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Uncertainty quantification and propagation of crowd behaviour effects on pedestrian-induced vibrations of footbridges

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# ABSTRACT

12 The reliable prediction of pedestrian-induced vibration is essential for vibration serviceability assessment and further vibration mitigation design of footbridges. The response 13 of the footbridge is governed by not only the structure dynamic model but also the crowd-14 15 induced load, which naturally involves randomness and uncertainty. It is consequently significant to appropriately characterize the uncertainties during the numerical modelling of the 16 crowd behaviour effects on crowd-induced load. This work proposes a comprehensive approach 17 to quantify the uncertainty from both the structure dynamic model and the crowd behaviour, 18 19 and subsequently, to propagate the multiple sources of uncertainties from the input parameters 20 to the response of the footbridge. The crowd behaviour is simulated using the social force model 21 and translated to the crowd-induced load by combining with a single pedestrian induced walking force model. By decoupling the continuous model into several single degrees of 22 freedom systems according to relevant modes in the vibration serviceability evaluation, the 23 structure dynamic model of the footbridge is developed where the structural responses are 24 25 calculated. In this paper, all the uncertain parameters are investigated together in a double-loop framework to perform uncertainty quantification and propagation in the form of probability-26 27 box (shortly termed as P-box). The uncertainty space of the peak structural responses is finally 28 obtained by the Monte Carlo sampling and optimization in the outer loop and inner loop, respectively. Feasibility and performance of the overall approach are demonstrated by 29 considering a real scale footbridge, and the failure probability of each comfort class regarding 30 31 the peak acceleration response is also evaluated. Results show that, special attention should be paid on both the epistemic and aleatory uncertainties from the crowd behaviour in the vibration 32 serviceability assessments of footbridges. The proposed uncertainty quantification framework 33 may provide significant insights and improve the reliability for future vibration serviceability 34 35 evaluations of footbridges by incorporating the crowd behaviour effects.

Keywords: human-induced vibrations; footbridges; vibration serviceability; crowd behaviour;
 social force model; uncertainty quantification; uncertainty propagation

# 38 **1. Introduction**

Modern footbridges are sensitive to human-induced excitations (Živanović et al. 2005, Gong et al. 2021). When the vibration serviceability criteria are not satisfied, vibration mitigation measures are required (e.g., Diaz and Reynolds 2010, and Ferreira et al. 2019). In dynamic design of footbridges, vibration serviceability is satisfied by controlling the predicted vibration levels within comfort levels required by users and guidelines (HiVoSS 2008, Sétra 2006, and ISO 10137, 2007). Comfort levels in guidelines are commonly defined as limiting

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45 accelerations. The reliable prediction of structural responses is essential for vibration 46 serviceability assessment and further vibration mitigation design of footbridges if relevant.

47 The response of the footbridge is governed by not only the structure dynamic model but also the crowd-induced load (Wei et al. 2017 and Fu and Wei 2020). Sources of uncertainties 48 exist in the prediction of pedestrian-induced vibrations of footbridges both for the structure and 49 excitation parts. For the structure part, the natural frequencies may be variant due to 50 environmental changes e.g., temperature and humidity (e.g., Xia et al. 2006, Moser and 51 Moaveni 2011, and Borges et al. 2021). Damping ratios are also inherently uncertain (e.g., 52 53 Kareem and Gurley 1996 and Geweth et al. 2021). Furthermore, due to the mechanical 54 interaction between the pedestrian crowd and the structure (the so-called human-structure interaction, HSI), the dynamic parameters of the coupled crowd-structure system can be 55 significantly modified when compared to the empty structure before the arrival of the 56 pedestrians (e.g., Alexander 2006 and Mohammed and Pavic 2020). Basically, researchers 57 58 agree that, the HSI can add damping to the coupled system and the modal frequencies can be slightly modified. Lievens et al. (2016) investigated the effect of modal parameter uncertainties 59 on the predicted structural responses. More specifically, it reports that, the deviation from the 60 61 nominal value can be up to 10% for natural frequency; the deviation can be up to 50% for 62 damping ratio. Based on the vibration serviceability assessment of real footbridges, it shows that, the modal parameter uncertainties significantly affect the prediction results. 63

64 Considering the human-induced excitation loaded on the footbridge, significant sources of the crowd-induced load include: crowd density, arrival times, pedestrian walking speeds, 65 walking trajectories, step frequencies, body weights, etc. For the crowd density, current 66 guidelines (e.g., HiVoSS 2008 and Sétra 2006) suggest considering six typical densities in the 67 design, i.e., 0.1, 0.2, 0.5, 0.8, 1.0, 1.5 persons/m<sup>2</sup>. To consider the randomness of arrival times, 68 a Poisson distribution is generally employed (Živanović 2012). The importance of considering 69 the inter- and intra-subject variabilities in walking speeds, walking trajectories, step frequencies, 70 71 and body weights in the prediction of structural responses are investigated by recent research 72 (e.g., Wei et al. 2017 and Fu and Wei 2020). Results also show it is essential to consider crowd behaviour effects in the prediction of pedestrian-induced vibrations. 73

74 However, to the best of the authors' knowledge, few works directly applies uncertainty quantification methodology to account for crowd behaviour effects, and thus few relevant 75 76 investigations is performed on how the uncertainties propagate and how much the uncertainties 77 affect the pedestrian-induced responses of the footbridge. Uncertainty quantification and propagation have been widely investigated in various engineering fields such as, probabilistic 78 model updating (Behmanesh et al. 2018) of and reliability analysis (Jiang et al. 2021, Zhang 79 2005 and Zhang et al. 2010) of civil structures, parameter estimation of ultrasonic inspection 80 81 system (Ben Abdessalem et al. 2018), precise numerical modelling of aerospace structures (Vishwanathan and Vio 2019), and performance assessment of heavy-duty vehicle system 82 83 (Kwon 2020), etc.

84 The uncertainty quantification and propagation approaches allow the numerical simulation to be extended from the deterministic domain to the stochastic domain, where the predicted 85 system responses are regarded as imprecisely probabilistic variables that fall into the 86 uncertainty space. In this work, the uncertainty space is characterized by the conception 87 88 probability-box, shortly termed as P-box, (Bi et al. 2019) where both the aleatory uncertainty and the epistemic uncertainty are considered. As a classical categorization approach, the 89 90 uncertainties are divided to be either aleatory or epistemic according to it is caused either by 91 the natural randomness of the system, or caused by the lack of knowledge. In current study, all 92 the uncertain parameters of the structure dynamic model and the crowd-induced load of the 93 footbridge are investigated together and classified into four categories according to whether the 94 aleatory and/or epistemic uncertainties are involved. The P-boxes are calculated for each of the 95 four categories of input parameters, and subsequently propagated through a double-loop framework, after which the P-box of the system response of the footbridge, i.e. the maximum 96

97 acceleration responses, is obtained. The double-loop approach is motivated from the interval 98 Monte Carlo method for reliability analysis proposed by Zhang et al. (2010). This approach investigates the aleatory uncertainty and the epistemic uncertainty separately, where the 99 100 aleatory uncertainty is characterized by specific distribution of the parameter, while the 101 epistemic uncertainty is presented by assuming the distribution coefficients as unknown-but-102 bounded values. The reliability analysis therefor considers families of distributions whose 103 parameters are within the intervals. Correspondingly, the failure probability is no longer a determined value but an uncertain value fallen within an interval. Being different from the 104 105 interval Monte Carlo methods, this work proposes a double-loop approach where the outer loop employs the Monte Carlo sampling approach, and the inner loop employs the optimization to 106 107 search the epistemic uncertainty space. The double-loop allows the propagation of the 108 uncertainty from the input parameters to the output features. As a result, the P-box of the system 109 behaviours, such as the human-induced vibration, is available.

