

SUBMISSION TO  
GÉOTECHNIQUE LETTERS

DATE:

Written: 24<sup>th</sup> August 2021

Revised: 21<sup>st</sup> December 2021

Accepted: 11<sup>th</sup> January 2022

TITLE:

Structure evaluation of a tropical residual soil under wide range of compaction conditions

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KEYWORDS

compacted soils, residual soils, soil structure, pore size distribution, mercury intrusion porosimetry

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46 **Structure evaluation of a tropical residual soil under wide range of**  
47 **compaction conditions**

48

49 **Abstract**

50 Soil compaction is one of the most common techniques used to engineer the soil. It is  
51 especially appealing to developing countries for its cost-effective and sustainable attributes  
52 for improving the soil's geotechnical characteristics. The compaction process along with the  
53 complexity of residual soils, abundant in the tropics zone, can have an impact on the  
54 performance of geotechnical structures built with these soils. Therefore, it is important to  
55 understand the influence that certain compaction conditions have on the structure of these  
56 materials. To investigate that, Mercury Intrusion Porosimetry tests were performed on  
57 compacted samples of a tropical residual soil from Brazil under different conditions of water  
58 content and compactive effort. Results show that the compacted soil under all studied  
59 conditions presents a bimodal Pore Size Distribution (PSD). It appears that the low availability  
60 of water within the macro-pores, hence suction, could have played a decisive role in  
61 maintaining the bimodal framework of the PSD. In this respect, the present study contributes  
62 to a better understanding of the tropical residual soils' structure when subjected to different  
63 compaction conditions, thus providing means to improve field applications.

64 **Keywords:**

65 Compacted Soils, Residual Soils, Soil Structure, Pore Size Distribution, Mercury Intrusion  
66 Porosimetry.

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70 **1. Introduction**

71 Compaction is the soil state in which soils are mostly found when engineered. Indeed, most  
72 geotechnical infrastructures, such as embankments, containment structures and pavements,  
73 are typically built using local fine-grained soils, compacted to improve their hydro-mechanical  
74 characteristics (Kodikara, Islam & Sounthararajah, 2018). This is the ideal sustainable  
75 approach, and an affordable solution to developing countries in the tropics zone, where  
76 residual soils have been historically engineered successfully based on experience, rather than  
77 systematic scientific studies (Wesley, 1990).

78 The aim of soil compaction is to ensure that the resulting earthwork possesses engineering  
79 properties that are adequate for the function of the enterprise (Craig, 2004). Soil compaction  
80 is then technically advised in order to: (i) increase stiffness hence reduce subsequent long-  
81 term and differential settlement under working loads; (ii) increase effective shear strength,  
82 therefore increase bearing capacity; and (iii) decrease void ratio and consequently reduce  
83 hydraulic conductivity (Selig, 1982). Thus, in practice, engineers rely on soil compaction  
84 specifications to deliver the required design properties. Unfortunately, the correlations  
85 between soil density and soil strength, stiffness and hydraulic conductivity are not universal.  
86 The impact compaction conditions have on the hydro-mechanical properties of the soil are  
87 related, amongst other things, to soil structure (Yokohama, Miura & Matsumura, 2014; Li,  
88 Shao & Vanapalli, 2020) and the fact that field compaction specifications are mostly related  
89 to soil density causes this point to be overlooked (Selig, 1982).

90 Therefore, given the importance of residual soils in compacted state, this paper aims at  
91 examining the influence of compaction conditions on the structure of a tropical residual soil

92 from Brasília, Brazil. The compaction conditions assessed are those that in the field are often  
93 relaxed within a certain range determined by compaction specifications, such as water  
94 content, compactive effort and density. The soil fabric of the different samples is investigated  
95 by means of Mercury Intrusion Porosimetry (MIP) tests. Hence, this paper contributes to a  
96 better understanding of the engineering properties of tropical residual soils that predominate  
97 in vast parts of the planet and have been understudied.

## 98 2. Materials and methods

99 The tropical residual soil was collected at 1.7m depth from the Experimental Field of University  
100 of Brasília, Brazil. According to the Unified Soil Classification System (USCS) this material is  
101 classified as Low Plasticity Clay (CL). Characterisation experiments showed that liquid limit,  $w_L$ ,  
102 is 42%; plastic limit,  $w_p$ , is 25%; plastic index,  $PI$ , is 17%; and specific gravity,  $G_s$ , is 2.73.

103 The three key compaction characteristics of the soil are the compaction energy, the water  
104 content and density. Thus, soil samples were prepared and grouped in a way that one of the  
105 variables of interest was isolated and the variations of the other two parameters could be  
106 observed.

107 In order to establish the points of interest, firstly the Standard Proctor compaction curve (Fig.  
108 1a) of the material was determined. Then, points of interest were prepared by static  
109 compaction (Fig. 1a and b). For this, samples were air dried to the hygroscopic water content.  
110 Soil lumps were broken using a pestle and mortar. After that, a target amount of water was  
111 sprayed on the soil surface, and they were combined by manual mixing. Then the moist  
112 material was sieved (#10, 2mm) and sealed in a plastic bag for 24hrs for homogenisation.  
113 Static compaction was effected in three layers in an automated displacement control CBR  
114 equipment.

