

Fabrication of three-dimensional sin-shaped ripples using a multi-tip diamond tool based on the force modulation approach

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Abstract

A novel cutting strategy of machining microstructure surface with the multi-tip diamond tool based on the force modulation approach is proposed in this paper. The multi-tip diamond tool with periodic sinusoidal microstructures was prepared by focused ion beam technique. The influence of applied cutting forces on the depths of cut and material removal states was investigated experimentally. MD simulations revealed a significant phenomenon of no material side flow when using the multi-tip

diamond tool cutting on single crystal copper substrate. The movement of stacking faults, Lomer-Cottrell locks and Hirth locks jointly governed the formation mechanism of machined surface. To demonstrate the feasibility and effectiveness of this proposed cutting strategy, the fabrication of periodic sinusoidal microstructures under constant forces was successfully realized on the microsphere surface. Furthermore, three-dimensional sin-shaped ripples required variable forces controlling were achieved with high-precision surface quality. The cross-sectional topography of obtained ripples matched the geometry of used MTD tool quite well. In particular, the processing parameters, including the time period of loading forces and cutting speeds, determine the expected wavelength of ripples and play a central role in the surface machining accuracy.

Keywords:

multi-tip diamond tool cutting, force modulation, three-dimensional sin-shaped ripples, MD simulation

1. INTRODUCTION

The engineered and controllable surface texturing has attracted much attention and found to be received as functional microstructures due to their significant value in both commercial and industrial applications. Anti-reflective property demonstrates that the periodic nanostructured surface help improve light transmission efficiency over various wavelengths and increase anti reflection ability over a wide range of incident angles[1]. Superhydrophobic feature results in water droplets easily rolling off from the lotus leaf surface due to hierarchal nanoscale humps attached with nanometer-scale hairs[2, 3]. Surface enhanced Raman scattering (SERS) requires the substrate surface roughness to excite surface plasmons for strengthening the electromagnetic effect through amplifying optical phonons[4-6]. The desired nanofeatures on the heated surface in pool boiling process are indispensable for getting a high heat transfer coefficient between heat source and cooling liquid[7, 8]. Nanostructures, such as surface

protrusions, provide more regions as active nucleation sites, while either increasing the critical heat flux or reducing the wall superheat[9, 10]. More importantly, hydrodynamic instabilities growth caused by surface defects of periodic sinusoidal ripples has been played a significant role in the failure of inertial confinement fusion (ICF) implosion performance[11, 12]. Many research projects are motivated to simulate the implosion weakening process by the artificial manufacturing of sin-shaped ripples on the microsphere surface[13].

There are several existing nanofabrication approaches to produce nanostructured surface, including lithography, nanocoining, bio-templates and etching. Currently, the interference lithography is the most general technique to create periodic arrays due to the exhibition of high quality and fidelity nanostructures. However, the involvement of complicated production steps requires a quite long manufacturing duration, even consuming 11 hours in some lithographic process[14]. Although researchers assume that nanocoining proposes a rapid machining process for sub-micrometer surface using a diamond die, the issue of distortion and smearing brings much trouble to the formed nanostructures precision because of the motion mismatch between the die and the workpiece surface[15]. Different from photonic and physical fabrication approach, bio-templates employs a biological mold with the expected nanostructures to generate subwavelength replications[16]. Etching techniques can be also regarded as an effective method to create moth-eye structures on the wafer surface by using silica crystal arrays masks[17]. Most of these methods need to prepare a high-quality mold and are not easy to generate nanostructured surface with various topographies, especially for processing on nonplanar surfaces. Thus, ultra-precision diamond turning using textured tools has been proven as a promising mechanical cutting approach for generating three-dimensional (3D) microstructures on curved or microsphere surface[18]. Diversities of tool geometries, for example triangular, rectangular or other complicated shapes designed, offer an opportunity to fabricate functional microstructure surfaces with high productivity[19]. **The ultra-precision manufacturing of microgrooves, microcoils and curvilinear structures has been inspired by the pioneering work of making use of shaping a two-tip textured cutting tools with dimensions of 15-100 μm [20]. The**

structured diamond tool with tip width of 150 nm has been applied for generating the required nano-gratings with the pitch as small as tens of nanometers on an electroless nickel workpiece over a total area of $5 \times 2 \text{ mm}^2$ [21]. The built semicircular cutting tools are developed for the fabrication of micro diffractive optical elements in the field of infrared sensors, solar condense lenses or optical imaging systems with high-performance[22]. In order to achieve high-accuracy microstructure surface with periodic sinusoidal ripples more efficiently, this paper proposes a novel strategy of multi-tip diamond (MTD) tool cutting based on the force control principle. The novelty of this study is to successfully realize the fabrication of 3D sin-shaped ripples while guaranteeing high-precision surface quality, especially under the condition of variable forces control, when using the multi-tip diamond tool prepared by the focused ion beam (FIB) technique.

Thus, the preparation of multi-tip cutting tool has become an essential factor for the realization of fabricating 3D periodic sinusoidal ripples. The features of built multi-tip cutting tool, that is, various tip cross-sectional shapes or dimensions, have a great influence on the geometries of obtained microstructures[23]. Currently, nano-grooves were generated by two textured diamond tools with trapezoidal cross-sectional shape. One is a four-tip tool, which has a tip width of 152.9 nm, a tip width of 458.5 nm, a tip height of 589.8nm and tip distance of 706 nm[24]. Another is a seventeen-tip tool with the tip distance being 150.6 nm[23]. Moreover, some scholars create the model of two-tip nanoscale tool with rectangle shape for an atomistic investigation on the machining mechanism[25]. In view of this, the tip geometry of used multi-tip diamond tool is designed into a smooth sinusoidal curve for fabricating the desired 3D periodic sinusoidal ripples. The experiments are firstly carried out to obtain periodic sinusoidal ripples on the flat surface by keeping the normal forces constant, illustrating the influence of loading forces on the cutting depths and material removal states. As to further verify the capacities of cutting on the curved surface, the micro-balls are provided as samples for machining periodic sinusoidal ripples. Furthermore, the movement of dislocations nucleation is extremely difficult to uncover by experimental work. And MD simulation technique has been proven as an effective method to explore

the atomic insight into the nanomachining on single crystal copper[26, 27]. Therefore, this paper utilizes MD simulation to explain the formation mechanism of machined surface during the process of MTD tool cutting on single crystal copper.

2. EXPERIMENTAL DETAILS

2.1 Experimental method

Figure 1 shows the schematic representation of machining periodic microstructures on the flat and curved surface by MTD tool under constant or variable forces. The experimental configuration and the cutting principle of proposed tip-based force control system are illustrated in the previous study[28]. This modified four-axis micromachining system consists of two high-precision stages (X,Y) driven by air floatation with the resolution of 1 nm, a motor driven coarse stage (Z) with the resolution of 0.5 μm and an air-bearing rotary stage (C) with radial rotation accuracy 0.26 μm . A PZT ceramics (PSt150/7/60VS12, COREMORROW, Harbin, China), a strain force sensor of 50-g capacity (LSB-200, FUTEK, Irvine, CA, USA) as well as a controller (UMAC, Delta Tau Data Systems, Los Angeles, CA, USA) were employed to be the parts of force modulation system, which maintained the normal forces exerted by the cutting tool on the workpiece surface constant. The schematic of proposed tip-based force control system describes a high-sensitivity force sensor integrated with the PZT to realize accurately feedback control through the UMAC controller. **During the cutting process, the textured cutting tool is installed onto the force sensor by means of rigid connection using the tool holder thread. When machining on the non-flat surface, the whole tool post system can be rotated for approaching sample surface through a turbine worm rotating platform embedded on the coarse stage (Z).** As long as the difference existed between the measured contact force and the expected normal force is detected during the machining process, PZT actuator implement the displacement of extension or retraction to guarantee applied normal force between the cutting tool and the sample exactly the same as expected as well as constant.

