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Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder

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1 **Abstract**

2 Locally sourced marginal earthfill geomaterials are generally not used in traditional  
3 earthfill construction due to their relatively poor mechanical performance. However, if  
4 these geomaterials are stabilised, procuring and transporting of materials from borrow  
5 sites can be avoided with significant carbon saving. Further carbon saving can be  
6 achieved by using industrial waste as binder in place of conventional high-carbon  
7 footprint stabilisers such as lime and Ordinary Portland Cement. This paper examines the  
8 use of a calcium-rich fly ash from coal combustion activated by a sodium-based alkaline  
9 solution for the treatment of non-active clay in view of its use as earthfill geomaterial. To  
10 this end, kaolinite clay/fly ash (90/10) samples were compacted, cured for different  
11 periods, saturated, and subjected to one-dimensional compression and direct shear tests.  
12 The major outcome from 1D compression tests is that stiffness is enhanced significantly  
13 even in the very short-term (1 day after alkali activation), i.e. before the binding phase  
14 starts to form. This was attributed to the changes in pore-water chemistry (increase in pH  
15 and electrolyte concentration) following the addition of the alkaline solution and the  
16 formation of aggregates in face-to-face mode. In the long term (curing time  $\geq 28$ days)  
17 stiffness appeared to be further enhanced due to the formation of the binding phase. These  
18 effects were more pronounced in the low-intermediate stress range ( $< \sim 700$  kPa) making  
19 the alkali activation a good soil treatment for roadway embankments. Peak shear strength  
20 also appeared to be significantly enhanced in both short and long term although effects  
21 were more pronounced for curing time  $\geq 28$ days following the formation of the binding  
22 phase. Ultimate shear strength is enhanced only in the long term (curing time  $\geq 28$ days).

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# 24 **Mechanical behaviour of compacted kaolin clay stabilised via** 25 **alkali activated calcium-rich fly ash binder**

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## 30 **1 Introduction**

31 Soft clay-rich soils are often encountered in construction sites. These soils cannot be  
32 directly used as earthfill materials and may cause problems in earthworks because of their  
33 poor mechanical performance. To improve their engineering characteristics Ordinary  
34 Portland Cement or lime are commonly used as soil stabilisers. These are associated with  
35 high carbon dioxide emissions and energy intensive processes, which increase  
36 significantly worldwide carbon footprint (Scrivener and Kirkpatrick, 2008). As a  
37 substitute, the use of Alkali Activated Materials (AAM) has received increasing attention  
38 over the last decade. In fact, AAMs present a much lower CO<sub>2</sub> emission process compared  
39 to traditional Ordinary Portland Cement (OPC) (Provis and van Deventer, 2014).

40 The CO<sub>2</sub> reduction associated with the replacement of OPC with AAM has been assessed  
41 for the case of concrete. Based on a lifecycle analysis, Weil et al. (2009) concluded that  
42 the Global Warming Potential (GWP) of alkali-activated fly ash concrete is  
43 approximately 70% lower than that of OPC concrete. Yang et al. (2013) demonstrated via  
44 Life Cycle Assessment including contributions due to constituent steps, production,  
45 curing, and transportation that alkali-activated concrete reduces CO<sub>2</sub> emissions by 25%  
46 to 57% with respect to OPC concrete at the same mechanical performance. Similar  
47 conclusions were drawn by Robayo-Salazar et al. (2018) who showed that CO<sub>2</sub> emissions  
48 can be reduced by 44.7% for alkali-activated binary concrete (AABC) using natural

49 volcanic pozzolan or granulated blast furnace slag (at equal or even higher compressive  
50 strength). In the field of soil stabilisation, Ghadir et al. (2021) developed a comparative  
51 estimation of environmental impacts of the use of OPC and alkali-activated volcanic ash  
52 in soil stabilisation using life cycle assessment LCA, limited to the production of the  
53 required cement and alkali-activated binder for stabilization of 1 m<sup>3</sup> functional unit of  
54 clayey soil with similar shear strength. Even if the amount of AAB used was twice  
55 compared with the OPC, with reference to carbon emissions (evaluated as kgCO<sub>2</sub>-e), the  
56 AAB had a lesser impact than OPC. Zahmak et al. (2021) in a comparative LCA analysis  
57 of different AABs for soil stabilisation showed the impact of their composition on carbon  
58 footprint. They suggest to optimize the constituents of the AAB mix, possibly by reducing  
59 the alkali activators content and/or increasing the precursors content, in order to meet the  
60 required mechanical performance without compromising the environmental impact.

61 Recent studies have proved that alkali activated binders can be used successfully for  
62 stabilisation of a wide range of soils including clayey soil (Wilkinson et al., 2010; Singhi  
63 et al., 2016), sandy clay (Cristelo et al., 2011), marl, marlstone (Cristelo et al., 2012), silty  
64 sand (Rios et al., 2016) and road aggregates (Tenn et al., 2015). Applications have  
65 included deep soft soil improvement (Cristelo et al., 2011) and rammed earth construction  
66 (Silva et al., 2013).

67 However, these studies have mainly focused on soil-fly ash samples prepared form  
68 slurry state. When compacted fly ash samples were tested, investigation of mechanical  
69 properties was limited to unconfined compressive strength (UCS).

70 To investigate whether alkali activated fly ash can be potentially used to stabilise  
71 marginal clays in turn to be used as construction material for earth structures,  
72 compressibility and shear strength properties should be tested. From a practical point of

73 view, the time scale of the effects of alkali activation should also be investigated as this  
74 affects the construction process and the time required for the embankments to come into  
75 service after construction.

76 This paper presents an experimental investigation of the mechanical behaviour of  
77 compacted clay stabilised with alkali activated fly ash. Oedometer and direct shear tests  
78 were carried out to investigate compressibility and shear strength of the clay-fly ash  
79 mixtures, which were compacted and then cured for different periods following alkali  
80 activation. Mercury intrusion porosimetry tests were also conducted to investigate  
81 microstructural features of samples prepared by mixing clay and alkali-activated fly ash.

82 A calcium-rich fly ash from coal combustion was used in this study, which was  
83 activated by a sodium-based alkaline solution. Kaolin was selected as its reactivity to  
84 alkaline activation at ambient temperature is negligible, and hence it does not interfere in  
85 the reaction sequence allowing for a study of the binder effect only. Besides, kaolin  
86 represents a wide class of clays encountered in engineering projects and so it is considered  
87 here as a model soil.

## 88 **2 Background**

89 Alkali Activated Materials derive from the reaction between solid aluminosilicate powder  
90 (usually metakaolin, fly ash, blast furnace slag or natural pozzolan) and alkali metal  
91 source (most commonly alkali hydroxide and/or alkali silicate solutions) (Buchwald et  
92 al., 2003; Shi et al., 2006). The resulting material is a binder system cured at room  
93 temperature with mechanical properties and durability potentially suitable for Portland  
94 cement replacement. The supply of an alkali metal source via an alkaline solution raises  
95 the pH of the reaction mixture and accelerates the dissolution of the aluminosilicate

96 powder. Its dissolution releases some of its constitutive elements into the medium that  
97 combine with the ions of the alkaline solution to form a binding phase. Different binding  
98 phases are formed depending on the nature of ions dissolved from the aluminosilicate  
99 source. For example, the binding phase consists of Calcium Silicate Hydrate (as in  
100 Portland cement) if the ion released by the aluminosilicate source is calcium and consists  
101 of a three-dimensional aluminosilicate network known as a geopolymer binder if the ions  
102 released by the aluminosilicate source are mainly silicon and aluminium.

103 The pH generated by the supply of the alkali metal source can reach values as high as 14  
104 according to Paudel et al. (2020), who measured pH of pore-water extracted from Alkali-  
105 Activated Fly Ash concrete. However, pH decreases over time during curing to around  
106 13 as the hydroxyl ion  $\text{OH}^-$  is progressively consumed in the polycondensation reactions.  
107 Alkaline soils may potentially limit the availability of nutrients to plants. However, recent  
108 studies by Golek and Gula (2020) have shown that plant growth in topsoil overlying the  
109 alkali-activated binder treated soil layers is not inhibited or damaged due to the plant  
110 ability to self-regulate the pH of the environment in which they grow.

### 111 **3 Materials and methods**

#### 112 3.1 *Materials*

113 A Polish fly ash derived from hard coal and coal slime combustion in fluidised bed boiler  
114 was used in this study. It consists primarily of  $\text{SiO}_2$ ,  $\text{Al}_2\text{O}_3$  and  $\text{CaO}$  (Table 1). The fly  
115 ash contains 52% of particles sized lower than  $45\ \mu\text{m}$  and 41% lower than  $10\ \mu\text{m}$ .  
116 Speswhite kaolin provided by Imerys Minerals UK was also used in this study (Table 1).  
117 It is mainly constituted of kaolinite (95%) and secondarily of muscovite (4%) (Chemed,  
118 2015). The kaolin contains, approximately, 100 % of particles sized lower than  $10\ \mu\text{m}$

119 and 80 % lower than 2  $\mu\text{m}$ . Its specific gravity is  $G_s = 2.60$ . The liquid and plastic limits  
120 are 70 % and 32 % respectively giving a plasticity index of 38 % (Vitale et al., 2016).

121 The alkaline solution used consisted of a sodium silicate aqueous solution with a molar  
122 ratio  $\text{SiO}_2/\text{Na}_2\text{O}$  of 1.7, dry mass percentage of 44%, and density of  $1.55\text{g}/\text{cm}^3$  (supplied  
123 by Woellner Group and under the commercial name GEOSIL 34417).

### 124 3.2 *Sample preparation*

125 Sample preparation was designed to mimic the process that would be adopted in  
126 embankment construction. The alkaline solution (sodium silicate and water) was stirred  
127 for one hour (Vitale et al. 2017, Sitarz et al. 2020) and then sprayed on the mix of  
128 aluminosilicate (fly ash) and kaolin powders previously mixed together. Kaolin and fly  
129 ash were mixed in proportion 90/10 by dry mass (mix referred to as KF10) (Cristelo et  
130 al. 2012, Sargent et al. 2013, Zhang et al. 2013). This ratio is in line with the fraction of  
131 Portland cement typically added to soil to be stabilised (in the range 7 to 12 % according  
132 to Petry and Wohlgemuth, 1988).

133 The wet powder was statically compacted (Zhang et al. 2013, Sargent et al. 2013  
134 Phummiphan et al. 2016, Rios et al. 2016) to a vertical stress of 600 kPa (maintained for  
135 1h). Once the compaction load was removed, the samples were cured for 1, 7, 14, 28, 60  
136 or 90 days at 20 °C inside their moulds. Finally, samples within their mould were stored  
137 under vacuum to avoid carbonation during curing (Coudert et al. 2019, Vitale et al. 2021).  
138 The mould was the oedometer ring for samples to be tested in one-dimensional  
139 compression and a purposely designed 3D printed plastic mould for samples to be tested  
140 in direct shear tests.

141 The initial water content was fixed to 28% for all the samples, which corresponds to the  
142 optimum moisture content determined by Proctor compaction test (Sivakumar and  
143 Wheeler, 2000). Additionally, the mass ratio of alkaline solution to fly ash was fixed to  
144 50% (Duxson et al., 2005; Criado et al., 2007, Pummihpan et al. 2019, Abdullah et al.  
145 2019), giving the initial molar ratios (considering that kaolin is unreactive): Si/Al = 1.2,  
146 Si/Na = 2.7 and Al/Na = 2.1. It is worth noticing that the Al/Na ratio was not fixed to one,  
147 which is the value that optimises the properties of alkali activated materials. The ratio  
148 Al/Na = 1 is adequate to provide a charge-balancing of the negative  $\text{AlO}_4^-$  tetrahedron  
149 charge but not in excess to promote efflorescence that may disrupt the polymerisation  
150 process (Barbosa et al., 2000). In the case examined in this work, the presence of calcium  
151 ions in high quantity plays a role of charge compensation as well as sodium and this is  
152 the reason for accepting an Al/Na ratio different from one.

### 153 3.3 *Methods*

#### 154 3.3.1 *One-dimensional compression test*

155 Oedometer tests were performed on compacted samples in standard oedometer cell. After  
156 saturation by filling the external container with water, vertical stress  $\sigma_v$  was applied in  
157 steps ( $\Delta\sigma_v/\sigma_v = 1$ ) up to 2220 kPa and then reversed. Samples were allowed to consolidate  
158 under each loading. As consolidation time  $t_{100}$  was of the order of a few minutes, total  
159 test duration could be maintained within 1 day thus avoiding significant curing inside the  
160 oedometer cell.

#### 161 3.3.2 *Mercury Intrusion Porosimetry (MIP)*

162 MIP tests were carried out on samples removed from the oedometer cell at a given vertical  
163 stress in order to capture microstructural features of samples induced by loading along



164 the compressibility curve. MIP tests were performed on freeze dried samples by a double  
165 chamber Micromeritics Autopore III apparatus. In the filling apparatus (dilatometer)  
166 samples were outgassed under vacuum and then filled by mercury allowing increase of  
167 absolute pressure up to ambient one. Using the same unit, the intrusion pressure was than  
168 raised up to approximately 200 kPa by means of compressed air. The detected pore-  
169 entrance sizes ranged between 134  $\mu\text{m}$  and 7.3  $\mu\text{m}$  (approximately 0.01 MPa - 0.2 MPa  
170 for a mercury contact angle of  $139^\circ$ ). After depressurisation to ambient pressure, samples  
171 were transferred to high-pressure unit, where mercury pressure was increased up to 205  
172 MPa following a previously set intrusion program. The smallest detected entrance pore  
173 diameter was about 7 nm. Corrections to pore-size distribution due to compressibility of  
174 intrusion system were applied performing a blank test.

175 Samples tested in the MIP were obtained by imposing a vertical stress of either 714 or  
176 1428 kPa. Two different samples for each stress level were prepared, as suggested by  
177 Pedrotti and Tarantino (2018). After reaching the target applied stress, the first sample  
178 was quickly unloaded in one single step to minimise recovering of volumetric strains  
179 (undrained conditions). The sample was then rapidly removed from the oedometer and  
180 sealed in plastic bag to prevent water loss until the freeze-drying was performed. This  
181 sample will be referred to as '*ncl*' as it was expected to maintain the microstructure of the  
182 material along the normal compression line. The second sample was unloaded under  
183 drained conditions, allowing the rebound of the structure and the recovering of elastic  
184 strains. This sample will be referred to as '*url*' as it was expected to maintain the  
185 microstructure of the material along the unloading-reloading line.

186 3.3.3 *Direct shear test*

187 Direct shear tests were performed according to ASTM D 3080-90 (ASTM D 3080-90,  
188 1994) on compacted samples in a standard shear box. Cured samples were transferred to  
189 the shear box by centring the 3D printed mould onto the shear box upper half and pushing  
190 down the sample using a load frame with the help of a wooden pusher. Samples were  
191 fully saturated by filling the external container with water and vertical displacements were  
192 monitored. After saturation, a normal stress of 100 kPa or 200 kPa was applied in steps  
193 until full consolidation was achieved. The highest consolidation time  $t_{100}$  associated with  
194 primary consolidation was recorded for the kaolin alone at 100 kPa vertical stress ( $t_{100}=5$   
195 min). The minimum time to failure  $t_f$  in shear was then calculated according to Head  
196 (1994) as  $t_f = 12.7 \cdot t_{100}$  ( $t_f=63.5$  min). Shear displacement was applied at a rate of 0.04  
197 mm/min, which returns a time to failure of 200 min for a total shear displacement of 8mm.  
198 The actual time to failure adopted was well in excess time the minimum time to failure  
199 calculated according to Head (1994).

200 3.3.4  *$^{29}\text{Si}$  NMR spectroscopy*

201 Solid-state  $^{29}\text{Si}$  NMR spectroscopy was performed on i) fly ash alone and fly ash  
202 following alkali activation after 28 days and 6 months and ii) kaolinite mixed with 50%  
203 of fly ash (KF50) at 1 day, 28 days, and 6 months. These measurements were aimed at  
204 investigating the physicochemical evolution occurring in the alkali activated high-  
205 calcium fly ash.

206 Solid-state  $^{29}\text{Si}$  NMR spectroscopy was performed using a Bruker Avance III 300 MHz  
207 (7 T) spectrometer and 7 mm MAS probe.  $^{29}\text{Si}$  MAS spectra were acquired with a single  
208  $\pi/2$  pulse excitation of 5.5  $\mu\text{s}$  and  $^1\text{H}$  decoupling. The repetition times were 2 s, 120 s and  
209 30 s for the raw high-calcium fly ash, the raw kaolin and all the activated samples,

210 respectively. For all  $^{29}\text{Si}$  spectra, MAS spinning rate was set to 5 kHz. Spectra were  
211 referenced against TMS (tetramethylsilane) for  $^{29}\text{Si}$ .

## 212 **4 Results**

### 213 *4.1 One-dimensional compression*

214 Fig. 1 shows compressibility curves of the raw kaolin and the treated kaolin (KF10) as a  
215 function of curing period. The samples with curing period of 1d and 7d show very similar  
216 initial void ratio (following saturation) as the raw kaolin. Upon loading, the material  
217 KF10 maintains a higher void ratio although the normal compression line attained  
218 eventually appears to be parallel to the one of the raw kaolin (in a semi-log scale).

219 The sample with curing period of 14 days shows an initial void ratio (after saturation)  
220 lower than raw kaolin and the KF10 samples cured for 1 and 7 days. However, its  
221 compression curve re-joins the curves of samples cured for 1 and 7 days at 357 kPa.

222 The samples with curing periods of 28, 60, and 90 days also show an initial lower void  
223 ratio. The compression curves are very similar and show a normal compression branch  
224 higher than the one of the samples cured for 1, 7, and 14 days (although the normal  
225 compression lines still appears to be parallel to the ones of samples cured for 1, 7, and 14  
226 days).

227 Table 2 reports the pre-consolidation stress and the pre-yield compressibility for Pre-  
228 consolidation pressure for kaolin and alkali activated binder treated kaolin (KF10) at  
229 different curing periods. The treated kaolin at curing periods equal or greater than 28 days  
230 shows a markedly lower compressibility than kaolin alone.

231 The unloading curves are consistent with the loading behaviour. The samples with curing  
232 period of 1, 7, and 14 days, which presented similar behaviour in loading, also show

233 similar response in unloading and are stiffer than raw kaolin. The samples with curing  
234 period of 28, 60, and 90 days, which presented similar behaviour in loading, also show  
235 similar response in unloading and stiffer response than samples cured for 1, 7, and 14  
236 days.

237 Overall, the response in compression for short curing period (1 and 7 days) appears  
238 significantly different from the one observed for long curing periods (28, 60, and 90  
239 days). The sample cured for 14 days shows intermediate behaviour.

#### 240 4.2 Pore-size distribution (PSD)

241 To investigate the effect of loads on the microstructure of the treated soil, mercury  
242 intrusion porosimetry analyses were performed at 28 days on treated samples subjected  
243 to either 714 or 1428 kPa. As shown in Fig. 1, the 714 kPa stress is approximately  
244 associated with the yielding stress whereas the 1428 kPa stress is associated with a post-  
245 yielding stress state. The quality of sample preparation by freeze-drying was checked by  
246 comparing the void ratio measured in a traditional way (via oven-drying) with the void  
247 ratio measured via the volume of mercury intruding the sample. As shown in Table 3,  
248 there is a fair match between the two measurements.

249 The pore-entrance size frequency distributions before and after unloading are shown in  
250 Fig. 2. Samples show a bimodal distribution of entrance pore diameters. As widely  
251 accepted (e.g. Delage et al., 1996; Tarantino and De Col, 2008; Russo and Modoni, 2013),  
252 microstructure of compacted treated samples is characterized by an aggregate structure,  
253 with smaller pores (associated with modal size of  $\sim 0.18 \mu\text{m}$ ) corresponding to intra-  
254 aggregates pores and larger pores (associated with modal size in the range  $0.6\text{-}1.0 \mu\text{m}$ )  
255 corresponding to inter-aggregates pores.

256 The most significant changes of microstructure induced by the applied load occurs in the  
257 inter-aggregate porosity with a shift of the larger pores ( $0.4\ \mu\text{m} - 10\ \mu\text{m}$ ) towards smaller  
258 pore sizes (Fig. 2a). This shift remains when samples are unloaded (Fig. 2b) indicating  
259 that non-reversible deformation is associated with the change in inter-aggregate porosity,  
260 possibly associated with partial destructure. On the other hand, the intra-aggregate  
261 pores do not appear to be affected by the applied loads.

262 The changes of microstructure associated with the unloading process show a similar  
263 pattern, i.e. only the inter-aggregate porosity is affected by the rebound whereas the intra-  
264 aggregate pores remain unchanged upon unloading (Fig. 2b,c).

