Geometry assessment for a sloped type of wave energy converters

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Abstract 15

- 16 Oscillatory wave energy converters of the sloped type may allow absorbing power from ocean
- waves efficiently if a valid optimal design is used. In earlier studies, the optimized geometry for 17
- the CECO device was defined by implementing a simplified frequency-domain model. In this 18
- paper, that geometry is evaluated against the former one by taking into consideration a more 19
- realistic modelling approach and assessment scenario. The two geometries were benchmarked 20
- through a time-domain model, which allows taking into account realistic sea states and 21
- the use of end-stops to limit the amplitude of CECO motions. It was concluded that the 22
- optimized geometry allows extra energy production for most of the irregular sea states 23
- evaluated (45% more annual energy production). Performance indices were also used 24
- to compare the two geometries and it was concluded that the optimized geometry was 25
- particularly advantageous for the more energetic sea states. Overall, this study clearly 26
- shows that the choice of the generator rated power and end-stops span length are key 27
- aspects in determining realistically the annual energy production of sloped motion wave 28
- energy converters. 29

Keywords: ocean energy; WEC; efficiency; geometry optimization; oscillating body; 30 numerical modelling. 31

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32 1. Introduction

High production of electricity from renewable energy resources is vital for allowing 33 prosperous progress and sustainable growth. Due to land restrictions, conventional 34 renewable energy resources, such as solar, onshore wind or freshwater hydropower 35 could only satisfy a minimum part of the growing global energy demand. In contrast, 36 marine renewable energy resources, such as offshore wind, wave and tidal energy, have 37 an enormous global potential that could theoretically provide a reasonable share of 38 usable energy to satisfy the world energy demand in future years. Different from tidal 39 energy, which is limited to few coastal areas, offshore wind (already at a good stage of 40 industrial development) and wave energy are the main resources that would allow 41 increasing the differentiation of renewable energy production and energy self-42 sufficiency at coastal regions. Despite the enormous wave energy resource worldwide 43 available [1], the total electricity produced from ocean waves, at present, is 44 insignificant. The lack of wave energy industrial advancement is for the most due to a 45 low technology readiness level leading to a high Levelized Cost of Energy (LCoE). 46 Therefore, to progress a WEC technology, it is essential to optimize and validate the 47 WEC design aiming at improving reliability and overall system performances for 48 allowing viable LCoE plus reducing financial risks. 49

Starting from the last century numerous wave energy converters (WECs) concepts have 50 been progressively proposed and developed [2]. The WEC technologies can be 51 characterized in different ways, e.g. based on the type of power-take-off (PTO) system, 52 location and principle of operation. Fig. 1 groups some examples of major WECs projects 53 and categorizes these into two main clusters, Earth-reacting and self-reacting, and into 54 three more subgroups, fixed structures [2-10], floating [11-20] and submerged [21, 55 56 22]. Fixed structures may be more suitable to be used for close-to-shore, based installations, for instance, installations in/or nearby harbours areas. Floating devices 57 58 are appropriate for offshore locations where suitable water depths exist allowing mooring solutions to be cost-effective compared to fixed arrangements. Both floating 59 and fixed devices can be completely submerged for reducing visual impacts and 60 eventually for reducing peak structural loads during extreme storms. Compared to 61 other options, conventional fixed structures might be more reliable and viable. As a 62 matter of facts, fixed offshore wind turbines are already highly deployed compared to 63 64 floating wind turbines, which instead are still at an initial stage of commercial progress. Similarly, it can be argued that fixed devices, for instance, the Wave Star [4] or CECO 65

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66 [23] could be more viable, in the short-term, compared to other floating WECs.

Apart from structural aspects, the economic viability of WECs strictly depends on the 67 PTO system employed. Various PTO types of systems exist, the most common ones are 68 based on air turbines, hydraulic, direct electrical drive and mechanical systems [24]. 69 For the oscillating type of WECs, direct drive or mechanical systems are the most 70 suitable and reliable types, for instance, these are implemented respectively in the 71 Power Buoy [15] and the CorPower [25] WECs. For simplicity at an initial stage of 72 development, it may be opportune to consider the PTO as a linear mechanism 73 assuming constant PTO damping coefficients C_{pto} that may be optimized based on wave 74 climate conditions and WEC design for maximizing power absorption [26]. 75



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77 Fig. 1. Types of wave energy converters and examples.

In between the different types of WECs, a sloped motion type of oscillatory fixed WEC, 78 as the CECO [3], may present advantages of higher system efficiency and minimal 79 design characteristics. This type of WEC if correctly designed may allow good system 80 81 response for maximizing power absorption and may not require extra expensive 82 components for obtaining a reactive force needed for PTO operation. To authors' best knowledge any sloped motion fixed WEC was developed so far. Only a floating version 83 of a sloped WEC was proposed by Salter [27]. In previous work, the CECO was 84 developed by taking in consideration various factors such oscillating slope angle [28], 85 depth of operation [29], wave climate [30] and, most importantly, the device geometry 86 [26]. In fact, by implementing a frequency-domain based methodology, the floaters' 87

geometry was optimized and initial results indicated that a significant increase in power production is expected [26]. However to validate this possibility further investigations are necessary, which would involve carrying out, experimental and, more advanced, time-domain based numerical studies.

