1 Ultrasensitive detection of RNA biomarkers using portable

2 sensing platforms based on organic electrochemical 3 transistors

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24 ABSTRACT

The analysis of RNA plays an important role in the early diagnosis of diseases and will 25 greatly benefit patients with a higher cure rate. However, the low abundance of RNA in 26 physiological environments requires ultrahigh sensitivity of a detection technology. 27 Here, we construct a portable and smart-phone-controlled biosensing platform based 28 on disposable organic electrochemical transistors for ultrasensitive analysis of micro 29 RNA (miRNA) biomarkers within 1 hour. Due to their inherent amplification function, 30 the devices can detect miRNA cancer biomarkers from little-volume solutions with 31 concentrations down to 10⁻¹⁴ M. The devices can distinguish blood miRNA expression 32 levels at different cancer stages using 4T1 mouse tumor model. The technique for 33 ultrasensitive and fast detection of RNA biomarkers with high selectivity opens a 34 window for mobile diagnosis of various diseases with low cost. 35

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37 Keywords: organic electrochemical transistors, biosensor, RNA, cancer biomarker

38 INTRODUCTION

The effective control of many life-threatening diseases like cancer relies upon early 39 diagnosis.¹⁻³ Conventional detection techniques based on large facilities in hospitals or 40 laboratories often need large sample volume, complex protocol and long testing time.⁴ 41 Convenient and cost effective techniques are urgently needed for such applications.⁵ 42 The analysis of biomarkers, like micro RNAs (miRNAs), has been widely used for the 43 early diagnosis of cancer.^{6, 7} MiRNAs are regulatory RNA molecules with a length of 44 about 21~23 nucleotides,⁸ whose expression is often dysregulated in cancer.⁹⁻¹¹ It 45 appears to be ideal targets for cancer regular monitoring due to their quantifiable and 46 stable status in a variety of body fluids including blood, saliva and urine.^{12, 13} More 47 importantly, blood miRNAs are highly specific and their expression profiles differ 48 49 among the developmental stages of tumors, and thus can be regarded as essential biomarkers for early cancer monitoring.^{14, 15} Furthermore, RNA analysis has been 50 proven to be rapid and reliable testing technology for many other diseases, like 51 Coronavirus disease 2019 (COVID-19).¹⁶ The standard RNA profiling techniques are 52 53 microarrays, electrophoresis and reverse transcription polymerase chain reaction (RT-PCR).¹⁷ Microarrays and electrophoresis suffer the limitation of low sensitivity while 54 RT-PCR has the disadvantages of high cost, slow process and labor consuming.¹⁸ With 55 the increasing demand for portable monitoring of RNA,¹⁹ the development of 56 57 convenient methods with high sensitivity and selectivity is necessary.

Nowadays, organic thin-film transistors (OTFTs) have emerged as a versatile sensing 58 platform for cost-effective, easy-to-use, portable, and disposable biosensors.²⁰⁻²² 59 Organic electrochemical transistor (OECT) is a type of OTFT with a simple structure.^{21,} 60 ²³ A thin laver of organic semiconductor is deposited on the channel area between the 61 source and drain electrodes and exposed to electrolyte together with the gate 62 electrode.^{24, 25} Compared to other transistor-based sensors (e.g. 2D material-based 63 transistors, organic FET, etc), OECT has the advantage of low cost and high stability in 64 aqueous solutions.²⁶ OECTs have been extensively investigated for high-performance 65 biosensing such as glucose,²⁷ dopamine²⁸ and cell.²⁹ However, nucleic acid biosensors 66

based on OECTs reported before are not sensitive enough to detect the trace amount of
 specific miRNA biomarkers (~ several pg/L) in real physiological environments.³⁰

In this paper, we construct an ultrasensitive portable monitoring platform based on 69 OECTs for the analysis of miRNAs expression levels at different cancer stages. MiR-70 21, one of the most important and well-studied miRNA biomarker that promotes cell 71 growth and metastasis,³¹⁻³² is chosen as the detection target. MiR-21 is captured on the 72 gate electrode and then specifically recognized by a nanoprobe with catalytic 73 electrochemical activity. The device can detect several µL of miR-21 solution (one drop 74 solution) within 1 hour with a good selectivity and a low detection limit down to 10^{-14} 75 M. The miR-21 expression levels in different kinds of cells are clearly differentiated. 76 77 More importantly, the devices successfully demonstrate distinct miRNA expressions at different cancer stages in blood samples using 4T1 tumor model and identify an early 78 cancer stage from normal and other cancer stages. By simply replacing the capture and 79 probe DNA sequence, the sensor can be applied in the detection of many other miRNA 80 81 biomarkers. Therefore, this approach provides a versatile tool to conveniently monitor various RNA biomarkers with low sample volume for the diagnosis of many diseases 82 like cancer and COVID-19. 83