110 The P-box of the human-induced vibration provides an effective means to estimate 111 confidence for the predicted structural responses of the footbridge. For instance, the range of 112 the failure probability regarding the peak vibration response can be assessed. From the aspect 113 of engineering practices of the vibration serviceability analysis, it is essential to provide 114 references for footbridge designers with the information on the maximum acceleration 115 responses, such that to avoid the design to be either too risky or conservative.

116 The proposed approaches and the remaining parts of the paper are explained as follows. Section 2 presents the social force model to characterize the crowd behaviours, i.e. the time-117 118 variant position and velocity of pedestrians, which are subsequently translated into the crowdinduced load. Section 3 develops the structural responses calculation of the footbridge excited 119 by the above obtained crowd-induced load, through a linear dynamic system with proportional 120 damping. The double-loop uncertainty quantification and propagation framework are 121 formulated in Section 4, where the Monte Carlo technique and optimization are performed in 122 123 the outer and inner loops, respectively. Section 5 presents an illustrative example. Finally, 124 conclusions are drawn in Section 6.

#### 125 **2. Representation of crowd-induced load**

## 126 **2.1 Crowd behaviour simulation**

127 In a pedestrian crowd, there are necessarily different pedestrians. Different persons may inevitably have different walking parameters and induce different walking forces, i.e. the so-128 129 called inter-person variability. Even for a single person, he/she can also walk in different ways and thus results in different walking parameters and loads. This is the intra-person variability 130 131 (Živanović et al. 2005 and Fu and Wei 2020). To consider the inter- and intra-person variability 132 in walking parameters and induced forces among pedestrians in a crowd, the pedestrian crowd model is required to consider the individual and microscopic crowd behaviour. Furthermore, to 133 134 reliably predict the pedestrian-induced vibrations of footbridges, it also requires knowing 135 relevant crowd behaviour such as passing trajectories and speeds of each pedestrian in the 136 crowd (e.g., Wei et al. 2017 and Fu and Wei 2020). When passing on a structure, the crowd 137 behaviour is influenced not only by their personal motivation and psychological effects, but 138 also the interactions with their surroundings, i.e. other people in the crowd and obstacles in the 139 walkway. Mathematically, all these influencing factors can be treated as forces acting on and 140 guiding each person in the crowd (Helbing et al. 1995, 2000a, 2005).

141 Due to its ease of use and its effectiveness to capture the main characteristics of crowd 142 behaviour, the social force model becomes one of the most widely applied models to simulate 143 pedestrian crowd behaviour on pedestrian structures (Helbing et al. 1995, 2000a, 2005, Wei et 144 al. 2017 and Fu and Wei 2020). The basic idea is that each person (represented by a randomly 145 selected pedestrian  $\alpha$ ) in a crowd is guided by the social force composed of three force items. 146 To be simplified and uniform for all persons, the force items [N] are expressed in terms of 147 acceleration  $[m/s^2]$ , which can be easily converted to force by multiplying the corresponding 148 body mass  $m_{\alpha}$  [kg]. The three force items, namely the driving force, the repulsive force 149 subject to collision, and the repulsive force subject to borders, are explained as follows.

#### 150 (1) The driving force

151 A driving item to motivate the person to the desired destination:

$$\vec{f}^{0}_{\alpha}(t) = \left[ v^{0}_{\alpha}(t) \vec{e}_{\alpha}(t) - \vec{v}_{\alpha}(t) \right] / \tau_{\alpha} \tag{1}$$

152 which is dependent on the desired speed  $v_{\alpha}^{0}(t)$ , the desired direction  $\vec{e}_{\alpha}(t)$ , the actual velocity 153  $\vec{v}_{\alpha}(t)$ , and the relaxation time  $\tau_{\alpha} = 0.5$  s (Helbing et al. 1995, 2000a and Wei et al. 2017). 154 The relaxation time is the time required to eliminate the difference between the actual and the 155 desired velocities. The desired speed is time-variant and shows an increasing trend, which can 156 be considered as:

$$v_{\alpha}^{0}(t) = [1 - n_{\alpha}(t)] v_{\alpha}^{0}(0) + n_{\alpha}(t) v_{\alpha}^{max}$$
<sup>(2)</sup>

157 In which,  $v_{\alpha}^{0}(0)$  and  $v_{\alpha}^{max} (= 1.3 \times v_{\alpha}^{0}(0))$  are the initial and maximum desired walking 158 speeds, respectively.  $n_{\alpha}(t)$  is a quantity to reflect the person's nervousness and impatience in 159 the walking process to attain the desired destination:

$$n_{\alpha}(t) = 1 - \bar{\nu}_{\alpha}(t) / \nu_{\alpha}^{0}(0)$$
(3)

160 with  $\bar{v}_{\alpha}(t)$  the average walking speed.

161

#### 162 (2) The repulsive force subject to collision

163 A repulsive item is considered to avoid collision with or try to keep a certain distance from 164 others. This force is mainly due to psychological effect. To illustrate it, another random person 165 (pedestrian  $\beta$ ) in the crowd is introduced. The interaction force between the pedestrian  $\alpha$  and 166  $\beta$  is:

$$\vec{f}_{\alpha\beta}(t) = A_{\alpha}^{1} e^{\frac{r_{\alpha\beta} - d_{\alpha\beta}}{B_{\alpha}^{1}}} \vec{n}_{\alpha\beta} [\lambda_{\alpha} + (1 - \lambda_{\alpha}) \frac{1 + \cos(\phi_{\alpha\beta})}{2}]$$
(4)

with two parameters related to the territorial effect  $A_{\alpha}^{1} = 9.43$  m/s<sup>2</sup> (the interaction strength) 167 and  $B_{\alpha}^{1} = 0.35$  m (the repulsive interaction range) (Wei et al. 2017 and Wei 2021).  $r_{\alpha\beta} =$ 168  $r_{\alpha} + r_{\beta} = 0.6$  m is the sum of the two pedestrian radii (Helbing et al. 1995, 2000a). The radii 169 170 of the two persons can be assumed as the same, i.e.,  $r_{\alpha} = r_{\beta} = 0.3$  m.  $d_{\alpha\beta}$  is the distance between these two mass centres.  $\lambda_{\alpha} = 0.82$  is a factor to account for the anisotropic nature of 171 172 the pedestrian interaction (Wei et al. 2017 and Wei 2021). The anisotropic nature refers to the 173 fact that, the walking person is influenced by more the front pedestrians than the persons behind.  $\vec{n}_{\alpha\beta}$  is the normalized vector directing from the pedestrians  $\beta$  towards  $\alpha$ , which depends on 174 175 the locations  $\vec{r}_{\alpha}(t)$  and  $\vec{r}_{\beta}(t)$  of the two persons:

$$\vec{n}_{\alpha\beta} = \frac{\vec{r}_{\alpha}(t) - \vec{r}_{\beta}(t)}{d_{\alpha\beta}(t)}$$
(5)

176 Furthermore,  $\phi_{\alpha\beta}$  is the angle between the vector  $\vec{n}_{\alpha\beta}$  and the direction  $\vec{e}_{\alpha}(t)$ :

$$\cos \phi_{\alpha\beta}(t) = -\vec{n}_{\alpha\beta}(t) \cdot \vec{e}_{\alpha}(t) \tag{6}$$

177 In a real crowd, all neighbouring pedestrians contribute the interaction force acting on the 178 pedestrian  $\alpha$ , i.e., the total interaction force is  $\sum_{\beta\neq\alpha}^{n_p} \vec{f}_{\alpha\beta}(t)$ , with  $n_p$  the total number of 179 involved pedestrians, but the force contributions only come from the other  $n_p - 1$  persons 180 since the pedestrian  $\alpha$  him/herself does not have such force item.

