

Open Access @ Strathclyde

Plan S and its implications – the new UKRI
Open Access policy

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Open Access: a Strategic Goal

“Maximise impact of research output”

Strategic aim 2.2: Our research quality and intensity is strengthened and the new knowledge we generate has maximum impact.

We will achieve this by:

- undertaking high-quality collaborative research activity with leading academic, business, government and third sector partners, underpinned by our commitment to useful learning
- enhancing the quality of our research outputs and adopting an informed publication strategy
- prioritising efforts and pursuing appropriate strategies to engage audiences with our research and to improve our performance in key citation metrics
- ensuring our research and knowledge reaches the widest possible audience, delivers reputational benefits, fulfils our commitment to support research integrity, and clearly demonstrates the quality and value of our research to potential partners and funders
- progressing towards fully open access publishing and fair open data policies



Plan S

Making full & immediate
Open Access a reality

Plan S

**Making full and
immediate
Open Access a
reality**

About Plan S

Plan S is an initiative for Open Access publishing that was launched in September 2018. The plan is supported by cOAlition S, an international consortium of research funding and performing organisations. Plan S requires that, from 2021, scientific publications that result from research funded by public grants must be published in compliant Open Access journals or platforms.

[Read more](#)

 JOURNAL CHECKER TOOL

BETA

Is this compliant with
Plan S ?

Plan S

From Wikipedia, the free encyclopedia

Principles [edit]

The plan is structured around ten principles.^[3] The key principle states that by 2021, research funded by public or private grants must be published in [open-access](#) journals or platforms, or made immediately available in open access repositories without an [embargo](#). The ten principles are:

1. authors should retain [copyright](#) on their publications, which must be published under an open license such as [Creative Commons](#);
2. the members of the coalition should establish robust criteria and requirements for compliant open access journals and platforms;
3. they should also provide incentives for the creation of compliant open access journals and platforms if they do not yet exist;
4. publication fees should be covered by the funders or universities, not individual researchers;
5. such publication fees should be standardized and capped;
6. universities, research organizations, and libraries should align their policies and strategies;
7. for books and monographs,^[7] the timeline may be extended beyond 2021;
8. open archives and repositories are acknowledged for their importance;
9. [hybrid open-access journals](#) are not compliant with the key principle;
10. members of the coalition should monitor and sanction non-compliance.

Plan S: Signatory Funders



National funders



Charitable and international funders & research organisations



Plan S and UK research funders



Open access policy

Our OA policy for journal articles is in line with the key principles of Plan S. Wellcome is a member of cOAlition S and is committed to working in partnership with other funders to make all research articles OA.

Journal articles submitted from 1 January 2021

All original, peer-reviewed research articles that are supported in whole, or in part, by Wellcome

Plan S and UK research funders



Open access policy

How we've changed our grant conditions to help grantholders comply

We updated our grant conditions in January 2021 to include:

- a new condition that all grantholders – both new and current – will automatically grant a CC BY public copyright licence to all their future Author Accepted Manuscripts. This will apply to manuscripts that are:
 - reporting original research
 - supported in whole, or in part, by Wellcome grant funding.
- an update to the existing condition whereby grantholders must also include the following statement on all submissions of original research to peer-reviewed journals:

'This research was funded in whole, or in part, by the Wellcome Trust [*Grant number*]. For the purpose of open access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.'

<https://wellcome.org/grant-funding/guidance/open-access-guidance/open-access-policy>

Plan S and UK research funders



Open access policy

Some hybrid journals – which have not yet implemented publishing models to transition away from subscriptions – now ask grantholders to agree to pay an Article Processing Charge (APC) at the point of submission.

Our funds cannot be used to support these fees.

If grantholders (or their organisations) are unable to fund the APC, they should **not commit** to paying these fees at the point of submission.

Grantholders should either:

- contact the journal to check if they will grant a waiver

or

- consider publishing in another journal.

Plan S & journal business models

- **Subscription journals** – ‘Reader-pays’ model
- **Fully Open Access journals** – ‘Author-pays’ model
- **Hybrid journals** – a combination of both (‘Reader-pays’+ ‘Author-pays’): OA payments for individual articles on top of subscription charges (double payment)

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Plan S rationale: transition hybrid journals to fully OA

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Plan S rationale: transition hybrid journals to fully OA

How? Two mechanisms:

- Read & Publish agreements to allow ‘free’ Gold OA publishing in hybrid journals
- No APC funding for hybrid journals not covered by these R&P deals

Plan S and UK research funders

Policy

UKRI open access policy

UKRI's open access policy for research publications that acknowledge funding from UKRI and any of its councils.

From: UKRI
Published: 6 August 2021

Documents



[UKRI open access policy](#)

PDF, 299 KB

If you cannot open or read this document, you can ask for a different format.

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[UKRI open access policy: frequently asked questions \(FAQs\)](#)

PDF, 173 KB

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Plan S and UK research funders

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PDF, 173 KB

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Details

This policy applies to **publications which need to acknowledge funding from UKRI or any of its councils**. This includes funding from:

- the research councils
- Research England
- Innovate UK.

It aims to ensure that findings from research funded by the public through UKRI can be freely accessed, used and built on.

The policy applies to:

- peer-reviewed research articles submitted for publication on or after 1 April 2022
- monographs, book chapters and edited collections published on or after 1 January 2024.