115 The compaction preparation method affects the fabric of the material, therefore samples  
116 prepared by static compaction have different fabric arrangement when compared with  
117 samples prepared under dynamic compaction conditions. Samples were grouped as follows:

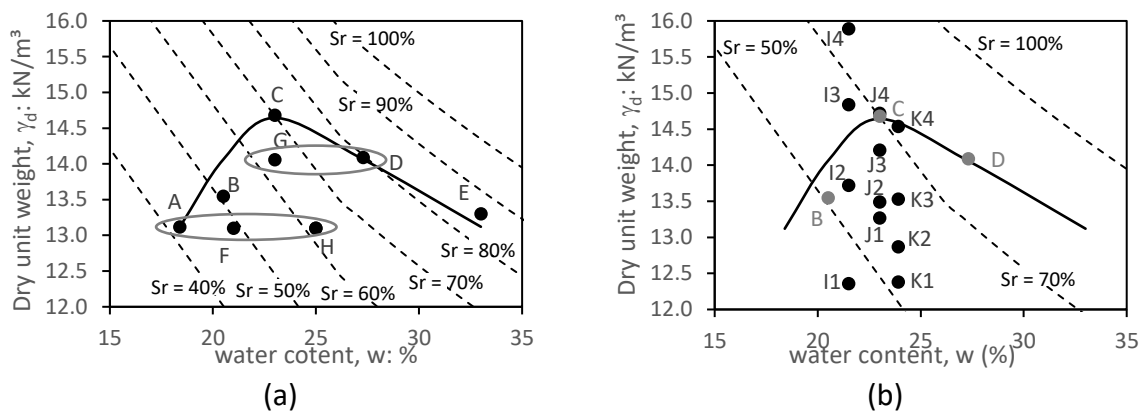
118 • Group 1: Points compacted under the same energy (Standard Proctor), where A and B are  
119 on the dry of optimum, C is at optimum, D and E are on the wet of optimum. Standard  
120 laboratory compaction tests are conventionally performed to derive compaction field  
121 specifications. Thus, the objective of this group is to investigate the changes in the soil  
122 structure along the Standard Proctor compaction curve.

123 • Group 2: Points with the same dry unit weight ( $13.1 \text{ kN/m}^3$ ) compacted under different  
124 energies and varying water contents: A, F and H. It is common for compaction field  
125 specifications to establish water content values within an acceptable range; thus, this  
126 group aims at investigating the changes in the soil structure with the same density but  
127 having different water contents.

128 • Group 3: Points with the same dry unit weight ( $14.1 \text{ kN/m}^3$ ) compacted under different  
129 energies and varying water contents: G and D. This group has the same objective of Group  
130 2; however, this group deals with a higher density, closer to that of the optimum point.

131 • Group 4: Samples at 21.5% water content (lower than optimum), compacted at different  
132 target void ratios (I1 to I4) under different compaction energies. Some compaction field  
133 specifications give contractors freedom to choose the most economical equipment and  
134 compaction process that render the desired density within the specified water content  
135 range. This effectively means that the compaction energy used could vary. Thus, the  
136 objective of this group is to investigate the changes in the soil structure with the same  
137 water content compacted under different energies.

- 138 • Group 5: Samples at 23.0% water content (at optimum), compacted at different target  
 139 void ratios (J1 to J4), under different compaction energies. This group has the same  
 140 objective of Group 4.
- 141 • Group 6: Samples at 23.9% water content (higher than optimum), compacted at different  
 142 target void ratios (K1 to K4), under different compaction energies. This group has the  
 143 same objective of Groups 4 and 5.



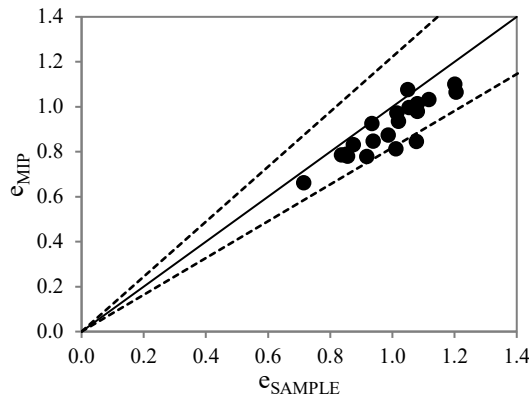
144 Fig. 1 Compaction curve and points of interest: (a) points defined by compaction curve and (b) points  
 145 compacted under different compaction energies.

146 After defining the points of interest, all samples (Fig. 1a and b) were prepared for Mercury  
 147 Intrusion Porosimetry (MIP) testing. Specimens of approximately 1 cm<sup>3</sup> went through freeze-  
 148 drying, by quickly freezing in liquid nitrogen followed by drying at vacuum oven (Otálvaro,  
 149 Neto & Caicedo, 2015; Hernandez, Cordão Neto & Caicedo, 2018). MIP tests were carried out  
 150 using AutoPore IV 9500 Micromeritics equipment, with nominal smallest pore diameter of  
 151 0.005  $\mu\text{m}$ .

