

1 **Pre-failure suction-induced deformation to inform early warning of shallow landslides: proof of concept at slope**  
2 **model scale**

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17 **ABSTRACT**

18 The majority of the Landslide Early Warning Systems (LEWS) currently in operation are based on the monitoring of  
19 rainfall data alone and this limits their performance due to false alarms generated by rainfall thresholds that are inevitably  
20 set conservative. The accuracy of LEWS may be significantly enhanced by monitoring soil-based variables associated  
21 with the stress-strain response of the ground. This paper investigates whether slope pre-failure deformation can be used  
22 as additional precursor of landslide initiation. This would lead to a substantial improvement of LEWS accuracy especially  
23 if pre-failure deformation is combined with suction monitoring. Tests were carried out using a small-scale physical model  
24 of a slope built with unsaturated volcanic silt subjected to artificial rainfall. A new device named tensio-inclinometer was  
25 purposely developed to monitor simultaneously suction and suction-induced deformation. It combines a conventional  
26 tensiometer and an accelerometer installed at the top of the tensiometer shaft. It is shown that pre-failure deformation  
27 detected by the tilting of the tensiometer shaft is an adequate landslide precursor and that, combined with suction, can  
28 provide soil-based thresholds for early warning systems.

## 29 1 INTRODUCTION

30 Rainfall-induced shallow landslides in coarse-grained volcanic fall deposits often evolve into debris flows causing  
31 significant damage and fatalities worldwide. Catastrophic events have been recorded in the last two decades in El  
32 Salvador, Hong-Kong, Indonesia, Italy, Japan, Mexico, Russia, Taiwan, and Venezuela (Fuchu et al. 1999; Cascini and  
33 Ferlisi, 2003; Olivares and Picarelli, 2003; Capra et al. 2003; Crosta et al. 2005; Chen et al 2006; Pagano et al.; 2010;  
34 Mizuyama and Egashira, 2010; Santo et al., 2012; Yamao et al 2015; Chávez et al. 2016; Shimizu and Ono, 2016; Perov  
35 et al., 2017; Kusumawardani et al. 2017; Zhang et al 2022). Volcanic soils are non-plastic and are characterised by high  
36 porosity maintained by the suction generated by the partially saturated state. The loss of suction due to rainwater  
37 infiltration in association with high porosity make this class of materials susceptible to generate fast-moving debris flows.  
38 Risk reduction strategy for this class of landslides is based on Landslide Early Warning Systems (LEWS) as the rapidity  
39 of the sliding mass movement demands alarms to be issued ahead of landslide initiation (UNISDR, 2006; Alfieri et al.,  
40 2012; Greco & Pagano, 2017). LEWS need to be informed by landslide precursors and the performance of LEWS depends  
41 directly on the precursor variables to be monitored and the model used to set alarm thresholds.

42 Rainfall is considered the primary and often the sole precursor variable in the majority of the LEWS currently in operation  
43 (e.g. Keefer et al., 1987; Ortigao and Justi, 2001; Chleborad et al., 2008; Baum et al., 2008; Baum & Godt, 2010; Pagano  
44 et al., 2010; Formetta et al., 2016; Pecoraro et al., 2019). The relatively low accuracy of LEWS informed solely by rainfall  
45 data leads to conservative alarm thresholds. These tend to generate repeated false alarms and induce the served  
46 communities to underreact to the warning thus undermining the effectiveness of the LEWS (Greco & Pagano, 2017;  
47 Intrieri et al., 2012; Sattelle et al., 2015; Reder & Rianna, 2021). A well-performing LEWS should therefore achieve  
48 accuracy not only to avoid missed alarms but also to minimise false alarms.

49 To enhance the performance of LEWS the monitoring of soil volumetric water content (measured by dielectric-based  
50 sensors) has been included as additional precursor variable because the variation of volumetric water content is an  
51 indicator of loss of suction and, hence, shear strength (Orense et al., 2003; 2004; Baum et al., 2010; Ponziani et al., 2012;  
52 Thiebes et al., 2014; Uchimura et al., 2015; Segoni et al., 2018). However, volumetric water content is not a very suitable  
53 landslide precursor as pore-water pressures triggering slope failures are generally in the range of a few kilopascals either  
54 in the negative or positive range (Balzano et al., 2019 a,b). In this interval, volumetric water content is characterised by  
55 poor sensitivity in the negative range of pore-water pressures (the water retention curve tends to level off when  
56 approaching saturated conditions) and no sensitivity in the positive range of pore-water pressures. Overall, current LEWS  
57 lack of effective precursors to generate more accurate warnings.

58 This paper aims to investigate whether suction and suction-induced pre-failure deformation can be used as landslide  
59 precursors. Shallow slope failure in silty/sandy geomaterials typically occurs with a well-defined failure surface as

60 detected ex post (Balzano et al., 2019 a,b). The onset of failure is characterised by relatively large displacements of the  
61 mass above the failure surface generated by very high shear deformations in proximity of the failure surface (shear band).  
62 This stage can be preceded by diffuse shear and compression plastic deformations above the failure surface and are  
63 referred to as ‘pre-failure suction-induced deformations’ in this work. The research question addressed in this paper is  
64 whether pre-failure deformation combined with suction monitoring can provide effective precursors of landslide  
65 initiation. This would potentially lead to substantial improvement of LEWS accuracy.

66 A slope physical model was used to test whether wetting-induced instability is preceded by substantial pre-failure  
67 deformations. The slope was reconstituted using natural volcanic soil reproducing similar porosity and slope inclination  
68 as encountered in the field (Balzano et al. 2019b) and then subjected to artificial rainfall until failure. Soil suction and  
69 slope deformation were monitored using a tensio-inclinometer purposely developed for this research and designed to be  
70 later deployed in the field to underpin real LEWS. The tests presented in the paper aim to provide a TRL3 validation  
71 (‘proof of concept’ according to European Commission 2017) for the use of combined measurement of suction and slope  
72 deformation to anticipate the occurrence of rainfall-induced instability. Although the experiments presented in this paper  
73 focus on volcanic soils, the results are expected to be applicable to the wider class of coarse-grained silty materials.

## 74 2 THE TENSIO-INCLINOMETER

### 75 2.1 Concept

76 The tensio-inclinometer was assembled by combining i) a conventional tensiometer to measure pore-water pressure in  
77 negative and positive range and ii) an accelerometer installed to the tensiometer shaft at its top to measure its inclination  
78 as a suitable proxy measurement of landslide pre-failure deformation (Figure 1).

79 Pore-water pressure is a more effective precursor than volumetric water content. Silty volcanic slopes are generally  
80 cohesionless and characterised by inclinations close to the friction angle. As a result, pore-water pressures triggering slope  
81 failures are generally in the range of a few kilopascals either in the negative or positive range. Tensiometers generally  
82 show accuracy to less than 1 kPa and can measure pore-water pressure in both negative and positive range. This makes  
83 pore-water pressure measured by tensiometers a better precursor of rainfall-induced shallow landslides. It should also be  
84 noted that tensiometer maintenance (re-saturation) is not required during the wet period, i.e., the period when the  
85 tensiometer data are expected to inform the LEWS. Maintenance is not therefore a disadvantage for tensiometers  
86 compared to water content dielectric-based sensor.

87 The measurement of tilting as proxy variable of slope deformation was favoured over measurement of surface  
88 displacements via total station, Global Positioning System (GPS), and photogrammetric techniques as implemented in  
89 other LEWS concepts (Barla & Antolini, 2016; Zhu et al., 2017). Adverse weather conditions affect the line of sight

90 between observer and target in total station measurements, rain can weaken the GPS signal, and reduced visibility during  
91 rain events affects the quality of photographic images.

92 A metal box including the accelerometer and the electronics was clamped to the tensiometer shaft via a clamping hook to  
93 be easily removed for maintenance or replacement. The metal box was designed to retrofit any commercial tensiometer  
94 once the cables from the tensiometers are connected to the chip extension via the external sockets on the metal box.

95 The tensio-inclinometer was designed to operate wirelessly with power supplied by a battery and Wi-Fi data transmission.  
96 The electronics required for pore-water pressure and tilting measurement, data storage and data transmission are installed  
97 on a semiconductor chip. The battery and the semiconductor chip are located in the metal box secured to the tensiometer  
98 shaft (Figure 1). The device allows wireless monitoring of two precursor variables in a single element, which is an  
99 advantage with respect to approaches based on different elements connected by cables (e.g. Yang et al., 2017). The  
100 wireless design enables rapidity of installation and/or replacement and avoids malfunctioning due to cable damage by  
101 wild animals.

## 102 **2.2 Accelerometer and tensiometer**

103 The tensiometer (T4, UMS GmbH, Munich, Germany) consists of an acrylic-glass shaft of variable length in-between  
104 0.15-2 m, developed to monitor pore-water pressure in the range from - 85 kPa to 100 kPa (Figure 1). A high air-entry  
105 value saturated ceramic cup is positioned at the bottom of the shaft to allow water (under tension) to flow from the soil to  
106 the tensiometer water reservoir or vice versa. Water pressure in the tensiometer water reservoir is measured by a piezo-  
107 electric pressure sensor positioned at the top of the tensiometer water reservoir. The back of the sensing diaphragm is  
108 vented to the atmosphere via the electrical cable and, as a result, the tensiometer measures gauge pore-water pressure.  
109 The electrical cable carrying the power supply and the output analog signal was connected to an external plug located on  
110 the metal box. The sensing diaphragm has an accuracy of better than  $\pm 0.5$  kPa.

111 The acceleration measurement system is based on MEMS (Micro-Electro-Mechanical- Systems) capacitive accelerometer  
112 that measures the part of gravity accelerations activated by the tilting. The accelerometer returns in the three tilting  
113 components as shown in Supplementary Figure S1. An accuracy of  $0.05^\circ$  can be achieved for the shaft tilting angle.

## 114 **2.3 Electronics**

### 115 **2.3.1 Primary semiconductor chip**

116 An 'all-in-one' primary chip includes (1) MEMS accelerometer, (2) power supply, (3) power management, (4) data  
117 storage and (5) signal digitisation data wireless transmission (microcontroller) (Supplementary Figure S2).

118 Lithium Thionyl Chloride (Li-SOCl<sub>2</sub>) non-rechargeable batteries supply power to the system. Batteries can ensure an  
119 acquisition every ten minutes for more than one year and may be easily replaced once fully discharged. Each section is  
120 provided with a power management system, adjusting batteries voltage according to requirement of each component (2V  
121 for MEMS accelerometer and microcontroller digital circuits, 3.3 V for the analogic circuits, 5 V for the tensiometer  
122 digital circuit).

123 A highly performing microcontroller (codification CC1352R) is linked to all sensors and to the memory to manage all  
124 system components. It integrates a wireless transceiver, which ensures good transmission quality with the external  
125 receiver without suffering from significant electrical disturbance deriving from microcontroller activity.

126 A non-volatile memory (codification MX25R6435F) stores all device data. The memory capacity of 64 Mbit can ensure  
127 storage of data acquired every ten minutes for more than one year. The tensiometer extension of the semiconductor chip  
128 includes the 16 bit analog-to-digital converter (ADC). The 16-bit resolution allows pore-water pressure to be measured  
129 with resolution better than 0.01 kPa. The chip extension was designed for two tensiometer connections. In this way, two  
130 adjacent tensiometers of different length (installed in close proximity to each other to measure suction at two different  
131 depths) can be connected to a single metal box.

### 132 2.3.2 *Extension semiconductor chip*

133 An extension of the semi-conductor chip including (6) power supply for the tensiometer pressure sensors and (7) analog-  
134 digital converter for the tensiometer output signal (Supplementary Figure S2). Data storage and transmission for the  
135 tensiometers was achieved via the same components installed on the primary board used for tiltmeter data.

### 136 2.3.3 *Operating modes*

137 The prototype firmware and management software were developed to operate according to two different operation modes  
138 (Supplementary Figure S3):

- 139 - the data collection and real-time transmission (Wi-Fi) operation mode (DC);
- 140 - the data logger operation mode (DL) and bulk transmission on demand.

141 Once the data are acquired, DC mode performs a mere wireless real-time data-transfer of all digitised data, while DL  
142 mode operates the data storage followed by a wireless bulk data-transfer when requested remotely. The DC mode is  
143 activated during the rainy period when data need to be transmitted at the highest frequency to inform the early warning  
144 system. Real-time transmission is the most energy-demanding operation mode, and it is therefore only active during the  
145 wet period. The DL mode is activated during the dry period. Although tensiometer and inclinometer data are not critical  
146 in this period, it is assumed that it is still valuable acquiring pore-water pressure and deformation data. To save battery

147 energy charge, data are acquired at lower sampling rate, stored on-board on non-volatile memory, and periodically  
148 transmitted in bulk on user demand.

149 The data acquisition cycle is shown in Supplementary Figure S3. At regular predetermined time intervals, the primary  
150 chip including the accelerometer and ADC and the chip extension connected to the tensiometers are switched on. The  
151 system remains on for 10 seconds to allow for tensiometer warm-up. The same time span is exploited by the ADC for  
152 self-calibration. On completion of the warm-up stage, the acquisition stage takes place. This is followed by DC data  
153 transmission or alternatively DL data storage possibly followed by a subsequent bulk data-transfer on demand. Each stage  
154 has its specific duration, optimised to save power consumption (see list in Supplementary Table S1).

155 Each tensio-inclinometer transmits the data to a collector node over Wi-Fi (either in real-time in DC mode or in bulk on-  
156 demand in DL mode). Previous studies have indicated that Wi-Fi transmission works properly during rainfall  
157 (Biansoongnern et al., 2016). The collector node is designed to transmit the data to the remote computer via wired network  
158 in order to safeguard the robustness of transmission of the data used to inform the early-warning system. To this end, the  
159 collector node includes a USB port that allows either i) direct connection to a laptop or ii) connection to the wired network  
160 via a USB-to-Ethernet adaptor.

161 The management software also provides a CSV file containing diagnostic information about different components  
162 (temperature and battery level). Each metal box communicates wireless with a collector node, physically linked to a PC  
163 throughout USB interface.

#### 164 2.3.4 *Battery duration and data storage*

165 To quantify duration of battery and data storage, the scenario shown in Figure 2a was considered. It consists of one month  
166 of extremely wet period with acquisition every 30 min with real-time data transmission (DC mode), 5 months of  
167 moderately wet period with data stored on-board every 3 hours (DL mode), and 6 months of dry period with data stored  
168 on-board every 6 hours (DL mode). Figure 2b compares the cumulated adsorbed energy charge in one year with the  
169 battery capacity. The battery is clearly designed in a very conservative fashion and can last several years before  
170 replacement. Data logged on-board should be downloaded at least once a year to avoid that memory full.

### 171 3 SLOPE PHYSICAL MODEL

172 The slope physical model consisted of a tilting tank with rectangular base 2 m long and 1.5 m wide (Figure 3). The height  
173 of the side walls allowed accommodating a soil layer with a maximum height of 0.5 m (in the direction orthogonal to the  
174 base). The slope physical model was designed to have the width-to-thickness ratio relatively high to minimise the effect

175 of friction along the longitudinal boundaries. This was assumed to be a key aspect of the experimental design as lateral  
176 friction could hinder pre-failure deformation of the slope.

177 The side walls were built with a lower part made of steel ( $h_1 = 0.2$  m) and an upper part made of Plexiglas® ( $h_2 = 0.3$  m)  
178 to allow for lateral visual inspection of the slope. The downslope wall was made of a perforated steel sheet to maintain  
179 the soil layer in place once the tank was tilted and allow for water drainage at the same time. A geotextile was interposed  
180 between the base of the tank and the soil layer to increase the interface frictional resistance and promote the development  
181 of a failure surface well within the soil layer.

182 The tank base was supported by a steel frame with a hinge located at the tank mid-length. A hydraulic actuator operated  
183 manually allowed tilting the tank up to  $45^\circ$ . The tank was surmounted by three steel portals carrying each brass nozzles  
184 to generate nebulised rain. The nozzles have 1.19 mm wide orifice tips allowing water flow rates in the range between  
185 0.32 to 1.95 l/min for water pressures between 0.2 and 10 bars respectively.

186 The tested soil was a non-plastic, cohesionless silty sand of volcanic origin (Figure 4). Drained isotropic-consolidated  
187 triaxial tests (not yet published) yielded a friction angle of  $\phi' = 33^\circ$ . Permeameter laboratory tests (not yet published)  
188 yielded a saturated hydraulic conductivity of  $3 \times 10^{-7}$  m/s.

189 A high-resolution digital video camera was positioned normal to the soil layer surface to enable measurement of surface  
190 displacements via Particle-Image Velocimetry (PIV). The analysis has been performed using PIVlab, a free toolbox for  
191 MATLAB® (Thielicke and Stamhuis, 2014). The input images were divided into sub-images (interrogation areas), and  
192 for each of these, a cross-correlation was performed. The resulting correlation matrix is used to estimate the most probable  
193 displacement within each interrogation area. An interrogation area of 86 x 100 pixels (width and height respectively) has  
194 been used. It was therefore checked that the displacements did not change when the size of interrogation area was either  
195 increased to 151 x 206 pixels or decreased to 64 x 72 pixels.

#### 196 **4. RESULTS**

197 Three tests have been carried out to explore the effect of soil bulk density and the role of vegetation. Test 1 was carried  
198 out on bare slope prepared with loose volcanic silt, 30 cm thick, and tilted to  $36^\circ$ . Tests 2 and 3 were carried out on slopes  
199 prepared with dense volcanic silt, 35 cm thick, vegetated with graminaceous plants, and tilted to  $45^\circ$ . The tank was initially  
200 positioned horizontally to form the soil layer by dry pluvial deposition and to allow vegetation to grow (tests 2 and 3).  
201 The tank was then tilted and an artificial rain of 28 mm/h was applied until global instability was observed.

##### 202 **Test 1**

203 Average soil porosity after placement was determined by averaging the porosity of three samples taken from the layer at  
204 different locations and found equal to 67%. After deposition, the layer was moistened by rain sprinkles and covered with

205 a waterproof sheet to allow for hydraulic equilibration for two weeks. The tank was then tilted to an angle of  $36^\circ$  greater  
206 than the soil friction angle.

207 It is worth noticing that the ‘effective’ inclination of the slope is lower than the inclination of the tank. The downslope  
208 wall imposes a constraint on the kinematics of global instability and forces the failure surface that forms parallel to the  
209 base of the tank in the upper portion of the slope to flatten mid-slope to reach the rim of the downslope wall. The ‘effective’  
210 inclination of the slope (overtaking angle) is approximately given by the inclination of the segment joining the bottom of  
211 the upslope wall with the top of the downslope wall. This inclination was equal to  $27.5^\circ$  and actually governs the global  
212 instability.