265 For comparison, the pore-size distributions of samples KF10 loaded to 714 and 1428 kPa  
266 respectively is compared with the pore-size distribution of different ‘untreated’ kaolinite  
267 samples, two samples compacted to 1200 kPa vertical stress at water contents of 0.10 and  
268 0.24 respectively and then saturated and one sample reconstituted from slurry and loaded  
269 to 70 kPa vertical stress (after Pedrotti, 2016). Despite the very different procedures used  
270 to prepare the kaolinite samples, the saturated kaolinite alone always exhibits a mono-  
271 modal distribution with modal size  $\sim 0.25\ \mu\text{m}$ .

### 272 4.3 *Shear strength*

273 Fig. 4 shows the shearing behaviour of the raw kaolin and the fly ash-based alkali  
274 activated binder treated soil KF10 as a function of curing period for normal stresses of  
275 100 and 200 kPa respectively. All samples show contractive behaviour upon shearing  
276 regardless of the normal stress applied and curing period.

277 At 100 kPa normal stress (Fig. 4a), the alkali activated treated samples KF10 at 1 and 7  
278 days display a very similar behaviour suggesting that chemical reactions have not yet

279 taken place in such a short period. Nonetheless, the mobilised shear stress appears to be  
280 higher than the raw kaolin. At 28-day curing time, the sample appears to be stiffer, shows  
281 a clear peak, and an ultimate shear strength higher than the samples cured for 1 and 7  
282 days.

283 At 200 kPa normal stress (Fig. 4b), the alkali activated treated samples KF10 at 7 days  
284 shows a mobilised shear strength higher than the raw kaolin in the shear displacement  
285 range 0-4mm and then appears to converge to the raw kaolin curve at very large shear  
286 displacements. In contrast, the sample characterised by 28-day curing time exhibits a  
287 mobilised shear strength always higher than the raw kaolin sample and the sample cured  
288 for 7 days.

289 Normal displacements did not always level off at large shear displacements. Data were  
290 therefore replotted by correcting the shear to normal stress ratio  $\tau/\sigma'$  for dilatancy  $\Delta y/\Delta x$ ,  
291 where  $y$  and  $x$  are the normal and shear displacements respectively. Fig. 5 shows all the  
292 tests at 100 kPa normal stress. Samples cured for 1 and 7 days show higher mobilised  
293 shear stress in the low/medium range of shear displacements whereas the curves tend to  
294 converge to the raw kaolin at high shear displacements. On the other hand, the sample  
295 cured for 28 days shows significant gain with respect to the raw kaolin even at large shear  
296 displacements. At large displacements, the stress ratio varies from  $\sim 0.4$  for raw kaolin to  
297  $\sim 0.55$  for KF10 cured for 28 days, corresponding to angles of shearing resistance equal  
298 to  $22^\circ$  and  $29^\circ$  respectively.

299 Fig. 6 compares the stress-displacement curves at 100 and 200 kPa normal stress (for 7  
300 and 28 days curing periods). At large displacements, the curves at 100 and 200 kPa  
301 normal stress converge suggesting that the KF material does not exhibit any effective  
302 cohesion  $c'$  in this range of normal stress for both curing periods. Fig. 6 also shows that

303 the sample cured for 28 days exhibit peak shear strength only when tested at the lower  
304 normal stress (100 kPa) and this peak essentially disappears when the normal stress is  
305 increased to 200 kPa. Finally, Fig. 6 confirms that there is substantial gain of shear  
306 strength as curing period increases from 7 to 28 days.

#### 307 4.4 $^{29}\text{Si}$ MAS-NMR spectra

308 Fig. 7a shows the  $^{29}\text{Si}$  MAS-NMR spectrum for the fly ash alone compared with the  
309 spectra of the fly ash following alkali activation (after 28 days and 6 months). The  
310 appearance of a new resonance located at -85 ppm is associated with the formation of the  
311 binding phase and it corresponds to  $\text{Q}^2$ -type silicon environments in chain structure that  
312 resembles the one of Calcium Silicate Hydrates encountered in Portland Cement (Coudert  
313 et al., 2019, Coudert et al., 2021). From 28 days to 6 months, the resonance associated  
314 with the binding phase does not change neither in amplitude nor in chemical shift value.

315 Fig. 7b shows the  $^{29}\text{Si}$  MAS-NMR spectra for kaolinite mixed with 50% of fly ash (KF50)  
316 at 1 day, 28 days, and 6 months (spectrum of the alkali activated fly ash alone at 28 days  
317 is also shown for reference). The formation of the new resonance located at -85 ppm  
318 associated with the binding phase is only present at 28 days and 6 months but not after 1  
319 day. Fig. 7b also shows that the resonance associated with kaolinite at -91 ppm does not  
320 undergo any modification over time suggesting that the kaolinite has no reactivity.

321 Although Fig. 7b shows the spectra for KF50 mixture (50% fly ash fraction), similar  
322 pattern should be expected for the KF10 mixture (10% fly ash fraction) tested in the  
323 experimental programme presented herein.

## 324 **5 Discussion**

325 This study aimed to address four research questions i) does the alkali-activated calcium-  
326 rich fly ash enhance significantly the mechanical performance of ‘marginal’ clay  
327 geomaterials and how the fly ash-based binder compares with other conventional binders  
328 such as Ordinary Portland Cement (OPC) and lime, ii) what is the timescale of the  
329 physicochemical processes activated by the alkaline solution and their effects on the  
330 mechanical response of the treated clay, iii) what are the mechanisms at the  
331 particle/aggregate scale controlling the macroscopic behaviour of the treated clay, and iv)  
332 what are the engineering applications where this stabilisation can be successfully  
333 envisaged.

### 334 *5.1 Mechanical performance of fly-ash treated clay and comparison with cement-* 335 *treated clay*

336 As shown by the oedometer and direct shear tests results (Fig. 2 and Fig. 5 to Fig. 7), the  
337 addition of alkali activated fly ash increases significantly stiffness and shear strength at  
338 least in certain stress ranges. In Fig. 8 a comparison between the mechanical performance  
339 induced by alkali activated fly ash (KF40) and by Ordinary Portland cement (KC40) for  
340 samples prepared with the same binder content (40% by dry mass of soil) and cured for  
341 7 and 60 days. No relevant changes in the compressibility curves are observed for KF40  
342 and KC40 samples cured for 7 days before testing (Fig. 8A). For longer curing times,  
343 results show a higher compressibility reduction and yield stress increase for KF40 treated  
344 samples compared to cement treated samples (Fig. 8B). The post-yield behaviour induced  
345 by OPC shows the highest slope of the compressibility curve, highlighting a more evident  
346 destructuration stage for cement treated samples at increasing vertical stresses.



## 347 5.2 *Timescale of binding phase formation*

348 The binding phase does not yet form after 1 day as shown by the  $^{29}\text{Si}$  MAS-NMR spectra  
349 for kaolinite mixed with 50% of fly ash (Fig. 7b). At the same time, the comparison  
350 between fly ash alone and fly ash following alkali activation (Fig. 7a ) shows negligible  
351 evolution of the binding phase beyond 28 days. Physicochemical reactions therefore  
352 complete between 1 day and 28 days.

## 353 5.3 *Micro-scale mechanisms*

354 The one-dimensional compression tests Fig. 1 have shown three distinct behaviours. The  
355 raw kaolin shows an almost immediate transition to a normally consolidated state  
356 (compression curve liner in a semi-log plot). According to Pedrotti and Tarantino (2018),  
357 this would be associated with the progressive disengagement of edge-to-face contacts of  
358 the initially ‘flocculated’ fabric occurring at pH values lower the point of Zero Charge  
359 (PZC).

360 In contrast, the treated clay in the very short term (days 1 and 8 following the alkali  
361 activation) shows an over-consolidated behaviour and a transition to normally  
362 consolidated state in the range 357-714 kPa. Since the binding phase does not form yet  
363 after 1 day (Fig. 7b) and the compression curves after 1 and 8 days are very similar (Fig.  
364 1), it can be inferred that the binding phase does not form even after 8 days. As a result,  
365 the different compression behaviour observed for the treated kaolinite after 1 and 8 days  
366 compared to the kaolinite alone would not be due to the formation of the binding phase  
367 but should be sought in the change of pore-water chemistry due to the addition of the  
368 alkaline solution.

369 The alkaline environment generated by the addition of the sodium silicate aqueous  
370 solution makes the kaolinite particles charged negatively on both edge and basal planes  
371 (Wang and Siu 2006) thus preventing the formation of aggregates by edge-to-face  
372 association (flocculated fabric). In kaolinite with negligible electrolyte concentration, this  
373 would generate a ‘dispersed’ fabric with significant reduction in void ratio compared with  
374 clay in acidic environment (Pedrotti and Tarantino, 2018). The increase in pH would  
375 therefore cause a reduction in void ratio rather than an increase as observed in Fig. 1.

376 The higher void ratio of the KF10 material cured for 1 and 8 days observed over the entire  
377 stress range can only be justified by clay particles forming stacks promoted by the reduced  
378 double-layer repulsion in turn induced by the increase of electrolyte concentration  
379 associated with the addition of the alkaline solution (electrolyte concentration would be  
380 of the order of 1 molal if  $\text{Na}_2\text{O}$  dissociated completely in water). This is in line with the  
381 observations by Vitale et al. (2016) who analysed the effect of addition of CaO that,  
382 similarly to  $\text{Na}_2\text{O}$ , also increases both pH and electrolyte concentration.

383 At high stress, the slope of the normal consolidation line (ncl) becomes parallel to the one  
384 of clay alone suggesting similar micro-mechanisms ruling non-reversible behaviour  
385 (Pedrotti and Tarantino, 2018). It can be speculated that stacks are orienting similarly to  
386 individual particles for the case of clay alone (Fig. 9).

387 In the long term (after 28, 60, and 90 days) the binding phase has formed (Fig. 7b) and  
388 this generates a further increase in the pre-consolidation stress (Fig. 1) possibly due to the  
389 bonding of the aggregates. The normal compression line (ncl) remains parallel to the one  
390 measured for samples cured for 1 and 8 days suggesting similar mechanisms for non-  
391 reversible deformation. It can therefore be speculated that the bonds are broken at high

392 stresses and the higher void ratio is simply due to the presence of an additional phase  
393 filling the pore space.

394 The assumption about the aggregate fabric forming in the treated clay is corroborated by  
395 pore-size distribution data. As shown in Fig. 3 , the pore-size distributions of the samples  
396 KF10 loaded to 714 and 1428 kPa respectively are clearly bi-modal with modal sizes of  
397  $\sim 0.15$  and  $\sim 1 \mu\text{m}$  respectively. In contrast, the saturated kaolinite alone always exhibits a  
398 mono-modal distribution with modal size  $\sim 0.25 \mu\text{m}$  regardless of the sample preparation  
399 procedures (by compaction or reconstitution from slurry) and hydro-mechanical history.  
400 As a result, the bi-modal distribution of the treated samples as compared to the mono-  
401 modal distribution of saturated kaolinite alone corroborates the assumption of aggregated  
402 fabric for the treated kaolin.

403 The conceptual microstructural model laid down in Fig. 9 with reference to 1D  
404 compression behaviour can also explain the effect of alkaline activation on shear strength.  
405 In the short term (1 and 8 days), the aggregation induced by the change in pore-water  
406 chemistry would explain the higher shear strength of the treated kaolinite compared to  
407 the raw kaolinite in the intermediate horizontal displacement range (Fig. 5). At large  
408 horizontal displacements, the effect of aggregation seems to be lost, which is in line with  
409 the compressibility of the treated clay at 1 and 8 days recovering the same values of the  
410 compressibility of the raw kaolinite at high compression stresses (Fig. 1).

411 In the long term (28 days), the binding phase generates an increase in shear strength over  
412 the entire displacement range with both peak and ultimate shear strength significantly  
413 enhanced by the formation of the binding phase (Fig. 6). It is worth noticing that the  
414 treated clay experienced no dilatancy upon shearing (Fig. 4a). Peak shear strength is  
415 therefore associated with the presence of the binding phase. The decay of shear strength

416 following peak in the sample tested at 100 kPa normal stress at 28 days is likely associated  
417 with partial breakage of the binding phase. This peak does not appear in the sample tested  
418 at 200 kPa normal stress at 28 days likely because the higher normal stress facilitates  
419 earlier breakage of the binding phase.

#### 420 *5.4 Carbon footprint evaluation*

421 Carbon footprint of soil treated with activated fly ash was evaluated and compared with  
422 that of soil treated with Ordinary Portland cement. As mentioned in Section 5.1, KC40  
423 and KF40 samples were prepared by adding 40% of binder (OP cement or alkali-activated  
424 fly ash respectively) by dry weight of the clay at initial water content of 55% (equal to  
425 liquid limit of KF40). The mass fractions of each phase of samples KC40 and KF40 are  
426 reported in Table 4. The values of embodied carbon  $eCO_2$ , defined as the carbon dioxide  
427 associated with the manufacture and use of a product and expressed in  $kg\ CO_2-e/kg$  were  
428 derived from literature with reference to the cradle-to-gate values. The soil treated with  
429 alkaline-activated fly ash is characterised by emissions (expressed in  $kg\ CO_2-e/ton$ ) that  
430 are 60% lower than soil treated with OP cement at very similar mechanical performance  
431 (Table 4). As widely shown in the literature, the carbon footprint of alkali-activated fly  
432 ash-treated samples is mainly associated with sodium silicate. Nonetheless, the overall  
433 emissions remain substantially lower than the soil treated with Ordinary Portland cement.

#### 434 *5.5 Engineering applications*

435 Results from 1D compression tests show that there is an immediate increase in stiffness  
436 following alkaline activation in the stress range 10-700 kPa and this effect is enhanced in  
437 the long term once the binding phase forms. On the other hand, the beneficial effects in  
438 stiffness is lost at very high stress. This makes alkali activated fly ash a suitable binder

439 for stabilisation of marginal clays, alternative to traditional binders such as lime and/or  
440 cement. The range of stresses where this improvement technique appears to be effective  
441 well fits the typical range of stresses in embankments or earthfills, suggesting it could be  
442 applied successfully to enhance the performance of several geotechnical structures  
443 involving compaction of marginal geomaterials.

## 444 **6 Conclusions**

445 This paper has examined the use of a calcium-rich fly ash from coal combustion activated  
446 by a sodium-based alkaline solution for the treatment of non-active clay in view of its use  
447 as earthfill geomaterial.

448 When subjected to one-dimensional compression, the treated soil shows an increase in  
449 pre-consolidation stress and, hence, an increase in stiffness in the stress range up to ~700  
450 kPa. This increase is observed even in the very short-term (1 day after alkali activation),  
451 i.e. before the binding phase starts to form. This was attributed to the changes in pore-  
452 water chemistry following the addition of the alkaline solution. The increase in electrolyte  
453 concentration is assumed to deplete the double-layer repulsion and promote aggregation  
454 in face-to-face mode. The aggregated fabric of the treated kaolin was confirmed by pore-  
455 size distribution data from mercury intrusion porosimetry. In the long term (curing time  
456  $\geq 28$  days) stiffness appeared to be further enhanced due to the formation of the binding  
457 phase.

458 Peak shear strength also appeared to be significantly enhanced in both short and long term  
459 although effects were more pronounced for curing time  $\geq 28$  days following the formation  
460 of the binding phase. Ultimate shear strength appeared to be enhanced only in the long  
461 term (curing time  $\geq 28$  days) due to the presence of the binding phase creating bonding

462 between clay particles. For short curing periods, the change of pore-water chemistry does  
463 not seem to affect the ultimate shear strength possibly due to the aggregates breaking  
464 down. This study confirms the potential of fly ash-based alkali activated binder for  
465 stabilisation of clay to be used as compacted earthfill material.

466

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- 602

603 **TABLE LEGENDS**

604 Table 1. Chemical composition (wt. %) of raw fly ash and kaolin.

605

606 Table 2. Pre-consolidation pressure for kaolin and alkali activated binder treated kaolin  
607 (KF10) at different curing periods

608

609 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MP}$   
610 measured by Mercury Intrusion Porosimetry.

611

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613 measured by Mercury Intrusion Porosimetry.

614

615

616

617 **FIGURE LEGENDS**

618

619

620 Fig. 1. One-dimensional compression tests on kaolin and alkali activated binder treated  
621 kaolin (KF10) at different curing periods.

622

623 Fig. 2. Frequency distribution of entrance pore size for alkali activated fly ash binder  
624 treated soil KF10 at 28-day curing period (ncl=samples unloaded under undrained  
625 conditions; url=samples unloaded under drained conditions). (a) Samples loaded to 714  
626 and 1428 kPa. (b) Samples unloaded from 714 and 1428 kPa. (c) Samples loaded to and  
627 unloaded from 714 kPa. (d) Samples loaded to and unloaded from 1428 kPa.

628

629 Fig. 3. Frequency distribution of entrance pore size for alkali activated fly ash binder  
630 treated soil KF10 at 28-day curing period compared with kaolin samples i) compacted to  
631 1200 kPa normal stress at water contents of 10% and 24% and then saturated and ii)  
632 sample reconstituted from slurry and loaded to 70 kPa normal stress (after Pedrotti 2016)

633

634 Fig. 4. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
635 different curing periods. (a) normal stress  $\sigma=100$  kPa. (b) normal stress  $\sigma=200$  kPa

636

637 Fig. 5. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
638 different curing periods and normal stress  $\sigma=100$  kPa: shear strength data corrected for  
639 dilatancy.

640

641 Fig. 6. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
642 curing periods 7 and 28 days and normal stresses  $\sigma=100$  kPa and  $\sigma=200$  kPa: shear  
643 strength data corrected for dilatancy.

644

645 Fig. 7.  $^{29}\text{Si}$  MAS-NMR spectra. (a) Raw fly ash and binder F100 at 28 days and 6 months.  
646 (b) alkali activated binder F100 at 28 days and alkali activated kaolin KF50 at 1 and 28  
647 days (after Coudert et al., 2019).

648

649 Fig. 8. Comparison between one dimensional compression tests on alkali activate fly  
650 ash binder treated kaolin and ordinary Portland cement treated kaolin cured for 7 and 60  
651 days.

652

653 Fig. 9. Conceptual model for micro-mechanical behaviour of raw kaolin and alkali  
654 activated fly ash binder treated clay.

655

656

657

658 **TABLES**

659

660 Table 1. Chemical composition (wt. %) of raw fly ash and kaolin.

	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	CaO	CaO <sub>free</sub> <sup>a</sup>	MgO	SO <sub>3</sub>	Na <sub>2</sub> O	K <sub>2</sub> O	H <sub>2</sub> O	L.o.I.
Fly ash	39.4	19.8	7.4	18.6	5.2	1.8	4.1	2.0	1.8	0.0	1.7 <sup>b</sup>
Kaolin	49.2	34.5	1.2	0.0	0.0	0.2	0.0	0.1	1.7	13.1	12.0 <sup>c</sup>

661 <sup>a</sup> Free calcium oxide content662 <sup>b</sup> L.o.I = Loss on ignition 900 °C663 <sup>c</sup> L.o.I = Loss on ignition 1000 °C

664

665 Table 2. Pre-consolidation pressure for kaolin and alkali activated binder treated kaolin

666 (KF10) at different curing periods

	Kaolin	KF10 1d	KF10 7d	KF10 14d	KF28 28d	KF60 60d	KF90 90d
Pre-consolidation stress [kPa]	98	610	670	750	770	790	820
Pre-yield compressibility [kPa <sup>-1</sup> ]	0.131	0.100	0.099	0.080	0.061	0.058	0.057

667

668 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MIP}$ 

669 measured by Mercury Intrusion Porosimetry.

	$e$	$e_{MIP - ncl}$	$e_{MIP - url}$
KF10 - 28 days - 714 kPa	1.22	1.48	1.58
KF10 - 28 days - 1428 kPa	1.10	1.41	1.39

670

671



672

673 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MP}$ 

674 measured by Mercury Intrusion Porosimetry.

