

1           ***E. coli* bacteraemia and antimicrobial resistance following**  
2           **antimicrobial prescribing for urinary tract infection in the**  
3           **community**

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32 **Abstract (350 words)**

33

34 **Background:** Urinary tract infections are one of the most common  
35 infections in primary and secondary care, with the majority of  
36 antimicrobial therapy initiated empirically before culture results are  
37 available. In some cases, however, over 40% of the bacteria that cause  
38 UTIs are resistant to some of the antimicrobials used, yet we do not know  
39 how the patient outcome is affected in terms of relapse, treatment failure,  
40 progression to more serious illness (bacteraemia) requiring  
41 hospitalization, and ultimately death. This study analyzed the current  
42 patterns of antimicrobial use for UTI in the community in Scotland, and  
43 factors for poor outcomes.

44

45 **Objectives:** To explore antimicrobial use for UTI in the community in  
46 Scotland, and the relationship with patient characteristics and  
47 antimicrobial resistance in *E. coli* bloodstream infections and subsequent  
48 mortality.

49

50 **Methods:** We included all adult patients in Scotland with a positive blood  
51 culture with *E. coli* growth, receiving at least one UTI-related antimicrobial  
52 (amoxicillin, amoxicillin/clavulanic acid, ciprofloxacin, trimethoprim, and  
53 nitrofurantoin) between 1<sup>st</sup> January 2009 and 31<sup>st</sup> December 2012.  
54 Univariate and multivariate logistic regression analysis was performed to  
55 understand the impact of age, gender, socioeconomic status, previous  
56 community antimicrobial exposure (including long-term use), prior  
57 treatment failure, and multi-morbidity, on the occurrence of *E. coli*  
58 bacteraemia, trimethoprim and nitrofurantoin resistance, and mortality.

59

60 **Results:** There were 1,093,227 patients aged 16 to 100 years old  
61 identified as receiving at least one prescription for the 5 UTI-related  
62 antimicrobials during the study period. Antimicrobial use was particularly  
63 prevalent in the female elderly population, and 10% study population was  
64 on long-term antimicrobials. The greatest predictor for trimethoprim  
65 resistance in *E. coli* bacteraemia was increasing age (OR 7.18, 95% CI  
66 5.70 to 9.04 for the 65 years old and over group), followed by multi-

67 morbidity (OR 5.42, 95% CI 4.82 to 6.09 for Charlson Index 3+). Prior  
68 antimicrobial use, along with prior treatment failure, male gender, and  
69 higher deprivation were also associated with a greater likelihood of a  
70 resistant *E. coli* bacteraemia. Mortality was significantly associated with  
71 both having an *E. coli* bloodstream infection, and those with resistant  
72 growth.

73

#### 74 **Conclusion**

75 Increasing age, increasing co-morbidity, lower socioeconomic status, and  
76 prior community antibiotic exposure were significantly associated with a  
77 resistant *E. coli* bacteraemia, which leads to increased mortality.

78

79

80

81 Key words: *Escherichia coli*, Bacteraemia, Bloodstream infection,  
82 Antimicrobial resistance, Epidemiology

83 **Introduction**

84 Urinary tract infection (UTI) is the second most common clinical indication  
85 for empirical antimicrobial treatment in primary and secondary care, and  
86 is the most frequently occurring health care associated infection in the UK  
87 National Health System (NHS) (1). Antimicrobial resistance has been  
88 recognised as a concern for the future treatment of infections, leading to  
89 repeat prescriptions, continued symptoms, complications, increased use of  
90 broad spectrum antimicrobials (2), and mortality.

91

92 There is a direct relationship between the levels of antimicrobial  
93 prescribed and the level of resistance seen in a range of organisms (3).  
94 However, the relationship between antimicrobial consumption and  
95 development of resistance can be complex (4). At a local level, the  
96 correlation between antimicrobial use in the community and resistance is  
97 well recognised (5-9), but the correlation between reductions in  
98 community prescribing and reductions in resistance are potentially  
99 imbalanced. Gram-positive resistance has been reported to decrease in  
100 response to primary care stewardship, but the link between reduced  
101 antimicrobial use and lower resistance in Gram-negative bacteria is less  
102 consistent (10, 11). Prescribing data for UTIs shows that a large reduction  
103 of (20%) antimicrobial use in the community correlated to only a small  
104 (1%) decrease in resistance to amoxicillin or amoxicillin/clavulanic acid,  
105 with similar sized effects seen for trimethoprim usage and resistance (12-  
106 14). These small reductions in resistance, despite large reductions in  
107 prescribing, are highly dependent on the genetic profile of the organisms,  
108 how the resistance determinants are carried and spread due to co-  
109 selection by other drugs and the level of bacterial fitness cost (15). The  
110 stability of mobile genetic elements (plasmids and integrons) carrying  
111 resistance genes is a major factor in the population dynamics of the  
112 resistant and sensitive bacterial populations. As a result of the above each  
113 drug-bug combination will react very differently to changes/restrictions in  
114 the drug use (13, 16).

115

116 At the patient level, individuals prescribed an antimicrobial for a UTI are  
117 prone to develop resistant infections, with resistance persisting for up to

118 12 months after the cessation of antimicrobial (6, 17, 18). The time  
119 necessary to develop resistance has been shown to be inversely correlated  
120 with the amount of antimicrobial given (11). Likewise, multiple  
121 prescriptions and longer durations of treatment showed increased rates of  
122 resistance (6). The correlation between the number of prescriptions and  
123 resistance has been quantified in recent work where multiple prescriptions  
124 increased the risk of a resistant *Escherichia coli* (*E. coli*) by two to three  
125 fold compared to a single course of treatment (19). Recent work  
126 examining *E. coli* urine isolates showed that having a larger number of  
127 different antimicrobial prescriptions (more than 4) in the previous six  
128 months and also increasing Defined Daily Doses (DDDs) were associated  
129 with increased risk of resistance and multiple drug resistance (20).

