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Abel Arredondo-Galeana<sup>1</sup>, Gerrit Olbert<sup>2</sup>, Weichao Shi<sup>1</sup>, Feargal Brennan<sup>1</sup>

1 Department of Naval Architecture, Ocean & Marine Engineering, University of Strathclyde, UK

2 Institute for Fluid Dynamics and Ship Theory, Hamburg University of Technology, Hamburg, Germany

## **Corresponding Author:**

Abel Arredondo-Galeana, [abel.arredondo-galeana@strath.ac.uk](mailto:abel.arredondo-galeana@strath.ac.uk)

**Department of Naval Architecture, Ocean & Marine Engineering  
University of Strathclyde  
Henry Dyer Building  
100 Montrose Street  
Glasgow, G4 0LZ, U.K.  
Tel: 0141 548 3237**

# Near wake hydrodynamics and structural design of a single foil cycloidal rotor in regular waves<sup>★</sup>

Abel Arredondo-Galeana<sup>a,\*</sup>, Gerrit Olbert<sup>b</sup>, Weichao Shi<sup>a</sup> and Feargal Brennan<sup>a</sup>

<sup>a</sup>Department of Naval Architecture, Ocean and Marine Engineering at the University of Strathclyde, Glasgow, UK

<sup>b</sup>Institute for Fluid Dynamics and Ship Theory, Hamburg University of Technology, Hamburg, Germany

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## ABSTRACT

We present a hydrodynamic and structural model to design a single foil wave cycloidal rotor in regular waves. The hydrodynamic part considers potential flow and represents the foil as a point vortex. The effect of the point vortices left on the wake of the foil and a correction for flow separation are considered. The structural part utilises beam theory to compute the bending moments and stresses on the foil of the cyclorotor. The validity of the hydrodynamic model is explored in attached and vortical flow conditions with the aid of CFD. Results show that the hydrodynamic model estimates the mean loading on the foil within 15% for attached flow conditions, whilst it underpredicts the loads in vortical flow conditions. Furthermore, large excursions from the mean load are found due to vortex shedding in the latter. Because the optimal structural operation of the rotor is in attached flow conditions, we utilise the coupled model to design a rotor that operates optimally for a range of different sea conditions. We find that with careful dimensioning of the radius and span, power extraction in regular waves can be optimised, whilst the structural penalty is kept constant at the allowable stress level.

*Keywords:* wave energy converters, wave cycloidal rotor, attached and vortical flow, potential flow, beam theory, structural design

## 1. Introduction

Wave cycloidal rotors are a novel type of wave energy converter (WEC) that have gained a rejuvenated level of attention over the past years [41, 42, 5, 20, 35, 13]. Although the pioneering idea of extracting wave energy through the rotational motion of a submerged foil and the use of lift forces dates back to the early 90s [25, 14], recent efforts of the Atargis group in America and the LiftWEC consortium in Europe, have brought this technology closer to commercialisation.

The concept of a wave cycloidal rotor consists of a foil that rotates under a wave. The span of the foil is oriented parallel to the crest of the wave, and through rotational motion, the foil interacts with the wave particle velocity to produce lift and drag forces. Provided that the lift to drag ratio is high, the tangential component of the lift force drives the rotation of the foil and energy is extracted.

This type of WECs are classified as lift based wave energy converters [28, 22]. Because their operation is based on lift forces, the hydrodynamic and structural challenges encountered in the marine environment are unique, but can

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\*Corresponding author

✉ [abel.arredondo-galeana@strath.ac.uk](mailto:abel.arredondo-galeana@strath.ac.uk) (A. Arredondo-Galeana); [gerrit.olbert@tu-hamburg.de](mailto:gerrit.olbert@tu-hamburg.de) (G. Olbert); [weichao.shi@strath.ac.uk](mailto:weichao.shi@strath.ac.uk) (W. Shi); [feargal.brennan@strath.ac.uk](mailto:feargal.brennan@strath.ac.uk) (F. Brennan)

ORCID(s): 0000-0001-7511-2910 (A. Arredondo-Galeana); 0000-0001-9730-7313 (W. Shi); 0000-0003-0952-6167 (F. Brennan)

13 also draw inspiration on other type of lift based energy devices. In contrast, for example, to vertical axis wind turbines  
14 (VAWTs), the operation of a wave cycloidal rotors in attached or separated flow is not fully understood. However,  
15 it is expected that similarly to VAWTs, the type of flow regime will have a significant effect on the hydrodynamic  
16 and structural performance of the rotor. Furthermore, the structural reliability of the foils is a critical aspect to reach a  
17 commercial stage. In particular, the unsteady loading on the foils impose large bending stresses that can cause premature  
18 mechanical failure.

19 Therefore, it is important to develop analytical tools that can assist in the design of this type of WECs, and that  
20 use physics informed observations to provide robustness in the design methodologies. To this date, analytical models  
21 that study wave cycloidal rotors have almost exclusively been used to assess wave radiation downstream of the device  
22 [41, 42, 18] and have not had a widespread use to assess structural loading. Additionally, to the best knowledge of  
23 the authors of this paper, no physics informed based approach is available in the literature of wave cycloidal rotors.  
24 Therefore, in this paper, we address these research gaps and develop an analytical model to assess structural loading  
25 on a single foil rotor, and utilise a physics informed approach to explore the flow regime where the analytical model is  
26 valid.

27 Concretely, we study a single foil wave cycloidal rotor operating in two flow regimes: attached and vortical flow  
28 conditions. We assess the structural implications that these two flow regimes have in the loading of the foil. The use of  
29 an analytical model, which accounts for unsteady wake effects and flow separation, is assessed in these two flow regimes  
30 to predict forces on the foil. Subsequently, we utilise the analytical model to provide structural design guidelines for  
31 large scale wave cyclorotors to chose the operational phase and radius of the rotor that optimise power extraction, and  
32 also, the span of the foil that allows the bending stresses to remain below the allowable stress level.

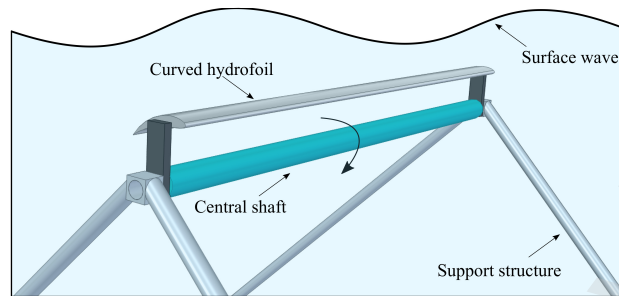
33 The work presented on this papers is a first attempt in the literature of wave cycloidal rotors to deploy a physics  
34 informed based approach to evaluate the range of applicability of a low order potential flow model, in order to design  
35 the cyclorotor with a hydrodynamic and structural balanced approach.

## 36 **2. Principle of operation**

37 In this section we present the concept of the single foil wave cyclorotor used in this study. The rotor is shown in  
38 figure 1. It has a curved hydrofoil connected to a central shaft. The central shaft rotates due to the motion of the foil.  
39 The shaft is held by bearings that are embedded in triangular frames. These frames act as the support structure and  
40 are fixed to the seabed. The rotor operates in close proximity to the free surface but the foil remains submerged during  
41 operation.

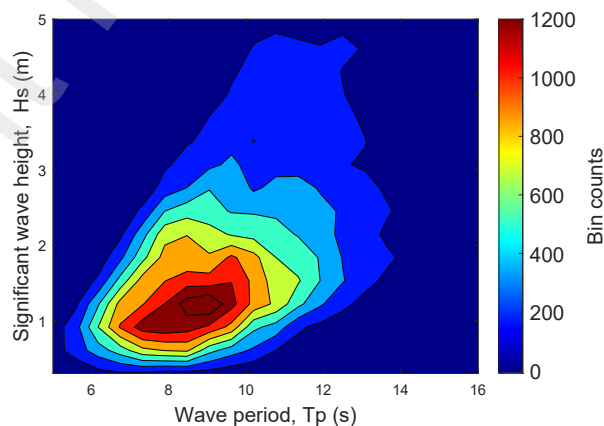
42 The hydrofoil has a uniform cross section along the span  $s$  and rotates following the wave orbital motion. The phase  
43 of the rotation is modulated so that it is different to that of the wave. This phase difference generates an inflow velocity

44  $w$  at an angle of attack  $\alpha$  and hence a lift force in the hydrofoil. Provided that  $\alpha$  does not exceed the stall angle of the  
 45 hydrofoil ( $\alpha_s$ ), then the  $L/D$  ratio remains high and the tangential component of  $L$  sustains the rotation of the foil.



**Figure 1:** LiftWEC wave bladed cyclorotor in operation near water surface and supported by two triangular frames.

46 The wave cyclorotor of this study is conceptually designed to operate in the Atlantic coast of France. According  
 47 to Sierra et al. [43], the range of mean energy periods  $T_e$  and wave heights  $H_s$  in this region over a range of 41 years  
 48 (1958-1999) lies within 8 to 10 s and 1 to 2 m, respectively. We compare these ranges to the values shown in the wave  
 49 scatter plot of figure 2. The figure shows the data corresponding to a point in the North Atlantic at the coast of France,  
 50 located at 47.84° N, 4.83° W. The figure shows  $T_p$  along the horizontal axis and  $H_s$  along the vertical axis. The data  
 51 is available from the Ifremer FTP server and contains directional spectral wave data for 10 years between 2000 and  
 52 2010 [1]. In the figure, the dominant  $T_p$  lies within 6 to 10 s, and the dominant  $H_s$  lies within 1 to 2 m. Therefore,  
 53 the Ifremer database is in agreement with the observations from Sierra et al. [43]. Because the Ifremer database has  
 54 the data available as wave energy  $T_e$  and we use  $T_p$  in our hydrodynamic computations, we convert  $T_e$  values to  $T_p$   
 55 values through  $T_e = \alpha T_p$ , where  $\alpha = 0.9$  for a Jonswap wave spectrum [15]. From figure 2, we select the wave design



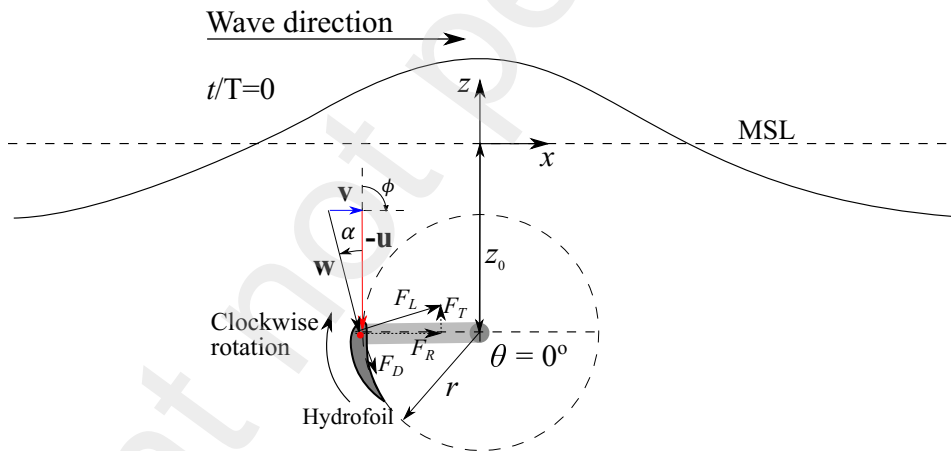
**Figure 2:** Scatter plot showing energy period  $T_p$  versus significant wave height  $H_s$  of seasonal data of a point in the North Atlantic at the coast of France, located at 47.84° N, 4.83° W,

56 conditions to be a point in the region where the highest counts are found. As such, the selected wave design conditions  
 57 are  $T_p = 9$  s and  $H_s = 1.2$  m.

