

1 Metallurgical and mechanical properties of Al-Cu joint by friction stir
2 spot welding and modified friction stir clinching

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15 **Abstract**

16 Dissimilar joints of AA5083 and pure Cu joint are successfully produced and compared by
17 friction stir spot welding and modified friction stir clinching with intermediate layer of Zn
18 interlayer for the first time. Self-reacting behavior of Zn is observed to obtain sound welds
19 resulted from intermixing in stir zone (in FSSW), refilled zone (in MFSC) and brazed zone (in
20 both FSSW and MFSC). MFSC is used to fill the cavity of keyhole that in turn increased 40 %
21 strength of dissimilar Cu-Al joints. Presence of lamellar eutectics in brazed zone and
22 intermetallic compounds such as Al₂Cu, Al₄Cu₉, CuZn₅ and Cu₄Zn in weld zone are confirmed
23 in Cu-Al MFSC joints.

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26 **Keywords:** Al-Cu, dissimilar welding, friction stir spot welding, modified friction stir
27 clinching, microstructural evolution, Zn interlayer

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31 **1. Introduction**

32 Dissimilar welding of copper (Cu) and aluminum (Al) materials is greatly useful in the
33 electrical and electronics industries considering excellent properties of electrical and thermal
34 conductivities [1]. However, the sound welding of these two materials is difficult to obtain by
35 fusion welding processes due to defects formation caused by intermetallic compounds (IMCs)
36 and low melting eutectics [2, 3]. Solid state welding is considered as favorable processing
37 condition for Al-Cu joints, as it operates below the melting point and with plastic deformation
38 of materials [4–6]. Being a solid state welding family, friction stir welding (FSW), friction stir
39 spot welding (FSSW), and other friction based welding processes are reported with large
40 numbers in recent literature for different dissimilar combinations including Al-Cu [7–10].
41 Application of Al-Cu joints such as bus bar (having small width) is popular in different
42 electrical application [11] and aerospace applications [12], wherein spot configuration of
43 welding process is suitable. In study of Heideman et al. [13], FSSW is employed to obtain
44 dissimilar weld of Al-Cu and concluded successful weld formation with micro-interlocking
45 and Cu ring extrusion upward towards Al material while no continues IMCs. Mubiayi et al.
46 [14] performed Al-Cu FSSW and found that the Cu is detached in the form of particles and
47 mixed in Al matrix with formation of IMCs. Shiraly et al. [15] carried out FSSW of Al-Cu with
48 resulted composite type joint of crushed Al-Cu materials and IMCs in the stir zone. Boucherit
49 et al. [16] introduced Zn interlayer between Al-Cu FSSW to improve the mechanical behavior
50 of joints, wherein Cu is kept on Al. In case of FSSW, the formation of exithole/keyhole is a
51 biggest problem, wherein the volumetric material is missing. This keyhole is inevitable due to
52 penetration of tool's probe in workpiece, which is subsequently a location for stress
53 concentration and corrosion initiation [17, 18]. This keyhole can be greatly eliminated using
54 modified friction stir clinching (MFSC) process, wherein protuberance leveling and keyhole
55 filling are obtained in the second phase of process using probeless tool. However, with MFSC,

56 limited articles are published so far, that are on Al base materials. MFSC is investigated on
 57 AA2024-AA7075 [19, 20] and AA2024-AA6061 [21] joint combinations. These studies
 58 mention that MFSC is emerging as great alternative of FSSW for spot configuration welds.
 59 Although, MFSC is never attempted for any dissimilar combination such as Al-Cu. Hitherto,
 60 there is no comparison available on Al-Cu welding obtained by FSSW and MFSC. The
 61 application of Zn interlayer in Al-Cu spot welding is also limited with metallurgical bonding
 62 details. Therefore, it is worthwhile to present an investigation with microscopic evaluation of
 63 Al-Cu welds made by FSSW and MFSC. In the present study, the dissimilar welds of Al-Cu
 64 are produced by FSSW and MFSC using thin self-reactive Zn interlayer with novel materials
 65 mixing comparison and robust metallographic measurements.

66 **2. Materials and methods**

67 In the present investigation, aluminium alloy AA5083 and commercially pure copper consists
 68 of thickness 1.5 mm and 2 mm respectively are welded by FSSW and MFSC techniques. The
 69 chemical compositions of respective base materials are presented in **Table. 1** and **Table. 2**.

70 **Table. 1** Chemical composition of 5083-H321 aluminum alloy (wt%)

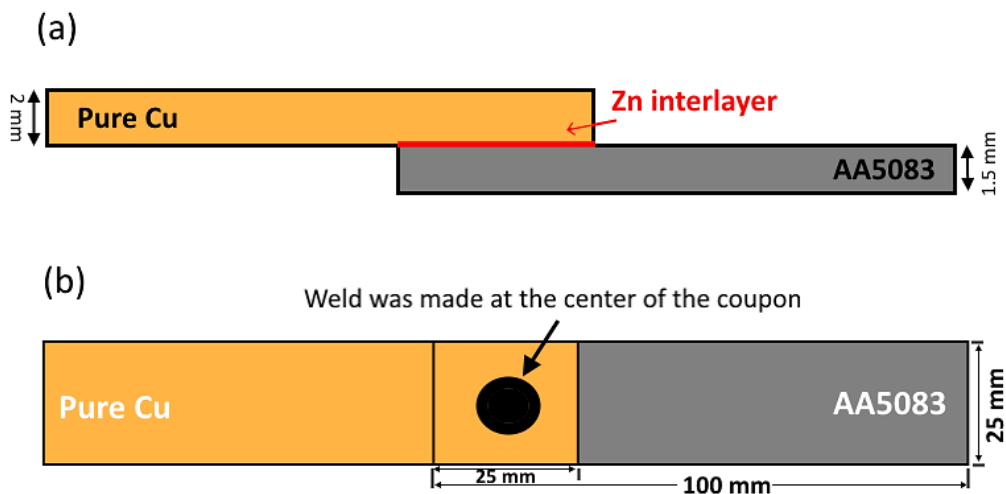
Alloy	Al	Mg	Mn	Fe	Cr	Si
AA5083	Base	4.31	0.63	0.23	0.12	0.11

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72 **Table. 2** Chemical composition of pure Cu (wt%)