<b>KC40</b>	$eCO_2$ (kgCO <sub>2</sub> -e/kg)	Mass fraction (%)	emissions (kgCO <sub>2</sub> -e/t)
clay		46.1	-
OP cement	0.82 (Turner & Collins 2013)	18.4	151.1
water	0.0003 (Long et al. 2015)	35.5	0.11
TOTAL		100	151.2

<b>KF40</b>	$eCO_2$ (kgCO <sub>2</sub> -e/kg)	Mass fraction (%)	emissions (kgCO <sub>2</sub> -e/t)
clay		41.9	-
fly ash	0.027 (Turner & Collins 2013)	16.7	4.5
water <sup>1</sup>	0.0003 (Long et al. 2015)	37.7	0.1
sodium silicate	1.514 (Turner & Collins 2013)	3.7	55.7
TOTAL		100	60.3

<sup>1</sup> pore water + water in alkaline solution

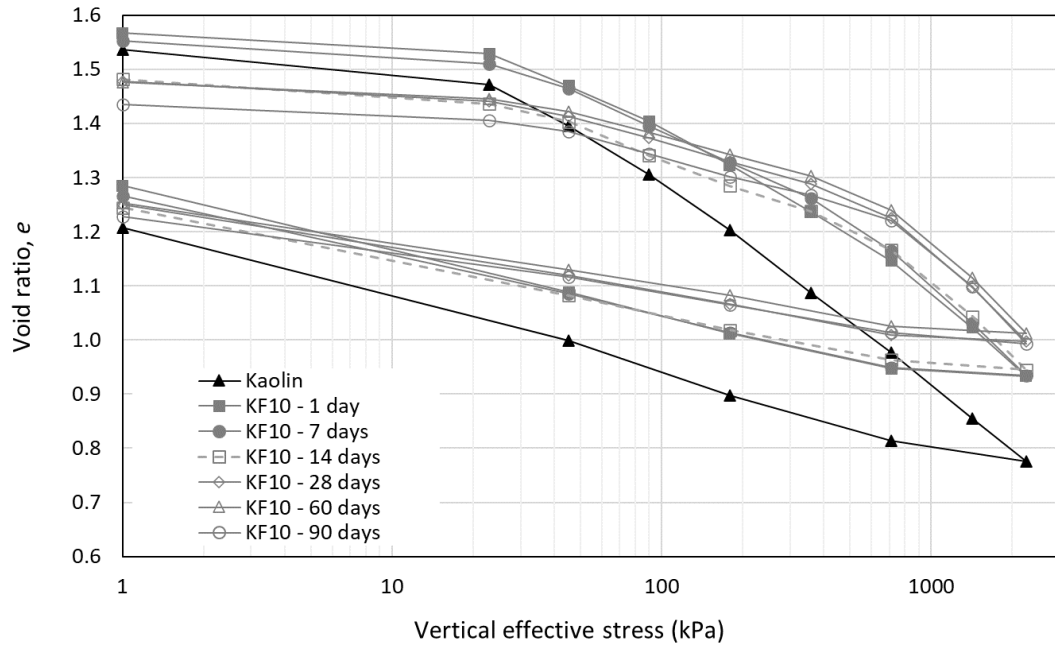
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679 **FIGURES**  
 680

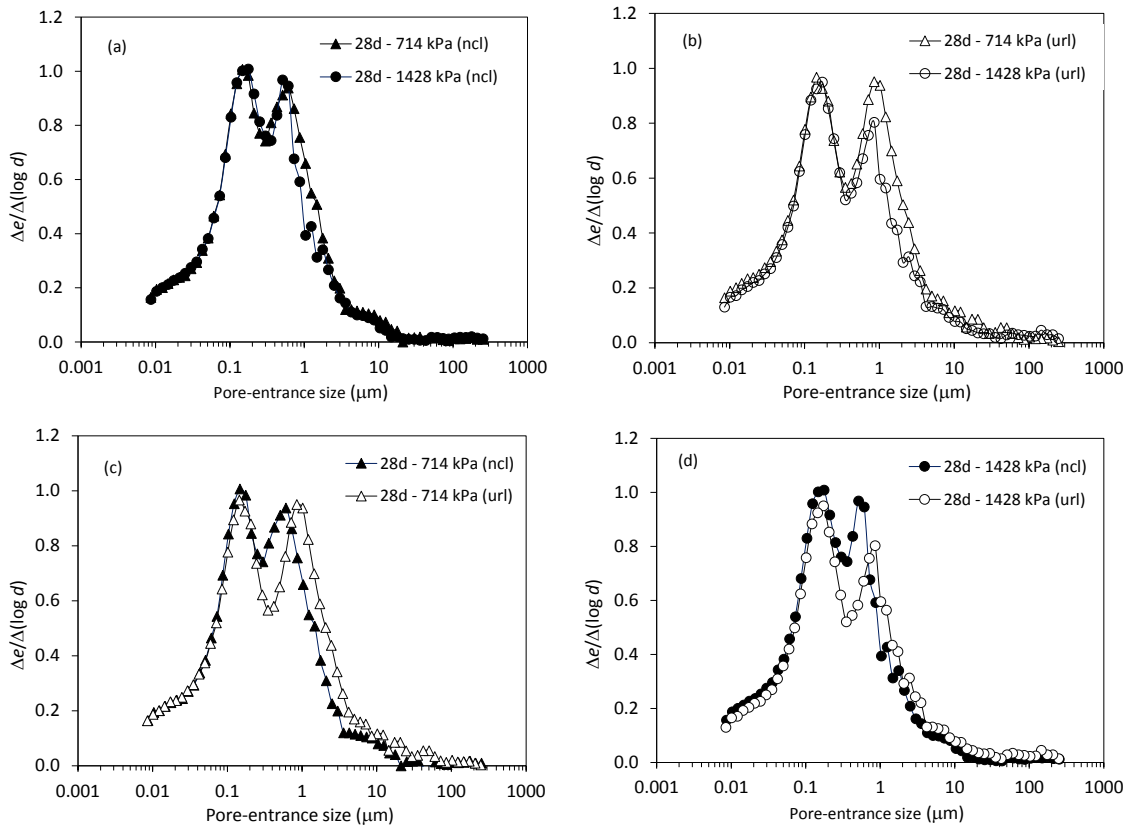


681

682 Fig. 1. One-dimensional compression tests on kaolin and alkali activated binder treated  
 683 kaolin (KF10) at different curing periods.

684

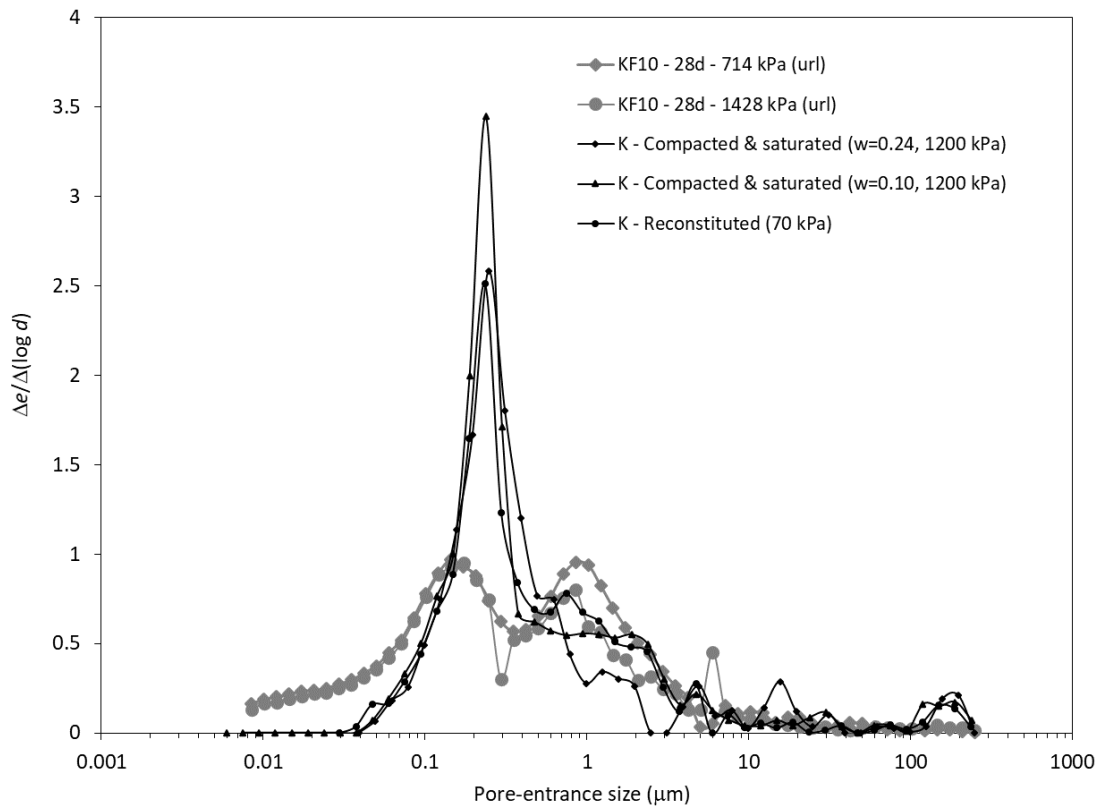
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686

687 Fig. 2. Frequency distribution of entrance pore size for alkali activated fly ash binder  
 688 treated soil KF10 at 28-day curing period (ncl=samples unloaded under undrained  
 689 conditions; url=samples unloaded under drained conditions). (a) Samples loaded to 714  
 690 and 1428 kPa. (b) Samples unloaded from 714 and 1428 kPa. (c) Samples loaded to and  
 691 unloaded from 714 kPa. (d) Samples loaded to and unloaded from 1428 kPa.

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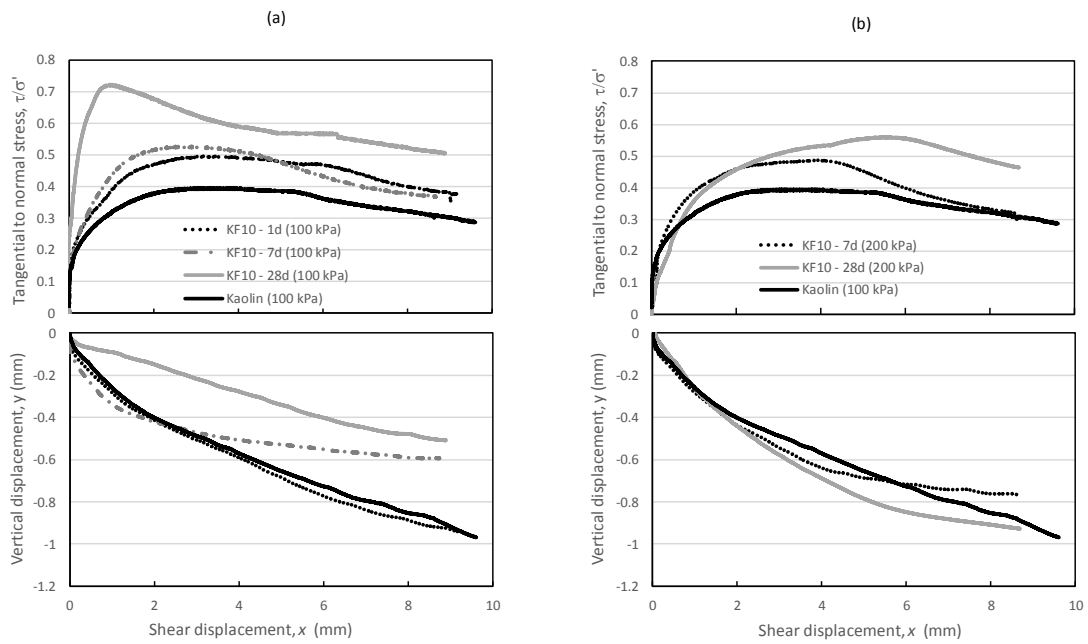


693

694 Fig. 3. Frequency distribution of entrance pore size for alkali activated fly ash binder  
 695 treated soil KF10 at 28-day curing period compared with kaolin samples i) compacted to  
 696 1200 kPa normal stress at water contents of 10% and 24% and then saturated and ii)  
 697 sample reconstituted from slurry and loaded to 70 kPa normal stress (after Pedrotti 2016)

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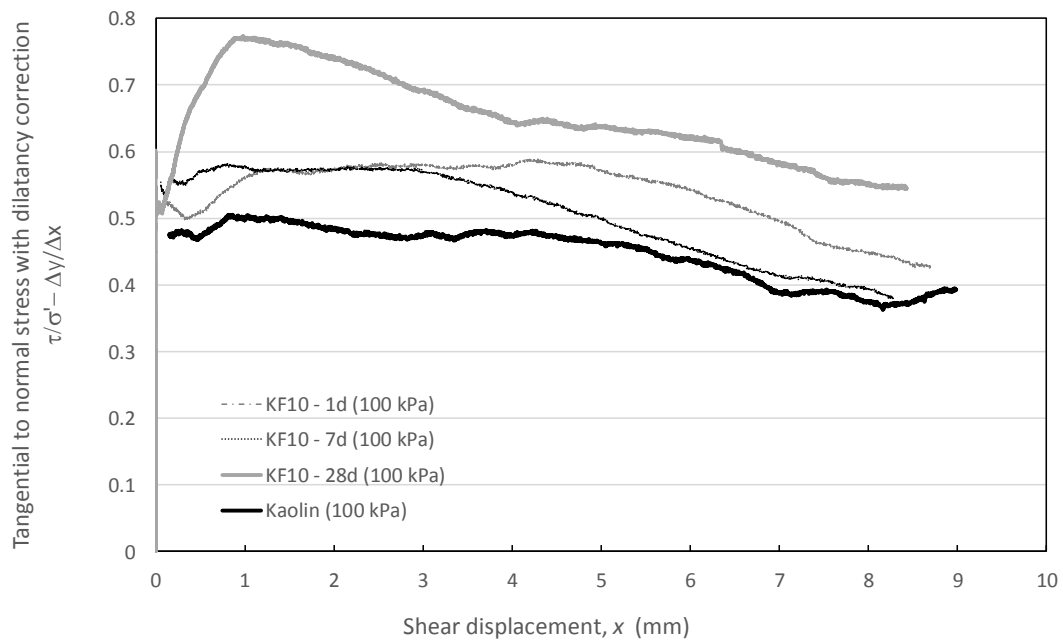


700

701

702 Fig. 4. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at

703 different curing periods. (a) normal stress  $\sigma=100$  kPa. (b) normal stress  $\sigma=200$  kPa

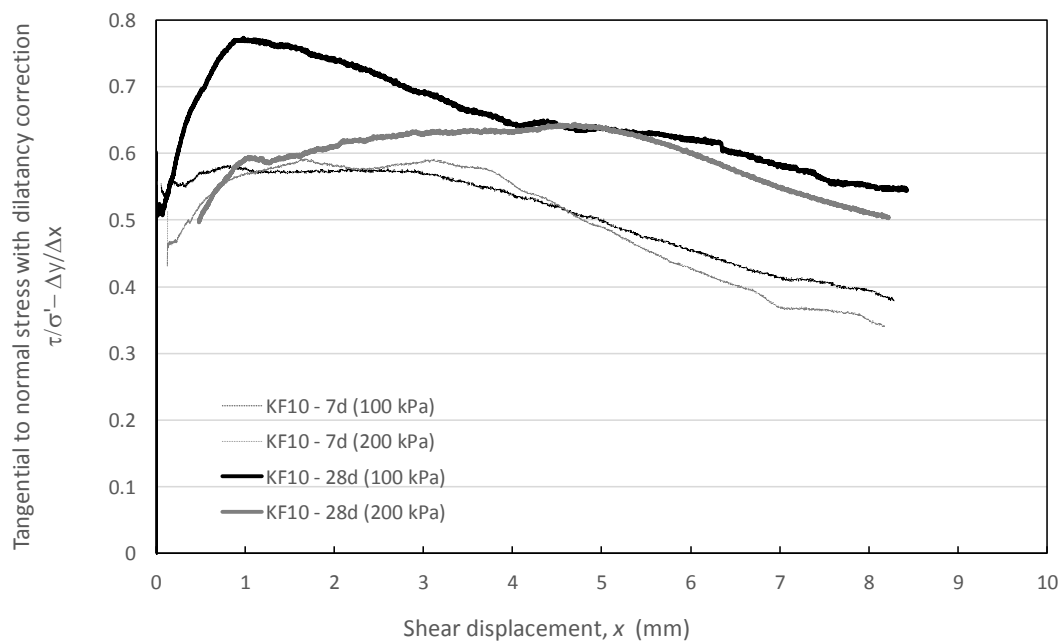


704

705 Fig. 5. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
 706 different curing periods and normal stress  $\sigma=100$  kPa: shear strength data corrected for  
 707 dilatancy.

708

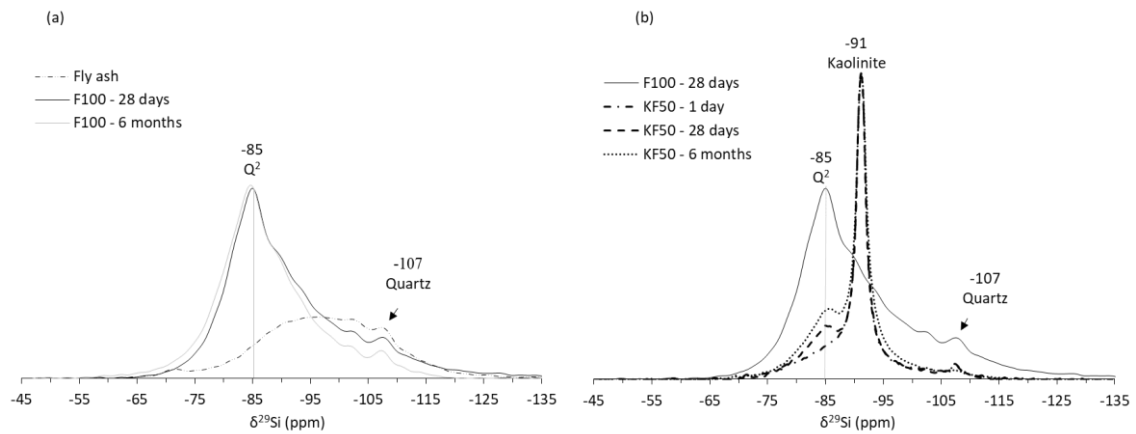
709



710

711 Fig. 6. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
 712 curing periods 7 and 28 days and normal stresses  $\sigma=100$  kPa and  $\sigma=200$  kPa: shear  
 713 strength data corrected for dilatancy.

714



715

716

717 Fig. 7.  $^{29}\text{Si}$  MAS-NMR spectra. (a) Raw fly ash and binder F100 at 28 days and 6

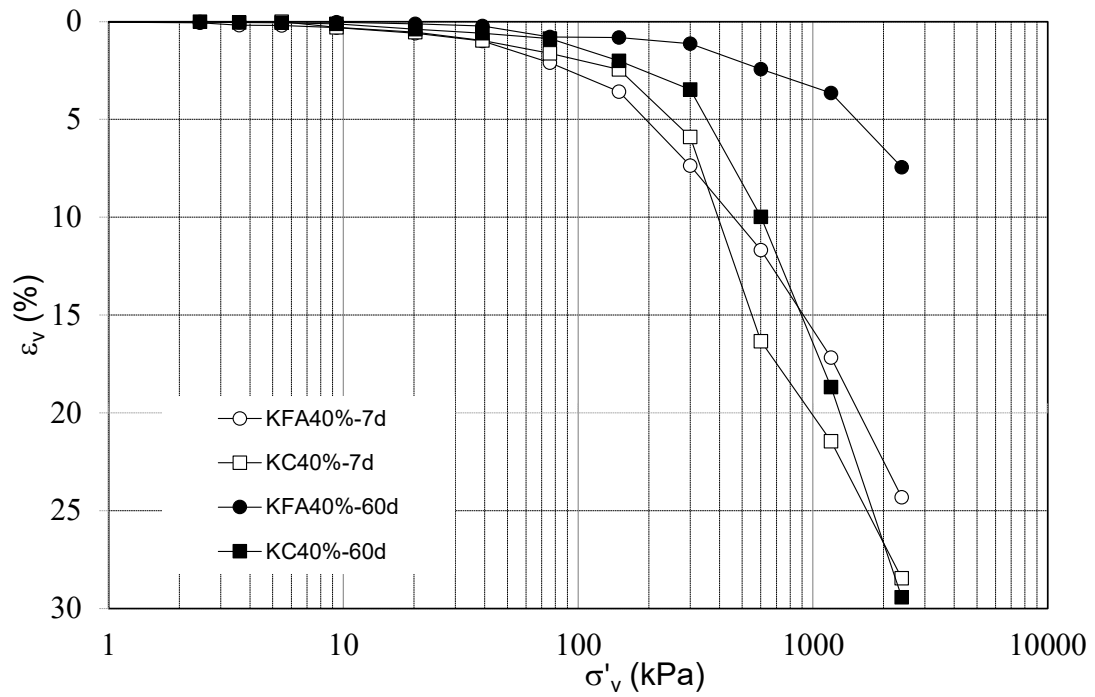
718 months. (b) alkali activated binder F100 at 28 days and alkali activated kaolin KF50 at 1

719 and 28 days (after Coudert et al., 2019).