#### 181 (3) The repulsive force subject to borders

182 Another repulsive item is to keep clear from borders, e.g., obstacles in the walkway and 183 boundaries of the walking area. The illustration is provided by considering a random border *B*:

$$\vec{f}_{\alpha B}(t) = A^B_{\alpha} e^{\frac{r_{\alpha} - d_{\alpha B}}{B^B_{\alpha}}} \vec{n}_{\alpha B}$$
<sup>(7)</sup>

184 with the interaction strength  $A_{\alpha}^{B} = 5 \text{ m/s}^{2}$  and the repulsive interaction range  $B_{\alpha}^{B} = 0.1 \text{ m}$ 185 due to borders (Helbing et al. 2000a and 2005).  $d_{\alpha B}(t)$  is the distance between the mass centre 186 of the pedestrian  $\alpha$  and the nearest point of the border;  $\vec{n}_{\alpha B}(t)$  is the normalized vector 187 directing from the nearest point of the border to the pedestrian  $\alpha$ :

$$\vec{n}_{\alpha B}(t) = \frac{\vec{r}_{\alpha}(t) - \vec{r}_{B}(t)}{d_{\alpha B}(t)}$$
(8)

As a sum, the interaction forces with borders are  $\sum_{B}^{n_B} \vec{f}_{\alpha B}(t)$ .  $n_B$  is the number of borders involved.

190 Thus, the total social force acting on the pedestrian  $\alpha$  is

$$\vec{f}_{\alpha}(t) = \vec{f}_{\alpha}^{0}(t) + \sum_{\beta \neq \alpha}^{n_{p}} \vec{f}_{\alpha\beta}(t) + \sum_{B}^{n_{B}} \vec{f}_{\alpha B}(t)$$
<sup>(9)</sup>

191 To better illustrate the social force model acting on the pedestrian  $\alpha$ , a visualized plot is 192 presented in Fig. 1. Guided by the social force, the time-variant position  $\vec{r}_{\alpha}(t)$  and velocity 193  $\vec{v}_{\alpha}(t)$  of the person can be obtained by solving the set of coupled differential equations:

$$\frac{\mathrm{d}\vec{r}_{\alpha}(t)}{\mathrm{d}t} = \vec{v}_{\alpha}(t) \tag{10}$$

$$\frac{\mathrm{d}\vec{v}_{\alpha}(t)}{\mathrm{d}t} = \vec{f}_{\alpha}(t) \tag{11}$$

194 The equations can be solved in a time-stepping procedure. Specially, to keep a balance between 195 the accuracy and the efficiency for the obtained positions and velocities, the time step can be 196 set as, e.g. 0.1 s.

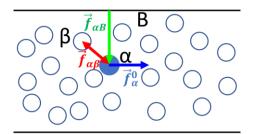




Fig. 1 Visualization for the social force model acting on the pedestrian  $\alpha$ .

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Fig. 2 The coordinate system and dimensions of the walking area on the footbridge deck. X
(along the length), Y (along the width), and Z (along the height) are the longitudinal, lateral,
and vertical directions, respectively.

204 For a given structure (Fig. 2), to simulate the possible crowd behaviour that may pass on 205 it, the structural dimensions (width W and length L) are reasonably assumed to be constant. 206 However, different pedestrian traffic scenarios are expected on the structure. The most relevant 207 cases are defined as pedestrian crowds with six different densities, i.e., 0.1, 0.2, 0.5, 0.8, 1.0, 1.5 persons/m<sup>2</sup> (HiVoSS 2008 and Sétra 2006). Furthermore, for a pedestrian crowd with a 208 given density d, three key random variables should be considered, i.e., the arrival time  $t_{arr,\alpha}$ , 209 the initial position in lateral direction at the entrance  $Y_{\alpha}^{0}$ , and the initial desired walking speed 210  $v^0_{\alpha}(0)$ . These random variables are explained as follows. 211

• The arrival time  $t_{arr,\alpha}$ : different pedestrians may arrive at the structure at different times. 213 The different arrival times are expressed as a Poisson distribution (Živanović 2012, Wei et al. 214 2017 and Fu and Wei 2020). The probability mass function of the Poisson distribution is

$$f(x) = \frac{\lambda^x}{x!} \cdot e^{-\lambda}, \tag{12}$$

with  $\lambda = W \cdot d \cdot \overline{v}(d)$ . The pedestrian arrival rate  $\lambda$  [persons/s] is determined by the bridge width W, the (target) crowd density d, and the mean walking speed of the crowd  $\overline{v}(d)$ . The latter is variant for different geographic areas and travel purposes (Bruno and Venuti, 2008):

$$\bar{v}(d) = \alpha_{\rm G} \cdot \alpha_{\rm T} \cdot \bar{v}_{\rm free} \cdot \left\{ 1 - \exp\left[-\gamma \cdot \left(\frac{1}{d} - \frac{1}{d_{\rm jam}}\right)\right] \right\},\tag{13}$$

In which,  $\bar{v}_{\text{free}} = 1.34$  m/s is the average free walking speed (Helbing et al. 1995, 2000a, Wei et al. 2017 and Fu and Wei 2020).  $\alpha_{\text{G}}$  and  $\alpha_{\text{T}}$  are adjusting coefficients to consider different geographic areas and travel purposes, respectively. Another parameter to consider the travel purposes is the adjusting coefficient  $\gamma$ : for the rush hour, the commuter, and the leisure purposes,  $\gamma = 0.273 d_{\text{jam}}$ ,  $0.214 d_{\text{jam}}$ , and  $0.245 d_{\text{jam}}$ , respectively (Bruno and Venuti, 2008).  $d_{\text{jam}}$  is jam density, which can be considered with 5.4 persons/m<sup>2</sup>, as Weidmann et al.

224 (Bruno and Venuti, 2008, Weidmann 1993, Buchmueller and Weidmann 2006). As shown in 225 the Table 1 and Fig. 3, basically, European people on average walk faster than people in USA 226 and Asia; people walk slowest in leisure status and fastest in rush hour. It is also notable that, 227 when mixed factors are considered for geographic areas and travel purposes, the comparative 228 relationship may be changed, e.g., for most densities, Asia people in rush hour walk faster than 229 European and American people in commuters; however, the relationship is opposite for low 230 densities. In other words, commuter pedestrians can walk faster than rush-hour people, when 231 different geographic areas are considered. As the aforementioned expressions, for a crowd in 232 known geographic area and travel purpose, the corresponding Poisson distribution can be 233 determined for given bridge width W and crowd density d.

• The initial positions in lateral (Y) direction at the entrance  $Y_{\alpha}^{0}$ : different pedestrians start walking from different initial positions at the entrance of the walking area. To ensure safety, the body centre of each person at least keeps away from the border with a distance of the pedestrians radii  $r_{\alpha}$  (= 0.3 m, according to (Helbing et al. 1995, 2000a)). Thus,  $Y_{\alpha}^{0}$  is considered to follow a Uniform distribution:  $U(r_{\alpha}, W - r_{\alpha})$  m.

• The initial desired walking speed  $v_{\alpha}^{0}(0)$ : when start walking, different pedestrians may have different initial walking speeds. On average, at the free walking status, the speeds follow a Normal distribution as:  $\mathcal{N}(1.34, 0.26)$  m/s (Helbing et al. 1995, 2000a, Wei et al. 2017 and Fu and Wei 2020).

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Table 1: adjusting coefficients  $\alpha_{\rm G}$  and  $\alpha_{\rm T}$ .

$lpha_{ m G}$ for geographic areas		$\alpha_{\rm T}$ for travel purposes			
Europe	USA	Asia	Rush-hour /Business	Commuters /Events	Leisure /Shopping
1.05	1.01	0.92	1.20	1.11	0.84

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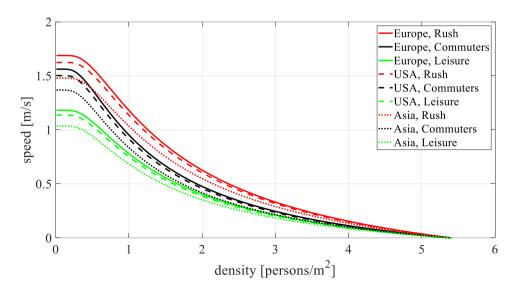


Fig. 3. The speed-density relations for pedestrian crowds at different geographic areas and travel purposes.