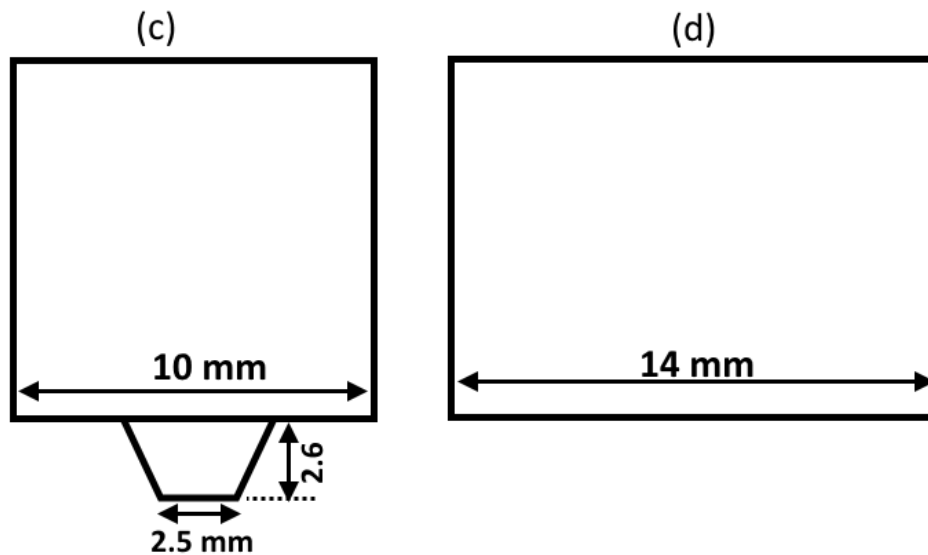
Alloy	Al	Fe	Pb	Zn
Pure Cu	0.0033	0.00061	0.0022	<0.00012

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74 An overlap joint configuration with Cu on top of Al base material is selected with a self-
 75 reacting layer of Zn (with 99.99 wt.% purity and 100 µm thickness) kept intermediate between
 76 both base materials [see **Fig. 1 (a)**]. FSSW and MFSC processes are performed with constant
 77 parameters such as 1200 rpm of rotational speed, 6 seconds of dwell time and 0.4 mm of
 78 shoulder penetration depth. H13 tool material is used for the experimentation of FSSW and

79 MFSC. FSSW is performed using tool consists of 10 mm shoulder diameter (with 6° concave
80 surface) and concave probe of 4 mm root diameter 2.5 mm tip diameter and 2.6 mm probe
81 length. MFSC's first step is performed using this same tool design used in FSSW using die
82 below the workpiece, and second step is performed by probe-less tool of 14 mm shoulder
83 diameter. The repeatability of processing conditions is confirmed by number of weld formation
84 at least three times for each condition. After the welding, the specimens are subjected to optical
85 and scanning electron microscopies (SEM), energy dispersive x-ray spectroscopy (EDX), X-
86 ray diffraction (XRD) analysis, electron back scattered diffractions (EBSD) analysis and
87 tensile/shear testing to evaluate the joint properties differences. Standard metallographic
88 procedure (of grinding, polishing and chemical etching) is performed with chemical etching by
89 the solution of H₂O 50 cc, HCl 10 cc and 2 grams FeCl₃ for metallurgical characterization.
90 Computerized universal testing machine (INSTRON 5500R) is used to perform tensile/shear
91 test as per standard dimension shown in Fig. 1 (b), at a crosshead speed of 1 mm/min.



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Fig. 1 (a) Cu-Al overlap configuration with Zn interlayer; (b) tensile/shear sample, (c) dimensions of tool used for FSSW and first phase of MFSC and (d) dimensions of tool used in second phase of MFSC

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3. Results and discussion:

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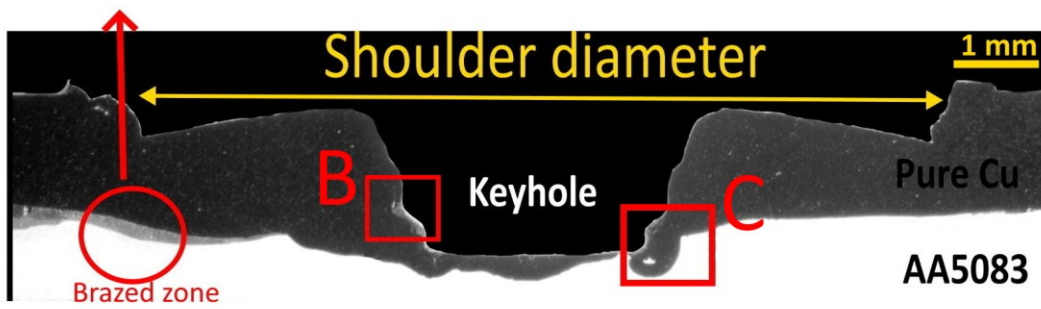
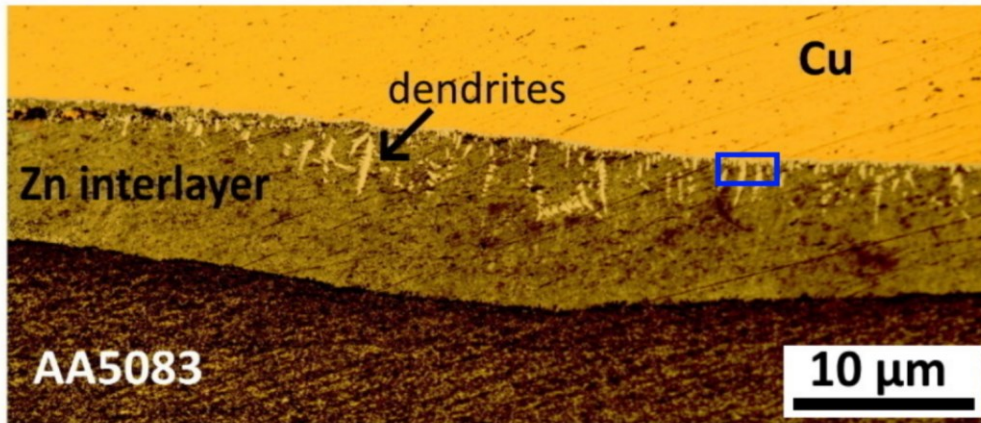
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Cu-Al joint by FSSW with Zn interlayer is shown in Fig. 2 with its cross-sectional macro view, microstructures, and SEM-EDX. The keyhole is clearly observed at the probe location while shoulder insertion depth as can be seen from Fig. 2 (a). However, no discontinuities are observed in the material mixing regions that are at the surrounding of keyhole's indentation. The stirring action is caused by probe where the mixing of materials can be observed from Fig. 2 (b) and (c) while the interface region under the shoulder and outside of stirring zone can be observed with Cu-Zn-Al brazed zone [see Fig. 2 (a)]. This brazed zone is observed with dendritic pattern of Zn alloying layer consists of Cu material penetration into it. This is caused due to subjected heat under shoulder surface with self-reacting phenomenon of Zn accompanied by localized melting and diffusion of Cu and Al materials. The diffusion participation of Cu-Zn-Al materials is evidenced by EDX analysis such as 15.31 % weight of Al, 32.79 % weight of Cu and 51.91 % weight of Zn at dendritic location as can be seen from Fig. 2 (a). The dendrite like shape at the transition region near the Cu-side is likely consists of IMCs of Cu_4Zn and $CuZn_5$ based on ternary diagram of Al-Zn-Cu that shows a coherent