130

131 Community use represents the bulk, approximately 80%, of antimicrobial  
132 prescriptions within the UK (7), with up to a third considered to be  
133 inappropriate (21), mostly consisting of broad-spectrum antimicrobials  
134 (22). Many of these antimicrobials are prescribed for the treatment of  
135 UTIs (23-29). Five antimicrobials (amoxicillin, ciprofloxacin,  
136 amoxicillin/clavulanic acid, nitrofurantoin and trimethoprim) make up  
137 approximately 70%-80% of the antimicrobials prescribed for the  
138 treatment of UTIs. The majority of cases of antimicrobial therapy for UTI  
139 are also initiated empirically before culture results are available (30), as  
140 urine cultures are not routinely recommended in certain patient  
141 populations (e.g. non-pregnant women) (31). In some cases over 40% of  
142 the bacteria that cause UTIs are resistant to some of the antimicrobials  
143 used (29) with this increasing risk for relapse and treatment failure (32,  
144 33). *E. coli* is the most common cause of bacteraemia in high-income  
145 countries, and the burden of *E. coli* bacteremia is substantial, especially  
146 among the elderly (34). To enable the implementation of effective  
147 mitigation and prevention strategies, we need to understand better the  
148 epidemiology and risk factors of invasive *E. coli* infections. There is  
149 growing evidence showing that increases in the rates of *E. coli*  
150 bacteraemia are being driven predominantly by community-onset  
151 infections (35), particularly infections of the urinary tract (34), yet we do  
152 not know how and which specific factors increase the likelihood for

153 progression to more serious illness requiring hospitalisation, and  
154 ultimately death, after treatment for UTI in the community.

155

156 This paper describes the epidemiology of the use of trimethoprim,  
157 nitrofurantoin, amoxicillin/clavulanic acid, amoxicillin and ciprofloxacin for  
158 UTI in the community across Scotland, characterises the population using  
159 these antimicrobials and patterns of use, and examines how prior use of  
160 antimicrobials is associated with antimicrobial resistance in bloodstream  
161 infections and deaths.

## 162 **Methods**

### 163 Data linkage

164 The NHS National Services Scotland (NSS) Prescribing Information System  
165 (PIS) (36) holds all community dispensed prescriptions in NHS Scotland at  
166 an individual patient level and was examined to identify a cohort of  
167 patients who had been dispensed a UTI-related antimicrobial (amoxicillin,  
168 ciprofloxacin, amoxicillin/clavulanic acid, nitrofurantoin or trimethoprim)  
169 at any point from 1<sup>st</sup> January 2009 to 31<sup>st</sup> December 2012. Entry to the  
170 cohort was defined as the first prescription in the study period for one of  
171 the UTI-related antimicrobials. Gender and age at cohort entry were  
172 recorded for all patients. Only adults (age range 16 – 100 years old) were  
173 included in the study. Socio-economic status based on home postcode at  
174 entry to the cohort was measured by Scottish Index of Multiple  
175 Deprivation quintiles (37). The Scottish Index of Multiple Deprivation  
176 (SIMD) is a relative measure of deprivation used by the Scottish  
177 Government to define socioeconomic deprivation across small  
178 geographical areas (also known as datazones), based on income,  
179 employment, education, health, access to services, crime and housing.  
180 Geographical areas are categorised into five quintiles, with quintile 1 being  
181 the most deprived, and quintile 5 the most affluent. Prescribing data for  
182 all the UTI-related antimicrobial prescriptions dispensed in the study  
183 period was linked to reports of all bacteraemia from the Electronic  
184 Communication of Surveillance in Scotland (ECOSS) data, and to patient  
185 level data on hospital admission from NSS General/Acute Inpatient and

186 Day Case (SMR01) data and deaths from National Registrar Scotland  
187 (NRS) data. A universal patient registration system in Scotland uses a  
188 unique 10-digit identifier called the community health index number (CHI)  
189 which allows data linkage of all NHS encounters. The extraction and  
190 linkage were performed by the electronic Data Research and Innovation  
191 Service (eDRIS) (38) with all identifiers removed and replaced with a  
192 project specific pseudonymous identifier and access to the data provided  
193 via a Safe Haven secure analytical platform. No identifiable data was  
194 accessed by the research team.

195

196 In addition to demographic characteristics, potential risk factors  
197 associated with the presence of *E. coli* bacteraemia and antimicrobial  
198 resistance were examined using patterns of antimicrobial prescribing,  
199 prior hospitalisations and co-morbidity.

200 Patient level antimicrobial use data were used to identify how many  
201 prescriptions of each agent they received within each year in the study  
202 period. We also calculated total DDDs for each antimicrobial, defined as  
203 the assumed average maintenance dose per day for an individual drug's  
204 main indication in adults. Patients who had received the same  
205 antimicrobial six or more times within any rolling 12-month period were  
206 defined as long-term users. Patients who received a different antimicrobial  
207 within 60 days were classed as having had a treatment failure as we  
208 assumed this was used to treat the same infection.

209

210 A Charlson Index of comorbidity (39) was calculated based on diagnostic  
211 ICD-10 codes from hospital discharge records. Previous admissions to  
212 hospital were also identified from these records including whether a High  
213 Dependency Unit (HDU) or Intensive Care Unit (ICU) stay was part of the  
214 admission.

