

# Open Publications, Data, Engagement and Beyond: How Openness Benefits You and Others

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## Open Access: Requirements and Routes

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# Open Access: a strategic goal

**Strategic aim 2.2: Our research quality and intensity is strengthened and the new knowledge we generate has maximum impact.**

**We will achieve this by:**

- undertaking high-quality collaborative research activity with leading academic, business, government and third sector partners, underpinned by our commitment to useful learning
- enhancing the quality of our research outputs and adopting an informed publication strategy
- prioritising efforts and pursuing appropriate strategies to engage audiences with our research and to improve our performance in key citation metrics
- ensuring our research and knowledge reaches the widest possible audience, delivers reputational benefits, fulfils our commitment to support research integrity, and clearly demonstrates the quality and value of our research to potential partners and funders
- progressing towards fully open access publishing and fair open data policies

## Key performance indicators

We will demonstrate our success by achieving, by 2025:

**£125m**

Total competitively won research income of £125m per annum

**20%**

Over 20% of our published research outputs in the top 10% of their field worldwide for citations


**2,000**

A total Postgraduate Research (PGR) student population of at least 2,000 FTE

# Open Access: Why?



# Open Access: How?

- **Green OA:** author publishes in journal and deposits copy in repository (e.g. Strathprints via Pure)
  - Free!
  - Benefits from repository infrastructure
- **Gold OA:** author makes their article OA in a journal, for a fee
  - “article processing charge” (APC) – 
  - UK average APC £2,000, but can vary greatly!

# REF2021 policy on [Green] OA

- Version of manuscript to be deposited in PURE is **full-text accepted author manuscript (AAM)** – *within three months of manuscript acceptance*
- Subsequent requirement for **date of manuscript acceptance**
- Journal articles and conference papers a “minimum requirement”
- Policy began on **01 April 2016**
- Applies to **everyone**, whether funded or not