### 152 3. Results

153 Fig. 2 illustrates the differences observed between the void ratio of the samples ( $e_{\text{SAMPLE}}$ ) and  
 154 the final void ratio intruded by mercury during MIP tests ( $e_{\text{MIP}}$ ). Results show that MIP tests  
 155 performed on these samples tend to underestimate the void ratio of the sample within a 10%

156 margin (Fig. 2), which is similar to differences reported by other authors (Cordão Neto *et al.*,  
 157 2018; Delage & Lefebvre, 1984; Romero & Simms, 2008; Lloret *et al.*, 2003; Romero, 2013).



158  
 159 Fig. 2 Void ratio of sample and MIP, where full line is 1:1 and dashed lines are 10% tolerance span

160 Thus, to allow for a meaningful comparison of the compacted samples, the MIP intruded void  
 161 ratio was normalized as follows.

$$e_{MIP}^n = e_{SAMPLE} \cdot \frac{e_i^{MIP}}{e_{MIP}} \quad \text{Eq. 1}$$

162 where  $e_{MIP}^n$  is the normalized MIP void ratio;  $e_{SAMPLE}$  is the void ratio of the sample;  $e_i^{MIP}$  is the  
 163 MIP void ratio associated with pore diameter  $i$ ; and  $e_{MIP}$  is the final void ratio obtained by MIP  
 164 test.

165 Additionally, the normalized cumulative MIP void ratio was fitted as suggested by Lopes *et al.*  
 166 (2014) using 2 modes to represent the micro and macro porosity (Table 1). The two pore sizes  
 167 void ratios are obtained by best fitting, in a way that  $e^m + e^M = e^{MIP}$ . Thus, the limiting diameter  
 168 between macro and micro-pores is different from sample to sample.

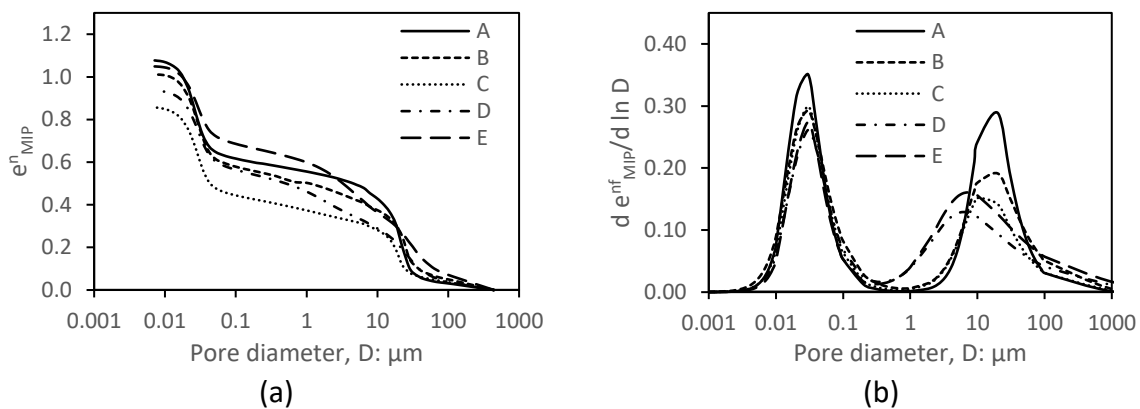
169 Table 1 Bimodal fitting parameters for MIP tests, where  $e$ : void ratio,  $\alpha$  and  $n$ : fitting parameters;  $M$  and  $m$ :  
 170 refer to macro and micro, respectively.

Sample	Group	w (%)	$\gamma_d$ (kN/m <sup>3</sup> )	$e_{SAMPLE}$	$e^M$	$\alpha^M$ (μm <sup>-1</sup> )	$n^M$	$e^m$	$\alpha^m$ (μm <sup>-1</sup> )	$n^m$	R <sup>2</sup>
A	1, 2	18.4	13.12	1.08	0.56	0.08	2.66	0.54	43.22	3.08	0.99
B	1	20.5	13.55	1.01	0.50	0.09	2.06	0.55	44.37	2.55	0.99
C	1	23.0	14.68	0.86	0.38	0.10	2.12	0.49	41.83	2.84	0.99
D	1, 3	27.3	14.09	0.93	0.51	0.31	1.56	0.45	40.54	2.73	0.99