215

216 Presence of any organism was identified through at least one record of  
217 bacteraemia for the patient within the ECOSS dataset. Reporting of  
218 urinary isolates for *E. coli* to ECOSS is not mandatory and so whilst these  
219 were initially examined they were not included within any analysis as  
220 there was only a sample of records available. *E. coli* bacteraemia related

221 to blood samples, for which mandatory reporting across Scotland exists,  
222 was examined and reports on whether the isolate causing bacteraemia  
223 was sensitive or resistant to each of the tested antimicrobials was  
224 recorded (using Clinical and Laboratory Standard Institute [CLSI]  
225 recommended minimum inhibitory concentrations (40)). Each isolate was  
226 tested against several different antimicrobials and susceptibility was  
227 reported for any, including trimethoprim and nitrofurantoin, which are  
228 used primarily for treatment of urinary tract infection (referred to as UTI-  
229 specific antimicrobials).

230

### 231 Statistical Analysis

232 Demographic characteristics, long-term users, treatment failures and  
233 number of antimicrobials dispensed were summarised for patients with  
234 any prescriptions, in total and by clinically defined group of UTI-related  
235 antimicrobials. The proportions of patients with bacteraemia, based on a  
236 positive blood culture for *E. coli*, were summarised across the groups for  
237 all of these variables and compared between the groups using chi-squared  
238 tests. Similarly, the proportions of patients who died within the study  
239 period were summarised and compared across demographic and usage  
240 groups using a chi-squared test for differences in proportions. Two-sample  
241 t-tests were used for comparison of continuous variables.

242

243 The main outcome measures were a) first occurrence of positive *E. coli*  
244 bacteraemia, and b) first occurrence of resistance to trimethoprim within  
245 the study period. Potential predictors of antimicrobial resistance were  
246 based on patterns of antimicrobial prescribing (e.g. long-term use,  
247 cumulative dose defined as DDDs, treatment failure). For each patient,  
248 these predictor variables are time-varying covariates, i.e. they change  
249 throughout the follow-up period. The standard analysis approach for  
250 incident events is survival analysis (e.g. Cox regression) potentially with  
251 time-varying covariates. However, due to the size of the dataset we used  
252 a partial logistic regression for survival analysis method (41). We divided  
253 the follow-up period into fixed distinct time intervals, to allow for a  
254 simplified analysis via logistic regression, with the outcome being the first  
255 occurrence of an event during the time interval given that subjects were



256 event free at the start of the interval, and predictors being based on each  
257 patient's status at the start of the interval. We divided the study period  
258 into six-month intervals and used information from 2010 – 2012 for the  
259 modelling. The initial two intervals for 2009 were used to define patients'  
260 status at the beginning of 2010 but no modelling for outcomes was  
261 performed over this time period. Hence the modelling was done using six  
262 intervals (two intervals per year for three years) with all events each  
263 study period modelled as a risk over the next 6-months (180 days).  
264 We developed univariable models associating the two outcomes with each  
265 of the predictors and then created a final multivariable model for  
266 statistically significant predictors (selected in univariate analysis  
267 significant at  $p < 0.05$ ) using manual stepwise selection. All analyses were  
268 carried out using the Statistical software R version 3.4.0 (42).

## 269 Ethics

270 The project was submitted to and approved by the Privacy Advisory  
271 Committee (PAC) of National Services Scotland (Approval Reference: PAC  
272 34/13) and the East of Scotland Research Ethics Committee (Approval  
273 Reference: 13/ES/0118).

274

## 275 **Results**

### 276 Demographic characteristics

277 There were 1,093,227 patients aged 16 to 100 years old identified as  
278 receiving at least one prescription for the 5 UTI-related antimicrobials  
279 between 1 January 2009 and 31 December 2012 (amoxicillin, ciprofloxacin,  
280 amoxicillin/clavulanic acid, nitrofurantoin and trimethoprim - see Table 1).  
281 Over two-thirds of the cohort were female, with almost a third of all  
282 patients being older than 65 years, and patients tended to be relatively  
283 socioeconomically deprived, with almost half of the population (44.2%)  
284 belonging to the two most deprived quintiles. Almost half of all patients  
285 (44.9%) were prescribed one type of UTI-related antimicrobial during the  
286 study period, while just over a third (36.8%) were prescribed two  
287 different antimicrobials and 18% had 3 or more different antimicrobials  
288 prescribed. When examining the UTI-specific antimicrobials (nitrofurantoin

289 and trimethoprim) only, there was also a higher proportion of women  
290 (615,027 - 81.8%) and patients aged older than 65 years (254,784 -  
291 33.9%) with 14.8% (161,787 patients) receiving both agents within the  
292 same year.

### 293 Long-term users and treatment failures

294 Prescribing patterns showed that 85,036 (7.8%) of patients were long-  
295 term users of antimicrobials (any antimicrobial); and there were 32,968  
296 long-term users (4.4%) of UTI-specific antimicrobials (defined as patients  
297 that had 6 or more prescriptions for the same antimicrobial in any 12-  
298 month period).

299 There were 195,184 (17.9%) patients classed as having a treatment  
300 failure (two different antimicrobials prescribed within a 60-day period).

301

302 For the UTI-specific antimicrobials, 11.2% of all patients (72,705)  
303 prescribed trimethoprim had a treatment failure, while 16.7% (38,625) of  
304 those prescribed nitrofurantoin had a treatment failure (Table 1).