### 58 3. Hydrodynamic model

59 We consider the single foil wave cycloidal rotor that was introduced in the previous section and we show its side  
 60 view in figure 3. The figure shows the single foil rotor at the normalised time period  $t/T_p = 0$  and at the azimuthal  
 61 position  $\theta = 0^\circ$ . The wave direction is from left to right and the wave particle motion is clockwise [12]. Hence, the  
 62 rotation of the foil is clockwise as well. The rotor has a radius  $r$  and a submergence depth  $z_0$  measured from the mean  
 63 sea level to the central shaft. The phase angle between the foil motion induced velocity  $-\mathbf{u}$  and the wave velocity  
 64 component  $\mathbf{v}$  is  $\phi$ . We refer to this phase angle as the operational phase. The relative velocity and the angle of attack  
 65 on the foil are  $\mathbf{w}$  and  $\alpha$ , respectively. The force components acting on the foil are lift ( $L$ ) and drag ( $D$ ), which can be  
 66 decomposed into the radial ( $R$ ) and tangential ( $T$ ) force components through  $\alpha$ .

67 Considering a large span hydrofoil with uniform cross section, we can assume two dimensional flow. The foil is  
 68 modelled as a single point vortex moving under the free surface. As such, we describe hydrodynamic model in the  
 69 following paragraphs.



**Figure 3:** Side view of a single foil wave cycloidal rotor showing the lift and drag forces ( $L$ ,  $D$ ) on the hydrofoil, the wave velocity  $v$ , the velocity due to the rotation of the hydrofoils  $u$ , the relative velocity  $w$  and the operational phase  $\phi$  at  $t/T = 0$ .

#### 70 *Point vortex model*

71 The complex potential of a free vortex under a free surface was derived by Wehausen and Laitone [48]. This  
 72 representation has been used in the literature of wave cyclorotors to model the foils as single vortices [25, 41, 18]  
 73 or to discretise the foils into multiple lump vortices [41, 18]. Here, we utilise the single point vortex representation

74 developed by Emarkov and Ringwood [18] for a two foil cyclorotor, but adapt the model to a single foil rotor. The  
75 model accounts for unsteady wake effects and in this paper, is corrected for flow separation.

76 Noteworthy, single point vortex methods have been predominantly used to predict surface elevation due to a foil  
77 near a free surface but have not been widely used to predict the loading of foils of a cyclorotor. For this reason, in this  
78 work, we will compare the single point vortex method results to numerical results obtained with the aid of CFD RANS  
79 simulations. This will enable us to assess the validity and limitations of the single point vortex model.

80 Concretely, the complex potential of a point vortex under a free surface [48] is given by

$$81 \quad F(p, t) = \frac{\Gamma(t)}{2\pi i} \text{Log} \left[ \frac{p - \zeta(t)}{p - \zeta'(t)} \right] + \quad (1)$$

$$\frac{g}{\pi i} \int_0^t \int_0^\infty \frac{\Gamma(\tau)}{\sqrt{gk}} e^{-ik(p - \zeta'(\tau))} \times \sin \left[ \sqrt{gk}(t - \tau) \right] dk d\tau,$$

82 where  $\Gamma(t)$  in the first term of equation 1 is the circulation of the point vortex that represents the foil, and  $\Gamma(\tau)$  in the  
83 second term is the circulation of the point vortices left in the wake of the foil,  $p$  is a point in the complex plane denoted  
84 by  $p = x + iz$ ,  $\zeta(t)$  is the position of  $\Gamma(t)$  and is defined as  $\zeta(t) = x_\Gamma + iz_\Gamma$ ,  $\zeta'(t)$  is the complex conjugate of  $\zeta(t)$  and  
85 denotes a mirror point vortex that imposes the impermeability condition on the free surface, such that  $\zeta(t)' = x_\Gamma - iz_\Gamma$ ,  
86  $g$  is the gravitational constant,  $k$  is the wave number,  $t$  is time,  $\tau$  is a time parameter that determines the influence of  
87 the wake vortices of circulation  $\Gamma$  on the velocity field at point  $p$  and  $\zeta'(\tau)$  is complex conjugate of  $\zeta(\tau)$ , where  $\zeta(\tau)$  is  
88 the position of each wake vortex.

89 Equation 1 can be simplified by solving analytically the integral over  $k$  of the second term with the Dawson's  
90 function. As such, Ermakov and Ringwood [18] propose the following expression:

$$91 \quad F(p, t) = \frac{\Gamma(t)}{2\pi i} \text{Log} \left[ \frac{p - \zeta(t)}{p - \zeta'(t)} \right] + \quad (2)$$

$$-\frac{2i\sqrt{g}}{\pi} \int_0^t \frac{\Gamma(\tau)}{\sqrt{i(p - \zeta'(\tau))}} D \left[ \frac{\sqrt{g}(t - \tau)}{2\sqrt{i(p - \zeta'(\tau))}} \right] d\tau.$$

92 By taking the derivative of equation 2, we obtain the complex velocity from the point vortex of circulation  $\Gamma(t)$  at  
93 point  $p$ , such that

$$94 \quad \frac{\partial F(p, t)}{\partial p} = \mathbf{q} = u_1 - iv_1 + u_2 - iv_2, \quad (3)$$

95 where the indices 1 and 2 correspond to the first and second term of equation 2, respectively. In equation 3,  $u_1$   
 96 and  $v_1$  represent the induced velocity components of the point vortex of circulation  $\Gamma(t)$  located at  $\zeta(t)$  at point  $p$ . In  
 97 contrast,  $u_2$  and  $v_2$  represent the induced velocity components of the vortices in the wake of the foil all with circulation  
 98  $\Gamma(\tau)$  at point  $p$ , during the interval  $\tau = t - T$  to  $\tau = t$ , where  $T$  is one cycle of rotation of the cyclorotor and is  
 99 considered to be the maximum life of the wake as observed in our CFD numerical simulations.

100 The explicit terms of equation 3 are included in Emarkov and Ringwood [19] for a two foil rotor. Here, because  
 101 we consider a single foil rotor,  $p$  and  $\zeta$  are the same, i.e. the point at which we evaluate the influence of  $\Gamma(t)$  is the  
 102 same point where  $\Gamma(t)$  is located. As such, only the terms related to the vortices in the wake of the foil ( $u_2$  and  $v_2$ ) are  
 103 considered. We note that  $u_2$  and  $v_2$ , account for the unsteady effect that the wake vortices impose in the near flow field  
 104 and the bound circulation of the foil. Although  $\Gamma$  is time dependent, here and similarly to previous studies [41, 42, 18],  
 105 the circulation of the vortices in the wake is considered constant initially, and computed through the Kutta-Joukowski  
 106 theorem ( $\Gamma = L/\rho w$ ). Then, their time dependant influence on the bound circulation of the foil is considered through  
 107 the Dawson function. Because the function is a decay function, it accounts for a reduction of the effect of each wake  
 108 vortex in the velocity field at point  $p$ , i.e. during the lifetime of the wake  $T$ , the further away the wake vortex is from  
 109 the foil, which occurs at  $t = t - T$ , the less its influence at point  $p$  is.

110 Once  $\mathbf{q}$  from equation 3 is determined, we consider also the influence of the velocity components due to the wave  
 111 and the rotation of the rotor. Figure 3 shows that in a simplified form, the relative velocity on the foil  $\mathbf{w}$  is given by the  
 112 velocity triangle formed between the wave velocity component  $\mathbf{v}$  and the velocity due to the rotation of the rotor  $-\mathbf{u}$ .  
 113 By considering also  $\mathbf{q}$ , then the relative velocity on the foil can be defined as

$$114 \quad \mathbf{w} = \mathbf{v} - \mathbf{u} + \mathbf{q}. \quad (4)$$

115 To compute  $\mathbf{u}$ , let us consider the rotor of radius  $r$  from figure 3. The position of the point vortex that represent the  
 116 foil is given by

$$117 \quad x = -r \cos(\theta(t)) \quad (5)$$

118 and

$$119 \quad z = z_0 + r \sin(\theta(t)), \quad (6)$$

120 where  $z_0$  is the submergence of the rotor,  $\theta(t)$  is the angular position measured with respect to the negative horizontal  
 121 axis and positive clockwise. The horizontal and vertical velocity components of the point vortex ( $u_x, u_z$ ) are

$$122 \quad u_x = \omega r \sin(\theta(t)) \quad (7)$$

123 and

$$124 \quad u_z = \omega r \cos(\theta(t)), \quad (8)$$

125 where  $\omega$  is the rotational frequency of the rotor. Note that the induced velocity due to the rotation of the point vortex  
 126 is  $-\mathbf{u}$ , therefore we consider  $-u_x$  and  $-u_z$ .

127 The wave velocity  $\mathbf{v}$  and its horizontal and vertical components ( $v_x, v_z$ ) are determined assuming deep water linear  
 128 wave equations [2], such that

$$129 \quad v_x = \frac{\pi H}{T} e^{kz} \cos(kx - \omega t) \quad (9)$$

130 and

$$131 \quad v_z = \frac{\pi H}{T} e^{kz} \sin(kx - \omega t), \quad (10)$$

132 where  $H$  is the wave height,  $T$  is the wave period,  $k$  is the wave number,  $x$  and  $z$  denote the position of the hydrofoil,  
 133 as defined by equations 5 and 6, respectively. In the numerical computations, the wave number  $k$  is computed with the  
 134 dispersion relationship [31], whilst  $H = H_s$  and  $T = T_p$ .

