Gamma_0^c, \quad (18)$$

$$[\mathbb{K}_L] = \mathbf{A} \int_{\Omega_{0e}} [\mathbb{B}_L]^\top [\mathbb{D}] [\mathbb{B}_L] \, d\Omega, \quad (19)$$

$$[\mathbb{K}_{NL}] = \mathbf{A} \int_{\Omega_{0e}} [\mathbb{B}_{NL}]^\top [\mathbb{S}] [\mathbb{B}_{NL}] \, d\Omega. \quad (20)$$

195 In these expressions, the superscript ‘ $\top$ ’ denotes the transpose operation,  
 196 ‘ $t$ ’ and ‘ $t + \Delta t$ ’ represents the previous and current time step and subindex  
 197 ‘ $e$ ’ indicates a given element.  $\mathbf{A}$  denotes the standard assembling operator  
 198 over all elements in the mesh,  $\{b\}$  is the body force and  $\{P\}_0$  the surface  
 199 traction.  $\Omega_{0e}$  is a given element and  $\Gamma_{0e}^c$  is the potential contact surfaces,  
 200 both at the reference configuration.  $[\mathbb{B}_L]$  is a matrix that depends on the  
 201 shape function derivatives and that converts the nodal displacements to the  
 202 linear part of the strains. Similarly,  $[\mathbb{B}_{NL}]$  depends on the shape function  
 203 derivatives and relates the nodal displacements to the nonlinear portion of  
 204 the strains.  $[\mathbb{D}]$  represents the material stiffness matrix and  $[\mathbb{S}]$  stores the  
 205 second Piola-Kirchhoff components in diagonal blocks.  $\mathbf{n}$  and  $\mathbf{m}$  are normal  
 206 and tangential directions defined locally in each element.

### 207 2.7. Solution Technique

208 The solution at each time step is obtained via an incremental iterative  
 209 scheme using (15). Starting from a known solution ( $\{U\}^n$ ), an increment

210  $\Delta\{U\} = \{U\}^{n+1} - \{U\}^n$  is sought so that the residual forces ( $\{R\}$ ) are zero,  
 211 that is:

$$\{R\} = \{F\}_{ext}^{t+\Delta t} - \{F\}_{int}^t + \{F\}_c^{t+\Delta t} = 0. \quad (21)$$

212 Since several sources of nonlinearity are present in the model, the residual  
 213 rarely vanishes after the first iteration. This gives rise to unbalanced forces  
 214 from the previous iteration ( $k$ ) which are used to calculate nodal displacement  
 215 corrections, so that:

$$([\mathbb{K}_L] + [\mathbb{K}_{NL}])^k \Delta\{U\}^{k+1} = \{R\}^k. \quad (22)$$

216 Iterations continue until the residual becomes negligible to within a spec-  
 217 ified tolerance. In this situation the converged nodal displacement  $\{U\}^{n+1}$  is  
 218 obtained by adding all the corrections:

$$\{U\}^{n+1} = \{U\} + \Delta\{U\}, \quad (23)$$

$$\Delta\{U\} = \sum_{k=1}^{NT} \Delta\{U\}^k, \quad (24)$$

219 where  $NT$  denotes the total number of iterations required for convergence.  
 220 This process belongs to the class of Newton-based methods. In the present  
 221 study, the computational model was implemented using Ansys Mechanical  
 222 APDL 2021R2 solver.



### 223 3. Results and Discussion

#### 224 3.1. Opening and Closing mechanism

225 Figure 9 shows the colour map representing the magnitude of the dis-  
226 placements observed both at opening and closing. The peculiar shape of the  
227 leaflets makes the closing mechanism essentially composed of two global re-  
228 sponses: bending and torsion. Bending occurs in each of the leaflets so that  
229 the regions which are farthest from the supports have greater movement. The  
230 displacement amplitude shown by the free surfaces determines the degree of  
231 opening of the valve (nearly 4 mm or half the nominal valve height). Torsion,  
232 on the other hand, appears as a joint effect promoted by contact and which  
233 leads to rotation of the upper circular boundaries near the valve axis. The  
234 maximum displacements in the vertical downward direction is close to 30%  
235 of the nominal height.

