1	Shear lag effects on pedestrian-induced vibration and TMD-based
2	vibration control of footbridges
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10	
11	Abstract
12	The Shear Lag Effect (SLE) is one of the vital mechanical characteristics of structures with thin-
13	walled box section. While most of the existing studies on SLE focus on the static response of
14	footbridges, the pedestrian-induced vibration deserves more attention since it represents the actual
15	response of footbridges during their practical service process. The theoretical framework is
16	proposed to consider the corresponding SLE. Firstly, the SLE on the natural frequencies of the
17	structure can be considered with a reduction ratio to the corresponding case without considering
18	SLE (the classic solutions of natural frequencies). Results show that, the footbridges with smaller
19	span-width ratios, smaller section thickness-width ratios, and lower height-width ratios are more
20	necessary to consider the SLE. Furthermore, although the Poisson's ratio effects are relatively lower
21	than other aspects, the steel bridges still need to be paid to attention for the SLE. Due to the SLE, it
22	may result in significant reductions in the natural frequencies of the structures. These reductions in
23	the predicted natural frequencies due to the SLE may further result in inaccuracy in the prediction
24	of pedestrian-induced vibrations of the footbridges. Furthermore, the most often applied mitigation
25	measures may not be reliably designed. It may result in very significant reduction in the
26	effectiveness of the vibration mitigation measures. To consider the SLE on pedestrian-induced
27	vibration and TMD-based vibration control of typical footbridges with thin-walled box section, a
28	simplified strategy is proposed.

- 30 Keywords: human-induced vibration; footbridges; vibration serviceability; shear lag effect; thin-
- 31 walled box section; tuned mass damper

#### 32 1. Introduction

#### 33 Pedestrian-induced vibration of footbridges

34 A reliable prediction of pedestrian-induced structural responses is extremely crucial when 35 designing slender footbridges [1-4] and other civil engineering structures with low natural 36 frequencies and damping capacity [5-6]. According to current guidelines such as Sétra [7] and 37 HiVoSS [8], vibration serviceability assessments of footbridges are based on comparisons between relevant comfort criteria and predicted vibration levels to the human excitation [9-14]. For existing 38 39 studies of evaluating the pedestrian-induced footbridge vibration [15-17], it often considers the 40 structure as an equivalent single degree of freedom (SDOF), of which its natural frequency falls into 41 the frequency range of human excitations. This methodology works in most cases because 42 pedestrian-induced structural responses of slender footbridges are often dominated by a single mode; 43 whereas sometimes it needs to consider multi-mode contributions, e.g., when closely-spaced natural frequencies are found in real-world footbridges [18-20]. More importantly, to obtain reliable 44 response predictions, it should be identified or pre-know as accurate as possible the natural 45 46 frequencies of the structure [14], which are very important parameters in the governing equations 47 of motion of the structure.

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# Vibration control of footbridges

49 To attenuate vibration levels of footbridges, various structural control methods are adopted. 50 Among them, the passive tuned mass damper (TMD) is most widely applied due to its simplicity, 51 low cost and effectiveness. For instance, Caetano et al. [21] experimentally studied the damping 52 effect of the TMD on the Pedro e Inês footbridge in Portugal. To improve the performance of TMDs 53 in controlling the pedestrian-induced vibrations on footbridges considering the uncertainties of their modal properties, Jiménez-Alonso and Sáez [22] conducted a robust optimum design by using multi-54 55 objective genetic algorithms. Qin et al. [23] installed a self-made TMD using lead, spring and oil buffer on a scaled single pylon cable-stayed footbridge model and investigated the effectiveness of 56 57 the TMD by conducting laboratory forced vibration tests. To address the detuning issue of the 58 passive TMD, some advanced structural control techniques were recently adopted by the researchers. 59 Li et al. [24] used the multiple tuned mass dampers (MTMD) in suppressing the crowd-induced vibration and proposed a design method of MTMD via a random optimization procedure. Wang et 60

61 al. [25] also compared the control effectiveness of the MTMD with the single TMD on long-span 62 steel footbridges by performing site measurement and numerical simulation. They concluded that 63 the MTMD has superior vibration adsorption robustness and stable capacity in reducing structural 64 vibrations under crowd loads. Casado et al. [26] adopted an active mass damper (AMD) system in 65 controlling crowd-induced vibrations of footbridges. They found that although the AMD is very 66 effective and robust, there are still some disadvantages such as cost should be resolved. Moutinho 67 et al. [27] applied a semiactive TMD including a magneto-rheological (MR) damper to reduce 68 vibrations of a slender footbridge. The semiactive TMD is capable to perform multimodal control. 69 Similarly, Contreras-Lopez et al. [28] proposed a nonlinear optimal semiactive control strategy for 70 attenuating footbridge vibration using MR dampers. It is worthwhile to point out that, to determine 71 the design parameters of the TMD, resonant conditions are assumed, i.e., resonance with the targeted 72 mode where the TMD is tuned. Thus, except for the excitation frequency, effectiveness of TMD-73 based vibration control relies mainly on the accuracy of the natural frequency of the considered 74 mode of the structure.

#### 75 Studies on the Shear Lag Effect (SLE) and research gaps

76 The thin-walled box section, also known as hollow cross section, is widely used in footbridges 77 because of its light weight and good mechanical properties. As for thin-walled box section, the Shear 78 Lag Effect (SLE) is one of the vital mechanical characteristics and has been widely investigated, 79 however, most of the studies on the SLE focus on static responses [29-32]. It should be noted that 80 the dynamic responses of such footbridges are much more complicated and important than the static 81 ones, especially when the static behaviour is pre-known. Luo et al. [33] proposed a hybrid finite 82 element method to study the dynamic characteristics of the thin-walled box girder by considering 83 the SLE. It was found that the natural frequencies considering the SLE have a general descending trend. Zhou et al. [34] theoretically investigated the dynamic characteristics of steel-concrete 84 85 composite box beams considering the SLE and slip by introducing self-balancing of axial forces in 86 a longitudinal warping function of beam section. Jiang et al. [35] studied the influence of high-order 87 shear deformations and SLE on the dynamic characteristics of thin-walled box beams by using high-88 order beam theory. They concluded that the SLE increase with the increasing of mode order or the 89 decreasing of span-width ratio. Cai et al. [36] derived the approximate solution of the first order

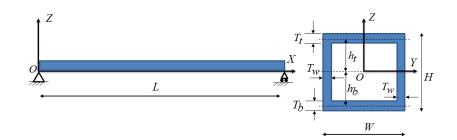
90 vertical bending frequency for the simply supported curved thin-walled box girder considering the 91 SLE. It has been reported that the SLE significantly affect the stiffness of the structures [35-36]. 92 Zhang and Huang [37] proposed the analytical fundamental frequency of simply supported thin-93 walled box girder by using the energy variation principle method. Similarly, based on the energy 94 variation principle method, Zhou et al. [38] analysed the influences of the SLE and shear 95 deformation on the natural vibration characteristics of thin-walled box girder. Recently, Zhang et al. [39] investigated the SLE and accordion effect on dynamic characteristics of composite box girder 96 97 bridge with corrugated steel web by proposing an analytical method. The aforementioned studies [35-39] indicate that the natural frequencies of the structures might be reasonably altered due to the 98 99 SLE. However, the existing studies [33-39] on the dynamic responses of thin-walled box girder 100 considering the SLE were mainly focused on the natural vibration characteristics or free vibration 101 responses. To the best knowledge of the authors, however, there are very few studies related to SLE 102 focusing on the forced vibrations of thin-walled box girder, not to mention the corresponding vibration control measures. In real world, human excitations are most relevant for footbridges. Thus, 103 104 the pedestrian-induced vibration, as one of the most representative forced vibrations, is adopted to 105 illustrate the influence of the SLE on the dynamic responses of the thin-walled box girder structure. 106 Also, key parameters influencing the SLE are required to be identified. Furthermore, the 107 effectiveness of the most often applied mitigation measures (TMD) should be investigated by 108 considering the SLE. More importantly, to benefit footbridge designers, strategies are requested to 109 consider the SLE on pedestrian-induced vibration and TMD-based vibration control of typical 110 footbridges with thin-walled box section.

