

1 Life Cycle Analysis and Cost Assessment of a 2 Battery Powered Ferry

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11 ABSTRACT

12 Battery-powered ships constitute a solution to the pathway to zero-emission shipping. This
13 article studies comparatively and assesses the performance of battery-powered vessels
14 implementing the Life Cycle Analysis (LCA) and Life Cycle Cost Assessment (LCCA). A case
15 study of a battery-powered fast catamaran ferry is employed and comparatively assessed
16 against the respective conventional ferry revealing the advantages and drawbacks of these two
17 alternative solutions. LCA and LCCA take into account the different ship life phases and
18 activities to develop a life cycle emission inventory and estimate the corresponding costs. The
19 results demonstrate that the battery-powered system exhibits life cycle GHGs reduced about
20 30% when grid mix electricity in 2019 is utilised and life cycle costs reduced by 15% in
21 comparison with a conventional power system.

22 KEYWORDS

23 Battery-powered ferry, Life cycle analysis, Environmental-economic assessment, Electric
24 vehicles, shipping decarbonisation

25 ABBREVIATIONS

- 26 CF , Carbon conversion factor
- 27 CML , Centrum voor Milieuwetenschappen
- 28 CO₂ , Carbon dioxide
- 29 EB , Electricity required for battery packs
- 30 ECA , Emission control area
- 31 ER , Energy requirement of engine
- 32 EU , European Union
- 33 FC , Fuel oil consumption of the engine
- 34 GHG , Greenhouse gases
- 35 GWP , Global warming potential
- 36 GWP100a , Global warming potential in 100 years period
- 37 LCA , Life cycle analysis
- 38 LCCA , Life cycle cost assessment
- 39 LCI , Life cycle inventory
- 40 LCIA , Life cycle impact analysis
- 41 LIBs , Lithium-ion batteries
- 42 LHV , Lower heating value

43 MARPOL , The international convention for the prevention of pollution from ships

44 MDO , Marine diesel oil

45 M_{CO2} , the CO₂ emissions amount (in kg) generated from fuel combustion

46 NOx , Nitrogen oxides emissions

47 PV , Photovoltaic

48 SFOC , Specific fuel oil consumption

49 SOx , Sulphur oxides emissions

50

51 1. INTRODUCTION

52 In recent years, the decarbonisation of our society has become a global emergency linked to
53 the witnessed climate change. The CO₂ emissions are the largest contributor to the global
54 warming effect and a contributing factor to acidification. Although there are many gases with
55 higher global warming potential, CO₂ has been identified as the most significant GHG due to
56 the substantial quantities released from the burning of fossil fuels. According to the data
57 collected by the World Bank, the annual global CO₂ emissions were more than 36 billion
58 tonnes, whereas the other substantial released GHGs were methane and NO₂ with about 8
59 billion and 3 billion tonnes respectively in 2012 (Sommer et al., 2019).

60 International shipping is one of the most efficient transportation means with the largest quantity
61 of goods transportation and the least air pollution contribution. According to IMO's Fourth
62 GHG Study in 2020, international shipping accounted for 2.2% of the global total
63 anthropogenic CO₂ emissions (IMO, 2020). However, to limit the global temperature rise
64 according to the goal of the Paris Agreement and the vision of the United Nations (Committee
65 on Climate Change, 2019), GHG emissions from the marine sector should be properly
66 addressed. Urgent actions are also required to meet IMO's new targets, using 2008 as a
67 reference: 40% CO₂ reductions by 2030 and 70% CO₂ reduction by 2050, and at least 50%
68 reduction on the total annual GHG emissions by 2050 (IMO, 2018). One of the most promising
69 near-term solutions to meet this timetable is a battery-powered ship, benefitting from the
70 parallel developments in the automotive industry which provides a solid base for the
71 development of marine solutions (Divya and Østergaard, 2009; Vicenzutti et al., 2015).

72 Battery-powered ships are the solution to zero-emissions shipping. In most cases of the
73 conventional and hybrid-electric ship power plants, the electric power is still generated by the
74 main engines (as power take-off) or diesel generator sets, which burn fossil fuels to supply

75 mechanical power and electricity. One of the proposed solutions to replace them and limit the
76 use of fossil fuels onboard the ship is the battery-powered ship concept, which intends to use
77 electricity generated from renewable sources onshore. It is now actively applied and
78 investigated for high-speed passenger ferries, as it is not feasible for large ocean-going vessels
79 yet. However, for speeds over 20 knots, no such ferry has been constructed. On the other hand,
80 a battery system has many advantages eliminating the hazardous emissions in route, offering
81 also a more stable system that requires less maintenance, and achieving a higher scrapping
82 price as more parts can be reused, e.g., battery cells, containers etc.

83 Hybrid systems, combining engines and batteries using a mixture of electricity, and sometimes
84 other renewable resources, have been investigated for many years (Dedes, 2013; Jeong et al.,
85 2018; Ling-Chin and Roskilly, 2016). Sanfelix et al. (2016) evaluated both the environmental
86 and economic performance of the lithium-ion batteries (LIBs) and concluded that the
87 manufacturing stage has the highest environmental footprint while using grid electricity
88 (Sanfélix et al., 2016). (Dai et al., 2019) investigated the application of Li-ion batteries on
89 electric vehicles indicating the main environmental impact contributor of the batteries are from
90 production and compared the environmental impacts of grid electricity and electricity from
91 renewable sources on the production phase. Another interesting research carried out by Zhao
92 and You presented and compared the environmental impacts of four different types of cathode
93 materials of Li-ion batteries and also concluded the most significant contributor to life cycle
94 GHG emission is the cell production phase (Zhao and You, 2019). (Matheys et al., 2009) has
95 assessed five different battery technologies for electric vehicles to identify the most
96 environmentally friendly one with the least overall environmental impact. Similarly, the
97 research carried out at the University of Oldenburg (Hiremath et al., 2015; Mitavachan, 2014)
98 investigated four different stationary battery technologies on their cumulative energy demand
99 and global warming potential. However, the results showed the impact from the operational

100 phase of batteries dominates the life cycle impact which contradicts the results from other
101 researchers.

102 In the meantime, the applications of batteries on marine vessels are still under development by
103 investigating, analysing and comparing different propulsion configurations (EMSA, 2020).
104 Kluiters et al. have tested the application of sodium/nickel chloride batteries for ships back in
105 1999. Due to the limitations of the available battery technology, the sodium/nickel chloride
106 battery was found to be superior only to the sodium/sulphur battery (Kluiters et al., 1999).
107 However, in the last decade, many researchers have turned their focus on the ship application
108 of batteries. Lan et al. (Lan et al., 2015) have carried out a study to investigate the optimal size
109 of a hybrid ship power system combining solar energy, a diesel generator and a battery system.
110 The goal was to minimise the initial investment and the operating costs and the carbon dioxide
111 emissions release of the ship while considering the solar irradiations and the temperature along
112 the voyage. Misyris et al. (Misyris et al., 2017) also developed an estimation algorithm to
113 illustrate the battery state during the ship operation.

114 To identify and evaluate the environmental performance of marine propulsion systems, LCA
115 is widely adopted which could cover cradle to grave stages in the battery life cycle. LCA has
116 been adopted to assess the environmental sustainability of a Thai Island's diesel/PV/wind
117 hybrid microgrid (C. Smith et al., 2015). There are applications of LCA in automotive vehicle
118 production such as the material selection, and forming process from scrapped materials
119 (Delogu et al., 2016; Raugei et al., 2015; Raugei et al., 2014). Bolbot et al. also expanded the
120 LCA to include cost, environmental and safety assessment to optimize a cruise ship power
121 plant with different fuel combinations (Bolbot et al., 2020). (Blanco-Davis and Zhou, 2014)
122 Wang et al. have investigated the performances of solar-assisted power systems comparing a
123 traditional power system on a short route ferry operated in Istanbul (Wang et al., 2019).