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85 **RESULTS**

86 The design of device and portable monitor system

Figure 1A shows the architecture of the portable monitor system, which can be divided 87 88 into three components including a flexible and transparent miRNA OECT sensor (Figure S1A), a meter with readout circuit and a smart phone with a user application 89 program. The sensor is inserted into the meter, and the meter can be remotely controlled 90 by the smart phone via Bluetooth. The transfer curve (I_{DS} vs. V_G) of the device and the 91 channel current response (I_{DS} vs. time) upon different concentrations of the target 92 93 miRNA can be recorded by the user application program in the smart phone (Figure S1B, C). 94

The OECT device is prepared on a flexible plastic substrate by photolithography for 95 disposable applications. Figure 1B illustrates the device design of the OECT-based 96 miRNA sensor, in which the patterned gold electrodes serve as source, drain, and gate 97 of the device. Poly(3,4-ethylenedioxythiophene) polystyrene sulfonate (PEDOT:PSS) 98 is spin coated and patterned in the channel region. The gate electrode is modified with 99 capture DNA, miRNA, and probe DNA with enzyme horseradish peroxidase (HRP). 100 Since HRP has high catalyze activity toward H_2O_2 ,³³ the channel current response of 101 the OECT is characterized after adding H_2O_2 (100 µM) in its electrolyte.³⁴ 102

The gate modification progress is shown in Figure 1C. 3'-SH modified capture DNA is 103 first anchored on the gate electrode via a strong SH-Au binding (CV and EIS 104 characterization in Figure S2).³⁵ Target miRNA (PBS or purified solution from cells 105 and blood) can be selectively captured on the gate electrode. Probe DNAs modified 106 with nanoprobes are incubated on the electrode surface to form a typical sandwich 107 format.³⁶ The nanoprobes are synthesized by using gold nanoparticles (Au NPs) as 108 substrate to bind with biotin and HRP and characterized by UV-vis spectrum and 109 transmission electron microscope (Figure S3). Au NPs have good conductivity and 110 large surface area for binding electrochemical segments. Biotin on the nanoprobes can 111 specially link to the streptavidin (SA) on the tail of the probe DNA with the binding 112 rate of biotin to SA being 1 to 4.38.37 Thus, a single target miRNA can attach probe 113 DNA with several nanoprobes. Figure 1D exhibits the operation of an OECT in an 114 electrolyte in the presence of the enzymatic reaction. There is no charge transfer at the 115 gate electrode without H₂O₂.³⁸ 116

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119 Upon the addition of H_2O_2 , direct electron transfer occurs between the heme group 120 (Fe(III)/Fe(II)) of HRP in the nanoprobe and the electrode surface.^{39,40} Under a positive 121 gate voltage (V_G), the Faradic current due to the electrocatalytic reaction decreases the potential drop at the gate/electrolyte interface, and subsequently increases the effective gate voltage (ΔV_G^{eff}) applied on the channel. ⁴¹⁻⁴² Figure 1E shows the potential distribution at the gate/electrolyte and electrolyte/channel interfaces under a gate voltage. The reaction of H₂O₂ at the gate will change the potential distribution as demonstrated by the dash line. The relationship between ΔV_G^{eff} and the amount of HRP (W_{HRP}) modified on the gate is listed in supporting information.³⁴

128 MiRNA biomarker analysis and selectivity test

Figure 2A shows the channel current responses upon H₂O₂ addition of OECT with 129 miRNA solutions with different concentrations. The change in the channel current 130 (ΔI_{DS}) monotonically increases with the increase of miRNA concentration in a wide 131 range between 10^{-15} and 10^{-6} M. According to the transfer curve (I_{DS} vs V_G) in Figure 132 S3, ΔV_{G}^{eff} of the device is calculated and presented in Figure 2B, which shows a good 133 liner relationship with the miRNA concentration on logarithmic axis. The detection 134 limit (Signal to noise ratio >3) of the miRNA sensor is about 10⁻¹⁴ M. The ultrahigh 135 sensitivity of the OECT-based sensor can be attributed to the signal amplification by 136 the transistor.43,44 137