The remaining part of the paper is organized as follows. Section 2 briefly summarizes the theoretical framework of considering the SLE. Based on parametric study, the governing parameters are determined. Section 3 presents the simplified strategy of considering the SLE in the prediction of pedestrian-induced vibrations. The governing equations of motion are presented in the Section 4. Section 5 presents how the SLE affects the pedestrian-induced vibration levels of the structure. Section 6 discusses the influence of SLE on TMD-based vibration control of the structure. Conclusions are summarized in Section 7.

#### 119 2. Shear lag effect

#### 120 2.1 Basic assumptions

To illustrate the theoretical formulation of the SLE, a footbridge with typical thin-walled box section 121 is firstly introduced (Fig. 1). According to a comprehensive review of more than 130 footbridges 122 built after 1991 by [40], and the French guide Sétra [7] and the European guideline HiVoSS [8], it 123 124 often applies simply supported beam model with sinusoidal mode shapes as the analytical model in calculating human-induced vibrations of footbridges. Therefore, the footbridge considered in this 125 126 study is also idealized as a representative simply supported beam. In Fig. 1, L and W are the length and width of the bridge deck, respectively. H is the outer height of the box section.  $T_t$ ,  $T_b$ , 127  $T_w$  are the thicknesses of top, bottom, and two vertical walls of the box section, respectively. 128



129

Fig. 1. The dimensions, cross section and coordinate system of the footbridge. The three directions are named as
the longitudinal (X), the horizontal (Y), and the vertical (Z) directions.

132 The fundamental assumptions of the SLE include [35, 37-39]:

(1) The cross-sectional stiffness of the thin-walled section is not infinite and thus the cross
section can deform both in and out of the plane. In the deformation process, the two vertical walls
can basically remain in the plane and thus they are assumed to deform only in the plane.

(2) However, when the structure is deformed, the top and bottom walls have main movements
in the X direction. The top and bottom walls' movements in the X direction can be expressed as
parabolas along the Y direction.

(3) Considering the fact that the movements mainly exist in the X direction for the top and bottom walls, it assumes that the normal strain only exists in the X direction, i.e.,  $\varepsilon_x \neq 0$  and  $\varepsilon_y =$ 141  $\varepsilon_z = 0$ . Correspondingly, the shear strains are  $\gamma_{yz} = \gamma_{xz} = 0$  and  $\gamma_{yx} \neq 0$ .

142 (4) To describe deformations of the cross section, displacement functions are introduced as: for 143 the two vertical walls, the displacement in the Z direction is w = w(x, t) (independent on y); for the top and bottom walls, the displacements in the X direction are u = u(x, y, t). The later can also be expressed as

$$u = u(x, y, t) = h[\frac{\partial w}{\partial x} + (1 - \frac{y^3}{b^3})\theta(x, t)]$$
(1)

147 with *h* the distance from the centroid of the cross section to the top wall  $h_t$  or to the bottom wall 148  $h_b$ .  $b = (W - 2T_w)/2$  is half of the inner width of the cross section box.  $\theta(x, t)$  is the rotational 149 angle of the cross section. Based on its sinusoidal function-like shape, the rotational angle can be 150 further expressed as

$$\theta(x,t) = \theta_0 \cos\left(\frac{\pi x}{L}\right) \sin(\omega t + \varphi) \tag{2}$$

152 The displacement in the Z direction with considering the SLE is similar to the case without 153 considering the SLE, and thus it inherits the displacement function as

154 
$$w = w(x,t) = w_0 \sin\left(\frac{\pi x}{L}\right) \sin(\omega t + \varphi)$$
(3)

151

# 156 2.2 Free vibration of footbridge with thin-walled box section considering SLE

The structure is assumed to be elastic when it is under free vibration. In addition, there is no additional energy input from the outside of the system. Thus, based on the Hamilton principle, it has:

160 
$$\delta H = \delta \int_{t_0}^{t_1} (U - T) dt = 0$$
 (4)

161 where U and T are the strain energy and kinetic energy of the system, respectively. The strain 162 energy can be obtained by

163 
$$U = \frac{1}{2} \int \left[ E(\varepsilon_x)^2 + G(\gamma_{yx})^2 \right] dV$$
(5)

164 where *E* and *G* are elastic modulus and shear modulus, respectively;  $\varepsilon_x = \frac{\partial u}{\partial x}$  and  $\gamma_{yx} = \frac{\partial u}{\partial y}$ .

165 The kinetic energy can be expresses as

166 
$$T = \frac{1}{2} \int \int_0^L \rho(\frac{\partial w}{\partial L})^2 \, \mathrm{d}x \mathrm{d}A = \frac{1}{4} \rho A w_0^2 \omega^2 L[\cos(\omega t + \varphi)]^2 \tag{6}$$

167 Thus, for a duration of a full vibration period ([0, 2π/ω]), substituting Eq. (5) and (6) into Eq.
168 (4) yields

169 
$$\delta H = \frac{\partial H}{\partial w_0} \delta w_0 + \frac{\partial H}{\partial u_0} \delta u_0 = 0 \tag{7}$$

170 Eq. (5) requires the following equation must be satisfied:

171 
$$\begin{cases} \frac{\partial H}{\partial w_0} = 0\\ \frac{\partial H}{\partial u_0} = 0 \end{cases}$$
(8)

and thus, it leads to the binary linear equations for  $w_0$  and  $u_0$ .

173 By evaluating the corresponding determinant and obtaining non-zero roots, the fundamental 174 natural circular frequency  $\omega$  of the structure with considering the SLE can be derived, and the 175 corresponding fundamental natural frequency is

176 
$$f_{1,\text{SLE}} = \frac{\omega}{2\pi} = (1-R) \left[ \frac{\pi}{2} \sqrt{\frac{EI}{\bar{m}L^4}} \right] = (1-R)f_1 \tag{9}$$

177 where  $f_1 = \frac{\pi}{2} \sqrt{\frac{EI}{mL^4}}$  is the fundamental natural frequency of the structure without considering the 178 SLE (the classic solution);  $\overline{m} = \rho A = \rho [WH - (W - 2T_w)(H - T_t - T_b)]$  is the mass per unit 179 length, with  $\rho$  the density of material; *E* is elastic modulus of material; *I* is moment of inertia of 180 the cross section. Therefore, it theoretically obtains that the fundamental natural frequency for the 181 case with considering the SLE has a reduction ratio *R*, and it is expressed as

182 
$$R = \frac{35c_1}{40 + 28c_2c_3^2/\pi^2}$$
(10)

where the first coefficient  $c_1$  reflects the contribution of moment of inertia from the top and bottom walls  $I_t$  and  $I_b$ , as

185 
$$C_{1} = \frac{I_{t} + I_{b}}{I} = \frac{\frac{1}{12}W(T_{t})^{3} + WT_{t}(h_{t})^{2} + \frac{1}{12}W(T_{b})^{3} + WT_{b}(h_{b})^{2}}{\frac{1}{12}WH^{3} - \frac{1}{12}(W - 2T_{w})(H - T_{t} - T_{b})^{3}}$$
(11)

186 The second coefficient  $c_2$  is the ratio of the shear modulus to the Young's modulus and it is 187 dependent on the Poisson's ratio  $\nu$ , i.e.,

188 
$$c_2 = \frac{G}{E} = \frac{1}{2(1+\nu)}$$
 (12)

189 The last coefficient  $c_3$  is related to the span-width ratio

190 
$$c_3 = \frac{L}{2b} = \frac{L}{W - 2T_W}$$
 (13)

191 with 2b the inner width of the cross section box.