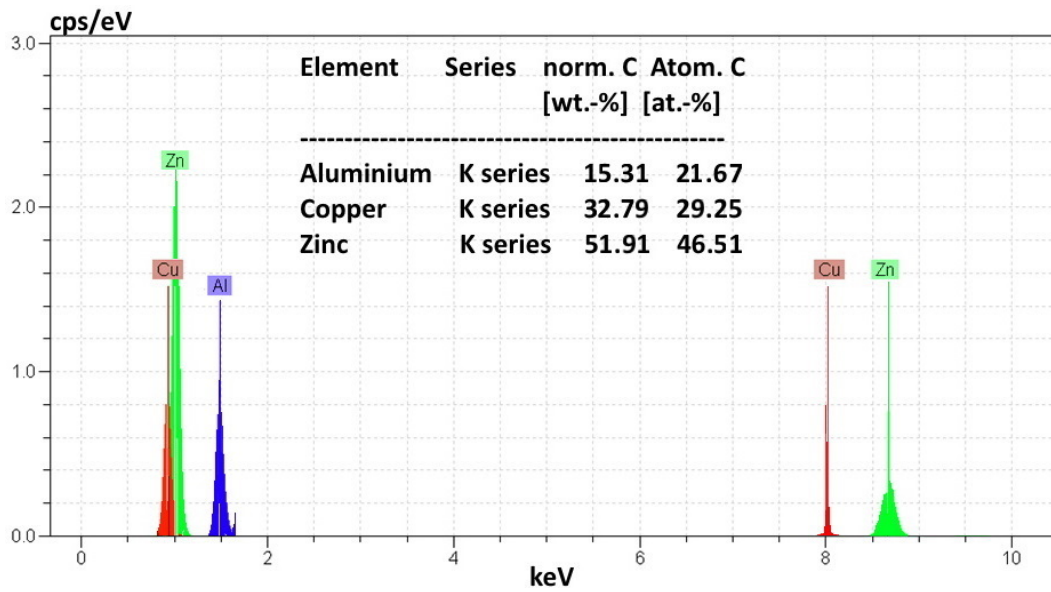
117 matching between the chemical compositions of the transition region and the Cu-phase. The
118 presence of these IMCs can also be correlated with diffusive temperature occurred in FSSW
119 process that is generally 80% of the melting temperature (i.e. around 400°C at the interface of
120 tool and workpiece). In case of Fig. 2 (a) this conducted temperature kept Cu-Zn-Al in solid
121 state and resulted in formation of IMCs in dendritic like shapes. Zn material is chemically
122 compatible with Al and Cu base materials to experience self-propagating reaction. Similar type
123 of self-reacting phenomenon of Zn with Cu and Al is observed in the published work of [22].
124 Besides, the stirring action caused by probe of tool is responsible for materials strain effects
125 with plastic deformation. This plastic deformation is subsequently responsible for joint
126 formation after recrystallization. Since the deformation behavior between Cu and Al is different
127 at subjected heating condition, the complex stir zone is observed in Fig. 2 (b) and Fig. 2 (c). It
128 can be seen that this stir zone is a location where materials mixing is successfully established.
129 However, differences in stir zone region is caused with no specific accumulation of single-
130 phase regions, representative of any phase segregation. This mixing is observed as complex in
131 terms of different fragments of Cu randomly mixing in Al matrix due to strong stirring action,
132 which is subsequently confirmed by elemental mapping as shown in Fig. 2 (d). However, the
133 presence of Zn is also found in large percentage that is obvious. Therefore, it can be said from
134 Fig. 2 (d) that the EDX elemental mapping shows that the atomic ratio of Al:Cu: Zn is about
135 2:2:1 that indicates the formation of complex compositions/phases such as
136 $(Al_2Cu+Al_4Cu_9+CuZn_5+Cu_4Zn)$ at this specific region. This stir region consists of different
137 local variations in microstructures can be treated as composite structure. Aforementioned
138 brazed layer may also present inside the stir zone in micro and nano level that in turn establishes
139 the metallurgical bonding of Al and Cu with Zn presence and hence that can prevent the
140 formation of the major discontinuities.

141 Stir zone of Fig. 2 (b) region is generated at the time of retraction phase of probe wherein the
142 Al material is also participated due to movements of stirring and retraction. Besides, stir zone
143 of Fig. 2 (c) is generated beneath the probe surface at the time of plunge phase that can be
144 indicated as the shearing bending patterns beneath the probe profile. The penetration of Cu
145 material in Al material can also be referred as mechanical hooking effect. This specific feature
146 beneath the keyhole is similar to squeezed flow patterns created by the extrusion forces induced
147 by probe of the tool. Higher deformation of Cu material at the corner of probe's surface can be
148 evidenced from Fig. 2 (c). However, no Cu ring formation occurred such as reported in
149 literature of [13, 14] that is considered as non-favorable features. The participation of Al-Zn-
150 Cu materials can also be seen from Fig. 2 (c)'s higher magnification image, wherein lamellar
151 eutectics are observed with metal matrix type composites structure with Al matrix and Cu
152 fragments. The bonding between Al-Cu with Zn intermediate material is expected with
153 formation of IMCs in this zone too. In the stir zone region at Al side (matrix region in Fig. 2
154 (b) and (c)), the grain refinement can be observed that resulted in ultrafine equiaxed grain
155 structure. The EDX elemental mapping of Fig. 2 (d) and (c) show uniformly distribution of
156 elements of Al, Cu and Zn within the stir zone region that subsequently indicates uniform
157 elemental interaction between each other. However, these interactions under subjected heating
158 and loading conditions form IMCs and eutectic phases with these interactions, which is
159 confirmed later with XRD analysis.