124 Furthermore, many applications of LCA refer to battery system evaluations. It is common in
125 the automobile industry research to apply LCA to assess and compare the environmental
126 impacts of such systems (Dunn et al., 2016). The E-Ferry project (Abrahamsen, 2020) has
127 indicated that applying the batteries on their ferry, replacing diesel engines and fuel oils, can
128 bring down the operator's cost by 24%–36% and reduce by 2000 tonnes the CO₂ emissions
129 over a 30-year operation.

130 However, the research on the application of the LCA on marine battery-powered vessels is still
131 in its infancy. Therefore, it is important to determine the advantages of a battery-powered
132 vessel comparing to a conventional one with a holistic environmental impact perspective.

133 This study aims to perform an LCA for a battery-powered ferry for comparing the
134 performances of conventional marine engines and innovative battery power plants to quantify
135 the benefits of replacing marine diesel engines and generator sets with a battery system and
136 electric motors. A sensitivity analysis is carried out to determine the impact of the electricity
137 mix and engine energy efficiencies and demonstrate the advantages of the battery-powered
138 system. Several parameters including emissions and cost are employed to estimate the
139 influence of the battery technology on the fleet operation. This study contributes to the field
140 literature by examining the life cycle view of battery and engine performance comparison and
141 continues to provide an LCA approach to support the marine industry designers to assess and
142 select the optimal alternative between different options.

143 The remaining part of this study is structured as follows. The description of the employed
144 methodology is provided in Section 2. In Section 3, the details of the case ship study are
145 presented including the required input for the implementation, the considered design scenarios
146 based on different marine propulsion systems and the analysis of the energy consumption of
147 the vessel. The equivalent battery-powered version is analysed to determine the battery size,

148 capacity and electric power demand. Section 4 provides the following three LCA steps: 1)
149 Adaption of LCA to the case ship study; 2) Marine sector customised LCA method
150 development and an LCA model is developed in GaBi; 3) Results of the analysis are provided
151 and compared including emissions inventory and key performance indicators/metrics. In
152 Sections 5 and 6, the impacts of battery-powered plants and consideration on operational cost
153 and fleet management are presented. The main findings of this study are summarised in Section
154 7.

155 **2. METHODOLOGY**

156 **2.1. Overview**

157 The methodology followed for accomplishing the aim of this study includes the following four
158 steps according to ISO (ISO, 2006):

159 1) Determine the system boundaries

160 It includes the description and boundaries of the system under consideration, input data
161 required and the formulae associated with the data.

162 2) LCA implementation

163 This study employs LCA to quantify and normalise the life cycle emissions of the target ferry,
164 mainly focusing on the greenhouse gases (global warming potential). A customised model is
165 used for the case study ship to fit the purpose of comparing the battery-powered and
166 conventional marine power plants.

167 3) Systematic comparison process

168 An approach to extending and convert the emissions to life cycle costs is followed and
169 employed to determine an indicator for comparison.

170 4) Recommendations

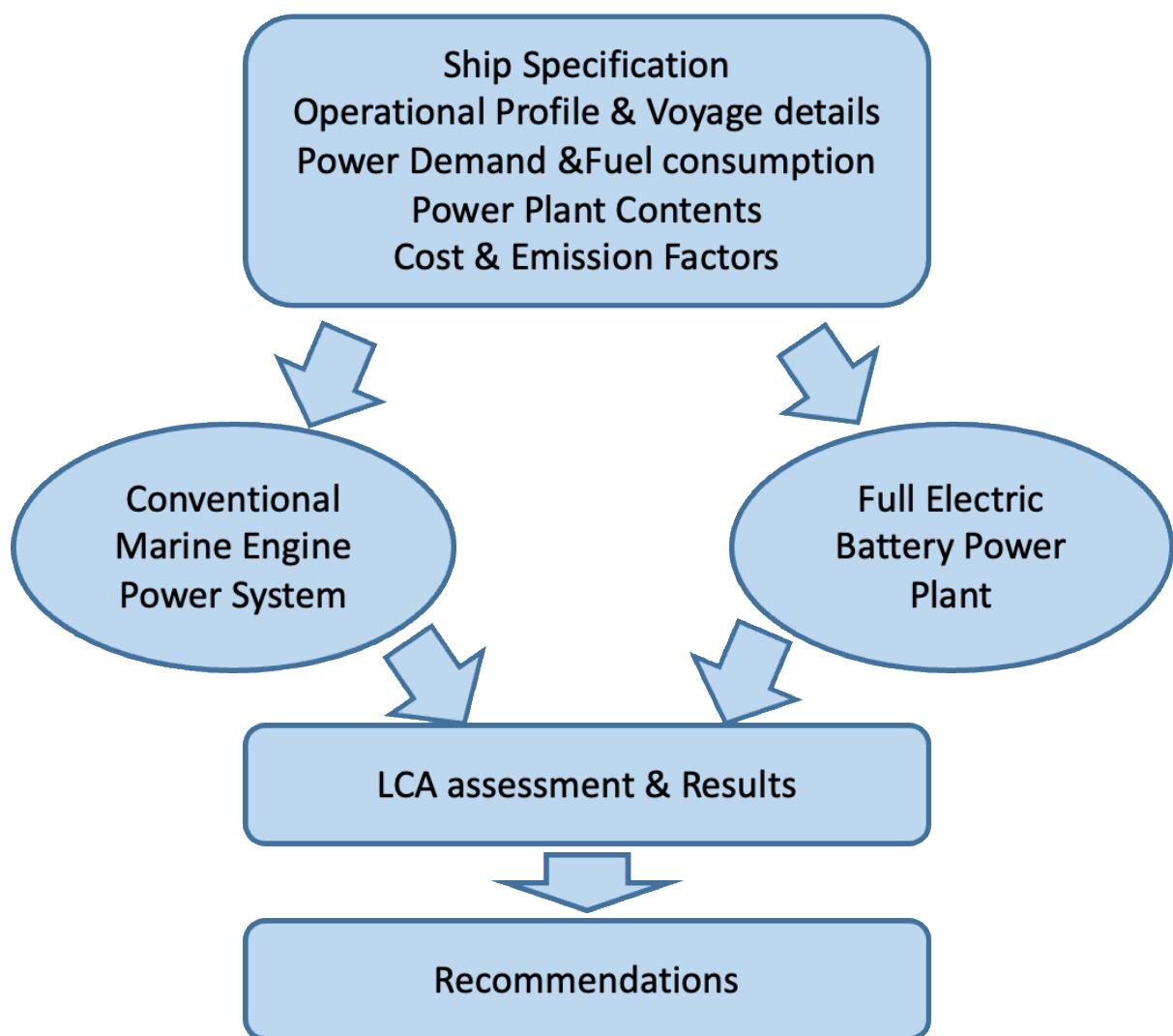
171 The recommendations from the analysis are provided for the case study ship and subsequently
 172 extended to the whole fleet.