192 Similarly, the natural frequency of the  $n^{\text{th}}$  mode for the structure with considering the SLE can 193 also be obtained by introducing the reduction ratio:

194 
$$f_{n,\text{SLE}} = (1-R)\left[\frac{\pi n^2}{2}\sqrt{\frac{EI}{\bar{m}L^4}}\right]$$
(14)

#### **2.3 Parametric study**

Based on the theoretical solutions, the main governing parameters can be determined. In this subsection, the influences of four dimensionless parameters, i.e., span-width ratio, section thickness-width ratio, height-width ratio and Poisson's ratio, on the fundamental natural frequency and the corresponding reduction ratio R of the footbridge are presented. The footbridge with typical thin-walled box section shown in Fig. 1 is adopted as the benchmark structure for the parametric analysis. The dimensions of the benchmark footbridge are tabulated in Table 1. The Poisson's ratio of the benchmark structure is 0.30.

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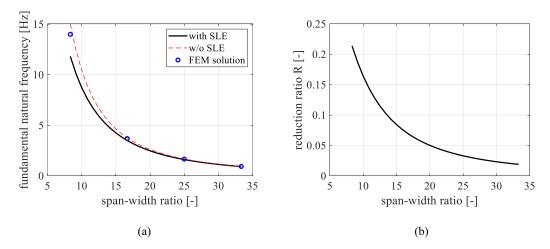
Table 1 The dimensions of the benchmark footbridge (unit: m)

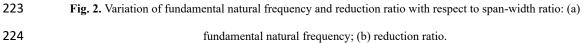
L	W	Н	$T_t$	T <sub>b</sub>	$T_w$
50	3	3	0.1	0.1	0.1

### 204 2.3.1 Influence of span-width ratio

205 Different span-width ratios are presented by varying the typical spans from 25 m to 100 m while the 206 width remains 3 m. Fig. 2 shows the fundamental natural frequencies and reduction ratios for 207 different span-width ratios. In Fig. 2(a), the cases of 'with SLE', 'w/o SLE' and 'FEM solution' 208 represent the scenarios of the theoretical solutions with and without considering the SLE and the 209 Finite Element Method (FEM)-based numerical solution using the finite element software ANSYS V15.0, respectively. More specifically, for the FEM-based solutions, the BEAM188 element is 210 211 adopted in ANSYS, then the cross section of the structure can be assumed to be infinite in the cross-212 sectional stiffness, which is similar to the case without considering the SLE. In the comparisons, the 213 FEM-based solutions adopt four typical spans 25 m, 50 m, 75 m and 100 m. As shown in Fig. 2(a), 214 with the increasing of the span-width ratio, the fundamental natural frequency decreases. The 215 reduction of the natural frequencies due to the SLE becomes less and less significant with the 216 increase of the span-width ratio, i.e. the reduction ratio is gradually approaching 0, as shown in Fig. 217 2(b). It implies that the SLE is more significant for footbridges with smaller span-width ratio. The comparison between 'w/o SLE' and 'FEM solution' in Fig. 2(a) also validates the theoretical 218 219 solution for the case without considering the SLE. In reality, the cross-sectional stiffness is not 220 infinite, and the cross section has the ability to deform both in and out of the plane. In other words, 221 when the SLE is considered, the cross section is less stiff than the case of the beam model. It explains

#### the decrease in natural frequency when the SLE is considered.





#### 225 2.3.2 Influence of section thickness-width ratio

Different section thickness-width ratios are illustrated by varying the typical section thickness from 0.05 m to 0.40 m while the width remains 3 m. Fig. 3 presents the fundamental natural frequencies and reduction ratios for different section thickness-width ratios. Fig. 3(a) clearly illustrates that the fundamental natural frequency linearly decreases with the increase of the section thickness-width ratio. When the SLE is considered, the fundamental natural frequencies are reduced. Fig. 3(b) further indicates that the reduction ratio decreases with the increase of the section thickness-width ratio. Therefore, the SLE is more significant for the footbridge with smaller section thickness-width ratio.

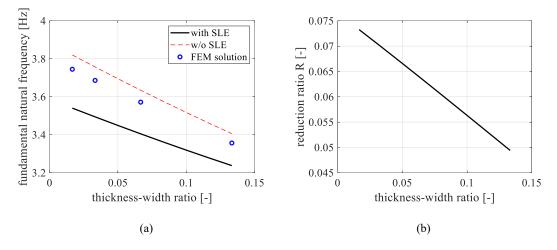
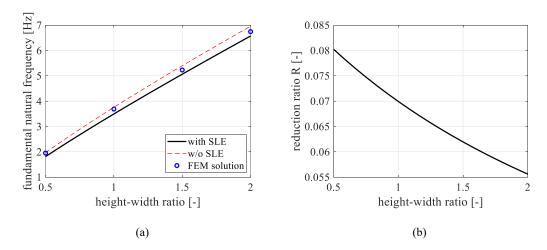


Fig. 3. Variation of fundamental natural frequency and reduction ratio with respect to section thickness-width ratio:
(a) fundamental natural frequency; (b) reduction ratio.

# 235 2.3.3 Influence of height-width ratio

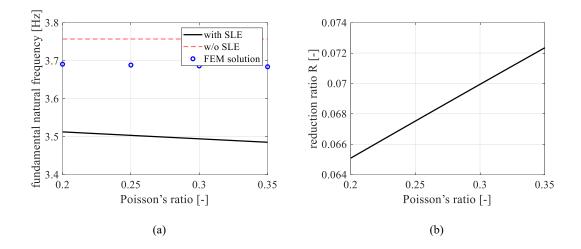
236 Different height-width ratios are shown by varying the heights from 1.5 m to 6.0 m while the width 237 remains 3 m. Fig. 4 depicts the fundamental natural frequencies and reduction ratios for different 238 height-width ratios. It can be drawn from Fig. 4(a) that with the increasing of the height-width ratio, 239 the fundamental natural frequency increases. The fundamental natural frequencies for the case with 240 considering the SLE are lower than the case without considering the SLE. Fig. 4(b) shows that the 241 reduction ratio decreases with the increase of the height-width ratio. Therefore, the SLE is more 242 significant for the footbridge with lower height-width ratio.



243 Fig. 4. Variation of fundamental natural frequency and reduction ratio with respect to height-width ratio: (a) 244 fundamental natural frequency; (b) reduction ratio.

#### 2.3.4 Influence of Poisson's ratio 245

246 Different Poisson's ratios for typical footbridge materials, e.g., concrete and steel, are considered 247 with the range of [0.20, 0.35]. Fig. 5 provides the fundamental natural frequencies and reduction 248 ratios for different Poisson's ratios. Fig. 5(a) indicates that for the case without considering the SLE, 249 the Poisson's ratio has negligible effect on the fundamental natural frequency; while for the case 250 with considering the SLE, the fundamental natural frequency slightly decreases with the increase of the Poisson's ratio. Fig. 5(b) shows that the reduction ratio increases with the increase of the 251 252 Poisson's ratio. It demonstrates that the SLE is more significant for the steel footbridge with larger 253 Poisson's ratio than the concrete footbridge with smaller Poisson's ratio.