For analysing the design of a sloped motion WEC including the PTO system, it is 92 essential to use dynamic-based modelling methodologies. There is a vast range of 93 94 different numerical modelling techniques and approaches [31]. These methods vary depending on the type of mathematical model involved, difficulty in implementation, 95 computational costs and scope of use. In general terms, numerical modelling methods 96 can be mainly subdivided in potential flow models (PFM), such as all those based on 97 boundary element methods (BEM), and computation fluid dynamics (CFD) methods, 98 based on Reynolds averaged Navier-Stokes (RANS) equations. PFMs are often applied 99 by implementing hydrodynamic linearization assumptions and neglecting water 100 viscosity. PFM involving only frequency-domain results do not capture transient 101 dynamic effects thus often a time-domain approach based on Cummins equations [32] 102 can be convenient. The main advantage of PFM is that they are computationally 103 efficient; therefore, allow an analysis of a wide number of designs and environmental 104 105 cases within feasible time. For example, during design optimization studies it may be required to analyse about thousands different WEC's geometries, which need to be 106 assessed for several most recurrent sea states. On the other hand, PFM has some 107 disadvantages such as low fidelity for large motions under extreme sea states due to 108 linear assumptions. When PFM cannot be used it may be appropriate to employ CFD 109 methods. While PFM is usually adopted during the initial stages of WECs development, 110 CFD methods, that require conspicuous computational time, are better suitable during 111 later stages to assess accurately particular factors such as extreme wave loads and 112 power absorption estimates for a small number of specific sea states for which large 113 WEC motions are expected and viscous forces are relevant. 114

Given the above reasoning, the present paper aims to assess the new geometry of CECO found by a frequency-domain methodology by implementing time-domain calculations based on PFM, which allows simulating the device more realistically also taking into account major dynamic effects and end-stops components that previously were neglected. The numerical analysis was carried out using an in-house developed Matlab code combined with the commercial software Ansys[©] Aqwa [33]. The new geometry is

compared to the former one in terms of the dynamic response, power absorption 121 performances relative to most important sea states and annual energy production. 122 Given this scope, the paper, at first, covers a brief description of the CECO technology 123 124 proposed (Sec. 2). An overview of initial proof-of-concept and experimental testing work is later briefly described (Sec. 3). Successively, the frequency and the time-125 126 domain numerical models for optimizing and analysing CECO are explained (Sec. 4) and details of CECO versions analysed are provided. Finally, the main results and 127 128 general aspects are covered (Sec. 5) and the main conclusions are drawn (Sec. 6).

129 2. The CECO technology

CECO is an oscillatory type of WEC that, differently from usual heaving or pitching 130 devices, oscillates along an inclined direction of motion [3, 23, 34]. The CECO device 131 consists of a fixed central support structure, Fig. 2 (a). Inside the support structure, the 132 electric generator is allocated. The generator is actuated by the motion of a sliding 133 frame, which rigidly connects the two lateral mobile modules (LMMs). The inclined 134 direction allows for the absorption of the wave energy from a combination of heave and 135 surge. By decreasing the angle of inclination (Fig. 2 b) the natural response of the 136 device increases. The translational motion is converted into rotation with a rack-pinion 137 system [23], which is composed of a generator and rack elements located, as for Fig. 2 138 (a). The LMMs, together with the connecting frame, translates between upper and 139 lower end-stops (Fig. 2 a), which limit the excursion of the sliding frame. 140



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142 Fig. 2. (a) CECO main components, (b) CECO working principle.

The CECO design was developed over recent years by investigating the most significant parameters and by improving the geometry of the LMMs. The effects of power-take-off (PTO) damping values [35] and sliding angles [28] were investigated in separate occasions. In particular, it was found that the sliding angle might be adjusted to

improve significantly the performances given a specific wave climate. At Portuguese 147 148 offshore locations, it was found that optimal sliding angles should be in the range of 30-45° [28]. Recently, the geometry of LMMs was optimized by investigating various 149 possible shapes [26]. These geometries were defined and systematically assessed with 150 a model based on a frequency-domain approach. A slender type of LMMs (Fig. 3 a) 151 152 showed to perform significantly better than the former half-cylinder shaped LMMs (Fig. 3 b). The optimal choice of mass, cross-sectional area (depending on geometry 153 and mass) and the sliding angle allows tuning the device for a recurrent sea state 154 aiming to resonance condition, thus increasing power absorption. 155

156 **3. Proof-of-concept and numerical model validation**

To validate the CECO concept and the PFM approach applied to this kind of WEC, a 157 series of experimental campaigns were carried out. The main objective concerned of 158 preliminary validate calculations and confirm, at model scale, that the CECO device 159 160 would suit typical wave climates relative to the west coast of the Iberian Peninsula, 161 which have consistent energy densities within the range of wave periods of 10 to 15 s and wave heights of 1 to 5 m. The experimental work was performed in the ocean basin 162 163 of the Faculty of Engineering of the University of Porto (28.0 m long, 12.0m wide and 1.2 m deep) using mono-directional, regular and irregular, sea states. Experimental 164 165 investigation of two simplified CECO model-scale devices was carried out. The former geometry was evaluated by constructing a model at a scale of $\lambda = 1:20$, Fig. 3. 166 167 Successively, a second CECO model was defined, in particular, by varying the LMMs shape [26]. The optimized LMMs, that are shown in Fig. 3 (b), were later tested at $\lambda =$ 168 169 1: 25. As anticipated, the firsts LMMs had a shape of a half-cylinder and the optimized LMMs are of a slender shape. In both circumstances, a frame (Fig. 3 (a), side view), 170 was connecting the two LMMs and driving a simplified PTO, which consisted of a rack-171 pinion system with an electric generator. For varying the PTO damping values, a circuit 172 was implemented that allowed to apply different electric resistances. Besides changing 173 PTO damping values also various PTO inclinations (sliding angle) were investigated. 174

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Fig. 3. CECO physical models, where (a) has the initial LMMs, (b) the optimized LMMs and (c) is theCECO model setup in water.