236 The effects related to displacements are also observed in the stress field, as  
237 shown in Figure 10. Although Von Mises stresses are used to predict yielding  
238 in metallic materials, they also serve as a global analysis parameter because  
239 they can represent a single scalar measure of the stress state. The colour map  
240 in Figure 10 indicates the path of the internal stresses that maintain equilib-  
241 rium in both the open and closed position. The stresses are not distributed  
242 regularly, but instead tend to follow the regions with greater vertical and  
243 horizontal stiffness (near the supports and contacts). There is stress concen-  
244 tration in the joint region between the valve base and the vertical supports,  
245 as expected, since it is a geometric singularity point. Points such as these  
246 may require special attention in studies involving fatigue endurance.

247 Note that the imposed pressure load does not allow the full opening of

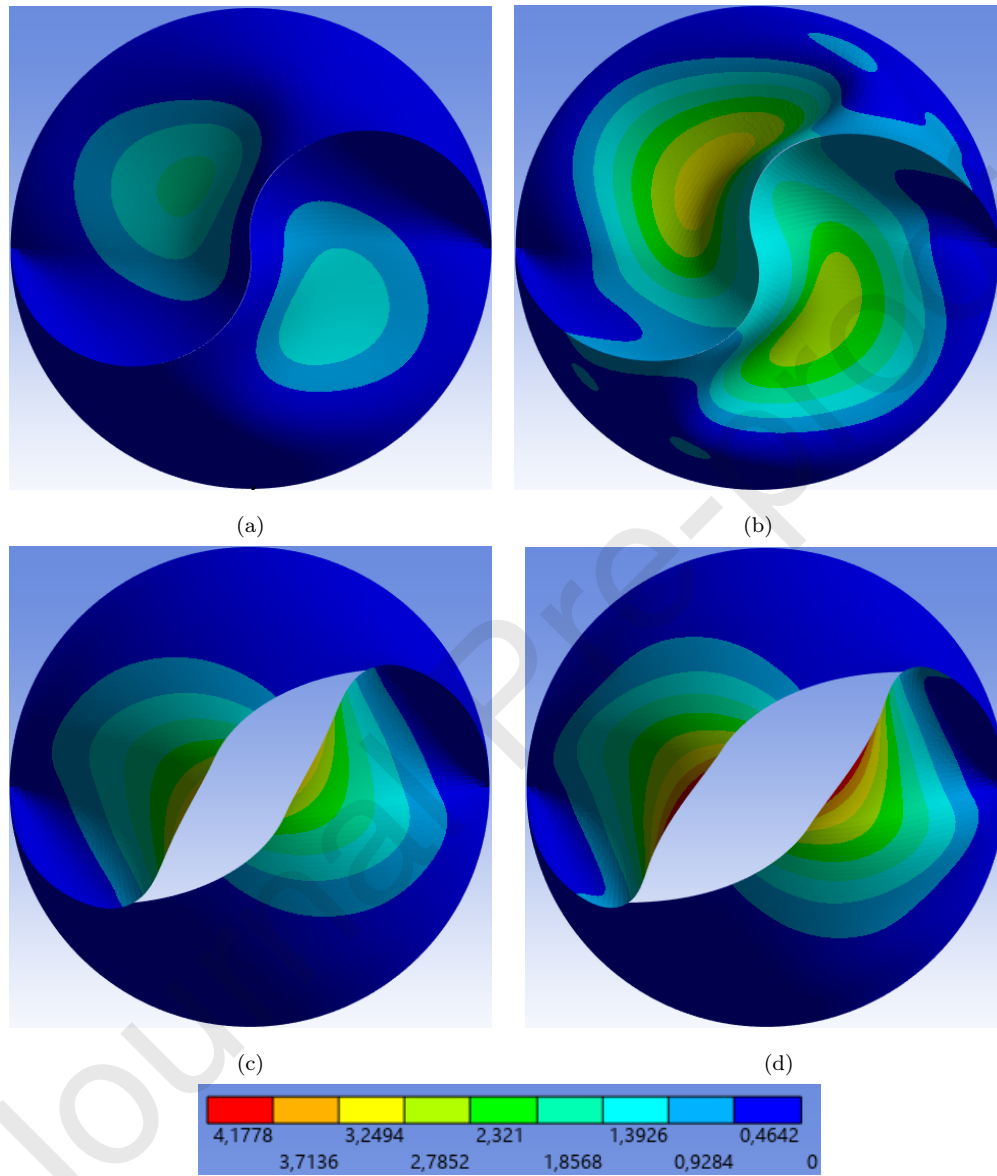


Figure 9: Global displacement field: (a)  $step = 0.5$ , (b)  $step = 1.0$ , (c)  $step = 2.5$  and (d)  $step = 3.0$ . Units in  $mm$ .