In this study, a single-crystal copper piece of 5 mm \times 5 mm with the surface orientation of [110] (Hefei Kejing Materials Technology Co., Ltd., China) is firstly

selected as the sample. After machining on the flat surface, the experiments were extended to conduct on the surface of a copper coated micro-ball with the diameter of 1 mm, as shown in Figure 2, using the micro machine tool of Figure 1(A) from our previous research[29]. For the purpose of comparison of fabricated periodic nanostructures, such as topography and material removal state, a hollow thin-walled polymer micro-ball without coating copper is also used, which is illustrated in Figure 2 of previous study[29]. A commercial AFM (Dimension Icon; Bruker Corporation, USA) was used to observe the topography of machined periodic nanostructures by tapping mode. For scanning plane and curved surface, silicon tips (TESPA, Bruker Company, USA) with normal spring constant and resonance frequency were employed as 40 N/m and 320 Hz and 0.2 N/m and 13 kHz , respectively.

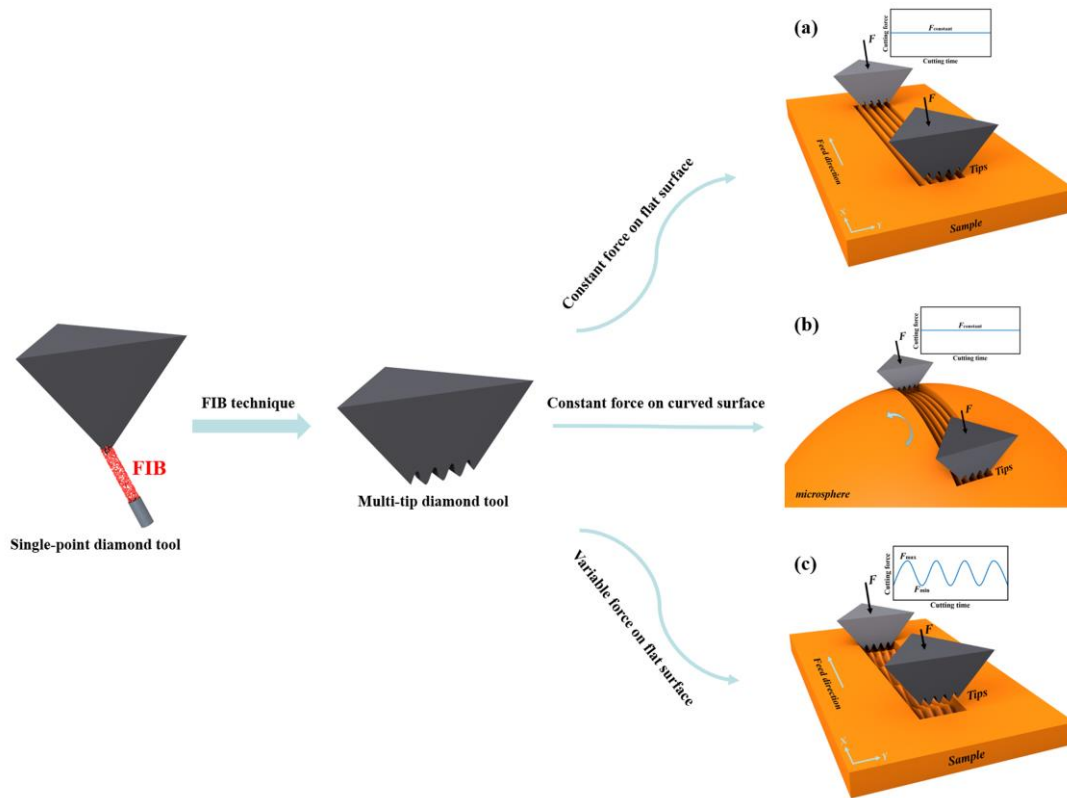


Fig. 1. Schematic representation of MTD tool cutting.

2.2 Preparation of the MTD tool

In this work, the MTD tool with periodic sinusoidal microstructures was produced by a dual-beam FIB system (FEI HeliosNanolab 600i). The form accuracy of MTD tool has considerable influence on its cutting performance, such as the topography of

machined nanostructures and material removal state. In order to improve its form accuracy, it is essential to increase the charge conducting efficiency for suppressing the image drift. During the fabrication process, an acceleration voltage was fixed at 30 kV and an ion beam with a current of 24 pA scanned over the diamond tip to remove the redundant material. Meanwhile, the parameters of beam dwell time and overlap were set as 1 μ s and 50%, respectively. As shown in Figure 2, a single-point diamond tool with a rake angle of 0°, a clearance angle of 6° and a tool included angle of 80° was prepared for fabricating the MTD tool. The period and amplitude of obtained MTD tool with sinusoidal microstructures can be considered as approximate 1 μ m and 150 nm, respectively, which are illustrated in the scanning electron microscopy (SEM) image with a magnification 30000 \times . However, note that the amplitudes of tips of both sides are smaller than those of the three tips in the middle. This phenomenon was attributed to the fact that during the manufacturing process of tips of both sides, less material redeposition and interference made material removal more efficient, and then certainly resulted in the tip edges of both sides smaller.

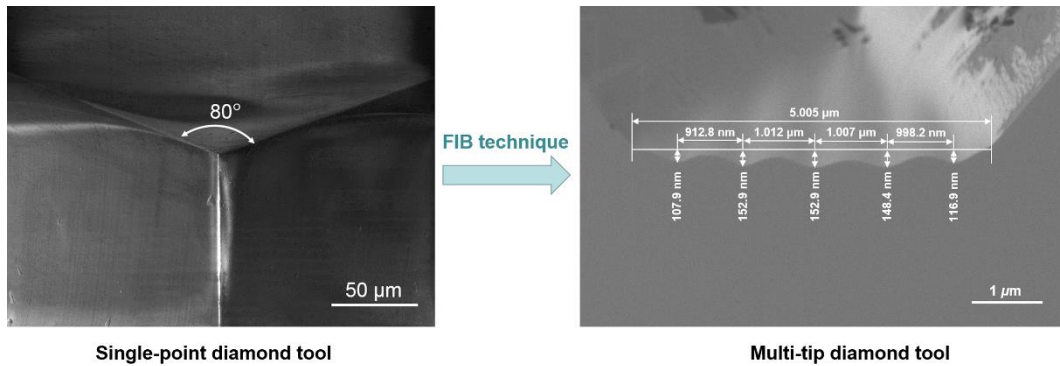


Fig. 2. SEM image of the MTD tool with periodic sinusoidal microstructures fabricated by FIB technique.

3. MD simulation methodology

In this study, MD simulation model of MTD tool cutting on single crystal copper was established, as shown in Figure 3. The MTD tool was build up with periodic sinusoidal microstructures. The dimension of single crystal copper substrate and MTD tool was 35.8 nm \times 20.1 nm \times 83.9 nm (totally 5,280,000 atoms) and 71.3 nm \times 12.1 nm \times 3.0 nm (55,816 atoms), respectively. The single crystal copper substrate consisted

of newton atoms, thermostat atoms and boundary atoms, as illustrated in Figure 3(a). The initial temperature of single crystal copper substrate was kept 300 K via Nose-Hoover method[30]. The MTD tool was considered as rigid body during the nanoscratching process and the cutting direction was along with negative X axle direction with cutting velocity of 50 m/s. Additionally, the classic Tersoff potential function was selected to describe the MTD tool[31] and the EAM potential function was employed to calculate the atomic interactions of single crystal copper substrate[32, 33]. The atomic interaction between MTD tool and single crystal copper substrate was described by Morse potential function[34]. MD simulations of MTD tool cutting of single crystal copper were carried out in an open source code large-scale atomic/molecular massively parallel simulator (LAMMPS)[35] under NVE ensemble. Open visualization tool (Ovito) software[36] was utilized to visualize the results of MD simulations. The detailed simulation parameters are indicated in Table 1.