Both the LMMs motions and the power output were measured, and following Froude scaling laws, converted to full-scale quantities. The LMMs motion was monitored through the Qualysis[®] motion capture system by installing markers on the models, as shown in Fig. 3 (c). Through direct and indirect analysis methods, the PTO forces and the power absorbed can be estimated. In all cases, before experiments in water, the electric generator was calibrated through weight drop tests. For characterizing different PTO loads, a range of resistances between 10 to 100 Ω was assessed.

The experimental results proved the functioning of the concept and, as well, its potential in terms of performances. Regular and irregular sea states test results confirmed high-efficiency values of the CECO in absorbing wave power for both geometries. With sea states of T_p in the range 8 to 12 s and H_s of 1 to 3 m the CECO efficiency η (*mean device kinetic power/mean sea state power*) can be between 0.15 up to 0.55 [36] when suitable C_{pto} values are used.

The data acquired in early experimental investigations allowed proving the CECO concept and to do an initial numerical model validation. On the other hand, due to the experimental scale used, empirical results alone may not allow comparing the two geometries assessed with accuracy. Thus, for the scope of the study, a numerical investigation is more appropriate.

196 4. Numerical modelling

- Numerical modelling of WEC comprehends structure-independent wave resource
 modelling, frequency-domain and time-domain models, which instead relate to the
 fluid-structure interaction hydrodynamics problem.
- 200 *4.2.Wave resource modelling*

A comparative analysis for the former and optimized LMM geometry of CECO was 201 202 conducted against the energetic wave conditions of the pilot zone of San Pedro de Moel (Portugal), which spans a total surface of 400 km², with water depths ranging from 20 203 to 90 m. The wave conditions of the region were characterised for an 11-year horizon 204 (from 2005 to 2015) by means of the spectral wave model SWAN [37, 38]. For this 205 206 purpose, the offshore wave conditions were propagated towards the Portuguese coast. The aforementioned offshore wave conditions were acquired from the SIMAR-44 207 hindcast data sets (Spanish State Port Authority), which are obtained through 208 numerical modelling by coupling both a high-resolution atmospheric model REMO 209 [39] and the spectral wave model WAM [40]. For further details on the implementation 210 and validation of the spectral wave model, the readers can refer to [30] and [29]. Then 211 the computed nearshore wave conditions were used to construct the omnidirectional 212 wave energy matrix for a specific location within the pilot zone (Fig. 4), with the sea 213 states characterised in terms of significant wave height, H_s, peak wave period, T_p, the 214 annual number of hours of occurrence, hi, and omnidirectional wave energy, Ewi, Fig. 215 4. The energy resource is located for the most within the H_s range of 1-5 m and T_p of 4 216 to 18 s. As can be seen in Fig. 4, sea states with wave heights above 7.5 m are rare (only 217 218 1 hour of occurrence per year) thus for the scope of the study other sea states with higher H_s were not considered. 219



Fig. 4. Wave energy resource matrix for São Pedro de Moel, Portugal (39° 49' 12" N, 9° 03' 36" W). Numbers represent
hours of occurrence.

223 4.3.Frequency-domain model of the CECO device

Despite the simplifying linear assumptions, frequency-domain modelling of the CECO device is highly desirable for the initial assessment of WEC performance. However, since most of the available numerical tools for the computation of hydrodynamic coefficients and motions only provide direct output relative to the classical ship's six rigid-body degrees of freedom, the prediction of the hydrodynamic behaviour for inclined degrees of freedom involves further challenges.

Sarpkaya [41] provides expressions for the computation of hydrodynamic coefficients 230 231 derived by Sedov [42] for 2D floating structures rotated with respect to a Cartesianreference system. Based on those expressions, it is possible to estimate added mass, 232 damping and hydrostatic coefficients for the inclined degree of freedom using the 233 results from the surge and heave modes. Then, the equation of motion of the inclined 234 mode can be written as a single-degree-of-freedom equation that can be easily solved. 235 It should be noted that depending on the underwater shape of the floater, Sedov's 236 expressions could be a good approximation for 3D geometries. An alternative approach 237 is to use a hydrodynamic code able to deal with generalized modes, for instance, 238 Wamit[®] [43]. In this last code, the inclined direction can be defined as an additional 239 (generalized) mode so that the solution of the 3D radiation-diffraction hydrodynamic 240 problem can be directly solved. 241

The assessment of the former shape of CECO's LMMs and the search of the optimized 242 geometry has been performed with the aid of Wamit[®] (further details on the adopted 243 frequency-domain model and the optimization process are described in [26]). The 244 effects of inclination, water depth and submergence of LMMs have been numerically 245 investigated using both the former and the optimized CECO's LMMs geometries. The 246 247 natural oscillation period is affected by the inclination angle of the motion path. CECO inclinations between 15° and 60° allow achieving natural periods between 20 s and 5 248 s, respectively, i.e. within the range of typical peak periods of most worldwide sea 249 states. Since the selected target sea state is characterized by 1.5 m of significant wave 250 height and 10 s of the peak period (Fig. 4), the PTO inclination was set to 30°. In this 251way, the CECO's resonance is achieved during most of its annual operation. 252

The RAOs of the former and optimized geometries for the 30° inclination are shown in Fig. 5 for several values of linear (external) PTO damping, where viscous effects and other losses have not been accounted for. For the 30° inclination, the natural periods of both geometries are quite close; however, the differences between the WEC designs start to appear in the amplitudes of motions, especially around the WEC's resonance periods.