#### 248 2.2 Translation from crowd behaviour to crowd-induced load

During the passing process of the crowd, the pedestrians excite the structure in terms of walking forces. Based on the time-variant positions and velocities of each person regulated by the social force, the loading trajectories and step frequencies of each pedestrian are determined. The loading trajectories of the walking forces are just following the walking trajectories for each person. The step frequencies of each person are obtained by the translation relation as follows (Wei et al. 2017 and Fu and Wei 2020):

$$f_{s,\alpha} = 0.35 v_{s,\alpha}^3 - 1.59 v_{s,\alpha}^2 + 2.93 v_{s,\alpha} \tag{14}$$

By inputting the step frequencies into the walking force model of a single pedestrian, the actual walking forces acting on the structure by the person are determined. This study considers the vertical force component as (Wei et al. 2017 and Fu and Wei 2020):

$$F_{z,\alpha}(t) = G_{\alpha} \cdot \left[1 + \sum_{j=1}^{n_z} DLF_{z,\alpha,j} \cdot \sin\left(2\pi \cdot j \cdot f_{s,\alpha} \cdot t + \varphi_{z,\alpha,j}\right)\right]$$
(15)

258 Where, the pedestrian-induced force component in vertical direction (the Z direction of the 259 bridge deck as shown in Fig. 2) is expressed as  $F_{z,\alpha}(t)$ .  $G_{\alpha} = m_{\alpha} \cdot g$  is the body weight.  $n_z$ 260 is the number of harmonics. The walking force is theoretically composed of infinite harmonics, but in practice, it is usually enough to consider only the first several harmonics, which are 261 relevant in the vibration serviceability assessments for most footbridges. It can be even more 262 263 simplified: as suggested by the design guidelines (e.g., HiVoSS 2008 and Sétra 2006), the 264 structural responses are often governed by the mode with natural frequency in the range of 265 walking forces; and thus, it is often reasonable to only consider the relevant single mode in the vibration serviceability assessment.  $DLF_{z,\alpha,j}$  is the corresponding dynamic load factor (DLF) 266 for the *j*th harmonic. According (Young 2001), the DLFs are dependent on step frequency  $f_{s\alpha}$ , 267 268 which are defined as:

$$DLF_{z,\alpha,1} = 0.41(f_{s,\alpha} - 0.95)$$
, for the 1<sup>st</sup> harmonic, with  $f_{s,\alpha}$  in [1, 2.8] Hz; (16a)

 $DLF_{z,\alpha,2} = 0.069 + 0.0056 \times 2f_{s,\alpha}$ , for the 2<sup>nd</sup> harmonic, with  $2f_{s,\alpha}$  in [2, 5.6] (16b) Hz;

 $DLF_{z,\alpha,3} = 0.033 + 0.0064 \times 3f_{s,\alpha}$ , for the 3<sup>rd</sup> harmonic, with  $3f_{s,\alpha}$  in [3, 8.4] Hz; (16c)

 $DLF_{z,\alpha,4} = 0.013 + 0.0065 \times 4f_{s,\alpha}$ , for the 4<sup>th</sup> harmonic, with  $4f_{s,\alpha}$  in [4, 11.2] (16d) Hz.

269 The corresponding phase angle is considered as  $\varphi_{z,\alpha,j} = 0$  in the calculations, due to lack of 270 reliable experimental data and precise physical meaning.

The time-variant crowd-induced load is constructed by superposition of the force contributions from all real-time pedestrians on the structure. The sources of randomness of the pedestrian-induced loads (directly and indirectly) come from:

• The body weight  $G_{\alpha}$ : different pedestrians may have different body weights. The scatter can be described with a Normal distribution, e.g.,  $\mathcal{N}(750,150)$  N (Živanović 2012).

• The walking speeds  $v_{s,\alpha}$ : the walking speeds are determined by the parameters of the social force model (see subsection 2.1) and may affect the time history of the loading. The distributions are unknown and thus require to be determined.

• The step frequency  $f_{s,\alpha}$ : the step frequencies are determined by the speeds  $v_{s,\alpha}$  (see Eq. (14)). The distributions are also to be determined.

• The DLFs  $DLF_{z,\alpha,j}$ : the DLFs are dependent on step frequency  $f_{s,\alpha}$ , as defined in Eq. (16). The distributions are unknown and to be given.

#### **3. Structural response calculation**

To calculate the structural responses induced by the crowd, basic assumptions as mentioned in classic dynamics of structures are applied (Chopra 2012), i.e., linear system and proportional damping are assumed. The basic equations of motion can be:

$$\mathbf{M}\ddot{\mathbf{Z}} + \mathbf{C}\ddot{\mathbf{Z}} + \mathbf{K}\mathbf{Z} = \mathbf{P}(\mathbf{t}) \tag{17}$$

with the mass matrix **M**, the damping matrix **C**, and the stiffness matrix **K**. **Z**, **Z**, and **Z** are the acceleration, velocity, and displacement matrix, respectively. P(t) is the load matrix.

Based on the basic assumptions, the system is decoupled into  $n_{dof}$  equivalent single degree of freedom (SDOF) systems. The  $n_{dof}$  can be determined by considering the relevant modes in the vibration serviceability evaluation. For each SDOF system, it has a set of modal parameters (the modal mass  $M_n$ , natural frequency  $f_n$  and modal damping ratio  $D_n$ ). The SDOF system is governed by:

$$M_n \ddot{z}_n + C_n \dot{z}_n + K_n z_n = \{ \phi_n \}^T \boldsymbol{P}(\boldsymbol{t})$$
(18)

with  $C_n = 2M_n D_n (2\pi f_n)$  and  $K_n = M_n (2\pi f_n)^2$  the corresponding damping and stiffness coefficients, respectively.  $\ddot{z}_n$ ,  $\dot{z}_n$  and  $z_n$  are the modal acceleration, velocity, and displacement, respectively.  $\emptyset_n$  is the corresponding vibration mode. The corresponding modal load  $\{\emptyset_n\}^T P(t)$  is obtained by superposition of the force contributions from all real-time pedestrians on the structure.

In the structural response calculation, the modal mass  $M_n$  is reasonably assumed to be constant. However, the natural frequency  $f_n$  and damping ratio  $D_n$  are expected to be variant (Xia et al. 2006, Moser and Moaveni 2011, and Lievens et al. 2016).

• To consider the corresponding scatters in  $f_n$  and  $D_n$ , the basic assumptions are made as: the  $f_n$  and  $D_n$  have nominal values  $f_n$  and  $\overline{D}_n$  as the corresponding mean values, respectively. The actual values of  $f_n$  and  $D_n$  may vary and thus respectively considered as variable within intervals of  $[0.9f_n, 1.1f_n]$  Hz and  $[0.5\overline{D}_n, 1.5\overline{D}_n]$ , according to observations in (Lievens et al. 2016).

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#### **308 4. Uncertainty quantification (UQ) framework**

#### 309 4.1 Uncertainty sources and parameter categorisation

310 Uncertainties in the prediction of pedestrian-induced vibrations of footbridges come from 311 both the structure and the excitation parts. The former can include the structure's modal 312 parameters (modal mass, natural frequency, and damping ratio), geometric size (length, width, ...), etc. The latter covers the properties of the crowd as described in Sec. 2, including 313 314 geographic area, travel purpose, the pedestrians' arrival times, initial positions, initial speeds, 315 body weights, etc. Although the above parameters are regarded to be "uncertain", they can be 316 governed by different types of uncertainties. The uncertainty is classified to be either epistemic 317 or aleatory. The epistemic uncertainty is caused by lack of knowledge, and thus it can be 318 reduced as the better understanding of the investigated problem is achieved; the aleatory

uncertainty is the natural feature of the physical system which cannot be avoided; however, it still requires the appropriate representation. For example, the pedestrians' arrival times, initial positions, initial speeds, and body weights are governed by the aleatory uncertainty, while the analytical model of the structure dynamic model of the bridge involves epistemic uncertainty leading the natural frequency and damping ratio cannot be precisely determined. Note that, it is also possible that some parameters would involve both the epistemic and aleatory uncertainties simultaneously.