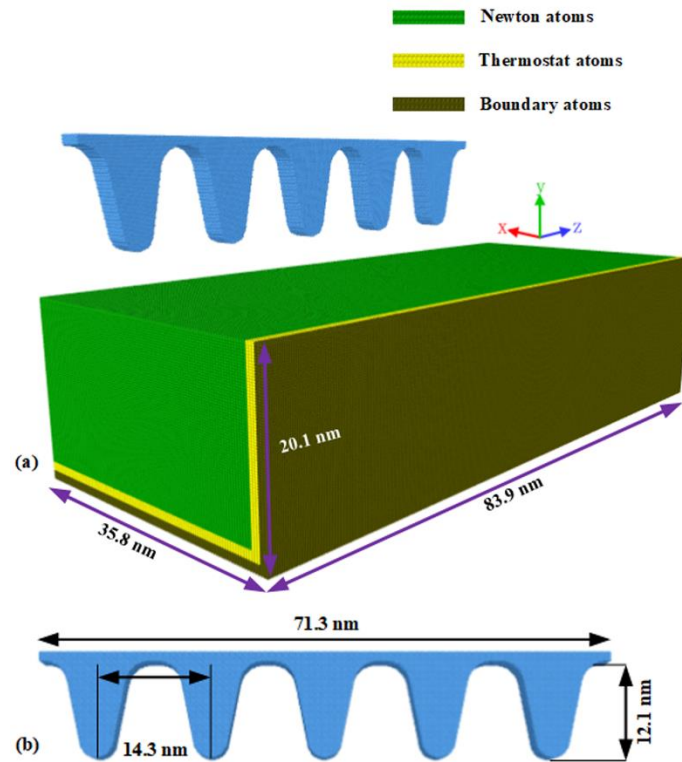


Fig. 3. (a) 3D model of MTD tool nanoscratching on single crystal copper, (b) MTD tool geometry.

Table 1. MD Simulation parameters.

Copper lattice structure	Face centered cubic (FCC)
Copper lattice constant	3.61 Å
Atom numbers of copper substrate	5,280,000
Substrate dimensions	35.8 nm × 20.1 nm × 83.9 nm
Machining tool	Cubic diamond
Diamond lattice constant	3.57 Å
Atom numbers of the machining tool	55,816
Machining tool dimensions	71.3 nm × 12.1 nm × 3.0 nm
Machining depth	2.1 nm
Machining distance	15 nm
Machining velocity	50 m/s
Machining lattice plane	(1 1 0)
Machining direction	[0 0 1]
Equilibration temperature	300 K
Potential functions	Tersoff, Morse, EAM
Boundary condition	Periodic, shrink-wrapped and periodic along X, Y and Z directions
Timestep	1 fs

4. RESULTS AND DISCUSSION

4.1 Fabrication on flat surface under constant forces

On the basis of proposed force-feedback control strategy, the experiments of periodic sinusoidal microgrooves cutting were firstly conducted on a flat copper surface using the MTD tool under constant forces, as shown in Figure 1(a). In order to

investigate the effect of normal cutting forces on machining mechanism, including material removal state and machining defects, the cutting forces were loaded over a wide range from 3mN to 17mN and kept constant during the whole scratching process. The cutting length and feed speed are set as 0.75 mm and 75 $\mu\text{m/s}$, respectively.

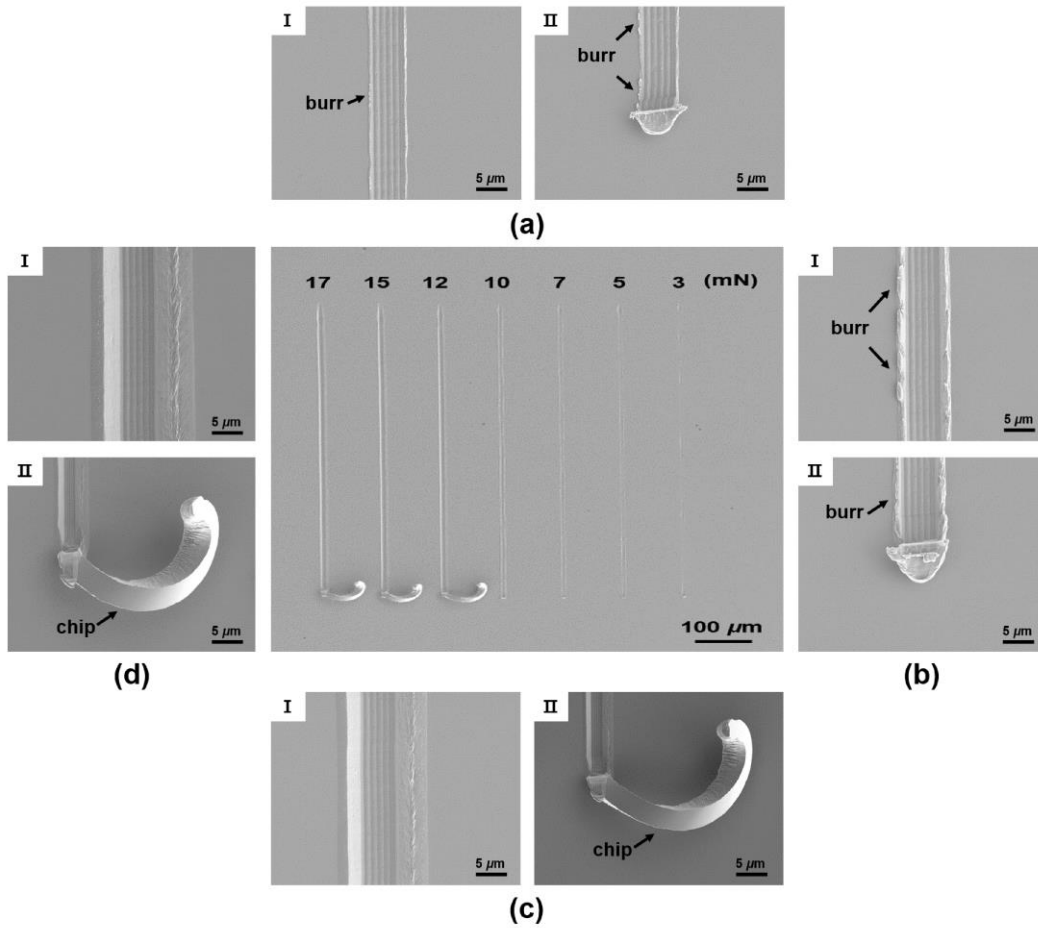


Fig. 4. Overall and local SEM images of sinusoidal microgrooves machined on the flat copper surface with respect to the cutting forces: (a) 5 mN, (b) 10 mN, (c) 12 mN and (d) 17 mN.