135 The angle of attack ( $\alpha$ ) is defined as the angle between the relative velocity  $\mathbf{w}$  and the rotational velocity of the  
 136 rotor  $\mathbf{u}$ . As such,  $\alpha$  is given by

$$137 \quad \alpha = \sin^{-1} \left[ \frac{\|\mathbf{w} \times \mathbf{u}\|}{\|\mathbf{w}\| \|\mathbf{u}\|} \right]. \quad (11)$$

138 We define positive  $\alpha$  anticlockwise as depicted in figure 3. The lift and drag force on the foil are

$$139 \quad L = \frac{1}{2} C_L \rho c s |w|^2 \quad (12)$$



140 and

$$141 \quad D = \frac{1}{2} C_D \rho c s |w|^2, \quad (13)$$

142 respectively, where  $C_L$  is the lift coefficient,  $C_D$  is the drag coefficient,  $\rho$  is the fluid density,  $c$  is the chord length of  
 143 the foil,  $s$  is the span and  $|w|$  is magnitude of the relative velocity on the foil. The tangential force on the hydrofoil is  
 144 defined as

$$145 \quad T = L \sin \alpha - D \cos \alpha \quad (14)$$

146 and the radial force is

$$147 \quad R = L \cos \alpha + D \sin \alpha. \quad (15)$$

148 Because  $T$  is dependent on the angular position of the point vortex ( $\theta$ ), the average tangential force  $\bar{T}$  is expressed  
 149 as:

$$150 \quad \bar{T} = \frac{1}{2\pi} \int_0^{2\pi} T(\theta) d\theta. \quad (16)$$

151 The total mean torque ( $\bar{Q}$ ) is

$$152 \quad \bar{Q} = r \bar{T}, \quad (17)$$

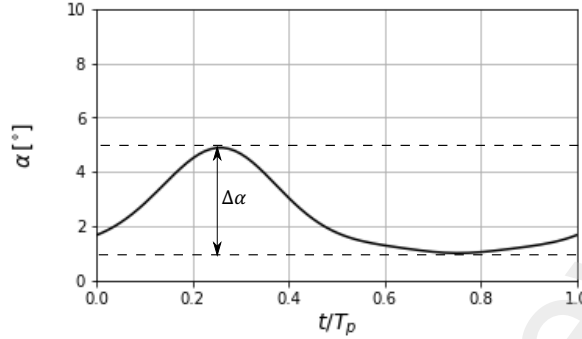
153 and finally, the mean power output is

$$154 \quad \bar{P} = \bar{Q} \omega. \quad (18)$$

### 155 *Angle of attack oscillations ( $\alpha$ )*

156 In figure 4, we present the angle of attack oscillations ( $\alpha$ ) throughout one period of revolution at  $\Delta\varphi = 90^\circ$  for  
 157 the single foil of the cyclorotor, as predicted by the single point vortex model. It can be seen, that the maximum angle  
 158 of attack oscillation occurs when the foil is closest to the water surface. Furthermore, the oscillations are asymmetric,  
 159 i.e. they deviate more from the mean  $\alpha$  in the first half of the cycle and less in the second half. This is reminiscent of  
 160 the oscillations that occur in cross flow turbines [4, 30]. Although in the example of figure 4, the amplitude of  $\alpha$  is not

161 severe, it is likely that under different wave conditions the oscillations of  $\alpha$  increase and dynamic stall - the ability of  
 162 a rotor to maintain lift through severe angles of attack and in the presence of vortex shedding [39] - occurs.



**Figure 4:** Angle of attack oscillations ( $\alpha$ ) in hydrofoil 1 for one period of revolution at design sea state conditions.

163 Hence, because dynamic stall is not accounted for in the single point vortex model, a case where the flow remains  
 164 attached and a case where dynamic stall is likely to occur will be investigated in this paper with CFD simulations. This  
 165 with the aim to assess the validity of the point vortex model in different scenarios. This type of assessment has not  
 166 been carried out in the literature of wave cycloidal rotors. As a second novel contribution of this work, we enhance the  
 167 single point vortex model by incorporating a correction for flow separation. We describe the correction in the following  
 168 paragraphs.

### 169 *Flow separation*

170 To account for flow separation effects, we utilise the modified version of the Leishman-Beddoes method, as  
 171 implemented by [39, 10]. In summary, the correction establishes a relationship between the trailing edge separation  
 172 point and the normal force coefficient  $C_N$  on the foil. In the case of the foil of the cyclorotor,  $C_N$  is the radial force  
 173 coefficient  $C_R$ , as per the notation used in equation 15. The correction is implemented through Kirchoff's theory. The  
 174 coordinate of the separation point measured from the leading edge of the foil  $x_f$  is normalised by the chord length  $c$ ,  
 175 such that  $f = x_f/c$ . A fully attached boundary layer yields  $f = 1$ , whilst a fully detached one yields  $f = 0$ . The radial  
 176 force coefficient is then computed as

$$177 \quad C_R = a_0(\alpha - \alpha_0) \left( \frac{1 + \sqrt{f}}{2} \right)^2, \quad (19)$$

178 where  $a_0$  is the lift curve slope of the foil and  $\alpha_0$  is the angle of zero lift. Although  $a_0$  and  $\alpha_0$  data are not available for  
 179 curved foils, it is expected that a curved foil generates zero drag as it moves along the circumference of rotation of the  
 180 cyclorotor. As such, the curved foil is expected to behave as a symmetric foil in straight flight. Therefore, we consider

181  $a_0 = 2\pi$  and  $\alpha_0 = 0^\circ$ . Both values are based on the lift curve slope of a NACA 0015 foil at  $Re \geq 250,000$ . The latter  
 182 covers the range of  $Re$  of the test cases presented subsequently in §6. Equation 19 is solved for  $f$ . In the equation,  $C_R$   
 183 is computed with static  $C_L$  and  $C_D$  data from a NACA 0015 at the  $Re$  at which each test of this paper is studied.

#### 184 4. CFD model

185 The point vortex method applied in this work represents a numerically efficient approach to design wave cycloidal  
 186 rotors. However, the consideration of a number of effects in cyclorotor hydrodynamics such as surface drag, wake  
 187 vorticity and flow separation is of empirical nature. In order to obtain an understanding of the reliability of the point  
 188 vortex method in design of the cyclorotor, we conducted CFD numerical simulations.

189 The simulation of wave energy converters in CFD based numerical wave tanks allows the advantage that aperiodic,  
 190 non linear and viscous effects are inherently considered. With increasing availability of computational resources, these  
 191 methods have increased in popularity for the investigation of specific hydrodynamic effects of wave energy converters  
 192 [49]. As such, the numerical model employed in the presented work corresponds to the setup described and validated  
 193 in [34].

194 The fundamental equations which form the basis of the CFD model are the Navier Stokes and the continuity  
 195 equation, such that

$$196 \quad \rho \frac{\delta \mathbf{u}}{\delta t} + \rho \mathbf{u} \cdot \nabla \mathbf{u} = -\Delta p + T + \rho \mathbf{f}_b \quad (20)$$

$$198 \quad \nabla \cdot \mathbf{u} = 0, \quad (21)$$

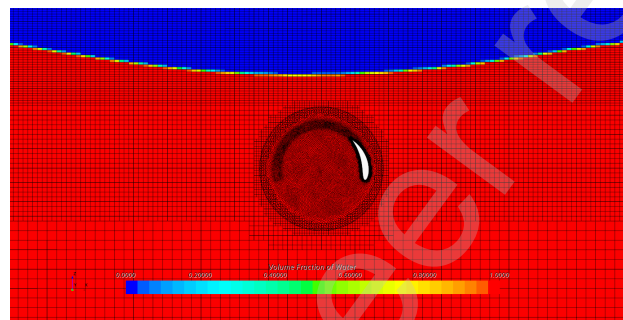
199 respectively. In equation 20, the two terms on the left hand side represent the local and the convective acceleration,  
 200 respectively, and  $\nabla$  is the del operator and  $\mathbf{u}$  is the velocity vector. On the right hand side of equation 20,  $-\Delta p$  is the  
 201 pressure gradient,  $T$  is the viscous term and  $\rho \mathbf{f}_b$  represents the body force. The formulations shown in equations (20)  
 202 and (21) assume the fluid as incompressible, and they describe the conservation of momentum and mass in the fluid,  
 203 respectively.

204 The solution of the equations is achieved by employing a finite volume based approach. The numerical domain is  
 205 discretised by a finite number of cells and the equations are solved for pressure and velocity in each cell. As described  
 206 in [34], the Reynolds averaged form of the Navier Stokes equation (RANS) can be employed for modelling of the  
 207 cyclorotor, as it allows to significantly reduce the computational time. Turbulence modelling is performed through a  
 208 modified version of the  $k\omega SST$ -model originally presented in [33]. The model is extended by the turbulence limiters

209 proposed in [29] and [16] to prevent an overproduction of turbulence in the vicinity of the free surface and near the  
 210 stagnation points of the foil.

211 The multi phase flow problem is treated using a Volume of Fluid (VoF) approach [26]. The two phases (air and  
 212 water) are treated as immiscible, while local flow properties such as density or viscosity are approximated as cell  
 213 averaged values using the volume fraction  $\gamma$ . This variable indicates to which degree a cells is filled with water (1  
 214 meaning only water in cell) and is treated numerically with a transport equation in analogy with (21).

215 In all simulations presented in this study, the free surface interface is resolved with 120 cells per wavelength and  
 216 15 cells per wave height. Stepwise coarsening of the mesh structure away from the free surface is applied as proposed  
 217 in [38]. A section of the mesh in the vicinity of rotor and free surface is shown in figure 5.

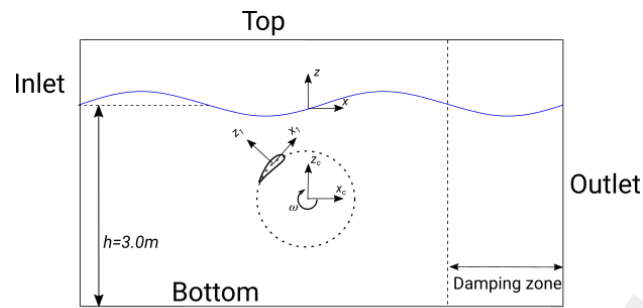


**Figure 5:** Volume fraction and grid resolution in CFD-simulation of single foil rotor in regular wave.

218 The numerical domain is split into two parts to model rotor motion: a background domain, which is fixed in space,  
 219 and an overset domain, which rotates around the rotor axis at constant velocity. The foil geometries are embedded in the  
 220 latter, resolved with 140 cells per chord length. Normal to the wall, the dimensionless wall distance is kept at  $y^+ < 0.3$   
 221 due to the comparatively low Reynolds number of the investigated model scale cyclorotor. A growth factor of 1.1 is  
 222 employed in all simulations. The radius of the overset domain is  $1.4r$ . At the interface of the domains, the solution is  
 223 transferred between grids by means of interpolation (cf. e.g. [32]).

224 In all simulations, the background domain is defined with a total length of  $8\lambda$ , with  $\lambda$  corresponding to the wave  
 225 length of the respective simulation case approximated based on Stokes fifth order wave theory [21]. The rotor axis is  
 226 located at the longitudinal centre of the domain. In the lateral direction, the domain is discretised using a single cell  
 227 layer, with symmetry conditions applied on each lateral wall, thus effectively providing a two dimensional setup. At  
 228 the inlet, the volume fraction and velocity of the target wave are prescribed as a Dirichlet boundary condition, using  
 229 the time varying values approximated again using fifth order wave theory. A sketch of the domain is shown in figure 6.

230 The bottom of the domain is modelled as a wall. The top boundary is defined with atmospheric pressure levels.  
 231 At the outlet, the hydrostatic pressure profile and volume fraction of a calm free surface are defined as a boundary



**Figure 6:** Domain setup employed in CFD simulations with inlet, outlet, top and bottom boundaries, and the damping zone.