305

### 306 E. coli bacteraemia

307 Of the total sample of 1,093,227 patients, 121,716 (11.1%) patients had  
308 a record present in ECOSS and so had an organism isolated, for any  
309 infection type. Of these, 36,482 (30.0%) patients had a urinary *E. coli*  
310 isolated, of whom 25,715 (70.5%) had an *E. coli* with resistance to any of  
311 the 5 UTI-related antimicrobials. There were 7,485 (0.7% of the total  
312 sample) patients identified as developing a blood borne *E. coli*  
313 bacteraemia following any UTI-related antimicrobial. Patients who were  
314 male, older, more deprived, classed as a long-term user or a treatment  
315 failure were more likely to have an *E. coli* bacteraemia reported. A  
316 slightly higher proportion of patients prescribed the UTI-specific  
317 antimicrobial nitrofurantoin, 2,858 (1.2%), reported a subsequent *E. coli*  
318 bacteraemia (Table 2).

319

320 Multivariable analysis showed that the stronger predictor for a positive  
321 blood culture for *E. coli* bacteraemia was increasing age, along with male  
322 gender (OR 1.26, 95% CI 1.20 to 1.33), greater deprivation, increasing  
323 age and co-morbidity, and increasing number of UTI specific

324 (trimethoprim or nitrofurantoin) prescriptions in the previous 6 months.  
 325 There was a 15% increase in the risk of *E. coli* bacteraemia for each  
 326 additional prescription of a UTI specific antimicrobial dispensed over the  
 327 previous 6-month period. Emergency hospital admission or admission to a  
 328 high dependency unit in the previous six months was also associated with  
 329 a greater likelihood of having a *E. coli* bacteraemia (Table 3).

330

### 331 Antimicrobial resistance

332 There were 7,485 patients who were recorded as having an *E. coli*  
 333 bacteraemia. Characteristics of patients with *E. coli* bacteraemia are  
 334 detailed in Supplementary Table 1. Resistance rates were high, with  
 335 71.2% patients had an *E. coli* that was resistant to at least one of the  
 336 antimicrobials examined. Resistance was greater for amoxicillin (68.2% of  
 337 those tested), followed by trimethoprim (44.9%), amoxicillin/clavulanic  
 338 acid (34.8%), ciprofloxacin (21.1%) and nitrofurantoin (9.8%).

339

340 Patients in the most deprived quintile, who were long-term users or  
 341 treatment failures had higher proportions of resistant *E. coli* bacteraemia.  
 342 There were 1,313 (80%) long-term users recorded as having a resistant  
 343 *E. coli* versus 69% of those who were not long-term users ( $p < 0.001$ );  
 344 while 1,765 (76%) of patients who reported a treatment failure had a  
 345 resistant *E. coli* compared to 69% of those with no treatment failures  
 346 ( $p < 0.01$ ). (Supplementary Table 1). Resistance rates were similar across  
 347 age groups, ranging from 66.2 to 71.6% with no significant difference.

348

349 A multivariable model for predictors of resistance in *E. coli* bacteraemia to  
 350 trimethoprim showed significant predictors were male gender, increasing  
 351 age, the highest level of deprivation, greater co-morbidity, prior exposure  
 352 to trimethoprim, a treatment failure with trimethoprim, a sensitive *E. coli*  
 353 bacteraemia in previous intervals and emergency admission (Table 4).

354 Increasing age and greater co-morbidity were by far the most significant  
 355 predictor, with a seven-fold likelihood of having a trimethoprim-resistant  
 356 *E. coli* bacteraemia for those aged 65 years old or older (OR 7.18, 95% CI  
 357 5.70 to 9.04), and five-fold for those with a Charlson Index of comorbidity  
 358 score of 3+ (OR 5.42, 95% CI 4.82 to 6.09).

359

360 A similar model for nitrofurantoin showed the number of prescriptions for  
361 nitrofurantoin in the previous 6 months (OR=1.31, 95% CI 1.10-1.56),  
362 and emergency admission (OR=6.09, 95% CI 2.69-13.79) were also  
363 associated with significantly increased risk of resistance to nitrofurantoin  
364 in *E. coli* bacteraemia.

365

#### 366 Mortality

367 Amongst the initial population receiving a UTI-related antibiotic male  
368 gender, increasing age, higher levels of deprivation, being a long-term  
369 user, having a treatment failure and having an *E. coli* bacteraemia all  
370 showed significantly higher proportions of mortality (Table 5).

371

372 In patients who had an *E. coli* bacteraemia tested against trimethoprim,  
373 mortality was higher in those that were female, were long-term users of  
374 trimethoprim and who were treatment failures (had a second antibiotic in  
375 a 60-day period). Mortality in these patients was increased as age  
376 increased and was significantly higher in patients with trimethoprim  
377 resistance (39.4% v 31.5%,  $p < 0.001$ , see Table 6).

378

379

380 **Discussion**

381

382 Main findings and comparison with other literature

383 This is one of the first studies in the UK to use a national linked patient  
384 level data set to investigate the population receiving antibiotics for the  
385 treatment of UTIs, and has demonstrated an association between primary  
386 care prescribing of antimicrobials for UTI and antimicrobial resistance in  
387 subsequent *E. coli* bacteraemia.

388 There were just over 15,000 *E. coli* bacteraemias in Scotland (Health  
389 Protection Scotland communication) over the period 2009-12 meaning  
390 that almost 50% of all cases came from the initial population within this  
391 study. This corresponds to the report by Bhattacharya et al who made  
392 similar estimates for the English population (43).