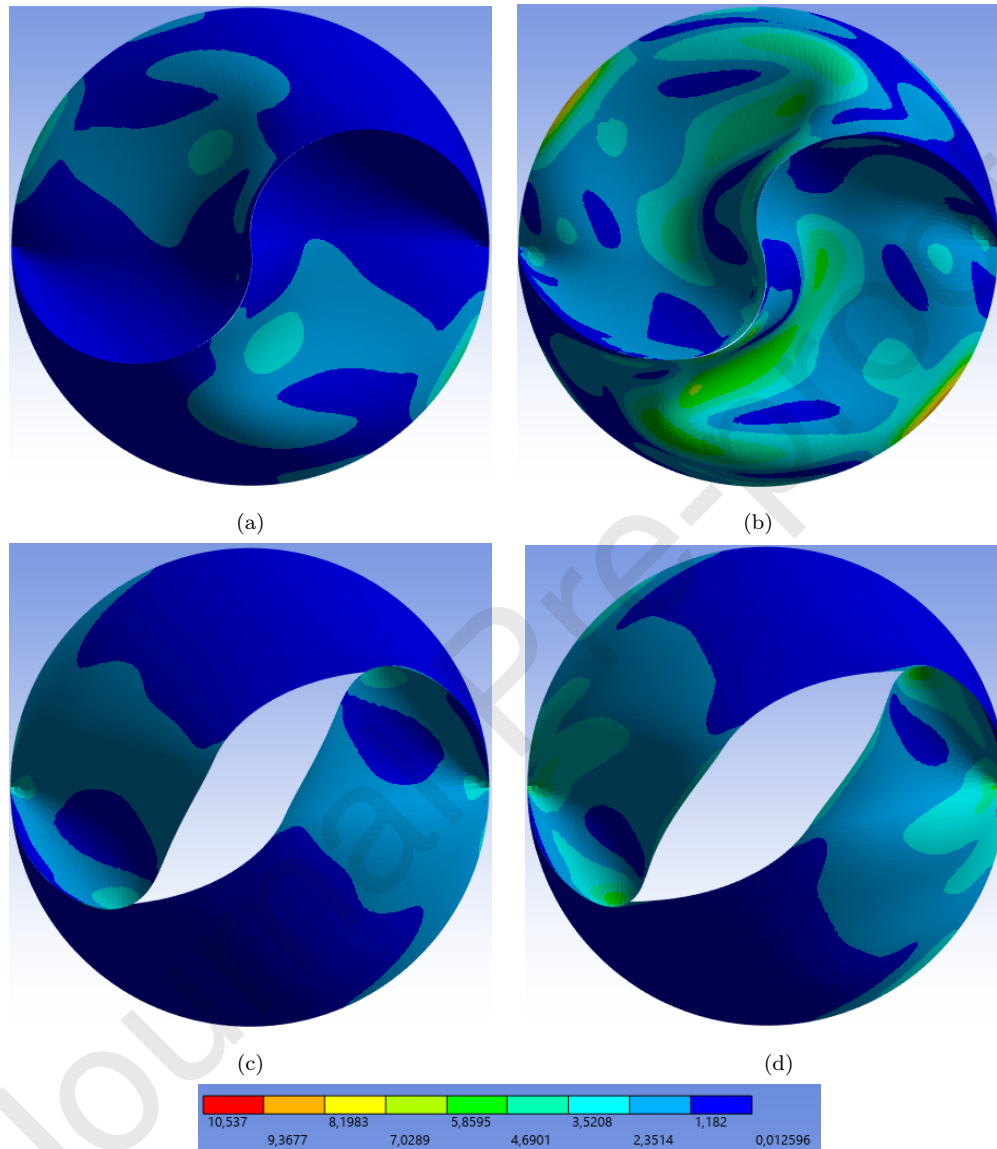


Figure 10: Von Mises stress field: (a)  $step = 0.5$ , (b)  $step = 1.0$ , (c)  $step = 2.5$  and (d)  $step = 3.0$ . Units in MPa.

248 the leaflets. The area of the circular base is  $3.14 \text{ cm}^2$ . In the position of  
249 maximum opening, the minimum orifice area is  $0.34 \text{ cm}^2$ , or approximately  
250 11%. Naturally this opening rate can be changed by appropriate choice of  
251 materials and manufacturing thickness of the leaflets.

### 252 3.2. *Distribution of bearing forces*

253 An important aspect in any valve concept is to design supports capable of  
254 upholding the loads that will act on it, including the possibility of changing  
255 the modulus, direction and sense of the support reactions. This structural  
256 behaviour can be estimated based on the force fields distribution along the  
257 surfaces, in particular, near regions of discontinuity such as contacts and  
258 connections.