173

174 **2.2. System Analysis**

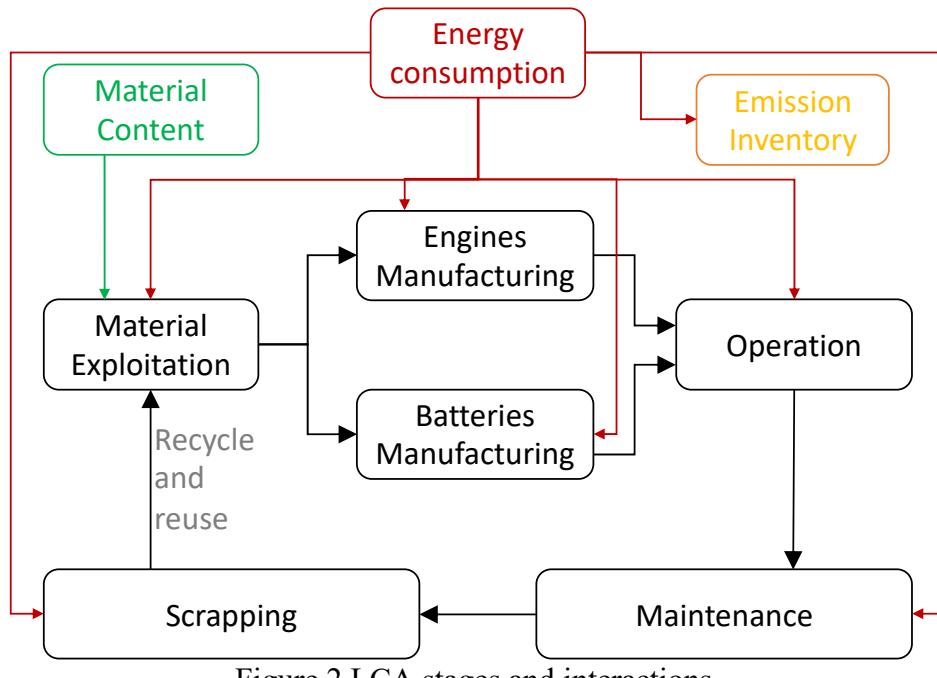
175 The system analysis is the first step and defined and shown in Figure 1, which will focus on
 176 the performance of the ship including the emissions released and the cost associated with them.

177 The stages and interactions inside the analysed system are presented in Figure 2 that shows the
 178 determination of indicators such as emission generation and energy demand.



179
 180

Figure 1 Methodology overview



181
182

183 The carbon emissions and the batteries electrical energy are estimated by using the following
184 equations.

185 1) Carbon emissions' estimation (Smith et al., 2015)

$$186 \quad M_{CO_2} = FC \times CF \quad (1)$$

187 Where, M_{CO_2} is the CO_2 emissions amount (in kg) generated from fuel combustion; FC denotes the
188 engine(s) fuel oil consumption (in kg); and CF is the carbon conversion factor (for marine diesel oil,
189 the $CF = 3.206 \text{ kg CO}_2/\text{kg MDO}$ (Smith et al., 2015)).
190

191 2) Estimation of electricity required from battery packs

$$192 \quad E_B = E_R/r \quad (2)$$

$$193 \quad E_R = FC \times LHV \quad (3)$$

194 Where, E_B is the electricity required for battery packs (in kWh); E_R is the energy that must be covered
195 from the engines (in kWh); r is the overall efficiency of using electricity including charging from
196 shore power and onboard utilisation; FC is the engine(s) fuel oil consumption (in kg); LHV is the fuel
197 lower heating value (in kWh/kg)
198

199 3) The energy efficiency of conventional engines is estimated by the following equation
200 (Chakraborty, 2019):

$$\eta = \text{ER}/(\text{LHV} \times \text{FC} \times t) \quad (4)$$

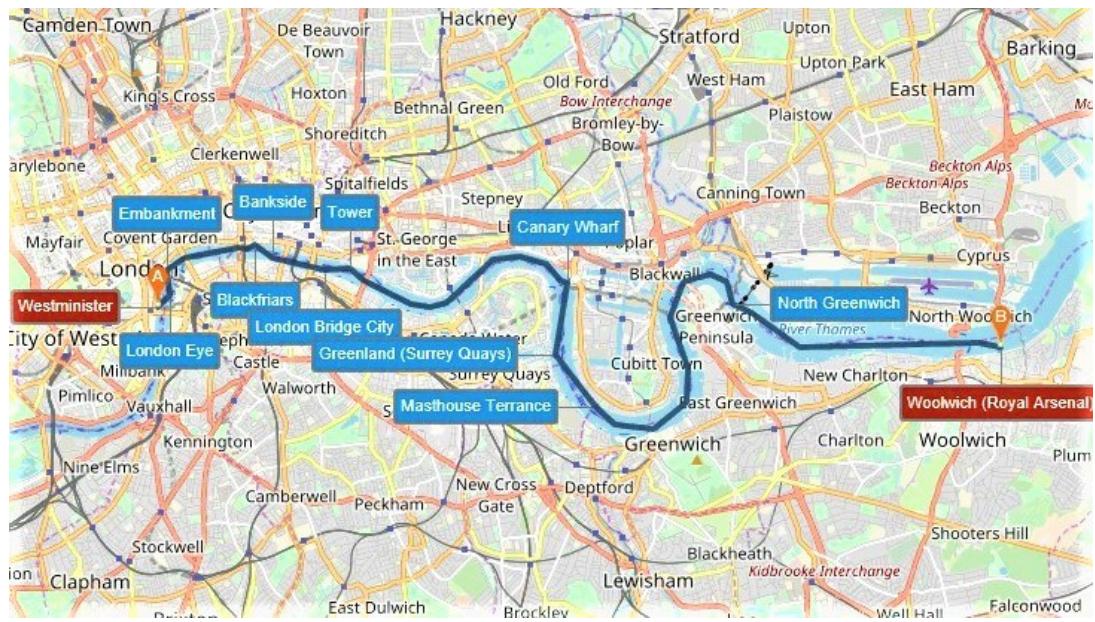
Where, η is the energy efficiency of the engines; ER is the energy requirement of the engines, kWh; t is the engine operational time (in h); FC is the engine fuel oil consumption (in kg); LHV is the fuel lower heating value (in kWh/kg).

206 3. LCA ON CASE SHIP

This study investigates a short route inland waterways fast ferry operating in Thames River, London, UK. The battery-powered version of this ferry’s power plant is analysed and compared to its conventional alternative to eliminate the consumption of any fossil fuel onboard and avoid any tailpipe emissions. In this section, the data collection will be presented which will be used to support the development of the LCA model and assessment. The specification of the case study vessel is shown in Table 1 and the route of the vessel is presented in Figure 3 using route planning application (plotaroute, 2021). The route of the ferry is along the Thames River, servicing between 13 piers and the service duration is about 18 hours per day. This study will identify the performance of the battery power system (includes battery energy storage stations, management system, switchboard, converters etc.) and determine its advantages compared to conventional propulsion systems (marine engines).