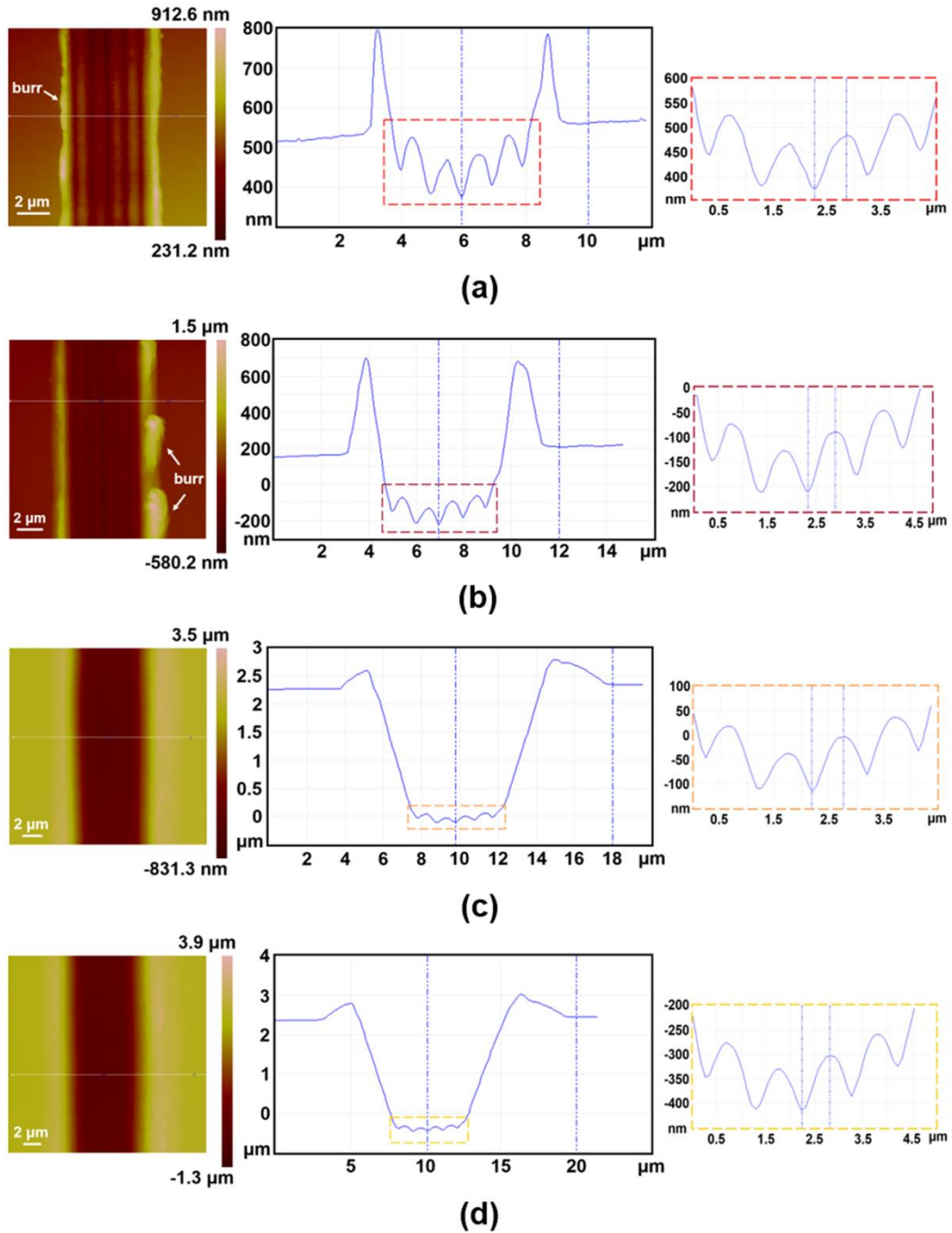


Fig. 5. AFM images of periodic sinusoidal microgrooves machined on the flat copper surface corresponding to the cutting forces: (a) 5 mN, (b) 10 mN, (c) 12 mN and (d) 17 mN.

The SEM and AFM images of machined microgrooves with respect to the setting normal forces of 5, 10, 12, 17 mN are shown in Figure 4 and Figure 5, respectively. And the images I and II in Figure 4 present the middle and end positions of each microgroove, respectively. Two types of machining processes are distinguished

according to the depths of cut. One case is that part of the tips is beneath the sample surface when the cutting depth is less than 150 nm of the tip height, the other is that the tips are completely surrounded by the sample as large depth cutting. In general, the obtained periodic sinusoidal microgrooves coincided with the geometry of used MTD tool quite well, as shown in the section profiles of AFM images. However, as evident from SEM images I and II in Figure 4(a) and (b) as well as AFM images in Figure 5(a) and (b), apparent side burrs distributed on the edge of machined microgrooves when the depths of cut were smaller than 468 nm. This might be attributed to the fact that as applying MTD tool cutting, the dislocation pile-ups took place beneath the tool tips due to the presence of overlap effect. Moreover, large pile-up was achieved by the accumulation of material bulge on both edges of machined microgrooves. With the increase of cutting forces to larger than 12mN, the images II in Figure 4(c) and (d) revealed that side burrs disappeared and the formation of C-shaped chips were observed clearly. This phenomenon can be explained as the larger the cutting depth, the larger range of deformed layer, and definitely significant dislocation pile-ups, which made the removed materials between the tips as well as in front of each tip flow up. Most importantly, along with the built-up volume of each cutting tip increasing, they eventually merged into one big chip. That is to say, when a depth of cut reaches a certain cutting thickness, side burrs no longer adhere to the edge of machined microgrooves, but accumulate to form chips. Thus, the above analysis indicated that there existed a critical value of depth of cut, which was closely responsible for the change of material removal state from plowing along with side burrs to generation of cutting chips.

In addition, the influence of applied cutting forces on depths of cut is plotted in Figure 6. When the material removal state was the same, i.e., plowing for loading forces smaller than 10 mN and cutting chips for loading forces larger than 12 mN, the cutting depths increased linearly with the increase of cutting forces. However, note that when the loading forces increased from 10 mN to 12 mN, the machined depth was evaluated to dramatically increase from 0.47 μm to 2.2 μm due to the appearance of cutting chips.

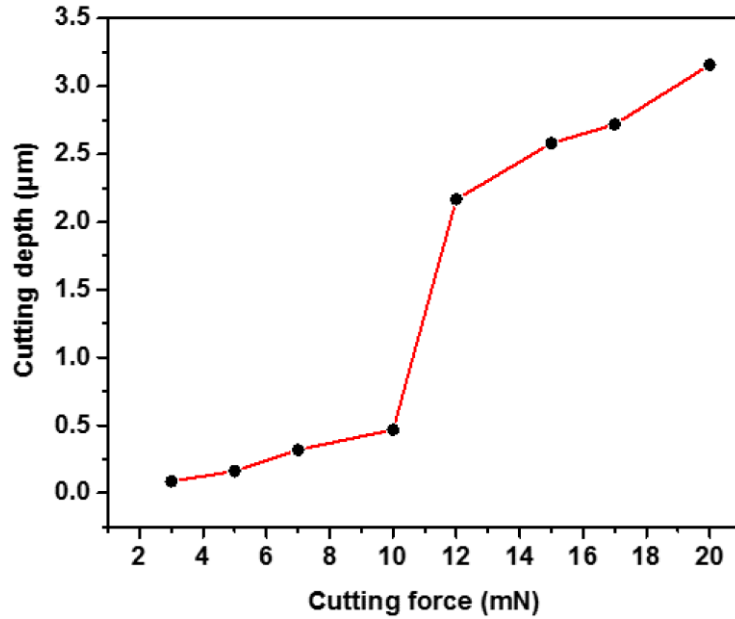


Fig. 6. Relationship between cutting forces and depths of cut on a flat copper surface.