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fundamental natural frequency; (b) reduction ratio.

Fig. 5. Variation of fundamental natural frequency and reduction ratio with respect to Poisson's ratio: (a)

# 256 2.3.5 Conclusions of parametric study

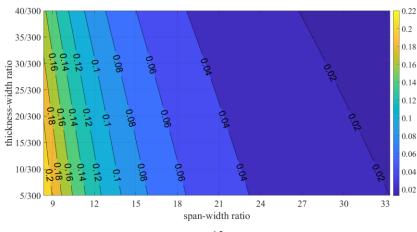
257 The above parametric analysis demonstrates that the natural frequencies of the footbridge can be 258 significantly altered by considering the SLE. When being modelled in FEM software (e.g., ANSYS), 259 the natural frequencies for the case 'with SLE' will be closer to the predicted values by the FEM 260 model with shell element, which allows the cross section to deform both in and out of the plane. On 261 the contrary, the results for the case 'w/o SLE' are nearer to the predicted results by the FEM model 262 with beam element, i.e., the cross section only can deform in the plane due to assumed infinite cross-263 sectional stiffness. In other words, when the SLE is considered, the cross section is less stiff than the case of the beam model. It explains the decrease in natural frequency when the SLE is considered. 264 265 Comparisons between the calculated results using Eqs. (9-14) and the predicted results of FEM 266 models can also be applied to validate the theoretical solutions for the case with SLE. To sum up, 267 the footbridges with smaller span-width ratios, smaller section thickness-width ratios, smaller height-width ratios and larger Poisson's ratios are more necessary to take the SLE into consideration. 268 269 These uncertainties in the predicted natural frequencies due to the SLE may further result in 270 inaccuracy in the prediction of pedestrian-induced vibrations of the footbridges.

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# 3. Simplified strategy of considering SLE

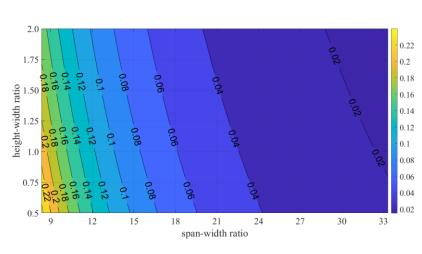
As demonstrated in Section 2, the key task of considering the SLE is to determine the reduction ratio R. To consider the SLE on pedestrian-induced vibration and TMD-based vibration control of footbridges with thin-walled box section, for typical footbridges with different span-width ratios, section thickness-width ratios, height-width ratios and Poisson's ratios, the reduction ratio can be

- determined by referring the contour lines of the reduction ratios over different combinations of the
  governing parameters as determined in Section 2. The main procedures of the simplified strategy of
  considering the SLE are as follows.
- (1) Pre-formulate the contour lines of the reduction ratios over different combinations of the governing parameters of the SLE in terms of natural frequency, as shown in Fig. 6. It can be conducted based on detailed parametric analysis using the analytical solutions of the SLE in Section 2. The corresponding simulations are only required to be done once for further applications. Thus, it is efficient and effective.
- (2) Collect the governing parameters for the considered footbridge, i.e., span-width ratio,
  section thickness-width ratio, height-width ratio, and Poisson's ratio. These parameters
  can be conveniently obtained, e.g., from the plan of the footbridge.
- (3) Determine the reduction ratio *R* for the considered footbridge from the pre-formulatedcontour lines by using the governing parameters.
- (4) Obtain the natural frequencies of the structure without considering the SLE  $f_n$  based on the FEM using the beam element, or directly use the solutions based on the classical beam theory for typical beam-like footbridges, i.e.  $f_n = \frac{\pi n^2}{2} \sqrt{\frac{EI}{mL^4}}$ , where *n* is the dominating mode. It is notable that FEM analysis based on beam element is much more computationally efficient than shell modelling. Thus, the proposed simplified strategy
- 294 provides an efficient way to consider SLE by the reduction ratio *R*.
- 295 (5) Determine the natural frequencies of the structure with considering the SLE  $f_{n,SLE}$  by 296 using Eq. (14).



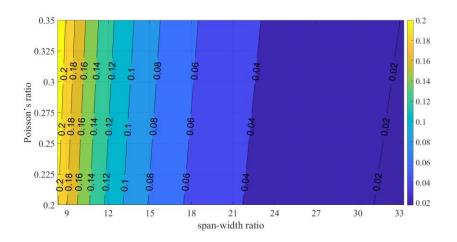
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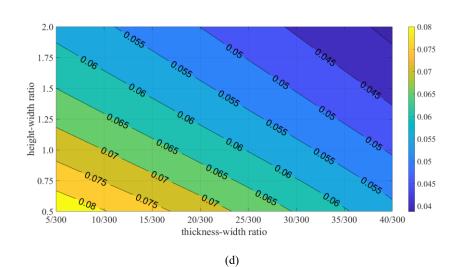
(a)











(c)

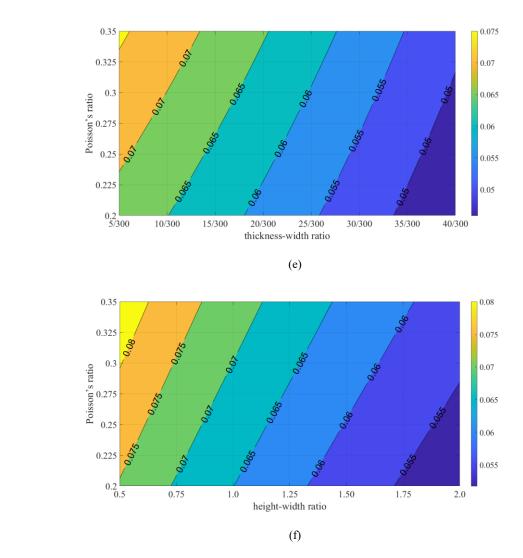


Fig. 6. Pre-formulated contour lines of the reduction ratios over different combinations of the governing
parameters of the SLE in terms of natural frequency: An illustrative example for (a) height-width ratio = 1.0 and
Poisson's ratio = 0.30; (b) section thickness-width ratio = 10/300 and Poisson's ratio = 0.30; (c) height-width ratio
= 1.0 and section thickness-width ratio = 10/300; (d) span-width ratio = 50/3 and Poisson's ratio = 0.30; (e) spanwidth ratio = 50/3 and height-width ratio = 1.0; (f) span-width ratio = 50/3 and section thickness-width ratio = 10/300.