<b>E</b>	1	33.0	13.30	1.05	0.64	0.27	1.55	0.42	40.23	2.99	1.00
<b>F</b>	2	21.0	13.10	1.08	0.60	0.04	3.22	0.54	50.54	2.12	0.99
<b>G</b>	3	23.0	14.06	0.94	0.44	0.06	2.69	0.55	47.87	2.28	0.99
<b>H</b>	2	25.0	13.10	1.08	0.53	0.04	2.72	0.66	77.44	1.72	0.99
<b>I1</b>	4	21.5	12.36	1.20	0.68	0.04	3.41	0.61	59.23	1.95	0.99
<b>I2</b>	4	21.5	13.72	0.99	0.47	0.07	2.97	0.57	48.28	2.20	0.99
<b>I3</b>	4	21.5	14.84	0.84	0.35	0.12	2.36	0.53	48.14	2.24	0.99
<b>I4</b>	4	21.5	15.89	0.71	0.28	0.29	1.70	0.45	40.91	3.16	1.00
<b>J1</b>	5	23.0	13.27	1.05	0.56	0.04	2.61	0.55	53.02	2.06	0.99
<b>J2</b>	5	23.0	13.49	1.02	0.46	0.06	2.55	0.54	57.48	1.97	0.99
<b>J3</b>	5	23.0	14.21	0.92	0.40	0.11	2.24	0.57	47.59	2.31	0.99
<b>J4</b>	5	23.0	14.72	0.85	0.37	0.12	2.05	0.51	43.54	2.56	0.99
<b>K1</b>	6	23.90	12.38	1.20	0.66	0.03	2.85	0.65	73.69	1.74	0.99
<b>K2</b>	6	23.9	12.87	1.12	0.57	0.04	3.33	0.67	80.26	1.69	0.99
<b>K3</b>	6	23.9	13.53	1.01	0.50	0.05	2.92	0.62	79.80	1.71	0.99
<b>K4</b>	6	23.9	14.54	0.87	0.40	0.12	2.25	0.53	53.27	2.13	0.99

171 3.1. Group 1 (A, B, C, D and E) – same compaction energy

172 Fig. 3a and b present Group 1's normalized MIP void ratio (Eq. 1) curve and the PSD of the  
 173 fitted bimodal equation, respectively. The energy used to compact samples of Group 1 was  
 174 roughly the same. However, each sample was prepared at different water contents and void  
 175 ratios. The PSDs of all 5 samples are clearly bimodal, with micro-pores between 0.01 and  
 176 0.1 $\mu$ m and macro-pores ranging between 1 and 100 $\mu$ m. This is typical of tropical residual soils  
 177 subjected to high chemical weathering and presence of natural aggregations (Futai & Almeida,  
 178 2005; Lopes, 2016; Otálvaro, Neto & Caicedo, 2015; Santos & Esquivel, 2018; Miguel & Bonder,  
 179 2012).