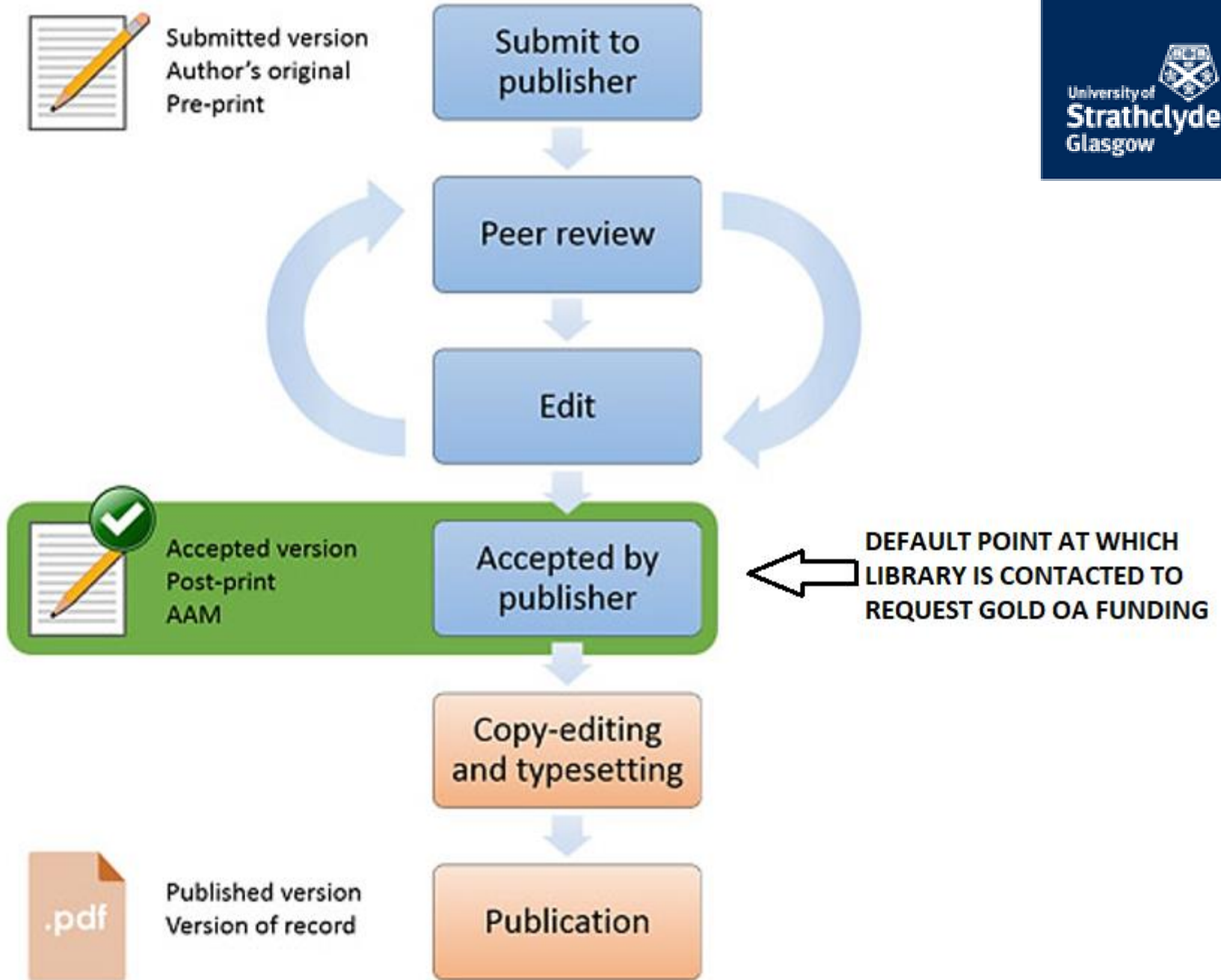
# REF2021 OA policy monitoring

- Monthly reports on REF Open Access policy compliance rates

<b>University of Strathclyde</b>		
Compliant	9427	82%
AAM & DOA Missing	0	0%
DOA Missing	1	0%
AAM Missing	10	0%
Exceptions	1386	12%
<b>Ineligible/Non Compliant</b>	<b>630</b>	<b>6%</b>
<b>Total Compliance</b>	<b>10813</b>	<b>94%</b>
<b>Possible Non Compliance</b>	<b>11</b>	<b>0%</b>

(figures from the Sep'2021 report)







# Gold OA

- Immediate Open Access
  - Via Gold OA journals (no ‘hybrid’)
- Funding for Open Access publishing fees available from different research funders:
  - UKRI (e.g. EPSRC, BBSRC, AHRC, etc.)
  - Cancer Research UK; British Heart Foundation
- Institutional Open Access Fund (IOAF)
  - Small University fund for ‘unfunded’ manuscripts (i.e. not funded by UKRI/RCUK or any of the biomedical charities above)

# Strict requirements for Gold OA eligibility

- Must be published with a CC-BY licence
- Must acknowledge funder including funder name and grant number
- Dataset acknowledgement, if applicable, **MUST** be included
  - Data need not be open; but must be accessible

# New workflows for ensuring Gold OA – Read & Publish deals

- Strathclyde is joining an increasing number of [blanket] R&P deals with publishers eg Springer, IOP, Wiley, Sage, Taylor&Francis, OUP, CUP...
- Particularly useful for ‘unfunded’ manuscript that would not be usually eligible for APC funding



## Funding Open Access

Gold Open Access is where a paper is made available without a 'page charge' (APC). There are a number of Open Access funding mechanisms at the University of Strathclyde.

There are three different mechanisms to obtain Gold Open Access funding from the library:

1. Block Grant Funding

2. Read & Publish Deals

- Springer Compact
- ACS Read & Publish Deal
- Wiley Read and Publish Deal
- Institute of Physics Deal
- Sage Read and Publish Deal
- Other publishers
- Other agreements

3. Institutional Open Access Fund (IOAF)



Project proposal  
awarded funding

STAGE AT WHICH CONSULTATIONS  
SHOULD BE HELD TO ENSURE  
MAXIMUM EFFECTIVENESS  
(i.e. before any papers are even  
written or datasets produced)



Submitted version  
Author's original  
Pre-print

Submit to  
publisher

Peer review

Edit

Accepted version  
Post-print

Accepted by  
publisher

# Thanks!

Contact us for more info and/or advice  
*upon manuscript acceptance* (or even earlier)

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