Table 1 Case study ship specifications

Main particulars			
Hull type	Catamaran	Speed (knot)	28
Length overall (metre)	35	Block coefficient	0.58
Length btw. Perpendiculars/ Length of Water Line (metre)	32.54	Midship coefficient	0.85
Breadth (metre)	8	Prismatic coefficient	0.69
Depth (metre)	2.35	Waterplane coefficient	0.84
Draught (metre)	1	Geometric displacement (tonne)	75
Operation days (day)	320	Daily operation hours(hour)	18
Propulsion type	Waterjet	Froude Number	0.81



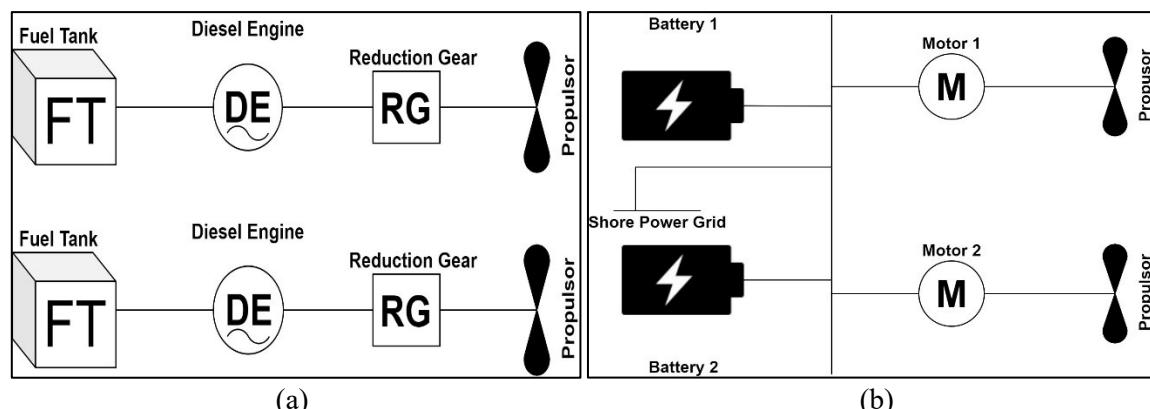
220
221 Figure 3 Operating route of the case study ferry (Thames Clippers, 2020)

222 3.1. Case Scenarios

223 Two alternative propulsion system scenarios will be considered: 1) application of marine diesel
224 engines (conventional system); 2) application of the battery-powered electric system.

225 3.1.1. Case 1- Use marine diesel engines

226 This is the baseline scenario where a conventional propulsion system utilising two diesel
227 engines, one in each demi-hull. The ferry will be operated 18 hours per day and 320 days per
228 year for a life span of 30 years. Each round trip will last 3.6 hours and the idling time at
229 destinations will be about 0.35 hours (21 minutes). The engine power system is presented in
230 Figure 4 (A)



231
232 (a) (b)

233 Figure 4 Layout of power plants: (a) conventional; and (b) battery powered

234 **3.1.2. Case 2- Use battery powered system**

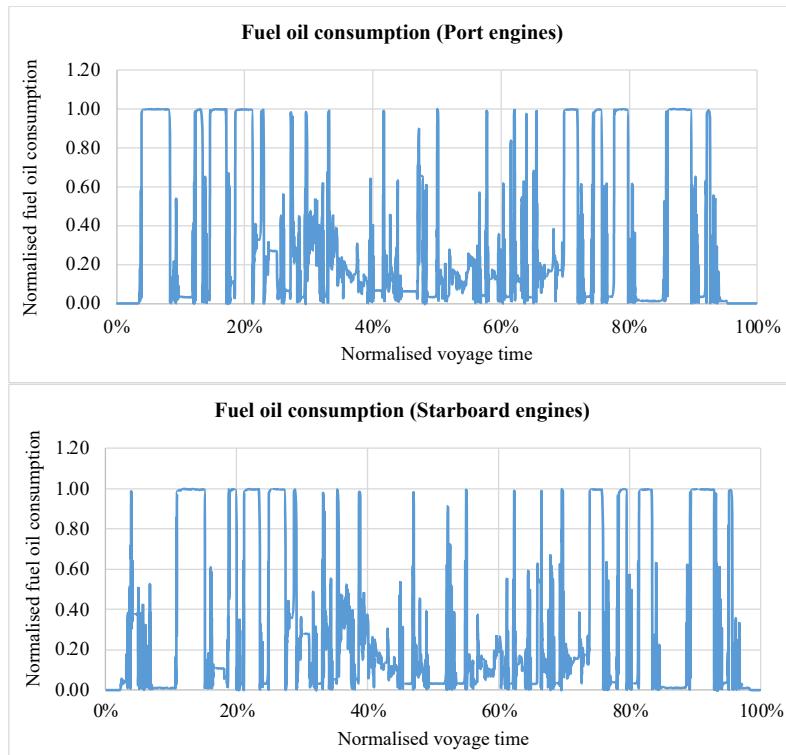
235 In this scenario, the engines and their fuel will be replaced by a battery-powered system capable
236 of propelling the vessel at the same speed. Electric energy storage will replace the thermal
237 energy of the marine diesel oil so the emissions from ferry operation will be eliminated but the
238 upstream emissions from the electricity generation must be considered. The battery power
239 system is shown in Figure 4(b).

240 **3.2. Preliminary Analysis**

241 Based on the data provided by the ferry operator, the fuel consumption of a basis ferry operated
242 in the same route was recorded and analysed (Figure 5). The fuel oil consumed by both the port
243 and starboard engines during the operation is shown in this figure. The data has been
244 normalised to protect their confidential character. Based on the recorded fuel consumption
245 provided by the ship operator, the energy requirement for a round trip is estimated at 650kWh
246 with an overall energy efficiency of around 38%.

247

248



249
250 Figure 5 Normalised port and starboard engines fuel oil consumptions

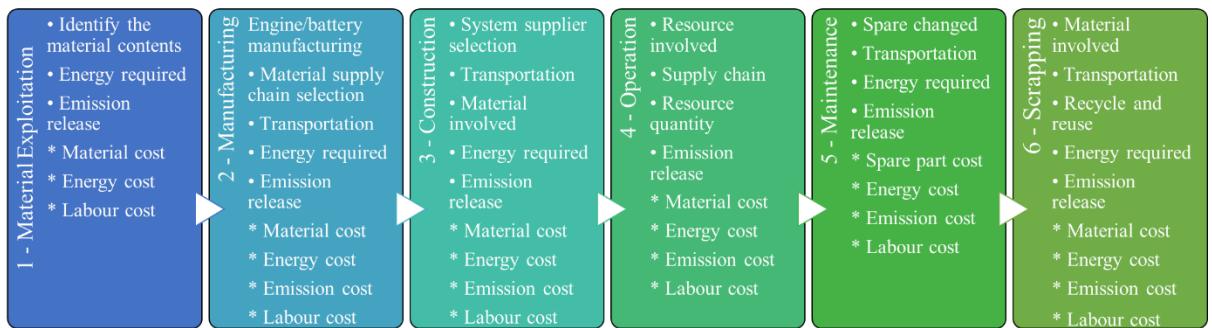
251 **3.3. LCA Study**

252 This section presents the LCA analysis on the short route inland waterways catamaran high-
253 speed ferry under the two different scenarios. The approach from Section 3.3 is applied.

254 **3.3.1. Goal and Scope**

255 The goal of this LCA study is to determine the environmental impacts of the two different
256 power systems on marine vessels (ferry in this case). The target audience is marine sectors
257 including, shipyard, ship operator, researchers and policymakers. The benefits of applying the
258 battery-powered system will be derived from its comparison with the diesel engine from the
259 perspective of environmental impacts. Usually, there are five phases of the onboard power
260 system (Figure 2): material exploitation, system manufacturing, utilisation phase, maintenance
261 periods and end-of-life (decommissioning). Under the various phases, the associated material,
262 energy and emissions will be under consideration as shown in Figure 6 (for further study, cash

263 flow will be considered). To retrieve the material, energy and emission flow, the main activities
 264 under these phases should be identified along with the associated data.



*These are collected for life cycle cost assessment.