(a)

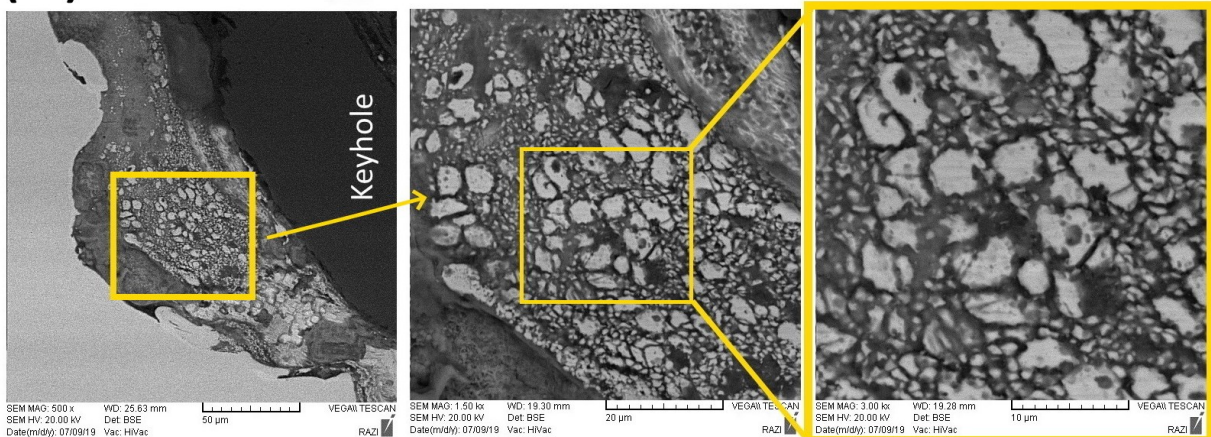


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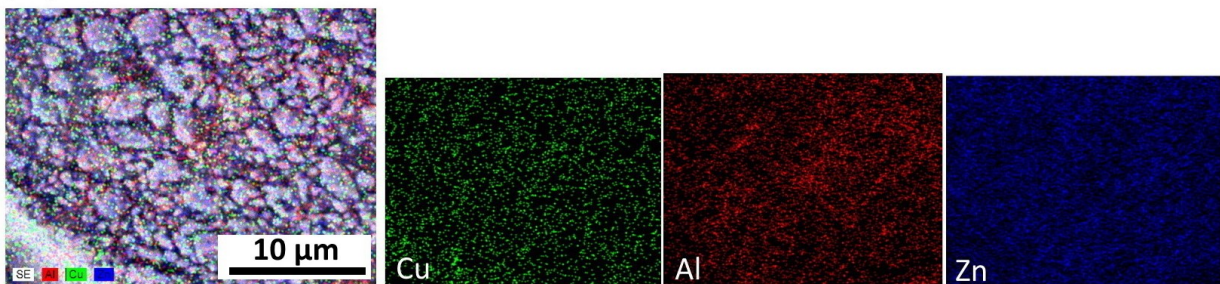
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(b)



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(d)



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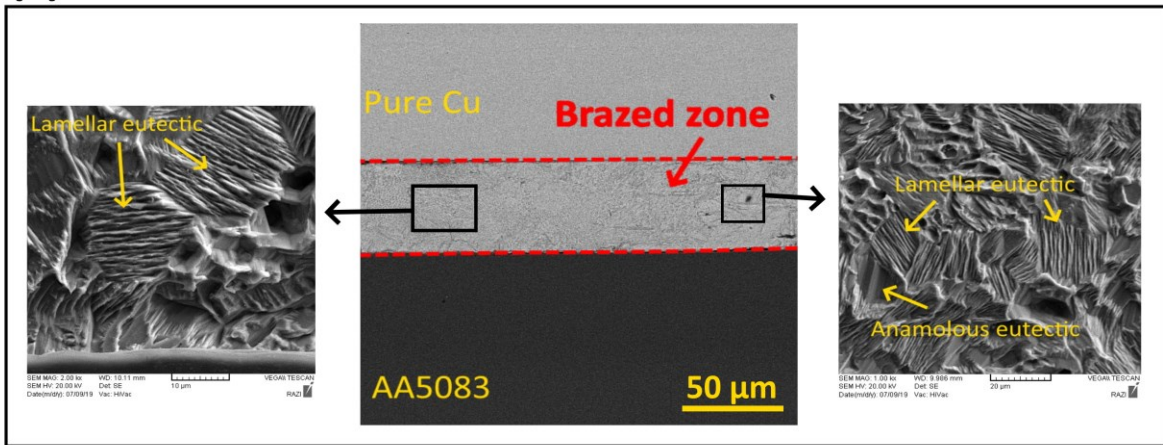
165 **Fig. 2 FSSW Cu-Al weld interface, (a) optical macrostructure and Al-Zn-Cu interface outside**
166 **of stir zone, (b) SEM images stir zone, (c) SEM images of stir zone and IMCs and (d)**
167 **elemental mapping of Image 2b.**

168 Cu-Al joint by MFSC with Zn interlayer is shown in Fig. 3 with its cross-sectional macro view,
169 microstructures, and SEM-EDX images. It can be seen from Fig. 3 (a) that the keyhole is
170 greatly filled when probe-less tool is subjected from the revert side of the clinched zone with
171 0.4 mm indentation of shoulder on workpiece of Al. The complex mixing can be evidenced
172 from Fig. 3 (a), wherein the materials experienced deformation two times with two different
173 phases such as (1) the stirring during first phase and (2) the forging during second phase.
174 During stirring action, the Cu is contacted by shoulder while probe is stirred and plunged up to
175 Al material through Zn interlayer that in turn extract the material downward in the die, whereas