To perform a comprehensive uncertainty analysis involving all the uncertainty sources above, it is necessary to first categorize these parameters into four types according to whether the epistemic uncertainty or the aleatory uncertainty is involved (Bi et al. 2019).

• Type I: parameters without any uncertainty, i.e., explicit constants. For instance, for a given structure, the length and width can be regarded as fully determined constant values.

Type II: parameters with only epistemic uncertainty. These parameters are unknown but-fixed constants, bounded by a known interval. For example, the natural frequency and
 damping ratio can be variant within certain intervals.

• Type III: parameters with only aleatory uncertainty. These parameters are no longer constants but can be described as random variables. Because no epistemic uncertainty is involved, the random variable can be fully determined by its probability characteristics e.g., the distribution format, mean and variance.

Type IV: parameters with both aleatory and epistemic uncertainties. Being more
 complex than the Type III and II, theses parameters are imprecise probability variables with
 only vaguely determined uncertainty characteristics.

Different representations of uncertainty characteristics are applied according to the corresponding categorisation of parameters. Ferson (2003) proposed the P-box to describe the uncertainty space of variables with imprecise probability, i.e., the Type IV parameters. More detailed information can be found in (Bi et al. 2019). The following text briefly introduces the most relevant contents for uncertainty quantification and propagation through the analytical model in the format of P-box.

## 347 4.2 Footbridge uncertainty behaviour quantification through P-box

The P-box is a visualized representation for uncertainty space of variables with imprecise probability. More specifically, a distributional P-box is a family of cumulative distribution functions (CDF) for a random variable, encompassing an infinite number of CDF curves. The CDF family  $\mathcal{F}(p)$  for a variable p is expressed as:

$$\mathcal{F}(p) \supseteq \mathcal{F}(p,\theta), \ \theta \in [\theta, \overline{\theta}] \tag{19}$$

352 with  $\theta$  the distribution coefficients of p. The parameter p can be any type of the previously 353 categorized four parameter types, which corresponds to different formats of P-boxes (Fig. 4). For the most complex case (type IV variable), the epistemic uncertainty is presented by the 354 355 interval  $[\theta, \overline{\theta}]$ . This interval leads to infinite number of CDF curves within the distributional 356 P-box, and that is why a P-box is also known as an uncertainty space of an imprecise probability variable p. The lower and upper bounds of the curve family  $\mathcal{F}$  and  $\overline{\mathcal{F}}$  can be determined by 357 the interval of the distribution coefficients  $[\theta, \overline{\theta}]$ . The shape (horizontal position and slope) of 358 359 each CDF curve is controlled by the mean and variance of a distribution: the horizontal position 360 is determined by the mean value; the slope is dependent on the variance value (scatter level of the distribution). More specifically, the horizontal position moves along the direction of the 361 362 increase in the mean; the slope tends to gentler with the increase of the variance value. It is 363 notable that a P-box border is not always a complete CDF curve of a specific distribution, but sometimes a combination of multiple CDF curves. 364

#### 365 **4.3 Propagation of the P-box from the input parameters to the footbridge behaviour**

366 A double-loop approach is proposed in this subsection to propagate the uncertainty sources 367 from the input parameters to the output behaviour of the footbridge, i.e., the pedestrian-induced 368 vibrations, such that the uncertainty properties of the footbridge vibration can be quantified. 369 The double-loop process is illustrated in Fig. 4, where the outer loop employs the Monte Carlo 370 approach to handle the aleatory uncertainty, and the inner loop executes the optimization to 371 determine the maximum and minimum of the output regarding to each Monte Carlo sampling. 372 In the outer loop, it quantifies the aleatory uncertainty by a Monte Carlo process within the 373 probability space of the cumulative distribution function. Specifically, in each Monte Carlo 374 simulation, for each parameter, it randomly samples a separate probability value along the 375 vertical direction of the P-box (Fig. 4). As shown in Fig. 4, different categories of parameters correspond to different forms P-boxes. To be clear in expressions,  $p_1$ ,  $p_2$ ,  $p_3$ , and  $p_4$  are 376 applied to represent the four types of parameters, i.e., Type I, II, III, IV, respectively. 377 Correspondingly,  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$ , and  $\alpha_4$  represent the probability values in a sample. 378

• For Type I: this type of parameter has no uncertainty and thus the CDF simply appears as an impulse function with amplitude of 1, at the fixed position with the parameter value  $p^*$ . Thus, a randomly sampled probability value  $\alpha_1$  corresponds to an invariant parameter value  $p^*$ .

• For Type II: due to epistemic uncertainty, this type of parameter has a family of impulse functions with the given interval  $[\underline{p}^*, \overline{p}^*]$ . The corresponding P-box is a standard rectangle. A random value  $\alpha_2$ , corresponds to the known interval  $[p^*, \overline{p}^*]$ .

• For Type III: the type of parameter is described by a fully determined probability distribution. Thus, each probability value  $\alpha_3$  is related to a separate parameter value  $p^{(\alpha_3)}$ . In other words, different probability values correspond to different parameter values according to the CDF curve of the distribution.

• For Type IV: for the most complex type of parameter, each probability value  $\alpha_4$ corresponds to a separate interval  $[\underline{p}^{(\alpha_4)}, \overline{p}^{(\alpha_4)}]$ . The interval is obtained during the Monte Carlo simulation.

393 The inner loop is performed simultaneously during the Monte Carlo simulation of the outer 394 loop. For each sample of the Monte Carlo simulation, the inner loop is carried out to propagate 395 the epistemic uncertainty from the inputs to the outputs, by solving an optimisation problem. 396 The constrains of the optimisation problem are exert from the outcome of the first loop (the 397 random set realisations). In this study, the 'random set realisations' refer to the different realisations (fixed/varying point or interval) of the parameter (Fig. 4). The inner loop deals with 398 399 the epistemic uncertainty involved in the random set realisations. To be general, the problem is 400 illustrated with an uncertain system:

$$\boldsymbol{x} = h(\boldsymbol{p}) \tag{20}$$

401 where, the system represents the whole simulation process, i.e., from the inputs p, the 402 simulator  $h(\cdot)$ , to the outputs x.

The uncertainty propagation is proceeded by solving an optimisation problem to determine the minimum and maximum of the outputs. The optimisation problem is to find:

$$\min_{\boldsymbol{p}} \{ \boldsymbol{x} = h(\boldsymbol{p}) \} \text{ and } \max_{\boldsymbol{p}} \{ \boldsymbol{x} = h(\boldsymbol{p}) \}$$
(21)

405 using the random set realisations as constraints (Fig. 4), i.e.:

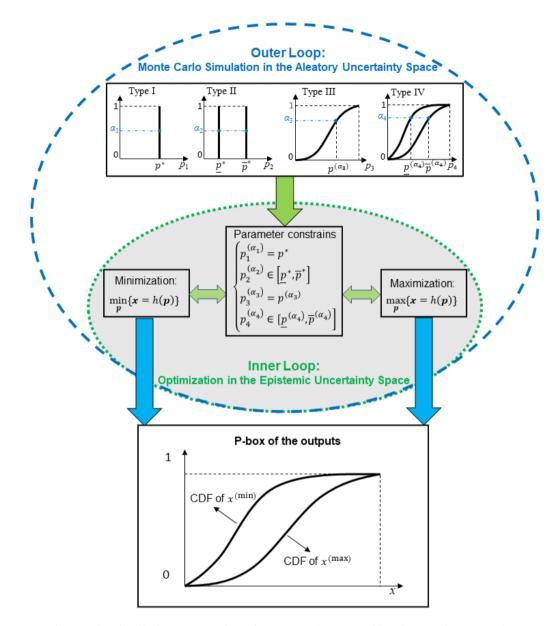
$$\begin{pmatrix} p_{1}^{(\alpha_{1})} = p^{*} \\ p_{2}^{(\alpha_{2})} \in [\underline{p}^{*}, \overline{p}^{*}] \\ p_{3}^{(\alpha_{3})} = p^{(\alpha_{3})} \\ p_{4}^{(\alpha_{4})} \in [p^{(\alpha_{4})}, \overline{p}^{(\alpha_{4})}]$$

$$(22)$$

406 where values of the parameters with the superscript (\*) are fixed, while the parameters with the 407 superscript ( $\alpha$ ) are variant with the probability value  $\alpha$ . It is notable that, constraints defined 408 by Eq. (22) are simple interval constraints, i.e., no complex nonlinear constraints are involved. 409 Furthermore, the interval constraints represent only the epistemic uncertainty, implying the 410 ranges of the intervals are much smaller than the whole domain of definition of the parameters 411 in the system. Thus, the optimisation problem can be solved by typical techniques, e.g., simplex 412 algorithm and interior point method.