Figure S5A shows miR-21 detection by cyclic voltammogram (CV) measurements with 138 concentrations ranging from 10⁻⁶ M to 10⁻¹¹ M, which indicates the successful gate 139 modification. It is also confirmed by fluorescence images (See Figure S6). As shown 140 in the selectivity testing in Figure S5B, the device modified with three-base mismatched 141 miRNA demonstrates no response with concentration of 10^{-3} M. To show the versatility 142 of the sensing platform, simply changing the sequences of capture DNA and probe 143 DNA accordingly, another cancer biomarker (hsa-miR-16) is detected.⁴⁵ It shows a 144 linear response to miRNA concentration ranging from 10⁻⁶ M to 10⁻¹⁴ M, exhibiting a 145 similar detection limit of 10⁻¹⁴ M (Figure S7). 146

147 MiRNA biomarker analysis in cells

The OECT sensors are used to detect miRNA expression in cells, including MCF-7
(breast cancer cell, poorly metastatic), MDA-MB-231 (breast cancer cell, highly

metastatic) and NIH/3T3 (normal cell, from mouse fibroblast). All cell lines were 150 cultured to extract miRNA using the same protocol (see supporting information). As 151 shown in Figure 3A and Figure 3B, the calculated ΔV_G^{eff} from current change increase 152 with the rising MDA-MB-231 cell concentrations from 10^1 cells/mL to 10^5 cells/mL. 153 The insert of Figure 3b shows the fluorescence and optical images of MDA-MB-231 154 cells with a concentration of 10⁵ cells/mL. The responses of the devices to MCF-7 155 cancer cells shown in Figure 3C and D are relatively lower. The lowest responses of 156 157 the devices can be observed in the detection of NIH/3T3 normal cells as shown in Figure 3E and F. Since miR-21 is overexpressed in cancer cells than in normal cells, it 158 is reasonable to find that miR-21 concentrations in MCF-7 and MDA-MB-231 are 159 higher than that in NIH/3T3. For the two types of cancer cells, the invasion behavior of 160 MCF-7 cells is relatively weak. MiR-21 is highly associated with cancer cell 161 proliferation, migration and invasion, so its expression level is higher in MDA-MB-231 162 than MCF-7 cells. 163

164 Blood miRNA analysis in tumor mouse model

4T1 tumor model is developed by injecting 4T1 cancer cells into BALB/c mouse.⁴⁶ The 4T1 tumor has the advantages of easy transplant procedure and its progressive behavior is very similar to human mammary cancer.⁴⁷ The upregulation of miR-21 in blood is closely associated with 4T1 tumor progression, so it is assumed that miR-21 circulating in blood could show an increasing concentration with the growth of tumor.

Figure 4A shows images of BALB/c mouse in different cancer stages: normal, early, 170 middle and late stages. The corresponding tumor sizes are: 0, 300, 600, 1000 mm³. 100 171 μ L of blood was taken from the mouse tumor model and underwent a standard 172 purification progress to extract miRNAs (see supporting information). The obtained 173 solution is miRNA mixture, which greatly challenges the sensitivity and selectivity of 174 the detection method. As shown in Figure 4B, the responses of the devices treated with 175 176 tumor blood samples are higher than those of the normal stage, and the amplitudes increase with the increase of tumor size. As shown in Figure 4C, ΔV_{G}^{eff} of the device 177

modified with a blood sample at the early stage tumor is higher than that of normal
stage, which clearly indicates that the sensor can differentiate blood samples in early
cancer stage.

181 **DISCUSSION**

We have developed an ultrasensitive miRNA analysis by using OECTs. The device 182 could specifically detect different cancer miRNA biomarkers from a little volume of 183 solution with a concentration down to 10⁻¹⁴ M, which shows a much higher sensitivity 184 than conventional electrochemical measurement. This OECT-based miRNA sensor 185 demonstrates linear responses to a wide range of miRNA concentrations from 10⁻⁶ M 186 to 10⁻¹⁴ M, which is sensitive enough to detect the trace amount of miRNA levels in 187 cancer cells. The devices are successfully used to do miRNA expression analysis in 188 blood samples from mouse tumor model and differentiate the miRNA levels in blood 189 samples even for early cancer stage. Compared to other electrical sensors relying on 190 electrochemical workstation, this sensing platform can provide convenient and portable 191 detections of various RNA biomarkers for diagnostic monitoring of a wide range of 192 diseases. 193

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195 Supporting Information.