232 condition. A damping zone extending over a length of  $x_d = 2.5\lambda$  is defined upwave of the outlet boundary to prevent  
 233 reflections. The damping method presented in [37] is employed for this purpose. When employing the described setup,  
 234 reflection levels of approximately 1% were found in [34], following the approach described in [46]. As such, the current  
 235 setup yields a minimal and satisfactory level of reflections for every tested case.

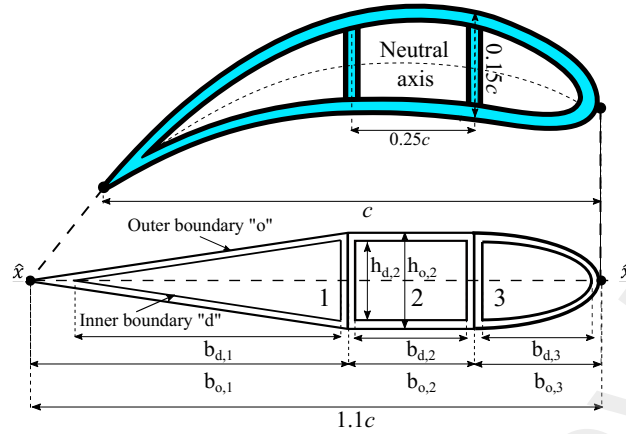
## 236 5. Structural model

237 We select a moderate strength steel for offshore applications [11], as the construction material for the foil. The  
 238 mechanical properties of this type of steel are listed in table 5. In the table, the allowable stress level is defined as one  
 239 third of the yield stress level ( $\sigma_y$ ). However, different thresholds can be selected according to design requirements.

Property	Threshold (MPa)
Yield stress ( $\sigma_y$ )	350
Ultimate strength ( $\sigma_u$ )	410
Allowable stress ( $\sigma_a$ )	117

Table 1: Mechanical properties of moderate strength offshore steel

240 The hydrofoil cross section is depicted in figure 7a. The foil is a curved NACA 0015 whose camberline follows the  
 241 curvature of the circumference of the cyclo rotor. The chord length  $c$  is measured from leading edge to trailing edge as  
 242 shown in the figure. An inner skin thickness of 10 mm is considered for the walls of the foil. This is a typical thickness  
 243 that is commercially available for offshore steel plates and for hollow square sections. The foil has three inner cavities,  
 244 which are identified in the figure. The neutral axis is defined along the camber line of the foil and is highlighted with  
 245 a black dotted line.



**Figure 7:** a) Hydrofoil cross section of a curved NACA 0015 and b) simplified and straightened hydrofoil cross section composed of three hollow cross sections: triangular, rectangular and elliptical.

246 The second moment of area  $I_{\hat{x}\hat{x}}$  of any given cross section can be computed as

$$247 \quad I_{\hat{x}\hat{x}} = \int z_n^2 dA, \quad (22)$$

248 where  $z_n$  denotes the distance from the neutral axis of the section to the centre of an infinitesimal area element  $dA$   
 249 within the area delimited by the boundaries of the cross section.

250 In our example, we approximate the solution of  $I_{\hat{x}\hat{x}}$  by straightening the neutral axis and approximating the shape  
 251 of the straight foil with three hollow sections: triangular, square and elliptical, as shown in figure 7b. We denote the  
 252 sections as section 1, 2 and 3, respectively. Each hollow section is broken down into two surfaces. A surface delimited  
 253 by the outer boundary of the hollow section, and an inner surface delimited by the inner boundary of the hollow section.  
 254 In figure 7b, the base and maximum height of each of these surfaces is denoted with "b" and "h", respectively. In the  
 255 figure, each base and height has two subindices. The first one denotes the outer (o) or inner boundary (d). The second  
 256 one denotes the index of the hollow section (1,2,3).

257 Then,  $I_{\hat{x}\hat{x}}$  for each hollow section is computed by subtracting the  $I_{\hat{x}\hat{x}}$  of the outer and inner surfaces. Hence, the  
 258 moments of inertia of sections 1 to 3 are

$$259 \quad I_{\hat{x}\hat{x},1} = \frac{b_{o,1}h_{o,1}^3}{48} - \frac{b_{d,1}h_{d,1}^3}{48}, \quad (23)$$

$$260 \quad I_{\hat{x}\hat{x},2} = \frac{b_{o,2}h_{o,2}^3}{12} - \frac{b_{d,2}h_{d,2}^3}{12}, \quad (24)$$

$$261 \quad I_{\hat{x}\hat{x},3} = \frac{\pi}{8} \left[ \left( \frac{h_{o,3}}{2} \right)^3 b_{o,3} - \left( \frac{h_{d,3}}{2} \right)^3 b_{d,3} \right], \quad (25)$$

262

263 respectively. In equations 23, 24 and 25  $b_o$  is the width of the outer sections and  $h_o$  is the maximum height of the outer  
 264 cross sections. For all of the sections,  $h_o = 0.15c$ . The total  $I_{\hat{x}\hat{x}}$  is obtained by adding  $I_{\hat{x}\hat{x},1}$ ,  $I_{\hat{x}\hat{x},2}$  and  $I_{\hat{x}\hat{x},3}$ . We note  
 265 that because the  $I_{\hat{x}\hat{x}}$  of all cross sections has a cubic dependence on  $h$ , changes in  $b$  do not alter significantly the second  
 266 moment of area.

267 Once the total  $I_{\hat{x}\hat{x}}$  has been computed for the foil, we now focus on computing the bending moments and stresses on  
 268 the foil. We model the foil as a beam and consider two benchmark types of loading: 1) uniform loading and 2) elliptical  
 269 loading. The first type of loading can be promoted through large spanned hydrofoils with winglets. A large span can  
 270 promote two dimensional flow, whilst winglets can prevent tip losses [24]. The second type of loading is typical of  
 271 elliptical planforms [27, 24], as the one used in the Spitfire aircraft. This is a planform that provides a uniform lift  
 272 coefficient and uniform induced angle of attack throughout its span.

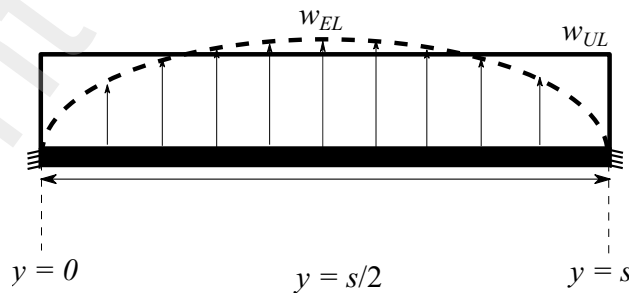
273 The two types of loading are illustrated in figures 8. In the figure, the vertical axis shows the normalised distributed  
 274 load, where  $w_{UL}$  and  $w_{EL}$  are the maximum amplitude of the uniform and elliptical loading, respectively. The  
 275 horizontal axis shows the spanwise coordinate  $y$ , where  $y = 0$  is the origin and  $y = s$  is the span of the foil.

276 In order to obtain the bending moments and stresses that act on the beam, we obtain expressions for the distributed  
 277 loading and forces that act on the beam as a function of  $y$ . We refer to these terms as  $w_y$  and  $F_y$ , respectively. For  
 278 uniform loading

$$279 \quad w_y = w_{UL}, \quad (26)$$

280 whilst for elliptical loading

$$281 \quad w_y = w_{EL} \sqrt{1 - \frac{4(y - s/2)^2}{s^2}}. \quad (27)$$



**Figure 8:** Foil of cyclorotor subject to uniform and elliptical loading. The maximum distributed load is  $w_{UL}$  and  $w_{EL}$  for uniform and elliptical loading, respectively. The origin of the  $y$ -axis is defined in the figure and  $s$  is the span of the foil.

282 The force  $F_y$  is the area under  $w_y$ . Hence, for uniform loading

$$283 \quad F_y = w_{UL}y \quad (28)$$

284 and for elliptical loading

$$285 \quad F_y = \frac{\pi w_{EL}y}{4} \sqrt{1 - \frac{4(y - s/2)^2}{s^2}}. \quad (29)$$

286 Noteworthy, for elliptical loading the area under  $w_y$  has the shape of a quarter of an ellipse with a height defined by  
287 equation 27 and a base  $y$ , whilst for uniform loading the shape is a rectangle of height  $w_{UL}$  and base  $y$ .

288 Equations 28 and 29 are used in the static equilibrium equations of a fixed beam to solve for the shear forces  $V$   
289 and the bending moments  $M$ . To solve for  $M$ , the centroid or point of action of  $F_y$  is determined. For a rectangular  
290 shape (uniform loading), the centroid is at the symmetry line of the rectangle. For a quarter of an ellipse, the centroid  
291 is located at  $4y/3\pi$  with respect to the origin.

292 The static equilibrium equations yield a further unknown, which is the bending moment at the fixed end of the  
293 beam. As such, we introduce the differential equation of the elastic curve, such that

$$294 \quad E \frac{d^2z}{dy^2} = M, \quad (30)$$

295 where  $E$  is the elastic modulus of the material,  $I_{\hat{x}\hat{x}}$  is the second moment of area of the cross section,  $M$  is the bending  
296 moment and  $z$  is the deflection of the beam. Equation 30 is integrated twice and solved for  $z$ . A set of boundary  
297 conditions are defined, the maximum deflection of the beam at  $dz/dy = 0$ , and the zero displacement point at  $z = 0$ .  
298 The maximum deflection occurs midspan of the beam, whilst zero deflection occurs at the fixed ends.

299 The resulting integrals are solved analytically in Mathematica and numerically with Python. Results of the uniform  
300 loading case are verified versus commercial solvers SkyCiv and ClearCalcs. The procedure described here can be  
301 applied to different types of loading provided that their spanwise distribution is known. Further examples of loading  
302 can be found for example in Taylor and Hunsaker (2020) [45].