4.2 Fabrication on curved surface under constant forces

As to further explore the possibility of machining periodic sinusoidal ripples on the curved surface by MTD tool under constant forces, as shown in Figure 1(b), the experiments were extended to the copper coated microsphere surface. Figure 7 shows that the diameter and thickness of such a hollow thin-walled microsphere are about 1 mm and 50 μm , respectively. Different from single-tip tool cutting, the most difficult problem is to align the MTD tool with the microsphere center for guaranteeing each tip in contact with microsphere surface during the cutting process. More importantly, it is essential to make the microsphere center coincide with the spindle rotation center, which is critical to the machining accuracy and implemented by the approach of optical capture software as described in the previous study[37]. Refer to Figure 6 of the force-depth relationship in plane scratching, the normal force was applied to 25 mN for getting larger cutting depth. The rotating speed of microsphere was set as 6 $^\circ/\text{s}$, so that the processing efficiency can be improved as much as possible while considering the stability and machined surface quality. It was worthy of notice from Figure 7 that the periodic sinusoidal ripples were successfully achieved on different annuli of microsphere surface. The continuous ribbon chips were clearly observed and flowed out from the rake face of cutting tool. As provided in AFM image of Figure 7, the

wavelength and the peak-to-valley amplitude of machined ripples were measured to be approximate $1\mu\text{m}$ and 120nm , respectively, which exactly matched the shape of MTD tool. These positive results will be of great interest for the future application of manufacturing artificial surface defects on target ball surface used in ICF experiments and promoting the development of Rayleigh-Taylor instability research.

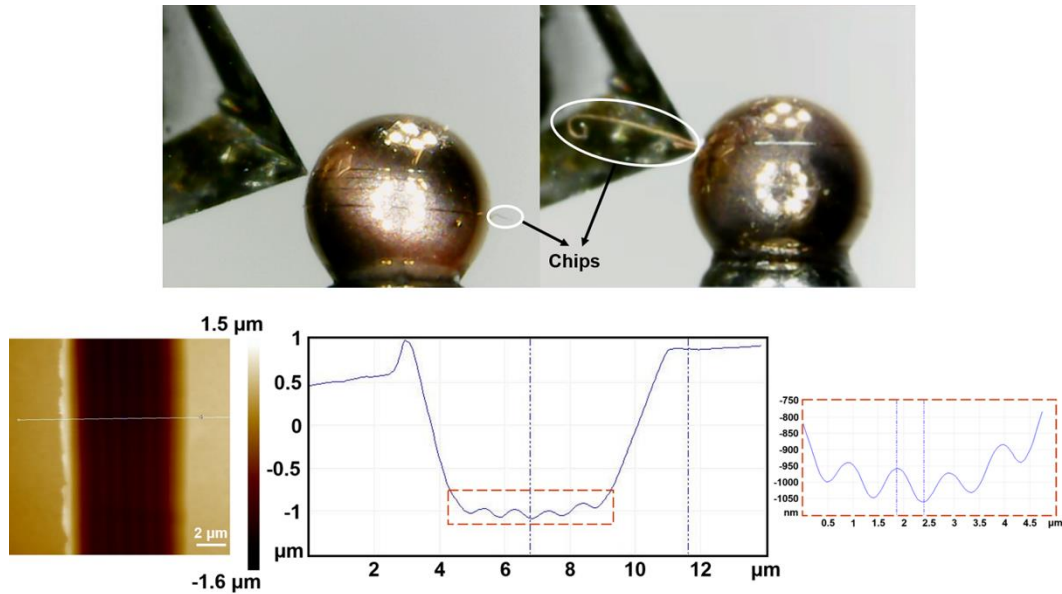
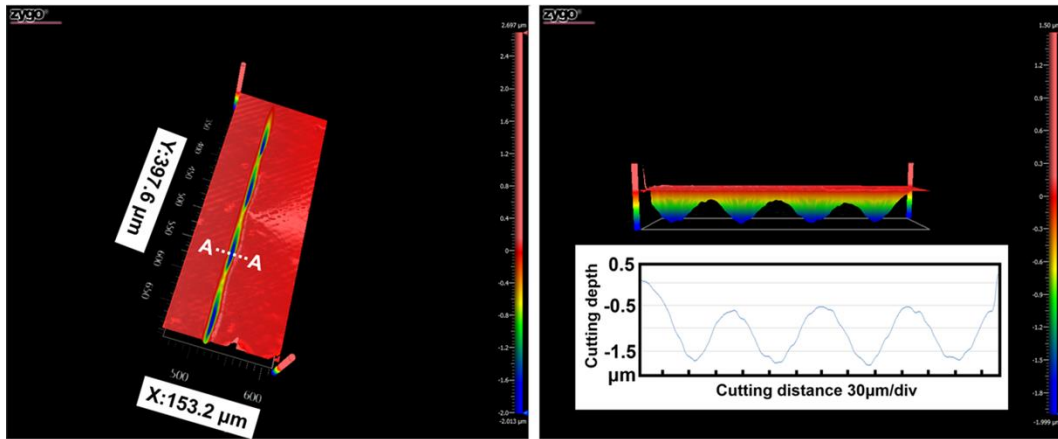


Fig. 7. Optical image of machining process on the copper coated micro-ball surface and AFM image of machined ripple.

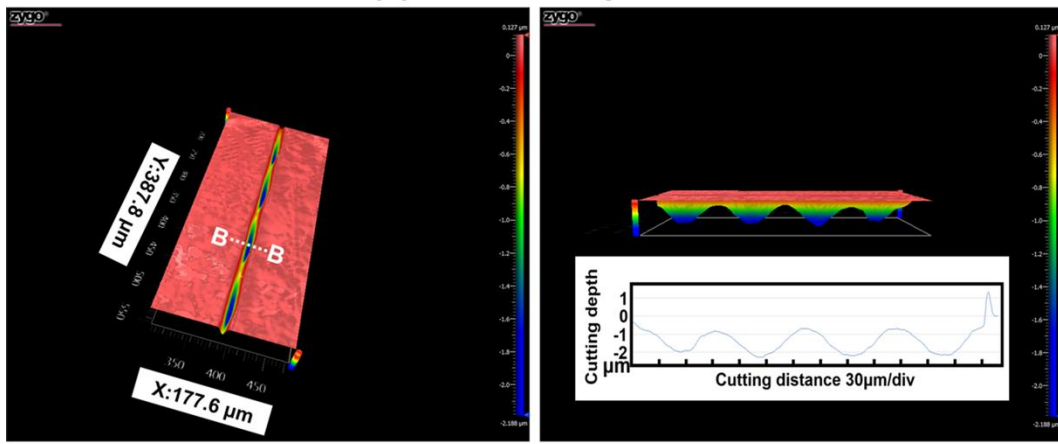
4.3 Fabrication of three-dimensional sine-shaped ripples under variable forces.