180 Fig. 3 MIP results of Group 1 samples on compaction curve (a) Normalized MIP, (b) Pore Size Distribution.

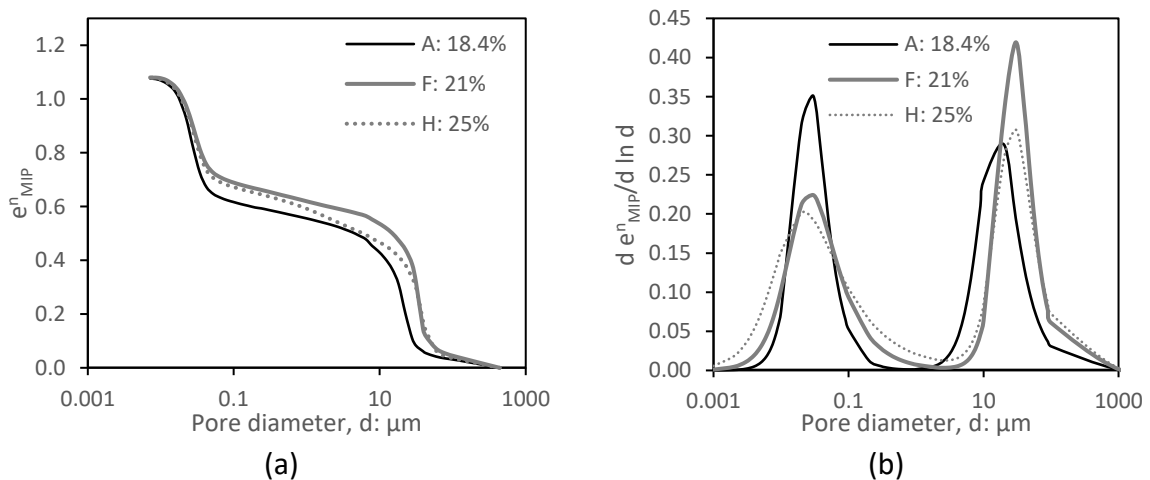


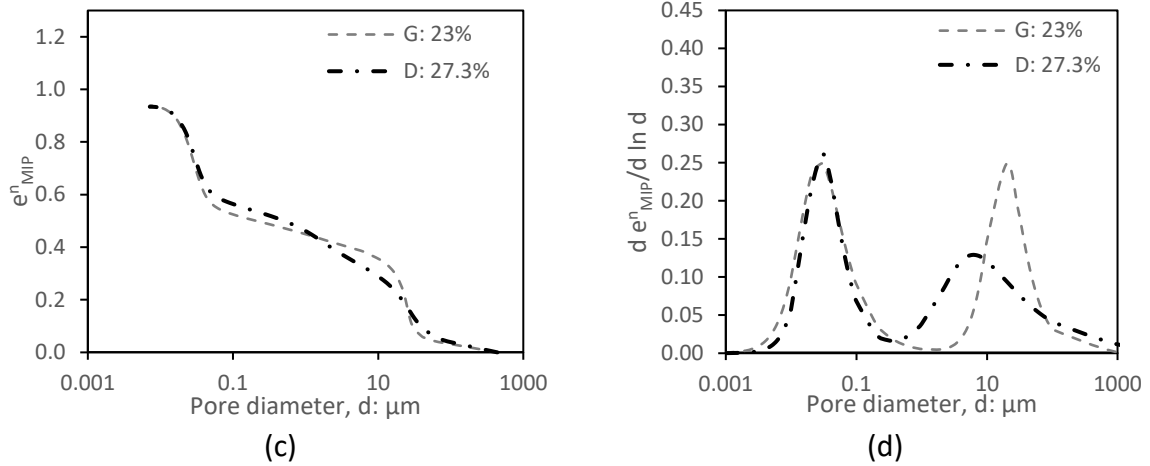
181 While the increase in water content seems to impact on the frequency and dominant pore  
 182 size of the macro pores' range, it does not show much effect on the micro mode, apart from  
 183 a small reduction in the frequency of the micro-pores.

### 184 3.2. Group 2 (A, F and H) and 3 (G and D) – same void ratio

185 Fig. 4 presents the normalized MIP void ratio (Eq. 1) curve and the PSD of samples in Groups  
 186 2 and 3. Samples in these two groups have the same dry unit weight, hence the same void  
 187 ratio (Group 2:  $e_{SAMPLE} = 1.077$ ; Group 3:  $e_{SAMPLE} = 0.938$ ), but they were compacted at different  
 188 water contents and using different compactive efforts.

189 The water contents of the samples go from lower than optimum (A and F for Group 2 and G  
 190 for Group 3) to higher than optimum (H and D for Groups 2 and 3, respectively). The increase  
 191 in water content did not affect the dominant micro-pores of samples in Group 2, only their  
 192 frequency distribution was reduced. Meanwhile, the micro-porosity is virtually the same for  
 193 samples in Group 3. The dominant macro-pores changed with the increase in water content  
 194 for both groups. However, while in Group 2 the dominant macro-pores shifted to the right  
 195 with the increase in water content, they shifted to the opposite direction, for Group 3 samples.