Following the derivations presented by Falnes [44], the optimum PTO damping and the absorbed power can be easily obtained for regular wave conditions. However, for stochastic seas, both the optimum PTO damping and the associated maximum absorbed power cannot be computed from analytical expressions. However, Rodríguez et al. [26] have proposed a definition for the maximum absorbed power in stochastic

seas based on the superposition principle typically adopted for the description of 266 267 irregular seas. The idea behind that definition is to assume that for each regular wave-268 component (that composes a given irregular sea) its corresponding optimum PTO damping coefficient is applied so that maximum power can be absorbed from it. In 269 other words, the maximum (or "ideal") absorbed power from a given sea state is the 270 271 superposition of the theoretical maximum absorbed power from each of its wave components. In terms of Falnes' [44] nomenclature, the optimum amplitude condition 272 is satisfied for every (regular) wave component, except for the one that matches the 273 WEC's natural period where the optimum phase condition is also satisfied. Therefore, 274 to compute the power absorbed from each component of an irregular wave spectrum, 275276 the maximum absorbed power per square wave amplitude as a function of (regular) wave period (Fig. 6 b) should be multiplied by the respective square amplitudes of the 277 278 components of the sea spectrum. The integration of those "regular-wave powers" provide the maximum or "ideal" power that could be absorbed by the given sea state. 279

280 Fig. 6a presents the theoretical (regular wave) optimum damping values used to obtain 281 the corresponding power functions (kW/m^2) reported in Fig. 6 b. Both functions are independent of the sea spectrum. As expected from the theoretical expressions given 282 283 by Falnes [44], the peak of the function of absorbed power occurs at the natural frequency of the WEC motion for the respective WEC geometries. Although the peak 284 of the power of the former CECO is slightly larger than the optimized CECO, the latter 285 286 is broader than the former and presents a PTO damping coefficient function that is 287 significantly higher, especially for the wave periods above resonance. This feature is 288 desirable since, for later analyses, part of the theoretical PTO damping should be deducted to account for unavoidable (mechanical, electrical, viscous, etc.) losses. Then, 289 290 only the remaining part of the theoretical PTO damping can be considered as useful 291 power absorption.



Fig. 6. Optimal PTO damping coefficients (a) and power functions (b) obtained from frequency-domain analysis in regularwaves.

The power absorbed from each (regular wave) component that forms the sea spectrum 294 of the target sea-state (JONSWAP spectrum) for the former and optimized CECO at 295 the 30° inclination, for several PTO damping coefficients, is shown in Fig. 7. Here, the 296 improved performance of the optimized geometry over the former design is evident, 297 especially when higher PTO damping coefficients are adopted. Based on systematic 298 variations of (constant) PTO damping for the target sea-state, the absorbed power 299 corresponding to each PTO variation has been computed, and a maximum value has 300 been identified. 301

The PTO damping coefficient corresponding to the maximum absorbed power at the target sea-state for the 30° inclination has been regarded as the best PTO damping coefficient (C_{opt}). As for the regular wave conditions, the C_{opt} of the optimized CECO resulted higher than the C_{opt} of the former geometry. In terms of absorbed power, the optimal C_{opt} provided 80.31 kW for the former CECO and 100.74 kW for the optimized geometry. Thus, representing an improved performance of around 25% for the optimized geometry over the former design.



309 Fig. 7. Power absorbed for each component of the target sea state (JONSWAP spectrum, $T_P=10 \text{ s}$, $H_S=1.5 \text{ m}$), 30° motion 310 inclination and different PTO damping values: (a) former geometry and (b) optimized geometry.

The linear frequency-domain modelling has allowed performing and gaining valuable insight into the hydrodynamic behaviour and power assessment of both WEC designs. Furthermore, it has shown to be very computationally efficient. However, to verify if the linear assumptions inherent to the frequency-domain model are valid, nonlinear time-domain simulations need to be performed for both CECO geometries.

316 4.4.Time-domain model

The behaviour of CECO in irregular wave conditions can be analysed in the time-317 domain by using a potential flow model and including instantaneous forces. First, the 318 source distribution method needs to be used to obtain the flow potential (φ), which is 319 the superposition of the undisturbed incident potential (φ_I), the diffraction potential 320 (φ_d) , and the radiation potential (φ_r) . Bearing in mind that the wetted surface of the 321 LMMs changes significantly during operation, the free surface position is re-evaluated 322 in each time step of the simulation to compute the instantaneous hydrostatic and 323 hydrodynamic forces. This approach allows including some nonlinearities that the 324 frequency domain linear model cannot reproduce (linear models assume small motion 325 amplitudes incompatible with the large displacements of the LMMs under high 326 energetic wave conditions). For this purpose, the total surface of the LMMs was 327 meshed and not only the wetted surface below the mean water level - which is used to 328 329 compute the diffraction and the radiation force components (Fig. 8). For the former geometry, 968 panels were used (of which 552 diffracting panels). Differently, for the 330 optimized version, 1539 panels were used (of which 877 diffracting). 331



Fig. 8. Meshes used for former (a) and optimized (b) LMMs geometries.