303 Lastly, the maximum bending stresses occur at the most distant point from the neutral axis of the beam cross section  
304 [50] and are given by

$$305 \quad \sigma_{\max} = \frac{M_{\max} z_{\max}}{I_{\hat{x}\hat{x}}}, \quad (31)$$



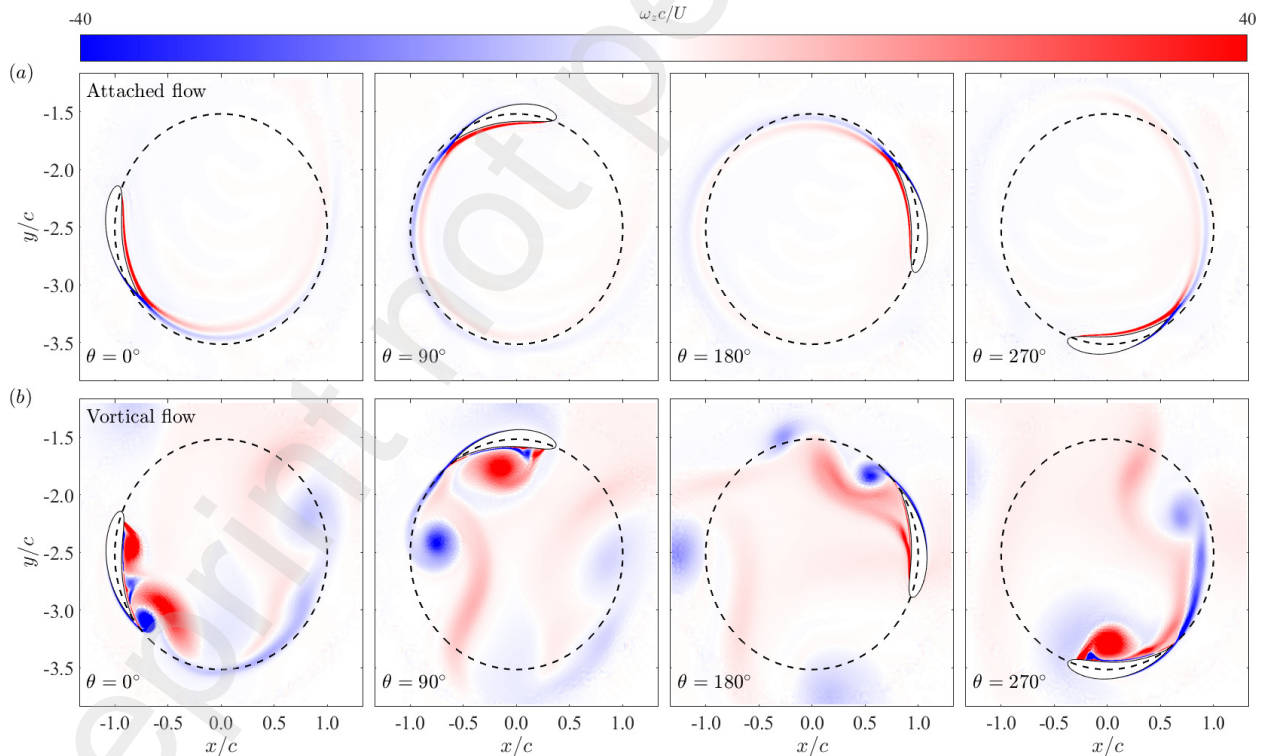
where  $M_{\max}$  is the maximum bending moment and  $z_{\max}$  is the distance from the neutral axis to the outermost point of the beam. Because we use a symmetric NACA 0015, then  $z_{\max} = 0.075c$ .

The coupling of the structural and hydrodynamic model is performed in Python. This allows for a structurally computationally inexpensive analysis and for a powerful evaluation tool that can be used to design large scale to full scale wave cycloidal energy converters. In the next section, we present the flow field around a laboratory scale cycloidal rotor to understand the effects of attached and separated flow conditions in the loading of the foil. We then assess the validity of the hydrodynamic model in these two flow regimes. In the remaining of the paper we use the coupled hydro-structural model that we introduced in §3 and §5 to determine the optimum rotor radius and span to achieve a balanced hydrodynamic and structural performance in wave design conditions and at different sea states.

## 6. Results

### 6.1. Vorticity flow fields

To understand better the fluid dynamics and structural response of the single foil cycloidal rotor, we firstly study the flow field around a laboratory scale size rotor. The flow field is computed through two dimensional RANS simulations.



**Figure 9:** Vorticity plot of single foil cycloidal wave rotor operating in a) attached and b) vortical flow conditions at  $\theta = 0^\circ, 90^\circ, 180^\circ$  and  $270^\circ$ .

319 The rotor radius is  $r = 0.3$  m and the chord length of the foil is  $c = 0.3$  m. The submergence of the rotor is  $z_0 = -2.5c$ .  
 320 The foil rotates clockwise and the wave direction is from left to right. The initial position of the rotor is defined as  
 321  $\theta = 0^\circ$ , with the shaft of the foil aligned to the negative horizontal axis. The operational phase  $\phi$  is  $90^\circ$ , as depicted in  
 322 figure 3.

323 Two cases are studied. One where the flow remains attached ( $H_s = 0.253$  m,  $T_p = 1.829$  s) to the foil and one where  
 324 the flow separates and is dominated by vortex flow ( $H_s = 0.31$  m,  $T_p = 2.462$  s). These two cases are chosen because  
 325 of the contrasting flow features that are likely to yield different structural loads. We refer to the cases as attached and  
 326 vortical flow cases, respectively.

327 Figures 9a-d and figures 9e-h show the vorticity flow fields for the attached and vortical flow conditions,  
 328 respectively. Four azimuthal positions are shown:  $\theta = 0^\circ, 90^\circ, 180^\circ$  and  $270^\circ$ , which are shown from left to right  
 329 in the two rows of the figure 9.

330 The attached flow case shows that the vorticity field is similar for the four azimuthal positions. Positive areas of  
 331 vorticity emerge near the leading edge and are distributed along the inner side of the foil. In contrast, negative areas  
 332 of vorticity are generated in the outer side of the foil, but these areas occur downstream of the mid chord. The wake  
 333 of the foil follows the circumferential path of the rotor and it starts to dissipate approximately at a distance of  $2.5c$   
 334 downstream of the foil. The circumferential path is denoted by a black dotted line in the figures. Noteworthy, the wake  
 335 is fully dissipated at a distance of  $4c$ . This is approximately equivalent to a full cycle of rotation  $T$  and is the lifetime  
 336 of the wake used in §3.

337 The vortical flow case shows a more dynamic flow field in the four azimuthal positions. At  $\theta = 0^\circ$ , a leading  
 338 edge vortex (LEV) of positive vorticity and trailing edge vortex (TEV) of negative vorticity start to emerge both from  
 339 leading and trailing edge, respectively. At  $\theta = 90^\circ$ , a large LEV is formed and convected along the suction side of the  
 340 foil. The LEV forms a vortex pair with the coherent TEV that is located at a distance of about  $1c$  downstream of the  
 341 trailing edge. Subsequently, at  $\theta = 180^\circ$ , the LEV has dissipated and negative vorticity is shed in the form of a starting  
 342 trailing edge vortex. A new layer of positive vorticity starts to form and to detach on the inner side of the foil. Lastly,  
 343 at  $\theta = 270^\circ$ , the positive vorticity layer observed at  $\theta = 180^\circ$  has rolled up into a leading edge vortex, which induces  
 344 a counter rotating secondary vortex of negative vorticity on the surface of the foil. At the same time, the TEV travels  
 345 along the circumferential path and is found approximately at a distance of  $2.5c$ , and has started to dissipate.

346 It is noted that in the vortical flow condition, the LEVs convect along the suction side of the foil at about  $t = 0.5T_p$   
 347 and this will possibly be reflected in the instantaneous loading of the foil. Furthermore, the suction side is the inner  
 348 side of the foil throughout the full cycle of rotation. This is similar to what is typically observed in a vertical axis wind  
 349 turbine under dynamic stall, where the suction side is also located at the inner side of the foil [44, 4]. However, in  
 350 VAWTs, the suction side remains on the inner side of the foil only for half a cycle of the rotation, whilst for the second

351 half the suction side changes to the outer side of the foil. For a cyclorotor in regular waves, the suction side remains  
352 on the inner side during the full rotation. This is because the wave velocity component acts always normal to the outer  
353 side of the foil.

354 In the next section, we select the instantaneous flow field at  $\theta = 90^\circ$  as a representative case of flow, for both  
355 attached and vortical conditions to analyse the flow field around the cyclorotor in more detail.

## 356 6.2. Topology of flow

357 The instantaneous streamlines surrounding the foils at  $\theta = 90^\circ$  for attached and vortical flow conditions are shown  
358 in figure 10a and figure 10b, respectively. This position is selected because it is when the foil is closest to the free  
359 surface, and the one where the foil experiences the highest angle of attack and therefore the highest loading. In the  
360 figures, the streamlines highlight the dominant directions of the flow around the foil.

361 Figure 10a shows that the dominant flow direction on the pressure side and upstream of the leading edge of foil is  
362 that of the wave velocity component. In these areas, the direction of the streamlines is mostly downwards. We recall  
363 that the wave velocity component acts normal to the motion of the foil because  $\phi = 90^\circ$ . In contrast, figure 10b shows  
364 that although the wave direction also points downwards, as evidenced behind the trailing edge of the foil at the upper  
365 left side of the figure, there is a strong jet of flow opposing the wave velocity component due to the vortex pair formed  
366 by the LEV and TEV. This opposite jet forces the flow on the pressure side of the foil to bend and be more tangential  
367 to the foil, as opposed to more normal, as it is in the case of figure 10a.

368 Two recirculation zones are identified in both of the figures with the streamlines. In the attached flow case of figure  
369 10a, a small circulation bubble is present on the suction side of the foil. This recirculating zone is also common in  
370 steady translating flow on the concave side of curved surfaces, such as circular arcs at low Reynolds numbers [9].  
371 A larger circulation zone with high content of positive vorticity is formed on the vortical flow case in figure 10b. In  
372 this case, an LEV with a diameter size of approximately  $0.5c$  appears on the suction side of the foil. Contrary to the  
373 circulation zone in figure 10a, the LEV in figure 10b is mirrored by a TEV of opposite circulation. As such, according  
374 to impulse theory, the vortex pair will have an instantaneous influence in the force time stamp of the foil through growth  
375 rate of circulation ( $\dot{\Gamma}$ ) and through the advection velocity of the LEV ( $\dot{d}$ ) [36, 47].

376 Because the single point vortex model does not account for the flow physics that occur in the vortical flow case, it  
377 is likely that the analytical model might not reproduce the force signature under this scenario. In the next section, we  
378 investigate to what extent is the point vortex model accurate to predict loading in the case of attached and vortical flow  
379 scenarios.

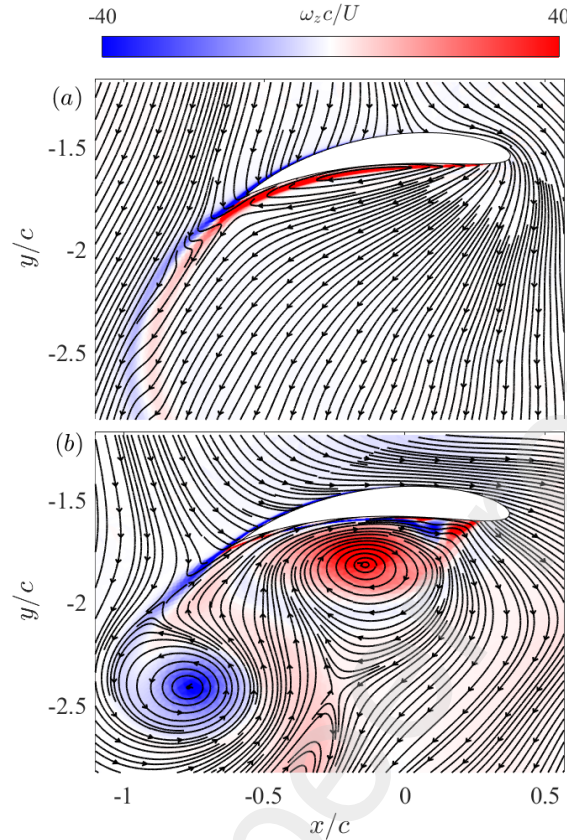


Figure 10: Velocity fields around hydrofoil at  $\theta = 90^\circ$  for a) attached and b) vortical flow conditions.