### 315 4. Calculation of pedestrian-induced vibration of footbridges

#### 316 4.1 Pedestrian-Structure system

According to the design guidelines such as Sétra [7] and HiVoSS [8], the structural responses of footbridges are often dominated by one mode of the structure, of which the natural frequency is close to the pedestrian-induced excitations. For a pedestrian-structure system, the governing equation of motion can be expressed as:

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$$m_{\text{Bridge}} \ddot{z}_{\text{Bridge}} + c_{\text{Bridge}} \dot{z}_{\text{Bridge}} + k_{\text{Bridge}} z_{\text{Bridge}} = F_{\text{ped}}(t)\phi(x)$$
(15)

where  $m_{\text{Bridge}}$ ,  $c_{\text{Bridge}} = 2m_{\text{Bridge}}\zeta_{\text{Bridge}}(2\pi f_{\text{Bridge}})$  and  $k_{\text{Bridge}} = m_{\text{Bridge}}(2\pi f_{\text{Bridge}})^2$  are the corresponding modal mass, damping and stiffness coefficients of the bridge, respectively, in which  $f_{\text{Bridge}}$  and  $\zeta_{\text{Bridge}}$  are the natural frequency and damping ratio of the bridge, respectively;  $\ddot{z}_{\text{Bridge}}$ ,  $\dot{z}_{\text{Bridge}}$  and  $z_{\text{Bridge}}$  are the modal acceleration, velocity and displacement of the bridge, respectively;  $F_{\text{ped}}(t)$  is the pedestrian-induced force;  $\phi(x)$  is the corresponding vibration mode. The pedestrian-induced forces induced by single person are considered by taking the dominating harmonic of the largest component of the walking force as follows:

$$F_{\text{walk},z}(t)/G_{\text{ped}} = 1 + DLF_z \sin(2\pi f_{\text{ped}}t + \varphi_z)$$
(16)

where  $F_{\text{walk},z}(t)$  is the pedestrian induced walking force in the vertical (Z) direction of the bridge deck (Fig. 1), respectively;  $G_{\text{ped}}$  is the pedestrian's weight;  $DLF_z$  is the corresponding dynamic load factor (DLF) in the vertical (Z) direction;  $f_{\text{ped}}$  is the walking step frequency;  $\varphi_z$  is the phase angle in the vertical (Z) direction. All these parameters are diverse for different individuals because of the inter-subject variability and they are not even always the same for the same person due to the intra-subject variability.

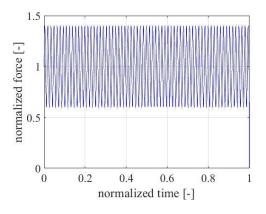
In this study, to illustrate the effects of SLE on pedestrian-induced vibrations, the walking forcemodel is considered in two different ways as follows.

(1) A deterministic model: to keep the model as realistic and simple as possible in investigating the SLE, a deterministic set of values is firstly applied, e.g. as proposed/applied in [41-42]:  $G_{ped} =$ 800 N,  $DLF_z = 0.4$ ,  $f_{ped} = 2$  Hz, and  $\varphi_z = 0$ . When necessary, to calculate the maximum peak acceleration response, the step frequency  $f_{ped}$  can be set to the resonant frequency.

341 (2) A probabilistic model: to consider the inter- and intra-subject variability of walking forces, 342 the pedestrian weight  $G_{ped}$  is considered as a normal distribution, e.g. with a mean of 800 N and a variation coefficient of 10%. Step frequency  $f_{ped}$  follows a normal distribution, e.g. with a mean 343 of 2 Hz and a standard deviation of 0.173 Hz, according to Matsumoto et al. [43]. When the 344 345 maximum peak acceleration response is interested, the mean step frequency can be set to the resonant 346 frequency as it does in the deterministic model. According to Young [44-45], the dynamic load factors in the vertical depends on step frequency as:  $DLF_z = 0.41(f_{ped} - 0.95)$ , in which  $f_{ped}$  in [1, 2.8] 347 348 Hz. Due to the lack of reliable experimental data and explicit physical meaning, the phase angles

are kept as  $\varphi_z = 0$ . For a continuous pedestrian flow, the arrival times of different persons in the crowd are normally considered as a Poisson process, e.g. as applied in [2].

351 Fig. 7 presents the vertical component of the normalized walking force, which is defined as the ratio of the walking force to the pedestrian's weight, in accordance with the applied deterministic 352 353 set of parametric values. Fig. 7 further indicates that the vertical component of the walking force fluctuates near the body weight, with the maximum amplitude of  $(1 + DLF_z) \cdot G_{ped}$ . 354 355 Correspondingly, the modal loads for the deterministic model are obtained by multiplying the walking forces with the mode shapes. For a sinusoidal mode shape, the modal loads are maximum 356 when the pedestrian is walking at the midspan of the structure; while they become zero before the 357 person's arrival on and after the person's left off the bridge. 358



359

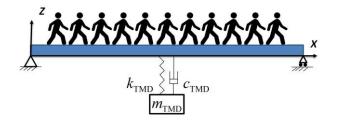


Fig. 7. The considered normalized walking forces of the deterministic model.

361

# 362 4.2 Reduction of pedestrian-induced vibration using TMD

To reduce the pedestrian-induced vibration of civil structures, installation of the TMD is a widelyapplied and effective approach [46-47]. In the pedestrian-structure system with a TMD shown in Fig. 8,  $m_{\text{TMD}}$ ,  $c_{\text{TMD}} = 2m_{\text{TMD}}\zeta_{\text{TMD}}(2\pi f_{\text{TMD}})$  and  $k_{\text{TMD}} = m_{\text{TMD}}(2\pi f_{\text{TMD}})^2$  are the mass, damping and stiffness coefficients of the TMD; in which  $f_{\text{TMD}}$  and  $\zeta_{\text{TMD}}$  are the natural frequency and damping ratio of the TMD, respectively.



# **Fig. 8.** A pedestrian-structure system with a TMD.

The governing equations of motion for the pedestrian-structure system with a TMD are:

371 
$$m_{\text{Bridge}}\ddot{z}_{\text{Bridge}} + c_{\text{Bridge}}\dot{z}_{\text{Bridge}} + k_{\text{Bridge}}z_{\text{Bridge}} - c_{\text{TMD}}(\dot{z}_{\text{TMD}} - \dot{z}_{\text{Bridge}}) -$$

372 
$$k_{\text{TMD}}(z_{\text{TMD}} - z_{\text{Bridge}}) = F_{\text{ped}}(t)\phi(x)$$
(17)

373 
$$m_{\text{TMD}} \ddot{z}_{\text{TMD}} + c_{\text{TMD}} (\dot{z}_{\text{TMD}} - \dot{z}_{\text{Bridge}}) + k_{\text{TMD}} (z_{\text{TMD}} - z_{\text{Bridge}}) = 0$$
(18)

374 where  $\ddot{z}_{TMD}$ ,  $\dot{z}_{TMD}$  and  $z_{TMD}$  are the acceleration, velocity and displacement of the TMD, 375 respectively.

To determine the design parameters of the TMD, resonant conditions are assumed, i.e., resonance with the targeted mode where the TMD is tuned is assumed. Furthermore, mass ratio  $\gamma_M$ and frequency ratio  $\gamma_F$  are introduced. Mass ratio  $\gamma_M$  is defined as the ratio of the TMD mass  $m_{TMD}$  to the bridge mass  $m_{Bridge}$ . Similarly, frequency ratio  $\gamma_F$  is defined as the ratio of the TMD frequency  $f_{TMD}$  to the bridge frequency  $f_{Bridge}$ . For a given mass ratio  $\gamma_M$ , Den Hartog [48] provided the classical solution for determining the optimal TMD parameters by minimising the maximum displacement response of the structure, i.e.,

383 
$$\gamma_F = \frac{f_{\text{TMD}}}{f_{\text{Bridge}}} = \frac{1}{1 + \gamma_M}$$
(19)

$$\zeta_{\rm TMD} = \sqrt{\frac{3\gamma_M}{8(1+\gamma_M)}} \tag{20}$$

385 Then, the optimal TMD parameters are summarized as follows:

386

$$m_{\rm TMD} = \gamma_M m_{\rm Bridge} \tag{21}$$

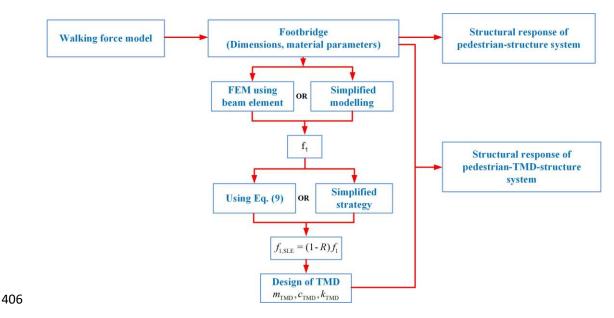
387 
$$c_{\rm TMD} = 2m_{\rm TMD}\zeta_{\rm TMD}(2\pi f_{\rm TMD}) = \frac{4\pi\gamma_M}{1+\gamma_M} \sqrt{\frac{3\gamma_M}{8(1+\gamma_M)}} m_{\rm Bridge} f_{\rm Bridge}$$
(22)

388 
$$k_{\rm TMD} = m_{\rm TMD} (2\pi f_{\rm TMD})^2 = \frac{4\pi^2 \gamma_M}{(1+\gamma_M)^2} m_{\rm Bridge} (f_{\rm Bridge})^2$$
(23)

389 It can be seen from the above equations that all the three parameters of the TMD are dependent 390 on the mass ratio  $\gamma_M$ , which is determined by the designer.