413 Fig. 4 presents the overall double loop procedure of uncertainty quantification and 414 propagation. To be generalized, it assumes  $N_{MC}$  Monte Carlo simulations are performed in the 415 UQ, i.e., the sampling size is  $N_{MC}$ . Correspondingly,  $N_{MC}$  random set realisations of the input 416 parameters will be obtained in the first loop. Meanwhile, it executes  $N_{MC}$  times optimisation, 417 with once for each random set realisation.  $N_{MC}$  pairs of minimum and maximum output values 418 will be generated. Two CDFs can be estimated based on the  $N_{MC}$  pairs of minimum and 419 maximum output values. The P-box of the outputs is thus bounded by the two fitted CDFs.

420 After the aforementioned procedures, the P-box provides a clear representation of the 421 uncertainty space of the vibration behaviour of the footbridge. The P-box presentation makes it 422 possible to evaluate the range of the failure probability, which is influenced by both aleatory 423 and epistemic uncertainties from not only the footbridge dynamic model but also the uncertain 424 crowd-induced load added on the footbridge.



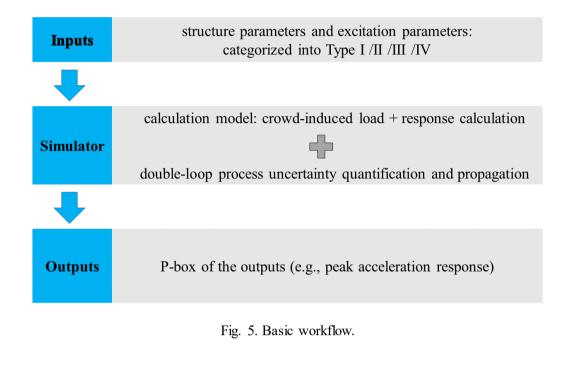


427

Fig. 4. The double-loop procedure for uncertainty quantification and propagation.

# 429 **4.4 Basic workflow**

430 Fig. 5 summarizes the basic workflow of the uncertainty quantification and propagation 431 framework. The first step is to categorize all input parameters into Type I /II /III / IV according 432 to whether the aleatory and/or epistemic uncertainties are involved, as stated in subsection 4.1. 433 In this study, the inputs include both the structure and the excitation parameters. Detailed 434 descriptions of uncertainty characteristics of the input parameters are provided in subsection 435 5.3. Next, the double-loop procedure is performed to quantify and propagate the uncertainties 436 from inputs to outputs in the form of P-box (Fig. 4). The main model of the simulator is the 437 calculation model from crowd-induced load to structural response calculation (see section 2 438 and 3). Then, the P-box of the outputs is obtained. The outputs can be interested parameters in 439 vibration serviceability assessments, e.g., the maximum acceleration responses of the structure 440 (see section 3).



#### 445 **5. An illustrative example**

## 446 5.1 Structural parameters

447 A footbridge with 30 m length and 3 m width is considered as an illustrative example. The 448 coordinate system and dimensions are set the same as shown in Fig. 2. In current example, the 449 considered footbridge is a simply supported Bernoulli beam structure. Correspondingly, its 450 fundamental bending mode in vertical (Z) direction is relevant and considered. The modal shape is sinusoidal with  $\phi_n = \sin(\pi x/L)$ . The modal mass is  $M_n = 20$  tons. The structure has a 451 452 nominal (mean) natural frequency of  $f_n = 2$  Hz. The nominal damping ratio is  $\overline{D}_n = 0.01$ . 453 As stated in Section 3, the actual values of  $f_n$  and  $D_n$  may vary and thus respectively considered as variable within intervals of  $[0.9\bar{f_n}, 1.1\bar{f_n}]$  Hz and  $[0.5\bar{D_n}, 1.5\bar{D_n}]$ . 454

#### 455 **5.2 Excitation parameters**

456 The example considers a uni-directional Asian crowd with commuter purpose. The density is set as d = 0.2 persons/m<sup>2</sup>, corresponding to weak traffic as defined in (HiVoSS 2008 and 457 Sétra 2006). The adjusting coefficients are set as: for geographic area,  $\alpha_{\rm G} = 0.92$ ; and for 458 459 travel purpose,  $\alpha_{\rm T} = 1.11$  and  $\gamma = 0.214 d_{\rm jam} = 1.156$  persons/m<sup>2</sup>. Correspondingly, the mean walking speed of the crowd is  $\bar{\nu}(d) = 1.36$  m/s and thus the initial walking speeds 460  $v_{\alpha}^{0}(0)$  of the crowd follow the Normal distribution:  $\mathcal{N}(1.36, 0.26)$  m/s. The arrival times 461 462  $t_{\rm arr,\alpha}$  follow a Poisson distribution with  $\lambda = 8.18$  persons/s. The initial positions in lateral 463 (Y) direction  $Y_{\alpha}^{0}$  are random values following the Uniform distribution: U(0.3, 2.7) m. The 464 pedestrian body weights  $G_{\alpha}$  follow a Normal distribution:  $\mathcal{N}(750,150)$  N, as reported in 465 (Živanović 2012).

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#### 468 5.3 Uncertainty characteristics of input parameters

469 According to the principles as stated in section 4.1, the structure and excitation parameters for 470 the current illustrative example are categorized into four types. More detailed descriptions are summarized in Table 2 and Table 3. The basic categorization information is listed as follows: 471

472 • Type I parameters (constant values): L, W, d,  $\alpha_{G}$ ,  $\alpha_{T}$ ,  $\gamma$ ,  $M_{n}$ ,  $\phi_{n}$ ;

473 • Type II parameters (constants within a known interval):  $f_n$ ,  $D_n$ ;

• Type III parameters (described with fully determined probability distribution):  $t_{arr,\alpha}$ , 474  $Y^{0}_{\alpha}, v^{0}_{\alpha}(0), G_{\alpha};$ 475

476 • Type IV parameters (imprecise probability variables with only vaguely determined uncertainty characteristics):  $\vec{v}_{\alpha}(t)$ ,  $\vec{r}_{\alpha}(t)$ ,  $v_{s,\alpha}$ ,  $f_{s,\alpha}$ ,  $DLF_{z,\alpha,1}$ ,  $DLF_{z,\alpha,2}$ ,  $DLF_{z,\alpha,3}$ ,  $DLF_{z,\alpha,4}$ . 477