259 The way the forces are distributed along the annulus, as well as its proper  
260 geometric positioning can have an impact on the efficiency of the valve's op-  
261 eration. For instance, recent studies using in silico modelling have demon-  
262 strated that annuloplasty (for natural mitral valves) with moderate an-  
263 nular reduction may be efficient for achieving optimal coaptation, compared  
264 with traditional annuloplasty techniques [29].

265 To illustrate the internal force field, Figure 11 displays the fields for two  
266 key step loads (1.0 and 3.0). These forces are derived from elemental data  
267 (such as stresses and strains) calculated at integration points and then ex-  
268 trapolated to the nodes. The arrows indicate the direction of the acting  
269 forces, and their sizes are in proportion. As can be seen, there is a concen-  
270 tration of forces on the contact surface which acts by mobilising the valve  
271 opening and closing mechanisms. These forces are responsible for maintain-  
272 ing balance together with the forces on the support frame, represented by

273 the annular base and the vertical supports.

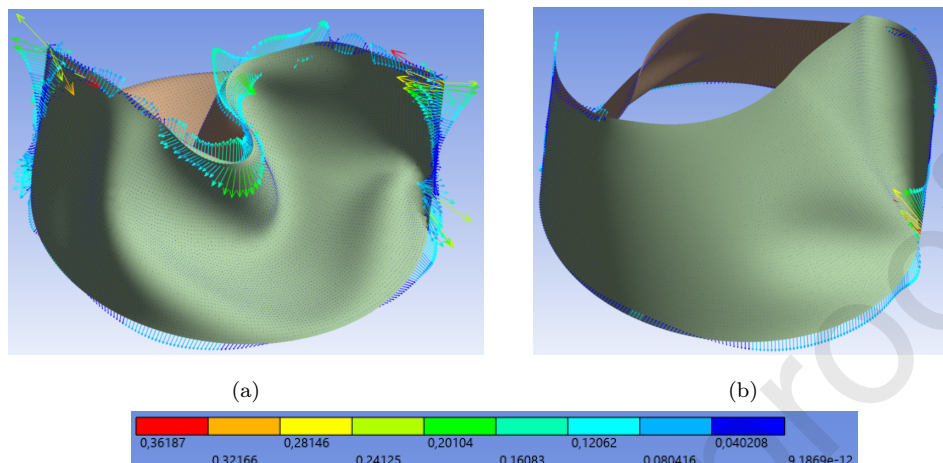


Figure 11: Internal vector force field: (a)  $step = 1.0$  and (b)  $step = 3.0$ . Units in Newtons.

274 In Figure 12, the same force field is shown restricted to the support re-  
 275 gions, adding transparency on the leaflet surfaces. This field of reaction  
 276 vectors confirms the existence of concentrated forces at the junction between  
 277 the valve base and the vertical supports (discussed in the Section 3.1). The  
 278 forces on both the circular base and the vertical supports induce bending.  
 279 For vertical ones the forces tend to bend the supports towards the interior of  
 280 the valve, while the base tends to bend in an alternating direction, either in-  
 281 wards or outwards from the circle. As the forces lie in planes not necessarily  
 282 parallel to the shell surfaces, this indicates that the assumptions associated  
 283 with shell kinematics are preferable to those for membranes, at least for this  
 284 application.

285 These results illustrate that the supporting structure of the leaflets must  
 286 be sufficiently resistant to bending forces in multiple directions for equilib-  
 287 rium to be preserved. In general the frames are made of rigid material, but