176 the extracted material during first phase is forged towards keyhole cavity by reverting the
177 workpiece, wherein the shoulder is subjected to Al material that in turn make indentation of
178 shoulder's diameter. The refilling is caused due to intermixing between Al-Zn-Cu materials
179 with layered structures. These layers are of different size that are caused due to plastic
180 deformation by forging action at the time of second phase. Large bulk of each Al-Zn-Cu
181 materials is deformed that in turn resulted with lumped layers consists of those bulk materials.
182 Besides, no such lumped layers of Al-Zn-Cu are observed in case of FSSW as no refilling phase
183 is performed. The micro images of Fig. 3 (a) shows interpenetration of bulk material with
184 mechanical interlocking phenomenon in the center region where keyhole filling is performed.
185 Similar type of material occurred in case of keyhole repairing of Al-Mg FSW [18]. The
186 interface of bulk AA5083 and Cu in the same zone is observed with heterogeneous grain
187 refinements and formed a diffusive layer [refer SEM image of Fig. 3 (a)]. The presence of
188 IMCs and eutectics is expected in this region. Therefore, SEM with spot EDX are performed
189 as can be seen from Fig. 3 (a). From the elemental results [refer EDX (spot of A, B, C, D) and
190 SEM image of Fig. 3 (a)], it can be indicated that different phases and compositions are
191 presented at this interface. Presence of IMCs can be predicted from EDX elemental analysis of
192 point A, B and D. Point A is observed with 45.21 % weight of Al and 53.95 % weight of Cu
193 that can be predicted as IMC of CuAl/CuAl_2 . This phase is distributed in heterogenous way as
194 can be seen from SEM image of Fig. 3 (a). Point B indicates similar composition of Al and Cu
195 that shows distribution of similar IMC phase of CuAl/CuAl_2 within shown region of Al-Cu
196 interface. Point C shows elements of 93.87 % weight of Al and 6.13 % weight of Cu that
197 indicate Al rich solid solution in the form of matrix/solvent with small Cu solute. In case of
198 point D, 68.60 % weight of Zn, 24.47 % weight of Cu and 6.93 % weight of Al is observed in
199 EDX image that subsequently indicates formation of Zn rich IMC of CuZn_5 . However, the
200 exact phase formation is identified by XRD analysis that is presented later in subsequent

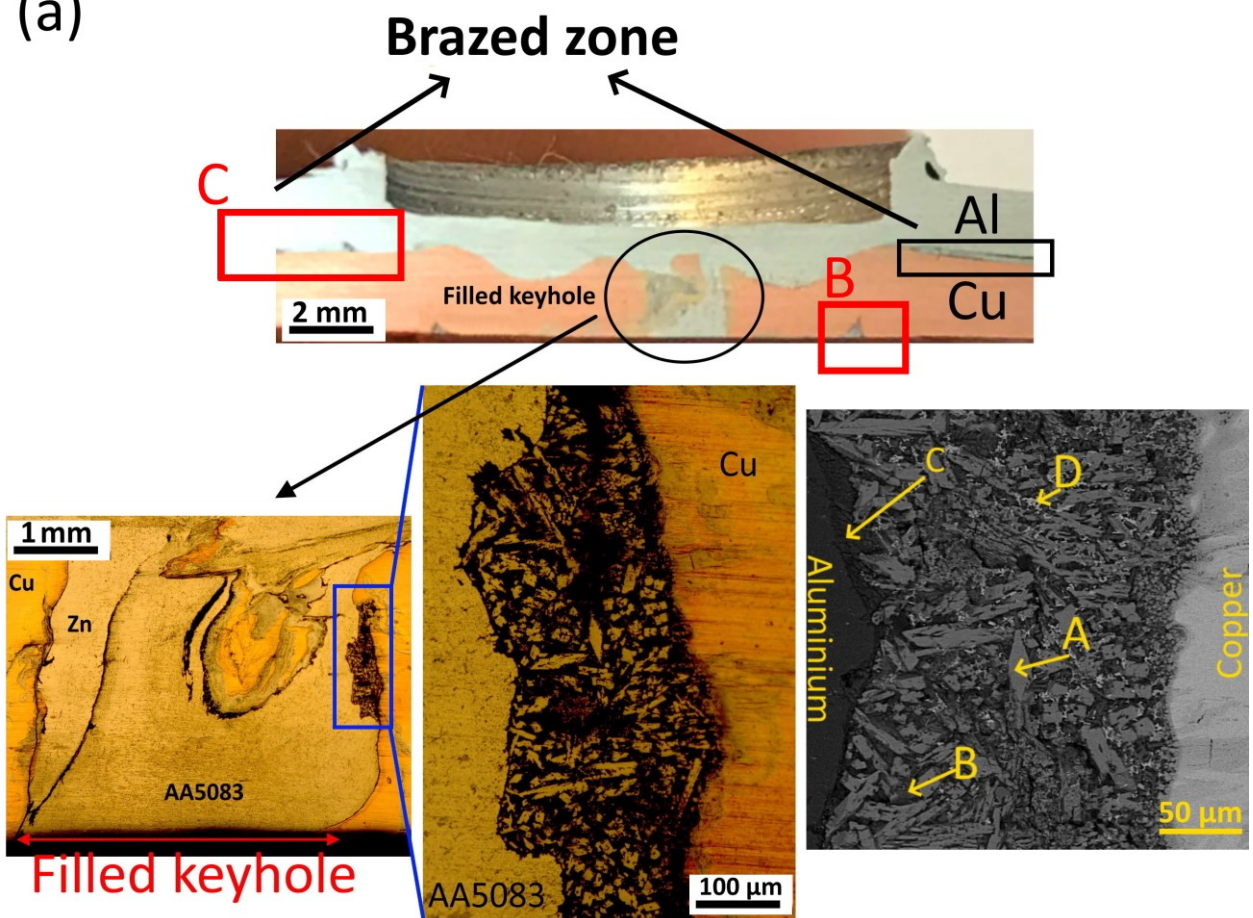
201 section. Solid state diffusion is driving phenomenon for the formation of these IMCs and other
202 phases [23]. In case of FSSW of Al-Cu, the formation of IMCs are restricted to a small zone
203 of stirring action while in case of MFSC, the formation of IMCs can also be expected in the
204 filled keyhole zone. On the other hand, outside of refilled zone, the Zn interlayer is brazed with
205 Al and Cu similar to observed with FSSW. However, the formation of lamellar eutectics and
206 anomalous eutectic are clearly observed in large amount in this zone that in turn confirms
207 brazing of Zn with individual interactions of Al and Cu. This lamellar morphology of grain
208 structure also attributed to the mixed chemical composition of the eutectic region due to an
209 interaction between Al-Zn-Cu, which is resulting in the formation of a new eutectic phase at
210 the interface bonding layer of dissimilar Al-Cu weld [22]. The self-reaction of Zn is occurred
211 within conducted heat from shoulder interaction at the time of friction stir clinching as well as
212 refilling phases. Compare to FFSW Al-Cu brazed zone, the brazed zone of MFSC Al-Cu is
213 found more uniform. In **Fig. 2** (b), the indented cavity towards Cu side is found with Zn rich
214 solid solution such as (point E: 73.39 % weight of Zn, 15.15 % weight of Cu and 5.30 % weight
215 of Al) and (point F: 68.18 % weight of Zn, 13.99 % weight of Cu and 17.83 % weight of Al).
216 This is occurred at the time of first phase wherein stick material on tool (i.e. used tool with Al-
217 Zn-Cu combination) is deposited in-side the cavity when the first phase of MFSC is performed.