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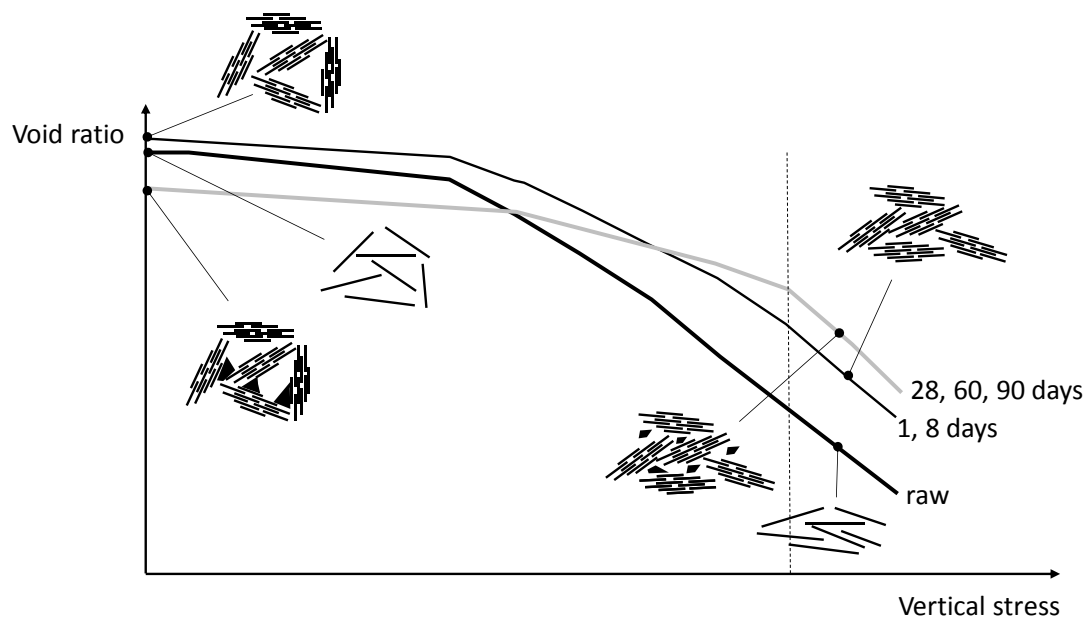




722  
 723 Fig. 8. Comparison between one dimensional compression tests on alkali activate fly  
 724 ash binder treated kaolin and ordinary Portland cement treated kaolin cured for 7 and 60  
 725 days.

726

727



728

729 Fig. 9. Conceptual model for micro-mechanical behaviour of raw kaolin and alkali  
730 activated fly ash binder treated clay.

731

732

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Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder

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KEYWORDS

Soil stabilisation

Alkali activated material

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1 **Abstract**

2 Locally sourced marginal earthfill geomaterials are generally not used in traditional  
3 earthfill construction due to their relatively poor mechanical performance. However, if  
4 these geomaterials are stabilised, procuring and transporting of materials from borrow  
5 sites can be avoided with significant carbon saving. Further carbon saving can be  
6 achieved by using industrial waste as binder in place of conventional high-carbon  
7 footprint stabilisers such as lime and Ordinary Portland Cement. This paper examines the  
8 use of a calcium-rich fly ash from coal combustion activated by a sodium-based alkaline  
9 solution for the treatment of non-active clay in view of its use as earthfill geomaterial. To  
10 this end, kaolinite clay/fly ash (90/10) samples were compacted, cured for different  
11 periods, saturated, and subjected to one-dimensional compression and direct shear tests.  
12 The major outcome from 1D compression tests is that stiffness is enhanced significantly  
13 even in the very short-term (1 day after alkali activation), i.e. before the binding phase  
14 starts to form. This was attributed to the changes in pore-water chemistry (increase in pH  
15 and electrolyte concentration) following the addition of the alkaline solution and the  
16 formation of aggregates in face-to-face mode. In the long term (curing time  $\geq 28$ days)  
17 stiffness appeared to be further enhanced due to the formation of the binding phase. These  
18 effects were more pronounced in the low-intermediate stress range ( $< \sim 700$  kPa) making  
19 the alkali activation a good soil treatment for roadway embankments. Peak shear strength  
20 also appeared to be significantly enhanced in both short and long term although effects  
21 were more pronounced for curing time  $\geq 28$ days following the formation of the binding  
22 phase. Ultimate shear strength is enhanced only in the long term (curing time  $\geq 28$ days).  
23

# 24 **Mechanical behaviour of compacted kaolin clay stabilised via** 25 **alkali activated calcium-rich fly ash binder**

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Coudert E, Deneele D, Russo G, Tarantino A

## 30 **1 Introduction**

31 Soft clay-rich soils are often encountered in construction sites. These soils cannot be  
32 directly used as earthfill materials and may cause problems in earthworks because of their  
33 poor mechanical performance. To improve their engineering characteristics Ordinary  
34 Portland Cement or lime are commonly used as soil stabilisers. These are associated with  
35 high carbon dioxide emissions and energy intensive processes, which increase  
36 significantly worldwide carbon footprint (Scrivener and Kirkpatrick, 2008). As a  
37 substitute, the use of Alkali Activated Materials (AAM) has received increasing attention  
38 over the last decade. In fact, AAMs present a much lower CO<sub>2</sub> emission process compared  
39 to traditional Ordinary Portland Cement (OPC) (Provis and van Deventer, 2014).

40 The CO<sub>2</sub> reduction associated with the replacement of OPC with AAM has been assessed  
41 for the case of concrete. Based on a lifecycle analysis, Weil et al. (2009) concluded that  
42 the Global Warming Potential (GWP) of alkali-activated fly ash concrete is  
43 approximately 70% lower than that of OPC concrete. Yang et al. (2013) demonstrated via  
44 Life Cycle Assessment including contributions due to constituent steps, production,  
45 curing, and transportation that alkali-activated concrete reduces CO<sub>2</sub> emissions by 25%  
46 to 57% with respect to OPC concrete at the same mechanical performance. Similar  
47 conclusions were drawn by Robayo-Salazar et al. (2018) who showed that CO<sub>2</sub> emissions  
48 can be reduced by 44.7% for alkali-activated binary concrete (AABC) using natural

49 volcanic pozzolan or granulated blast furnace slag (at equal or even higher compressive  
50 strength). In the field of soil stabilisation, Ghadir et al. (2021) developed a comparative  
51 estimation of environmental impacts of the use of OPC and alkali-activated volcanic ash  
52 in soil stabilisation using life cycle assessment LCA, limited to the production of the  
53 required cement and alkali-activated binder for stabilization of 1 m<sup>3</sup> functional unit of  
54 clayey soil with similar shear strength. Even if the amount of AAB used was twice  
55 compared with the OPC, with reference to carbon emissions (evaluated as kgCO<sub>2</sub>-e), the  
56 AAB had a lesser impact than OPC. Zahmak et al. (2021) in a comparative LCA analysis  
57 of different AABs for soil stabilisation showed the impact of their composition on carbon  
58 footprint. They suggest to optimize the constituents of the AAB mix, possibly by reducing  
59 the alkali activators content and/or increasing the precursors content, in order to meet the  
60 required mechanical performance without compromising the environmental impact.

61 Recent studies have proved that alkali activated binders can be used successfully for  
62 stabilisation of a wide range of soils including clayey soil (Wilkinson et al., 2010; Singhi  
63 et al., 2016), sandy clay (Cristelo et al., 2011), marl, marlstone (Cristelo et al., 2012), silty  
64 sand (Rios et al., 2016) and road aggregates (Tenn et al., 2015). Applications have  
65 included deep soft soil improvement (Cristelo et al., 2011) and rammed earth construction  
66 (Silva et al., 2013).

67 However, these studies have mainly focused on soil-fly ash samples prepared form  
68 slurry state. When compacted fly ash samples were tested, investigation of mechanical  
69 properties was limited to unconfined compressive strength (UCS).

70 To investigate whether alkali activated fly ash can be potentially used to stabilise  
71 marginal clays in turn to be used as construction material for earth structures,  
72 compressibility and shear strength properties should be tested. From a practical point of

73 view, the time scale of the effects of alkali activation should also be investigated as this  
74 affects the construction process and the time required for the embankments to come into  
75 service after construction.

76 This paper presents an experimental investigation of the mechanical behaviour of  
77 compacted clay stabilised with alkali activated fly ash. Oedometer and direct shear tests  
78 were carried out to investigate compressibility and shear strength of the clay-fly ash  
79 mixtures, which were compacted and then cured for different periods following alkali  
80 activation. Mercury intrusion porosimetry tests were also conducted to investigate  
81 microstructural features of samples prepared by mixing clay and alkali-activated fly ash.

82 A calcium-rich fly ash from coal combustion was used in this study, which was  
83 activated by a sodium-based alkaline solution. Kaolin was selected as its reactivity to  
84 alkaline activation at ambient temperature is negligible, and hence it does not interfere in  
85 the reaction sequence allowing for a study of the binder effect only. Besides, kaolin  
86 represents a wide class of clays encountered in engineering projects and so it is considered  
87 here as a model soil.

## 88 **2 Background**

89 Alkali Activated Materials derive from the reaction between solid aluminosilicate powder  
90 (usually metakaolin, fly ash, blast furnace slag or natural pozzolan) and alkali metal  
91 source (most commonly alkali hydroxide and/or alkali silicate solutions) (Buchwald et  
92 al., 2003; Shi et al., 2006). The resulting material is a binder system cured at room  
93 temperature with mechanical properties and durability potentially suitable for Portland  
94 cement replacement. The supply of an alkali metal source via an alkaline solution raises  
95 the pH of the reaction mixture and accelerates the dissolution of the aluminosilicate

96 powder. Its dissolution releases some of its constitutive elements into the medium that  
97 combine with the ions of the alkaline solution to form a binding phase. Different binding  
98 phases are formed depending on the nature of ions dissolved from the aluminosilicate  
99 source. For example, the binding phase consists of Calcium Silicate Hydrate (as in  
100 Portland cement) if the ion released by the aluminosilicate source is calcium and consists  
101 of a three-dimensional aluminosilicate network known as a geopolymer binder if the ions  
102 released by the aluminosilicate source are mainly silicon and aluminium.

103 The pH generated by the supply of the alkali metal source can reach values as high as 14  
104 according to Paudel et al. (2020), who measured pH of pore-water extracted from Alkali-  
105 Activated Fly Ash concrete. However, pH decreases over time during curing to around  
106 13 as the hydroxyl ion  $\text{OH}^-$  is progressively consumed in the polycondensation reactions.  
107 Alkaline soils may potentially limit the availability of nutrients to plants. However, recent  
108 studies by Golek and Gula (2020) have shown that plant growth in topsoil overlying the  
109 alkali-activated binder treated soil layers is not inhibited or damaged due to the plant  
110 ability to self-regulate the pH of the environment in which they grow.

### 111 **3 Materials and methods**

#### 112 3.1 *Materials*

113 A Polish fly ash derived from hard coal and coal slime combustion in fluidised bed boiler  
114 was used in this study. It consists primarily of  $\text{SiO}_2$ ,  $\text{Al}_2\text{O}_3$  and  $\text{CaO}$  (Table 1). The fly  
115 ash contains 52% of particles sized lower than  $45\ \mu\text{m}$  and 41% lower than  $10\ \mu\text{m}$ .  
116 Speswhite kaolin provided by Imerys Minerals UK was also used in this study (Table 1).  
117 It is mainly constituted of kaolinite (95%) and secondarily of muscovite (4%) (Chemed,  
118 2015). The kaolin contains, approximately, 100 % of particles sized lower than  $10\ \mu\text{m}$



119 and 80 % lower than 2  $\mu\text{m}$ . Its specific gravity is  $G_s = 2.60$ . The liquid and plastic limits  
120 are 70 % and 32 % respectively giving a plasticity index of 38 % (Vitale et al., 2016).

121 The alkaline solution used consisted of a sodium silicate aqueous solution with a molar  
122 ratio  $\text{SiO}_2/\text{Na}_2\text{O}$  of 1.7, dry mass percentage of 44%, and density of  $1.55\text{g}/\text{cm}^3$  (supplied  
123 by Woellner Group and under the commercial name GEOSIL 34417).

### 124 3.2 *Sample preparation*

125 Sample preparation was designed to mimic the process that would be adopted in  
126 embankment construction. The alkaline solution (sodium silicate and water) was stirred  
127 for one hour (Vitale et al. 2017, Sitarz et al. 2020) and then sprayed on the mix of  
128 aluminosilicate (fly ash) and kaolin powders previously mixed together. Kaolin and fly  
129 ash were mixed in proportion 90/10 by dry mass (mix referred to as KF10) (Cristelo et  
130 al. 2012, Sargent et al. 2013, Zhang et al. 2013). This ratio is in line with the fraction of  
131 Portland cement typically added to soil to be stabilised (in the range 7 to 12 % according  
132 to Petry and Wohlgemuth, 1988).

133 The wet powder was statically compacted (Zhang et al. 2013, Sargent et al. 2013  
134 Phummiphan et al. 2016, Rios et al. 2016) to a vertical stress of 600 kPa (maintained for  
135 1h). Once the compaction load was removed, the samples were cured for 1, 7, 14, 28, 60  
136 or 90 days at 20 °C inside their moulds. Finally, samples within their mould were stored  
137 under vacuum to avoid carbonation during curing (Coudert et al. 2019, Vitale et al. 2021).  
138 The mould was the oedometer ring for samples to be tested in one-dimensional  
139 compression and a purposely designed 3D printed plastic mould for samples to be tested  
140 in direct shear tests.

141 The initial water content was fixed to 28% for all the samples, which corresponds to the  
142 optimum moisture content determined by Proctor compaction test (Sivakumar and  
143 Wheeler, 2000). Additionally, the mass ratio of alkaline solution to fly ash was fixed to  
144 50% (Duxson et al., 2005; Criado et al., 2007, Pummihpan et al. 2019, Abdullah et al.  
145 2019), giving the initial molar ratios (considering that kaolin is unreactive): Si/Al = 1.2,  
146 Si/Na = 2.7 and Al/Na = 2.1. It is worth noticing that the Al/Na ratio was not fixed to one,  
147 which is the value that optimises the properties of alkali activated materials. The ratio  
148 Al/Na = 1 is adequate to provide a charge-balancing of the negative  $\text{AlO}_4^-$  tetrahedron  
149 charge but not in excess to promote efflorescence that may disrupt the polymerisation  
150 process (Barbosa et al., 2000). In the case examined in this work, the presence of calcium  
151 ions in high quantity plays a role of charge compensation as well as sodium and this is  
152 the reason for accepting an Al/Na ratio different from one.

### 153 3.3 *Methods*

#### 154 3.3.1 *One-dimensional compression test*

155 Oedometer tests were performed on compacted samples in standard oedometer cell. After  
156 saturation by filling the external container with water, vertical stress  $\sigma_v$  was applied in  
157 steps ( $\Delta\sigma_v/\sigma_v = 1$ ) up to 2220 kPa and then reversed. Samples were allowed to consolidate  
158 under each loading. As consolidation time  $t_{100}$  was of the order of a few minutes, total  
159 test duration could be maintained within 1 day thus avoiding significant curing inside the  
160 oedometer cell.

#### 161 3.3.2 *Mercury Intrusion Porosimetry (MIP)*

162 MIP tests were carried out on samples removed from the oedometer cell at a given vertical  
163 stress in order to capture microstructural features of samples induced by loading along

164 the compressibility curve. MIP tests were performed on freeze dried samples by a double  
165 chamber Micromeritics Autopore III apparatus. In the filling apparatus (dilatometer)  
166 samples were outgassed under vacuum and then filled by mercury allowing increase of  
167 absolute pressure up to ambient one. Using the same unit, the intrusion pressure was than  
168 raised up to approximately 200 kPa by means of compressed air. The detected pore-  
169 entrance sizes ranged between 134  $\mu\text{m}$  and 7.3  $\mu\text{m}$  (approximately 0.01 MPa - 0.2 MPa  
170 for a mercury contact angle of  $139^\circ$ ). After depressurisation to ambient pressure, samples  
171 were transferred to high-pressure unit, where mercury pressure was increased up to 205  
172 MPa following a previously set intrusion program. The smallest detected entrance pore  
173 diameter was about 7 nm. Corrections to pore-size distribution due to compressibility of  
174 intrusion system were applied performing a blank test.

175 Samples tested in the MIP were obtained by imposing a vertical stress of either 714 or  
176 1428 kPa. Two different samples for each stress level were prepared, as suggested by  
177 Pedrotti and Tarantino (2018). After reaching the target applied stress, the first sample  
178 was quickly unloaded in one single step to minimise recovering of volumetric strains  
179 (undrained conditions). The sample was then rapidly removed from the oedometer and  
180 sealed in plastic bag to prevent water loss until the freeze-drying was performed. This  
181 sample will be referred to as '*ncl*' as it was expected to maintain the microstructure of the  
182 material along the normal compression line. The second sample was unloaded under  
183 drained conditions, allowing the rebound of the structure and the recovering of elastic  
184 strains. This sample will be referred to as '*url*' as it was expected to maintain the  
185 microstructure of the material along the unloading-reloading line.

186 3.3.3 *Direct shear test*

187 Direct shear tests were performed according to ASTM D 3080-90 (ASTM D 3080-90,  
188 1994) on compacted samples in a standard shear box. Cured samples were transferred to  
189 the shear box by centring the 3D printed mould onto the shear box upper half and pushing  
190 down the sample using a load frame with the help of a wooden pusher. Samples were  
191 fully saturated by filling the external container with water and vertical displacements were  
192 monitored. After saturation, a normal stress of 100 kPa or 200 kPa was applied in steps  
193 until full consolidation was achieved. The highest consolidation time  $t_{100}$  associated with  
194 primary consolidation was recorded for the kaolin alone at 100 kPa vertical stress ( $t_{100}=5$   
195 min). The minimum time to failure  $t_f$  in shear was then calculated according to Head  
196 (1994) as  $t_f = 12.7 \cdot t_{100}$  ( $t_f=63.5$  min). Shear displacement was applied at a rate of 0.04  
197 mm/min, which returns a time to failure of 200 min for a total shear displacement of 8mm.  
198 The actual time to failure adopted was well in excess time the minimum time to failure  
199 calculated according to Head (1994).

200 3.3.4  *$^{29}\text{Si}$  NMR spectroscopy*

201 Solid-state  $^{29}\text{Si}$  NMR spectroscopy was performed on i) fly ash alone and fly ash  
202 following alkali activation after 28 days and 6 months and ii) kaolinite mixed with 50%  
203 of fly ash (KF50) at 1 day, 28 days, and 6 months. These measurements were aimed at  
204 investigating the physicochemical evolution occurring in the alkali activated high-  
205 calcium fly ash.

206 Solid-state  $^{29}\text{Si}$  NMR spectroscopy was performed using a Bruker Avance III 300 MHz  
207 (7 T) spectrometer and 7 mm MAS probe.  $^{29}\text{Si}$  MAS spectra were acquired with a single  
208  $\pi/2$  pulse excitation of 5.5  $\mu\text{s}$  and  $^1\text{H}$  decoupling. The repetition times were 2 s, 120 s and  
209 30 s for the raw high-calcium fly ash, the raw kaolin and all the activated samples,

210 respectively. For all  $^{29}\text{Si}$  spectra, MAS spinning rate was set to 5 kHz. Spectra were  
211 referenced against TMS (tetramethylsilane) for  $^{29}\text{Si}$ .

## 212 **4 Results**

### 213 *4.1 One-dimensional compression*

214 Fig. 1 shows compressibility curves of the raw kaolin and the treated kaolin (KF10) as a  
215 function of curing period. The samples with curing period of 1d and 7d show very similar  
216 initial void ratio (following saturation) as the raw kaolin. Upon loading, the material  
217 KF10 maintains a higher void ratio although the normal compression line attained  
218 eventually appears to be parallel to the one of the raw kaolin (in a semi-log scale).

219 The sample with curing period of 14 days shows an initial void ratio (after saturation)  
220 lower than raw kaolin and the KF10 samples cured for 1 and 7 days. However, its  
221 compression curve re-joins the curves of samples cured for 1 and 7 days at 357 kPa.

222 The samples with curing periods of 28, 60, and 90 days also show an initial lower void  
223 ratio. The compression curves are very similar and show a normal compression branch  
224 higher than the one of the samples cured for 1, 7, and 14 days (although the normal  
225 compression lines still appears to be parallel to the ones of samples cured for 1, 7, and 14  
226 days).

227 Table 2 reports the pre-consolidation stress and the pre-yield compressibility for Pre-  
228 consolidation pressure for kaolin and alkali activated binder treated kaolin (KF10) at  
229 different curing periods. The treated kaolin at curing periods equal or greater than 28 days  
230 shows a markedly lower compressibility than kaolin alone.