Hydrodynamic instability growth in ICF capsules is anomalously susceptible to the distribution of sinusoidal ripples specified above a certain size. Typical specification is on the order of above $60\mu\text{m}$ in wavelength and 0.8 to $2\mu\text{m}$ in depth[11]. Demanding for the simulations of thermonuclear ignition motivated the fabrication of 3D sine-shaped ripples that meet the requirements of engineered surface defects. Thus, the experiments were carried out on the Cu (110) plane using MTD tool under variable forces, as presented in Figure 1(c). In order to achieve three-dimensional sine-shaped ripples through single scratching by using the MTD tool, the cutting forces between the tips and workpiece need to vary as a sinusoidal curve, where the peak and valley of reference forces were set as 12 mN and 10 mN , respectively. The time period of force

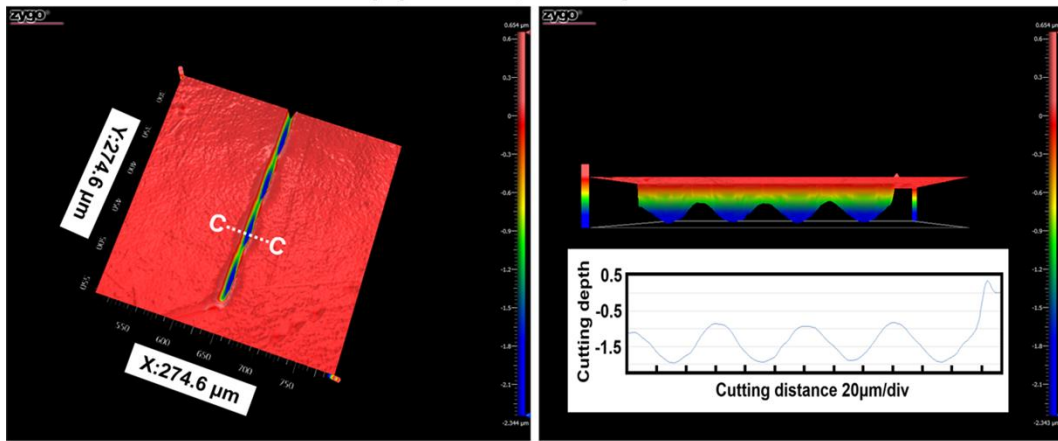
signals, that is, the loading and unloading rate, greatly influence the surface quality of machined sin-shaped ripples. The cutting speed is also critical to the surface roughness and keeps constant during the cutting process. Furthermore, the wavelength of ripples is determined by both the time period of sine force signals and cutting speeds, and the effect of these two key processing parameters on the machining accuracy of sinusoidal form of ripples is discussed in the following research.



(a) $T=5s$, $v=20 \mu\text{m/s}$



(b) $T=10s$, $v=10 \mu\text{m/s}$



(c) $T=20s$, $v=3 \mu\text{m/s}$

Fig. 8. Surface profile of the ripples machined with different time periods of force signals and cutting speeds.

To set three groups of the time period of reference forces and cutting speeds, the 3D sin-shaped ripples with different features were successfully achieved. The longitudinal section topography of machined ripples is provided by a white light interferometer (ZYGO, NewView™ 8200) due to the large measurement range of

830×830 μm , as shown in Figure 8. However, the laterally resolution of surface profiler is just 815 nm, which is close to the width of each microgroove. In view of this, the corresponding cross-sectional morphologies of A-A, B-B and C-C are measured by AFM, respectively, as shown in Figure 9. It could be seen that five microgrooves obtained by single scratching with MTD tool formed periodic sinusoidal microstructures in cross direction.

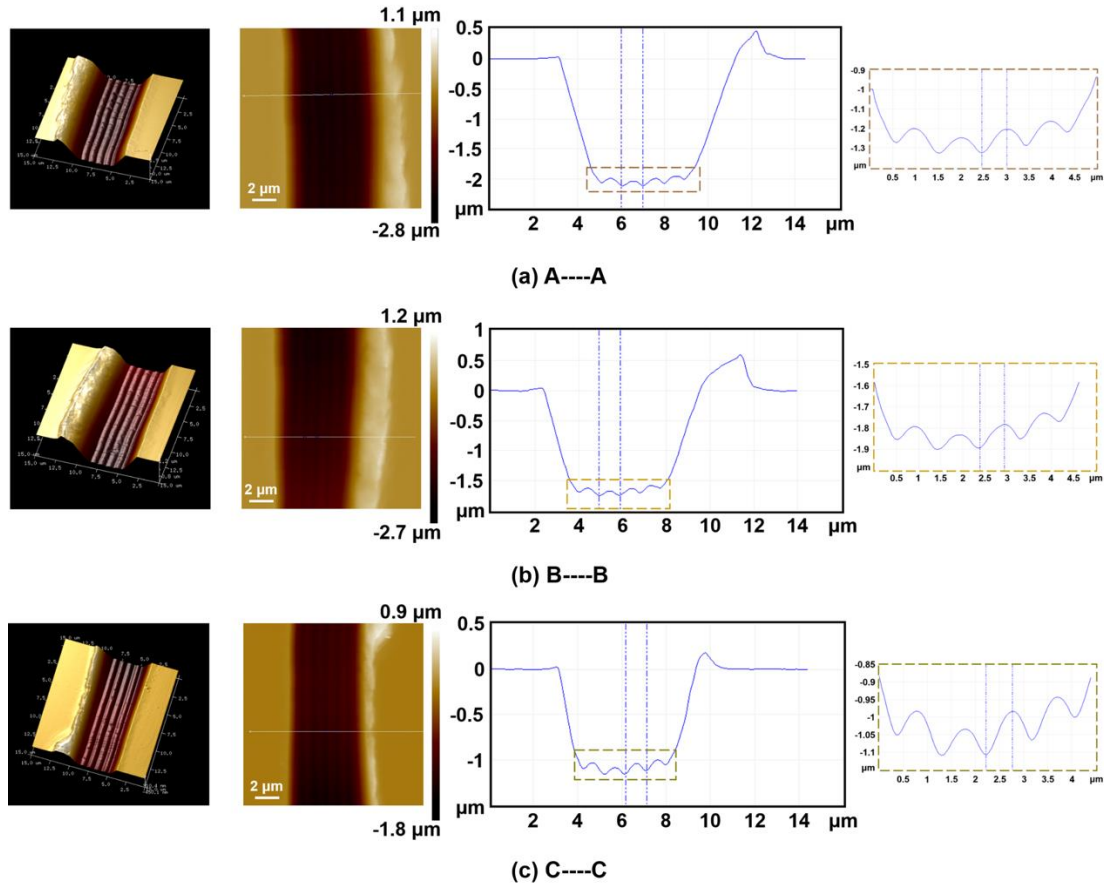


Fig. 9. Cross-sectional images of the machined ripples corresponding to figure 8.

As shown in Figure 8(a), when the time period of force signal and the cutting speed were set as 5s and 20 $\mu\text{m/s}$, respectively, the wavelength of 102 μm was consistent with the theoretical value. The cutting depth formed a sinusoidal curve, where the peak and valley reached 1.91 μm and 0.56 μm , respectively. The machined surface quality was evaluated to exist some errors of the depth, which could be found in the longitudinal section topography and also from 3D image of cross section in Figure 9(a). This was primarily attributed to the fact that rapid loading and unloading from valley to peak of force signal as well as larger cutting speed had a significant influence on the machined

surface quality. More specifically, during the machining process under variable forces, the ability of tracking reference force signals turns to be particularly critical. As to improve the machining accuracy, the time period of force signal increased to 10s and meanwhile, the cutting speed decreased to 10 $\mu\text{m/s}$. The amplitude of obtained sin-shaped ripple was approximately 1.32 μm and the wavelength was 101 μm . As evident from the side view of surface profile in Figure 8(b), the machined surface quality had been enhanced obviously. For further reducing the surface roughness of ripples, the time period of force signal and the cutting speed were set as 20s and 3 $\mu\text{m/s}$, respectively. The depth of cut was evaluated to be 1.22 μm , which estimated from a sine curve with a peak of 1.98 μm and a valley of 0.76 μm . And the wavelength of 60 μm exactly coincided with the theoretical computational value. The surface topography of ripples appeared to be much smoother and less uneven area on sin-shaped curve, which can be clearly observed from the longitudinal section curve in Figure 8(c) and 3D cross-sectional AFM image in Figure 9(c). On the analysis of above results, the critical values of time period of force signals had been verified to be from 5s to 20s, while the cutting speed should be controlled between 3 $\mu\text{m/s}$ and 20 $\mu\text{m/s}$. It implied that the wavelength of ripples could be obtained from the shortest 15 μm to the longest 400 μm . Finally, depending on the expected wavelengths, the fabrication of three-dimensional sin-shaped ripples with high precision surface quality was realized by the selection of appropriate processing parameters.