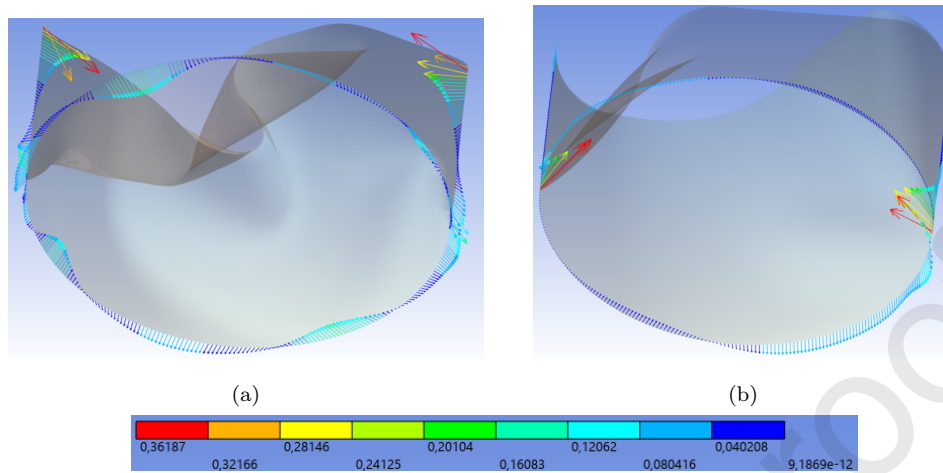


Figure 12: Internal force field along the supports: (a)  $step = 1.0$  and (b)  $step = 3.0$ . Units in Newtons.

288 the possibility of more flexible structures is not excluded.

### 289 3.3. Effect of height for global stability

290 Three geometries with different heights (10 mm, 15 mm, and 20 mm) were  
 291 analysed. The other model parameters such as ellipticity degree, constitutive  
 292 model, contact assumptions, pressure loading curve, characteristic mesh size  
 293 and kinematic boundary conditions were kept unchanged.

294 Table 2 shows a comparison between results for three designs. It can  
 295 be seen that the pattern of both leaflet opening and closing mechanisms  
 296 remains unchanged with increasing height/diameter ratio. The most notable  
 297 difference consists of the amplitude of displacements of the free surface at  
 298 the upper edge, which naturally tends to move further downwards. It is also  
 299 observed that the free hole of the opening (top view) increases with increasing  
 300 height of the valve. This gain in flexibility in the upper part can be explained  
 301 by the transfer of forces from the lower supports to the upright ones, leaving