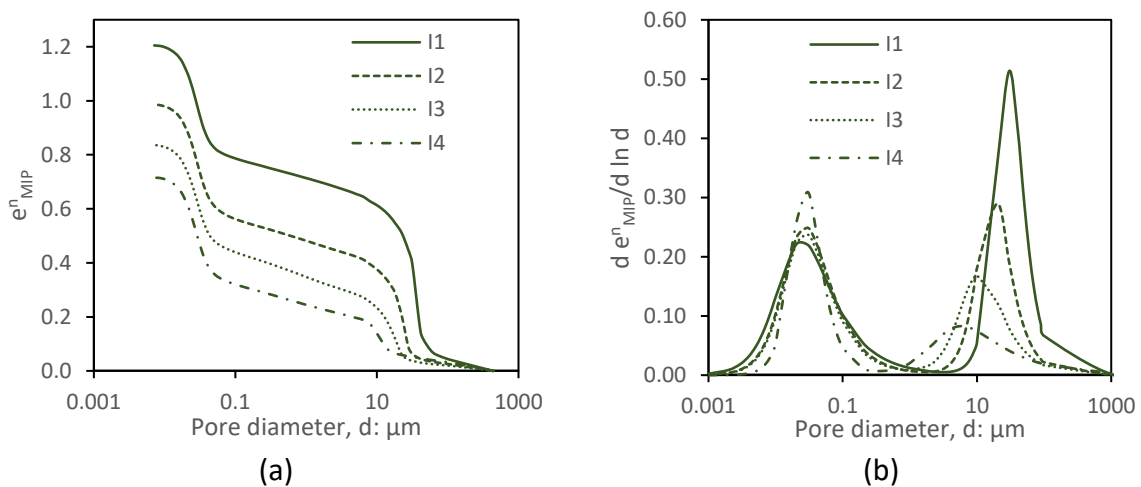


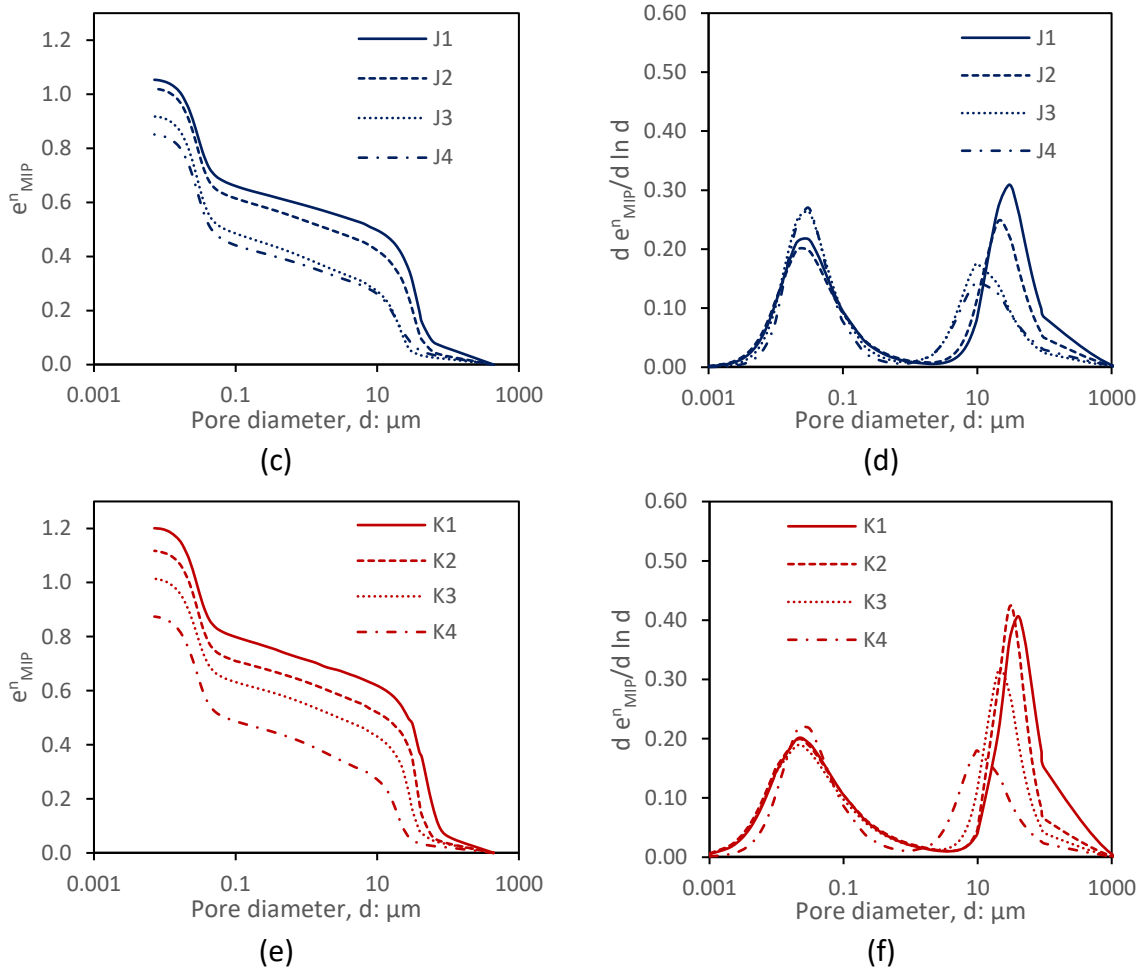


196 Fig. 4 MIP results of Group 2 samples (a) Normalized MIP; (b) Pore Size Distribution; MIP results of Group 3  
 197 samples (c) Normalized MIP; and (d) Pore Size Distribution.

198 3.3. Group 4 (I), 5 (J) and 6 (K) – same water content

199 Samples of Groups 4, 5 and 6 were compacted between them under the same water content  
 200 but different compactive effort, which reflects the different void ratios obtained. Fig. 5  
 201 presents the normalized MIP void ratio (Eq. 1) curve and the PSD of samples in Groups 4, 5  
 202 and 6. In all cases the macro-pores density distribution reduces, and the dominant macro-  
 203 pores shift to the left as the energy of compaction increases. Meanwhile, the dominant micro-  
 204 pores do not change significantly. On the other hand, the density distribution of the micro-  
 205 pores increases and becomes slightly narrower with the magnification of the compactive  
 206 effort.



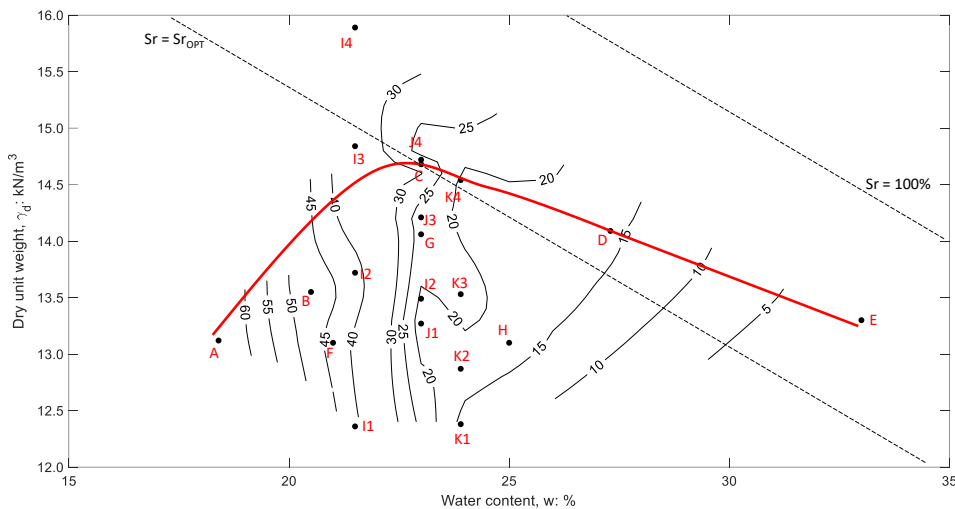


207 Fig. 5 MIP results of Group 4 samples (a) Normalized MIP, (b) Pore Size Distribution; MIP results of Group 5  
 208 samples (c) Normalized MIP, (d) Pore Size Distribution; MIP results of Group 6 samples (e) Normalized MIP, and  
 209 (f) Pore Size Distribution.