#### 391 4.3 Flowchart of calculating pedestrian-induced vibration of footbridges

For a footbridge subjected to pedestrian-induced excitations, i.e., a pedestrian-structure system, the structural responses can be obtained by solving the governing equation of motion (Eq. 15) and the walking force model in the subsection 4.1. The footbridge can be simulated by FEM using the beam 395 element or the simplified mathematic model, i.e., structural equation of motion. Then, the 396 fundamental natural frequency of the footbridge  $f_1$  ( $f_{Bridge}$ ) without considering the SLE can be 397 obtained. Next, the fundamental natural frequency of the footbridge  $f_{1,SLE}$  ( $f_{Bridge}$ ) with considering the SLE can be calculated by using Eq. (9) or the simplified strategy indicated in Section 398 399 3. Based on  $f_1$  or  $f_{1,SLE}$ , the parameters of the TMD can be respectively determined by using the 400 design method presented in the subsection 4.2. As a result, the installation of the TMD into the 401 pedestrian-structure system forms a new coupled system, i.e., the pedestrian-TMD-structure system, 402 which has two different sets of parameter values, corresponding to the two cases without and with 403 considering the SLE. The structural responses of them can be obtained by solving the governing 404 equation of motion (Eq. 17) and the walking force model in the subsection 4.1. Fig. 9 illustrates the 405 flowchart of calculating the pedestrian-induced vibration of footbridges.



407

Fig. 9. Flowchart of calculating pedestrian-induced vibration of footbridges.

#### 408 5. Influence of SLE on pedestrian-induced vibration

#### 409 5.1 Structural parameters

The footbridge with typical thin-walled box section shown in Fig. 1 is adopted as the example structure. The length L of the considered structure is 70 m, while other dimensions of the footbridges are inherited from Table 1. The material properties are also the same as those of the benchmark structure in the subsection 2.3. The corresponding damping ratio is assumed to be 0.5%.

414 The modal mass is considered as 50 tons for the example structure. According to the simplified

- 415 strategy (Section 3), considering the span-width ratio (70/3), section thickness-width ratio (10/300),
- height-width ratio (3/3), and Poisson's ratio (0.3), the reduction ratio R of the fundamental frequency
- 417 can be obtained from the pre-formulated contour lines as 3.76%. The fundamental frequency
- 418 without considering SLE can be calculated based on the beam theory, i.e.  $f_1 = 1.9168$  Hz. Then,
- 419 the value for the case with SLE is determined as  $f_{1,SLE} = 1.8448$  Hz. These parameters are applied
- 420 for further analysis.
- 421 5.2 Structural responses

#### 422 **5.2.1** Results based on the deterministic force model

423 Fig. 10 shows the time history of the induced structural responses during the pedestrian passing the 424 bridge with a walking speed of 1.5 m/s for the example structure with the length of 70 m. As shown 425 in Fig. 10, large discrepancies are observed in the prediction of the pedestrian-induced vibrations of the footbridges with and without considering the SLE. For the special case in Fig. 10, the peak 426 427 acceleration without considering the SLE is approximately twice that of the case with considering 428 the SLE. For broad applications in the vibration serviceability, the inaccuracy/unreliable predictions 429 of pedestrian-induced vibrations may be caused when the actual natural frequency should be  $f_{1,SLE}$ , 430 which is however considered as  $f_1$  due to without considering the SLE.

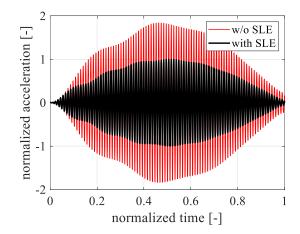




Fig. 10. Time history of the induced structural responses during the pedestrian passing the bridge.

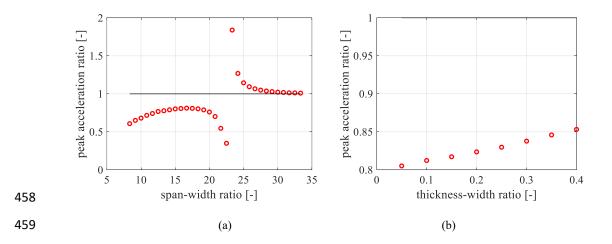
Fig. 11 further illustrates the peak acceleration ratios of the case without considering the SLE
to the case with considering the SLE for the induced structural responses during the pedestrian
passing the bridge for different span-width ratios, section thickness-width ratios, height-width ratios
and Poisson's ratios, respectively. It can be drawn from Fig. 11 that:

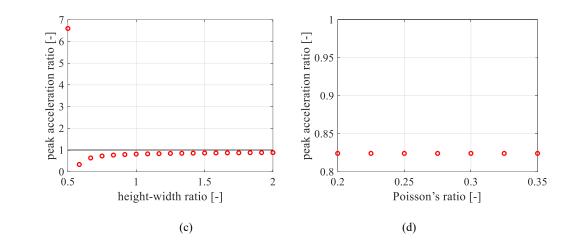
437 (1) Fig. 11(a): When the span-width ratio is lower than 23, without considering the SLE results 438 in lower vibration responses; while when the span-width ratio is higher than 23, without considering 439 the SLE results in higher vibration responses. For large span-width ratios, with the increase of the 440 span-width ratio, the peak acceleration ratio approaches to 1. This can be explained by the variation 441 of fundamental natural frequencies for different span-width ratios (Fig. 2). For instance, when the 442 span-width ratio is close to 23, the natural frequency (e.g. for the example structure, the span-width ratio is 23.33, with corresponding  $f_{1,SLE} = 1.8448$  Hz and  $f_1 = 1.9618$  Hz) is approaching the 443 excitation frequency 2 Hz, it may result in very sensitive changes in structural responses even if 444 there are relatively small changes in natural frequency. 445

(2) Fig. 11(b): With the increase of the section thickness-width ratio, the peak acceleration ratio
increases but not more than 1. It means that the predicted structural responses are lower for the case
without considering the SLE than the case with considering the SLE, of which the natural frequency
is closer to the excitation frequency (Fig. 3).

(3) Fig. 11(c): With the increase of the height-width ratio, the peak acceleration ratio approaches to 1, which means the SLE becomes insignificant. It also should be noted that when the height-width ratio is 0.5, the predicted structural responses for the case without considering the SLE are much higher than the case with considering the SLE due to the near-resonance for the case without considering the SLE (Fig. 4).

(4) Fig. 11(d): The effect of Poisson's ratio on the peak acceleration ratio is relatively
insignificant, which results from that the fundamental natural frequency does not vary much with
the Poisson's ratio (Fig. 5).