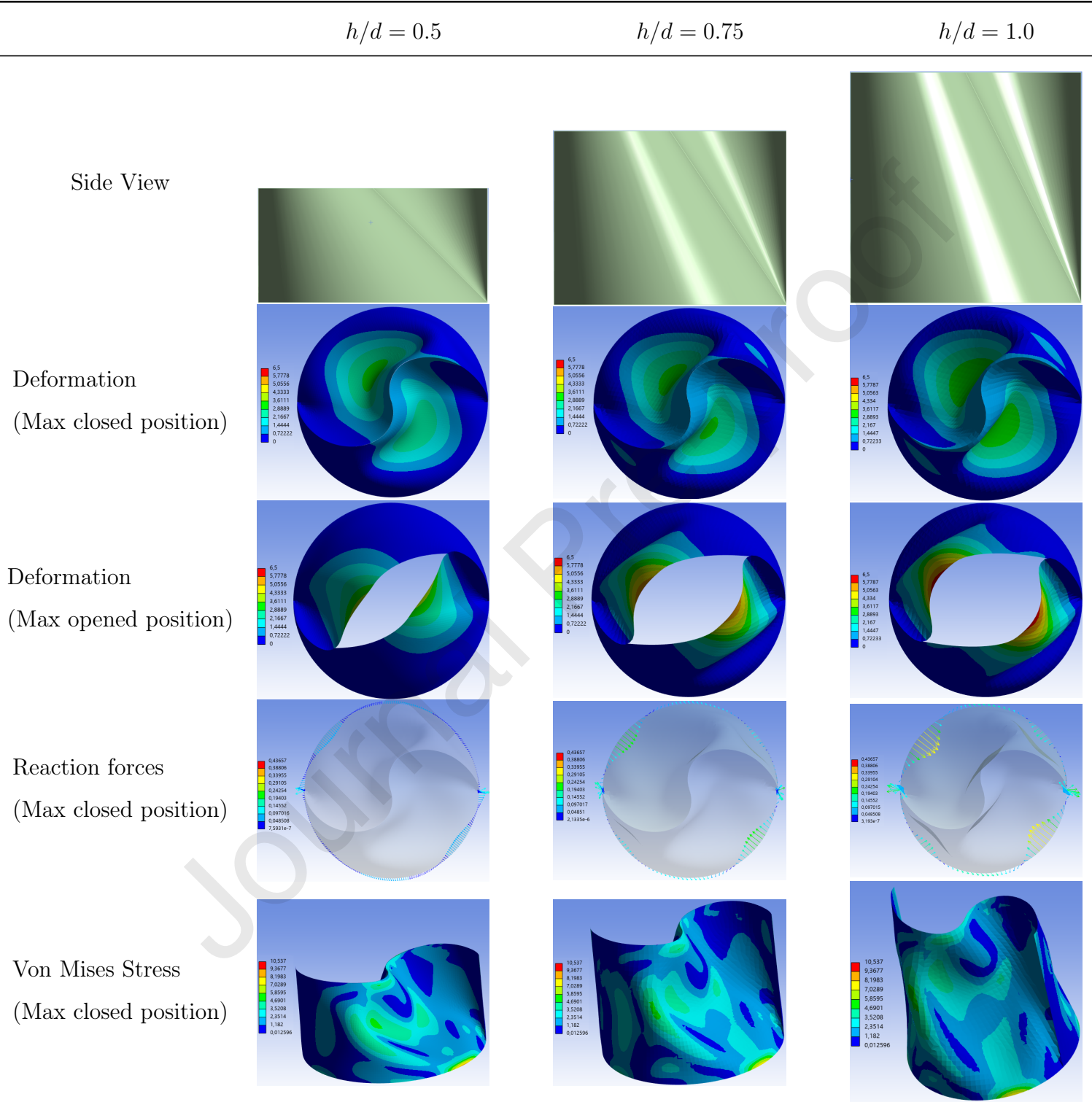


Table 2: Maximum opening and closing positions considering three height ( $h$ ) to diameter ( $d$ ) ratios. In all cases the ellipticity degree is  $\gamma = 1$ . (Units in millimetres, reaction forces in Newtons, Stresses in MPa).

302 the surface less strained when opening. The alternating bending forces on  
 303 the supports are maintained, but with different intensities, decreasing as the  
 304 height increases.

#### 305 3.4. Comparing S-shaped and U-shape design

306 Since the formulation proposed in this study is used to design S-shaped  
 307 valves, it is interesting to compare the mechanical behaviour between the  
 308 proposed leaflets and the traditional design (chosen here as the U-shaped).  
 309 In this numerical experiment, both valves (Figure 13) have the same charac-  
 310 teristic lengths, same boundary conditions and are simulated using the same  
 311 constitutive material (Table 1). The contour plots representing mechanical  
 312 indicators are showed in Table 3.

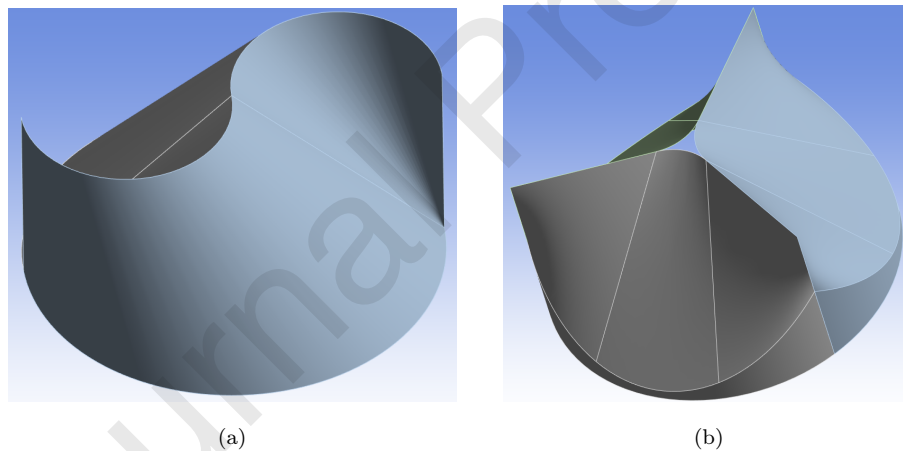


Figure 13: Perspective view of compared designs: (a) S-shape-based and (b) U-shape-based valves. In both cases, annular diameter = 20 mm, height = 10 mm, thickness of leaflets = 250  $\mu\text{m}$  are assumed.

313 In terms of global displacements, the S-shape design shows higher mag-  
 314 nitudes compared to the U-shape. This effect is due to the larger portion



315 of material placed near the centre of the valve that can be moved vertically.  
316 This implies that for small pressure increments large displacements occur  
317 before tensile stresses can be mobilised to increase geometric rigidity. This  
318 arrangement contrasts with the U-shape. In mechanical terms, the U-shape  
319 is more stiff with respect to the forces that tend to close the valve.

320 Regarding the stress distribution, as expected, there is a concentration  
321 near the bottom of the vertical posts in the case of the S-shaped valve, which  
322 does not occur for the U-shape. This is due to the folding effect of the leaflets  
323 on themselves, which tends to create high local stresses. In open position,  
324 the S shape creates relatively comparative low stress regions, which provides  
325 the possibility for geometric optimisation in future designs.