210 **4. Discussions**

211 Compaction conditions affected the dominant macro-pore sizes while the dominant micro-  
 212 pore sizes did not show significant variations in any of the groups. In fact, other authors have  
 213 reported similar observations in these regards (Otálvaro, Neto & Caicedo, 2015; Santos &  
 214 Esquivel, 2018). Queiroz (2015) determined experimentally the Soil Water Retention Curves  
 215 (SWRC) for all the samples presented here. The SWRC of the samples fitted using a bimodal  
 216 equation (Durner, 1994) are presented in the Appendix (Fig. A.1). Suction measurements  
 217 obtained from this data were used to create the compaction plot with interpolated iso-suction  
 218 curves (triangulation-based natural neighbour) presented in Fig. 6. On the dry side of

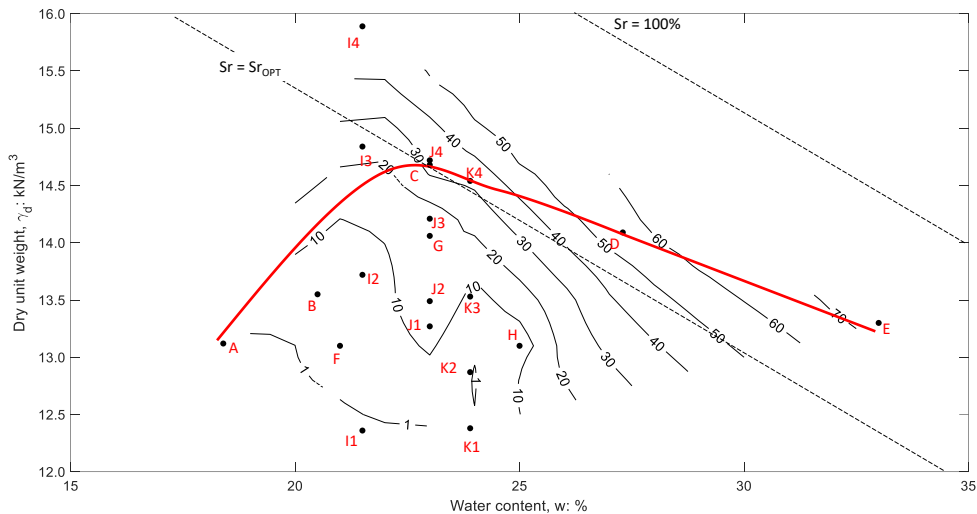
219 optimum, the higher suction values seem to be more effective in keeping the size of dominant  
 220 macro-pores than those on the wet of optimum. Suction appears to keep the aggregates  
 221 resistant to the compaction process, thus it is necessary to increase the compactive effort to  
 222 modify the dominant macro-pore size when the samples are on the dry of optimum, as  
 223 observed for samples in Group 4. Indeed, this finding seems to be in line with Toll (2000)'s  
 224 suggestion that the degree of aggregation of the data presented by Zein (1985) was related to  
 225 the degree of saturation. The argument that suction supports the aggregated fabric raised in  
 226 this paper is also consistent with evidence presented by Toll (1990) on a lateritic soil  
 227 compacted at low degrees of saturation. The author suggested that the aggregated structure  
 228 created by the low degree of saturation behaves like a 'coarser' material with a higher angle  
 229 of shearing resistance.



230  
 231 Fig. 6 Compaction plot with iso-suction curves.

232 The SWRC of the samples analysed (Fig. A.1) show that the micro-pores are fully saturated  
 233 ( $Sr_m = 100\%$ ) at the given compaction water contents. Thus, the micro void ratio ( $e_m$ ) can be  
 234 used to estimate the water content of the micro porosity ( $w_m = e_m/G_s$ ) hence the water  
 235 content and the degree of saturation associated with the macro porosity can also be  
 236 determined ( $w_M = w_{sample} - w_m$ ;  $Sr_M = w_M \times G_s/e_M$ ). Fig. 7 shows the compaction plot with

237 interpolated iso-macro degree of saturation curves (triangulation-based natural neighbour).  
 238 Apart from samples on the optimum (C), on the wet of optimum (D and E), and those  
 239 compacted with the highest energy (I4, J4 and K4) the degree of saturation of the macro-pores  
 240 of the other samples is very low, below 20%. Fig. 7 could help one understand the similar  
 241 framework observed amongst the PSD curves of those samples below the Standard Proctor  
 242 compaction curve. This plot (Fig. 7) suggests that the macro-pores of any sample under the  
 243 Standard Proctor curve and on the dry side of the curve itself have very limited amounts of  
 244 free water available, which in turn makes difficult for the macro-fabric to be modified.