231 The unloading curves are consistent with the loading behaviour. The samples with curing  
232 period of 1, 7, and 14 days, which presented similar behaviour in loading, also show

233 similar response in unloading and are stiffer than raw kaolin. The samples with curing  
234 period of 28, 60, and 90 days, which presented similar behaviour in loading, also show  
235 similar response in unloading and stiffer response than samples cured for 1, 7, and 14  
236 days.

237 Overall, the response in compression for short curing period (1 and 7 days) appears  
238 significantly different from the one observed for long curing periods (28, 60, and 90  
239 days). The sample cured for 14 days shows intermediate behaviour.

#### 240 4.2 Pore-size distribution (PSD)

241 To investigate the effect of loads on the microstructure of the treated soil, mercury  
242 intrusion porosimetry analyses were performed at 28 days on treated samples subjected  
243 to either 714 or 1428 kPa. As shown in Fig. 1, the 714 kPa stress is approximately  
244 associated with the yielding stress whereas the 1428 kPa stress is associated with a post-  
245 yielding stress state. The quality of sample preparation by freeze-drying was checked by  
246 comparing the void ratio measured in a traditional way (via oven-drying) with the void  
247 ratio measured via the volume of mercury intruding the sample. As shown in Table 3,  
248 there is a fair match between the two measurements.

249 The pore-entrance size frequency distributions before and after unloading are shown in  
250 Fig. 2. Samples show a bimodal distribution of entrance pore diameters. As widely  
251 accepted (e.g. Delage et al., 1996; Tarantino and De Col, 2008; Russo and Modoni, 2013),  
252 microstructure of compacted treated samples is characterized by an aggregate structure,  
253 with smaller pores (associated with modal size of  $\sim 0.18 \mu\text{m}$ ) corresponding to intra-  
254 aggregates pores and larger pores (associated with modal size in the range  $0.6\text{-}1.0 \mu\text{m}$ )  
255 corresponding to inter-aggregates pores.

256 The most significant changes of microstructure induced by the applied load occurs in the  
257 inter-aggregate porosity with a shift of the larger pores ( $0.4\ \mu\text{m} - 10\ \mu\text{m}$ ) towards smaller  
258 pore sizes (Fig. 2a). This shift remains when samples are unloaded (Fig. 2b) indicating  
259 that non-reversible deformation is associated with the change in inter-aggregate porosity,  
260 possibly associated with partial destructure. On the other hand, the intra-aggregate  
261 pores do not appear to be affected by the applied loads.

262 The changes of microstructure associated with the unloading process show a similar  
263 pattern, i.e. only the inter-aggregate porosity is affected by the rebound whereas the intra-  
264 aggregate pores remain unchanged upon unloading (Fig. 2b,c).

265 For comparison, the pore-size distributions of samples KF10 loaded to 714 and 1428 kPa  
266 respectively is compared with the pore-size distribution of different ‘untreated’ kaolinite  
267 samples, two samples compacted to 1200 kPa vertical stress at water contents of 0.10 and  
268 0.24 respectively and then saturated and one sample reconstituted from slurry and loaded  
269 to 70 kPa vertical stress (after Pedrotti, 2016). Despite the very different procedures used  
270 to prepare the kaolinite samples, the saturated kaolinite alone always exhibits a mono-  
271 modal distribution with modal size  $\sim 0.25\ \mu\text{m}$ .

#### 272 4.3 *Shear strength*

273 Fig. 4 shows the shearing behaviour of the raw kaolin and the fly ash-based alkali  
274 activated binder treated soil KF10 as a function of curing period for normal stresses of  
275 100 and 200 kPa respectively. All samples show contractive behaviour upon shearing  
276 regardless of the normal stress applied and curing period.

277 At 100 kPa normal stress (Fig. 4a), the alkali activated treated samples KF10 at 1 and 7  
278 days display a very similar behaviour suggesting that chemical reactions have not yet

279 taken place in such a short period. Nonetheless, the mobilised shear stress appears to be  
280 higher than the raw kaolin. At 28-day curing time, the sample appears to be stiffer, shows  
281 a clear peak, and an ultimate shear strength higher than the samples cured for 1 and 7  
282 days.

283 At 200 kPa normal stress (Fig. 4b), the alkali activated treated samples KF10 at 7 days  
284 shows a mobilised shear strength higher than the raw kaolin in the shear displacement  
285 range 0-4mm and then appears to converge to the raw kaolin curve at very large shear  
286 displacements. In contrast, the sample characterised by 28-day curing time exhibits a  
287 mobilised shear strength always higher than the raw kaolin sample and the sample cured  
288 for 7 days.

289 Normal displacements did not always level off at large shear displacements. Data were  
290 therefore replotted by correcting the shear to normal stress ratio  $\tau/\sigma'$  for dilatancy  $\Delta y/\Delta x$ ,  
291 where  $y$  and  $x$  are the normal and shear displacements respectively. Fig. 5 shows all the  
292 tests at 100 kPa normal stress. Samples cured for 1 and 7 days show higher mobilised  
293 shear stress in the low/medium range of shear displacements whereas the curves tend to  
294 converge to the raw kaolin at high shear displacements. On the other hand, the sample  
295 cured for 28 days shows significant gain with respect to the raw kaolin even at large shear  
296 displacements. At large displacements, the stress ratio varies from  $\sim 0.4$  for raw kaolin to  
297  $\sim 0.55$  for KF10 cured for 28 days, corresponding to angles of shearing resistance equal  
298 to  $22^\circ$  and  $29^\circ$  respectively.

299 Fig. 6 compares the stress-displacement curves at 100 and 200 kPa normal stress (for 7  
300 and 28 days curing periods). At large displacements, the curves at 100 and 200 kPa  
301 normal stress converge suggesting that the KF material does not exhibit any effective  
302 cohesion  $c'$  in this range of normal stress for both curing periods. Fig. 6 also shows that



303 the sample cured for 28 days exhibit peak shear strength only when tested at the lower  
304 normal stress (100 kPa) and this peak essentially disappears when the normal stress is  
305 increased to 200 kPa. Finally, Fig. 6 confirms that there is substantial gain of shear  
306 strength as curing period increases from 7 to 28 days.

#### 307 4.4 $^{29}\text{Si}$ MAS-NMR spectra

308 Fig. 7a shows the  $^{29}\text{Si}$  MAS-NMR spectrum for the fly ash alone compared with the  
309 spectra of the fly ash following alkali activation (after 28 days and 6 months). The  
310 appearance of a new resonance located at -85 ppm is associated with the formation of the  
311 binding phase and it corresponds to  $\text{Q}^2$ -type silicon environments in chain structure that  
312 resembles the one of Calcium Silicate Hydrates encountered in Portland Cement (Coudert  
313 et al., 2019, Coudert et al., 2021). From 28 days to 6 months, the resonance associated  
314 with the binding phase does not change neither in amplitude nor in chemical shift value.

315 Fig. 7b shows the  $^{29}\text{Si}$  MAS-NMR spectra for kaolinite mixed with 50% of fly ash (KF50)  
316 at 1 day, 28 days, and 6 months (spectrum of the alkali activated fly ash alone at 28 days  
317 is also shown for reference). The formation of the new resonance located at -85 ppm  
318 associated with the binding phase is only present at 28 days and 6 months but not after 1  
319 day. Fig. 7b also shows that the resonance associated with kaolinite at -91 ppm does not  
320 undergo any modification over time suggesting that the kaolinite has no reactivity.  
321 Although Fig. 7b shows the spectra for KF50 mixture (50% fly ash fraction), similar  
322 pattern should be expected for the KF10 mixture (10% fly ash fraction) tested in the  
323 experimental programme presented herein.

## 324 **5 Discussion**

325 This study aimed to address four research questions i) does the alkali-activated calcium-  
326 rich fly ash enhance significantly the mechanical performance of ‘marginal’ clay  
327 geomaterials and how the fly ash-based binder compares with other conventional binders  
328 such as Ordinary Portland Cement (OPC) and lime, ii) what is the timescale of the  
329 physicochemical processes activated by the alkaline solution and their effects on the  
330 mechanical response of the treated clay, iii) what are the mechanisms at the  
331 particle/aggregate scale controlling the macroscopic behaviour of the treated clay, and iv)  
332 what are the engineering applications where this stabilisation can be successfully  
333 envisaged.

### 334 *5.1 Mechanical performance of fly-ash treated clay and comparison with cement-* 335 *treated clay*

336 As shown by the oedometer and direct shear tests results (Fig. 2 and Fig. 5 to Fig. 7), the  
337 addition of alkali activated fly ash increases significantly stiffness and shear strength at  
338 least in certain stress ranges. In Fig. 8 a comparison between the mechanical performance  
339 induced by alkali activated fly ash (KF40) and by Ordinary Portland cement (KC40) for  
340 samples prepared with the same binder content (40% by dry mass of soil) and cured for  
341 7 and 60 days. No relevant changes in the compressibility curves are observed for KF40  
342 and KC40 samples cured for 7 days before testing (Fig. 8A). For longer curing times,  
343 results show a higher compressibility reduction and yield stress increase for KF40 treated  
344 samples compared to cement treated samples (Fig. 8B). The post-yield behaviour induced  
345 by OPC shows the highest slope of the compressibility curve, highlighting a more evident  
346 destructuration stage for cement treated samples at increasing vertical stresses.

## 347 5.2 *Timescale of binding phase formation*

348 The binding phase does not yet form after 1 day as shown by the  $^{29}\text{Si}$  MAS-NMR spectra  
349 for kaolinite mixed with 50% of fly ash (Fig. 7b). At the same time, the comparison  
350 between fly ash alone and fly ash following alkali activation (Fig. 7a ) shows negligible  
351 evolution of the binding phase beyond 28 days. Physicochemical reactions therefore  
352 complete between 1 day and 28 days.

## 353 5.3 *Micro-scale mechanisms*

354 The one-dimensional compression tests Fig. 1 have shown three distinct behaviours. The  
355 raw kaolin shows an almost immediate transition to a normally consolidated state  
356 (compression curve liner in a semi-log plot). According to Pedrotti and Tarantino (2018),  
357 this would be associated with the progressive disengagement of edge-to-face contacts of  
358 the initially ‘flocculated’ fabric occurring at pH values lower the point of Zero Charge  
359 (PZC).

360 In contrast, the treated clay in the very short term (days 1 and 8 following the alkali  
361 activation) shows an over-consolidated behaviour and a transition to normally  
362 consolidated state in the range 357-714 kPa. Since the binding phase does not form yet  
363 after 1 day (Fig. 7b) and the compression curves after 1 and 8 days are very similar (Fig.  
364 1), it can be inferred that the binding phase does not form even after 8 days. As a result,  
365 the different compression behaviour observed for the treated kaolinite after 1 and 8 days  
366 compared to the kaolinite alone would not be due to the formation of the binding phase  
367 but should be sought in the change of pore-water chemistry due to the addition of the  
368 alkaline solution.

369 The alkaline environment generated by the addition of the sodium silicate aqueous  
370 solution makes the kaolinite particles charged negatively on both edge and basal planes  
371 (Wang and Siu 2006) thus preventing the formation of aggregates by edge-to-face  
372 association (flocculated fabric). In kaolinite with negligible electrolyte concentration, this  
373 would generate a ‘dispersed’ fabric with significant reduction in void ratio compared with  
374 clay in acidic environment (Pedrotti and Tarantino, 2018). The increase in pH would  
375 therefore cause a reduction in void ratio rather than an increase as observed in Fig. 1.

376 The higher void ratio of the KF10 material cured for 1 and 8 days observed over the entire  
377 stress range can only be justified by clay particles forming stacks promoted by the reduced  
378 double-layer repulsion in turn induced by the increase of electrolyte concentration  
379 associated with the addition of the alkaline solution (electrolyte concentration would be  
380 of the order of 1 molal if  $\text{Na}_2\text{O}$  dissociated completely in water). This is in line with the  
381 observations by Vitale et al. (2016) who analysed the effect of addition of CaO that,  
382 similarly to  $\text{Na}_2\text{O}$ , also increases both pH and electrolyte concentration.

383 At high stress, the slope of the normal consolidation line (ncl) becomes parallel to the one  
384 of clay alone suggesting similar micro-mechanisms ruling non-reversible behaviour  
385 (Pedrotti and Tarantino, 2018). It can be speculated that stacks are orienting similarly to  
386 individual particles for the case of clay alone (Fig. 9).

387 In the long term (after 28, 60, and 90 days) the binding phase has formed (Fig. 7b) and  
388 this generates a further increase in the pre-consolidation stress (Fig. 1) possibly due to the  
389 bonding of the aggregates. The normal compression line (ncl) remains parallel to the one  
390 measured for samples cured for 1 and 8 days suggesting similar mechanisms for non-  
391 reversible deformation. It can therefore be speculated that the bonds are broken at high

392 stresses and the higher void ratio is simply due to the presence of an additional phase  
393 filling the pore space.

394 The assumption about the aggregate fabric forming in the treated clay is corroborated by  
395 pore-size distribution data. As shown in Fig. 3 , the pore-size distributions of the samples  
396 KF10 loaded to 714 and 1428 kPa respectively are clearly bi-modal with modal sizes of  
397  $\sim 0.15$  and  $\sim 1 \mu\text{m}$  respectively. In contrast, the saturated kaolinite alone always exhibits a  
398 mono-modal distribution with modal size  $\sim 0.25 \mu\text{m}$  regardless of the sample preparation  
399 procedures (by compaction or reconstitution from slurry) and hydro-mechanical history.  
400 As a result, the bi-modal distribution of the treated samples as compared to the mono-  
401 modal distribution of saturated kaolinite alone corroborates the assumption of aggregated  
402 fabric for the treated kaolin.

403 The conceptual microstructural model laid down in Fig. 9 with reference to 1D  
404 compression behaviour can also explain the effect of alkaline activation on shear strength.  
405 In the short term (1 and 8 days), the aggregation induced by the change in pore-water  
406 chemistry would explain the higher shear strength of the treated kaolinite compared to  
407 the raw kaolinite in the intermediate horizontal displacement range (Fig. 5). At large  
408 horizontal displacements, the effect of aggregation seems to be lost, which is in line with  
409 the compressibility of the treated clay at 1 and 8 days recovering the same values of the  
410 compressibility of the raw kaolinite at high compression stresses (Fig. 1).

411 In the long term (28 days), the binding phase generates an increase in shear strength over  
412 the entire displacement range with both peak and ultimate shear strength significantly  
413 enhanced by the formation of the binding phase (Fig. 6). It is worth noticing that the  
414 treated clay experienced no dilatancy upon shearing (Fig. 4a). Peak shear strength is  
415 therefore associated with the presence of the binding phase. The decay of shear strength

416 following peak in the sample tested at 100 kPa normal stress at 28 days is likely associated  
417 with partial breakage of the binding phase. This peak does not appear in the sample tested  
418 at 200 kPa normal stress at 28 days likely because the higher normal stress facilitates  
419 earlier breakage of the binding phase.

#### 420 *5.4 Carbon footprint evaluation*

421 Carbon footprint of soil treated with activated fly ash was evaluated and compared with  
422 that of soil treated with Ordinary Portland cement. As mentioned in Section 5.1, KC40  
423 and KF40 samples were prepared by adding 40% of binder (OP cement or alkali-activated  
424 fly ash respectively) by dry weight of the clay at initial water content of 55% (equal to  
425 liquid limit of KF40). The mass fractions of each phase of samples KC40 and KF40 are  
426 reported in Table 4. The values of embodied carbon  $eCO_2$ , defined as the carbon dioxide  
427 associated with the manufacture and use of a product and expressed in  $kg\ CO_2-e/kg$  were  
428 derived from literature with reference to the cradle-to-gate values. The soil treated with  
429 alkaline-activated fly ash is characterised by emissions (expressed in  $kg\ CO_2-e/ton$ ) that  
430 are 60% lower than soil treated with OP cement at very similar mechanical performance  
431 (Table 4). As widely shown in the literature, the carbon footprint of alkali-activated fly  
432 ash-treated samples is mainly associated with sodium silicate. Nonetheless, the overall  
433 emissions remain substantially lower than the soil treated with Ordinary Portland cement.

#### 434 *5.5 Engineering applications*

435 Results from 1D compression tests show that there is an immediate increase in stiffness  
436 following alkaline activation in the stress range 10-700 kPa and this effect is enhanced in  
437 the long term once the binding phase forms. On the other hand, the beneficial effects in  
438 stiffness is lost at very high stress. This makes alkali activated fly ash a suitable binder

439 for stabilisation of marginal clays, alternative to traditional binders such as lime and/or  
440 cement. The range of stresses where this improvement technique appears to be effective  
441 well fits the typical range of stresses in embankments or earthfills, suggesting it could be  
442 applied successfully to enhance the performance of several geotechnical structures  
443 involving compaction of marginal geomaterials.

## 444 **6 Conclusions**

445 This paper has examined the use of a calcium-rich fly ash from coal combustion activated  
446 by a sodium-based alkaline solution for the treatment of non-active clay in view of its use  
447 as earthfill geomaterial.

448 When subjected to one-dimensional compression, the treated soil shows an increase in  
449 pre-consolidation stress and, hence, an increase in stiffness in the stress range up to ~700  
450 kPa. This increase is observed even in the very short-term (1 day after alkali activation),  
451 i.e. before the binding phase starts to form. This was attributed to the changes in pore-  
452 water chemistry following the addition of the alkaline solution. The increase in electrolyte  
453 concentration is assumed to deplete the double-layer repulsion and promote aggregation  
454 in face-to-face mode. The aggregated fabric of the treated kaolin was confirmed by pore-  
455 size distribution data from mercury intrusion porosimetry. In the long term (curing time  
456  $\geq 28$  days) stiffness appeared to be further enhanced due to the formation of the binding  
457 phase.

458 Peak shear strength also appeared to be significantly enhanced in both short and long term  
459 although effects were more pronounced for curing time  $\geq 28$  days following the formation  
460 of the binding phase. Ultimate shear strength appeared to be enhanced only in the long  
461 term (curing time  $\geq 28$  days) due to the presence of the binding phase creating bonding

462 between clay particles. For short curing periods, the change of pore-water chemistry does  
463 not seem to affect the ultimate shear strength possibly due to the aggregates breaking  
464 down. This study confirms the potential of fly ash-based alkali activated binder for  
465 stabilisation of clay to be used as compacted earthfill material.

466

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472



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602

603 **TABLE LEGENDS**

604 Table 1. Chemical composition (wt. %) of raw fly ash and kaolin.

605

606 Table 2. Pre-consolidation pressure for kaolin and alkali activated binder treated kaolin  
607 (KF10) at different curing periods

608

609 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MP}$   
610 measured by Mercury Intrusion Porosimetry.

611

612 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MP}$   
613 measured by Mercury Intrusion Porosimetry.

614

615

616

617 **FIGURE LEGENDS**

618

619

620 Fig. 1. One-dimensional compression tests on kaolin and alkali activated binder treated  
621 kaolin (KF10) at different curing periods.

622

623 Fig. 2. Frequency distribution of entrance pore size for alkali activated fly ash binder  
624 treated soil KF10 at 28-day curing period (ncl=samples unloaded under undrained  
625 conditions; url=samples unloaded under drained conditions). (a) Samples loaded to 714  
626 and 1428 kPa. (b) Samples unloaded from 714 and 1428 kPa. (c) Samples loaded to and  
627 unloaded from 714 kPa. (d) Samples loaded to and unloaded from 1428 kPa.

628

629 Fig. 3. Frequency distribution of entrance pore size for alkali activated fly ash binder  
630 treated soil KF10 at 28-day curing period compared with kaolin samples i) compacted to  
631 1200 kPa normal stress at water contents of 10% and 24% and then saturated and ii)  
632 sample reconstituted from slurry and loaded to 70 kPa normal stress (after Pedrotti 2016)

633

634 Fig. 4. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
635 different curing periods. (a) normal stress  $\sigma=100$  kPa. (b) normal stress  $\sigma=200$  kPa

636

637 Fig. 5. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
638 different curing periods and normal stress  $\sigma=100$  kPa: shear strength data corrected for  
639 dilatancy.

640



641 Fig. 6. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
642 curing periods 7 and 28 days and normal stresses  $\sigma=100$  kPa and  $\sigma=200$  kPa: shear  
643 strength data corrected for dilatancy.

644

645 Fig. 7.  $^{29}\text{Si}$  MAS-NMR spectra. (a) Raw fly ash and binder F100 at 28 days and 6 months.  
646 (b) alkali activated binder F100 at 28 days and alkali activated kaolin KF50 at 1 and 28  
647 days (after Coudert et al., 2019).