(c)

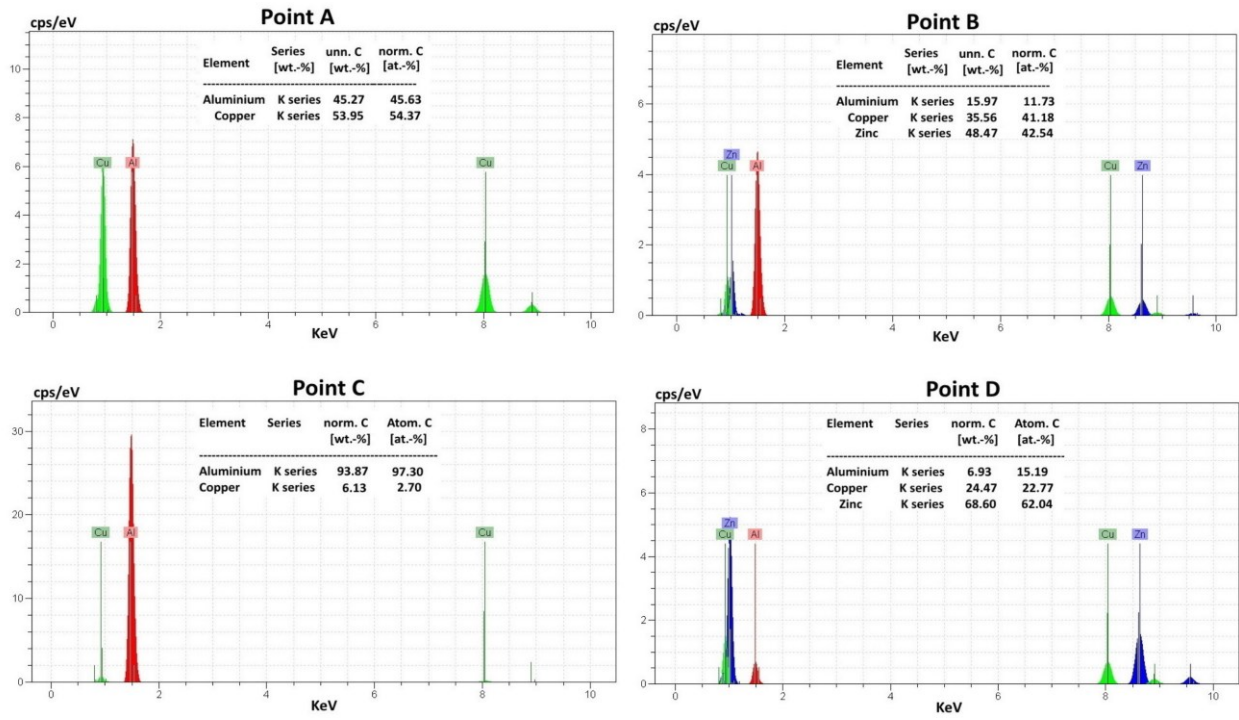


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(a)

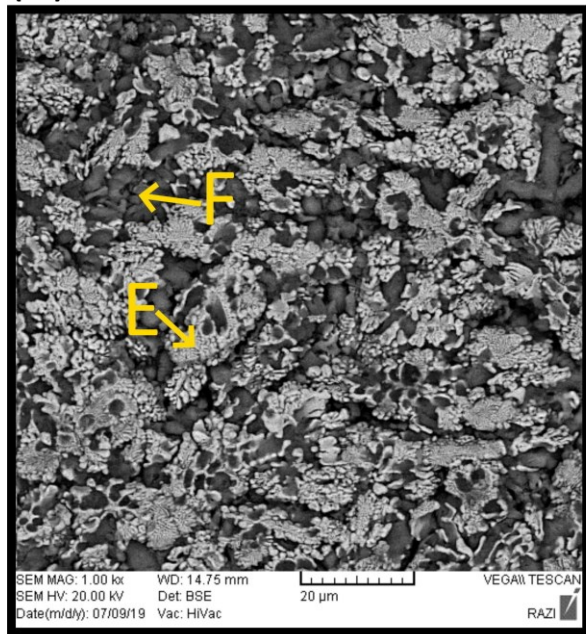


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(b)