### 380 6.3. Point vortex model assessment

381 In this section, we compare the forces computed with the point vortex model to those computed with RANS CFD  
 382 simulations. The methodology for the CFD simulations is summarised in §4 and further developed in in Olbert et al.  
 383 [35, 34]. The rotor parameters  $r$ ,  $c$ ,  $z_0$  and  $\phi$  used in both CFD and point vortex model are the same as those described  
 384 in section 6.1. The wave testing parameters  $H_s$  and  $T_p$  for each case are the same as those described in § 6.1.

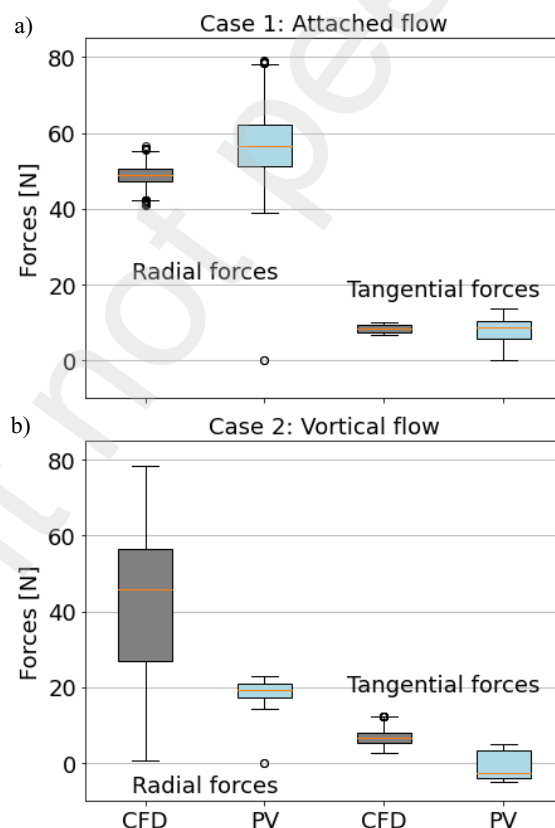
385 We test the same two cases that we studied in the previous sections: attached and vortical flow cases. The average  
 386 Reynolds number  $Re_{av}$  for each of the two cases is computed by considering the average angular velocity ( $U_{av}$ ) of the  
 387 foil. Here,  $U_{av} = (2\pi r/T_p)$ . Hence,  $R_{av} = 300,000$  for the attached flow case, and  $R_{av} = 250,000$  for the vortical flow  
 388 case. We note that although the Reynolds number is slightly different between the two cases, any significant difference  
 389 in the loading is due to the different flow physics rather than by the effect of the Reynolds number.

390 In the single point vortex model, the range of  $\alpha$  oscillations for the attached flow case ranges between  $6^\circ < \alpha \leq 14^\circ$ ,  
 391 whilst for the vortical flow case, the range is  $14^\circ < \alpha \leq 21^\circ$ . Because  $C_L$  and  $C_D$  data for a curved foil is not available in  
 392 the literature, we consider stall angle  $\alpha_s$  of a NACA 0015 at  $Re = 360,000$  as a reference point. According to Sheldahl

393 and Klimas, the stall angle for this foil at this  $Re$  is  $\alpha_s = 12^\circ$ . Because in the attached flow case, the computed  $\alpha$   
 394 oscillations with the potential flow model exceed  $\alpha_s$  by  $2^\circ$  and stall does not occur, this could mean an error in the  $\alpha$   
 395 estimation by the potential flow model of about  $2^\circ$ .

396 The resulting forces computed with CFD and with the point vortex model (PV) on the single foil of the cyclorotor  
 397 are shown in figure 11a and figure 11b for the attached and vortical flow cases, respectively. We use whisker plots to  
 398 show the force data. The whisker plots show the mean value, the maximum and the minimum values of the radial and  
 399 tangential forces during one cycle of rotation  $T$  after  $35T$ . This ensures that any transient force resulting from initial  
 400 accelerations on the CFD simulations are not present in the force results.

401 Figure 11a shows that in the attached flow case, the mean values of the CFD simulations and the single point vortex  
 402 model lies within 15 %, whilst the agreement of the mean tangential forces lies within 3 %. In contrast the agreement of  
 403 the mean radial and tangential forces decreases in the vortical flow case. In this case, the mean value of the radial forces  
 404 is underpredicted by the point vortex model by approximately 50%, whilst the mean value of the tangential forces is  
 405 under predicted by approximately 80%. The CFD results also show that the oscillation of the radial force from peak



**Figure 11:** Box plot diagram of a) Case 1: Attached flow and 2) case 2: vortical flow showing the radial and tangential forces predicted by CFD and the point vortex (PV) model.

406 to trough is greater in the vortical flow case than in attached flow case. The greater variance is due to the shedding of  
407 leading and trailing edge vortices in the wake of the vortical flow case, whilst the reduced variance of the attached flow  
408 case is, as shown in §6.1, due to the similarity of the flow throughout the full rotation of the rotor.

409 Although the point vortex model provides a satisfactory estimate of the mean value of the forces for the attached  
410 flow case, it overestimates the variance of the radial force for the attached flow case, whilst it underestimates the  
411 variance for the vortical flow case. The reason for the overestimation of the variance for the attached flow case is  
412 thought to be due to error in the estimation of the oscillations of the angle of attack and also, due to viscous effects  
413 around the foil that are not considered in the single point vortex model. In contrast, the underestimation of variance for  
414 the vortical flow case is due to the missing flow physics that the analytical model does not account for, i.e. leading and  
415 trailing edge vortices. Furthermore, the point vortex model does not account for dynamic stall effects, such as delay of  
416 separation and vortex lift [39, 10].

417 However, because the point vortex model predicts the mean radial and tangential forces within reasonable accuracy  
418 with respect to the CFD computations, we consider the PV model to be a useful design tool, for attached flow cases,  
419 since it can predict the average mean forces that the foil experiences.

420 It is envisioned that in order for the rotor to balance hydrodynamic and structural performance, the rotor will need to  
421 operate. Furthermore, the computational speed of the point vortex model (1 minute/case) compared to the time required  
422 to carry out one CFD simulation (24 hours/case) in a standard desktop computer, justifies the use of the point vortex  
423 model as a first design tool for cycloidal rotors. Furthermore, it allows for coupling of the structural model to allow  
424 an initial assessment of the structural design of this type of rotors. Lastly, because the rotor needs to be structurally  
425 resilient, it needs to operate in attached flow conditions. This is because in vortical flow conditions, similarly to vertical  
426 axis wind turbines [30], the variance of the forces can result in an increase in fatigue damage and therefore reduce the  
427 life of the rotor. As such, because the optimal operating conditions are those of attached flow, in §6.5 and §6.6, we  
428 perform the structural design of a large scale rotor using the single point vortex model to predict mean loads on the  
429 foil, and to size the rotor radius and span.

#### 430 **6.4. Instantaneous radial loading on foil**

431 To further demonstrate that the optimal structural operation of the rotor is in attached flow conditions, in this  
432 section, we compare the instantaneous radial force  $R$  of the attached and vortical flow cases computed with CFD. We  
433 analyse  $R$  because it is the force component that is responsible for the bending moments and stresses on the foil, and  
434 because it is the force component that showed the most drastic difference in figure 11.

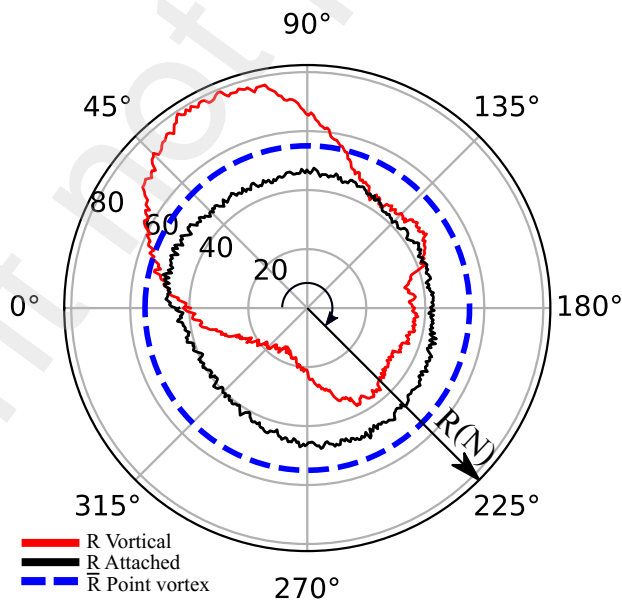
435 We plot the instantaneous  $R$  during one full cycle  $T$  after  $35T$  in the polar plot of figure 12. Results are shown for  
436 both attached and vortical flow cases. For comparison, we also present the average  $R$  computed with the point vortex

437 model for the case of attached flow. We note that  $R$  is defined at the quarter chord position of the foil and that it is  
 438 positive inwards pointing towards the central shaft. In the figure the azimuthal angle denotes the rotor position and the  
 439 radial coordinate denotes  $R$  in Newtons.

440 Figure 12 shows that the loading on the foil remains relatively constant for the case of attached flow. This is in  
 441 agreement with the flow field observations presented in figure 9, in which the flow around the foil remains largely  
 442 unaltered at  $\theta = 0^\circ, 90^\circ, 180^\circ$  and  $270^\circ$ . The figure confirms that the average value of  $R$  predicted by the single point  
 443 vortex model ( $\bar{R}$  - blue dotted line) lies within 15% of the magnitude of  $R$  computed with CFD (black line).

444 In contrast, for the vortical flow case,  $R$  (red line) increases from  $\theta = 0^\circ$  to  $\theta = 68^\circ$  to a maximum value of about  
 445 80 N. Then,  $R$  remains relatively constant until about  $\theta = 80^\circ$ , after which  $R$  drops and stabilises at around  $115^\circ$  at  
 446 approximately 40 N. Then,  $R$  remains stable at a value close to 40 N until  $225^\circ$ , after which it drops further to its lowest  
 447 value of 18 N at approximately  $300^\circ$ . Lastly,  $R$  slowly recovers to a value of 40 N at  $0^\circ$  to start the cycle again.

448 Although we only have information from four azimuthal positions of the flow field around the foil, at  $\theta = 0^\circ$ ,  
 449  $\theta = 90^\circ$ ,  $\theta = 180^\circ$  and  $\theta = 270^\circ$ , the trend in  $R$  can be explained through these flow field snapshots and the impulse  
 450 of a vortex pair [8, 36, 47]. As evidenced in figure 9, a clear vortex pair is formed at  $\theta = 90^\circ$ , whilst the pair is at  
 451 its infant state at  $\theta = 0^\circ$ . From the flow field observations, it can be observed that between  $\theta = 0^\circ$  and  $\theta = 90^\circ$ , the  
 452 circulation of the LEV and TEV grows, and that the convection velocity of the LEV is slower than the one of the TEV.  
 453 Hence, both terms, circulation growth and advection velocity of the LEV explain the increase in  $R$  of the foil [36, 47].



**Figure 12:** Radial forces  $R$  for attached and vortical flow conditions computed from CFD and from analytical model for the case of attached flow, during one period of revolution  $t/T_p$ .