648

649 Fig. 8. Comparison between one dimensional compression tests on alkali activate fly  
650 ash binder treated kaolin and ordinary Portland cement treated kaolin cured for 7 and 60  
651 days.

652

653 Fig. 9. Conceptual model for micro-mechanical behaviour of raw kaolin and alkali  
654 activated fly ash binder treated clay.

655

656

657

658 **TABLES**

659

660 Table 1. Chemical composition (wt. %) of raw fly ash and kaolin.

	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	CaO	CaO <sub>free</sub> <sup>a</sup>	MgO	SO <sub>3</sub>	Na <sub>2</sub> O	K <sub>2</sub> O	H <sub>2</sub> O	L.o.I.
Fly ash	39.4	19.8	7.4	18.6	5.2	1.8	4.1	2.0	1.8	0.0	1.7 <sup>b</sup>
Kaolin	49.2	34.5	1.2	0.0	0.0	0.2	0.0	0.1	1.7	13.1	12.0 <sup>c</sup>

661 <sup>a</sup> Free calcium oxide content662 <sup>b</sup> L.o.I = Loss on ignition 900 °C663 <sup>c</sup> L.o.I = Loss on ignition 1000 °C

664

665 Table 2. Pre-consolidation pressure for kaolin and alkali activated binder treated kaolin  
666 (KF10) at different curing periods

	Kaolin	KF10 1d	KF10 7d	KF10 14d	KF28 28d	KF60 60d	KF90 90d
Pre-consolidation stress [kPa]	98	610	670	750	770	790	820
Pre-yield compressibility [kPa <sup>-1</sup> ]	0.131	0.100	0.099	0.080	0.061	0.058	0.057

667

668 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MIP}$   
669 measured by Mercury Intrusion Porosimetry.

	$e$	$e_{MIP - ncl}$	$e_{MIP - url}$
KF10 - 28 days - 714 kPa	1.22	1.48	1.58
KF10 - 28 days - 1428 kPa	1.10	1.41	1.39

670

671

672

673 Table 3. Comparison of void ratio  $e$  determined by oven-drying and void ratio  $e_{MP}$ 

674 measured by Mercury Intrusion Porosimetry.

<b>KC40</b>	$eCO_2$ (kgCO <sub>2</sub> -e/kg)	Mass fraction (%)	emissions (kgCO <sub>2</sub> -e/t)
clay		46.1	-
OP cement	0.82 (Turner & Collins 2013)	18.4	151.1
water	0.0003 (Long et al. 2015)	35.5	0.11
	<b>TOTAL</b>	<b>100</b>	<b>151.2</b>

<b>KF40</b>	$eCO_2$ (kgCO <sub>2</sub> -e/kg)	Mass fraction (%)	emissions (kgCO <sub>2</sub> -e/t)
clay		41.9	-
fly ash	0.027 (Turner & Collins 2013)	16.7	4.5
water <sup>1</sup>	0.0003 (Long et al. 2015)	37.7	0.1
sodium silicate	1.514 (Turner & Collins 2013)	3.7	55.7
	<b>TOTAL</b>	<b>100</b>	<b>60.3</b>

<sup>1</sup> pore water + water in alkaline solution

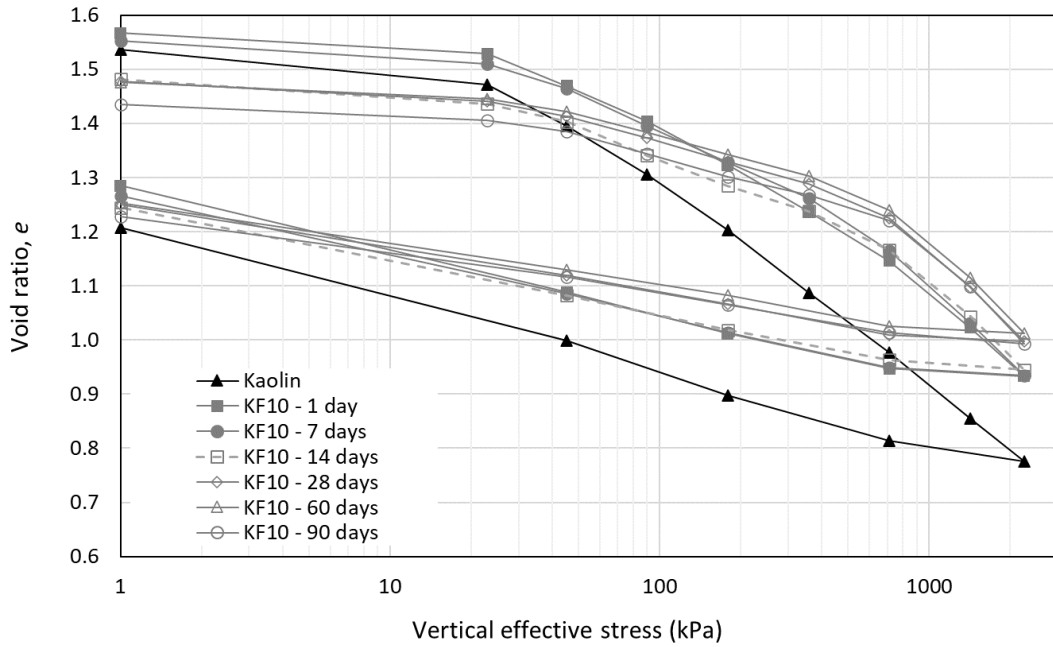
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679 **FIGURES**  
 680

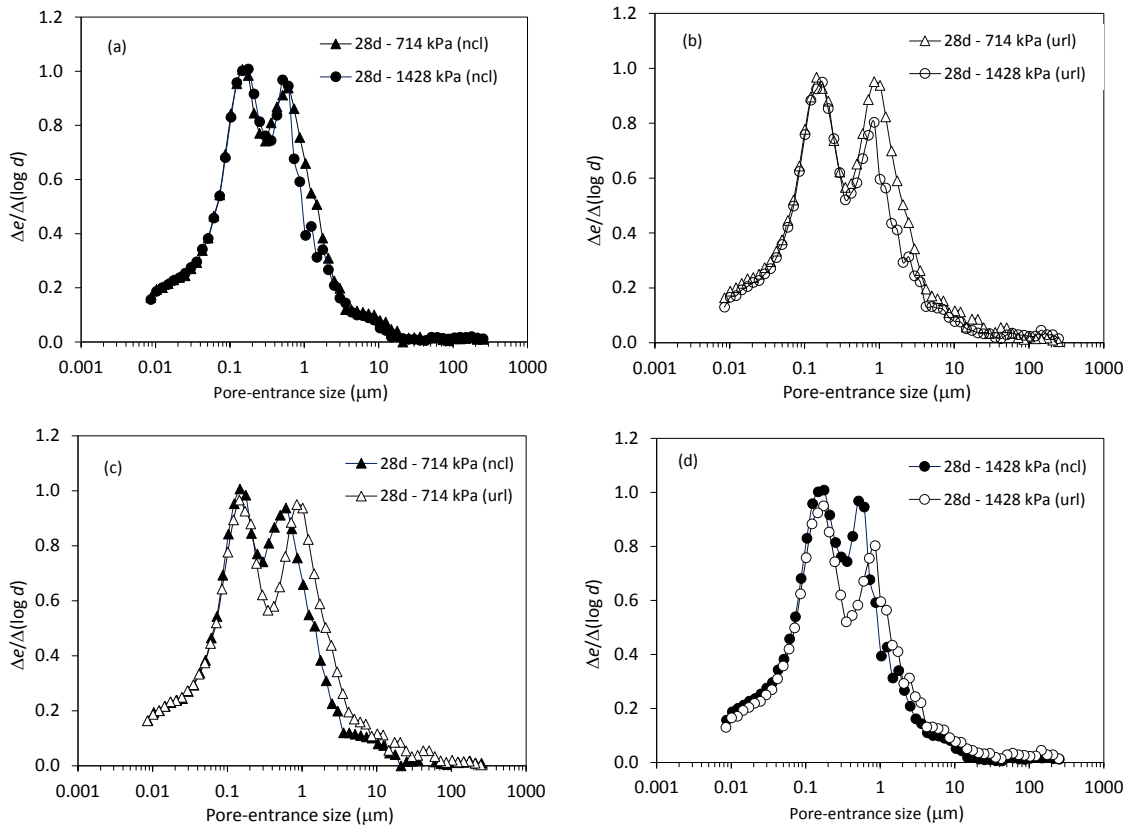


681

682 Fig. 1. One-dimensional compression tests on kaolin and alkali activated binder treated  
 683 kaolin (KF10) at different curing periods.

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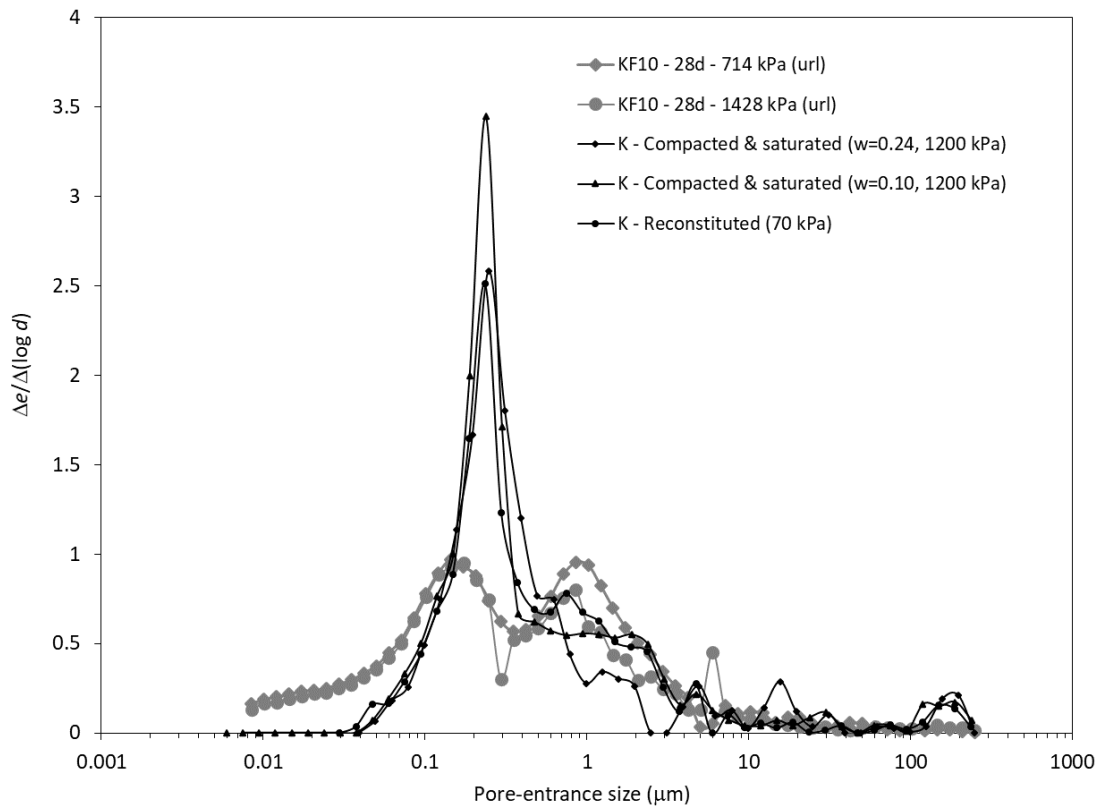
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686

687 Fig. 2. Frequency distribution of entrance pore size for alkali activated fly ash binder  
 688 treated soil KF10 at 28-day curing period (ncl=samples unloaded under undrained  
 689 conditions; url=samples unloaded under drained conditions). (a) Samples loaded to 714  
 690 and 1428 kPa. (b) Samples unloaded from 714 and 1428 kPa. (c) Samples loaded to and  
 691 unloaded from 714 kPa. (d) Samples loaded to and unloaded from 1428 kPa.

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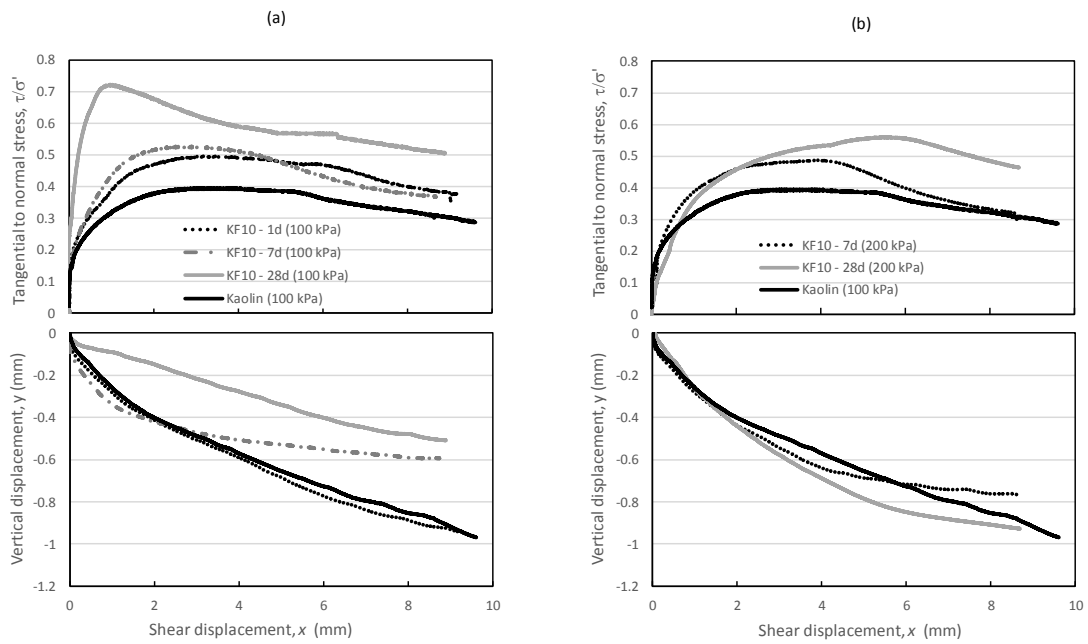


693

694 Fig. 3. Frequency distribution of entrance pore size for alkali activated fly ash binder  
 695 treated soil KF10 at 28-day curing period compared with kaolin samples i) compacted to  
 696 1200 kPa normal stress at water contents of 10% and 24% and then saturated and ii)  
 697 sample reconstituted from slurry and loaded to 70 kPa normal stress (after Pedrotti 2016)

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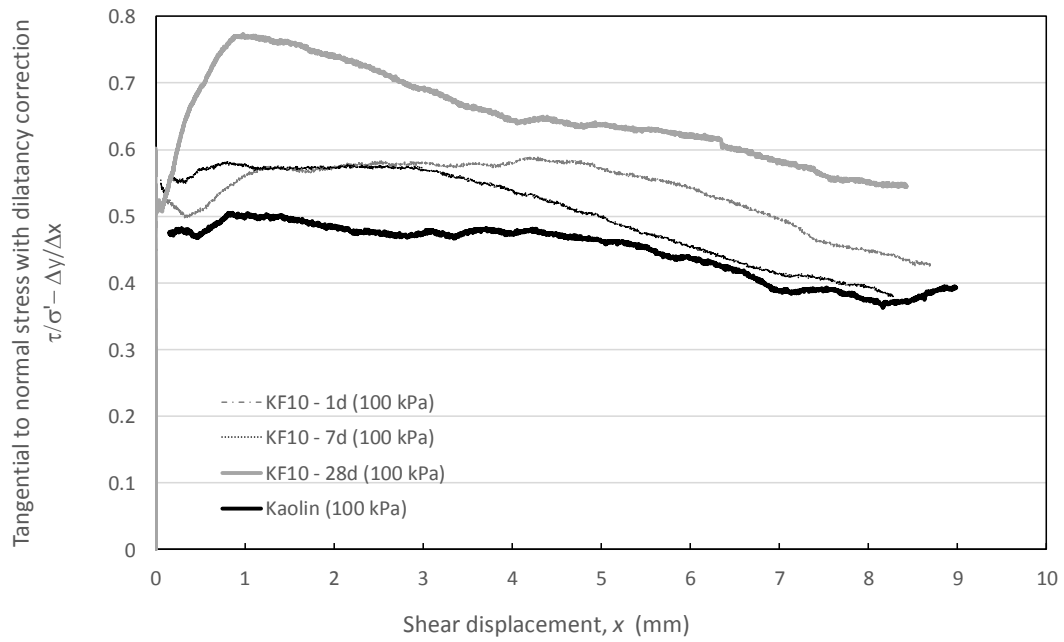


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701

702 Fig. 4. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at

703 different curing periods. (a) normal stress  $\sigma=100$  kPa. (b) normal stress  $\sigma=200$  kPa



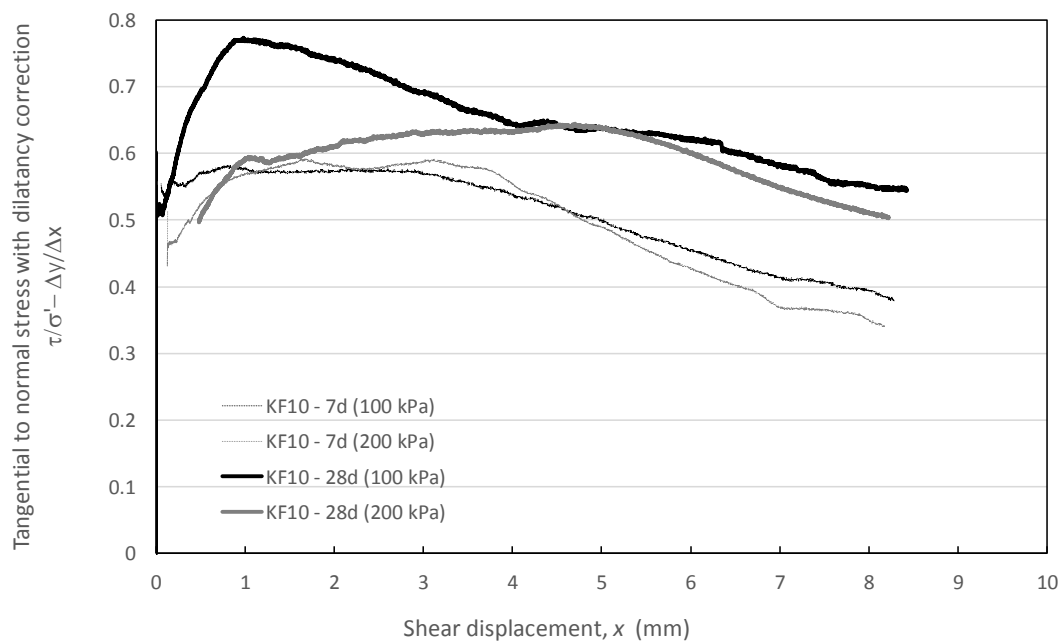
704

705 Fig. 5. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
 706 different curing periods and normal stress  $\sigma=100$  kPa: shear strength data corrected for  
 707 dilatancy.

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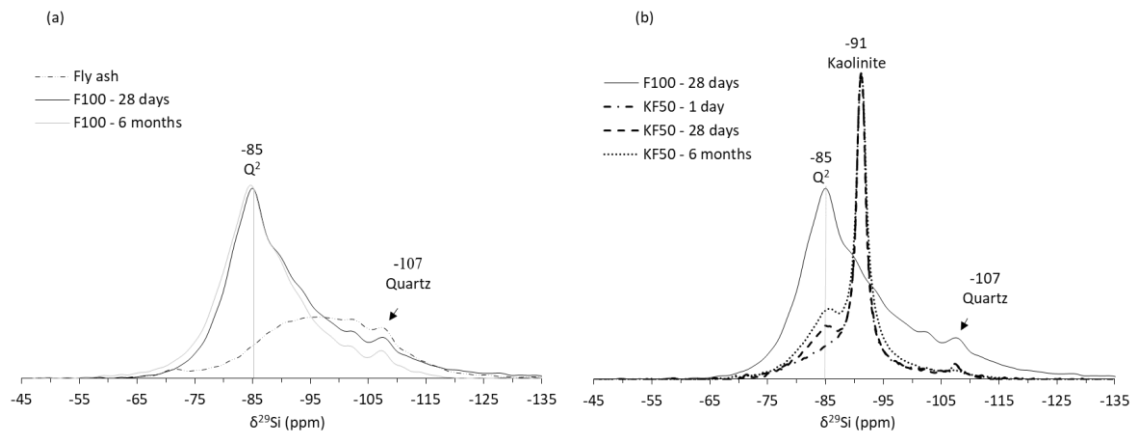




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711 Fig. 6. Direct shear tests on kaolin and alkali activated binder treated kaolin (KF10) at  
 712 curing periods 7 and 28 days and normal stresses  $\sigma=100$  kPa and  $\sigma=200$  kPa: shear  
 713 strength data corrected for dilatancy.