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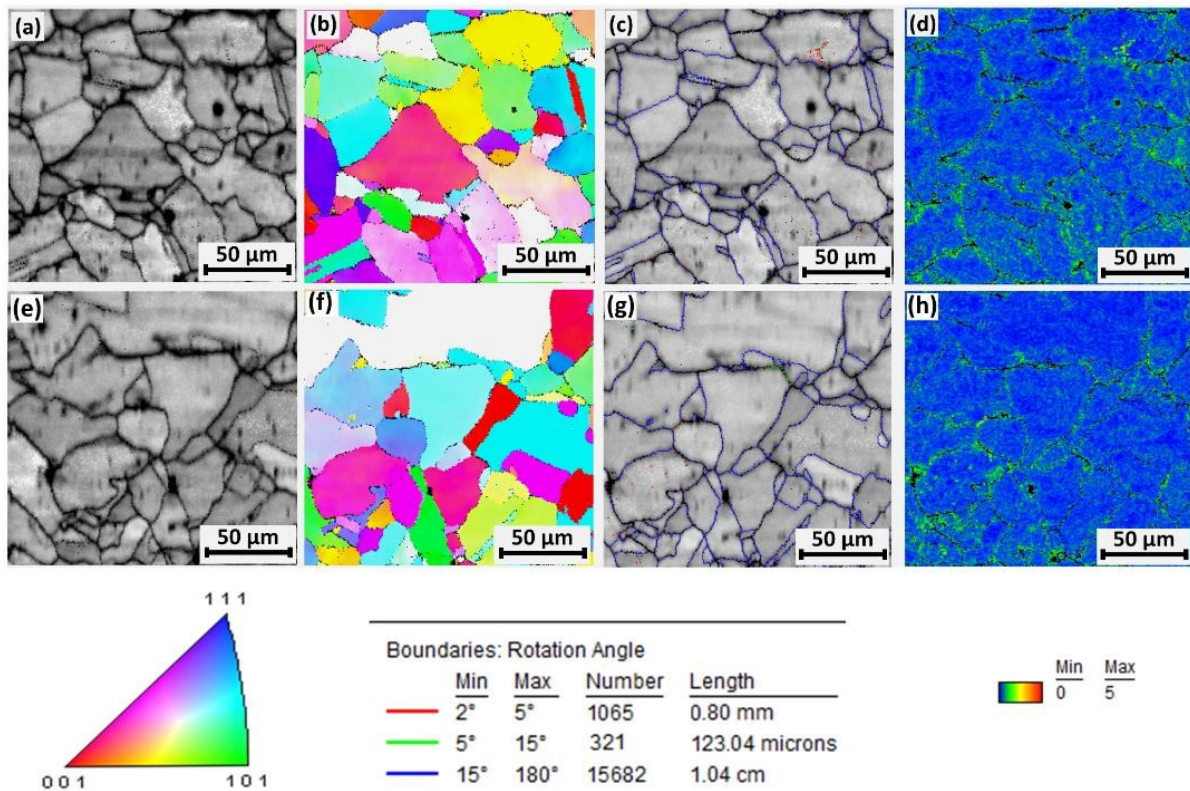
Element	Series	unn. C [wt.-%]	norm. C [wt.-%]	Atom. C [at.-%]
Point E				
Aluminium	K series	5.30	4.77	6.87
Copper	K series	15.15	14.51	17.19
Zinc	K series	73.39	80.71	75.94

Element	Series	unn. C [wt.-%]	norm. C [wt.-%]	Atom. C [at.-%]
Point F				
Aluminium	K series	17.83	13.68	29.27
Copper	K series	13.99	15.72	14.21
Zinc	K series	68.18	70.60	56.52

222 **Fig. 3. MFSC Al-Cu weld interface, (a) Optical macrostructure, keyhole filled zone and spot**
 223 **EDX results, (b) SEM images from brazed zone, (c) SEM images and elemental distribution**
 224 **underneath of filled keyhole.**

225 **Fig. 4** shows microstructural differences of stir zone towards Cu side for FSSW and MFSC
 226 welds represented by (a)-(d) and (e)-(h) respectively. It can be seen that no major variations in

227 grains of FSSW are observed Fig. 4 (a), whereas variations in grain size is observed for MFSC
228 condition [see Fig. 4 (e)]. These differences are in line with aforementioned differences in
229 processing conditions where intense and severe deformation is occurred in stir zone of FSSW
230 that subsequently resulted with equiaxed uniform grains. Besides, second phase of MFSC is
231 performed wherein crushing of material is carried out with large bulk of base material without
232 stirring effect that subsequently resulted with differences in grain size. Inverse pole figure (IPF)
233 comparison between Fig. 4 (b) and Fig. 4 (f) show that crystal orientations are also random due
234 to complex processing between dissimilar materials of Al and Cu with Zn interlayer. In case of
235 FSSW, the grains of IPF maps are majorly in between [111] and [001] with few grains in [101],
236 whereas the grains of IPF maps in case of MFSC are more in between [101] to [111] with
237 presence of few grains in [001]. In both of the processing conditions, [001] grains and other
238 grains composed of [101] and near [101] are showing twinning behavior that is typically
239 observed in Cu material. Low angle grain boundaries of 1065 with 0.80 mm length in between
240 2° to 5° are observed, whereas the grain boundaries of 321 with 123.04 microns in between 5°
241 to 15° are observed in case of FSSW. High angle grain boundaries of 15682 with 1.04 cm
242 length in between 15° to 180° are observed [refer Fig. 4 (c)-(d), (g)-(h)]. Additionally, some
243 amount of grain misorientation can also be observed in case of MFSC compared to the FSSW
244 sample due to strong stirring action.



245

246 **Fig. 4** Microstructural differences in stir zone toward Cu side (a)-(d) FSSW and (e)-(h)

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MFSC.

248 **Fig. 5** shows details on failure load of tensile testing, fracture surface analysis by SEM image

249 and XRD analysis for phase identification. Despite of bulk material evidence in weld zone (i.e.

250 keyhole filled zone) in case of MFSC, higher tensile-shear failure load of 7369 N is observed

251 [see **Fig. 5 (a)**]. The failure load of tensile-shear testing is 4597 N in case of FSSW even after

252 intense stirring-mixing process [see **Fig. 5 (a)**]. The tensile strength is increased by about 40%

253 for the MFSC, as compared to the FSSW. This is attributed to keyhole effect that is a big major

254 difference between FSSW and MFSC. During tensile testing, the specimens are fractured from

255 keyhole surface as the volumetric material is missing and stresses are concentrated at the point

256 of keyhole's surface. Besides, the MFSC tensile specimens are fractured from interface

257 (refilled zone) region. The fractured surface of tensile specimen from the weld of MFSC sample

258 is shown in **Fig. 5 (b)**, wherein the features of large flat surfaces and dimples are evidenced. In

259 general, Al and Cu alloys show ductile fracture mode because of its maximum slip systems of

260 face centered cubic (FCC) structure. In FCC metal, flat and smooth fracture surface can be
261 possible after tensile testing where its ductility is consumed by severe plastic deformation.
262 However, in this case of Al-Cu joining, dominant brittle fracture with local ductile fractures
263 modes can be predicted due to formation of IMCs. The brittle fracture mode is caused due to
264 IMCs formation at the interface region as predicted in previous discussion. These IMCs are
265 hard and brittle in nature that are prone to create cracks in loading conditions [1, 5, 23].
266 Therefore, the tensile specimens are fractured with brittle fracture mode indications. The
267 formation of IMCs is confirmed by XRD analysis as shown in Fig. 5 (c). From the XRD
268 analysis, it can be seen that the welding zone is complex mixture of different phase and
269 compositions, wherein binary phase of Al-Cu materials such as Al_2Cu and Al_4Cu_9 along with
270 binary phase of Cu-Zn such as $CuZn_5$ and Cu_4Zn are observed. An obvious presence of Al and
271 Zn single phases are also reported. These phases are in line with above discussions supported
272 by SEM-EDX analysis and are also matching with published literature of [16, 22]. This
273 subsequently also proves local intermixing and solid-state diffusion with favorable processing
274 conditions required to obtain bonding between dissimilar materials.