213 The slope was monitored by four tensio-inclinometers. These were installed slightly leaning upslope with the heavy box  
214 at the top of the shaft turned upslope. This generated a moment that slightly compressed the soil at the contact with the  
215 upslope portion of the shaft. Devices  $D^{1_1}$ ,  $D^{1_2}$  and  $D^{1_3}$  were installed quasi-vertically with backwards angles of  $1-2^\circ$ .  $D^{1_1}$   
216 and  $D^{1_3}$  were pushed down to the bottom of the layer while  $D^{1_2}$  was pushed down to the layer mid-height. Device  $D^{1_4}$   
217 was installed with a backward angle of  $10^\circ$  to explore the effect of a more eccentric top box. The positions of nozzles,  
218 tensio-inclinometers, and PIV-interpreted zones are shown in Figure 5.

219 Rainfall initially infiltrated into the soil layer and started to run off after  $\sim 10$  min from the beginning of the artificial  
220 rainfall. After 20 min, local instability phenomena were observed involving sublayers 2-3 cm thick. The global instability  
221 was observed after 80 min and took place when suction dropped to a few kilopascals.

222 The rapid post-failure movement observed was likely associated with liquefaction. According to Picarelli et al. (2020),  
223 liquefaction of silty volcanic soils occurs when the mobilised stress falls within an unstable zone in the mean effective  
224 stress-deviatoric stress plane that is bounded by the critical state line and a liquefaction ‘instability’ line. This unstable  
225 zone widens as the soil porosity increases. For the slope in question, the ‘mobilised’ overtaking angle ( $27.5^\circ$ ) likely fell  
226 between the critical state friction angle ( $33^\circ$ ) and the instability line pulled down by the high soil porosity.

227 Figure 6 shows images of the evolution over time of the test. Figure 7 shows the rotation measured by the four devices  
228 benchmarked against the surface displacement parallel to the slope at three different locations (A to C) derived from  
229 particle image velocimetry (PIV).

230 The devices  $D^{1_1}$  and  $D^{1_3}$  show rotation that increases downslope at increasing rate until global failure is reached. The  
231 measurement of the devices  $D^{1_1}$  and  $D^{1_3}$  is remarkably consistent and also in fair agreement with the surface displacement  
232 in the zones A and B (Figure 7a).

233 The measurement of device  $D^{1_2}$  only lasted 25 min. Over this time, its measurement was also consistent with the  
234 measurements by devices  $D^{1_1}$  and  $D^{1_3}$ . The measurement ceased after 25 min because the device  $D^{1_2}$  experienced  
235 instability due to the attainment of limit lateral load for the shaft. The  $D^{1_2}$  aboveground stretch was the same as  $D^{1_1}$  and



236  $D^1_3$ , i.e. the box at the top of the shaft generated similar torque with respect to the ground surface. However,  $D^1_2$  was only  
237 inserted to the slope mid-height compared to  $D^1_1$  and  $D^1_3$ . As a result, the pressures applied by the soil to the shaft on its  
238 downslope side were much higher to compensate for the reduced arms with respect to the ground surface. As water  
239 infiltrated into the ground and suction decreased, passive conditions were achieved and the device fell.

240 The device  $D^1_4$  shows a progressive counter-tilting likely associated with the installation with a backward angle of  $10^\circ$   
241 (Figure 7b). The portion of soil located downslope initially experienced significant surface displacement (point C in  
242 Figure 7b). The lack of support downslope to  $D^1_4$  might have generated a rotational mechanism facilitating the backward  
243 rotation of the device  $D^1_2$ .

244 Figure 8 shows the simultaneous measurement of rotation and suction for the devices  $D^1_1$ ,  $D^1_2$ , and  $D^1_4$ . A malfunctioning  
245 affected  $D^1_3$ , which failed in recording suction and only returned tilting evolution, and is therefore not shown in Figure 8.  
246 The device  $D^1_1$  measures an initial suction of 8 kPa at the layer base. Suction progressively reduced over the test down to  
247 2 kPa just before failure. Rotation increased consistently with suction reduction until global instability took place. It is  
248 worth noticing that the movement is recorded earlier ( $\sim 20$  min) than the drop in suction at  $\sim 30$  min (Figure 8a). Similar  
249 observations can be made for device  $D^1_2$  for the period when the shaft remained in place.

250 The device  $D^1_4$  recorded an initial drop in suction (Figure 8b), which can partly explain the surface displacement observed  
251 at point C in Figure 7b. The rotation recorded after  $\sim 30$  min, although taking place backward, also appears to be consistent  
252 with the drop in suction from 7 kPa to 2 kPa.

## 253 **Test 2**

254 A thicker layer (35 cm) was placed at a lower porosity and then vegetated with a grass to respectively increase the degree  
255 of particle interlocking and introduce in the layer a tightening root system.

256 Soil porosity after deposition was estimated by collecting three samples from the layer at different locations and measuring  
257 their porosity. It ranged between 61% and 64%. The lower porosity was expected to generate a stiffer response of the soil  
258 and, hence, probe the tensio-inclinometer to detect smaller soil deformations prior to failure.

259 The soil layer was sown with a mixture of twelve different graminaceous plants and repeatedly wetted over a month to  
260 facilitate vegetation growth. A single sowing was sufficient to cover the entire surface. Over this period roots reached the  
261 bottom of the tank. The tank was first tilted to  $36^\circ$ , the same inclination as Test 1. Three nozzles and six tensio-  
262 inclinometers were installed as shown in Figure 9. The tensio-inclinometers were pushed to the depths of 35, 25 and 10  
263 cm (Figure 9). All the tensio-inclinometers were installed with the box at the top of the shaft turned upslope, and as close  
264 as possible to the ground surface to minimise overturning moments as observed in Test 1. The devices  $D^2_{A1}$ ,  $D^2_{B1}$  and  
265  $D^2_{C1}$  were placed in the soil quasi-vertically, the remaining devices were positioned following the direction orthogonal to  
266 the slope upper surface. The tensio-inclinometers were placed in the upper portion of the slope to minimise the effect of

267 the kinematic constraint imposed by the downslope rigid wall. Instruments and markers were placed along three different  
268 lines labelled as A, B and C. Surface displacements were measured by a motorized total station targeting 4 markers  
269 placed at the top of shafts pushed into the soil layer and placed closed to the tensio-inclinometers of the alignment A and  
270 C.

271 In this first stage of the test, rainfall was applied long enough to generate slightly positive pore-water pressures. Figure  
272 10a shows the evolution of tilting and suction measured by the  $D^2_{C1}$  device (negative suction indicates positive pore-water  
273 pressure). The increase of rotation is highly consistent with the drop in suction.

274 A failure surface became visible upslope through the plexiglas wall (Fig.10b). A rotational movement occurred along the  
275 slip surface as indicated by the device counter tilting occurring at the end of the test (Figure 10a). However, the rotational  
276 movement stopped without generating a collapse mechanism. The mobilised ‘effective’ angle of  $27.5^\circ$  was lower than  
277 the critical state angle ( $33^\circ$ ) but also lower than the liquefaction ‘instability’ line pulled up by the lower porosity. As a  
278 result, neither liquefaction nor global instability took place.

279 Rainfall was then stopped, the slope was exposed to the atmosphere. Evapotranspirative fluxes acted for a week and  
280 suction increased again to about 4 kPa. The tank was then tilted up to  $45^\circ$ . This was aimed to raise the ‘effective’  
281 (overtaking) inclination from  $27.5^\circ$  to  $35^\circ$ , i.e. greater than the friction angle of  $33^\circ$  to promote global instability of the  
282 slope.

283 Rainfall was applied again, with the same intensity. Rainwater initially infiltrated into the slope until some rainwater  
284 started to run-off. The presence of the diffuse root system initially inhibited local instabilities that were otherwise observed  
285 in Test 1. Global instability was observed about 70 min after the start of the rainfall. Figure 11 shows the sequence of the  
286 evolution of the slope over the test.

287 Figures 12, 13 and 14 show the evolution of rotation and suction recorded by the tensio-inclinometers together with the  
288 surface displacements of the markers recorded by total station. It is worth noticing that one of the tensio-inclinometer  
289 installed along the alignment B malfunctioned and data from this device are missing. As for Test 1, rotation increases as  
290 suction decreases. A rotation increase is recorded well ahead of global instability. However, the stiffer response of the  
291 soil ‘reinforced’ by the root system and/or the lower porosity resulted in rotations in the range  $1-5^\circ$  markedly lower than  
292 rotations up to  $25^\circ$  as recorded in Test 1 on bare soil. The evolution of rotations is highly consistent with the evolution  
293 parallel-to-slope displacements, the latter also markedly smaller than the surface displacements recorded in Test 1. Suction  
294 paths show that global instability took place under slightly positive pore-water pressures.

295

296 **Test 3**

297 The third test was carried out following similar deposition by pluviation as Test 2. Values of porosity after placement  
298 were consistent with those measured for Test 2. Two seeding stages were carried out to cover the entire layer surface with  
299 graminaceous plants. The first stage aimed to vegetate the central part of the surface layer whereas the second stage  
300 allowed vegetating the part of the surface layer adjacent to the walls of the tank. After about one month, the roots  
301 penetrated a relatively shallow depth (around 25 cm), i.e. roots did not reach the bottom of the tank.

302 The monitoring and artificial rainfall systems (Figure 15) were similar to those installed in Test 2 (Figure 9). Three  
303 different alignments considered, labelled as alignment “A”, “B”, and “C” respectively. The three nozzles used were the  
304 same of Test 2 and they were located along the alignment “B”.

305 Six tensio-inclinometers were installed, distributed across the three alignments (four tensio-inclinometers were placed  
306 along the alignment “A”, only one tensio-inclinometer along the remaining alignments respectively). Four different  
307 markers were installed along the alignment A and C close to the tensio-inclinometers. The metal box of the device  $D^3_{A1}$   
308 was also used as marker for total station measurements.

309 For Test 3, all the tensio-inclinometers were installed with the box at the top of the shaft turned upslope as close as  
310 possible to the ground surface to minimise overturning moments. The devices  $D^3_{A1}$ ,  $D^3_{B1}$  and  $D^3_{C1}$  were placed in the soil  
311 quasi-vertically, the remaining devices were positioned following the direction orthogonal to the layer upper surface.

312 For test 3, the slope physical model was not tilted up to  $36^\circ$  as for the Test 2. It was directly tilted up to  $45^\circ$  to establish  
313 an overtaking angle higher than the soil friction angle and facilitate global instability of the slope.

314 A major instability phenomenon took place in the middle part of the slope after 16 min of rainfalls involving a soil about  
315 25 cm thick, corresponding to the depth of the roots. The shorter time interval required to bring the slope to failure  
316 compared to Test 2 was attributed to a narrower root zone. Figure 16 shows images of the evolution of the slope over the  
317 test.

318 Figures 17, 18 and 19 show the evolution of rotation recorded by the tensio-inclinometer together with the surface  
319 displacements of the markers and the device  $D^3_{A1}$  recorded by total station and the evolution of rotation combined with  
320 suction. Tensio-inclinometers installed at the depth of 25 cm, i.e. within the layer subjected to instability ( $D^3_{A1}$ ,  $D^3_{B3}$ ,  
321  $D^3_{C1}$ ) experienced significant pre-failure rotation clearly indicating that instability was approached. The devices installed  
322 along the alignment “A” and “C” recorded rotation values of about  $30^\circ$ . The devices positioned on the middle alignment  
323 of about  $3^\circ$ .

324 Two tensio-inclinometers installed along the middle alignment were affected by a malfunctioning, so only the paths  
325 recorded by the devices  $D^3_{B1}$   $D^3_{B2}$  are plotted in Figure 18.

326 Also for the test 3, the evolution of rotations is highly consistent with the evolution parallel-to-slope displacements  
327 recorded by the motorized total station. As expected, the tensio-Inclinometers ( $D^3_{B1}$ ,  $D^3_{B2}$ ) installed in the zone that  
328 remained stable experienced little or no rotation.

329 For the tensio-inclinometers installed at shallower depths, the general pattern followed by suction and rotation is very  
330 similar to the one already described for the previous tests: rotation increases as suction decreases. A rotation increase is  
331 recorded well ahead of global instability.

#### 332 4 DISCUSSION

333 The results of the tests carried out in the slope physical model clearly indicate that the volcanic silty slope experiences  
334 suction-induced deformation under the effect of a simulated rainfall. This deformation is detectable well in advance of  
335 global instability and, as a result, it can be considered a potential landslide precursor in synergy with rainfall and suction  
336 records. It should be highlighted that the inevitable effect of the lateral boundaries tends to generate arching and, hence,  
337 hinder pre-failure deformation compared to the one that would develop in open slope. In other words, boundary effects  
338 do not undermine the experimental results as far the pre-failure deformation is concerned.

339 The same tests also indicate that the suction-induced deformation is adequately captured by tilting evolution. Its  
340 measurement can therefore successfully replace measurements of absolute surface displacements. This finding is crucial  
341 in designing and implementing light and effective LEWS monitoring systems because measuring the rotation of a  
342 tensiometer shaft installed in the slope (with the added benefit of suction measurement) is considerably simpler than  
343 setting of displacement monitoring system which is typically expensive and difficult to install and manage (Uchimura et  
344 al., 2015). Techniques for monitoring displacements also tend to become highly inaccurate under conditions of persistent  
345 rainfalls, which are those expected when the LEWS is in operation. In contrast, the tensio-inclinometer is expected to  
346 operate trouble-free even under adverse weather conditions.

347 The tests have shown the good synergy between tilting and suction in detecting the state of the soil prone to landslide  
348 initiation. The Suction-Tilting (ST) for different tests are depicted in Figures 20, 21 and 22.

349 With reference to Test 1, the pattern towards instability may develop according to three different stages (Figure 20). The  
350 first stage is characterised by a sub-vertical trend (0-1) where drop in suction in the very shallow portion of the ground  
351 (not detected yet by the relatively deep tensiometer tip) induces soil softening that triggers tilting. In the second stage (1-  
352 2), characterized by a concave trend towards suction-axis, tilting increases driven by the downward infiltration of  
353 rainwater now sensed by the tensiometer tip. In stage (2-3), characterised by a convex trend towards the suction-axis,  
354 suction drops significantly at depth of the tensiometer tip leading to an increasing rotation rate up to instability. With

355 reference to Test 2 (Figure 21) and Test 3 (Figure 22), the ST patterns are similar although the first sub-vertical branch  
356 tends to disappear.

357 The curve inflection point (point 2 in figure 20) could define the threshold used to issue the alarm. In Test 1 the inflection  
358 point occurred at about 40 minutes, well in advance of the time when sliding occurred (81 minutes). In Test 2 and 3 the  
359 inflection points occurred at times much closer to failure, 10 and 7 minutes ahead of failure respectively. It should however  
360 be noted that the failure in the slope physical model occurred in a relatively short time due to the extremely high rainfall  
361 (28 mm/h) applied to the slope. In real cases, the duration of rainfall triggering slope instability would be of the order tens  
362 of hours rather than tens of minutes. An inflection point occurring mid-time would allow issuing a warning several hours  
363 in advance.

364 The test in the slope physical model also provides indication about the installation of the tensio-inclinometer. The most  
365 effective procedure appeared to be that of pushing the entire shaft in the layer leaving the box in close proximity with the  
366 soil ground.

## 367 **5 CONCLUSIONS**

368 The accuracy of early-warning systems for rainfall-induced shallow landslides may be significantly enhanced by  
369 including the monitoring of precursor variables associated with the stress-strain state of the ground (in addition to the  
370 monitoring of more traditional meteorological variables). In this context, the paper has investigated whether wetting-  
371 induced instability occurring in a special class of soils susceptible to fail upon rainfall events, that is, high-porosity silty  
372 volcanic soils, is associated with appreciable pre-failure deformations before failure. If this is the case, the combined  
373 measurement of suction and suction-induced deformation will serve as effective precursor variable to underpin landslide  
374 early-warning systems.

375 The paper has first presented a tensio-inclinometer specifically developed to measure suction changes and suction-induced  
376 deformation in shallow slopes. The device was developed by mounting a MEMS accelerometer to the shaft of a  
377 conventional tensiometer. On-board electronics for data digitisation, data storage and wireless data transmission, and  
378 battery-based power supply makes the device fully wireless. The tensio-inclinometer is therefore easy to deploy and  
379 install. The standing-alone tensio-inclinometer would allow designing a very flexible and adaptive monitoring system,  
380 where a small number of fixed devices is complemented by several mobile devices that can be readily deployed as needed.  
381 The tensio-Inclinometer was then used to monitor suction and suction-induced deformation occurring in an artificial slope  
382 subjected to an artificial rainfall. It has been shown that pre-failure deformation detected via the tilting of the tensiometer  
383 shaft is an adequate landslide precursor. If recorded in combination with suction, pre-failure deformation can provide an  
384 adequate soil-based threshold. Although the interpretation of suction–tilting curves requires further investigation via

385 mock-up and field scale tests, the preliminary results presented in the paper provide a TRL3 proof-of-concept for early-  
386 warning thresholds built upon combined measurement of suction and suction-induced kinematics, possibly via the  
387 wireless and fully deployable tensio-inclinometer. The tensio-inclinometers used in this work were relatively short and  
388 could therefore be installed at relatively shallow depths. However, it would be relatively easy to turn longer commercial  
389 tensiometers (up to 2 m) into tensio-inclinometers. These could therefore be used to monitor slopes up to two-meter  
390 thickness, which is the typical thickness range encountered in rainfall induced landslides in silty volcanic slopes.