454 Then, the LEV is likely to reach the advection velocity of the TEV and it dissipates in the wake. This probably explains  
455 the initial drop in  $R$ . The flow is attached to the foil at  $\theta = 180^\circ$ , and because the initial vortex pair has dissipated, it  
456 is likely that the stable value of  $R$  at about 40 N is due to attached flow over the range of  $115^\circ < \theta \leq 225^\circ$ . Lastly,  
457 at  $\theta = 270^\circ$ , an LEV is present on the suction side of the foil, however, in this case the TEV has started to dissipate  
458 and is further downstream of the foil. Hence a vortex pair with cores of opposite and equal circulation is not formed.  
459 However, the presence of the LEV influences and reduces any bound circulation on the foil [6, 3, 47]. As such, the  
460 force decreases in the vicinity of this azimuthal angle. Lastly, the force recovers at  $\theta = 0^\circ$ , as the new vortex pair starts  
461 to emerge.

462 In summary, it can be seen in figure 12, that vortical flow is an undesirable condition in terms of load oscillations  
463 and structural loading. And therefore, a preferred operating point for the rotor is in attached flow conditions. Hence,  
464 in the next sections, we will size a large scale cyclorotor in terms of radius and span using the point vortex model.  
465 We recall that the model provides mean radial and tangential mean loads that lie within 15% and 3% of the CFD  
466 simulations, respectively. Therefore, the potential flow model is a useful tool to design the rotor in attached flow  
467 conditions. Furthermore, because of the large oscillations that occur with vortical flow, it is expected that the optimal  
468 hydrodynamic and structural radius and span of the rotor will steer the rotor to operate in attached flow conditions and  
469 below the stall angle. This is similar to what has been observed in tidal turbines that operate in optimal conditions, in  
470 which attached flow dominates and dynamic stall is not influential [23, 39].

## 471 6.5. Operational and structural design at wave design conditions

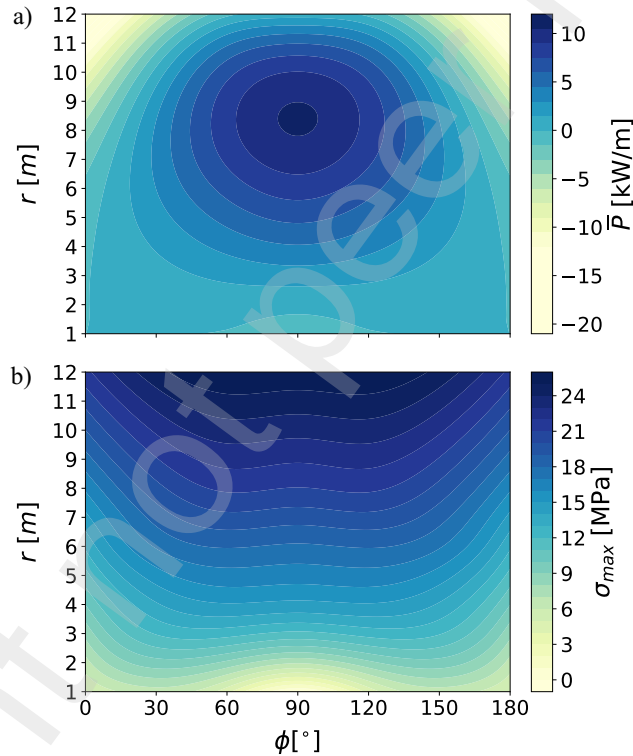
472 In this section we focus on finding the optimal operational phase and structural parameters for a large scale rotor  
473 under regular waves. Specifically, we focus on the selection of a radius that optimises power extraction and of a span  
474 length that maintains the bending stresses at the allowable stress level.

475 We first illustrate a sample case assuming wave design conditions, i.e.  $T_p = 9$  s and  $H = 1.2$  m, as described in §2.  
476 We plot the contour plots of the mean power output  $\bar{P}$  and the maximum bending stresses  $\sigma_{\max}$  in figures 13a and 13b,  
477 respectively. In both figures,  $\phi$  is plotted on the horizontal axis over a range of  $0^\circ$  to  $180^\circ$ , whilst  $r$  is plotted on the  
478 vertical axis over a range of 1 to 12 m. The foil has a chord length equal to  $r$ . This is based on the findings of Siegel  
479 [40], who suggests optimal power capture when  $c/r = 1$ . We find that for the wave design condition, a zero pitch angle  
480 on the foil yields negative power throughout most of the tested radii. As such, we apply a pitch angle of  $5^\circ$  which is  
481 enough to increase the power output of the rotor but also, not enough to exceed the static stall angle. We note that this  
482 pitch angle is within the range of theoretical pitch angles that have been proposed for the operation of wave cycloidal  
483 rotors [41].



484 Figure 13a shows that for any  $r$ , the optimal phase  $\phi_{\text{opt}}$  is  $\phi = 90^\circ$ . This is because at this  $\phi$ , the tangential  
 485 component of the lift force acting on the foils is maximised. Any other  $\phi$  results in a drop of the tangential force and  
 486 therefore, of the mean output power. Noteworthy, when  $\phi = 0^\circ$  and  $\phi = 180^\circ$ , i.e. when the rotor is rotating in phase  
 487 with the wave,  $\bar{P}$  drops below zero. The figure also shows that  $\bar{P}$  grows with  $r$  until an optimal  $r$  value, after which  
 488  $\bar{P}$  starts to drop again. It can be seen that the optimal operating condition is when  $r$  is optimal and  $\phi = 90^\circ$ . For this  
 489 particular case  $r_{\text{opt}}$  is 8.5 m, as shown in figure 13a.

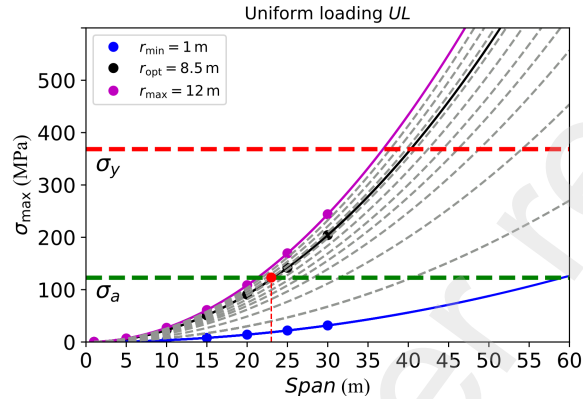
490 Figure 13b shows that  $\sigma_{\text{max}}$  grows with  $r$  and that the bending stresses remain mostly independent of  $\phi$  over a range  
 491 of  $60^\circ \leq \phi \leq 120^\circ$ . The growth of bending stresses with  $r$  is due to the fact that  $\alpha$  grows with  $r$ . As such, event if the  
 492 static stall angle is exceeded ( $r \geq r_{\text{opt}}$ ) and  $L$  and  $\bar{P}$  drop,  $R$  continues to increase due to an increase in  $D$ . Hence, we  
 493 utilise figure 13a to determine  $r_{\text{opt}}$  and  $\phi_{\text{opt}}$ , and 13b to ensure that  $\sigma_{\text{max}} \leq \sigma_a$ .



**Figure 13:** a) Mean output power  $\bar{P}$  and b) maximum bending stresses  $\sigma_{\text{max}}$  plotted as a function of  $\phi$  and  $r$  for the design sea state conditions ( $H_s = 1.2$  m and  $T_p = 9$  s).

494 Once  $\phi_{\text{opt}}$  and  $r_{\text{opt}}$  are determined, we can select the optimum span of the foil  $s_{\text{opt}}$ . The larger the span, the more  
 495 power the cyclorotor can produce, however, the loads and the span cannot be infinitely large due to their impact on the  
 496 bending stresses of the foils. In this work, we apply the condition that the maximum bending stresses  $\sigma_{\text{max}} \leq \sigma_a$ . We  
 497 recall, from Table 5, that for offshore steel  $\sigma_a = 117$  MPa.

498 Figure 14 shows  $\sigma_{\max}$  versus different foil spans. We present results for uniform loading. In the plot,  $\sigma_{\max}$  is plotted  
 499 for a range of different radii ranging between 1 m to 12 m. The black line shows the maximum bending stresses in  
 500 the foil at  $r_{\text{opt}}$ , whilst the blue and pink line show the stresses at  $r_{\min} = 1$  m and  $r_{\max} = 12$ , respectively. Stresses for  
 501 intermediate radii are plotted with dashed gray lines in steps of 1 m. The allowable stress level  $\sigma_a$  and the yield stress  
 502 level  $\sigma_y$  are shown with horizontal green and red dotted lines, respectively.



**Figure 14:** Maximum bending stresses ( $\sigma_{\max}$ ) versus span ( $s$ ) for different rotor radii. The blue, black and pink lines correspond to  $r_{\min}$ ,  $r_{\text{opt}}$  and  $r_{\max}$ . The dotted gray lines correspond to intermediate radii. The green and red horizontal lines correspond to  $\sigma_a$  and  $\sigma_y$ , respectively.

503 The span of the rotor is given by the intersection of the  $r_{\text{opt}}$  curve (solid black line) and the  $\sigma_a$  curve (dotted green  
 504 line). At this intersection point, a vertical line is drawn to determine the span of the rotor that will satisfy  $\sigma/leq\sigma_a$ . We  
 505 find that for wave design conditions ( $H = 1.2$  m and  $T_p = 9$  s),  $s_{\text{opt}}/r_{\text{opt}} \approx 3$ . Different materials for the foils could  
 506 yield different  $s/r$  ratios. For instance, the  $s_{\text{opt}}/r_{\text{opt}}$  ratio of the Atargis cyclorotor is  $s_{\text{opt}}/r_{\text{opt}} \approx 10$  with foils made  
 507 of composite material [42]. However, the methodology demonstrated here for sizing the rotor is independent of the  
 508 material of the foil. In the next section, we explore the effect of different sea states in the  $s_{\text{opt}}/r_{\text{opt}}$  ratio. We note that  
 509 although only results for uniform loading are presented, elliptical loading yields a reduction in  $s_{\text{opt}}$  of about 5%.

## 510 6.6. Sizing of rotor for different sea states

511 The previous sections showed that there is an optimum radius  $r_{\text{opt}}$  and optimum phase  $\phi_{\text{opt}}$  at which the mean  
 512 power output is maximised. We also showed that assuming  $r_{\text{opt}}$  and  $\phi_{\text{opt}}$ , the optimum span of the rotor  $s_{\text{opt}}$  can be  
 513 found, so that the maximum bending stresses at the fixed end of the foil remain at the allowable stress level  $\sigma_a$ . In this  
 514 section, we find the optimum radius  $r_{\text{opt}}$  for different wave conditions assuming operation at  $\phi_{\text{opt}}$ . We then find  $s_{\text{opt}}$   
 515 for the same range of wave conditions. Results for  $r_{\text{opt}}$  are shown in figure 15a. The horizontal axis shows  $T_p$  over a  
 516 range of 6 to 16 s and the vertical axis  $H_s$  over a range of 1 to 5 m. The figure shows that  $r_{\text{opt}}$  grows with  $T_p$  and  $H_s$ .