462 Fig. 11. Peak acceleration ratio (the case without SLE to the case with SLE) of the induced structural responses
463 during the pedestrian passing the bridge for different (a) span-width ratios, (b) section thicknesses, (c) height-width
464 ratios, and (d) Poisson's ratios.

465

460

461

# 466 5.2.2 Results based on the probabilistic force model

To consider the inter- and intra-subject variability of walking forces, large number of persons with different parametric values in accordance with the probabilistic model are adopted in the study (as discussed in the subsection 4.1). Fig. 12 compares the induced structural responses by different persons, in terms of non-exceedance probability of the peak acceleration ratio. Several conclusions can be drawn from Fig. 12 and as follows:

(1) For different span-width ratios (Fig. 12(a)), the majority of peak acceleration ratios are near or lower than 1, i.e., without considering the SLE, the predicted structural responses are probably lower than the actual case with considering the SLE. It should be noted that the peak acceleration ratio can even reach to 6. It means that considering the SLE also might result in much higher structural responses in predictions. In addition, the SLE becomes insignificant for the cumulative probability range of [0.5, 0.9] as the peak acceleration ratios are close to 1.

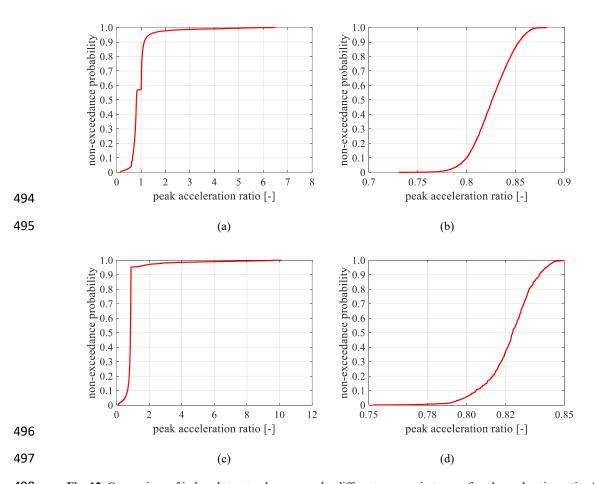
(2) For different height-width ratios (Fig. 12(c)), over 80% of the peak acceleration ratios are
near the value of 1. In other words, the SLE is insignificant for most cases. However, the remaining
less than 20% cases can be very significant because the peak acceleration ratios can reach either 0
or over 10.

(3) The effects of section thickness-width ratio and Poisson's ratio are not very significant,
resulting in very narrow ranges for the peak acceleration ratios with values slightly lower than 1
(Fig. 12(b) and (d)).

(4) The SLE on the prediction of pedestrian-induced vibrations are mainly dependent on the ratio of the excitation frequency to the structural natural frequency. When the excitation frequency and the structural natural frequency are close, the SLE becomes significant. This results from that the induced acceleration amplitudes mainly depend on the excitation frequency and the structural natural frequency. In addition, the changes in structural responses may be very sensitive to even relatively small changes in the structural natural frequency due to the SLE.

All these results also confirm the necessity of considering the SLE in predicting the pedestrian-induced vibrations of the footbridges.

493



**498** Fig. 12. Comparison of induced structural responses by different persons in terms of peak acceleration ratios for

499 different (a) span-width ratios, (b) section thickness-width ratios, (c) height-width ratios, and (d) Poisson's ratios.

500 6. Influence of SLE on TMD-based vibration control

#### 501 6.1 TMD parameters

502 The TMD parameters are required to be tuned based on the actual modal parameters, i.e., the case with SLE  $(f_{1,SLE})$ . Thus, design parameters of the TMD are different if the SLE is not considered 503 ( $f_1$ ). For the example structure, the mass ratio is considered as  $\gamma_M = 0.01$ . The TMD parameters 504 505 can be determined by using Eqs. (19-23). As a result, when the TMD is designed based on the case 506 without considering the SLE, the damping ratio and natural frequency of the TMD are  $\zeta_{TMD}$  = 0.0609 and  $f_{TMD} = 1.8978$  Hz, respectively. For the actual case with considering the SLE, the 507 damping ratio and natural frequency of the TMD are  $\zeta_{\text{TMD,SLE}} = 0.0609$  and  $f_{\text{TMD,SLE}} = 1.8265$ 508 Hz, respectively. Other design parameters of the TMD are listed in Table 2. 509

510

511

#### Table 2 Design parameters of TMD

Case	Damping ratio	Frequency (Hz)	Mass (ton)	Damping (N·s/m)	Stiffness (N/m)
w/o SLE	0.0609	1.8978	0.5	726.6	$7.11 \times 10^4$
with SLE	0.0609	1.8265	0.5	699.3	$6.59 \times 10^{4}$

# 512 6.2 Structural responses

513 To quantify the damping effect of the TMD on the structural responses, reduction factor of the TMD

514  $R_{\text{TMD}}$  can be defined based on the reduction in the structural responses, as:

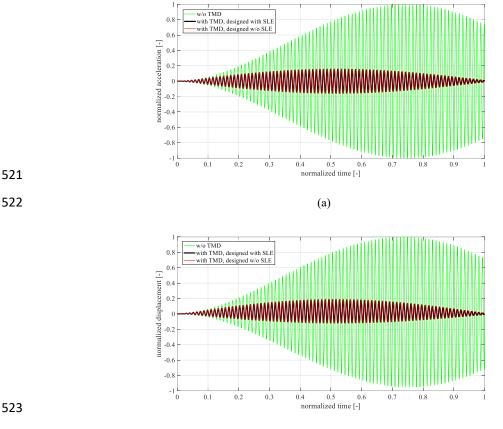
515

$$R_{\rm TMD} = 1 - \frac{\max|u_{\rm with \, TMD}|}{\max|u_{\rm with \, UM}|} \tag{24}$$

516 where  $u_{\text{with TMD}}$  and  $u_{\text{without TMD}}$  are the structural responses (acceleration or displacement) 517 with and without the TMD, respectively.

Fig. 13 shows the comparison of normalized acceleration and displacement time history curvesof structural systems with and without the TMD for the example structure. It can be seen from Fig.

520 13 that the instalment of the TMD has excellent performance in mitigating structural responses.







526



Fig. 13. Comparison of normalized acceleration and displacement time history curves of structural systems with TMD and without TMD for the example structure: (a) acceleration; (b) displacement.

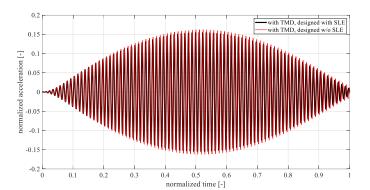
(b)

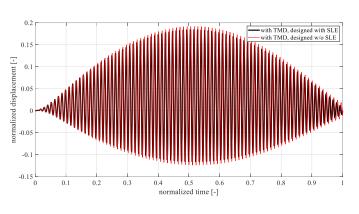
527 Fig. 14 further compares structural responses of pedestrian-TMD-structure systems with and 528 without considering the SLE for the example structure. As shown in Fig. 14, although there is merely 529 3.76% reduction in the structural natural frequency (1.9168 Hz vs. 1.8448 Hz) by considering the 530 SLE, the damping effect of the TMD designed without considering the SLE is less superior as the 531 TMD designed with considering the SLE. To be specific, the reduction factors  $R_{\text{TMD}}$  of acceleration responses for the cases without and with considering the SLE are 83.79% and 84.53%, 532 533 respectively, while the reduction factors  $R_{\rm TMD}$  of displacement responses for the cases without and with considering the SLE are 80.8% and 81.55%, respectively. When without SLE considering in 534 the TMD design, the acceleration amplitude can only be reduced to 16.21% of the acceleration 535 536 amplitude of the case without TMD installed, which is higher than 15.47% the case with SLE. The 537 remained displacement amplitudes are about 19.20% and 18.45% of the displacement amplitude of 538 the case without TMD installed for the case without and with SLE considered in the TMD design, 539 respectively. Correspondingly, the effective damping ratio of the structure with TMD is reduced

around 3.87%. It is notable that, although the reduction in the effective damping ratio is not

541 incredibly significant for the considered structure, it already shows the negative effects of the SLE

- in the vibration mitigation. More significant effects will be illustrated in following paragraphs with
- 543 other investigations.