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- 498

499 **FIGURE CAPTIONS**

500 *FIGURE 1: a) Schematic layout of the tensio-inclinometer; b) the tensio-inclinometer; c) Internal view of the metal box.*

501 *FIGURE 2: (a) One year data acquisition scenario. (b) Comparison between cumulated adsorbed energy consumption*  
502 *and batter capacity*

503 *FIGURE 3: Slope physical model: (a) front view; (b) lateral view in horizontal position; (c) lateral view in tilted*  
504 *position*

505 *FIGURE 4: Grain size distribution of the soil tested*

506 *FIGURE 5: position of nozzles, tensio-inclinometers and PIV interpreted zones for Test 1*

507 *FIGURE 6: Images taken at different stages of the Test 1*

508 *FIGURE 7: Test 1. Rotation and comparison with PIV surface displacements. (a) Devices  $D^1_1, D^1_2, D^1_3$ . (b) Device  $D^1_4$*

509 *FIGURE 8: Test 1. Simultaneous measurement of rotation and suction. (a) Devices  $D^1_1, D^1_2, D^1_3$ . (b) Device  $D^1_4$*

510 *FIGURE 9: Position of nozzles, tensio-inclinometers, and markers for Test 2*

511 *FIGURE 10: Test 2. a) Simultaneous measurement of rotation and suction. Device  $D^2_{Cl}$ , b) failure surface*

512 *FIGURE 11: Images taken at different stages of Test 2*

513 *FIGURE 12: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
514 *rotation and suction for the alignment A*

515 *FIGURE 13: Test 2. Simultaneous measurement of rotation and suction for the alignment B*

516 *FIGURE 14: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
517 *rotation and suction for the alignment C*

518 *FIGURE 14: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
519 *rotation and suction for the alignment C*

520 *FIGURE 15: Position of nozzles, tensio-inclinometers, and markers for the Test 3*

521 *FIGURE 16: Images taken at different stages of Test 3*

522 *FIGURE 17: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
523 *rotation and suction for the alignment A*

524 *FIGURE 18: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
525 *rotation and suction for the alignment B*

526 *FIGURE 19: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
527 *rotation and suction for the alignment C*

528 *FIGURE 20 Rotation versus suction and warning threshold criterion (Test 1)*

529 *FIGURE 21 Rotation versus suction and warning threshold criterion (Test 2)*

530 *FIGURE 22 Rotation versus suction and warning threshold criterion (Test 3)*

531 *FIGURE S1: Graphical representation of the inclination angles returned by the MEMS accelerometer*

532 *FIGURE S2: Semi-conductor chip partition: main section and tensiometer section – power supply links (red); signal*  
533 *links (blue)*

534 *FIGURE S3: Data acquisition cycle for (a) data transmission mode DC and (b) data logger mode DL*

1 **Pre-failure suction-induced deformation to inform early warning of shallow landslides: proof of concept at slope**  
 2 **model scale**

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15

16 KEYWORDS: shallow landslides; silty volcanic soils; soil suction; tilting; slope pre-failure deformation.

17 **ABSTRACT**

18 The majority of the ~~Landslides~~ Early Warning Systems (LEWS) currently in operation are based on the monitoring of  
 19 rainfall data alone and this limits their performance due to false alarms generated by rainfall thresholds that are inevitably  
 20 set conservative. The accuracy of LEWS may be significantly enhanced by monitoring soil-based variables associated  
 21 with the stress-strain response of the ground. This paper investigates whether slope pre-failure deformation can be used  
 22 as additional precursor of landslide initiation. This would lead to a substantial improvement of LEWS accuracy especially  
 23 if pre-failure deformation is combined with suction monitoring. Tests were carried out using a small-scale physical model  
 24 of a slope built with unsaturated volcanic silt subjected to artificial rainfall. A new device named ~~Tensio-~~Inclinometer  
 25 was purposely developed to monitor simultaneously suction and suction-induced deformation. It combines a conventional  
 26 tensiometer and an accelerometer installed at the top of the tensiometer shaft. It is shown that pre-failure deformation  
 27 detected by the tilting of the tensiometer shaft is an adequate landslide precursor and that, combined with suction, can  
 28 provide soil-based thresholds for early warning systems.

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## 29 1 INTRODUCTION

30 Rainfall-induced shallow landslides in coarse-grained volcanic fall deposits often evolve into debris flows causing  
31 significant damage and fatalities worldwide. Catastrophic events have been recorded in the last two decades in El  
32 Salvador, Hong-Kong, Indonesia, Italy, Japan, Mexico, Russia, Taiwan, and Venezuela (Fuchu et al. 1999; Cascini and  
33 Ferlisi, 2003; Olivares and Picarelli, 2003; Capra et al. 2003; Crosta et al. 2005; Chen et al 2006; Pagano et al.; 2010;  
34 Mizuyama and Egashira, 2010; Santo et al., 2012; Yamao et al 2015; Chávez et al. 2016; Shimizu and Ono, 2016; Perov  
35 et al., 2017; Kusumawardani et al. 2017; Zhang et al 2022). Volcanic soils are non-plastic and are characterised by high  
36 porosity maintained by the suction generated by the partially saturated state. The loss of suction due to rainwater  
37 infiltration in association with high porosity make this class of materials susceptible to generate fast-moving debris flows.

38 Risk reduction strategy for this class of landslides is based on Landslide Early Warning Systems (LEWS) as the rapidity  
39 of the sliding mass movement demands alarms to be issued ahead of landslide initiation (UNISDR, 2006; Alfieri et al.,  
40 2012; Greco & Pagano, 2017). LEWS need to be informed by landslide precursors and the performance of LEWS depends  
41 directly on the precursor variables to be monitored and the model used to set alarm thresholds.

42 Rainfall is considered the primary and often the sole precursor variable in the majority of the LEWS currently in operation  
43 (e.g. Keefer et al., 1987; Ortigao and Justi, 2001; Chleborad et al., 2008; Baum et al., 2008; Baum & Godt, 2010; Pagano  
44 et al., 2010; Formetta et al., 2016; Pecoraro et al., 2019). The relatively low accuracy of LEWS informed solely by rainfall  
45 data leads to conservative alarm thresholds. These tend to generate repeated false alarms and induce the served  
46 communities to underreact to the warning thus undermining the effectiveness of the LEWS (Greco & Pagano, 2017;  
47 Intrieri et al., 2012; Sattelle et al., 2015; Reder & Rianna, 2021). A well-performing LEWS should therefore achieve  
48 accuracy not only to avoid missed alarms but also to minimise false alarms.

49 To enhance the performance of LEWS the monitoring of soil volumetric water content (measured by dielectric-based  
50 sensors) has been included as additional precursor variable because the variation of volumetric water content is an  
51 indicator of loss of suction and, hence, shear strength (Orense et al., 2003; 2004; Baum et al., 2010; Ponziani et al., 2012;  
52 Thiebes et al., 2014; Uchimura et al., 2015; Segoni et al., 2018). However, volumetric water content is not a very suitable  
53 landslide precursor as pore-water pressures triggering slope failures are generally in the range of a few kilopascals either  
54 in the negative or positive range (Balzano et al., 2019 a,b). In this interval, volumetric water content is characterised by  
55 poor sensitivity in the negative range of pore-water pressures (the water retention curve tends to level off when  
56 approaching saturated conditions) and no sensitivity in the positive range of pore-water pressures. Overall, current LEWS  
57 lack of effective precursors to generate more accurate warnings.

58 This paper aims to investigate whether suction and suction-induced pre-failure deformation can be used as landslide  
59 precursors. Shallow slope failure in silty/sandy geomaterials typically occurs with a well-defined failure surface as

60 detected ex post (Balzano et al., 2019 a,b). The onset of failure is characterised by relatively large displacements of the  
61 mass above the failure surface generated by very high shear deformations in proximity of the failure surface (shear band).  
62 This stage can be preceded by diffuse shear and compression plastic deformations above the failure surface and are  
63 referred to as 'pre-failure suction-induced deformations' in this work. The research question addressed in this paper is  
64 whether pre-failure deformation combined with suction monitoring can provide effective precursors of landslide  
65 initiation. This would potentially lead to substantial improvement of LEWS accuracy.

66 A slope physical model was used to test whether wetting-induced instability is preceded by substantial pre-failure  
67 deformations. The slope was reconstituted using natural volcanic soil reproducing similar porosity and slope inclination  
68 as encountered in the field (Balzano et al. 2019b) and then subjected to artificial rainfall until failure. Soil suction and  
69 slope deformation were monitored using a ~~Tensio-~~~~inclinometer-~~~~inclinometer~~ purposely developed for this research  
70 and designed to be later deployed in the field to underpin real LEWSs. The tests presented in the paper aim to provide a  
71 TRL3 validation ('proof of concept' according to European Commission 2017) for the use of combined measurement of  
72 suction and slope deformation to anticipate the occurrence of rainfall-induced instability. Although the experiments  
73 presented in this paper focus on volcanic soils, the results are expected to be applicable to the wider class of coarse-  
74 grained silty materials.

## 75 2 THE TENSIO-INCLINOMETER

### 76 2.1 Concept

77 The ~~Tensio-~~~~inclinometer-~~~~inclinometer~~ was assembled by combining i) a conventional tensiometer to measure pore-  
78 water pressure in negative and positive range and ii) an accelerometer installed to the tensiometer shaft at its top to  
79 measure its inclination as a suitable proxy measurement of landslide pre-failure deformation (Figure 1).

80 Pore-water pressure is a more effective precursor than volumetric water content. Silty volcanic slopes are generally  
81 cohesionless and characterised by inclinations close to the friction angle. As a result, pore-water pressures triggering slope  
82 failures are generally in the range of a few kilopascals either in the negative or positive range. Tensiometers generally  
83 show accuracy to less than 1 kPa and can measure pore-water pressure in both negative and positive range. This makes  
84 pore-water pressure measured by tensiometers a better precursor of rainfall-induced shallow landslides. It should also be  
85 noted that tensiometer maintenance (re-saturation) is not required during the wet period, i.e., the period when the  
86 tensiometer data are expected to inform the LEWS. Maintenance is not therefore a disadvantage for tensiometers  
87 compared to water content dielectric-based sensor.

88 The measurement of tilting as proxy variable of slope deformation was favoured over measurement of surface  
89 displacements via total station, Global Positioning System (GPS), and photogrammetric techniques as implemented in

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90 other LEWS concepts (Barla & Antolini, 2016; Zhu et al., 2017). Adverse weather conditions affect the line of sight  
91 between observer and target in total station measurements, rain can weaken the GPS signal, and reduced visibility during  
92 rain events affects the quality of photographic images.

93 A metal box including the accelerometer and the electronics was clamped to the tensiometer shaft via a clamping hook to  
94 be easily removed for maintenance or replacement. The metal box was designed to retrofit any commercial tensiometer  
95 once the cables from the tensiometers are connected to the chip extension via the external sockets on the metal box.

96 The ~~Tensio-~~Tensio-Inclinometer-inclinometer was designed to operate wirelessly with power supplied by a battery and Wi-  
97 Fi data transmission. The electronics required for pore-water pressure and tilting measurement, data storage and data  
98 transmission are installed on a semiconductor chip. The battery and the semiconductor chip are located in the metal box  
99 secured to the tensiometer shaft (Figure 1). The device allows wireless monitoring of two precursor variables in a single  
100 element, which is an advantage with respect to approaches based on different elements connected by cables (e.g. Yang et  
101 al., 2017). The wireless design enables rapidity of installation and/or replacement and avoids malfunctioning due to cable  
102 damage by wild animals.

## 103 **2.2 Accelerometer and tensiometer**

104 The tensiometer (T4, UMS GmbH, Munich, Germany) consists of an acrylic-glass shaft of variable length in-between  
105 0.15-2 m, developed to monitor pore-water pressure in the range from - 85 kPa to 100 kPa (Figure 1). A high air-entry  
106 value saturated ceramic cup is positioned at the bottom of the shaft to allow water (under tension) to flow from the soil to  
107 the tensiometer water reservoir or vice versa. Water pressure in the tensiometer water reservoir is measured by a piezo-  
108 electric pressure sensor positioned at the top of the tensiometer water reservoir. The back of the sensing diaphragm is  
109 vented to the atmosphere via the electrical cable and, as a result, the tensiometer measures gauge pore-water pressure.

110 The electrical cable carrying the power supply and the output analog signal was connected to an external plug located on  
111 the metal box. The sensing diaphragm has an accuracy of better than  $\pm 0.5$  kPa.

112 The acceleration measurement system is based on MEMS (Micro-Electro-Mechanical- Systems) capacitive accelerometer  
113 that measures the part of gravity accelerations activated by the tilting. The accelerometer returns in the three tilting  
114 components as shown in Supplementary Figure S1. An accuracy of  $0.05^\circ$  can be achieved for the shaft tilting angle.

## 115 **2.3 Electronics**

### 116 **2.3.1 Primary semiconductor chip**

117 An 'all-in-one' primary chip includes (1) MEMS accelerometer, (2) power supply, (3) power management, (4) data  
118 storage and (5) signal digitisation data wireless transmission (microcontroller) (Supplementary Figure S2).

119 Lithium Thionyl Chloride (Li-SOCl<sub>2</sub>) non-rechargeable batteries supply power to the system. Batteries can ensure an  
120 acquisition every ten minutes for more than one year and may be easily replaced once fully discharged. Each section is  
121 provided with a power management system, adjusting batteries voltage according to requirement of each component (2V  
122 for MEMS accelerometer and microcontroller digital circuits, 3.3 V for the analogic circuits, 5 V for the tensiometer  
123 digital circuit).

124 A highly performing microcontroller (codification CC1352R) is linked to all sensors and to the memory to manage all  
125 system components. It integrates a wireless transceiver, which ensures good transmission quality with the external  
126 receiver without suffering from significant electrical disturbance deriving from microcontroller activity.

127 A non-volatile memory (codification MX25R6435F) stores all device data. The memory capacity of 64 Mbit can ensure  
128 storage of data acquired every ten minutes for more than one year. The tensiometer extension of the semiconductor chip  
129 includes the 16 bit analog-to-digital converter (ADC). The 16-bit resolution allows pore-water pressure to be measured  
130 with resolution better than 0.01 kPa. The chip extension was designed for two tensiometer connections. In this way, two  
131 adjacent tensiometers of different length (installed in close proximity to each other to measure suction at two different  
132 depths) can be connected to a single metal box.

### 133 2.3.2 *Extension semiconductor chip*

134 An extension of the semi-conductor chip including (6) power supply for the tensiometer pressure sensors and (7) analog-  
135 digital converter for the tensiometer output signal (Supplementary Figure S2). Data storage and transmission for the  
136 tensiometers was achieved via the same components installed on the primary board used for tiltmeter data.

### 137 2.3.3 *Operating modes*

138 The prototype firmware and management software were developed to operate according to two different operation modes  
139 (Supplementary Figure S3):

- 140 - the data collection and real-time transmission (Wi-Fi) operation mode (DC);
- 141 - the data logger operation mode (DL) and bulk transmission on demand.

142 Once the data are acquired, DC mode performs a mere wireless real-time data-transfer of all digitised data, while DL  
143 mode operates the data storage followed by a wireless bulk data-transfer when requested remotely. The DC mode is  
144 activated during the rainy period when data need to be transmitted at the highest frequency to inform the early warning  
145 system. Real-time transmission is the most energy-demanding operation mode, and it is therefore only active during the  
146 wet period. The DL mode is activated during the dry period. Although tensiometer and inclinometer data are not critical  
147 in this period, it is assumed that it is still valuable acquiring pore-water pressure and deformation data. To save battery



148 energy charge, data are acquired at lower sampling rate, stored on-board on non-volatile memory, and periodically  
149 transmitted in bulk on user demand.

150 The data acquisition cycle is shown in Supplementary Figure S3. At regular predetermined time intervals, the primary  
151 chip including the accelerometer and ADC and the chip extension connected to the tensiometers are switched on. The  
152 system remains on for 10 seconds to allow for tensiometer warm-up. The same time span is exploited by the ADC for  
153 self-calibration. On completion of the warm-up stage, the acquisition stage takes place. This is followed by DC data  
154 transmission or alternatively DL data storage possibly followed by a subsequent bulk data-transfer on demand. Each stage  
155 has its specific duration, optimised to save power consumption (see list in Supplementary Table S1).

156 Each ~~Tensiometer~~<sup>tensiometer</sup>-inclinometer transmits the data to a collector node over Wi-Fi (either in real-time in DC mode or in  
157 bulk on-demand in DL mode). Previous studies have indicated that Wi-Fi transmission works properly during rainfall  
158 (Biansoongnem et al., 2016). The collector node is designed to transmit the data to the remote computer via wired network  
159 in order to safeguard the robustness of transmission of the data used to inform the early-warning system. To this end, the  
160 collector node includes a USB port that allows either i) direct connection to a laptop or ii) connection to the wired network  
161 via a USB-to-Ethernet adaptor.

162 The management software also provides a CSV file containing diagnostic information about different components  
163 (temperature and battery level). Each metal box communicates wireless with a collector node, physically linked to a PC  
164 throughout USB interface.

#### 165 2.3.4 Battery duration and data storage

166 To quantify duration of battery and data storage, the scenario shown in Figure 2a was considered. It consists of one month  
167 of extremely wet period with acquisition every 30 min with real-time data transmission (DC mode), 5 months of  
168 moderately wet period with data stored on-board every 3 hours (DL mode), and 6 months of dry period with data stored  
169 on-board every 6 hours (DL mode). Figure 2b compares the cumulated adsorbed energy charge in one year with the  
170 battery capacity. The battery is clearly designed in a very conservative fashion and can last several years before  
171 replacement. Data logged on-board should be downloaded at least once a year to avoid that memory full.

### 172 3 SLOPE PHYSICAL MODEL

173 The slope physical model consisted of a tilting tank with rectangular base 2 m long and 1.5 m wide (Figure 3). The height  
174 of the side walls allowed accommodating a soil layer with a maximum height of 0.5 m (in the direction orthogonal to the  
175 base). The slope physical model was designed to have the width-to-thickness ratio relatively high to minimise the effect

176 of friction along the longitudinal boundaries. This was assumed to be a key aspect of the experimental design as lateral  
177 friction could hinder pre-failure deformation of the slope.

178 The side walls were built with a lower part made of steel ( $h_1 = 0.2$  m) and an upper part made of Plexiglas® ( $h_2 = 0.3$  m)  
179 to allow for lateral visual inspection of the slope. The downslope wall was made of a perforated steel sheet to maintain  
180 the soil layer in place once the tank was tilted and allow for water drainage at the same time. A geotextile was interposed  
181 between the base of the tank and the soil layer to increase the interface frictional resistance and promote the development  
182 of a failure surface well within the soil layer.

183 The tank base was supported by a steel frame with a hinge located at the tank mid-length. A hydraulic actuator operated  
184 manually allowed tilting the tank up to  $45^\circ$ . The tank was surmounted by three steel portals carrying each brass nozzles  
185 to generate nebulised rain. The nozzles have 1.19 mm wide orifice tips allowing water flow rates in the range between  
186 0.32 to 1.95 l/min for water pressures between 0.2 and 10 bars respectively.

187 The tested soil was a non-plastic, cohesionless silty sand of volcanic origin (Figure 4). Drained isotropic-consolidated  
188 triaxial tests (not yet published) yielded a friction angle of  $\phi' = 33^\circ$ . Permeameter laboratory tests (not yet published)  
189 yielded a saturated hydraulic conductivity of  $3 \times 10^{-7}$  m/s.