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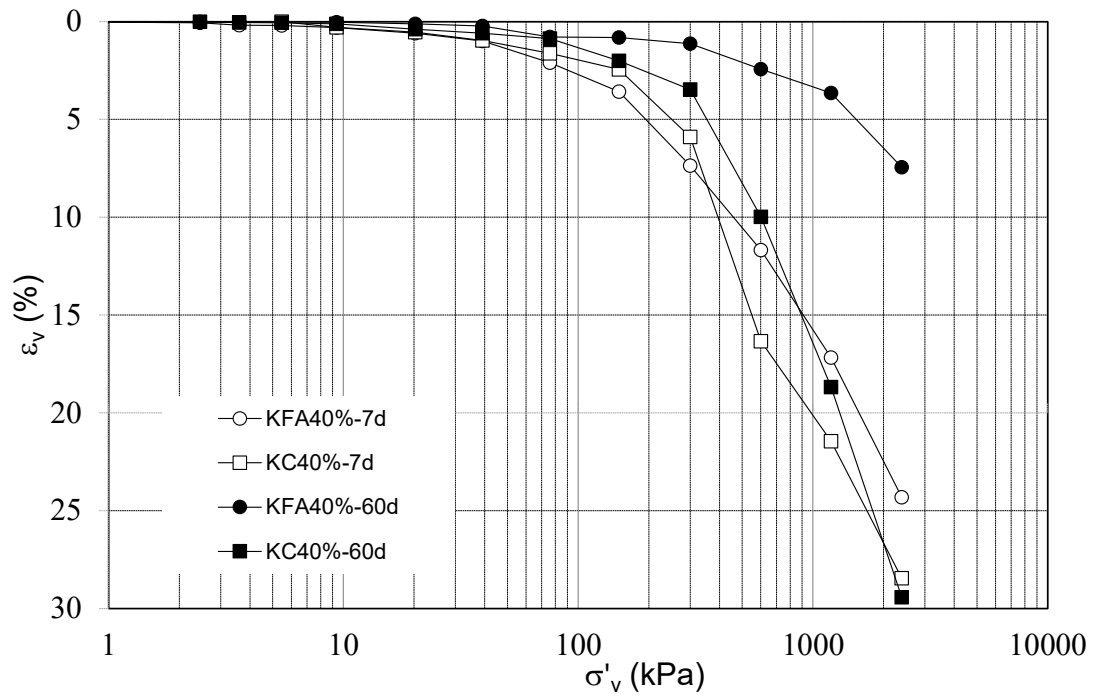
717 Fig. 7.  $^{29}\text{Si}$  MAS-NMR spectra. (a) Raw fly ash and binder F100 at 28 days and 6

718 months. (b) alkali activated binder F100 at 28 days and alkali activated kaolin KF50 at 1

719 and 28 days (after Coudert et al., 2019).

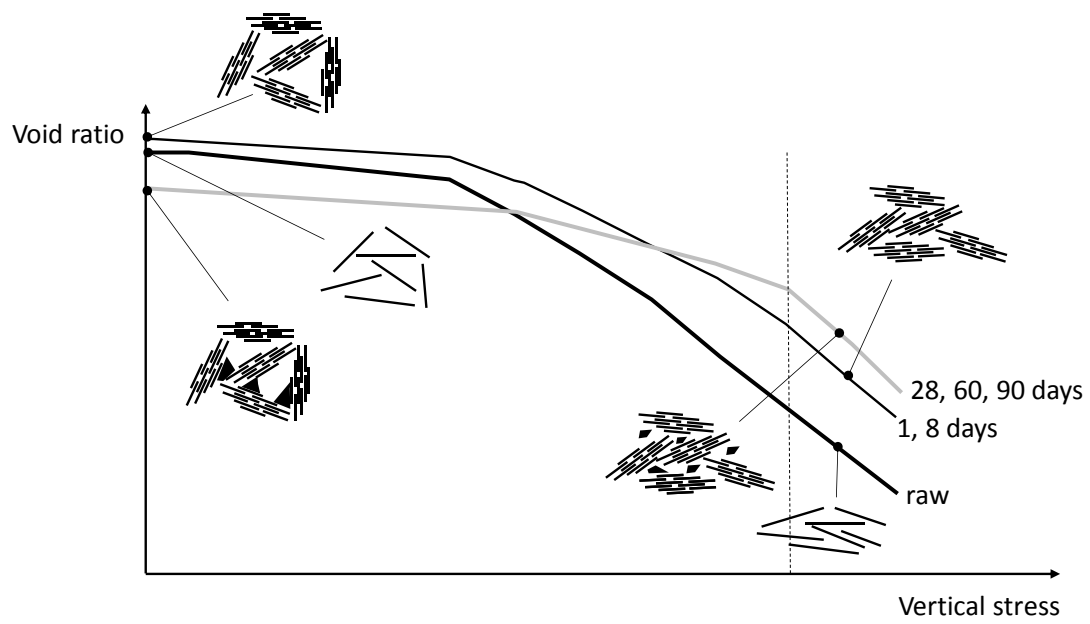
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722  
 723 Fig. 8. Comparison between one dimensional compression tests on alkali activate fly  
 724 ash binder treated kaolin and ordinary Portland cement treated kaolin cured for 7 and 60  
 725 days.  
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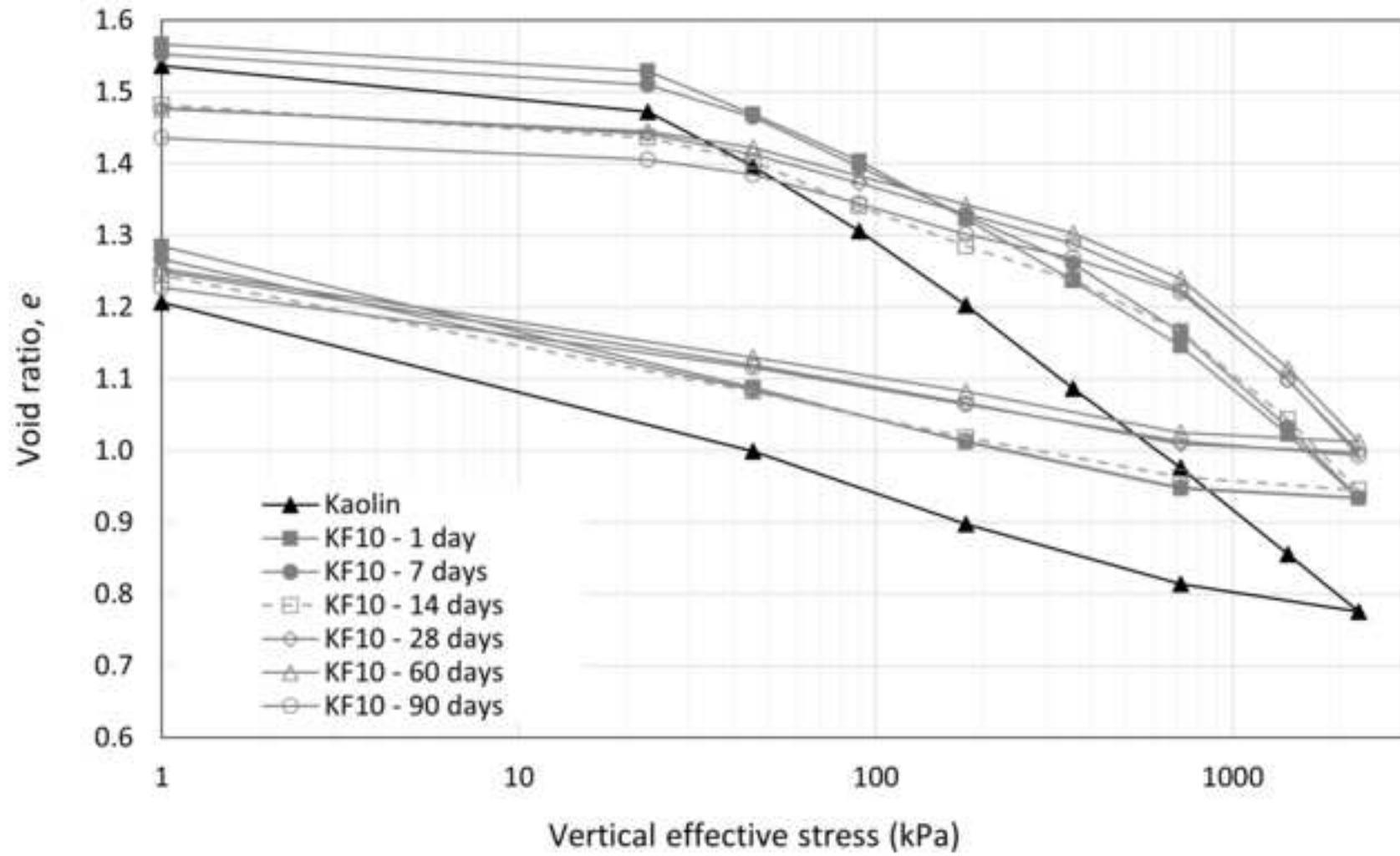
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729 Fig. 9. Conceptual model for micro-mechanical behaviour of raw kaolin and alkali  
730 activated fly ash binder treated clay.

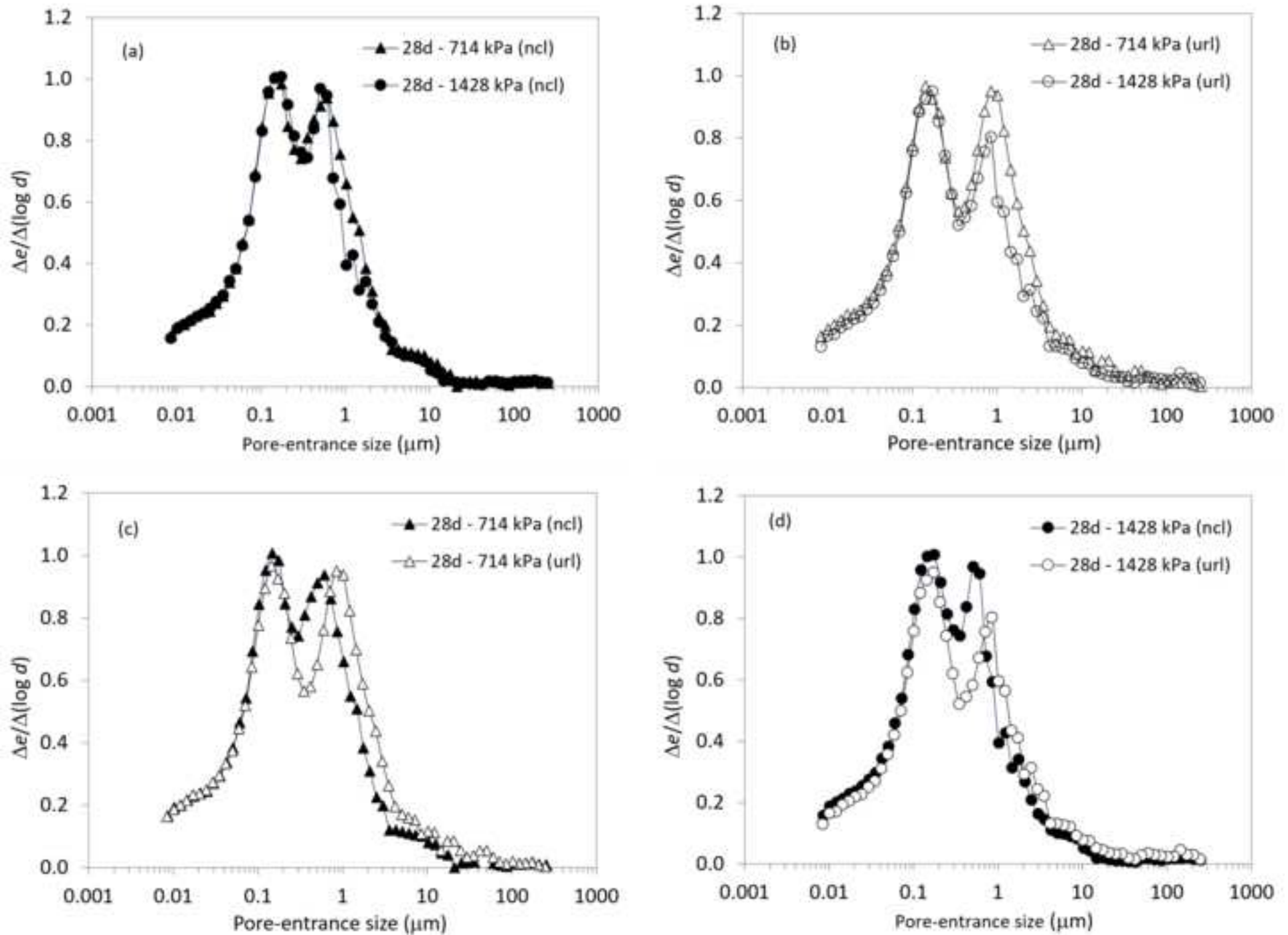
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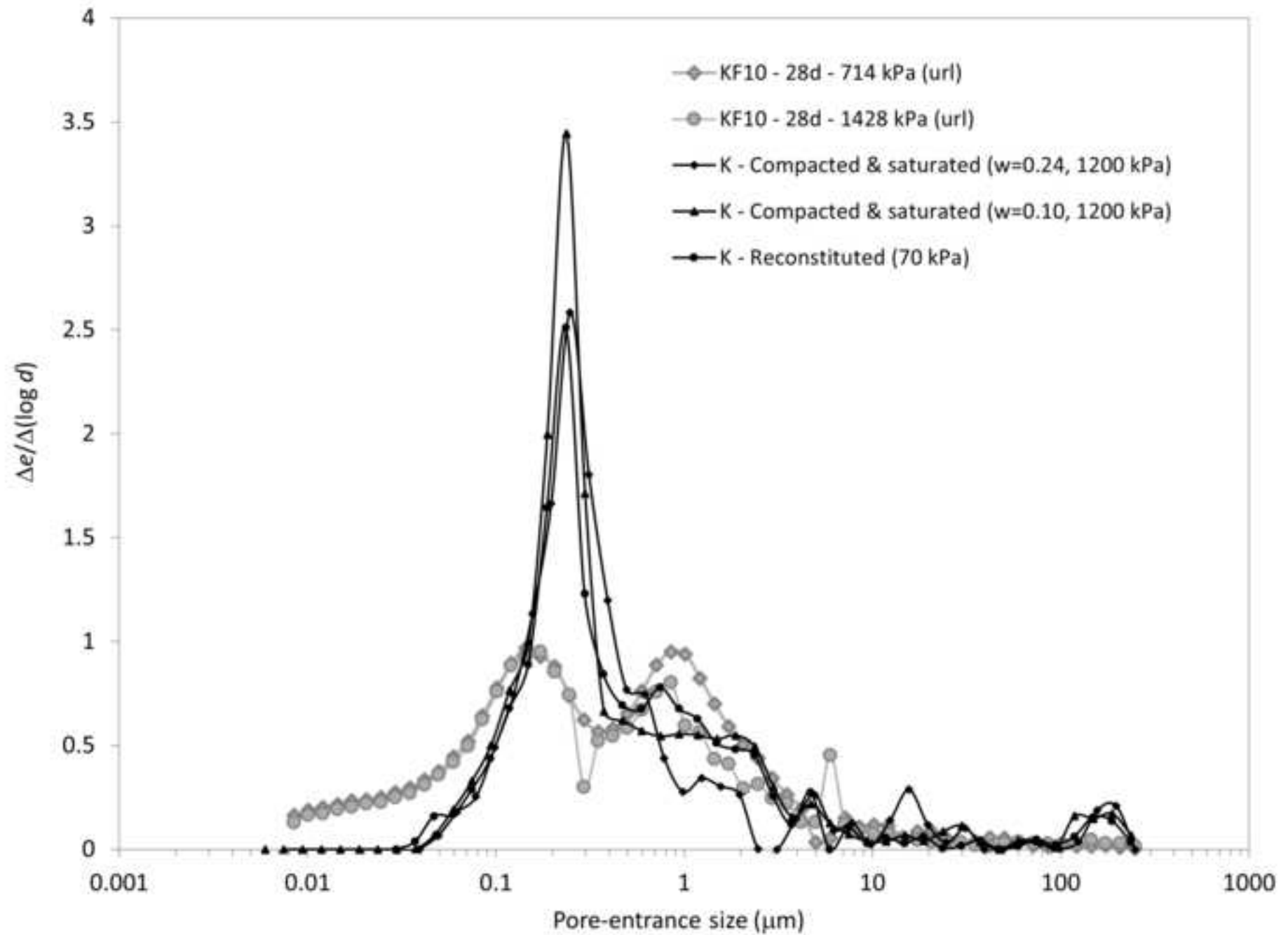
Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



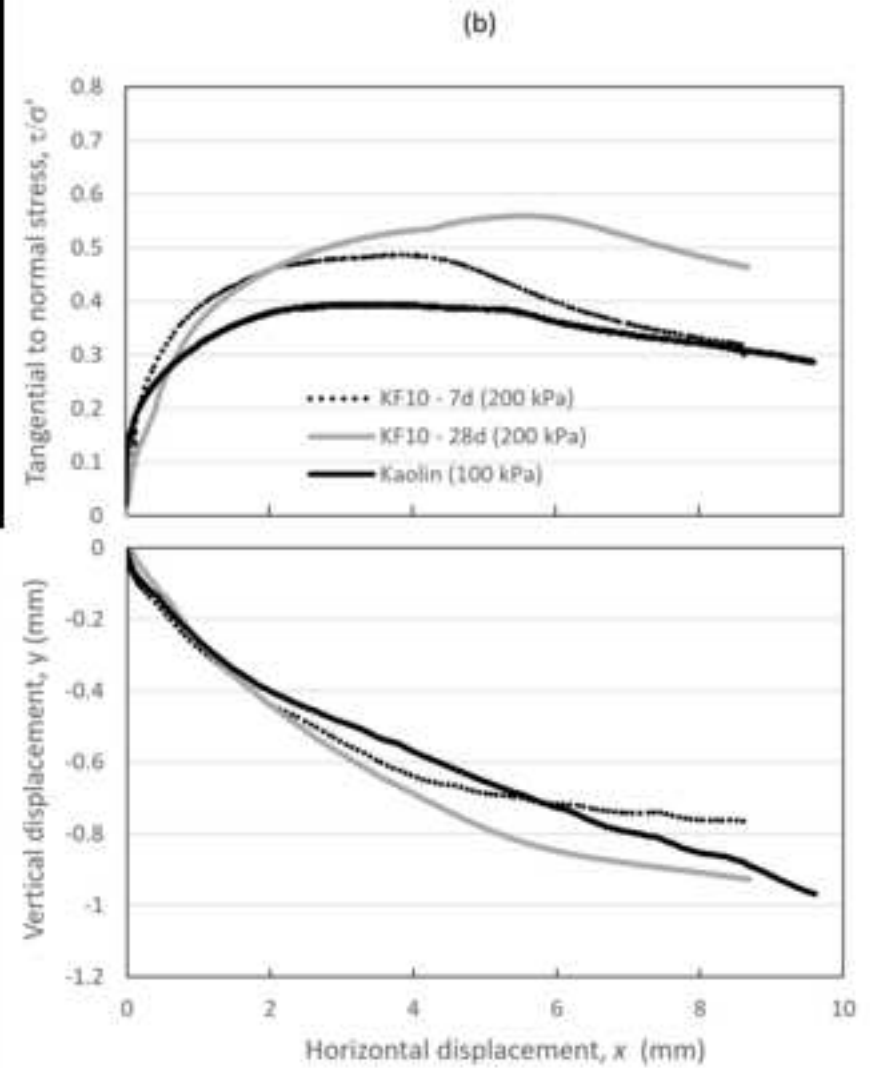
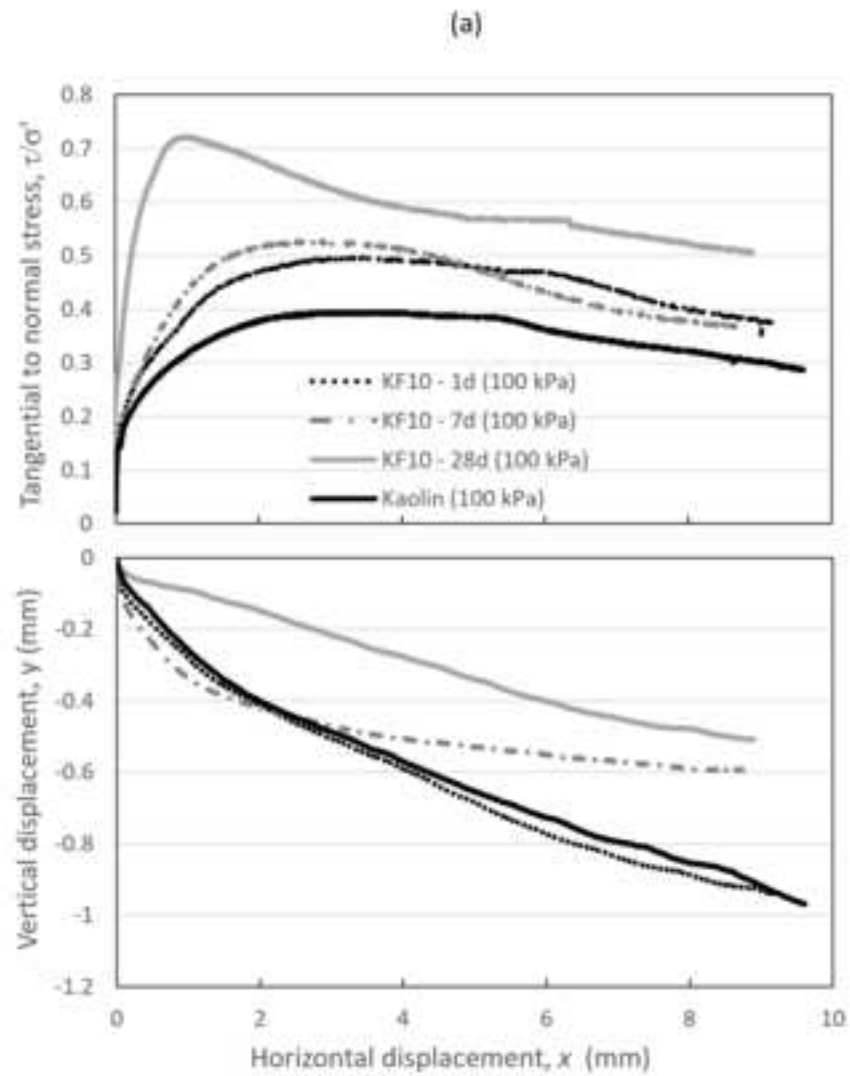
Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder