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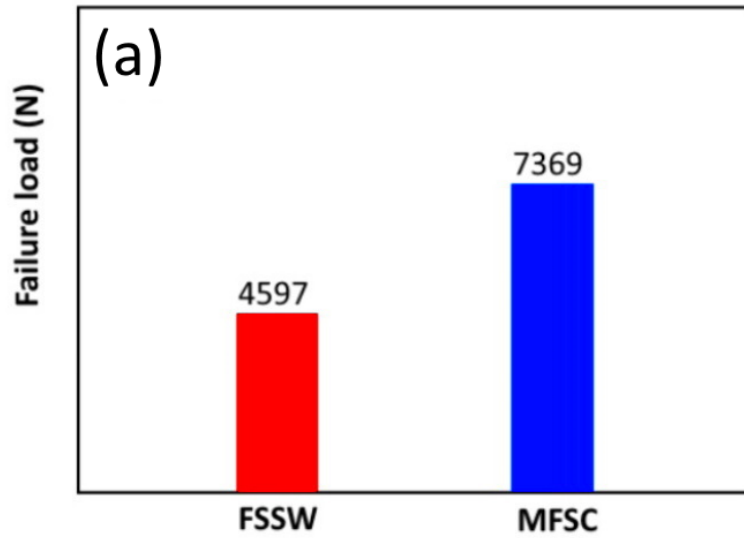
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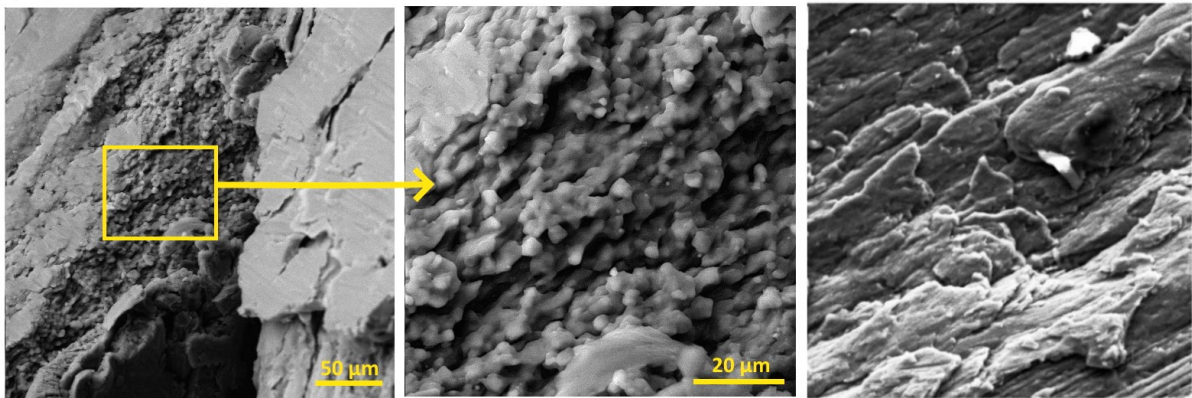
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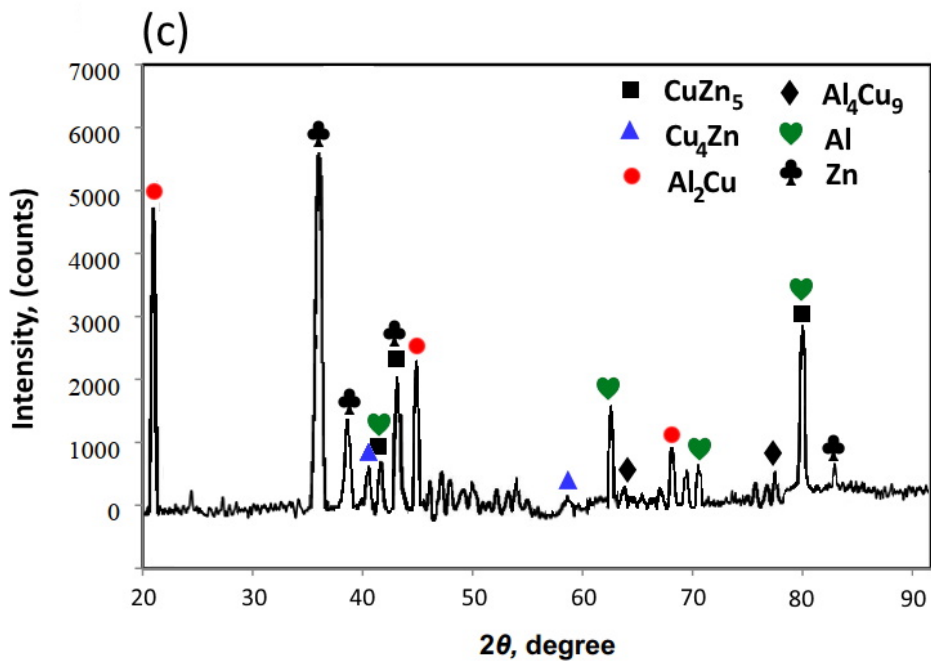


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(b)



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284

285 **Fig. 5** (a) Failure load details of FSSW and MFSC of Al-Cu joints, (b) fractured surfaces and

286

(c) XRD analysis of MFSC.

287 **4. Conclusions**

288 Friction stir spot welding (FSSW) and modified friction stir clinching (MFSC) are successfully
289 performed to obtain sound dissimilar Cu-Al joints using Zn interlayer material. Self-reacting
290 behavior of Zn with Al-Cu combination in solid state processing is observed to obtain sound
291 welds resulted from intermixing in stir zone (in FSSW), refilled zone (in MFSC) and brazed
292 zone (in both FSSW and MFSC). MFSC is used to fill the cavity of keyhole with Al-Zn-Cu
293 bulk material participation that in turn increased 40 % strength of dissimilar Cu-Al joints.
294 Presence of lamellar eutectics in brazed zone and intermetallic compounds such as Al_2Cu ,
295 Al_4Cu_9 , $CuZn_5$ and Cu_4Zn in weld zone are confirmed in Cu-Al MFSC joints.

296

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301 committee Yulin in 2019.

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