190 A high-resolution digital video camera was positioned normal to the soil layer surface to enable measurement of surface  
191 displacements via Particle-Image Velocimetry (PIV). The analysis has been performed using PIVlab, a free toolbox for  
192 MATLAB® (Thielicke and Stamhuis, 2014). The input images were divided into sub-images (interrogation areas), and  
193 for each of these, a cross-correlation was performed. The resulting correlation matrix is used to estimate the most probable  
194 displacement within each interrogation area. An interrogation area of 86 x 100 pixels (width and height respectively) has  
195 been used. It was therefore checked that the displacements did not change when the size of interrogation area was either  
196 increased to 151 x 206 pixels or decreased to 64 x 72 pixels.

197

#### 198 4. RESULTS

199 Three tests have been carried out to explore the effect of soil bulk density and the role of vegetation. Test 1 was carried  
200 out on bare slope prepared with loose volcanic silt, 30 cm thick, and tilted to  $36^\circ$ . Tests 2 and 3 were carried out on slopes  
201 prepared with dense volcanic silt, 35 cm thick, vegetated with graminaceous plants, and tilted to  $45^\circ$ . The tank was initially  
202 positioned horizontally to form the soil layer by dry pluvial deposition and to allow vegetation to grow (tests 2 and 3).

203 The tank was then tilted and an artificial rain of 28 mm/h was applied until global instability was observed.

#### 204 Test 1

205 Average soil porosity after placement was determined by averaging the porosity of three samples taken from the layer at  
206 different locations and found equal to 67%. After deposition, the layer was moistened by rain ~~sprinkles~~ ~~springles~~ and  
207 covered with a waterproof sheet to allow for hydraulic equilibration for two weeks. The tank was then tilted to an angle  
208 of  $36^\circ$  greater than the soil friction angle.

209 It is worth noticing that the 'effective' inclination of the slope is lower than the inclination of the tank. The downslope  
210 wall imposes a constraint on the kinematics of global instability and forces the failure surface that forms parallel to the  
211 base of the tank in the upper portion of the slope to flatten mid-slope to reach the rim of the downslope wall. The 'effective'  
212 inclination of the slope (overtaking angle) is approximately given by the inclination of the segment joining the bottom of  
213 the upslope wall with the top of the downslope wall. This inclination was equal to  $27.5^\circ$  and actually governs the global  
214 instability.

215 The slope was monitored by four ~~Transio-~~inclinometers. These were installed slightly leaning upslope with the heavy  
216 box at the top of the shaft turned upslope. This generated a moment that slightly compressed the soil at the contact with  
217 the upslope portion of the shaft. Devices  $D^1_1$ ,  $D^1_2$  and  $D^1_3$  were installed quasi-vertically with backwards angles of  $1-2^\circ$ .  
218  $D^1_1$  and  $D^1_3$  were pushed down to the bottom of the layer while  $D^1_2$  was pushed down to the layer mid-height. Device  $D^1_4$   
219 was installed with a backward angle of  $10^\circ$  to explore the effect of a more eccentric top box. The positions of nozzles,  
220 ~~Transio-~~inclinometers, and PIV-interpreted zones are shown in Figure 5.

221 Rainfall initially infiltrated into the soil layer and started to run off after  $\sim 10$  min from the beginning of the artificial  
222 rainfall. After 20 min, local instability phenomena were observed involving sublayers 2-3 cm thick. The global instability  
223 was observed after 80 min and took place when suction dropped to a few kilopascals.

224 The rapid post-failure movement observed was likely associated with liquefaction. According to Picarelli et al. (2020),  
225 liquefaction of silty volcanic soils occurs when the mobilised stress falls within an unstable zone in the mean effective  
226 stress-deviatoric stress plane that is bounded by the critical state line and a liquefaction 'instability' line. This unstable  
227 zone widens as the soil porosity increases. For the slope in question, the 'mobilised' overtaking angle ( $27.5^\circ$ ) likely fell  
228 between the critical state friction angle ( $33^\circ$ ) and the instability line pulled down by the high soil porosity.

229 Figure 6 shows images of the evolution over time of the test. Figure 7 shows the rotation measured by the four devices  
230 benchmarked against the surface displacement parallel to the slope at three different locations (A to C) derived from  
231 particle image velocimetry (PIV).

232 The devices  $D^1_1$  and  $D^1_3$  show rotation that increases downslope at increasing rate until global failure is reached. The  
233 measurement of the devices  $D^1_1$  and  $D^1_3$  is remarkably consistent and also in fair agreement with the surface displacement  
234 in the zones A and B (Figure 7a).

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235 The measurement of device  $D^1_2$  only lasted 25 min. Over this time, its measurement was also consistent with the  
 236 measurements by devices  $D^1_1$  and  $D^1_3$ . The measurement ceased after 25 min because the device  $D^1_2$  experienced  
 237 instability due to the attainment of limit lateral load for the shaft. The  $D^1_2$  aboveground stretch was the same as  $D^1_1$  and  
 238  $D^1_3$ , i.e. the box at the top of the shaft generated similar torque with respect to the ground surface. However,  $D^1_2$  was only  
 239 inserted to the slope mid-height compared to  $D^1_1$  and  $D^1_3$ . As a result, the pressures applied by the soil to the shaft on its  
 240 downslope side were much higher to compensate for the reduced arms with respect to the ground surface. As water  
 241 infiltrated into the ground and suction decreased, passive conditions were achieved and the device fell.

242 The device  $D^1_4$  shows a progressive counter-tilting likely associated with the installation with a backward angle of  $10^\circ$   
 243 (Figure 7b). The portion of soil located downslope initially experienced significant surface displacement (point C in  
 244 Figure 7b). The lack of support downslope to  $D^1_4$  might have generated a rotational mechanism facilitating the backward  
 245 rotation of the device  $D^1_2$ .

246 Figure 8 shows the simultaneous measurement of rotation and suction for the devices  $D^1_1$ ,  $D^1_2$ , and  $D^1_4$ . A malfunctioning  
 247 affected  $D^1_3$ , which failed in recording suction and only returned tilting evolution, and is therefore not shown in Figure 8.

248 The device  $D^1_1$  measures an initial suction of 8 kPa at the layer base. Suction progressively reduced over the test down to  
 249 2 kPa just before failure. Rotation increased consistently with suction reduction until global instability took place. It is  
 250 worth noticing that the movement is recorded earlier ( $\sim 20$  min) than the drop in suction at  $\sim 30$  min (Figure 8a). Similar  
 251 observations can be made for device  $D^1_2$  for the period when the shaft remained in place.

252 The device  $D^1_4$  recorded an initial drop in suction (Figure 8b), which can partly explain the surface displacement observed  
 253 at point C in Figure 7b. The rotation recorded after  $\sim 30$  min, although taking place backward, also appears to be consistent  
 254 with the drop in suction from 7 kPa to 2 kPa.

## 255 Test 2

256 A thicker layer (35 cm) was placed at a lower porosity and then vegetated with a grass to respectively increase the degree  
 257 of particle interlocking and introduce in the layer a tightening root system.

258 Soil porosity after deposition was estimated by collecting three samples from the layer at different locations and measuring  
 259 their porosity. It ranged between 61% and 64%. The lower porosity was expected to generate a stiffer response of the soil  
 260 and, hence, probe the  $\mu$ Tensio- $\mu$ Inclinometer to detect smaller soil deformations prior to failure.

261 The soil layer was sown with a mixture of twelve different graminaceous plants and repeatedly wetted over a month to  
 262 facilitate vegetation growth. A single sowing was sufficient to cover the entire surface. Over this period roots reached the  
 263 bottom of the tank. The tank was first tilted to  $36^\circ$ , the same inclination as Test 1. Three nozzles and six  $\mu$ Tensio-  
 264  $\mu$ Inclinometers were installed as shown in Figure 9. The tensio-inclinometers were pushed to the depths of 35, 25 and 10  
 265 cm (Figure 9). All the tensio-inclinometers were installed with the box at the top of the shaft turned upslope, and as close

266 as possible to the ground surface to minimise overturning moments as observed in Test 1. The devices  $D^2_{A1}$ ,  $D^2_{B1}$  and  
 267  $D^2_{C1}$  were placed in the soil quasi-vertically, the remaining devices were positioned following the direction orthogonal to  
 268 the slope upper surface. The  $\pm$ Tensio-iInclinometers were placed in the upper portion of the slope to minimise the effect  
 269 of the kinematic constraint imposed by the downslope rigid wall. Instruments and markers were placed along three  
 270 different lines labelled as A, B and C. Surface displacements were measured by a motorized total station targeting 4  
 271 markers placed at the top of shafts pushed into the soil layer and placed closed to the tensio-inclinometers of the alignment  
 272 A and C.

273 In this first stage of the test, rainfall was applied long enough to generate slightly positive pore-water pressures. Figure  
 274 10a shows the evolution of tilting and suction measured by the  $D^2_{C1}$  device (negative suction indicates positive pore-water  
 275 pressure). The increase of rotation is highly consistent with the drop in suction.

276 A failure surface became visible upslope through the plexiglas wall (Fig.10b). A rotational movement occurred along the  
 277 slip surface as indicated by the device counter tilting occurring at the end of the test (Figure 10a). However, the rotational  
 278 movement stopped without generating a collapse mechanism. The mobilised 'effective' angle of  $27.5^\circ$  was lower than  
 279 the critical state angle ( $33^\circ$ ) but also lower than the liquefaction 'instability' line pulled up by the lower porosity. As a  
 280 result, neither liquefaction nor global instability took place.

281 Rainfall was then stopped, the slope was exposed to the atmosphere. Evapotranspirative fluxes acted for a week and  
 282 suction increased again to about 4 kPa. The tank was then tilted up to  $45^\circ$ . This was aimed to raise the 'effective'  
 283 (overtaking) inclination from  $27.5^\circ$  to  $35^\circ$ , i.e. greater than the friction angle of  $33^\circ$  to promote global instability of the  
 284 slope.

285 Rainfall was applied again, with the same intensity. Rainwater initially infiltrated into the slope until some rainwater  
 286 started to run-off. The presence of the diffuse root system initially inhibited local instabilities that were otherwise observed  
 287 in Test 1. Global instability was observed about 70 min after the start of the rainfall. Figure 11 shows the sequence of the  
 288 evolution of the slope over the test.

289 Figures 12, 13 and 14 show the evolution of rotation and suction recorded by the  $\pm$ Tensio-iInclinometers together with the  
 290 surface displacements of the markers recorded by total station. It is worth noticing that one of the tensio-inclinometer  
 291 installed along the alignment B malfunctioned and data from this device are missing. As for Test 1, rotation increases as  
 292 suction decreases. A rotation increase is recorded well ahead of global instability. However, the stiffer response of the  
 293 soil 'reinforced' by the root system and/or the lower porosity resulted in rotations in the range  $1-5^\circ$  markedly lower than  
 294 rotations up to  $25^\circ$  as recorded in Test 1 on bare soil. The evolution of rotations is highly consistent with the evolution  
 295 parallel-to-slope displacements, the latter also markedly smaller than the surface displacements recorded in Test 1. Suction  
 296 paths show that global instability took place under slightly positive pore-water pressures.

297

298 **Test 3**

299 The third test was carried out following similar deposition by pluviation as Test 2. Values of porosity after placement  
300 were consistent with those measured for Test 2. Two seeding stages were carried out to cover the entire layer surface with  
301 graminaceous plants. The first stage aimed to vegetate the central part of the surface layer whereas the second stage  
302 allowed vegetating the part of the surface layer adjacent to the walls of the tank. After about one month, the roots  
303 penetrated a relatively shallow depth (around 25 cm), i.e. roots did not reach the bottom of the tank.

304 The monitoring and artificial rainfall systems (Figure 15) were similar to those installed in Test 2 (Figure 9). Three  
305 different alignments considered, labelled as alignment "A", "B", and "C" respectively. The three nozzles used were the  
306 same of Test 2 and they were located along the alignment "B".

307 Six tensio-inclinometers were installed, distributed across the three alignments (four tensio-inclinometers were placed  
308 along the alignment "A", only one tensio-inclinometer along the remaining alignments respectively). Four different  
309 markers were installed along the alignment A and C close to the tensio-inclinometers. The metal box of the device  $D^3_{A1}$   
310 was also used as marker for total station measurements.

311 For Test 3, all the tensio-inclinometers were installed with the box at the top of the shaft turned upslope as close as  
312 possible to the ground surface to minimise overturning moments. The devices  $D^3_{A1}$ ,  $D^3_{B1}$  and  $D^3_{C1}$  were placed in the soil  
313 quasi-vertically, the remaining devices were positioned following the direction orthogonal to the layer upper surface.

314 For test 3, the slope physical model was not tilted up to  $36^\circ$  as for the Test 2. It was directly tilted up to  $45^\circ$  to establish  
315 an overtaking angle higher than the soil friction angle and facilitate global instability of the slope.

316 A major instability phenomenon took place in the middle part of the slope after 16 min of rainfalls involving a soil about  
317 25 cm thick, corresponding to the depth of the roots. The shorter time interval required to bring the slope to failure  
318 compared to Test 2 was attributed to a narrower root zone. Figure 16 shows images of the evolution of the slope over the  
319 test.

320 Figures 17, 18 and 19 show the evolution of rotation recorded by the tensio-inclinometer together with the surface  
321 displacements of the markers and the device  $D^3_{A1}$  recorded by total station and the evolution of rotation combined with  
322 suction. Tensio-inclinometers installed at the depth of 25 cm, i.e. within the layer subjected to instability ( $D^3_{A1}$ ,  $D^3_{B3}$ ,  
323  $D^3_{C1}$ ) experienced significant pre-failure rotation clearly indicating that instability was approached. The devices installed  
324 along the alignment "A" and "C" recorded rotation values of about  $30^\circ$ . The devices positioned on the middle alignment  
325 of about  $3^\circ$ .

326 Two tensio-inclinometers installed along the middle alignment were affected by a malfunctioning, so only the paths  
327 recorded by the devices  $D^3_{B1}$   $D^3_{B2}$  are plotted in Figure 18.

328 Also for the test 3, the evolution of rotations is highly consistent with the evolution parallel-to-slope displacements  
329 recorded by the motorized total station. As expected, the tensio-Inclinometers ( $D^3_{B1}$ ,  $D^3_{B2}$ ) installed in the zone that  
330 remained stable experienced little or no rotation.

331 For the ~~tensio-~~inclinometers installed at shallower depths, the general pattern followed by suction and rotation is very  
332 similar to the one already described for the previous tests: rotation increases as suction decreases. A rotation increase is  
333 recorded well ahead of global instability.

#### 334 4 DISCUSSION

335 The results of the tests carried out in the slope physical model clearly indicate that the volcanic silty slope experiences  
336 suction-induced deformation under the effect of a simulated rainfall. This deformation is detectable well in advance of  
337 global instability and, as a result, it can be considered a potential landslide precursor in synergy with rainfall and suction  
338 records. It should be highlighted that the inevitable effect of the lateral boundaries tends to generate arching and, hence,  
339 hinder pre-failure deformation compared to the one that would develop in open slope. In other words, boundary effects  
340 do not undermine the experimental results as far the pre-failure deformation is concerned.

341 The same tests also indicate that the suction-induced deformation is adequately captured by tilting evolution. Its  
342 measurement can therefore successfully replace measurements of absolute surface displacements. This finding is crucial  
343 in designing and implementing light and effective LEWS monitoring systems because measuring the rotation of a  
344 tensiometer shaft installed in the slope (with the added benefit of suction measurement) is considerably simpler than  
345 setting of displacement monitoring system which is typically expensive and difficult to install and manage (Uchimura et  
346 al., 2015). Techniques for monitoring displacements also tend to become highly inaccurate under conditions of persistent  
347 rainfalls, which are those expected when the LEWS is in operation. In contrast, the ~~tensio-~~inclinometer is expected to  
348 operate trouble-free even under adverse weather conditions.

349 The tests have shown the good synergy between tilting and suction in detecting the state of the soil prone to landslide  
350 initiation. The Suction-Tilting (ST) for different tests are depicted in Figures 20, 21 and 22.

351 With reference to Test 1, the pattern towards instability may develop according to three different stages (Figure 20). The  
352 first stage is characterised by a sub-vertical trend (0-1) where drop in suction in the very shallow portion of the ground  
353 (not detected yet by the relatively deep tensiometer tip) induces soil softening that triggers tilting. In the second stage (1-  
354 2), characterized by a concave trend towards suction-axis, tilting increases driven by the downward infiltration of  
355 rainwater now sensed by the tensiometer tip. In stage (2-3), characterised by a convex trend towards the suction-axis,  
356 suction drops significantly at depth of the tensiometer tip leading to an increasing rotation rate up to instability. With

357 reference to Test 2 (Figure 21) and Test 3 (Figure 22), the ST patterns are similar although the first sub-vertical branch  
358 tends to disappear.

359 The curve inflection point (point 2 in figure 20) could define the threshold used to issue the alarm. In Test 1 the inflection  
360 point occurred at about 40 minutes, well in advance of the time when sliding occurred (81 minutes). In Test 2 and 3 the  
361 inflection points occurred at times much closer to failure, 10 and 7 minutes ahead of failure respectively. It should however  
362 be noted that the failure in the slope physical model occurred in a relatively short time due to the extremely high rainfall  
363 (28 mm/h) applied to the slope. In real cases, the duration of rainfall triggering slope instability would be of the order tens  
364 tenths of hours rather than tens tenths of minutes. An inflection point occurring mid-time would allow issuing a warning  
365 several hours in advance.

366 The test in the slope physical model also provides indication about the installation of the Tensio-Inclinometer. The most  
367 effective procedure appeared to be that of pushing the entire shaft in the layer leaving the box in close proximity with the  
368 soil ground.

## 369 5 CONCLUSIONS

370 The accuracy of early-warning systems for rainfall-induced shallow landslides may be significantly enhanced by  
371 including the monitoring of precursor variables associated with the stress-strain state of the ground (in addition to the  
372 monitoring of more traditional meteorological variables). In this context, the paper has investigated whether wetting-  
373 induced instability occurring in a special class of soils susceptible to fail upon rainfall events, that is, high-porosity silty  
374 volcanic soils, is associated with appreciable pre-failure deformations before failure. If this is the case, the combined  
375 measurement of suction and suction-induced deformation will serve as effective precursor variable to underpin landslide  
376 early-warning systems.