393 Our study confirms that most treatment for UTI occurs within the elderly  
394 female population, a demographic that is set to significantly increase by  
395 2030 with a 50% projected increase in the number of people aged over 75  
396 years (44). In our study, of the overall population receiving antibiotics  
397 almost 10% were on long-term antimicrobials, presumably as prophylaxis.  
398 7,485 (0.7%) patients initiated on a UTI-related antibiotic had a positive  
399 blood culture for *E. coli*, with 71% of this group reported as being  
400 resistant to at least one antibiotic. Prior antimicrobial use, along with prior  
401 treatment failure, male gender, higher deprivation and multi-morbidity,  
402 were associated with a greater likelihood of an *E. coli* bacteraemia with  
403 resistance reported in multivariate logistic regression analysis. Increasing  
404 age was associated with both a greater likelihood of being prescribed  
405 antibiotics, and higher resistance rates. Prior trimethoprim use within the  
406 last 12 months was associated with an increased odds for resistance of  
407 20%, with multiple courses showing increased association, which is in line  
408 with previous evidence (6). One-fifth of patients in this national dataset  
409 had treatment failures requiring a second different antibiotic to be  
410 prescribed within 60 days, with both of the UTI-specific antimicrobials  
411 trimethoprim and nitrofurantoin having significant failure rates, of 10%  
412 and 16% respectively. Mortality was significantly greater in those with *E.*  
413 *coli* bacteraemia (vs non-bacteraemic [35.3% vs 6.6%,  $p < 0.001$ ), and  
414 those with trimethoprim-resistant *E. coli*. Prior treatment failures were  
415 also associated with increased likelihood of mortality, although the

416 relationship was weaker in the case of trimethoprim. Our population with  
417 an *E. coli* bacteraemia had just over 35% all-cause mortality during the  
418 three-year follow-up and whilst this cannot be directly compared to the  
419 30-day figure in the Bhattacharya paper it is also high. We were unable  
420 to examine attributable mortality to *E. coli* or to estimate the excess  
421 mortality in this patient group, as we looked into all-cause mortality within  
422 the study period modelled at risk over the next 6-months, as opposed to  
423 30-day mortality.

424

425 Our findings are consistent with those seen elsewhere. Lishman et al  
426 examined the relationship between primary care prescribing for urinary  
427 tract infections and resistance in *E. coli* bacteraemia in adult women in  
428 England. Similar to our study, they demonstrated that primary care  
429 antibiotic use for UTIs was linked to the development of an UTI-related  
430 bacteraemia, with higher rates of resistance associated with prior  
431 antimicrobial use (45). Bou-Anton S et al examined the incidence, risk  
432 factors and antimicrobial susceptibility profile on *E. coli* bacteraemia in  
433 England over a two-year period. Increasing age and female gender were  
434 both associated with an increased likelihood of *E. coli* bacteraemia, with a  
435 large proportion of cases (over 40%) found to be originating from urinary  
436 tract infections (34), supporting our findings. Results from Costelloe et al  
437 suggest that primary care prescription of antibiotics was associated with  
438 trimethoprim resistance up to 12 months after exposure in patients  
439 admitted to hospital with urinary tract infections (unadjusted OR 3.58)  
440 (18), and another French study also found that resistance to  
441 amoxicillin/clavulanic acid was four times higher with exposure to it in the  
442 month before, in patients hospitalised with urinary tract infection (46).  
443 However, the majority of these studies are relatively small, and Lishman  
444 included only women, through an ecological, population, approach. We  
445 included nationwide Scottish data for all adults from 16 years old and both  
446 genders, showing that male gender was a significant factor for resistance.  
447 Also, the availability of patient-level data makes it possible to draw  
448 patient-level conclusions, avoiding ecological bias. O Blandy et al also  
449 demonstrated that presence of antimicrobial resistance, increasing age  
450 and comorbidities as main contributing factors for increased mortality

451 (35), similar to our results, with the majority of cases being of  
452 community-onset, highlighting the need for improving antimicrobial use  
453 and reduce the burden of *E. coli* infections in the community. Similarly,  
454 increasing co-morbidity and an adverse antimicrobial resistance profile  
455 have been described as risk factors for poorer outcomes in studies looking  
456 more widely at Gram-negative bacteraemias (47).

457

#### 458 Implications for policy and practice

459 There were over 1 million adult patients receiving at least one prescription  
460 for the 5 UTI-related antimicrobials within the study period, which means  
461 that 24.9% of the Scottish population (based on mid-2011 estimates of  
462 numbers aged 16-95+) (48) were exposed to these antimicrobials in this  
463 time frame. Primary care antimicrobial exposure was associated with  
464 increased occurrence of resistance in *E. coli* bloodstream infections  
465 (particularly for trimethoprim or nitrofurantoin), which in turn was  
466 associated with increased mortality. Our findings reinforce national policy  
467 efforts trying to reduce total antimicrobial use in the community in  
468 Scotland (49), and particularly antibiotic use in urinary tract infections,  
469 similar to the English Quality Premium initiative to reduce Gram-negative  
470 bloodstream infections (50). Improving surveillance of resistance has also  
471 been described as a key action point for both UK Government (51), and  
472 internationally (52). This study has helped demonstrate the value of  
473 enhanced surveillance systems, such as ECOSSE, and routine data linkage  
474 for monitoring resistance trends nationally, understanding precipitating  
475 factors (including primary care antibiotic use), and examining adverse  
476 outcomes, such as mortality.

477 Both UTI-related antimicrobials had significant failure rates. This will have  
478 considerable resource and patient outcome implications, including longer  
479 hospital stays, increased mortality, and increased cost related to  
480 suboptimal antimicrobial use (53-55). Furthermore, this has implications  
481 for the recommendations for empiric therapy of UTIs. The majority of  
482 prescribing is done without knowledge of the infecting organism or its  
483 sensitivities as, although laboratory reporting can influence GPs'  
484 prescribing of antibiotics for UTIs and other infections (56), current

485 guidelines recommend against routine sampling for uncomplicated urinary  
486 tract infections in the community (30).