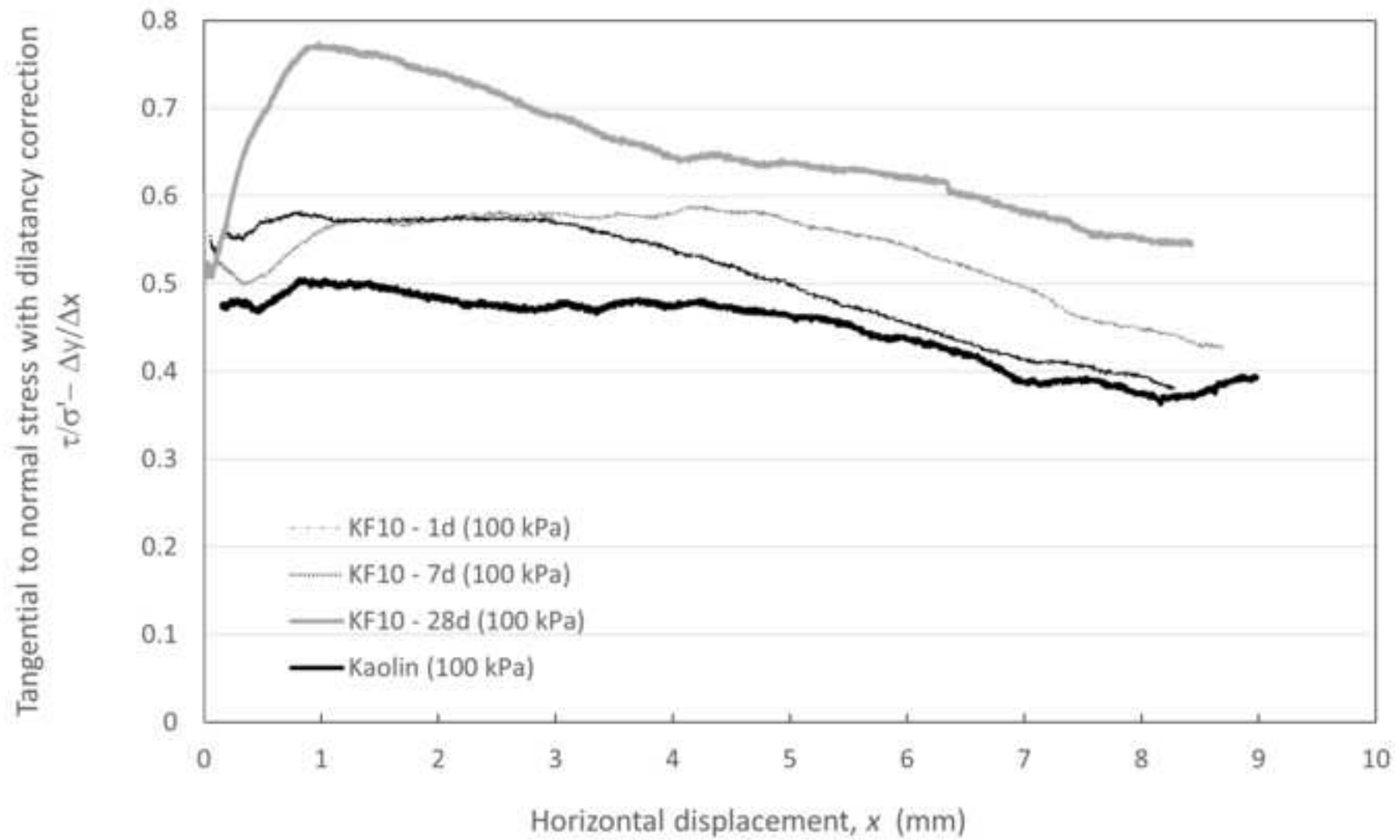


Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder

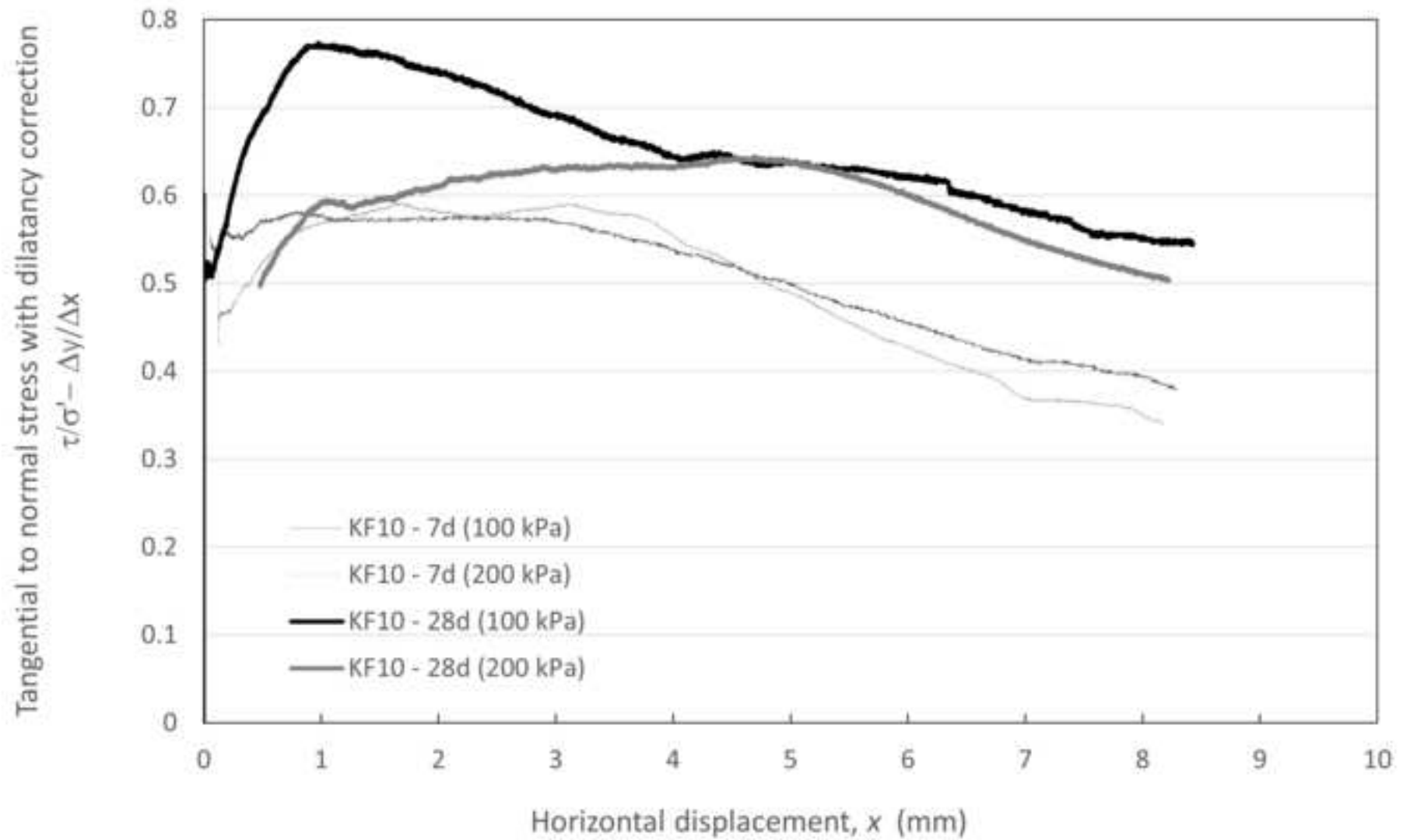




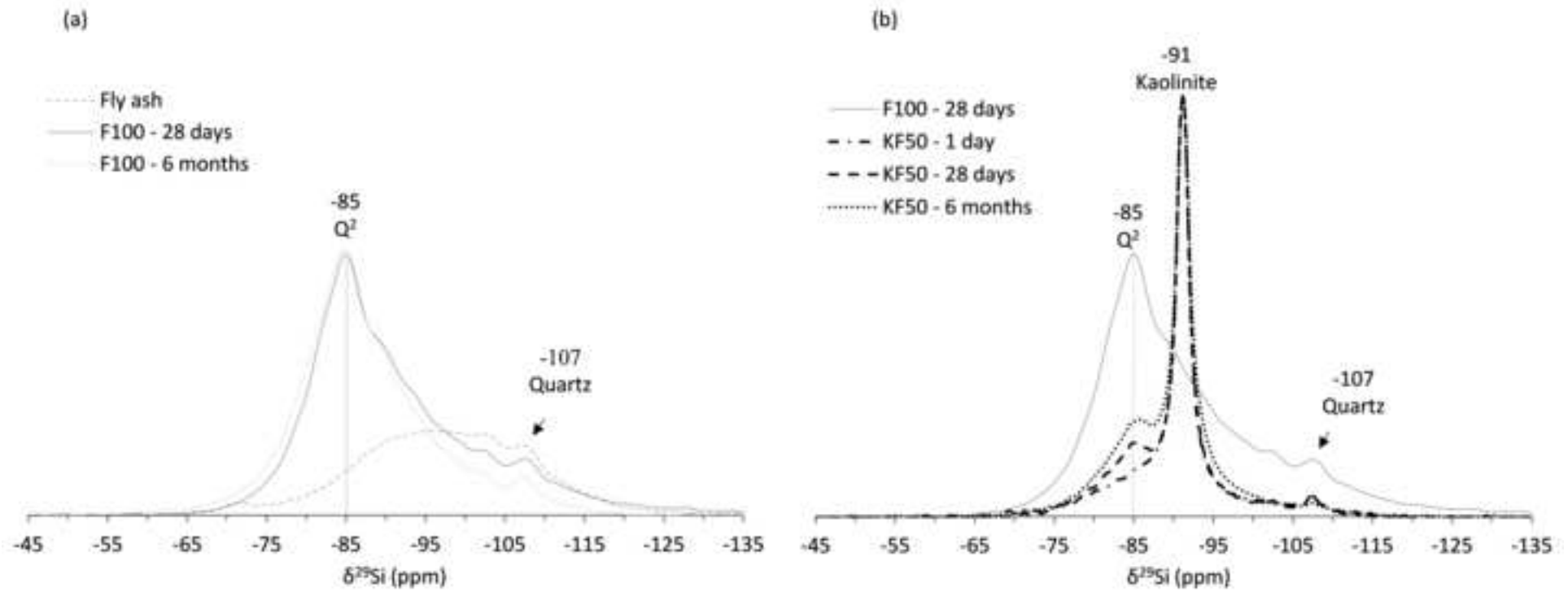
Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



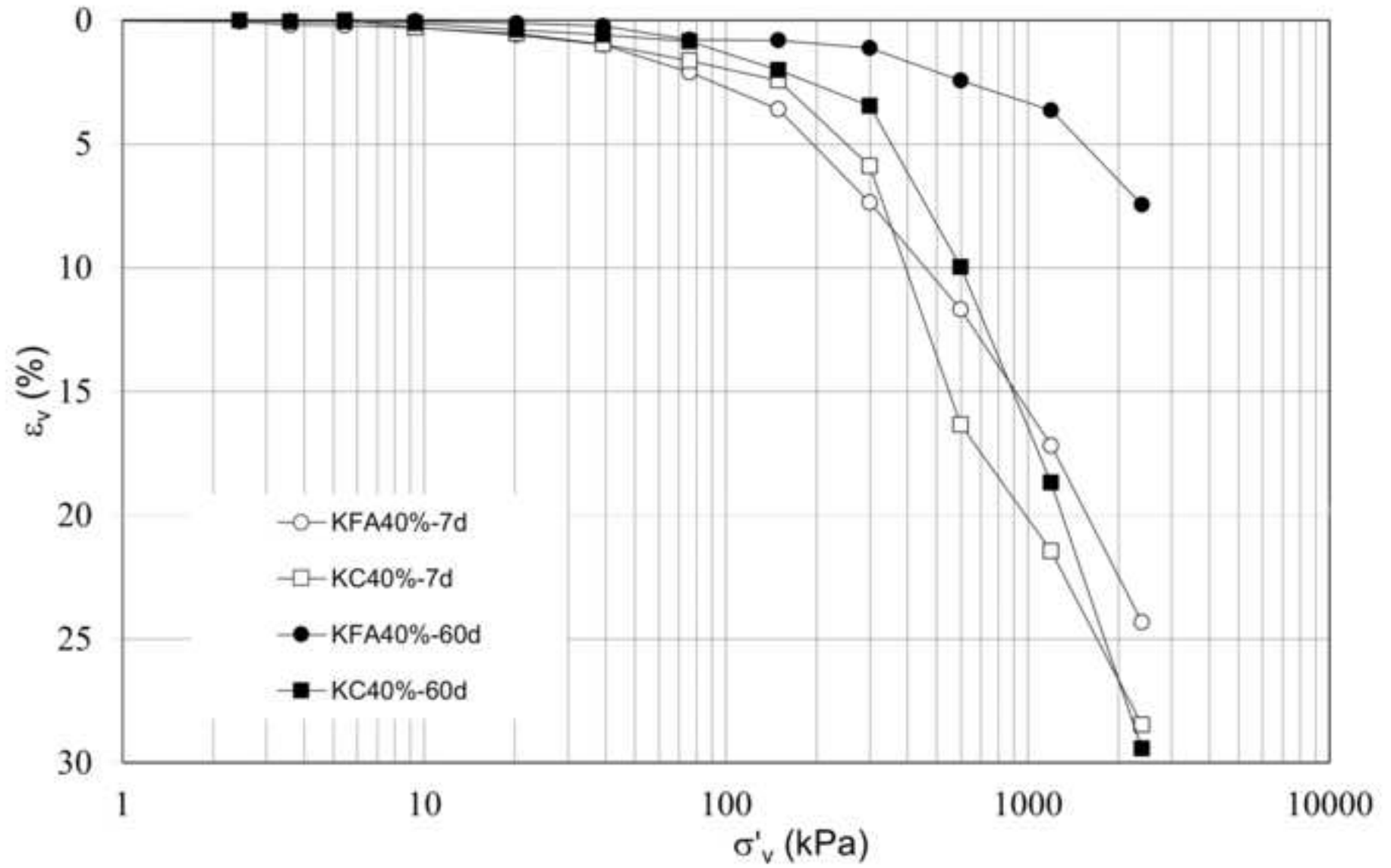
Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



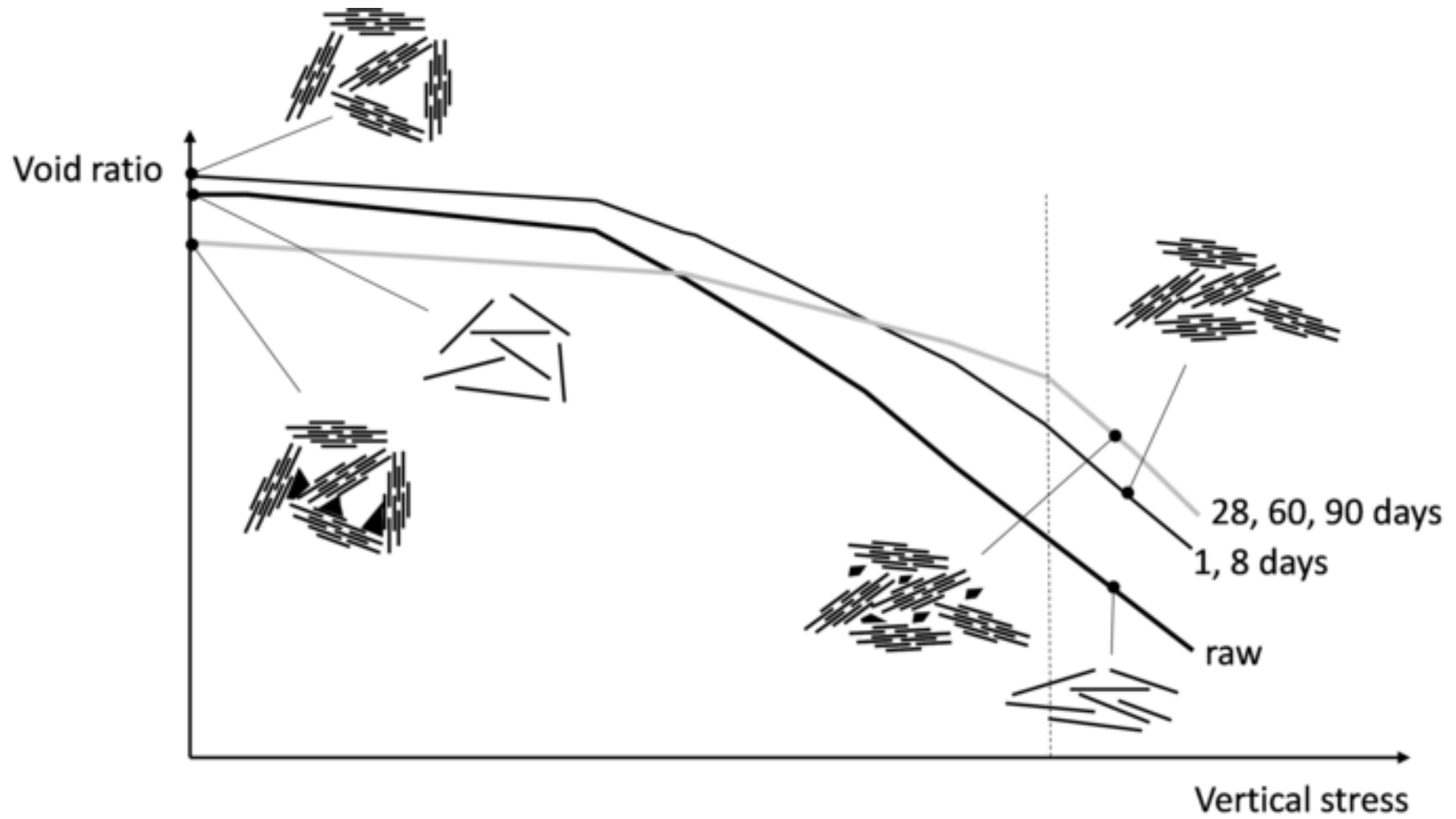
## Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



Mechanical behaviour of compacted kaolin clay stabilised via alkali activated calcium-rich fly ash binder



**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Author Contributions: Conceptualization and methodology, E.C., D.D., G.R., A.T.; investigation, E.C.; data curation, E.C., G.R., A.T.; writing—original draft preparation, E.C., G.R.; writing—review and editing, A.T., G.R.; supervision, G.R., A.T.

All Authors have read and agreed to the submitted version of the manuscript.