245

246 Fig. 7 Compaction plot with iso-macro degree of saturation curves.

247 **5. Conclusions**

248 This paper has investigated the influence that compaction conditions, such as water content,  
 249 compaction energy and void ratio, have on the structure of a tropical residual soil. Results  
 250 showed that the pore size distributions were affected by the different compaction conditions  
 251 imposed on the samples. None of the different conditions of water content and energy  
 252 imposed during compaction were sufficient to erase the macro-pores completely. In this

253 sense, it appears that the low availability of water within the macro pores, hence suction,  
254 could have played a decisive role in maintaining the bimodal framework of the PSD.

255 Thus, the present study contributes to a better understanding of the evolution of one  
256 important engineering property, soil structure, of a historically understudied group of soils  
257 subjected to different compaction conditions.

258 Soil hydro-mechanical properties are directly related to soil structure. Further studies into this  
259 topic could provide aid to interpret the hydro-mechanical behaviour of soils, which affects the  
260 performance of geotechnical structures.

## 261 Data Availability Statement

262 All data and models that support the findings of this study are available from the  
263 corresponding author upon reasonable request.

## 264 Acknowledgments

265 The authors would like to thank Universidad de los Andes for the support provided to carry  
266 out the MIP tests.

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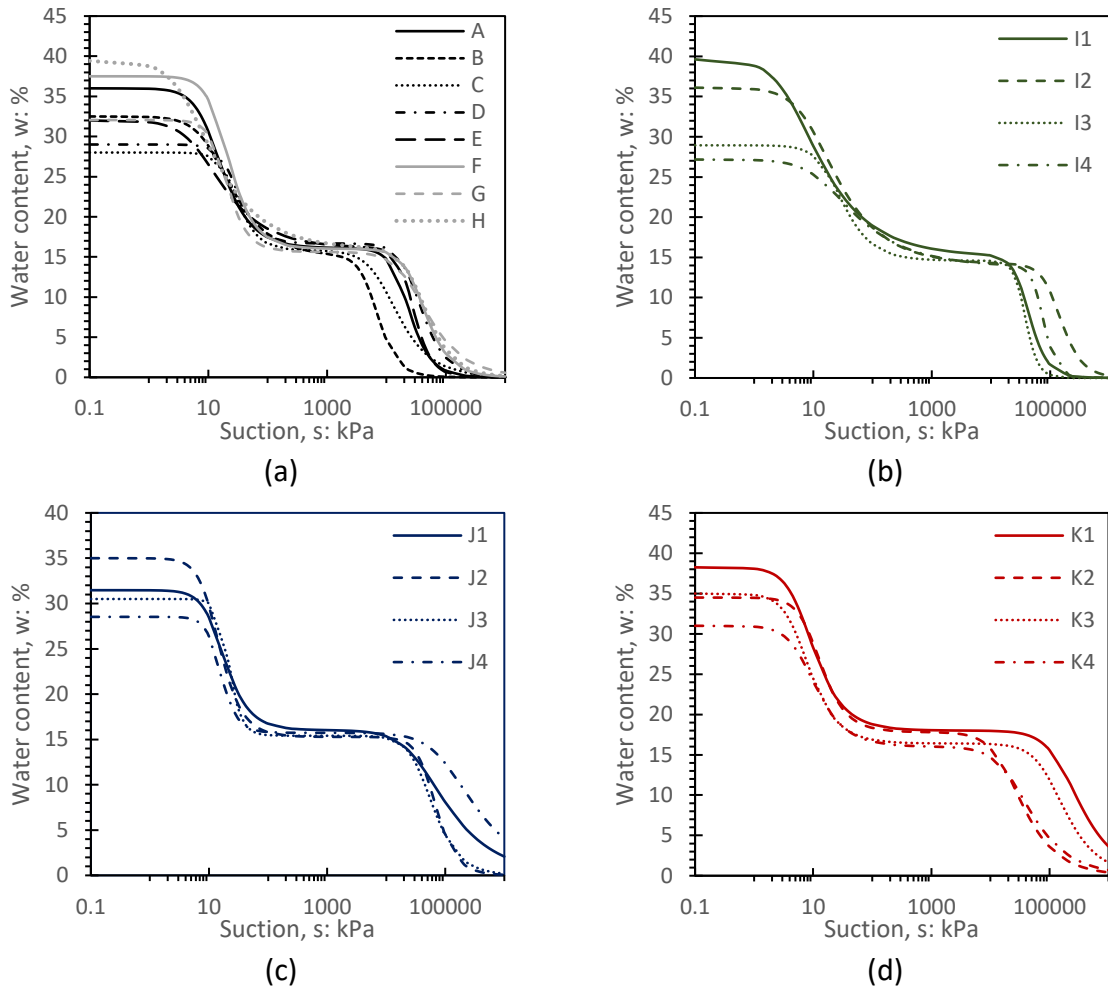
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349 Appendix  
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351 Fig. A.1. Soil-Water Retention Curves, samples in (a) Group 1 to 3, (b) Group 4, (c) Group 5, and (d) Group 6

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