487

#### 488 **Conclusion**

489 Increasing age, increasing co-morbidity, lower socioeconomic status, and  
490 prior community antibiotic exposure were significantly associated with a  
491 resistant *E. coli* bacteraemia, which leads to increased mortality, with  
492 older age being the strongest predictor. This highlights the need for  
493 prudent primary care antibiotic use, particularly in the frail, multi-morbid  
494 population.

#### 495 **Strengths & Limitations**

496 The major strength of this study is that we report data on the burden of  
497 antimicrobial resistance in *E. coli* bacteraemia in Scotland at national  
498 level, and the association with prior antimicrobial use for UTI in the  
499 community among other factors, and mortality at the patient-level, which  
500 is unique. Completeness is a common concern with using routinely  
501 collected data. However, the data presented in this study derived from the  
502 ECOSS data set, which entails a surveillance and reporting system across  
503 Scotland. Reporting of *E. coli* bacteraemia to ECOSS remains mandatory,  
504 so missing data is unlikely.

505

506 Patients were identified as having an antimicrobial prescription of any of  
507 the five specified antimicrobials at any point during the study period.  
508 Patients having other or no antimicrobials but still an *E. coli* bacteraemia  
509 could have been missed. The five antimicrobials examined however  
510 represent up to 80% antimicrobial use for UTI in the community, and are  
511 all relevant for the treatment of Gram-negative bacteraemia (23).  
512 However, we did not have the indication for the prescription as this is not  
513 available within the PIS database, which may introduce selection bias, and  
514 so assumed that UTI was the reason for the prescription. This is more  
515 valid for trimethoprim and nitrofurantoin but less so for the other  
516 antimicrobials especially amoxicillin and so we presented data grouped in  
517 different ways.



518

519 We defined treatment failure as needing a different, second, antimicrobial  
520 within 60 days (which is longer than some other studies (23)), as we  
521 assumed this was used to treat the same infection. We acknowledge this  
522 is a strong assumption, however we decided to use a 60-day window to  
523 identify treatment failure as not all PIS data has the correct dispensed  
524 date attached as it may default to the date the pharmacist was paid which  
525 is commonly the end of the month. We therefore chose a 60-day window  
526 to allow for this limitation with the data. There is also the issue that  
527 treatment failure is actually empirical treatment of an existing  
528 undiagnosed resistant *E. coli* which is only detected at a later stage, but  
529 we were unable to explore the data to examine this further and it also  
530 reflects clinical practice.

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Table 1: Characteristics of patients with at least one UTI-related antimicrobial prescribed

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Antimicrobial Group	Amoxicillin, ciprofloxacin, amoxicillin/clavulanic acid, nitrofurantoin or trimethoprim	Nitrofurantoin or trimethoprim
<b>Any</b>	<b>1093227 (100.0%)</b>	<b>752225 (68.8%)</b>
<b>Gender</b>		
Male	310974 (28.4%)	137198 (18.2%)
Female	782253 (71.6%)	615027 (81.8%)
<b>Age group</b>		
16-34	268896 (24.6%)	184476 (24.5%)
35-49	242173 (22.2%)	152857 (20.3%)
50-64	247287 (22.6%)	160108 (21.3%)
>65	334871 (30.6%)	254784 (33.9%)
<b>SIMD quintile (Q1= Most Deprived, Q5=Least Deprived)</b>		
Q1	247671 (22.8%)	169301 (22.7%)
Q2	232451 (21.4%)	159286 (21.4%)
Q3	215015 (19.8%)	147401 (19.8%)
Q4	198832 (18.3%)	138783 (18.6%)
Q5	190210 (17.5%)	131272 (17.6%)
<b>Long-term users of any antimicrobials</b>		
Yes	85036 (7.8%)	32968 (4.4%)
No	1008191 (92.2%)	719257 (95.6%)
<b>Treatment failures</b>		
	195184 (17.9%)	Trimethoprim: 72,705 (11.2%) Nitrofurantoin: 38,625 (16.7%)
<b>Number of antimicrobials received per patient</b>		
1	491315 (44.9%)	590438 (54.0%)
2	402731 (36.8%)	161787 (14.8%)
3	144671 (13.2%)	
4	44179 (4.0%)	
5	10331 (0.9%)	

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537**Table 2: Characteristics of UTI-related antimicrobial users who did / did not have an *E. coli* positive blood culture during study period 2009-2012**