377 The paper has first presented a Tensio-Inclinometer specifically developed to measure suction changes and suction-  
378 induced deformation in shallow slopes. The device was developed by mounting a MEMS accelerometer to the shaft of a  
379 conventional tensiometer. On-board electronics for data digitisation, data storage and wireless data transmission, and  
380 battery-based power supply makes the device fully wireless. The Tensio-Inclinometer is therefore easy to deploy and  
381 install. The standing-alone Tensio-Inclinometer would allow designing a very flexible and adaptive monitoring system,  
382 where a small number of fixed devices is complemented by several mobile devices that can be readily deployed as needed.

383 The Tensio-Inclinometer was then used to monitor suction and suction-induced deformation occurring in an artificial  
384 slope subjected to an artificial rainfall. It has been shown that pre-failure deformation detected via the tilting of the  
385 tensiometer shaft is an adequate landslide precursor. If recorded in combination with suction, pre-failure deformation can  
386 provide an adequate soil-based threshold. Although the interpretation of suction-tilting curves requires further

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387 investigation via mock-up and field scale tests, the preliminary results presented in the paper provide a TRL3 proof-of-  
 388 concept for early-warning thresholds built upon combined measurement of suction and suction-induced kinematics,  
 389 possibly via the wireless and fully deployable  $\epsilon$ -Tensio- $\mu$ -Inclinometer. The  $\epsilon$ -Tensio- $\mu$ -Inclinometers used in this work were  
 390 relatively short and could therefore be installed at relatively shallow depths. However, it would be relatively easy to turn  
 391 longer commercial tensiometers (up to 2 m) into  $\epsilon$ -Tensio- $\mu$ -Inclinometers. These could therefore be used to monitor slopes  
 392 up to two-meter thickness, which is the typical thickness range encountered in rainfall induced landslides in silty volcanic  
 393 slopes.

#### 394 ACKNOWLEDGEMENTS

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 396 for rainfall induced landslides based on rain gauges and tension-inclinometer measurements” under the Programme P.O.R.  
 397 – CAMPANIA FSE 2014/2020 funded by the Campania region, carried out at the PhD school of Civil Systems  
 398 Engineering of the University of Naples Federico II.

399

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504 **FIGURE CAPTIONS**

505 *FIGURE 1: a) Schematic layout of the  $\mu$ Tensio-inclinometer; b) the Tensio-inclinometer; c) Internal view of the metal*  
506 *box.*

507 *FIGURE 2: (a) One year data acquisition scenario. (b) Comparison between cumulated adsorbed energy consumption*  
508 *and batter capacity*

509 *FIGURE 3: Slope physical model: (a) front view; (b) lateral view in horizontal position; (c) lateral view in tilted*  
510 *position*

511 *FIGURE 4: Grain size distribution of the soil tested*

512 *FIGURE 5: position of nozzles,  $\mu$ Tensio-inclinometers and PIV interpreted zones for Test 1*

513 *FIGURE 6: Images taken at different stages of the Test 1*

514 *FIGURE 7: Test 1. Rotation and comparison with PIV surface displacements. (a) Devices  $D^1_1, D^1_2, D^1_3$ . (b) Device  $D^1_4$*

515 *FIGURE 8: Test 1. Simultaneous measurement of rotation and suction. (a) Devices  $D^1_1, D^1_2, D^1_3$ . (b) Device  $D^1_4$*

516 *FIGURE 9: Position of nozzles,  $\mu$ Tensio-inclinometers, and markers for Test 2*

517 *FIGURE 10: Test 2. a) Simultaneous measurement of rotation and suction. Device  $D^2_{C1}$ , b) failure surface*

518 *FIGURE 11: Images taken at different stages of Test 2*

519 *FIGURE 12: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
520 *rotation and suction for the alignment A*

521 *FIGURE 13: Test 2. Simultaneous measurement of rotation and suction for the alignment B*

522 *FIGURE 14: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
523 *rotation and suction for the alignment C*

524 *FIGURE 14: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
525 *rotation and suction for the alignment C*

526 *FIGURE 15: Position of nozzles,  $\mu$ Tensio-inclinometers, and markers for the Test 3*

527 *FIGURE 16: Images taken at different stages of Test 3*

528 *FIGURE 17: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
529 *rotation and suction for the alignment A*

530 *FIGURE 18: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
531 *rotation and suction for the alignment B*

532 *FIGURE 19: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of*  
533 *rotation and suction for the alignment C*

534 *FIGURE 20 Rotation versus suction and warning threshold criterion (Test 1)*

535 *FIGURE 21 Rotation versus suction and warning threshold criterion (Test 2)*

536 *FIGURE 22 Rotation versus suction and warning threshold criterion (Test 3)*

537 *FIGURE S1: Graphical representation of the inclination angles returned by the MEMS accelerometer*

538 *FIGURE S2: Semi-conductor chip partition: main section and tensiometer section – power supply links (red); signal*  
539 *links (blue)*

540 *FIGURE S3: Data acquisition cycle for (a) data transmission mode DC and (b) data logger mode DL*

Pre-failure suction-induced deformation to inform early warning of shallow landslides: proof of concept at slope model scale

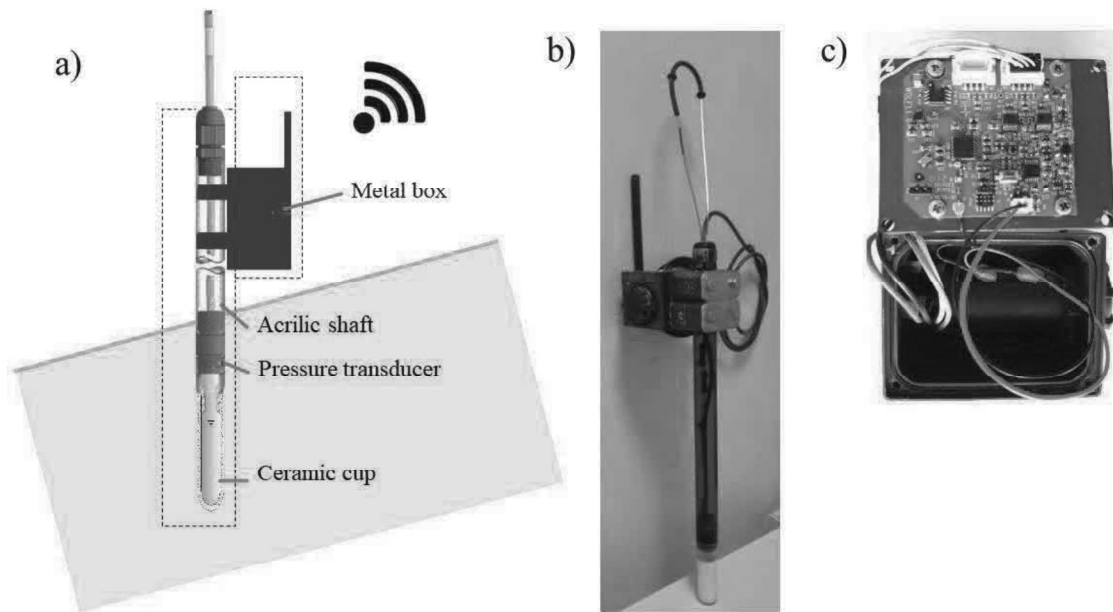


FIGURE 1: a) Schematic layout of the tensio-inclinometer; b) the tensio-inclinometer; c) Internal view of the metal box.

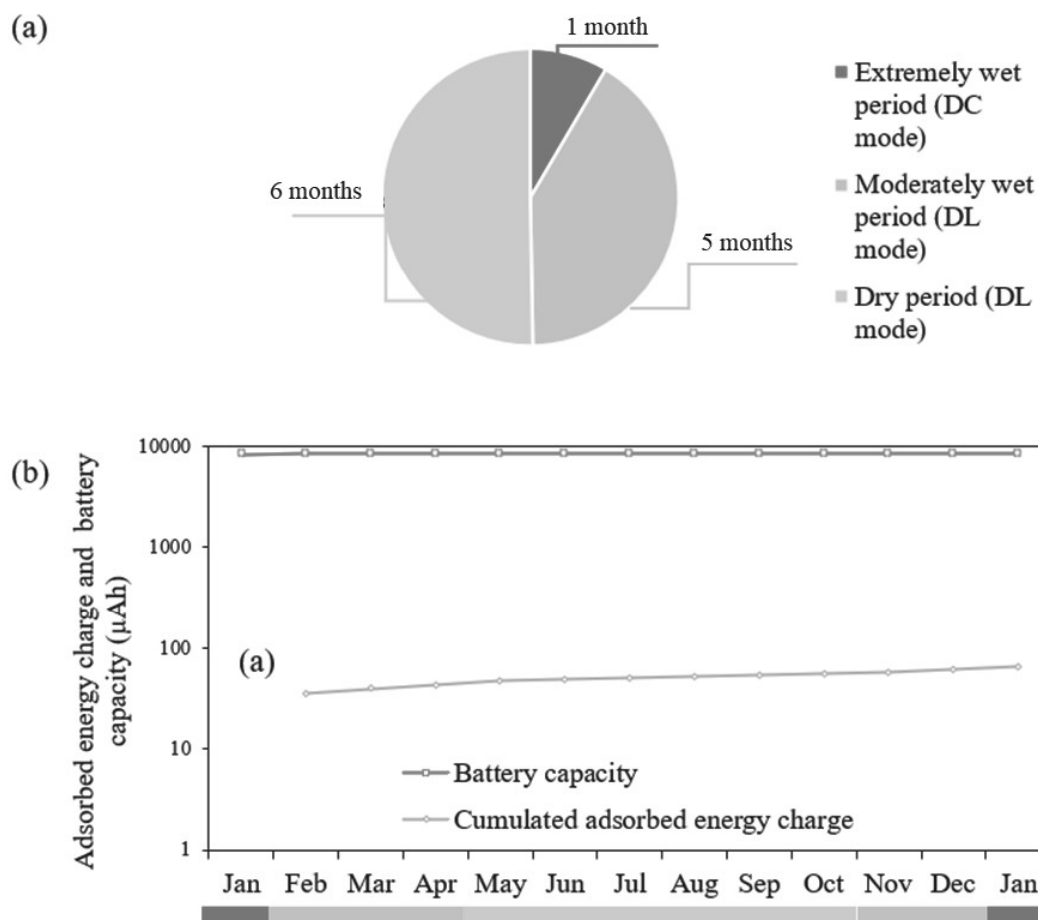


FIGURE 2: (a) One year data acquisition scenario. (b) Comparison between cumulated adsorbed energy consumption and battery capacity



FIGURE 3: Slope physical model: (a) front view; (b) lateral view in horizontal position; (c) lateral view in tilted position

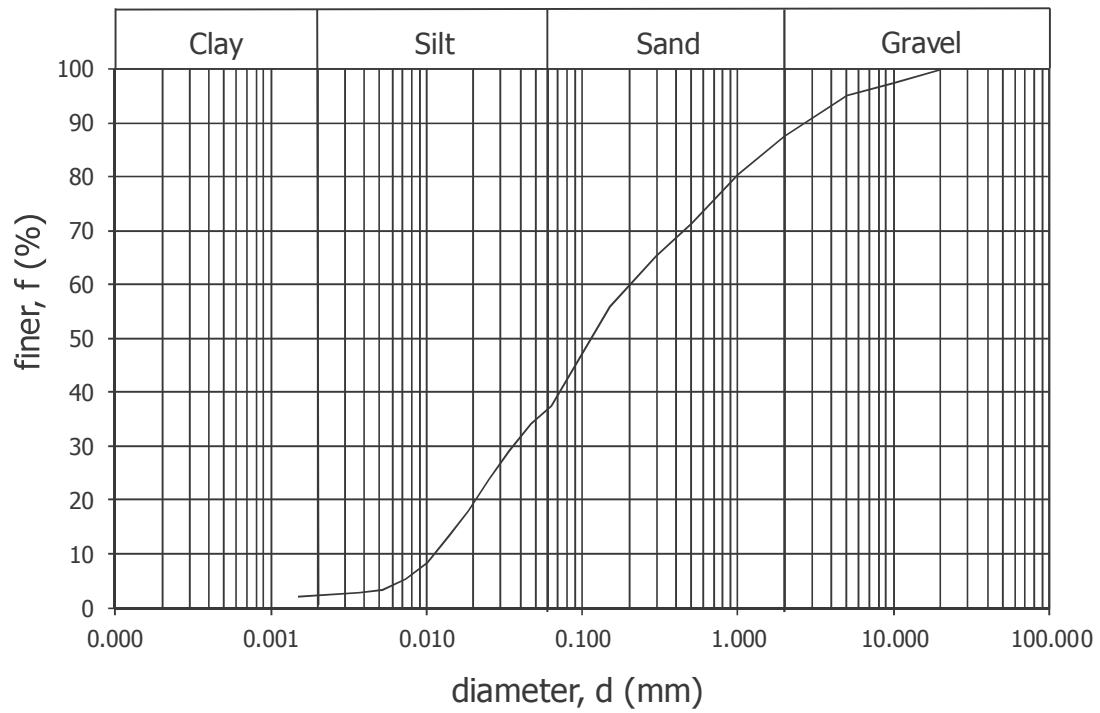


FIGURE 4: Grain size distribution of the soil tested



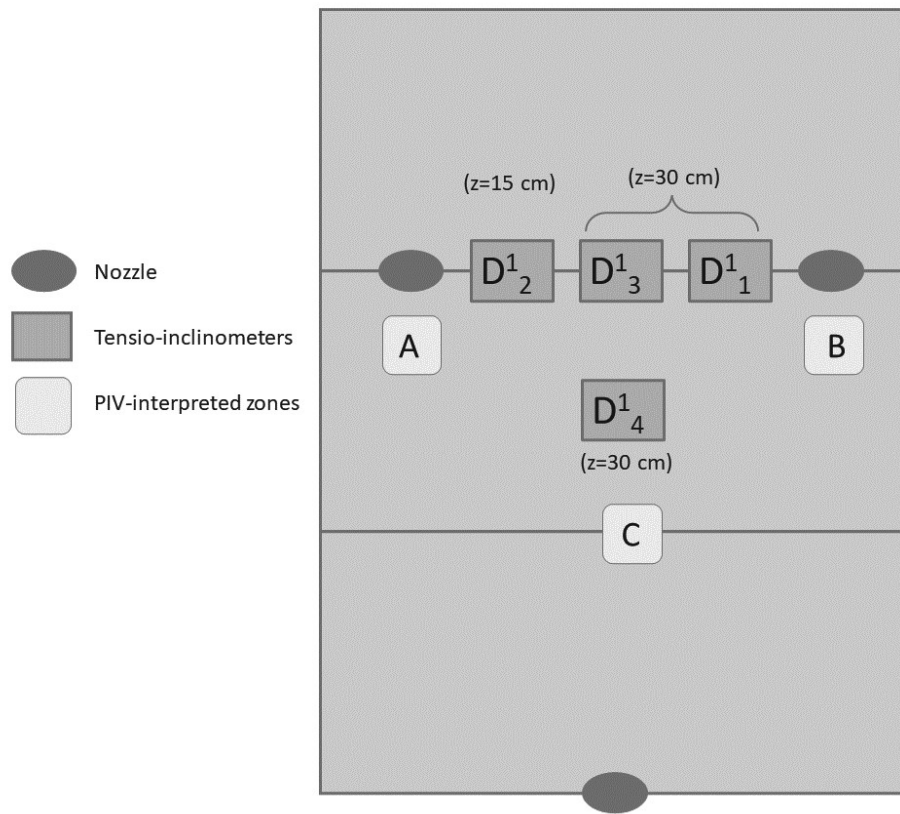


FIGURE 5: position of nozzles, tensio-inclinometers, and PIV-interpreted zones for test 1