Being **M** the structural mass matrix of the floating part of CECO, and $\mathbf{x} = (x,y,z)$ its displacement vector from its hydrostatic equilibrium (with components corresponding to x, surge; y, sway; and z, heave; Fig. 8), the numerical approach can be described with the following equation of motion:

$$\mathbf{M}\ddot{\mathbf{x}} = \mathbf{F}_{HS} + \mathbf{F}_{FK} + \mathbf{F}_{D} + \mathbf{F}_{R} + \mathbf{F}_{M} + \mathbf{F}_{PTO}$$
(1)

where, \mathbf{F}_{HS} is the hydrostatic force under the instantaneous wetted surface of the LMMs, S(t), which is given as the balance between the gravity force and the Archimedes force at each time step in a simulation:

$$\mathbf{F}_{HS}(t) = \mathbf{F}_{G}(t) + \int_{S(t)} p_{st}(t) \mathbf{n} dS$$
(2)

where \mathbf{F}_{G} is the gravity force, $p_{st}(t) = -\rho g z(t)$ the instantaneous static pressure, and *n* a vector normal to the surface. In this last formula, ρ is the water density, *g* the gravitational constant and z(t) the vertical displacement changing with time.

343 \mathbf{F}_{FK} is the hydrodynamic Froude–Krylov force under the instantaneous incident wave 344 surface,

$$\mathbf{F}_{FK}(t) = -\int_{S(t)} p_{dyn}(t) \mathbf{n} dS$$
(3)

where $p_{dyn}(t)$ is the hydrodynamic pressure obtained by applying Wheeler stretching method [45].

F_D is the diffraction force contributed by all the diffracting panels (i.e. those below themean water level),

$$\mathbf{F}_{D}(t) = -\int_{S_{0}} p_{d}(t) \mathbf{n} dS$$
(4)

where $p_d(t)$ is the diffraction wave pressure (quadratic terms are neglected), which is integrated over the mean wetted surface (S_o) of the LMMs.

351 \mathbf{F}_R is the radiation force consisting of the impulse function convolution, which accounts

for the past motion of CECO, so-called "memory effect" [32], and the hydrodynamic

353 inertia force or added mass at the infinite frequency (A_{∞}) ,

$$\mathbf{F}_{R}(t) = -\mathbf{A}_{\infty} \ddot{\mathbf{x}}(t) - \int_{0}^{t} \mathbf{h}(t-\tau) \ddot{\mathbf{x}} d\tau$$
(5)

354 with

$$\mathbf{h}(t) = \frac{2}{\pi} \int_{0}^{\infty} \mathbf{B}(\omega) \frac{\sin(\omega t)}{\omega} d\omega = \frac{2}{\pi} \int_{0}^{\infty} \{\mathbf{A}(\omega) - \mathbf{A}_{\infty}\} \cos(\omega t) d\omega$$
(6)

355 where **B**(ω) and **A**(ω) are the added mass and hydrodynamic damping matrices,

$$\mathbf{A} = \frac{\rho}{\omega} \int_{S_0} \operatorname{Im}(\varphi_r) \mathbf{n} dS \tag{7}$$

356 and

$$\mathbf{B} = -\rho \int_{S_0} \operatorname{Re}(\varphi_r) \mathbf{n} dS \tag{8}$$

357 where φ_r is the radiation velocity potential.

F_M is the summation of the fluid forces acting on the slender elements of CECO (the tubes of the frame between both LMMs), which can be computed by integrating over the wetted length of each tube the cross-sectional Morison's force [46] defined as

$$d\mathbf{F}_{M} = \frac{1}{2}\rho\phi_{D}C_{D}|u_{f} - u_{s}|(u_{f} - u_{s}) + \rho\phi_{A}C_{m}\dot{u}_{f} - \rho\phi_{A}(C_{m} - 1)\dot{u}_{s}$$
(9)

where ϕ_D is the characteristic drag diameter, C_D is the drag coefficient, u_f and \dot{u}_f are the transverse directional fluid particle velocity and acceleration, u_s and \dot{u}_s the transverse directional structure velocity and acceleration, C_m is the inertia coefficient and ϕ_A the cross-sectional area.

365 \mathbf{F}_{PTO} is the PTO force, which accounts for the forces in the conversion machinery that 366 oppose the motion (e.g., friction losses, electromotive forces, and mechanical losses),

$$\mathbf{F}_{PTO}(t) = -\left[f + C_{PTO}\dot{\xi}(t)\right]\mathbf{e}$$
(10)

where $\dot{\xi}$ is the translation speed of the CECO floating part, *f* a friction force for $\dot{\xi}$, *C*_{*pto*} a damping coefficient that takes different values and **e** a unit vector that defines the direction of the PTO axis with respect to the global axes.