	All	Blood culture positive for <i>E. coli</i>	
		No	Yes
N	1093227	1085742	7485 (0.7%)
<b>Gender</b>			
Female	782253 (71.6%)	777775 (99.4%)	4478 (0.6%)
Male	310974 (28.4%)	307967 (99.0%)	3007 (1.0%)
<b>Age category at sample</b>			
16-34	268896 (24.6%)	268610 (99.9%)	286 (0.1%)
35-49	242173 (22.2%)	241693 (99.8%)	480 (0.2%)
50-64	247287 (22.6%)	246045 (99.5%)	1242 (0.5%)
>65	334871 (30.6%)	329394 (98.4%)	5477 (1.6%)
<b>Mean age at sample</b>			
Mean(SD)	51.8 (20.6)	51.6 (20.5)	70.9 (15.6)
<b>SIMD quintile (Q1= Most Deprived, Q5=Least Deprived)</b>			
Q1	247671 (22.8%)	245735 (99.2%)	1936 (0.8%)
Q2	232451 (21.4%)	230731 (99.3%)	1720 (0.7%)
Q3	215015 (19.8%)	213604 (99.3%)	1411 (0.7%)
Q4	198832 (18.3%)	197581 (99.4%)	1251 (0.6%)
Q5	190210 (17.5%)	189084 (99.4%)	1126 (0.6%)
<b>Long-term users of any antimicrobials</b>			
No	1008191 (92.2%)	1002338 (99.4%)	5853 (0.6%)
Yes	85036 (7.8%)	83404 (98.1%)	1632 (1.9%)
<b>Treatment failures</b>			
No	898043 (82.1%)	892839 (99.4%)	5204 (0.6%)
Yes	195184 (17.9%)	192903 (98.8%)	2281 (1.2%)
<b>Patients receiving sentinel antimicrobials</b>			
Amoxicillin	449099 (41.1%)	445529 (99.2%)	3570 (0.8%)
Ciprofloxacin	260266 (23.8%)	257583 (99.0%)	2683 (1.0%)
Amoxicillin/clavulanic acid	335784 (30.7%)	333095 (99.2%)	2689 (0.8%)
Nitrofurantoin	244612 (22.4%)	241754 (98.8%)	2858 (1.2%)
Trimethoprim	669400 (61.2%)	664343 (99.2%)	5057 (0.8%)
Excl. Amoxicillin <sup>a</sup>	1092151 (99.9%)	1084667 (99.3%)	7484 (0.7%)
UTI-Specific <sup>b</sup>	752225 (68.8%)	746421 (99.2%)	5804 (0.8%)

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542<sup>a</sup> This includes patients receiving any of ciprofloxacin, amoxicillin/clavulanic acid, nitrofurantoin, trimethoprim.<sup>b</sup> UTI-specific includes either trimethoprim or nitrofurantoin.

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**Table 3: Multivariable logistic model for predictors for testing positive for an *E. coli* bacteraemia in relation to UTI-specific drug**

	OR	95% CI
Gender (Male)	1.26	(1.20, 1.33)
SIMD2	0.87	(0.81, 0.93)
SIMD3	0.82	(0.76, 0.88)
SIMD4	0.77	(0.71, 0.83)
SIMD5	0.78	(0.72, 0.85)
Age (35-49)	1.61	(1.35, 1.91)
Age (50-64)	3.41	(2.93, 3.97)
Age (> 65)	7.67	(6.64, 8.85)
Charl. Index: 1	2.49	(2.27, 2.73)
Charl. Index: 2	3.64	(3.32, 3.99)
Charl. Index: 3+	5.74	(5.30, 6.21)
Number of UTI spec. prescriptions in past 6 months	1.15	(1.13, 1.18)
Emergency admissions in prior interval (Yes)	1.24	(1.16, 1.32)
Routine admissions in prior interval (Yes)	0.93	(0.87, 0.99)
High dependency admissions in prior interval (Yes)	1.14	(1.01, 1.27)

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**Table 4: Multivariable logistic model for predictors of trimethoprim resistance in *E. coli* bacteraemia isolates**

	OR	95% CI
Gender (Male)	1.29	(1.19, 1.40)
SIMD2	0.83	(0.74, 0.94)
SIMD3	0.77	(0.68, 0.87)
SIMD4	0.74	(0.65, 0.84)
SIMD5	0.86	(0.75, 0.97)
Age (35-49)	1.58	(1.20, 2.09)
Age (50-64)	3.21	(2.52, 4.10)
Age (> 65)	7.18	(5.70, 9.04)
Charl. Index: 1	2.65	(2.31, 3.03)
Charl. Index: 2	3.46	(3.01, 3.97)
Charl. Index: 3+	5.42	(4.82, 6.09)
Number of trimethoprim prescriptions in last 6 months (per increase of one)	1.14	(1.04, 1.24)
Prescribed trimethoprim in previous intervals (Yes)	1.24	(1.20, 1.28)
Treatment failure of trimethoprim (Yes)	2.03	(1.81, 2.28)
Had sensitive blood test in previous intervals (Yes)	3.21	(2.44, 4.22)
Emergency admissions in prior interval (Yes)	1.45	(1.31, 1.60)

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**Table 5: Characteristics of all patients prescribed any UTI-related antimicrobial who did/did not die**

	All	Died		P-value
		No	Yes	
N	1093227	1018822	74405 (6.8%)	
<b>Gender</b>				
Female	782253 (71.6%)	736686 (94.2%)	45567 (5.8%)	p<0.001
Male	310974 (28.4%)	282136 (90.7%)	28838 (9.3%)	
<b>Age category at first antimicrobial use</b>				
16-34	268896 (24.6%)	268286 (99.8%)	610 (0.2%)	p<0.001
35-49	242173 (22.2%)	239897 (99.1%)	2276 (0.9%)	
50-64	247287 (22.6%)	238739 (96.5%)	8548 (3.5%)	
>65	334871 (30.6%)	271900 (81.2%)	62971 (18.8%)	
<b>Age at first antimicrobial use</b>				
Mean (SD)	51.8 (20.6)	49.9 (19.8)	77.0 (12.8)	p<0.001
<b>SIMD quintile (Q1= Most Deprived, Q5=Least Deprived)</b>				
Q1	247671 (22.8%)	230413 (93.0%)	17258 (7.0%)	p<0.001
Q2	232451 (21.4%)	215630 (92.8%)	16821 (7.2%)	
Q3	215015 (19.8%)	200047 (93.0%)	14968 (7.0%)	
Q4	198832 (18.3%)	185222 (93.2%)	13610 (6.8%)	
Q5	190210 (17.5%)	178846 (94.0%)	11364 (6.0%)	
<b>Long-term users of any antimicrobials</b>				
No	1008191 (92.2%)	945356 (93.8%)	62835 (6.2%)	p<0.001
Yes	85036 (7.8%)	73466 (86.4%)	11570 (13.6%)	
<b>At least one treatment failure of any antimicrobials (different antimicrobial within 60 days)</b>				
No	898043 (82.1%)	841648 (93.7%)	56395 (6.3%)	p<0.001
Yes	195184 (17.9%)	177174 (90.8%)	18010 (9.2%)	
<b>E. coli bacteraemia</b>				
No	1085742 (99.3%)	1013978 (93.4%)	71764 (6.6%)	p<0.001
Yes	7485 (0.7%)	4844 (64.7%)	2641 (35.3%)	