326 These results show that the U-shaped valve is uniformly stressed in terms  
327 of bending, tensile and geometric stiffness. This doesn't leave much room for  
328 improvement on these surfaces. In the case of the S-shape, regions of high  
329 stress and regions of low stress can be seen, which may suggest that there  
330 exist more convenient curvatures to be used. This type of study could be  
331 carried out using parametric analyses and the equations presented here are  
332 very attractive for iterative search algorithms.

333 It is worth noting that the higher geometric stiffness of the S shape implies  
334 that the valve has a smaller central opening. This behaviour can induce flow  
335 direction as long as the leaflets can withstand the bending stresses. This  
336 characteristic can be exploited for specific purposes, in particular for irregular  
337 cavities to optimize the outflow volume. In this case, more in depth studies  
338 involving fluid-structure interaction are indicated.

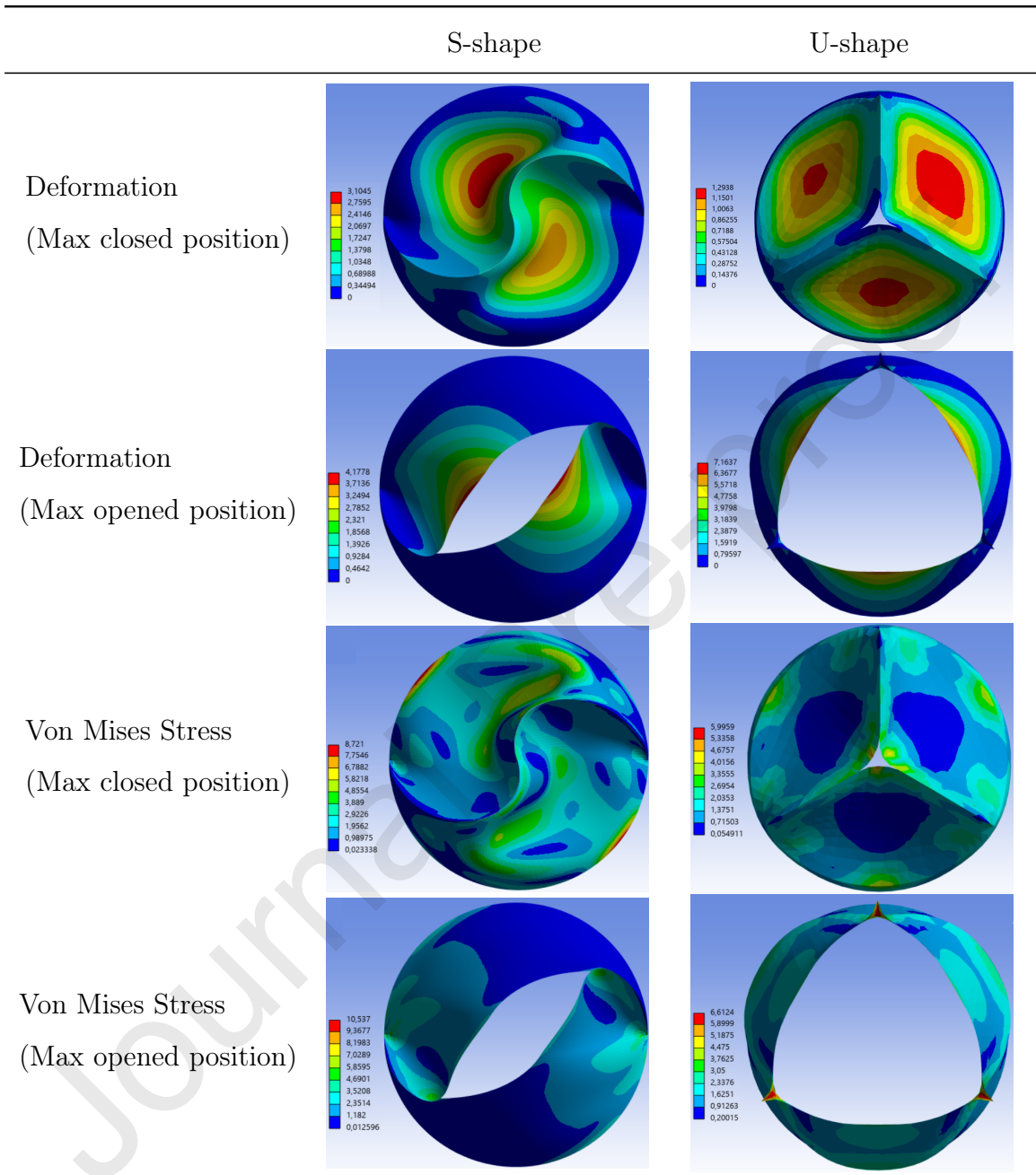


Table 3: Results for S- and U- shape designs. For S-shape, the ellipticity degree is  $\gamma = 1$ .  
(Maximum opening and closing positions units in millimetres, Stresses in MPa).