(b)

(a)

546

547

544

545

Fig. 14. Comparison of normalized acceleration and displacement time history curves of the pedestrian TMD-structure system with and without considering the SLE for the example structure: (a) acceleration; (b)
 displacement.

551 For other cases, the difference between the reduction effects of the TMDs designed with and 552 without considering the SLE might be more significant. To be quantified, the reduction factors R<sub>TMD</sub> of acceleration and displacement responses for different span-width ratios, section 553 thicknesses, height-width ratios, and Poisson's ratios are provided in Figs. 15 and 16, respectively. 554 555 It can be found that the reduction factors  $R_{TMD}$  of acceleration and displacement responses have very similar trends. When the TMD is designed with considering the SLE, the reduction factors are 556 constants. On the contrary, the reduction factors become variables when the TMD is designed 557 558 without considering the SLE. The reduction factors of the TMD designed with considering the SLE 559 are always larger than the corresponding TMD without considering the SLE. With the increasing of 560 the span-width ratio, thickness-width ratio, height-width ratio, or the decreasing of the Poisson's 561 ratio, the gap becomes smaller due to less significant SLE. In addition, the span-width ratio has the most significant influence on the differences of the reduction factors for two different TMDs. The 562 563 thickness-width ratio and thickness-width ratio are less significant, and the influence of the Poisson's ratio is relatively insignificant. This is also validated by the plot of effective damping ratio 564 of the system (Fig. 17). For instance, when the span-width ratio is smaller than 10, the reduction in 565 566 the effective damping ratio can be over 50%. For different thickness-width ratios, height-width ratios, and Poisson's ratios, the largest reductions can only be around 20%. On the other hand, the 567 568 reduction in effective damping capacity due to without considering SLE in the TMD-design result 569 in less effective in the vibration mitigation.

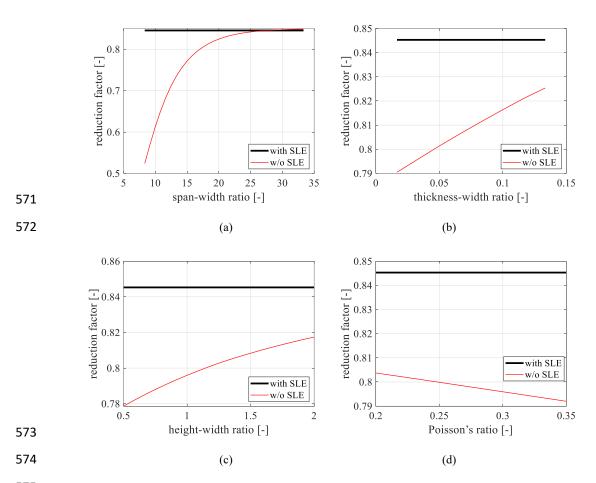


Fig. 15. Reduction factors of acceleration responses without and with considering the SLE for different (a) spanwidth ratios, (b) section thickness-width ratio, (c) height-width ratios, and (d) Poisson's ratios.

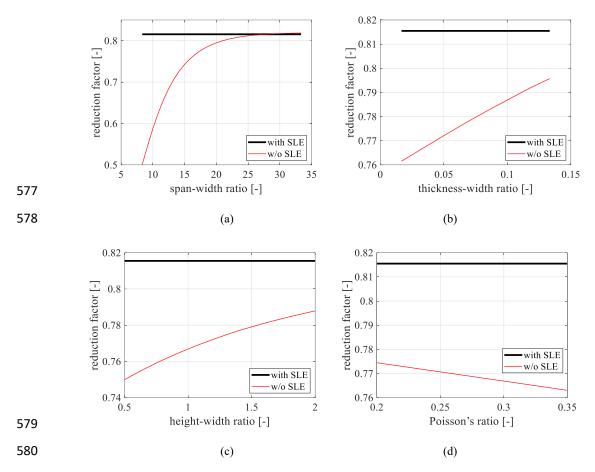
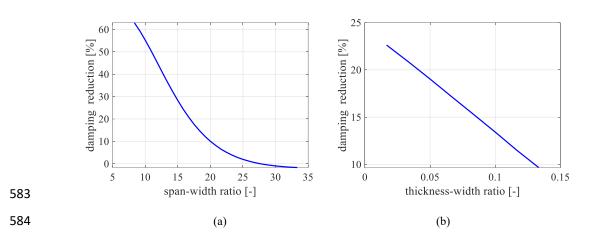


Fig. 16. Reduction factors of displacement responses without and with considering the SLE for different (a) spanwidth ratios, (b) section thickness-width ratio, (c) height-width ratios, and (d) Poisson's ratios.



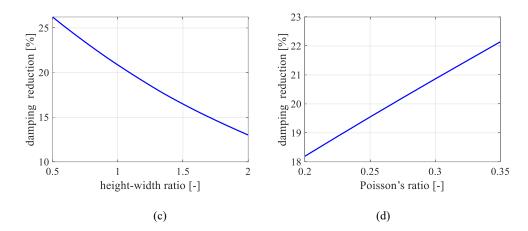


Fig. 17. Reductions in the effective damping ratio due to the designed TMD without considering the SLE for
different (a) span-width ratios, (b) section thickness-width ratio, (c) height-width ratios, and (d) Poisson's ratios.

589 7. Conclusions

585

586

590 This paper investigates the SLE on the pedestrian-induced vibration and TMD-based vibration 591 control of typical footbridges with thin-walled box section, by providing the theoretical framework 592 to consider the SLE on the natural frequencies of the structure. Furthermore, an efficient way to 593 consider the SLE is proposed. The main conclusions are drawn as follows:

- 594 (1) The SLE on the natural frequencies of the structure can be considered with a reduction
  595 ratio to the corresponding case without considering SLE (the classic solutions of natural
  596 frequencies).
- 597 (2) The footbridges with smaller span-width ratios, smaller section thickness-width ratios, and
  598 lower height-width ratios are more necessary to consider the SLE. Furthermore, although
  599 the Poisson's ratio effects are relatively lower than other aspects, the steel bridges still need
  600 to be paid to attention for the SLE. Due to the SLE, it may result in significant reductions
  601 in the natural frequencies of the structures.
- 602 (3) These reductions in the predicted natural frequencies due to ignore the SLE may further
  603 result in significantly inaccuracy in the prediction of pedestrian-induced vibrations of the
  604 footbridges.
- 605 (4) Furthermore, the most often applied mitigation measures may not be reliably designed.
  606 Due to the fact that TMD is only effective in a narrow frequency range near the damped
  607 mode, TMD design needs to reliable modal parameters and thus requires considering the
  608 SLE in the TMD-based vibration control of footbridges with thin-walled box section.

609 Otherwise, it may result in very significant reduction in the effectiveness of the vibration

610 mitigation measures.

- 611 The study is illustrated mainly based on typical footbridges with thin-walled box sections;
  612 however, the proposed methodology can be applied to the vibration serviceability analysis for all
  613 other footbridges.
- 614

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- 619

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