4.4 Surface formation mechanism during MTD tool cutting

Cross-sectional of machined periodic nanogrooves in MD simulation is shown in Figure 10. It should be noted that copper atoms only piled up on both sides of nanogrooves while no material side flow was observed in the middle three nanogrooves. In comparison with a single point diamond tip cutting[25], the scratching process by using MTD tool can be guaranteed to free of the effect of material side flow due to the nature of special cutting tool geometry. To this end, it is more likely to obtain a better surface integrity without material side flow when the distance between adjacent tips of MTD tool is less than a critical value. And this critical value relates to depth of cut

(DOC) as well as cutting velocities[38]. Undoubtedly, surface formation mechanism has close dependence on the movement of defects in the sub-surface of machined substrate. For this reason, the generated defects in the sub-surface were analyzed in the following for explaining no material side flow observed during the MTD tool nanocutting process.

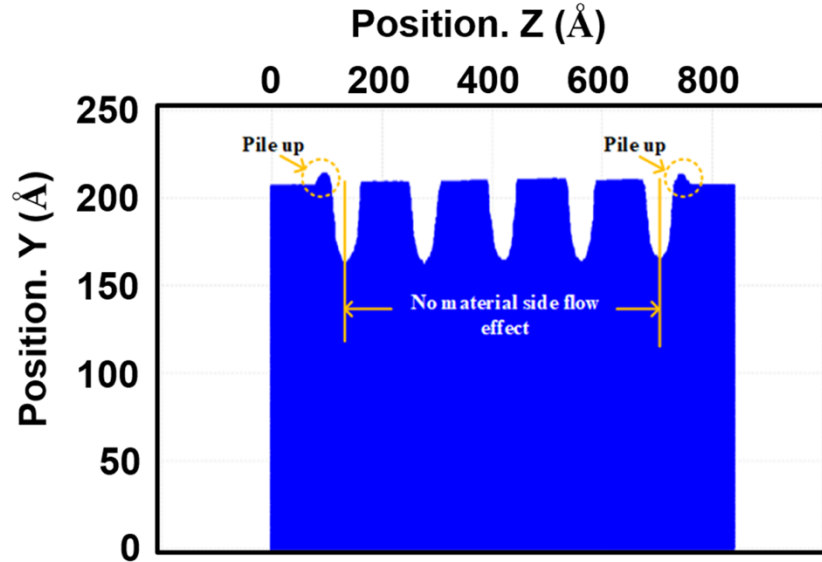


Fig. 10. Cross-sectional of machined periodic nanogrooves from MD simulation results.

Figure 11 shows the generation and propagation of dislocations and stacking faults (SFs) at scratching distance of 1 nm, 4 nm, 6 nm and 15 nm by employing the dislocation extraction algorithm (DXA) approach[39, 40]. It is evident to observe the five types of dislocations nucleation, e.g. $1/2\langle 110 \rangle$ Perfect, $1/6\langle 112 \rangle$ Shockley, $1/6\langle 110 \rangle$ Stair-rod, $1/3\langle 100 \rangle$ Hirth and $1/3\langle 111 \rangle$ Frank during MTD tool nanoscratching on single crystal copper at cutting distance of 1 nm. Subsequently, a number of SFs are generated in the machined sub-surface of single crystal copper at scratching distance of 4 nm, 6 nm and 15 nm. Remarkably, a V-shaped defect is found in the sub-surface, as shown in Figure 12. The V-shaped defect is conducted by the annihilation of $1/6\langle 112 \rangle$ Shockley dislocations to $1/6\langle 110 \rangle$ Stair-rod dislocations, which can be represented as $1/6 [\bar{1}2\bar{1}] + 1/6 [2\bar{1}1] = 1/6 [110]$. As a subsequent interaction, the Stair-rod dislocations with Burger vector $1/6 [110]$ can react with Shockley dislocations with Burger vector $1/6 [112]$ to create Frank dislocations with $1/3[111]$. The formation of Stair-rod dislocations and Frank dislocations is named as

Lomer-Cottrell (L-C)[41, 42]. Additionally, the dissociation reaction of Hirth dislocations can be represented as $1/3 [100] = 1/6 [1\bar{2}1] + 1/6 [12\bar{1}]$, which is universally known as Hirth lock[43].

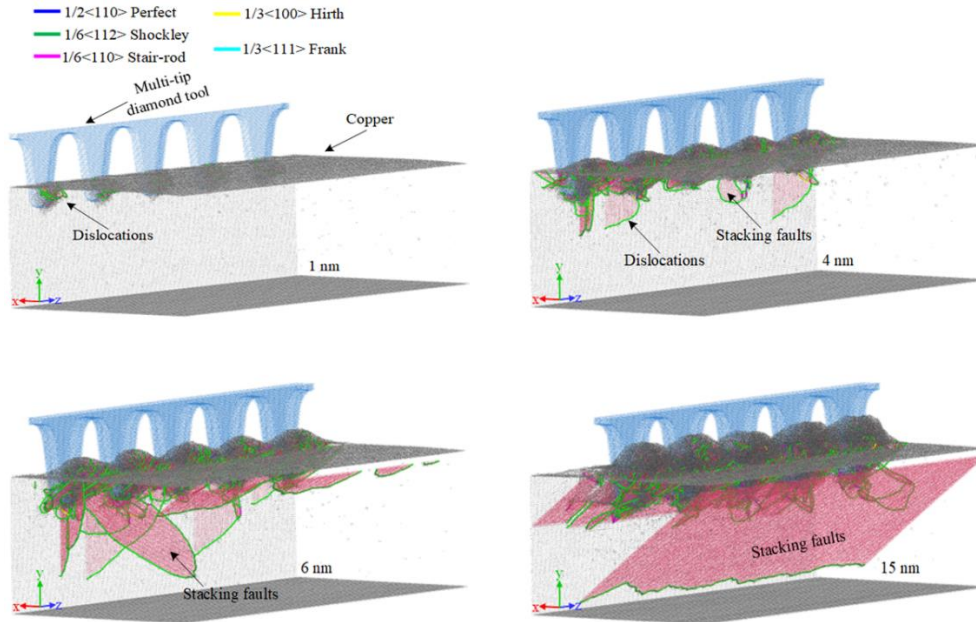


Fig. 11. Atomic snapshots of dislocations and stacking faults at scratching distance of 1 nm, 4 nm, 6 nm and 15 nm.

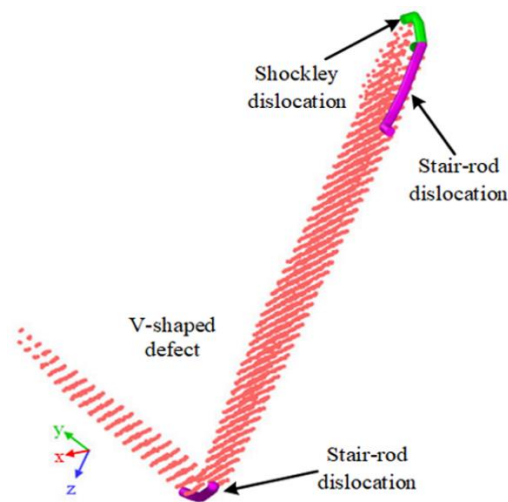


Fig. 12. Atomic snapshot of V-shaped defect.