#### 339 4. Conclusion

340 In this work, based on the Wheatley concept, we presented a mathemat-  
341 ical description of the leaflets of mitral valves and a nonlinear model of their  
342 movement. This procedure has been shown to be efficient for the mechanical  
343 performance study of a class of S-shaped leaflet designs. Some points are  
344 worth highlighting.

345 Regarding the purely geometric aspects, it can be remarked that:

346 (i) This is a natural development from previous work on the Wheatley  
347 aortic valve ([19], [20] and [21]). We have taken this further by using  
348 ellipses to produce infinitely many designs controlled by a factor  $\gamma$ ,  
349 which essentially measures the degree of ellipticity in the design;

350 (ii) Two examples of the closed valve have been displayed for different 'el-  
351 lipticities'. In addition the original Wheatley valve is shown both closed  
352 and partially open. Although these motions are kinematically admis-  
353 sible, they do not follow the equilibrium and material compatibility.  
354 However, these equations serve for both analysis and manufacturing  
355 design. Hence their importance.

356 Concerning the nonlinear structural model, it can be observed that:

357 (iii) It was designed to predict the behaviour of a valve obtained from the  
358 equations assuming  $\gamma = 1$ . However the same procedure remains valid  
359 for different degrees of ellipticity;

360 (iv) The essential aspects of this model are: linear behaviour between Green's  
361 deformations and the Second Piola-Kirchhoff Tensor, disregard of iner-

362 tial forces, consideration of large displacements, uniform pressure gra-  
363 dients on the surface and contact between leaflets. These aspects are  
364 considered to be the minimum necessary to capture the opening and  
365 closing motions. Changes in these assumptions necessarily imply an  
366 adaptation of the model presented here;

367 (v) The S-shape of the leaflets induces internal forces that mobilise two  
368 global responses: bending and torsion. These two effects depends on  
369 the contact between the flexible surfaces and upon the stiffness of the  
370 support;

371 (vi) The supports here have been considered completely rigid. In this situ-  
372 ation, to maintain the valve equilibrium, the supports are subjected to  
373 forces in alternate directions. If flexible bearing frames were adopted  
374 these effects should be carefully considered in order to avoid structural  
375 instabilities.

376 (vii) The mechanism for transmitting the equilibrium forces does not change  
377 when the height is increased (but not more than double) while main-  
378 taining the base diameter.

379 With regard to the numerical experiment comparing an S-shaped valve  
380 with a U-shaped valve:

381 (viii) The simulation showed that S-shaped leaflets have higher levels of bend-  
382 ing, particularly in the central portion of the valve, when compared  
383 to U-shaped leaflets. However, this displacement does not hinder the  
384 closing mechanism, which remains stable and able to bear the required  
385 pressure.

386 In general, both the method used and the model proposed can be extended  
387 to study the Wheatley mitral valve in different scenarios.

### 388 **Competing interests**

389 The authors declare that David J. Wheatley is the inventor of the valve  
390 which was the subject of this study, holding patents in several countries in-  
391 cluding US Patent No. 9259313 2014, UK Patent No. EP2982340 2016, Eu-  
392 rope Patent No. EP2979666 2016 and China Patent No. CN103384505. The  
393 full list is available at [https://www.wheatleyresearch.co.uk/blog/development-](https://www.wheatleyresearch.co.uk/blog/development-history)  
394 [history](https://www.wheatleyresearch.co.uk/blog/development-history).

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### 397 **Ethical approval**

398 Not required.

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## Declarations

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All authors must disclose any financial and personal relationships with other people or organisations that could inappropriately influence (bias) their work. Examples of potential conflicts of interest include employment, consultancies, stock ownership, honoraria, paid expert testimony, patent applications/registrations, and grants or other funding.

### Conflicts of Interest

The authors declare that David J. Wheatley is the inventor of the valve which was the subject of this study, holding patents in several countries including US Patent No. 9259313 2014, UK Patent No. EP2982340 2016, Europe Patent No. EP2979666 2016 and China Patent No. CN103384505. The full list is available at <https://www.wheatleyresearch.co.uk/blog/development-history>

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