“Old” UKRI Open Access Policy

- **ALL** peer reviewed research articles must be made Open Access
 - **Green** or **Gold**
 - Includes RCUK funded students
 - RCUK audit universities to ensure compliance
- **Wellcome, CRUK, BHF** similar requirements
- Note: Deposit of AAM still required even if publishing **Gold OA**

*Main update: from “compliance upon acceptance” to “**compliance upon submission**”*

New UKRI Open Access Policy

- Gold Open Access route (preferred)
 - Read & Publish deals ('free' Gold OA)
 - Standalone APC payment
- Green Open Access → immediate OA via specific wording in manuscript acknowledgements

"For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission"

- **Advice: *Check which route to follow* before submission***

openaccess@strath.ac.uk

- Is the title eligible for 'free' Gold OA under a R&P deal?
- Is the title eligible for a standalone APC payment?
- Is immediate Green Open Access the sole available option?

Tools for checking compliance

(currently under development)



The screenshot shows a web browser at the URL <https://journalcheckertool.org>. The page has a dark background and features the following elements:

- At the top center, the text "JOURNAL CHECKER TOOL" is displayed in white, with a small orange padlock icon to its left.
- Below this, an orange "BETA" badge is positioned diagonally.
- The main heading in the center reads "Is this compliant with Plan S?" in white, with "Plan S" underlined.
- At the bottom, there are three main input categories: "JOURNAL", "MY FUNDER", and "MY INSTITUTION", each in white uppercase letters.
- Under "JOURNAL", there is a dark rounded button labeled "By ISSN or title".
- Under "MY FUNDER", there is a dark rounded button labeled "By funder name".
- Under "MY INSTITUTION", there is a dark rounded button labeled "By ROR or name".
- White plus signs (+) are placed between the buttons for "JOURNAL" and "MY FUNDER", and between "MY FUNDER" and "MY INSTITUTION".
- At the bottom right, there is a white equals sign (=) and a small white square icon next to the text "No affiliation".

Tools for checking compliance

(currently under development)

<https://agreements.sherpa.ac.uk>



Transitional Agreement Look-Up (MVP)

Search for transitional agreements by publisher, journal or institution, and find out whether your institution has an agreement in place with your selected publisher.



Search
institution



Search
publisher



Search
journal

Read & Publish agreements

2.2 Read & Publish Deals

The Open Access landscape is gradually evolving from case-specific Open Access funding workflows for individually funded papers to blanket agreements with publishers that cover both the subscription fees (the "Read" side) and the Open Access publishing costs (or part thereof).

These are the lists of eligible titles under the main R&P deals **:

| Publisher | List of eligible titles |
|------------------------------------|---|
| Springer Nature | Springer Compact 2021: List of eligible Springer Compact titles |
| Wiley | Wiley eligible titles under the Wiley R&P deal |
| SAGE | Sage eligible titles |
| Cambridge | CUP eligible titles |
| Oxford | OUP eligible titles |
| Taylor & Francis | Taylor & Francis eligible titles |
| Karger | Karger eligible titles |
| Institute of Physics | IOP eligible titles |
| American Institute of Physics | AIP eligible titles |
| Elsevier | Elsevier eligible titles |
| National Academy of Sciences (USA) | PNAS |

Caveat: 'free' Gold OA eligibility under R&P deals only applies if the Strathclyde author **is the submitting corresponding author**

What if a Strathclyder *is not* the corresponding author?

What do researchers need to do?

<https://www.openaccess.cam.ac.uk/funder-open-access-policies/ukri-open-access-policy-update>

Are you funded by UKRI?

When you publish in a journal from 01 April 2022 these steps will help you comply with the UKRI Open Access Policy.

Learn more



"For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission"

What if a hybrid journal is not covered by any R&P deal?

The AACR journals charge a fixed base publication fee determined by the journal, as well as a standard handling fee for each display item (figure or table). Please note that only manuscripts from 27 countries are subject to the full price. All other manuscripts will receive a country-specific discount based on the location of the primary affiliation of the corresponding author; see [Publication Fee Discounts](#) below for details of this and other discounts.

| Journal | Base Publication Fee * | Display Item Fee * |
|---|---|--------------------|
| <i>Cancer Discovery</i> | \$4800 [#] | \$250 per item |
| <i>Blood Cancer Discovery</i> | \$2400 (Introductory rate) [#] | \$250 per item |
| <i>Cancer Research</i> | \$2800 | \$250 per item |
| <i>Clinical Cancer Research</i> | \$2800 | \$250 per item |
| <i>Cancer Immunology Research</i> | \$2400 [#] | \$250 per item |
| <i>Molecular Cancer Research</i> | \$1900 | \$250 per item |
| <i>Molecular Cancer Therapeutics</i> | \$1900 | \$250 per item |
| <i>Cancer Epidemiology, Biomarkers & Prevention</i> | \$100 | \$250 per item |
| <i>Cancer Prevention Research</i> | \$900 | \$250 per item |

* The AACR provides member and other discounts on these fees as detailed in [Publication Fee Discounts](#) below.

[#] If the manuscript was first submitted to the journal prior to January 1, 2022, the previous base publication fee of \$4500 for *Cancer Discovery*, \$0 for *Blood Cancer Discovery*, or \$1900 for *Cancer Immunology Research* will be applied instead.

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REF policy on [Green] OA

- Version deposited *within 3 months of acceptance* **must** be **accepted author manuscript (AAM)**
- Requirement for date of acceptance
- Journal articles and conference papers a “minimum requirement”
- Policy began on **01 April 2016**
- Applies to everyone, whether funded or not
- Compliance rates around 94% across whole institution

Post-REF2021 policy widely expected to match UKRI OA policy

Wed 30/03/2022



Thanks!

Contact us for more info and/or advice *upon manuscript submission* (or even earlier)

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