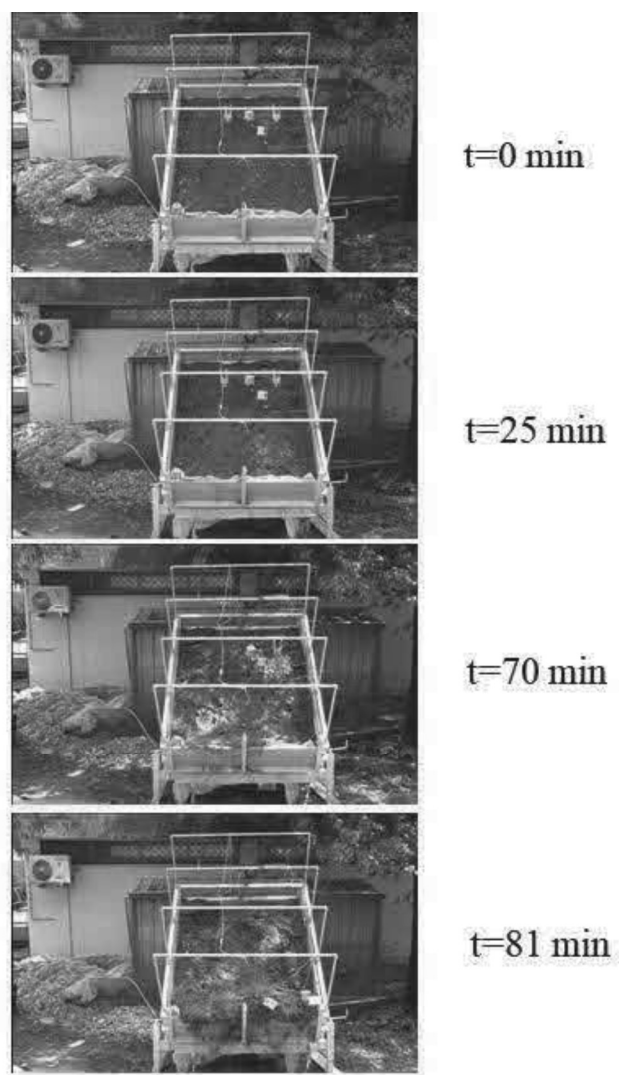


FIGURE 6: Images taken at different stages of the test 1

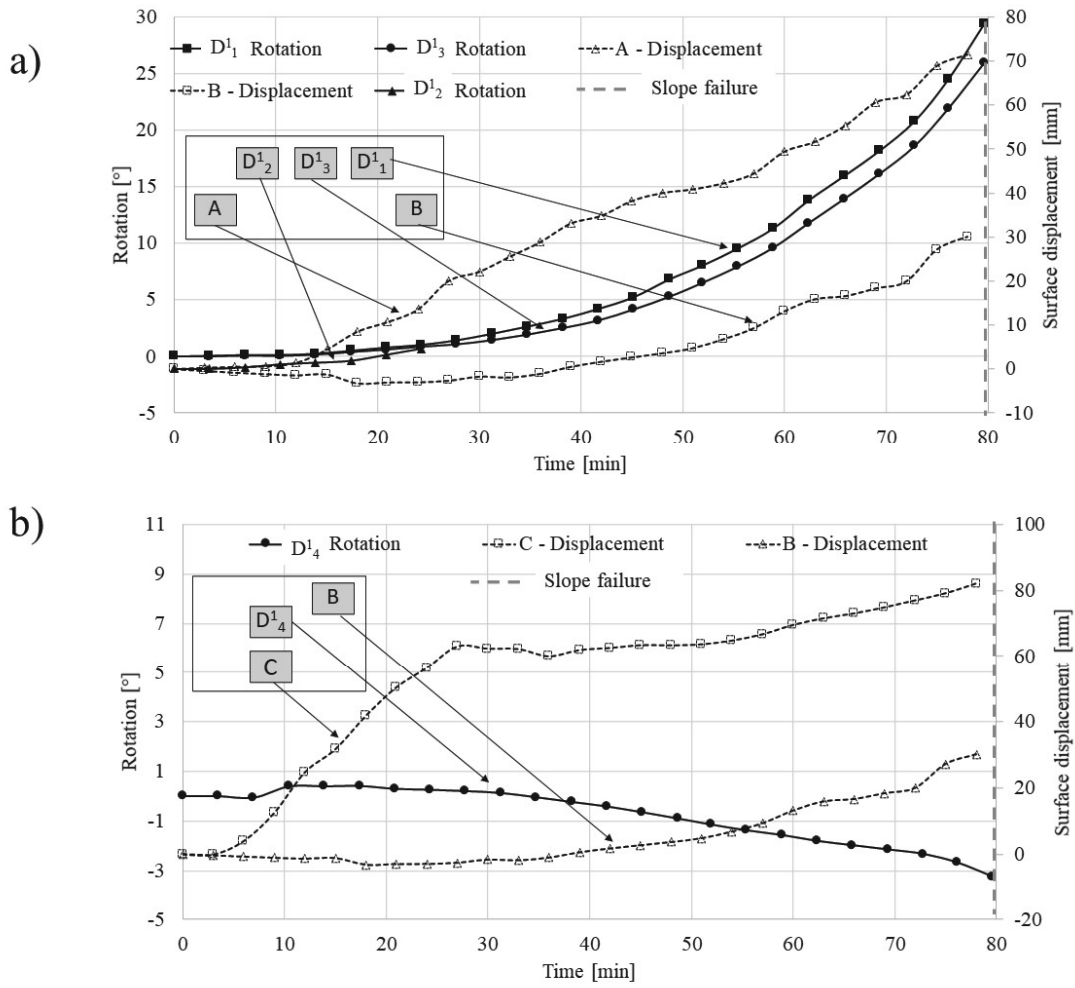


FIGURE 7: Test 1. Rotation and comparison with PIV surface displacements. (a) Devices  $D_1^1$ ,  $D_2^1$ ,  $D_3^1$ . (b) Device  $D_4^1$

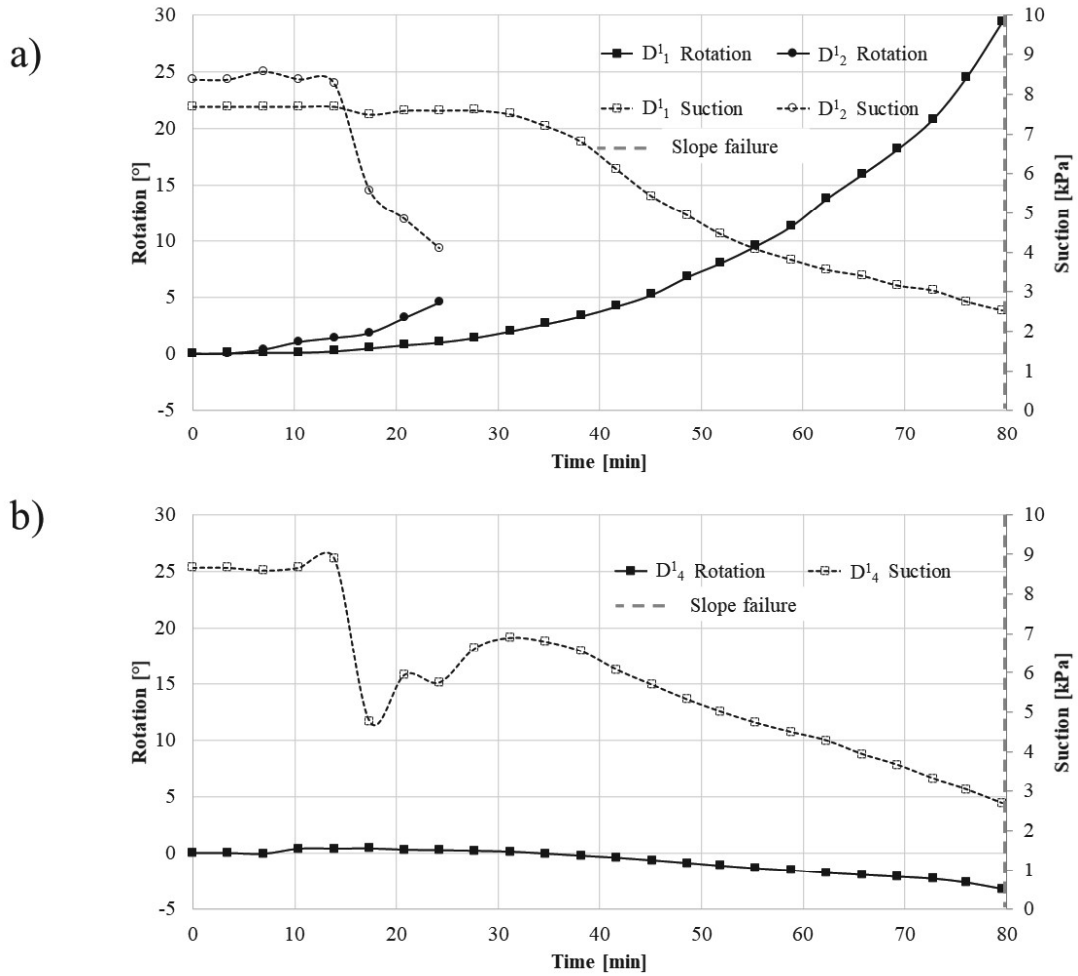


FIGURE 8: Test 1. Simultaneous measurement of rotation and suction. (a) Devices D<sup>1</sup><sub>1</sub>, D<sup>1</sup><sub>2</sub>, D<sup>1</sup><sub>3</sub>. (b) Device D<sup>1</sup><sub>4</sub>

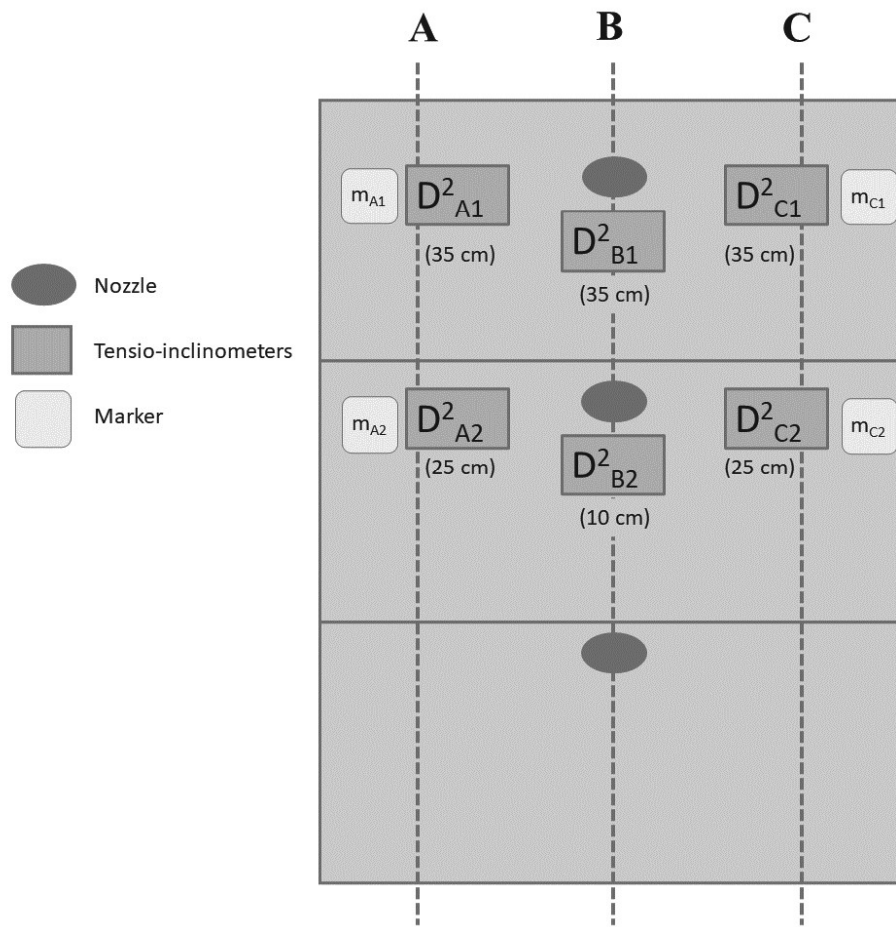


FIGURE 9: Position of nozzles, tensio-inclinometers, and markers for Test 2

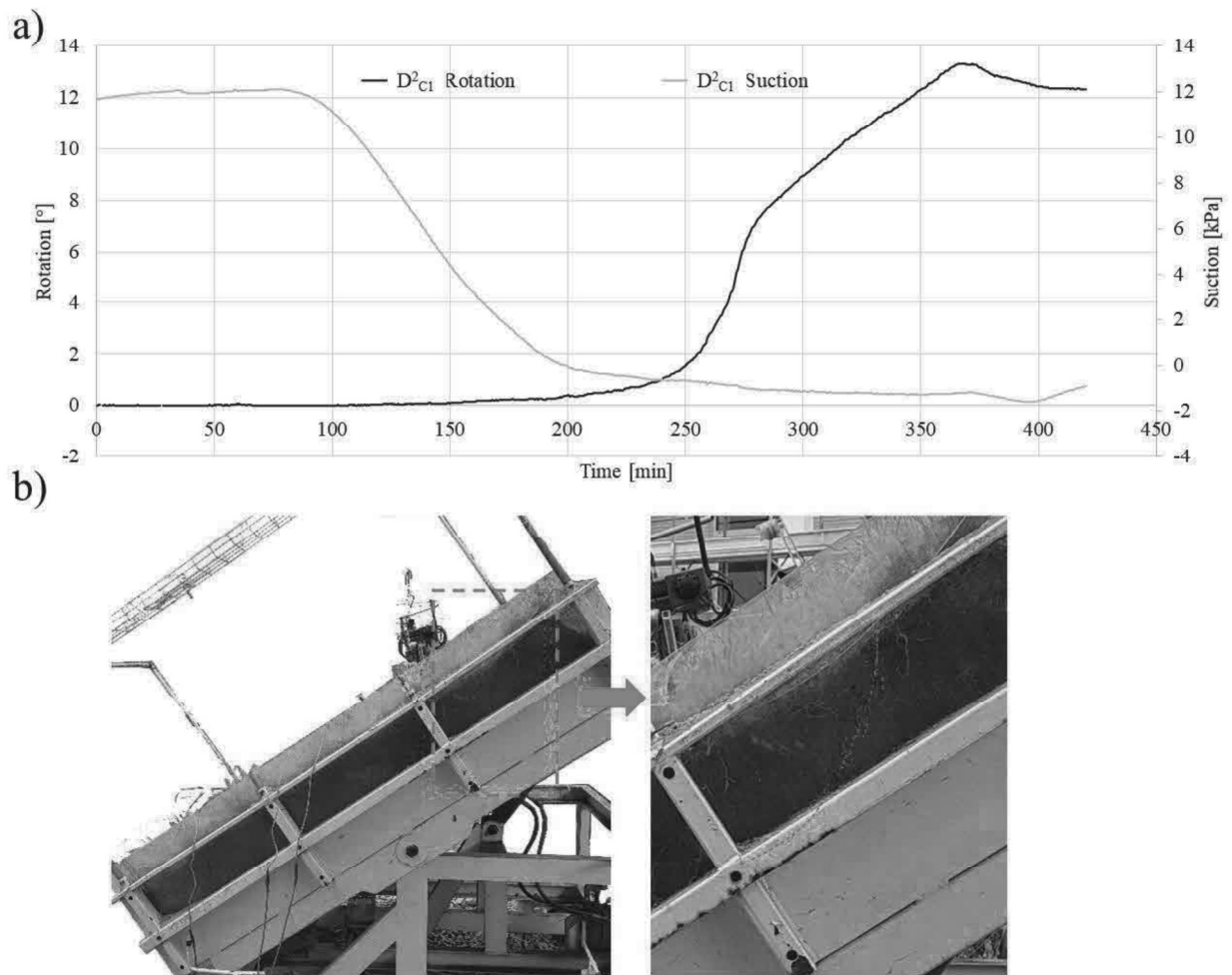


FIGURE 10: Test 2. a) Simultaneous measurement of rotation and suction. Device  $D^2_{C1}$ , b) failure surface

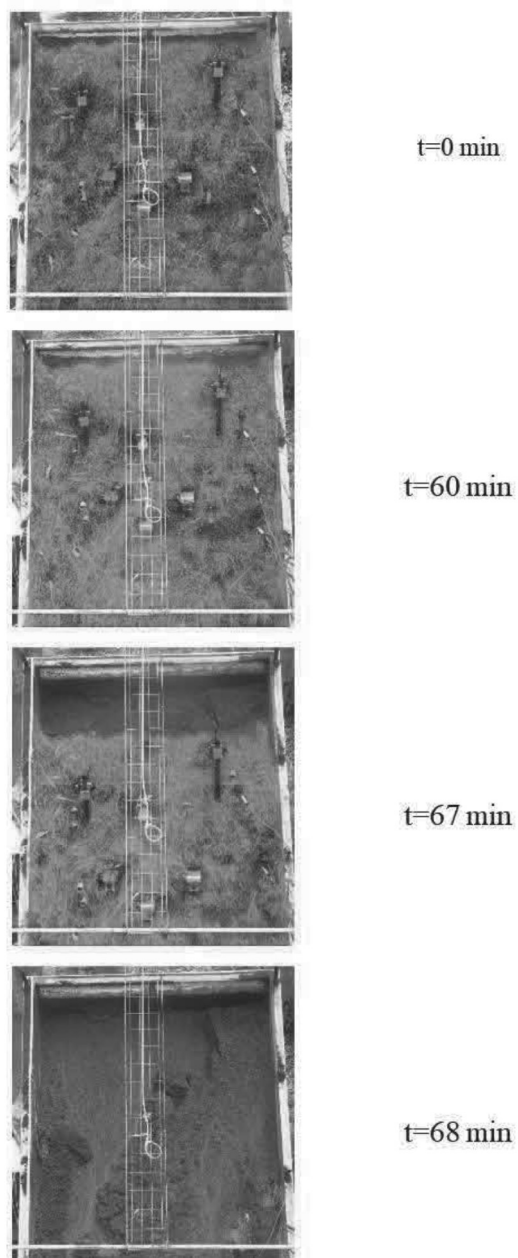


FIGURE 11: Images taken at different stages of the Test 2

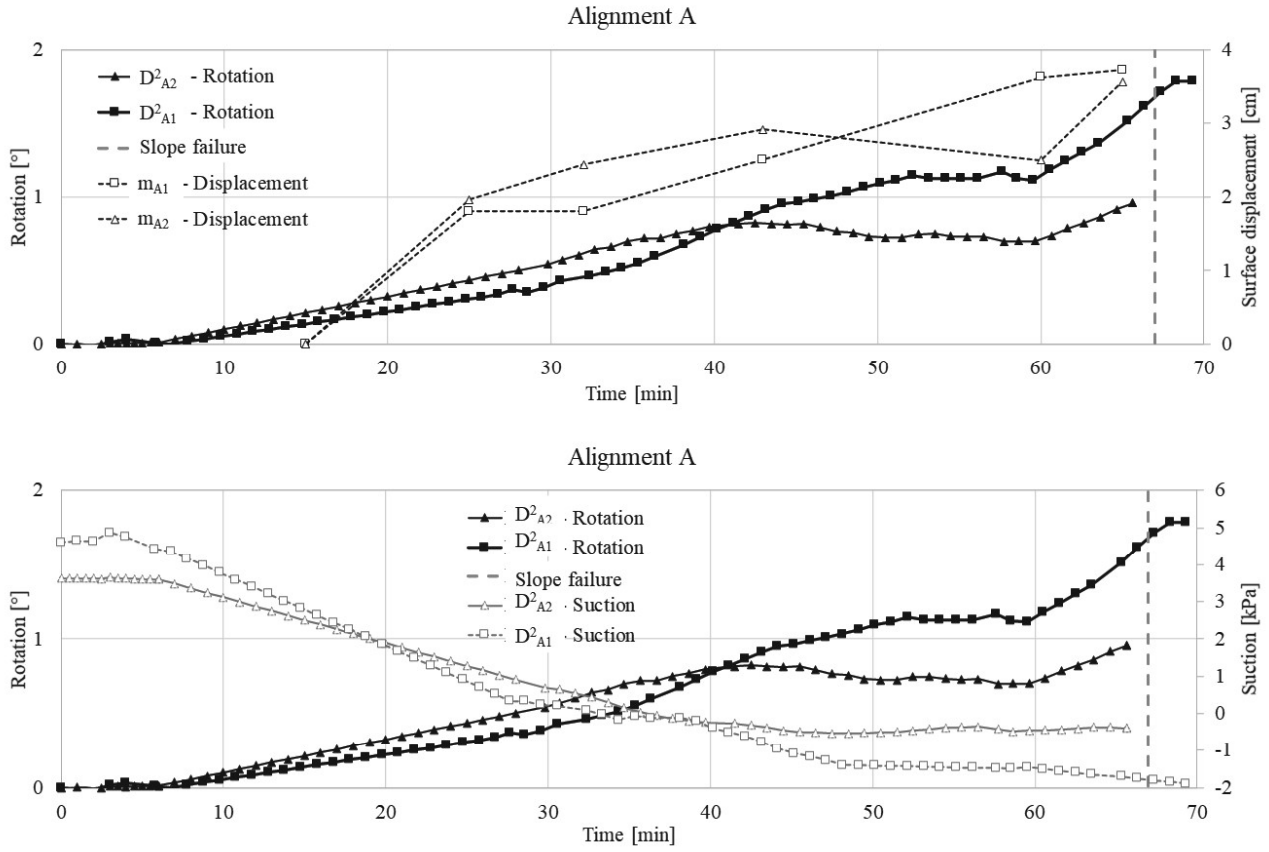


FIGURE 12: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of rotation and suction for the alignment A