Figure 6 Flow chart of life cycle phases and data collection master checklist

265 The method can be simplified without significant loss of its accuracy by a series of assumptions
 266 made based on engineers' judgement and industry's practices:

- 269 A) The assumption is made that all the dimensions of the vessel (hull form and
 270 displacement) remain unchanged when replacing engines and fuel tanks with battery
 271 packs and electric motors. Since the differences between the two cases are only the
 272 propulsion/power systems, the comparison and evaluation focus on these systems;
- 273 B) The functional unit is the annual energy consumption per ship operational year; for
 274 engine power system it is linked to the fuel consumption and in a battery power system
 275 it is related to electricity used; all over the ship life span, all the phased and activities
 276 can be connected or converted by considering this functional unit;
- 277 C) Due to the growing attention on the global warming effect, this study will investigate
 278 the impacts of power systems on the global warming potential of the ferry; in this study,
 279 CML 2001, an impact assessment method, is selected to characterise the emissions in
 280 the life cycle inventory (CML, 2016);
- 281 D) The diesel fuel oil and energy consumption are determined using data provided by the
 282 shipowner and ship operator which has shown and determined in Section 3.2;

- 283 E) The electricity in this baseline study is sourced by a mix of different energy sources for
284 which includes fossil fuel consumptions, such as hard coal, natural gas, fuel oil and so
285 on (Department for Business, 2020; GaBi, 2018; Raugei et al., 2020);
286 F) Emissions generated from engines running and electricity required by the battery packs
287 are estimated using equations in Section 2.2;
288 G) The scrapping phase is simulated based on the energy required and emissions generated
289 according to Jeong et al., 2018;
290 H) The GaBi software is applied to build the LCA model for the case study vessel (Figure
291 7 & Figure 8): Blue lines represent the material and system flow; Green lines show the
292 electricity flow; the heavy fuel oil required by transportation is shown using black
293 lines; the fossil fuel and lubricating oil used in operational phase are indicated by red
294 colour which is zero in the figure because it illustrates the battery-powered ferry
295 which has battery packs replacing the engines; light blue is used to show the natural
296 gas required from scrapping process.