The motion of the LMMs and the inner frame is constrained by upper and low endstops. For the correct modelling of CECO, these limits need to be considered into calculations. To note that considering such limits is only possible with the implementation of a time-domain approach. The end-stops can be modelled as units having spring and damping properties. The force induced by an end-stop can be estimated as:

$$\mathbf{F}_{FEN} = -[K_{es}\Delta Y + C_{es}\dot{\xi}(t)]\mathbf{e}$$
(11)

376 where K_{es} is the spring stiffness, ΔY the compression length of the end-stop, and C_{es} 377 the damping value. The coefficient C_{es} can be quantified by kinetic energy 378 considerations:

$$E = 0.5 m \left| \dot{\xi}(t) \right|^2 C_m$$
 (12)

where m, V and C_m are the mass, velocity and added mass coefficient of the LMMs. Each time the LMMs reach their higher or lower limit of operation, during contact with the end-stop, part of the kinetic energy is lost, as for:

$$E_1 = E_2 - E_{loss} \tag{13}$$

where E_1 is the initial kinetic energy, E_2 the remaining kinetic energy after hitting the end-stop and E_{loss} the energy dissipated during the contact. E_{loss} can be set equal to λE_1 , where λ is a dissipation coefficient. The fixed damping parameter C_{es} can then be estimated using:

$$C_{es} = \frac{E \lambda}{\left|\dot{\xi}(t)\right|^2 T} \tag{14}$$

386 where *T* is the contact period between the moving body and the end-stop.

387 The time-domain calculations were carried out with the aid of the commercial software 388 Ansys[©] Aqwa [33] and an in-house developed Matlab code. A predictor-corrector integration scheme is used. During the first stage, all forces on the device's floating part 389 at time t (as functions of time, position and velocity) are calculated. Subsequently, the 390 body acceleration is obtained, and the velocity and the position are predicted at the 391 next time step, $t + \Delta t$. At a second stage, the applied forces are recalculated at time $t + \Delta t$. 392 Δt , and the values of the velocity and position corrected by using Taylor's theorem. For 393 more details on the mathematical theory implemented the reader can refer to [32, 33, 394 47]. 395

396 *4.5.CECOs physical parameters*

To compare the former CECO geometry with the optimized one, specific system 397 398 parameters were selected given findings of prior studies based on a frequency-domain analysis [26]. The two CECO designs varied essentially in terms of the LMMs shape, 399 dimensions, draft and mass values. All other factors, such as the PTO sliding angle, 400 overall width, supporting structure and frame dimensions, were set to be the same for 401 both designs, Table 1. A sliding inclination angle equal to 30° was adopted, as this value 402 showed to have the best efficiency for the recurrent sea states of the coastal area in the 403 study [28]. In Fig. 9 are reported the dimensions in meters of the CECO version 404 analysed. The main differences between the two CECO designs are the mass and the 405 406 wetted surface of the LMMs. Both these values are higher for the optimized geometry.

Parameter	Former LMM	Optimized LMM			
PTO inclination angle (°)	30°	30°			
Overall length (m)	4	10			
Overall width (m)	4.5	4.5			
Overall height (m)	8	10			
Draught (m)	4.61	5.25			
Mass, $m(t)$	69	179			

407 Table 1. Parameters of a single former and optimized lateral mobile module (LMM).



409 Fig. 9. Dimensions (in meters) of LMMs and sliding frame of former (a) and optimized (b) CECOs.

410 **5. Results**

411 The two geometries were analysed and compared in terms of hydrodynamic 412 coefficients, natural periods, time-domain time series, power matrices and energy 413 production performances.

414 5.1. Added mass, radiation damping and excitation forces coefficients

The hydrodynamic parameters characterizing the two geometries need to be at first 415 calculated. For surge and heave components, Fig. 10 shows the added mass coefficients 416 (a,b), the radiation damping coefficients (c,d) and the wave excitation forces (e,f). By 417 comparing the former (dotted blue line) with the optimized geometry (red line), it is 418 noticed that the magnitude of the added mass, radiation damping coefficients and wave 419 excitation forces are comparable for both geometries only for what concerns the surge 420 components (index 11). Differently, for the optimized geometry the heave related 421 quantities, coefficients are significantly higher (Fig. 10 b,d,f). 422



424 425 426 components of the added mass; (c) surge and (d) heave components of the radiation damping; (e) surge and (f) heave wave excitation forces per unit of wave amplitude (Froude-Krylov and diffraction). Fig. 10. Hydrodynamic coefficients of former (dashed lines) and optimized (continues line) LMMs: (a) surge and (b) heave

427 5.2. Time-domain analysis results

438 432 430 429 428 437 436 435 434 433 431 direction of motion and higher cross-sectional area determines natural periods to be the higher mass of the optimized LMMs, the particular slender shape coupled with the 90° of PTO inclinations. Despite the differences in terms of hydrodynamic parameters time (t = 0) and no waves. Both CECO geometries were evaluated for 30° , 45° , 60° and time-domain. The natural period of the response of CECO was obtained with numerical similar for the two geometries, the obtained natural periods are similar, Fig. 11. Regardless of by assuming an initial offset of the device from the equilibrium position, at the starting free-decay oscillation tests using the time-domain model. These tests can be performed The hydrodynamic coefficients allowed solving the equation of motion of CECO in the

439 frequency-domain results As shown in Fig. 11, time-domain results of natural periods are well in agreement with

440



442 Fig. 11. The natural period of oscillation T_n as a function of the inclination. Results for former and optimized geometries 443 obtained with time-domain (TD) and frequency-domain (FD) calculations.