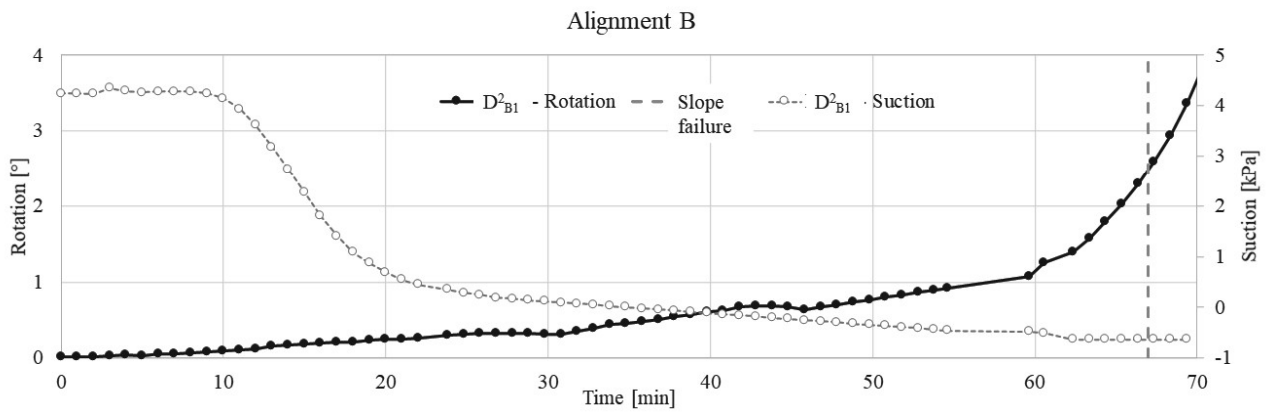


FIGURE 13: Test 2. Simultaneous measurement of rotation and suction for the alignment B



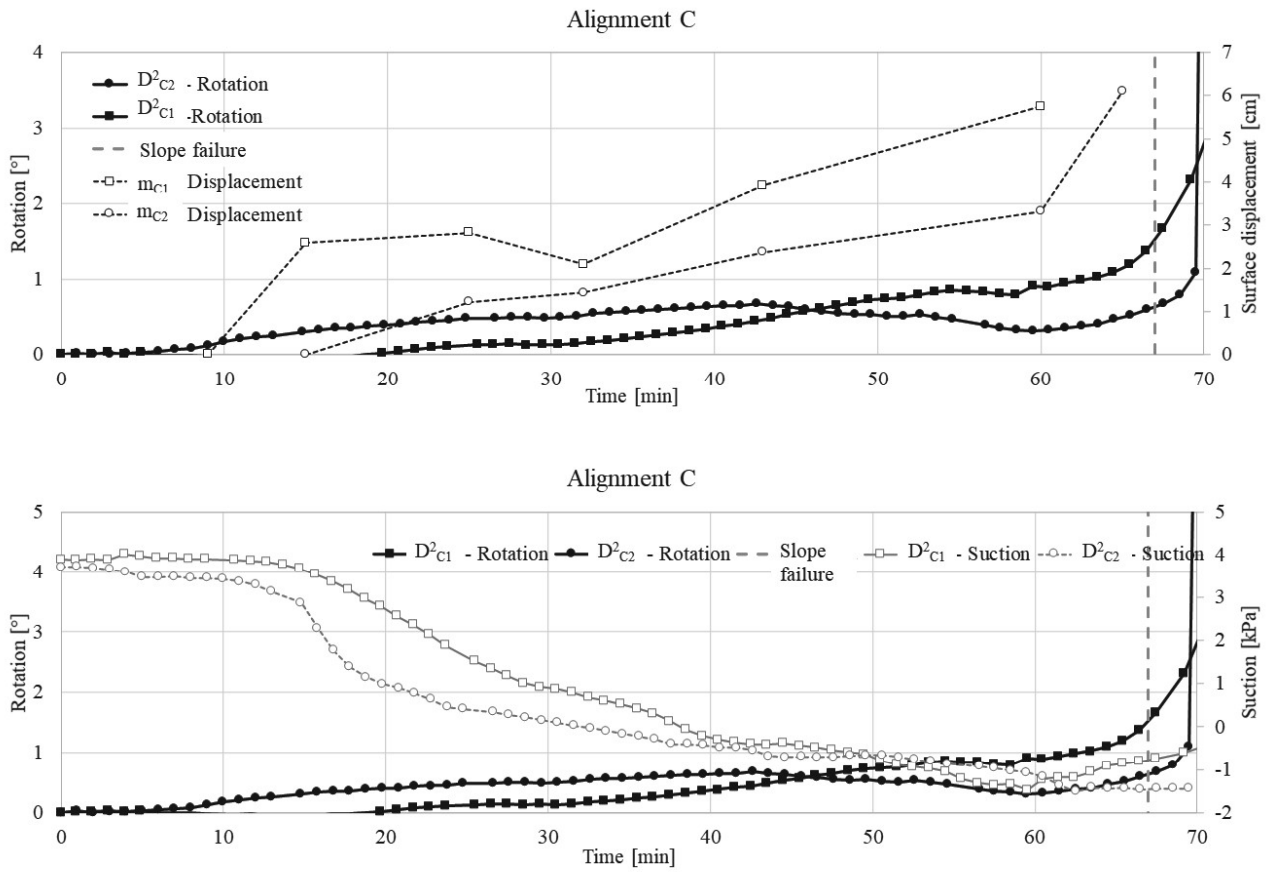


FIGURE 14: Test 2. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of rotation and suction for the alignment C

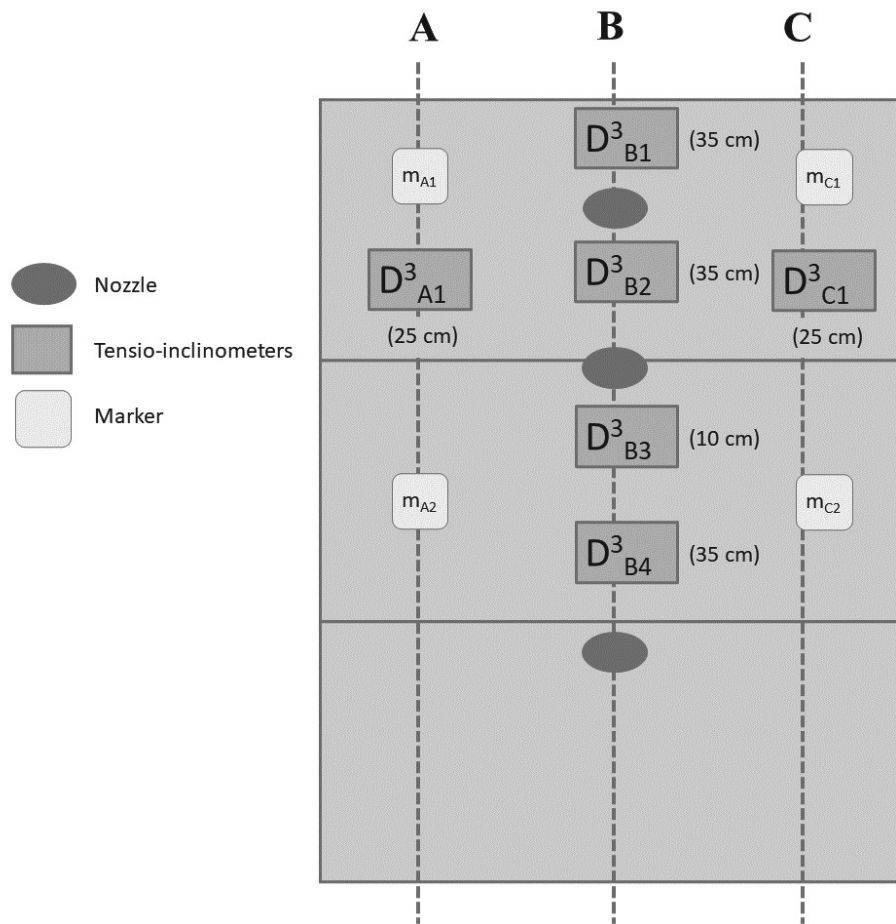


FIGURE 15: Position of nozzles, tensio-inclinometers, and markers for the Test 3

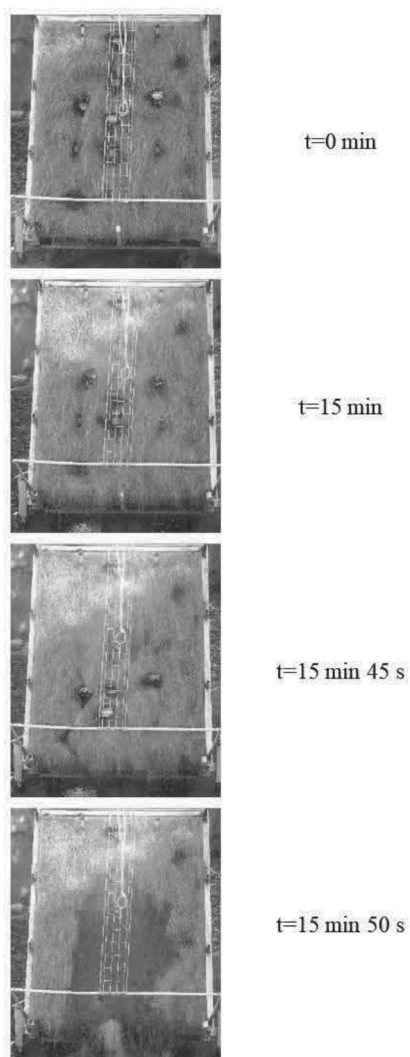


FIGURE 16: Images taken at different stages of Test 3

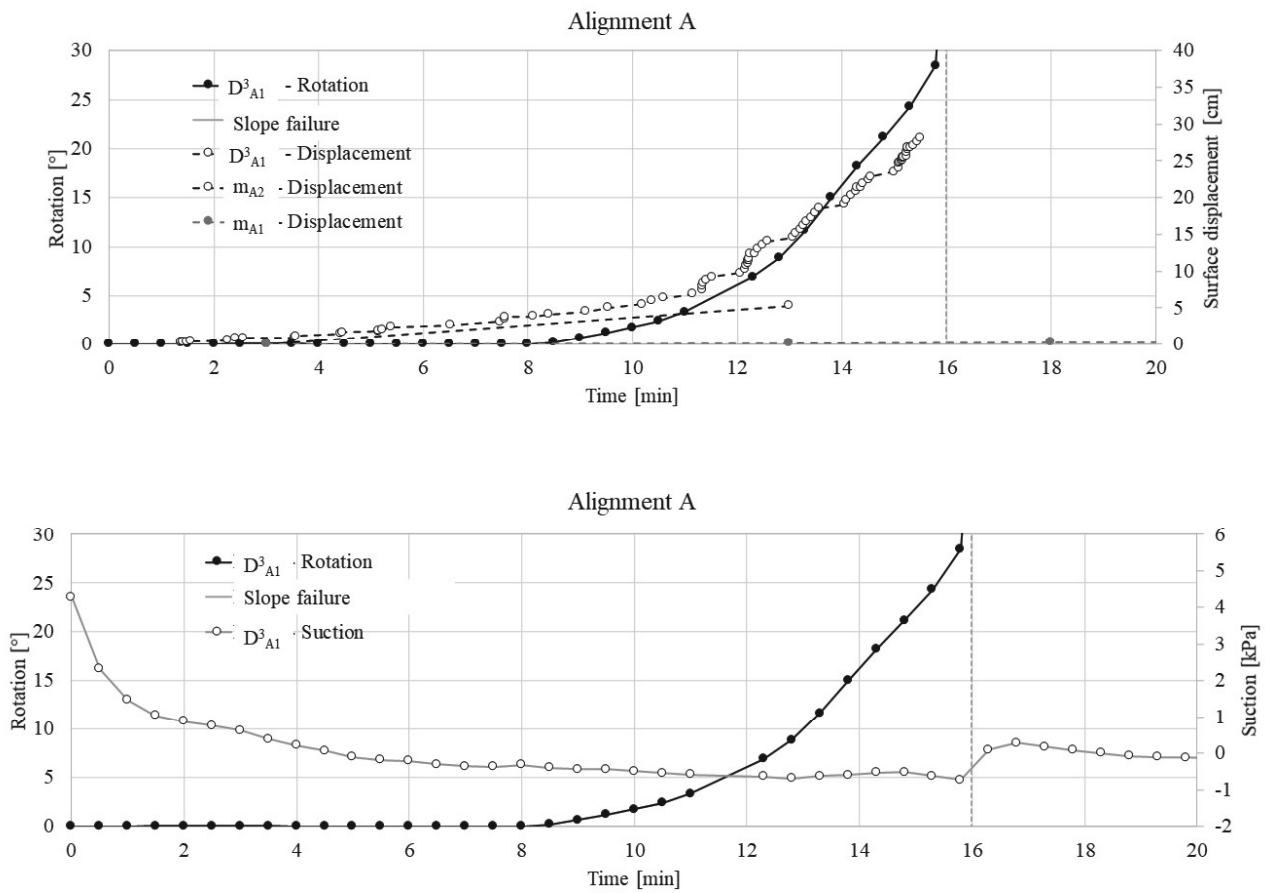


FIGURE 17: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of rotation and suction for the alignment A

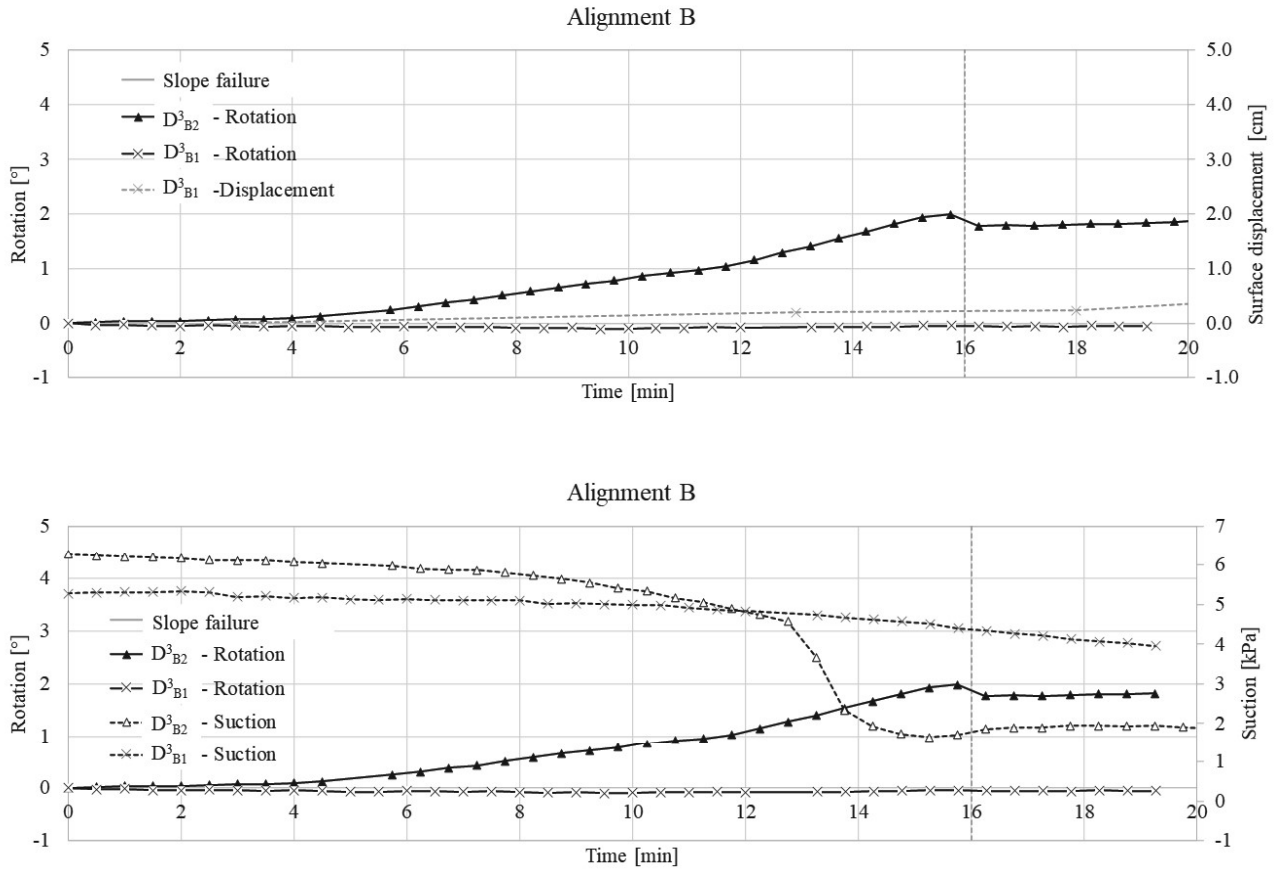


FIGURE 18: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of rotation and suction for the alignment B