Materials, experimental details for device, gate modification, CV measurements,selectivity testing and all the supporting figures are listed in the supporting information.

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203 AUTHOR CONTRIBUTIONS

FY conceived the experiments. YF, and NW fabricated and characterized the devices.

AY and HL helped with portable system characterization. ZW and ZX assisted some experiments on mouse tumor building. HL contributed to the cell analysis. The manuscript was written by YF and FY and discussed, edited and approved by all of the authors.

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210 DECLARATION OF INTERESTS

211 The authors declare no competing interests.

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327 Figures:



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329 Figure 1. Design of OECT-based miRNA sensing platform.

(A) Scheme of the portable monitoring system with OECT based miRNA sensor. OECT
 miRNA sensor is inserted into a portable meter, and a smart phone is communicated
 with the portable meter via Bluetooth.

- (B) Photo of an OECT miRNA sensor with three electrodes: drain, source and gate. An
 electrochemical reaction occurs on the gate electrode upon the addition of H₂O₂.
- 335 (C) The gate modification process for the detection of miRNA.
- 336 (D) An OECT with a functionalized gate characterized in a liquid electrolyte.

337 (E) The potential distribution in an OECT: potential drops between the gate and channel of

the OECT before (dash line) and after (solid line) the addition of H_2O_2 in PBS solution.

EDL is electrical double layer. The enzymatic reaction leads to ΔV_{G}^{eff} change and the corresponding I_{DS} change.

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Figure 2. The response of sensors to miRNA (MiR-21) with different concentrations.

- 345 (A) OECT-based biosensors with nanoprobe-functionalized gate electrodes for the 346 detection of a wide range miRNA concentration from 10^{-6} M to 10^{-15} M. The added H₂O₂ 347 level was fixed at 100 µM for each addition (V_G= 0.7 V and V_{DS} = 0.05 V).
- 348 (B)Calculated ΔV_{G}^{eff} changes of the miRNA sensor as a function of miRNA concentration. 349 The error bars were calculated from three parallel experiments.
- 350 (C)Standard three-electrode electrochemical detection of miRNA in the range of 10^{-6} M to 351 10^{-12} M by C-V measurements. The H₂O₂ level is 500 µM.
- 352 (D) The selectivity test of miRNA sensors by measuring three-base mismatched miRNA.
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Figure 3. The responses of OECT-based miRNA sensors to the lysates of different cells.

- (A) Current responses of OECTs in the detections of extracted miRNA solutions from
 different concentrations of MDA-MB-231 cancer cells: 10 cells/mL (black), 10³ cells/mL
 (blue) and 10⁵ cells/mL (red).
- 360 (B)Calculated $\Delta V_{G}^{\text{eff}}$ of OECTs in the detection of extracted miRNA solutions from MDA-361 MB-231 cancer cells with different concentrations. Inset: fluorescence (left) and optical 362 images (right) of MDA-MB-231 cell with a concentration of 105 cells/mL.
- 363 (C)Current responses of OECTs in the detection of extracted miRNA solutions from
 364 different concentrations of MCF-7 cancer cells: 10 cells/mL (black), 10³ cells/mL (blue)
 365 and 10⁵ cells/mL (red).
- 366 (D) The calculated ΔV_{G}^{eff} corresponding to the responses. Insert: fluorescence (left) and 367 optical images (right) of MCF-7 cell with a concentration of 10⁵ cells/mL.
- 368 (E)Current responses of OECTs in the detection of NIH/3T3 normal cells and f. the 369 calculated $\Delta V_{G}^{\text{eff}}$. Insert: fluorescence (left) and optical images (right) of NIH/3T3 cell 370 with a concentration of 10³ cells/mL. All the error bars are calculated from at least 3 371 devices.
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Figure 4. The responses of miRNA sensors to the bloods from a mouse model with different tumor sizes

- (A) Images of BALB/c mouse in different cancer stage: normal, early, middle and late. The
 corresponding tumor sizes are: 0, 300, 600, 1000 mm³.
- 379 (B) Current responses of the OECT sensors modified with blood miRNA samples at380 different cancer stages and,
- 381 (C) The calculated ΔV_{G}^{eff} corresponding to the current change. The error bars are 382 calculated from three parallel experiments.
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