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**Table 6: Characteristics of patients with *E. coli* bacteraemia tested for trimethoprim resistance who did/did not die**

<i>E. coli</i> blood test for trimethoprim resistance		Died		P-value
		No	Yes	
N	6401	4157 (64.9%)	2244 (35.1%)	
Ever received trimethoprim				
No	2071 (32.4%)	1261 (60.9%)	810 (39.1%)	p<0.001
Yes	4330 (67.6%)	2896 (66.9%)	1434 (33.1%)	
Gender				
Female	3807 (59.5%)	2603 (68.4%)	1204 (31.6%)	p<0.001
Male	2594 (40.5%)	1554 (59.9%)	1040 (40.1%)	
Age category at first trimethoprim use				
16-34	233 (3.6%)	217 (93.1%)	16 (6.9%)	p<0.001
35-49	416 (6.5%)	332 (79.8%)	84 (20.2%)	
50-64	1052 (16.4%)	765 (72.7%)	287 (27.3%)	
>65	4700 (73.4%)	2843 (60.5%)	1857 (39.5%)	
Age at first trimethoprim use				
Mean (SD)	70.9 (15.6)	71.0 (16.1)	72.8 (14.2)]	p=0.332
SIMD quintile (Q1= Most Deprived, Q5=Least Deprived)				
Q1	1625 (25.5%)	1084 (66.7%)	541 (33.3%)	p=0.071
Q2	1483 (23.3%)	963 (64.9%)	520 (35.1%)	
Q3	1215 (19.1%)	796 (65.5%)	419 (34.5%)	
Q4	1052 (16.5%)	678 (64.4%)	374 (35.6%)	
Q5	989 (15.5%)	605 (61.2%)	384 (38.8%)	
Long-term users of trimethoprim				
No	6136 (95.9%)	3968 (64.7%)	2168 (35.3%)	p=0.030
Yes	265 (4.1%)	189 (71.3%)	76 (28.7%)	
At least one treatment failure of trimethoprim (different antimicrobial within 60 days); includes prescriptions dispensed before 01/11/2012				
No	5698 (89.0%)	3678 (64.5%)	2020 (35.5%)	p=0.065
Yes	703 (11.0%)	479 (68.1%)	224 (31.9%)	
Resistant				
No	3523 (55.0%)	2412 (68.5%)	1111 (31.5%)	p<0.001
Yes	2878 (45.0%)	1745 (60.6%)	1133 (39.4%)	

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555 **List of abbreviations**

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AMR	Antimicrobial resistance
CHI	community health index number
CI	Confidence interval
CLSI	Clinical and Laboratory Standard Institute
DDDs	Defined Daily Doses
<i>E. coli</i>	<i>Escherichia coli</i>
ECOSS	Electronic Communication of Surveillance in Scotland (microbiology dataset)
eDRIS	electronic Data Research and Innovation Service
ICD-10	International Classification of Diseases
NHS	National Health System
NRS	National Registrar Scotland (mortality dataset)
NSS	NHS National Services Scotland
OR	Odds ratio
PAC	Privacy Advisory Committee
PIS	Prescribing Information System (prescribing dataset)
SMR01	NSS General/Acute Inpatient and Day Case (hospital admission dataset)
UTI	Urinary tract infection(s)

557



558 **Declarations**

559

560 Ethics approval and consent to participate

561 The project was submitted to and approved by the Privacy Advisory  
562 Committee (PAC) of National Services Scotland (Approval Reference: PAC  
563 34/13) and the East of Scotland Research Ethics Committee (Approval  
564 Reference: 13/ES/0118).

565 The study was an observational study performing secondary data analysis  
566 of routinely collected health data. No experiments or interventions on  
567 humans were performed as part of the study. The research team did not  
568 collect any human samples. The study analyses anonymised information  
569 from blood cultures collected as part of routine healthcare practice.

570 Analysis of anonymised information was done as per UK Good Clinical  
571 Practice guidelines, in a secure, password-protected Safe Haven part of  
572 Information Services Division (eDRIS) at Public Health Scotland, under  
573 NHS Scotland Research Ethics approval as detailed above.

574 Consent for publication

575 Not applicable

576 Availability of data and materials

577 The data that support the findings of this study are available to named  
578 researchers from the Electronic Data Research and Innovation Service  
579 (eDRIS) at Public Health Scotland, but restrictions apply to the availability  
580 of these data, which were used under license for the current study, and so  
581 are not publicly available.

582 Competing interests

583 The authors declare they have no conflicts of interest.

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588 Authors' contributions

589 CMC, AL and WM conceived this study. AB, SJEB and AMcC cleaned,  
590 analysed, and verified the underlying data. All authors contributed to the  
591 study design. CMC and VHS led the writing of the paper. All authors  
592 contributed to drafting the paper and revised the manuscript for important  
593 intellectual content. AB, SJEB and AMcC had full access to all the data in  
594 the study and all authors had final responsibility for the decision to submit  
595 for publication.

596

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