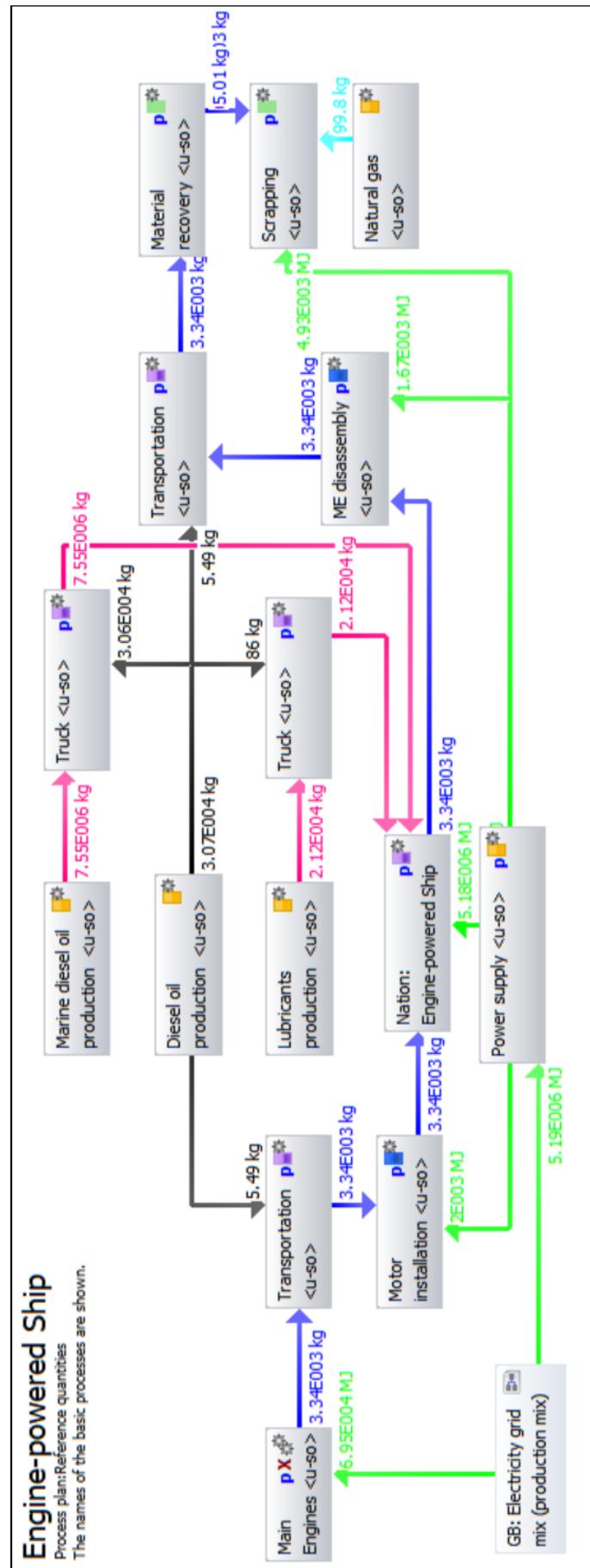


Figure 7 Life cycle modelling of the case ship with marine diesel engines

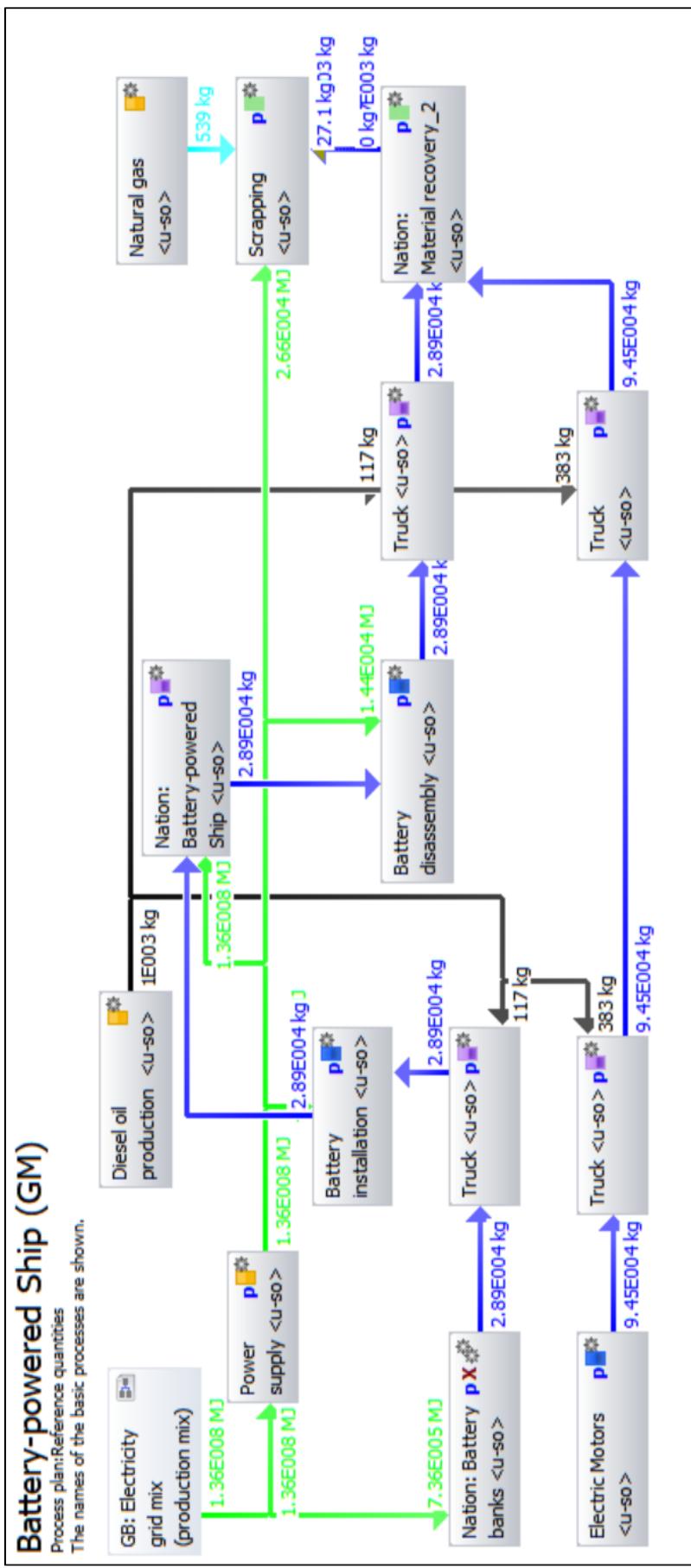


Figure 8 Life cycle modelling of the case ship with the battery-power system

301 **3.3.2. Life Cycle Inventory Assessment**

302 The materials of the engine are listed in engine manufacturer datasheets in Table 2 (SCANIA,
 303 2016). The battery under investigation is Lithium Nickel Manganese Cobalt Oxide
 304 (LiNiMnCoO₂) — NMC type and the materials components of the battery are shown in Table
 305 3 (Daniel, 2008). The raw material exploitations, production and manufacturing for engines are
 306 studied according to aluminium and iron ore production processes for the automotive industry
 307 investigated by Salonitis et al., 2019 shown in Table 4. The same energy consumption will be
 308 applied for the battery case and the extra energy required for battery cell manufacturing is
 309 estimated using data provided by Thomitzek et al., 2019. The latter indicates that the energy
 310 required is about 0.58 Wh/Wh battery capacity.

311 The weights and costs of the battery packs are determined according to the battery supplier
 312 (Corvus, 2021) and Mossali et al., 2020. The energy density and the cost for Li-ion batteries
 313 are taken 5.68 kg/kWh and 120–241 £/kWh respectively (Corvus, 2021). The cost of the marine
 314 diesel engine is estimated according to Mossali et al., 2020.

315 With the software GaBi and its database, the following emissions inventory is developed:
 316 which is customised to the emissions of interest in Table 5. The foreground and background
 317 databases are presented in Table 6 & Table 7.

318 Table 2 Material content of typical marine diesel engine

Engine material	Weight ratio (%)
Steel	40.0
Cast iron	46.0
Aluminium [Al]	8.0
Copper [Cu] and Zinc [Zn]	0.2
Lead [Pb]	0.1

319

320 Table 3 Material content of lithium-ion batteries (LIB)

Material/Component	Weight ratio (%)
Cathode	41.0

Anode	16.4
Binder	4.7
Current collector (Cu)	4.4
Carbon	1.4
Current collector (Al)	1.8
Electrolyte	18
Separator	1.8
Tabs, end plates, terminal assemblies	1.9
Container	8.5

321

322 Table 4 Energy required for raw material exploitation, production and manufacturing (MJ/kg)

Processes	Iron	Aluminium
Iron ore mining	0.6	-
Limestone mining	0.2	-
Coke manufacturing	2	-
Iron ore agglomeration	1.6	-
Smelting	13	-
Bauxite mining	-	0.8
Alumina refining	-	25.4
Anode production	-	1.4
Electrolysis	-	54.1
Anode consumptions	-	14
Ingot casting	-	1.8
Melting	3.9	6.5
Holding	0.2	
Making	1.13	3.5
Fettling	0.12	0.6
Machining	1.6	0.051
Ancillary process	1.95	-
Liner casting	-	0.6
Total	26.3	108.75

323

324 Table 5 Emissions inventory for the power plant Life Cycle of 30 years

Emission	Conventional (kg)	Battery-powered (kg)
Ammonia	6.22	126
Carbon dioxide	2.79×10^7	1.90×10^7
Methane	3.61×10^4	5.04×10^4
Nitrogen oxides	6.29×10^5	3.94×10^4
Nitrogen monoxide	0.329	57.6
Sulphur dioxide	1.33×10^4	3.09×10^4
Sulphur trioxide	0.0097	0.252

325

326

Table 6 Foreground input data collected

Item	Value	Unit	Source
Annual Operation Days	320	days	Operator
CH₄ Factor	0.0003	kg/fuel-kg	(IMO, 2020)
CO Factor	0.0074	kg/fuel-kg	(IMO, 2020)
CO₂ Factor	3.114	kg/fuel-kg	(IMO, 2020)
Daily operation hours	18	hours/day	Operator
Life Span	30	years	Operator
Batteries Mass	3750	kg	(Corvus, 2021)
Main Engines Mass	3340	kg	(SCANIA, 2016)
NOx Factor	0.087	g/kWh	(IMO, 2020)
Particular matters	1.2	g/kWh	(IMO, 2020)
Sea Margin	15	%	(SCANIA, 2016)
SFOC	219.92	g/kWh	(SCANIA, 2016)
SLOC	0.65	g/kWh	(SCANIA, 2016)
SO₂ Factor	0.02	kg/fuel-kg	(IMO, 2020)
Sulphur Content	1	%	(IMO, 2020)

327

328

Table 7 Background input data collected from GaBi database

Electricity mix in the UK in 2019		
Hard coal	36.41	%
Natural gas	26.62	%
Nuclear	19.66	%
Wind	7.92	%
Grid losses	7.63	%
Rest	1.76	%
Carbon intensity	0.537	kg CO ₂ -eq per kWh
Transportation per 100 km		
Payload	17.3	t
Sulphur content	10	ppm
Carbon dioxide in fuel	5	%
Motorway	70	%
Rural	23	%
Urban	7	%
Utilisation rate	85	%
Emissions from fuel oil production		
	Diesel	Lubricating oil
Ammonia [sea water]	0.000197	3.33×10^{-7}
Ammonia [air]	2.23×10^{-16}	1.42×10^{-10}
Ammonia [fresh water]	0.000197	3.33×10^{-7}

Carbon dioxide [air]	0.372	0.940	kg
Carbon monoxide [air]	5.64×10^{-4}	1.13×10^{-3}	kg
Chemical oxygen demand (COD) [sea water]	0.000186	0.000301	kg
Chemical oxygen demand (COD) [fresh water]	3.30×10^{-5}	3.40×10^{-5}	kg
Ethane [air]	0.000215	0.000242	kg
Ethene (ethylene) [air]	2.97×10^{-10}	2.02×10^{-10}	kg
Hydrogen chloride [air]	2.63×10^{-6}	7.08×10^{-6}	kg
Methane [air]	4.22×10^{-3}	4.86×10^{-3}	kg
Nitrogen oxides [air]	7.80×10^{-7}	5.51×10^{-8}	kg
Phosphate [fresh water]	6.53×10^{-5}	8.12×10^{-6}	kg
Sulphur dioxide [air]	1.58×10^{-3}	3.8×10^{-3}	kg
Toluene (methyl benzene) [air]	8.99×10^{-8}	1.42×10^{-7}	kg

329

330 **3.3.3. GWP focused Life Cycle Impact Assessment Results**

331 This section will present the GWP results of characterising the GHG emissions in the LCI by
 332 applying the CML characterisation model (CML, 2016). The results are determined not only
 333 considering the emission mentioned in the inventory in this study but also many other
 334 insignificant and low quantity emissions included in the GaBi database. The comparison of
 335 results between ferry with marine diesel engines and the battery-powered electric system is
 336 presented in Table 8. From the table, it is observed that with the battery-powered electric
 337 propulsion system on the ferry instead of diesel engines, the global warming potential is
 338 reduced by around 30% across the ship's whole life span.