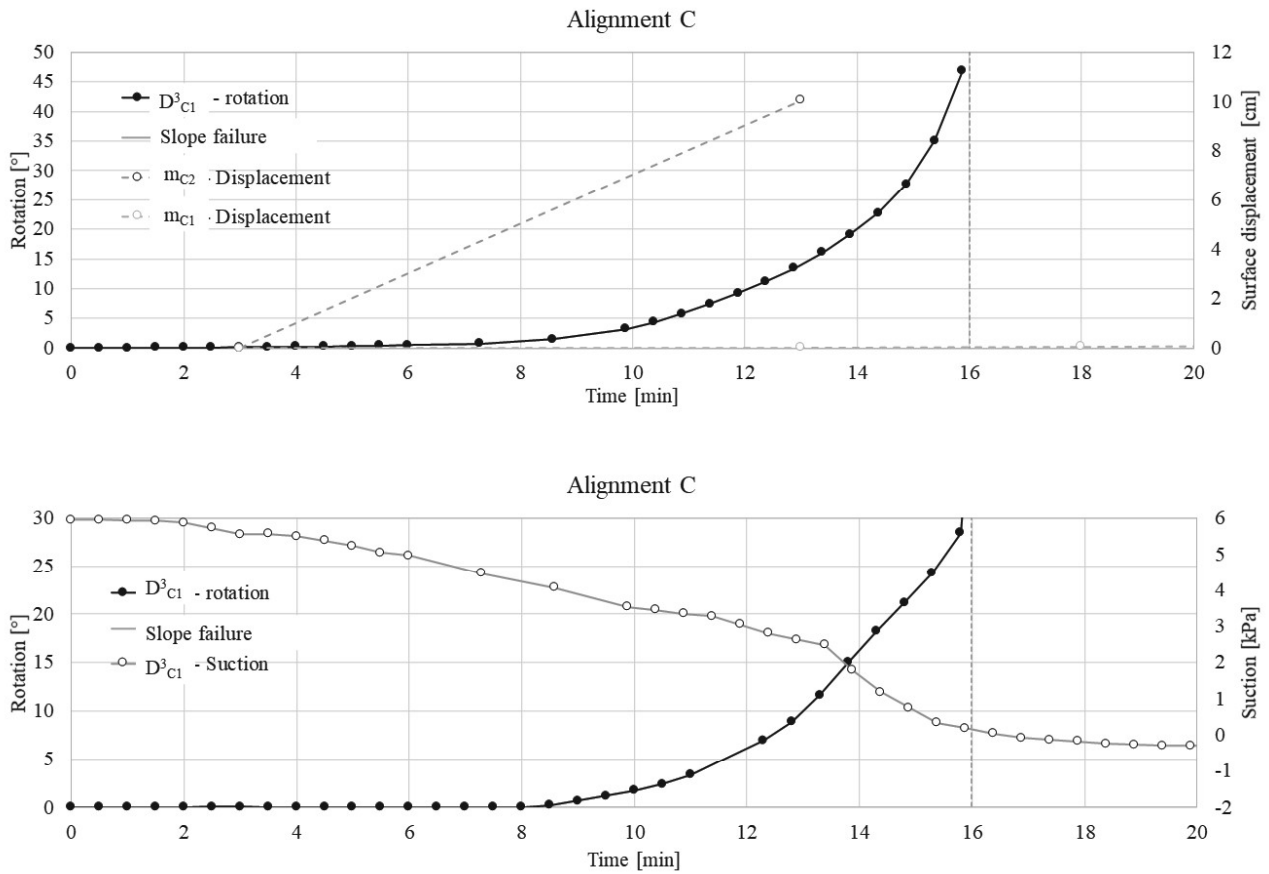


FIGURE 19: Test 3. a) Rotation and comparison with markers surface displacements, b) Simultaneous measurement of rotation and suction for the alignment C

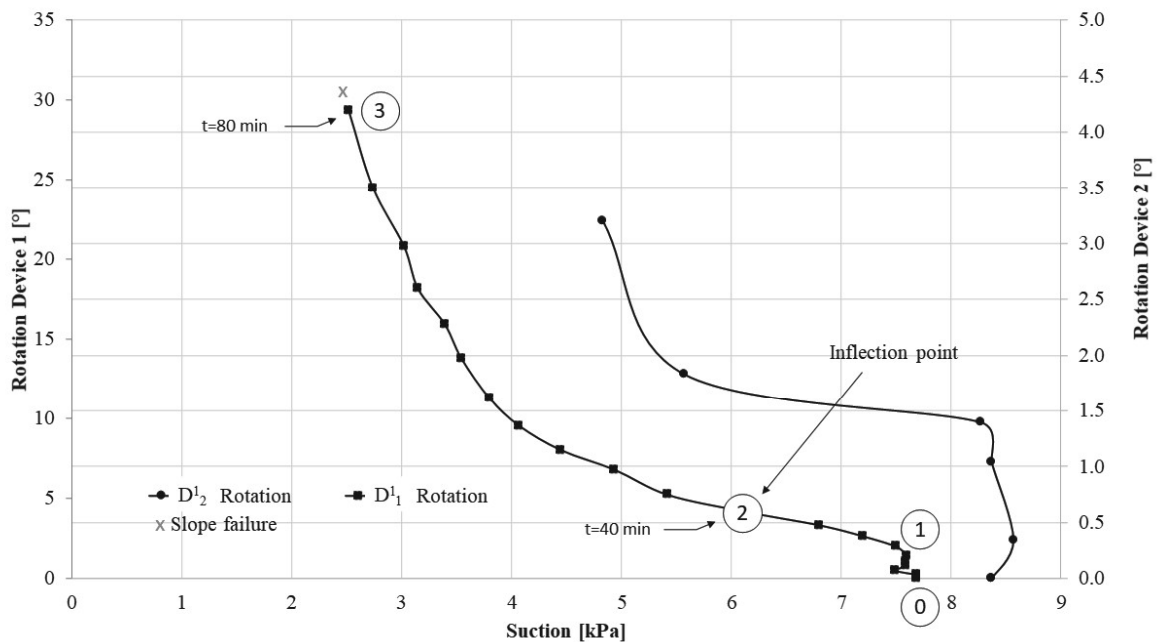


Figure 20 Rotation versus suction and warning threshold criterion (Test 1)

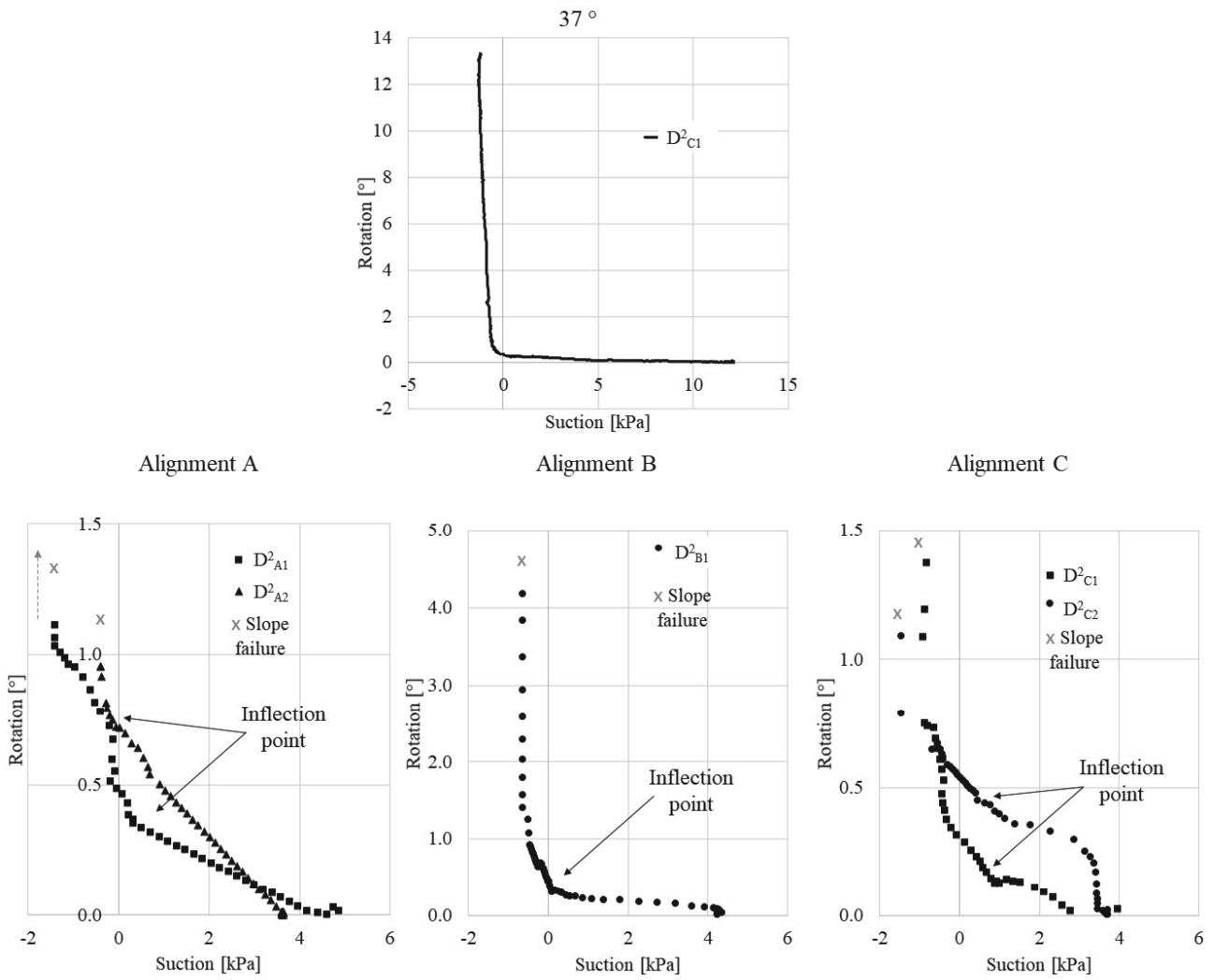


Figure 21 Rotation versus suction and warning threshold criterion (Test 2)

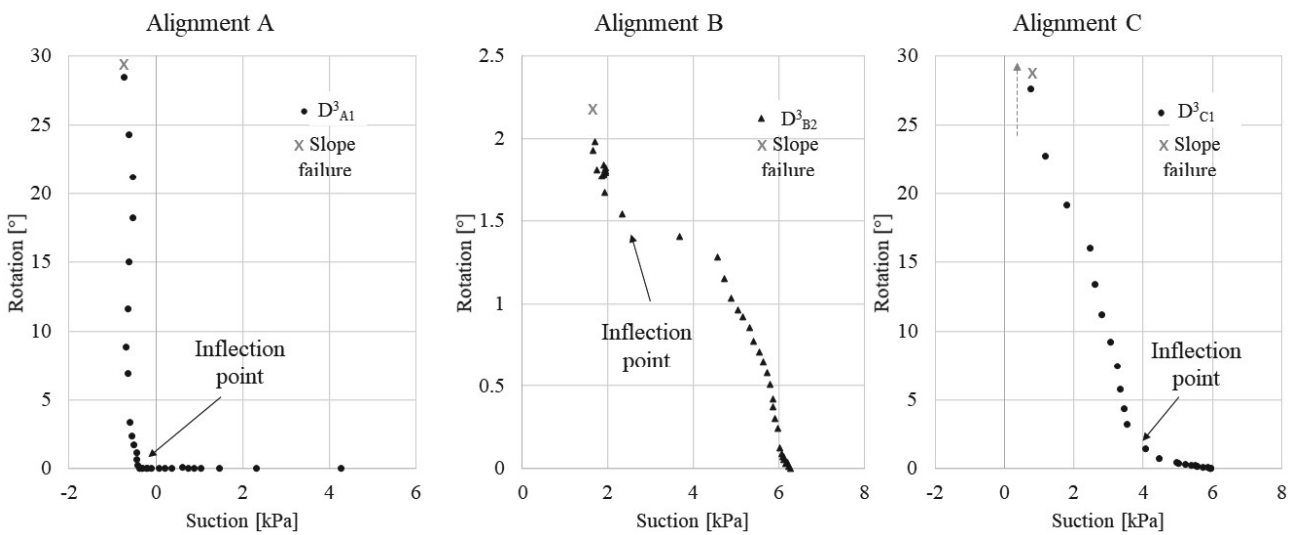


Figure 22 Rotation versus suction and warning threshold criterion (Test 3)





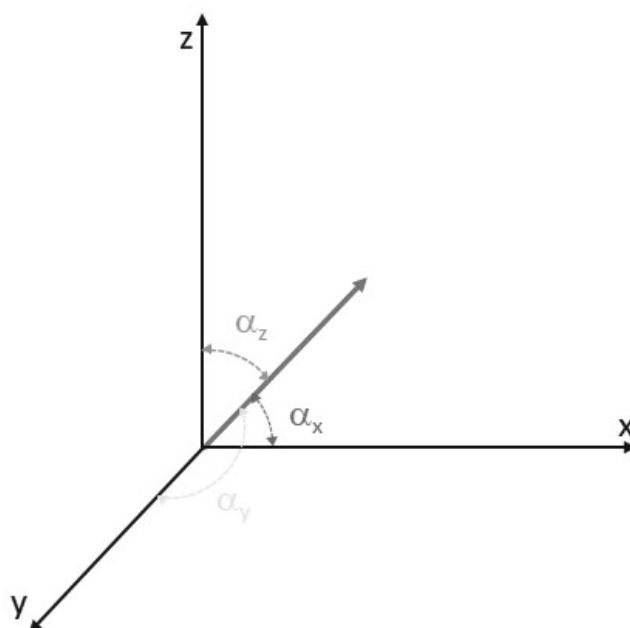


FIGURE S1: Graphical representation of the inclination angles returned by the MEMS accelerometer

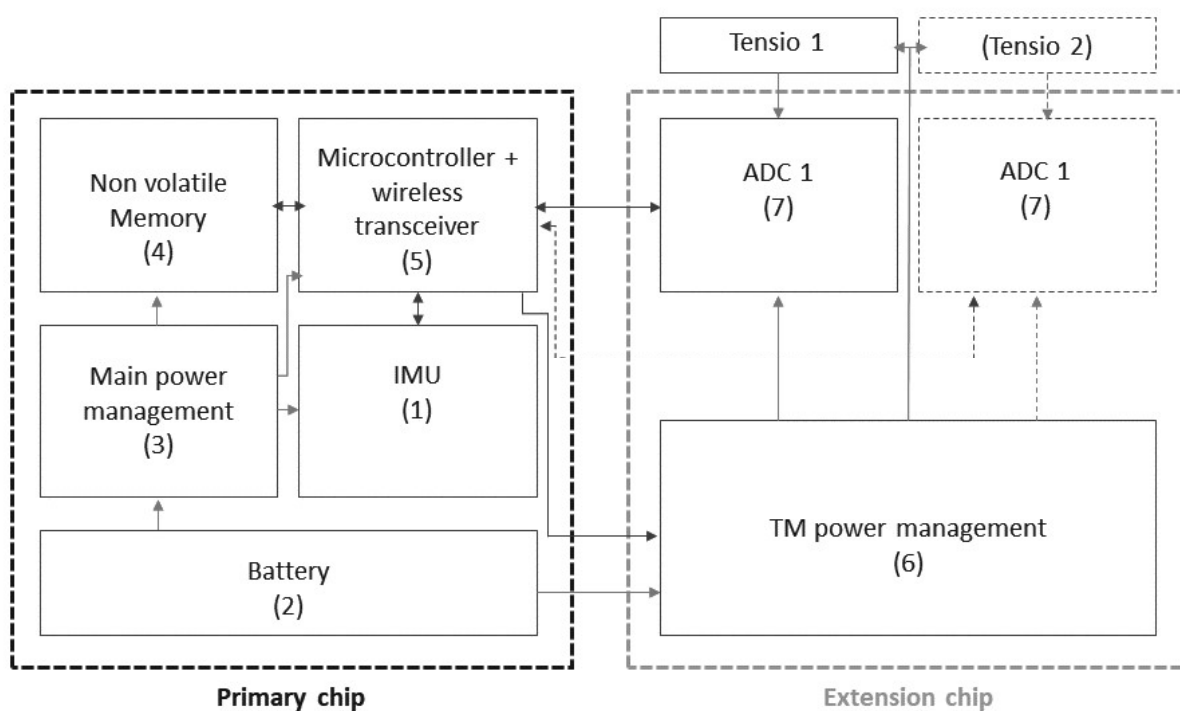


FIGURE S2: Semi-conductor chip partition: main section and tensiometer section – power supply links (red); signal links (blue)

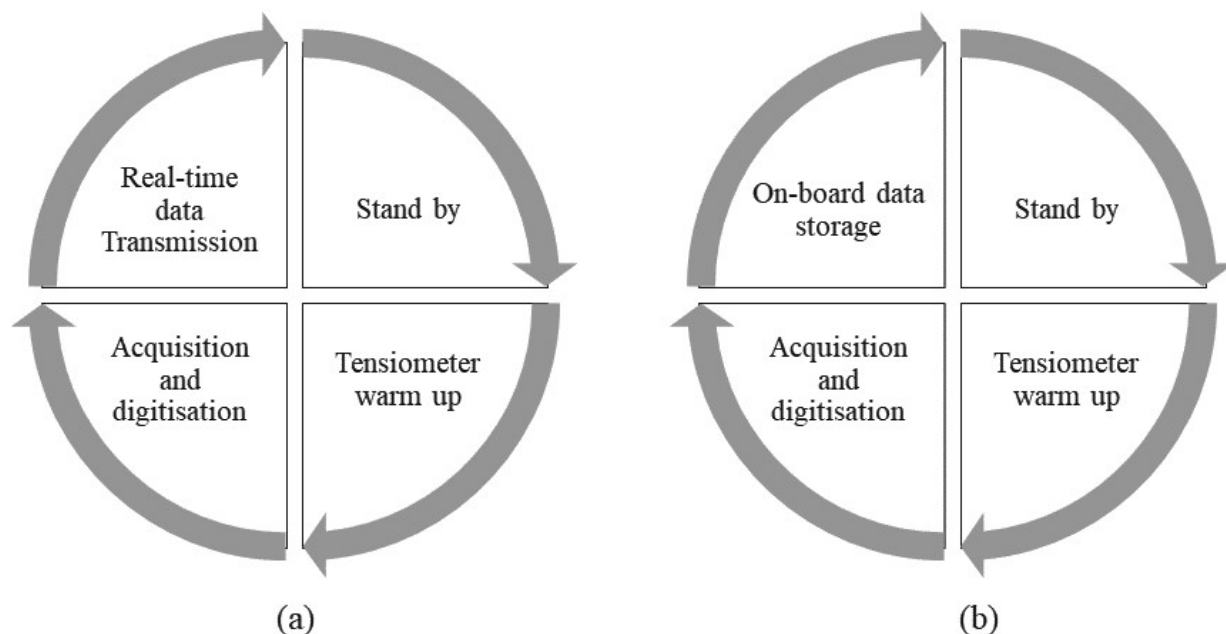


FIGURE S3: Data acquisition cycle for (a) data transmission mode DC and (b) data logger mode DL

Step	Duration [s]	Description
Stand by	Variable	Every device is turned off (lowest consumption)
Switch on and tensiometer warm-up	10.1	Primary chip including accelerometer and ADC, and tensiometer chip extension are switched on for 10s for warm-up
Acquisition	1.1	Data from accelerometer and tensiometers are acquired
TX-RX – or Storage	0.2	DC mode: data are sent to the collector node; DL mode: data stored in on-board memory.
Bulk data transmission on demand (DL mode only)	30	The stored data are sent to the collector node and are made available to the user if requested remotely.

Table S1: Data acquisition cycle.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

### **Author Statement**

Coppola Lucia and Reder Alfredo carried out the experimental tests on slope physical model.

Professors Tarantino Alessandro and Pagano Luca encouraged the work and supervised the findings of this research.

Dr. Mannara Giovanni designed the electronic sensors.

All authors discussed the results and contributed to the final manuscript.