Numerical simulations were run for the 45 most relevant irregular sea states, following 444 the wave resource matrix previously introduced (Fig. 4). For illustration, Fig. 12-Fig. 445 14 show results for the two most energetic sea states (Hs = 1.5 m, Tp = 10 s and Hs = 2.5 m) 446 m, Tp = 14 s) and a severe sea state (Hs = 7.5 m, Tp = 16 s). It can be noted that for the 447 recurrent sea states (Fig. 12 and Fig. 13), the optimized CECO geometry has similar 448 motions and peak velocities. However, the power absorbed by the optimized geometry 449 is higher. In all cases, the optimized CECO reaches the rated power value, which for 450 simplicity was initially set at 0.5 MW. A higher value of rated power would be 451 beneficial, in particular, for the optimized geometry. For what concerns the severe state 452 time series illustrated, the power output of both, former and optimized CECOs, is more 453 similar (Fig. 14) because the rated power limit is reached by both geometries for almost 454 all the waves. 455



456

457Fig. 12. Irregular sea state time series related to Hs=1.5 m and Tp = 10 s. Former CECO @25 kNs/m results and optimized458CECO @50 kNs/m are depicted respectively by blue and red (dotted) line.



460 Fig. 13. Irregular sea state time series related to Hs=2.5 m and Tp = 14 s. Former CECO @180 kNs/m results and optimized
 461 CECO @270 kNs/m are depicted respectively by blue and red (dotted) lines.



Fig. 14. Irregular sea state time series related to Hs = 7.5 m and Tp = 16 s. Results relative to former CECO @260 kNs/m and optimized CECO @400 kNs/m are depicted respectively by blue and red (dotted) lines.

465 5.3. Power matrixes and annual energy production

Results of the mean power output for all sea states considered are presented in the 466 467 form of power matrices, respectively by using frequency-domain (Fig. 15) and time-468 domain (Fig. 16) models. For obtaining these power matrices the C_{pto} damping values were utilized, which were found from frequency-domain calculations [26]. Such C_{pto} 469 values are based on the solution of the linearized fluid-structure interaction problem 470 in the frequency-domain. It has to be pointed out that using a time-domain approach 471 for finding the best C_{pto} values, as done in [48], may give improved results in terms of 472 performances. However, the latter method was not used in the present study, as it may 473 be more dependent on the sea spectrum considered and end-stops span length. 474

Fig. 15 and Fig. 16 display the power matrices relative to 45 sea states, for both CECO geometries with 30° of PTO inclination and the best PTO damping coefficients (Fig. 6, a). As can be observed frequency-domain power matrices have high values of mean power for high H_s. This result occurs because no generator rated power limit is considered. Overall, an evident better performance of the optimized geometry over the former design is observed for all the sea state conditions above 8 s of peak wave period T_p. Below that period, the former design performed only slightly better than the

482 optimized geometry.

	8.5	5 (a)									8.5				(b)				
	7.5						853.2	583.3	409.6		7.5						958.2	658.5	463.8
6.5		[kW]				1147.2	640.9	438.1	307.6	6.5		[kW]		1215.3		1215.3	719.7	494.6	348.3
2	5.5				1079.7	821.4	458.9	313.7	220.3	s [m]	5.5			1354.4	870.1	515.3	354.1	249.4	
s [n	4.5				722.8	549.8	307.2	210.0	147.4		4.5				906.6	582.5	345.0	237.1	167.0
I	3.5			114.9	437.2	332.6	185.8	127.0	89.2	Т	3.5			153.5	548.5	352.4	208.7	143.4	101.0
	2.5		15.7	58.6	223.1	169.7	94.8	64.8	45.5		2.5		15.1	78.3	279.8	179.8	106.5	73.2	51.5
	1.5		5.6	21.1	80.3	61.1	34.1	23.3	16.4		1.5		5.4	28.2	100.7	64.7	38.3	26.3	18.6
	0.5	0.3	0.6	2.4	8.9	6.8	3.8	2.6	1.8		0.5	0.1	0.6	3.1	11.2	7.2	4.3	2.9	2.1
	-	4.0	6.0	8.0	10.0	12.0	14.0	16.0	18.0	-		4.0	6.0	8.0	10.0	12.0	14.0	16.0	18.0
					Tp [s]										Tp [s]				

483

Fig. 15. CECO power matrix obtained with the frequency-domain model where no end-stops are considered and fixed
damping values are used. (a) is relative to the former geometry @ 14.90 kN.s/m and (b) for the optimized geometry and (b)
optimized CECO @ 23.13 kN.s/m.

487 For the former geometry, changing the rated power limit (from 0.5 to 1.5 MW) appears

to only slightly affect the mean power output for sea states up to H_s = 3.5 m, Fig. 16 (a,

489 c, e). In contrast, for the optimized geometry, higher-rated power limits appear to

490 clearly and positively influence the average power produced (starting from sea states

491 of H_s = 2.5 m), Fig. 16 (b, d, f).





The power matrices were crossed with the wave resource matrix (Fig. 4) for estimating 495 496 the annual energy production (AEP) for both geometries analysed. As expected, the AEP and the annual capture width ratio CWR (as def. in [49]) of the optimized CECO 497 are significantly higher, Fig. 17Error! Reference source not found.Error! 498 Reference source not found.. It is noticed that the AEP levels for the former CECO 499 are similar for the three generator rated power values assessed, *i.e.* about 400 500 MWh/year. Differently, the increase of the generator rated power to 1 and 1.5 MW 501 allows increasing the AEP for the optimized geometry up to about 620 MWh/year (1.5 502 MW case). 503







Fig. 17. Annual energy production (AEP) and capture width ratio (CWR) from former and optimized CECOs for different rated power values of the electrical generator.