517 Even though  $r_{\text{opt}}$  changes with  $T_p$  and  $H_s$ , as shown in figure 15a, it is expected that a large scale rotor will have a  
 518 fixed radius. As such,  $r_{\text{opt}}$  is likely to be determined by the sea state condition with the highest probability of occurrence  
 519 in a given location. Recalling that in figure 2, the sea state with highest occurrence are those with  $H_s \leq 2$  m and  $T_p$   
 520 between 6s and 12 s, it is envisioned that for this particular site, the value of  $r_{\text{opt}}$  would be between 5 to 10 m. This is  
 521 agreement for example with the cycloidal wave rotor designed by Atargis corporation [42], which has been sized with  
 522 a radius of 6 m.

523 Secondly, we find  $s_{\text{opt}}$  for the same range of wave conditions tested in figure 15a. We note that we consider a chord  
 524 length equal to  $r_{\text{opt}}$ , as proposed by Siegel [41, 42]. Results for  $s_{\text{opt}}$  are shown in figure 15b through the  $s_{\text{opt}}/r_{\text{opt}}$  ratio.

525 It can be seen that the shape of the  $s_{\text{opt}}/r_{\text{opt}}$  ratio mirrors the  $r_{\text{opt}}$  matrix, and that  $s_{\text{opt}}/r_{\text{opt}}$  decreases from 4 to  
 526 1, as both  $T_p$  and  $H_s$  increase. Given that the probability of sea states is higher towards the bottom left side of figure  
 527 15b, we would expect a large scale rotor to have an  $s_{\text{opt}}/r_{\text{opt}}$  ratio between 4 to 2. As an example,  $s_{\text{opt}}$  of a 5 m rotor  
 528 radius would be approximately 20 m. These dimensions can vary, for example, if different materials and allowable  
 529 stress criteria than the ones used in this paper are considered. Here, we recall that we used offshore steel and  $\sigma_a = 117$   
 530 MPa, as indicated in table 5. In these results, we assume uniform loading, although results for elliptical loading yielded  
 531 lower ratios by approximately 5%.

532 Finally, to assess the power capabilities of the single foil rotor and the stress level at the fixed end of the foils after  
 533 sizing  $r_{\text{opt}}$  and  $s_{\text{opt}}$ , we compute the average power ( $\bar{P}$ ) and the maximum bending stresses ( $\sigma_{\text{max}}$ ) in figure 16a and  
 534 16b, respectively. We use the same  $H_s$  and  $T_p$  combinations that we used in figures 15a and 15b.

535 We observe in figure 16a that  $\bar{P}$  increases with  $H_s$  and  $T_p$ , whilst the stress level in figure 16b remains within  $\pm$   
 536 3% of 117 MPa. The increase in  $\bar{P}$  with wave height is in agreement with previous power matrices of wave cyclorotors  
 537 [42, 18]. We note however, that different to these studies, the power matrix presented here shows the maximum  $\bar{P}$  at  
 538 the top right corner of figure 16a and that power generation is possible also at very low  $H_s$  and  $T_p$ . This is because  
 539 the power matrix of this work considers optimum power production through  $r_{\text{opt}}$ , whilst at the same time, structural  
 540 reliability by maintaining the stress level at the allowable threshold, as shown in figure 16b.

541 The results presented in this section highlight two important aspects in wave cyclorotor design that have not been  
 542 addressed previously in the literature. Firstly, that through appropriate  $r$  and  $s$  sizing or that through variable  $r$  and  $s$ , it  
 543 is theoretically possible to generate power with wave cyclorotors even at low  $H_s$  and low  $T_p$ . This increases the range  
 544 of locations where wave cycloidal rotors could be deployed. Secondly, that if a designer opts to increase the radius of  
 545 the rotor, the span of the foil needs to be reduced to remain structurally resilient. However, this also means that a large  
 546 span rotor could be reinforced with intermediate supports to reduce the free hanging parts of the foil. As such, our  
 547 results provide guidelines for power production and resilient structural design at the significant wave conditions of a  
 548 site. In addition to appropriate sizing, several control techniques, such as variable rotational velocity [17] and fatigue

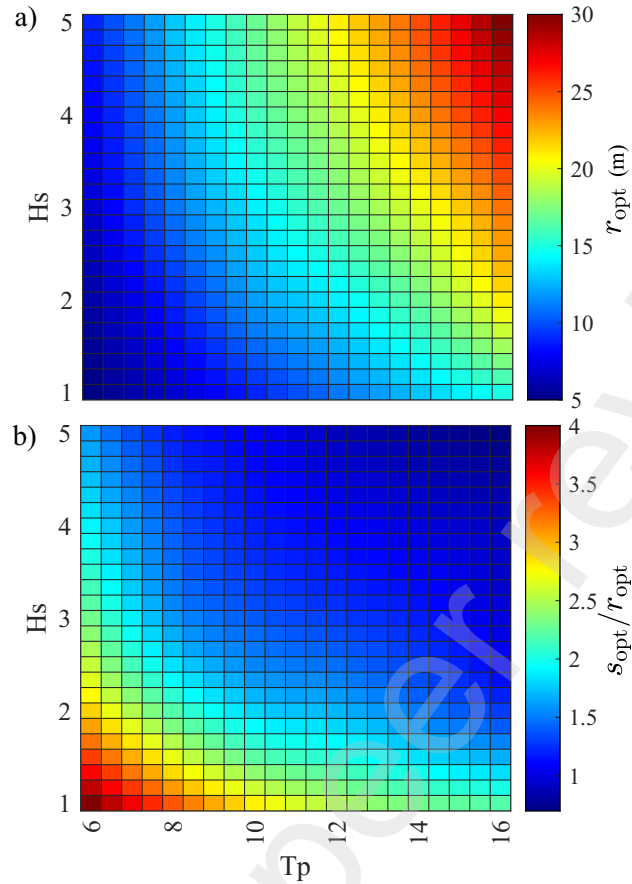


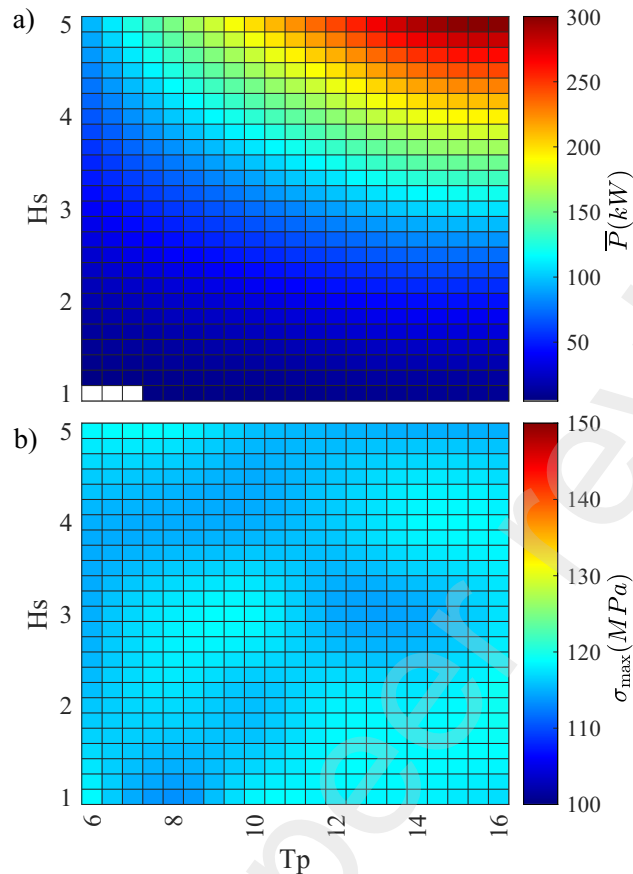
Figure 15: a) Optimum radius  $r_{opt}$  and b)  $s_{opt}/r_{opt}$  ratio for different wave conditions for a single foil cyclorotor

549 damage mitigation strategies, such as passively pitching foils [7], could help in increasing power extraction, whilst  
 550 reducing the loads on the foil and cyclorotor.

## 551 7. Conclusions

552 In this paper, the hydrodynamics and the structural design of a single foil wave cycloidal rotor in regular waves  
 553 have been studied. We do this by developing a potential flow model coupled to a structural model to design single foil  
 554 cyclorotors in an efficient manner. The potential flow model considers unsteady wake effects and includes a correction  
 555 for flow separation.

556 To understand better the flow physics of the wave cycloidal rotor and the limitations of the potential flow model,  
 557 we analysed the flow field around a single foil rotor with the aid of two dimensional RANS simulations. A laboratory  
 558 scale type of rotor was studied. Two flow conditions were analysed, attached and vortical flow conditions. It was found  
 559 that attached flow conditions are desirable to minimise radial loading fluctuations, and therefore reducing the potential



**Figure 16:** a) Power matrix in kW and b) stress level at fixed end of the foils with uniform loading in MPa for rotor at  $\phi_{\text{opt}}$ ,  $r_{\text{opt}}$  and  $s_{\text{opt}}/r_{\text{opt}}$ .

560 due to fatigue damage. In contrast, vortical flows yield large radial amplitude oscillations, which are undesirable for  
 561 the structural reliability of the rotor.

562 By comparing the CFD with the potential flow model results, we found that under attached flow conditions, the  
 563 potential flow model yields reasonable accuracy, within 15% of the mean radial and within 3% of the mean tangential  
 564 forces acting on the single foil. Contrarily, the analytical model underestimates the forces in the vortical flow case  
 565 by at least 50%. This is likely due to the fact that dynamic stall is not accounted for. However, because the optimal  
 566 hydrodynamic and structural operation of the rotor is expected to occur under attached flow conditions, the analytical  
 567 model is considered useful and is utilised to provide design guidelines by assuming optimal operating conditions  
 568 for large scale rotors.

569 The design guidelines show that the optimal phase of operation is  $90^\circ$ , and that there is an optimal radius at which  
 570 the mean power production is maximised. The optimal radius can be used to determine the span of the foil that will  
 571 keep the bending stresses at the allowable stress level. We find that because the optimal span to radius ratio changes

572 with different wave conditions, the dimensions for a fixed span fixed radius rotor are likely to be determined by the sea  
573 state with highest probability of occurrence.

574 The novelty of the results presented in this paper include, for the first time in the literature of wave cycloidal rotors,  
575 a detailed flow field characterisation of a single foil rotor. We show, for the first time, under what flow conditions a  
576 single point vortex potential flow model can be used to estimate the forces of the rotor subject to regular waves. These  
577 findings contribute and pave the way to further advance the research and development of cycloidal rotors for wave  
578 energy conversion.

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678 Dr Abel Arredondo-Gaelana is a Research Associate at the Department of Naval, Marine and Ocean Engineering at the University of Strathclyde.

679 MSc Gerrit Olbert is a Research Assistant at the Institute for Fluid Dynamics and Ship Theory, Hamburg University of Technology.

680 Dr Weichao Shi is a Lecturer at the Department of Naval, Marine and Ocean Engineering at the University of Strathclyde.