339 Table 8 Global warming potential impact of the investigated plants for a life cycle of 30 years

Global warming (GWP100a) - CML-IA baseline		Unit
Ferry with a conventional system	2.88×10^7	
Ferry with battery system	2.03×10^7	kg CO ₂ -eq.

340

341 **3.4. Sensitivity Analysis and Discussion**

342 **3.4.1. Parameters selection for sensitivity analysis**

343 Since the battery-powered system could lead to a 30% reduction of GHG emissions in the case
 344 ship study, it is still not enough to achieve the challenging target of reducing 40% of carbon
 345 dioxide (CO₂) by 2030 or reducing 50% of the GHG emission release by 2050. The main source
 346 of the GHG emissions during the life span of the battery-powered ferry comes from the
 347 electricity generation process which, in this analysis, uses supply from grid mix in the UK. In
 348 Section 3.4.2., the impacts of using different energy sources for electricity generation will be
 349 investigated.

350 A weight and size comparative assessment was carried out in Section 3.4.3 to further consider
 351 the impact of replacing diesel engines with batteries from the perspective of weight and size.

352 **3.4.2. Sources of electricity**

353 Four energy sources of electricity are under consideration: grid mix in 2019 and 2050 (UK
 354 Government, 2020), hydropower and wind power. The results are compared with previous
 355 baseline studies (engines using marine diesel oil and battery using grid mix electricity in 2019).
 356 The comparison results are shown in Table 9 and the scenario with marine diesel oil presents
 357 the case ship with engines. From the results, the observation is that around 99% of GHG
 358 emissions can be avoided when applying electricity from renewable sources, comparing to use
 359 marine diesel engines or grid mix electricity on the ferry.

360 Table 9 Global warming potential for different sources of electricity for a life cycle 30 years

Global warming (GWP100a) - CML-IA baseline	Unit	Reduction Rate
Marine diesel oil for conventional power plant	kg CO₂-eq.	Baseline
Electricity (mix in 2019) for battery power plant		29.5%
Electricity (mix in 2050) for battery power plant		86.2%
Electricity (hydro) for battery power plant		98.9%
Electricity (wind) for battery power plant		99.1%

361

362 **3.4.3. Weight and size comparative assessment**

363 This study has discussed the impacts of applying a battery power system from the perspective
364 of emission reduction and cost-saving. It is attractive to indicate the impact on the overall
365 design from the perspective of system weight and size. In this section, a further comparison of
366 the diesel engine power and battery power system will be carried out on their weights and
367 volumes. According to the battery factsheet provided by the manufacturer, the dimensions,
368 weight and energy capacity are derived and presented in Table 10. Regarding the diesel engine
369 power system, a basis ship of the case study ferry was selected with the same overall length
370 (LOA) and length between perpendiculars (LBP), passenger capacity and operational profiles,
371 breadth, draft and service speed. The details of its engines have been presented in Table 10 for
372 comparison reasons. From the table, the dimensions of the battery modules and diesel engines
373 are provided, and the volume taken on board the ferry can be directly determined. The case
374 study catamaran ferry is equipped with two sets of power systems, one on each demihull. It is
375 estimated that the two sets of batteries occupy 33 m³ which is a much larger space than the one
376 taken by two diesel engines (5.72 m³). The fuel carried on the diesel-powered ship is 2.6 tonnes
377 or 2.92 m³ in volume. From the weight perspective, the engine weighs 1.67 tonnes according
378 to the manufacturer (SCANIA, 2012a). The weight and energy capacity of one battery module
379 is about 375 kg and 66 kWh. The battery energy required to support one round trip is 650 kWh
380 and the battery will be charged while idling at terminals with a charging power of 2000 kW
381 (from manufacturer). Considering the state of charge (SOC) of the battery and charging, it
382 should be controlled between 90% and 20% (Wartsila, 2020) and can be recharged by 1200
383 kWh per day (36 minutes charging). The derived capacity of the whole battery system is 5080
384 kWh, comprising 77 single battery modules. The total weight of the battery packs and electric
385 motors (GRELECTRIC, 2020) amounts to 36.46 tonnes. Comparing this with the total weight

386 of 5.9 tonnes of the conventional propulsion system underlines the challenges the designer of
 387 a battery-driven vessel is facing as the battery power system will occupy more space and
 388 increase the displacement of the vessel.

389 Table 10 Comparison between batteries and diesel engines

Power system	Battery	Engine	Unit
Height	5800	1214	mm
Width	2450	1251	mm
Length	2110	1551	mm
Total volumes	31.7 (33 m ³ for batteries + 2.7 m ³ for motors)	8.6 (5.7 m ³ for engines + 2.9 m ³ for fuel)	m ³
Total energy	5080	-	kWh
Total power	-	625×2	kW
Total weight	37.5 (28.9 t for batteries + 7.6 t for motors)	5.9 (3.3 t for engines + 2.6 t for fuel)	t

390

391 4. LIFE CYCLE COST ASSESSMENT

392 The cost assessment is a part of the LCA which considers the costs and benefits from the
 393 battery-powered ship all through its life span compared to the traditional version. The cost
 394 assessment is in parallel with the LCA which can share the same scope and model. In a cost
 395 assessment, the cash flow will be tracked including the investment, operational expenditures
 396 and cost related to maintenance and scrapping. The purpose of the cost analysis is not only to
 397 determine the cost associated with the target but also to compare the difference between
 398 different alternatives/options. As the focus of this study is to evaluate the cost impact of
 399 applying a battery system on short-route ferries, the investment and scrapping will be
 400 considered based on the energy and transportation fuel consumption from the GaBi model. A
 401 cost of 286 £/kW was used for engine cost estimation (Wu and Bucknall, 2016). The
 402 maintenance phase is considered by using the strategy proposed in Jeong et al., 2018. The
 403 battery life span is provided by the manufacturer which is about 10 years so there will be two

404 battery system changes in the 30 years life span. The operational phase is the most important
 405 phase to be considered, which will present the advantages of applying a batteries system
 406 comparing to a conventional system. During the operation of the conventional propulsion
 407 system, the highest cost comes from the consumption of fuel oil which can be determined based
 408 on the equation from the previous section with the MDO fuel price (313 £/tonne) and
 409 lubricating oil price (401 £/tonne) derived from online (Bunkerworld, 2020; U.S. Bureau of
 410 Labor Statistics, 2020). For battery-powered vessels, the operational costs are due to the
 411 electricity consumption and the electricity price for a large industrial company is derived as
 412 9.58 pence per kWh in the UK (Department for Business Energy & Industrial Strategy, 2019).
 413 In this study, the size of energy consumption, the ship operator, is a small company. The prices
 414 of electricity have been assumed to be the same for three different sources which need further
 415 discussion to determine the impacts of electricity prices on the ferry's operational cost. The
 416 cost estimations for the ferry's operation considers both the operational cost and cost associate
 417 with the environment. A carbon credit is selected as 18 £/tonne (World Bank, 2020) to estimate
 418 the environmental cost which can be determined by the production of carbon credit price and
 419 quantity of equivalent carbon dioxide emission. The costs of charging station construction are
 420 not under consideration.