508 5.4. General aspects

In Table 2, the former and the optimized CECO designs are compared in terms of 509 510 indices relative to AEP per device mass and per surface area (both full and immersed surface areas of the LMMs) and power per root mean square (RMS) of PTO force. It 511 can be noted that the former geometry has a higher energy per device mass ratio (for 512 all generator rated power values considered). Similarly, the AEP per surface area is 513 slightly higher for the former geometry. For the recurrent sea states ($T_p = 10-14$ s and 514 $H_s = 1.5-2.5 \text{ m}$), the power per RMS of PTO force appears to be similar between the two 515 516 geometry. Differently, for the more severe sea state considered ($T_p = 16 \text{ s}, H_s = 7.5 \text{ m}$), the power per RMS of PTO force is markedly higher for the optimized geometry. These 517 518 results may indicate that the optimized geometry would be more advantageous for more energetic sea states. 519

 $520 \qquad {\rm Table \ 2. \ Indices \ of \ former \ and \ optimized \ CECO \ comparison.}$

	I	Former CEC	D	Optimized CECO						
Performance inc	Generator rated power (MW)									
		0.5	1.0	1.0 1.5		1.0	1.5			
Energy per device's mass	[KWh/kg/year]	2.859	2.978	2.995	1.866	2.045	2.087			
Energy per surface area	[MWh/m²/year] [MWh/m²/year]	1.385	1.442	1.451	1.131	1.239	1.264			
Energy per wetted surface area		2.414	2.514	2.528	1.936	2.121	2.164			
	@ Tp=10 s, Hs=1.5 m		0.908			0.822				
Power per RMS of PTO force [W/N]	@ Tp=14 s, Hs=2.5 m		0.295			0.295				
	@ Tp=16 s, Hs=7.5 m		0.517			0.708				

521 Results also indicate that increasing the generator rated power value with the

optimized geometry might allow rising the annual energy production, for instance by 522 incrementing the rated power value from 0.5 to 1.5 MW about 12% more annual energy 523 can be obtained (Table 2). This makes evident the importance of choosing the right 524 525 generator size, based on a cost-benefit analysis because a larger generator might not be justifiable in economic terms. Additional work should be directed towards analysing 526 527 the daily power output throughout a reference year and the costs of the electrical infrastructure including costs of generators aiming at selecting a suitable generator 528 size. At such stage, it would be opportune to consider, as well, several devices in a 529 realistic farm configuration. 530

Another important design factor that requires attention is the frame length, which 531 determines stroke span between end-stops. To assess this parameter the positions of 532 end-stops were varied. As can be observed in Fig. 18, three different stroke span lengths 533 are compared for the optimized CECO, respectively 7.5, 10.5 m and infinite case 534 (unlimited). For the five most relevant sea states considered (of higher wave energy 535 resource), it is noticed that higher efficiency η occurs at T_p=10 s. The stroke length 536 appears to affect power production level, for the most, during cases relative to the sea 537 states having T_p equal to 10 and 12 s. The stroke span length almost does not influences 538 539 the power production level for sea states of $T_p = 14$ s. These results shall be interpreted taking into account that the natural period of tested CECO is 10 s. 540



541Sea states542Fig. 18. Power and efficiency for the 5 most relevant sea states (optimized CECO). Here different translating frame stroke543length were assessed (9, 12 m and unlimited).

544 6. Conclusions

545 A time-domain model of CECO wave energy converter was developed and used to

assess the optimized geometry (slender shape) of the lateral mobile modules againstthe former one (half-cylinder shape). The model allowed analysing CECO performance

- 548 taking into account realistic irregular sea states (relative to a reference offshore site),
- as well, evaluating different generator rated power limits and permitted the inclusion
- 550 of end-stops to realistically limit motion amplitude.
- Clearly, for the recurrent sea states, the optimized geometry allows more energy to be 551 552 produced from waves compared to the former one (between 160 and 200 MWh/year of additional energy). It was observed that the annual energy production of CECO could 553 be further increased if a generator with a higher rated power is used. For instance, the 554 optimized geometry can allow a rise of the annual energy production of about 10% if a 555 1.5 MW rated generator is used instead of a 0.5 MW one. The selection of the generator 556 should hence be based on a techno-economic assessment that takes into account its 557 cost and the revenue associated with the energy production. 558
- The stroke span was shown to be a key factor affecting CECO efficiency and energy production. For the optimized geometry, it was found that a relatively short stroke span (7.5 m) significantly reduces the mean power production. In fact, for sea states of $T_p=10$ s (resonant frequency of the tested CECO unit), a 30 % reduction of the mean power output is observed. Differently, a short span little affects the mean power production for sea states of $T_p=14$ s. In the future, PTO control strategies could be implemented to limit the amplitude of CECO excursions near its resonant period.
- 566 Despite the evident advantages of the new geometry in terms of energy production, 567 further studies addressing, *e.g.* generator sizing, maintenance costs, structural loads 568 and control strategies are required to further develop CECO and assess accurately the 569 potential economic advantages given by choosing the optimized geometry.

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