It is notable that, some parameters are indirect input parameters, i.e.,  $\vec{v}_{\alpha}(t)$ ,  $\vec{r}_{\alpha}(t)$ ,  $v_{s,\alpha}$ , 478  $f_{s,\alpha}$ ,  $DLF_{z,\alpha,1}$ ,  $DLF_{z,\alpha,2}$ ,  $DLF_{z,\alpha,3}$ , and  $DLF_{z,\alpha,4}$ , are determined by other input parameters: 479

$$\vec{v}_{\alpha}(t) = F_1(L, W, d, \alpha_{\rm G}, \alpha_{\rm T}, \gamma, t_{\rm arr,\alpha}, Y^0_{\alpha}, v^0_{\alpha}(0))$$
(23a)

$$\vec{r}_{\alpha}(t) = F_2(L, W, d, \alpha_{\rm G}, \alpha_{\rm T}, \gamma, t_{\rm arr,\alpha}, Y^0_{\alpha}, v^0_{\alpha}(0))$$
(23b)

$$v_{s,\alpha} = F_3(L, W, d, \alpha_G, \alpha_T, \gamma, t_{\operatorname{arr},\alpha}, Y^0_\alpha, v^0_\alpha(0))$$
(23c)

$$f_{s,\alpha} = F_4(v_{s,\alpha}) \tag{23d}$$

$$DLF_{z,\alpha,1} = F_5(f_{s,\alpha})$$
(23e)  
$$DLF_{z,\alpha,2} = F_6(f_{s,\alpha})$$
(23f)

$$DLF_{z,\alpha,2} = F_6(f_{s,\alpha}) \tag{23f}$$

$$DLF_{z,\alpha,3} = F_7(f_{s,\alpha}) \tag{23g}$$

$$DLF_{z,\alpha,4} = F_8(f_{s,\alpha}) \tag{23h}$$

- where ' $Outputs = F_k(Inputs)$ ' represents the 'Outputs' is a function of 'Inputs', i.e., the 480 481 'Outputs' depend on the 'Inputs'.
- 482 Thus, the peak acceleration response  $a_{peak}$  depends on and can be formulated by the 483 direct input parameters, as:

$$a_{peak} = F(L, W, M_n, f_n, D_n, \emptyset_n, d, \alpha_G, \alpha_T, \gamma, t_{arr,\alpha}, Y^0_\alpha, v^0_\alpha(0), G_\alpha)$$
(24)

484

Sub-models	Parameter	Category	Distribution	Uncertainty characteristics		
Structure	L	Ι	Constant	Fixed value for a given structure, e.g., 30 m		
	W	Ι	Constant	Fixed value for a given structure, e.g., 3 m		
Crowd	d	Ι	Constant	Fixed value applied in vibration serviceability assessments, e.g., 0.1, 0.2, 0.5, 0.8, 1.0, 1.5 persons/m <sup>2</sup> (HiVoSS 2008 and Sétra 2006)		
	$\alpha_{ m G}$	Ι	Constant	Fixed value for known geographic area, e.g., $\alpha_{\rm G} = 0.92$ for Asian crowds.		
	$\alpha_{\mathrm{T}}$	Ι	Constant	Fixed value for given travel purpose, e.g., $\alpha_{\rm T} =$ 1.11 for Commuters.		
	γ	Ι	Constant	Fixed value for given travel purpose, e.g., $\gamma = 0.214 d_{jam} = 1.156$ persons/m <sup>2</sup> for Commuters.		
	$t_{ m arr, \alpha}$	III	Poisson	$\lambda = W \cdot d \cdot \overline{v}(d) = 30 \cdot 0.2 \cdot 1.3632 = 8.18$ persons/s (Živanović 2012, Wei et al. 2017 and Fu and Wei 2020) in the considered example		
	$Y^0_{\alpha}$	III	Uniform	$Y_{\alpha}^{0} \sim U(r_{\alpha}, W - r_{\alpha}) = U(0.3, 2.7)$ m (Wei et al. 2017 and Fu and Wei 2020)		
	$v^0_{\alpha}(0)$	III	Normal	$v_{\alpha}^{0}(0) \sim \mathcal{N}(\mu_{vs}, \sigma_{vs}) = \mathcal{N}(1.36, 0.26) \text{ m/s}$		
				(Helbing et al. 1995, 2000a, Wei et al. 2017 and Fu and Wei 2020)		

486 Table 2: The uncertainty characteristics of direct input parameters for crowd behaviour
487 simulation.

Sub-models	Parameter	Category	Distribution	Uncertainty characteristics	
Structure	L	Ι	Constant	Fixed value for a given structure, e.g., 30 m	
	W	Ι	Constant	Fixed value for a given structure, e.g., 3 m	
	$f_n$	II	Constant	Constant within interval $[0.9f_n, 1.1f_n]$ (Lievens et al. 2016)	
	D <sub>n</sub>	II	Constant	Constant within interval $[0.5\overline{D}_n, 1.5\overline{D}_n]$ (Lievens et al. 2016)	
	M <sub>n</sub>	Ι	Constant	Fixed value for a specific mode of a given structure, e.g., 20 tons	
	Ø <sub>n</sub>	Ι	Constant	Keep constant for a specific mode of a given structure, e.g., sinusoidal with $\phi_n = \sin(\pi x/L)$	
Crowd	$\vec{v}_{\alpha}(t)$	IV	Unknown	Indirectly output from crowd behaviour simulation	
	$\vec{r}_{\alpha}(t)$	IV	Unknown	Indirectly output from crowd behaviour simulation	
	$v_{s,\alpha}$	IV	Unknown	Indirectly output from crowd behaviour simulation	
Excitation	$G_{lpha}$	III	Normal	$G_{\alpha} \sim \mathcal{N}(\mu_G, \sigma_G) = \mathcal{N}(750, 150)$ N	
				(Živanović 2012)	
	$f_{s,\alpha}$	IV	Unknown	Indirectly derived from the outputs of crowd behaviour simulation, using the step frequency-speed relation (Eq. (14)).	
	$DLF_{z,\alpha,1}$	IV	Unknown	Indirectly derived by (Eq. (16a)).	
	$DLF_{z,\alpha,2}$	IV	Unknown	Indirectly derived by (Eq. (16b)).	
	$DLF_{z,\alpha,3}$	IV	Unknown	Indirectly derived by (Eq. (16c)).	
	$DLF_{z,\alpha,4}$	IV	Unknown	Indirectly derived by (Eq. (16d)).	

490 Table 3: The uncertainty characteristics of direct and indirect input parameters for structural491 response calculation.

492 Note: the indirect input parameters are marked with 'indirectly' and obtained before structural493 response calculations.

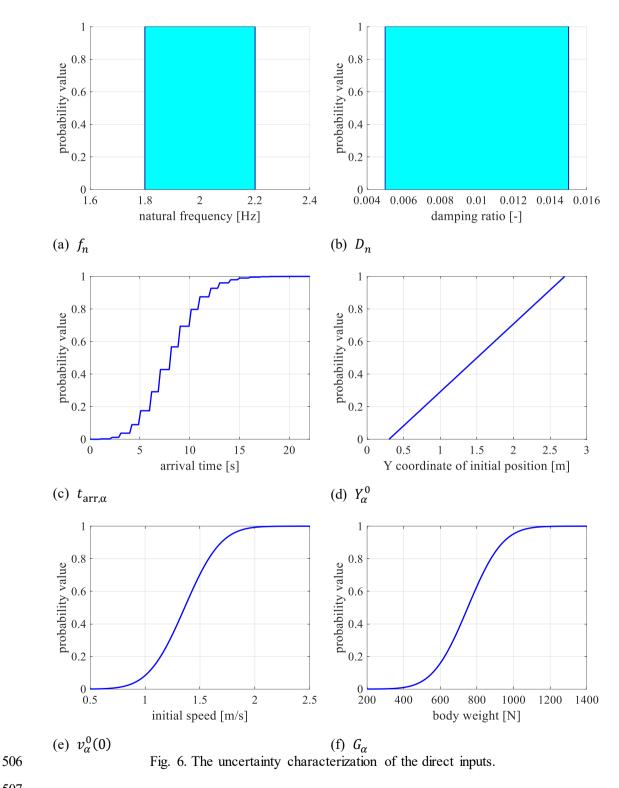
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# 495 **5.4 Results**

#### 496 5.4.1 Uncertainty characterization of the direct inputs

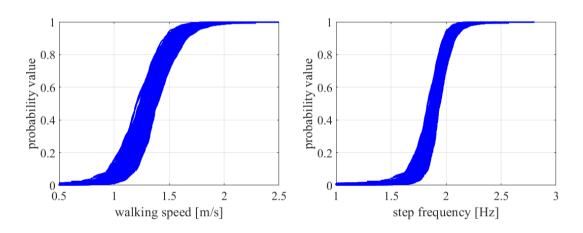
497 Fig. 6 shows the uncertainty characterization of the direct inputs. For clarity, the Type I
498 parameters (constant values without any uncertainty) are not plotted. Clearly, Type III
499 parameters are described as fully-determined random variables, and hence are presented as

500 single CDF curves as shown in Fig. 6(c-f). For Type II parameters, since they contain only the 501 epistemic uncertainty, their intervals are transferred into a special shape of P-box, whose right 502 and left bounds are essentially two vertical CDF functions of the bounds of the intervals, as 503 illustrated in Fig. 6(a-b). To follow the workflow (Fig. 5), 1000 random probability data points 504 are firstly sampled during the Monte Carlo simulation in the first loop, according to the 505 descriptions in section 5.3.