421 From Table 11, it is observed that the operational cost of the battery system (electricity
 422 consumption) is 26% higher than the conventional system due to low fuel price but the total
 423 life cycle cost is lower by 15%. It is financially beneficial to replace the conventional
 424 propulsion system although the current fuel price remains low. Therefore, from the view of life
 425 cycle economy, a battery-powered system can reduce both GHG emissions and life cycle costs.

426 Table 11 Life cycle cost analysis of ferry for the investigated power systems

System	Energy source	Construction (GBP)	Operation (GBP)	Maintenance (GBP)	Scrapping (GBP)	Carbon credit cost (GBP)	Total cost (GBP)
--------	---------------	--------------------	-----------------	-------------------	-----------------	--------------------------	------------------

Conventional system	4.10×10^5	3.21×10^6	5.69×10^6	1,182.65	5.18×10^5	9.31×10^6
Battery-powered system	Grid mix electricity	1.30×10^6	4.05×10^6	2.59×10^6	2,240.28	3.65×10^5
	Electricity from hydro	1.30×10^6	4.05×10^6	2.59×10^6	2,240.28	5.58×10^3
	Electricity from wind	1.30×10^6	4.05×10^6	2.59×10^6	2,240.28	4.75×10^3

427

428 **5. FLEET CONSIDERATION**

429 The application of a battery power plant on one short route ferry could reduce global warming
 430 potential so it will be interesting to consider the whole fleet from ferry operator to have battery
 431 power system adopted on all the ferries. Table 12 presents the details of a ferry fleet operated
 432 in Thames River. The quantities of saved fuel, carbon emission and reduction potential when
 433 applying battery power plant for the fleet can be determined (Table 13) with the following
 434 assumptions:

435 1) All the ferries in the fleet have an operational life span of 30 years;
 436 2) 2 battery replacements will be carried out;
 437 3) Each ferry will be operated 18 hours per day;
 438 4) It is assumed the engines of these ferries will be operated under their optimal loads;
 439 5) The fuel-carbon conversion factor is set to be 3.206 kg/kg fuel,
 440 6) The electric supply comes from the Electricity grid mix in 2050 so the reduction rate is
 441 86.2% comparing to the engine power system.

442 The results of this fleet consideration use the forecast and roadmap of the UK electricity mix
 443 to be decarbonised in 2050. It shows with the grid mix electricity in 2050, the whole fleet will
 444 reduce over 2.25 million tonnes of CO₂-eq.

445 Table 12 Fleet information (Thames Clippers, 2020)

Ship	No	Length (m)	Breadth (m)	Width (m)	Design speed (knot)	Power (kW)	Engine type
Ferry #1	1	38	8.78	-	<25	662 x 2	DI16 077M
Ferry #2	6	38	9.6	9.3	28	1120 x 2	MTU 10V 2000 M93
Ferry #3	2	35	8.3	8.3	30	625 x 2	DI16 072M
Ferry #4	2	35	8.3	8.3	30	625 x 2	DI16 072M
Ferry #5	2	32	8.13	7.8	25	596.92 x2	Caterpillar 3406E
Ferry #6	3	25	-	5.7	25	625 x 2	DI16 072M

446

447 Table 13 Carbon emission reduction of the fleet for 30 years operation.

Engine Type	No of vessels	Engine Power (kW)	Daily Operating hours	SFOC (g/kWh)	Daily Fuel consumption (t)	Overall Carbon Emissions (t)	Overall GWP Reduction (t)	Reference
DI16 077M	1	1324	18	215	3.84	1.22×10^5	1.08×10^5	(SCANIA, 2012b)
MTU 10V 2000 M93	6	2240	18	240	43.55	1.38×10^6	1.22×10^6	(MTU, 2006)
DI16 072M	7	1250	18	219	25.87	8.21×10^5	7.24×10^5	(SCANIA, 2012a)
Caterpillar 3406E	2	1194	18	220	7.09	2.25×10^5	1.99×10^5	(Caterpillar, 2020)
Total	16				80.35	2.55×10^6	2.25×10^6	

448

449

6. CONCLUSION

450 This study investigated the impact of applying a battery power system on an inland high-speed
 451 catamaran passenger ferry from the perspective of environmental footprint with emphasis on
 452 the global warming contributions. The LCA approach was applied to consider essential life
 453 processes and activities in the vessels' life span, covering the exploitation of raw materials,
 454 production of systems, construction, operational and scrapping phases. An LCA model was
 455 established in GaBi software to take all these phases and activities into account and an LCI
 456 inventory was established to cover all the emissions from the targeted emission categories.
 457 Eventually, after characterisation of the LCI results, a comparative study was carried out to

458 compare the impact of different power system alternatives. It was derived that comparing to
459 the conventional power system (marine engines consuming fossil fuels), the ferry with battery
460 system could achieve about 30% of life cycle GHG emissions reduction when grid mix
461 electricity (with 0.537 kg CO₂-eq./kWh) supply is selected. However, due to the challenging
462 emission reduction target from IMO, two more considerations of electricity from two other
463 power generation sources were made: hydro and wind power. The reduction rates could reach
464 up to 99% compared to the conventional ferry.

465 Hence through developing an LCA model to estimate the GHG emissions from the selected
466 case study ferry operated on Thames River, this study indicated the advantages of using a
467 battery-powered propulsion system compared to the conventional one from the point of view
468 of environmental footprint, and particularly on the carbon emission reduction. A UK based cost
469 analysis on the operational phase of the ferry was also carried out to determine the economic
470 benefits of applying battery power system and using electricity generated from renewable
471 sources. A fleet consideration was conducted based on the data from the collaborating ferry
472 operator which broadly illustrated the benefits of adopting battery power plants in the maritime
473 industry especially on inland and short-sea shipping. Therefore, based on these results, the
474 following observations and recommendations are made:

- 475 1. Replacing the ferry's conventional system by battery power plant can reduce the life
476 cycle environmental impact (GHG emissions) by around 30% in 2019 and 86% in 2050
477 but at the expense of higher volumes and increased displacements for the same carrying
478 capacity ;
- 479 2. Utilising renewable energy for electricity generation could further decrease the
480 environmental protection potential (GWP) and the economic cost when applying

481 battery power plant to the selected ferry; the decrement is more than 99% compared to
482 using conventional power system which will fulfil the IMO and UK's targets;

483 3. The Life Cycle Cost results indicate the battery-powered system can reduce the total
484 life cycle cost by about 15% relevant to conventional power systems; a potential fleet-
485 wide transition to this powering system, indicated significant GHG emission reduction
486 potential;

487 4. A recommendation is made to UK policymakers to further design the carbon credit to
488 economically incentivise operators to select battery-powered ferries and protect the
489 environment;

490 5. The approach presented in this study provides a holistic way to evaluate different design
491 alternatives in the early design phase; to meet the challenging target of emission
492 reduction pledged by the UK government, EU and IMO, it is recommended to adopt
493 battery power plants with electricity from renewables on inland and short sea shipping
494 vessels.

495 Further sensitivity analysis on electricity prices (such as from grid mix and renewable energy)
496 will be carried out. The electricity prices from the UK in the future, EU and the rest of the
497 world can be considered. Also, a holistic life-cycle cost assessment considering all the activities
498 and life stages will provide more confidence and reduce the investment risk for the different
499 stakeholders in the marine industry (shipbuilder, ship operators, port authorities, scrapping
500 shipyards, policymakers and so on).

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