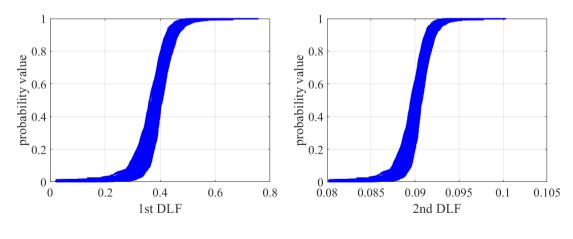
# 508 5.4.2 P-boxes of the intermediate parameters

509 After the P-boxes of the direct input parameters are determined, the whole simulator works 510 to propagate uncertainties from the direct inputs to indirect inputs, i.e. the intermediate parameters, and finally to the outputs. Each Monte Carlo simulation corresponds to one random 511 512 pedestrian crowd, which leads to one set of indirect inputs. Due to both aleatory and epistemic 513 uncertainties of the pedestrian crowd, it leads to the uncertainties of the indirect inputs. Fig. 7 presents the corresponding P-boxes. Table 4 summarizes the mean and standard deviation of 514 515 the parameters. The walking speeds of the crowds can have up to near 14% difference in mean and near 60% difference in standard deviation. The corresponding differences for step 516 frequencies are near 6% for mean and near 79% for standard deviation. For the DLFs, the 517 largest difference in mean occurs in the first DLF, i.e., around 12%; the differences in standard 518 519 deviation are all over 75% for the first four DLFs. The differences in mean and standard 520 deviation characterize the uncertainty spaces of the indirect inputs and may result in significant 521 impacts on the crowd-induced loads.



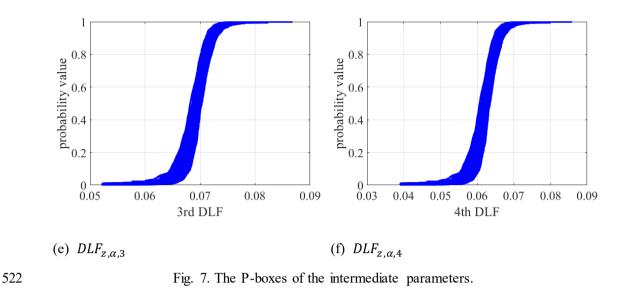












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Table 4: mean and standard deviation (std) of the indirect inputs.

Parameter	Min. mean	Max. mean	Δ [%]	Min. std	Max. std	Δ [%]
$v_{s,\alpha}$ [m/s]	1.2243	1.3947	13.92	0.1635	0.2617	60.06
$f_{s,\alpha}$ [Hz]	1.8322	1.9381	5.78	0.0987	0.1768	79.13
$DLF_{z,\alpha,1}$	0.3617	0.4051	12.00	0.0405	0.0725	79.01
$DLF_{z,\alpha,2}$	0.0895	0.0907	1.34	0.0011	0.0020	81.82
$DLF_{z,\alpha,3}$	0.0682	0.0702	2.93	0.0019	0.0034	78.95
$DLF_{z,\alpha,4}$	0.0606	0.0634	4.62	0.0026	0.0046	76.92

525

# 526 5.4.3 P-boxes of outputs

By following the workflow (Fig. 5), the uncertainties of the direct and indirect inputs are 527 528 propagated to the final outputs in the double-loop process (Fig. 4). During the process, for each 529 Monte Carlo sampling, the inner loop executes the optimization to determine the maximum and 530 minimum of the peak acceleration. Totally, 1000 Monte Carlo simulations are performed. Fig. 531 8 presents the P-box of the peak accelerations, which shows a clear representation of the 532 uncertainty space of the peak acceleration responses of the footbridge. The lower border of the 533 P-box represents the CDF of the minimum peak accelerations, which ranges from near 0.5 to 534 1.5 m/s<sup>2</sup>. The upper boundary, i.e., the CDF of the maximum peak accelerations, is much widely 535 distributed from near 0.5 to 3.0 m/s<sup>2</sup>. The significantly large uncertainty space of the peak 536 accelerations results from the effects of both the footbridge dynamic model and the uncertain crowd-induced loads on the structural responses. According to (e.g., HiVoSS 2008), the lower 537 538 and upper borders may correspond to significantly different comfort classes. Correspondingly, 539 the failure probability of each comfort class is different (Table 5). For instance, the exceedance

540 probability of the medium acceleration limit for the lower and the upper borders are 43% and 541 94%, respectively.

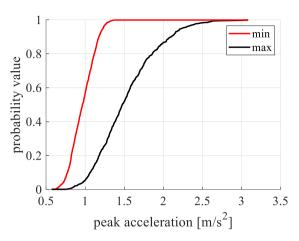




Fig. 8. The P-box of the peak acceleration.



Table 5: failure probability of each comfort class according to (HiVoSS 2008).

comfort class	comfort degree	acceleration limit [m/s <sup>2</sup> ]	failure probability
CL 1	maximum	0.50	100% for both borders
CL 2	medium	1.00	43% for lower border
			94% for upper border
CL 3	minimum	2.50	0% for lower border
			2% for upper border

# 546

# 547 **6.** Conclusions

548 This paper proposes a comprehensive framework to quantify and propagate the uncertainties 549 from both the structure dynamic model and the crowd-induced load to the acceleration responses of footbridges. The social force model is proposed to characterize the crowd behaviour. By 550 551 combining with a single pedestrian induced walking force model, the crowd behaviour is 552 translated to the crowd-induced load. The structure dynamic model is constructed by decoupling 553 the continuous model into several single degrees of freedom systems according to relevant 554 modes in the vibration serviceability evaluation. Together with the crowd-induced load model, 555 structural responses are calculated. Specifically, the interested peak acceleration is identified for 556 each simulation.

557 For the uncertainty analysis, a double-loop framework is formulated to investigate all the 558 uncertain parameters and to perform uncertainty quantification and propagation in the form of 559 P-box. Meanwhile, the uncertainty space of the peak structural responses is obtained by the 560 Monte Carlo sampling and optimization in the outer loop and inner loop, respectively.

561 The feasibility and performance of the overall approach are demonstrated by an illustrative example, where the failure probability of each comfort class regarding the peak acceleration 562 response is also evaluated. Results show that, random crowd behaviour (direct inputs) firstly 563 564 result in large scatter in excitation parameters (indirect inputs), e.g., walking speeds, step frequencies, dynamic load factors, etc. These differences finally lead to significantly large 565 uncertainty space of the peak accelerations of the structure (outputs). Results also indicate special 566 567 attention should be paid on both the epistemic and aleatory uncertainties from the crowd 568 behaviour in the vibration serviceability assessments of footbridges. Furthermore, the proposed 569 uncertainty quantification framework may provide significant insights and improve the 570 reliability for future vibration serviceability evaluations of footbridges by incorporating the 571 crowd behaviour effects.

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