Apart from the SFs and V-shaped defect, the dislocation junction, Stair-rod steps, dislocation loops and dislocation node are observed under the sub-surface, as shown in Figure 13. Formation of the dislocation junction and dislocation node can be attributed to the storage of the energy. With the proceeding of MTD tool nanoscratching, the

energy within the junction and node is released to split into the dislocation segments. Moreover, formation of the dislocation loop and stair-rod steps would definitely hinder the movement of dislocations, resulting in the sharp increase of cutting resistance. Meanwhile, the variation of dislocation density is presented in Figure 14. The density of $1/6\langle 112 \rangle$ Shockley dislocation went up rapidly before the scratching distance of 6 nm and kept fluctuation between 6 nm and 15 nm. The reason of fluctuation may be ascribed to Shockley dislocation dissociation and annihilation to $1/2\langle 110 \rangle$ Perfect, $1/6\langle 110 \rangle$ Stair-rod, $1/3\langle 100 \rangle$ Hirth and $1/3\langle 111 \rangle$ Frank dislocation. Furthermore, Figure 14 suggests that the density of Shockley dislocation is the most, followed by Stair-rod dislocation, Hirth dislocation, Perfect dislocation and Frank dislocation. During multi-tip diamond tool-based nanomachining process, plenty of copper atoms have been displaced from one steady site to another. Consequently, a number of Shockley dislocations have been formed. Then, the pair of Shockley dislocation can result in the formation of SFs, which is the reason of why the Shockley dislocation is the dominated dislocation.

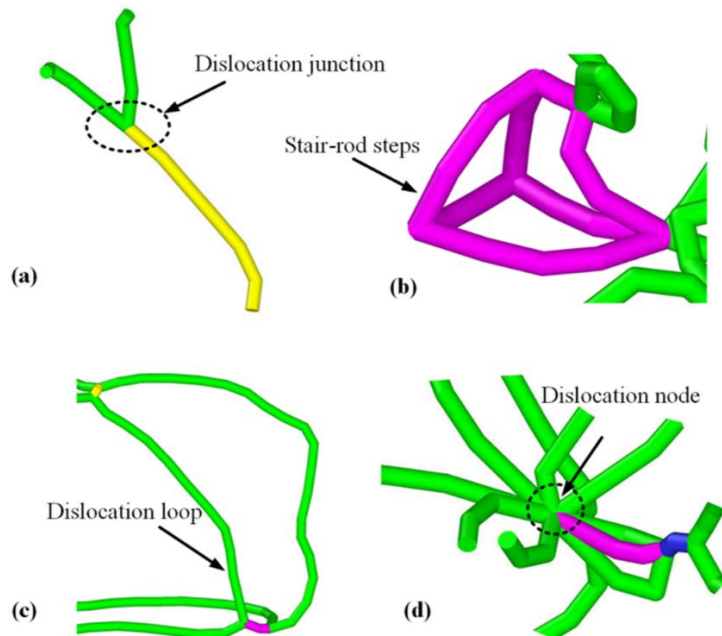


Fig. 13. Evolution of dislocations in the sub-surface of single crystal copper during MTD tool nanoscratching.

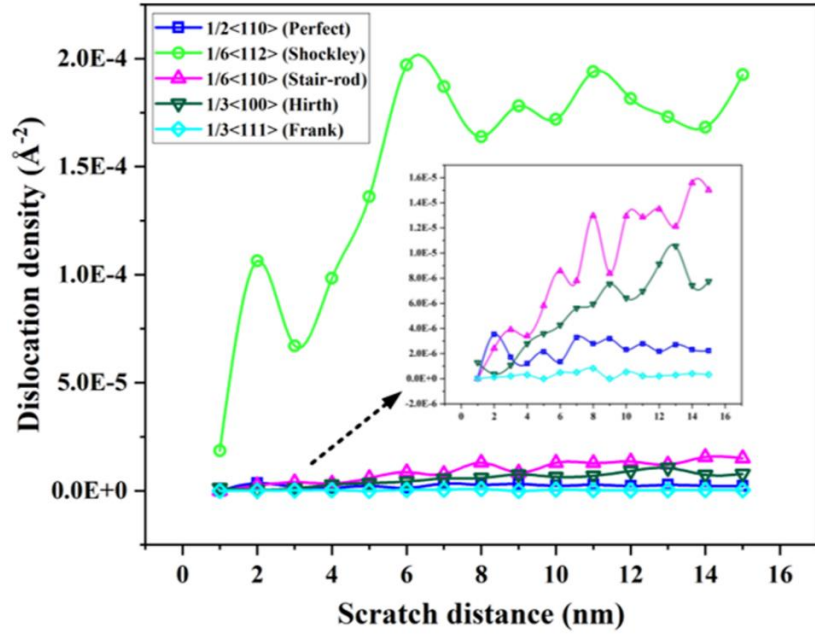


Fig. 14. Variation of density of five types of dislocations.

Stress is regarded as a significant role for inducing the dislocations nucleation and formation of SFs. Figure 15 shows the hydrostatic stress distribution of cutting zone of single crystal copper. It is clear to see that the substrate demonstrates obvious surface stress effect, that is, the substrate surface has large tensile stress up to 9.2 GPa. The area of large compressive stress is the primary shear slip zone, where the generous stacking faults generates. Much more interestingly, dual high stress spots I and II are found inside the substrate, which indicates the incipient formation place of perfect SFs (see Figure 11 at scratching distance of 15 nm). **Additionally, figure 15 demonstrates the high stress spots are close to the boundary layer of the substrate. Therefore, the formation of high-stress spots may also be due to the influence of boundary layer apart from the multi-tip diamond tool extrusion effect.**

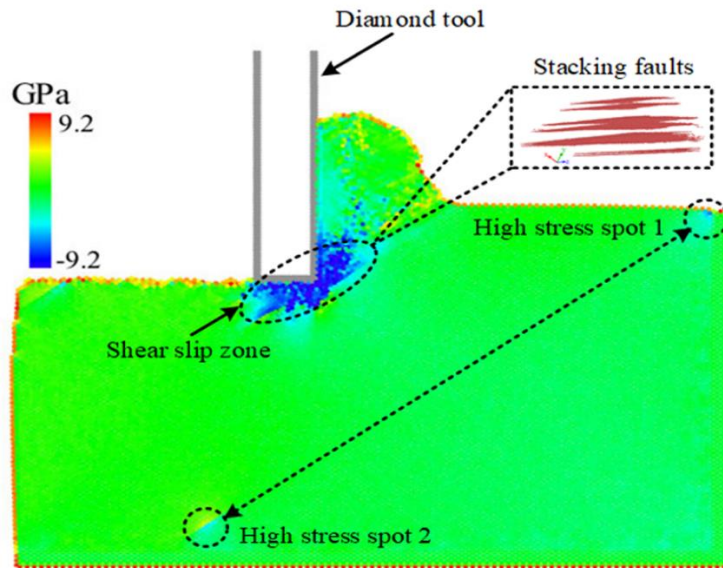


Fig. 15. Hydrostatic stress distribution of cutting zone of single crystal copper.

5. CONCLUSIONS

The most contribution of this study is to propose a novel cutting strategy of fabricating three-dimensional microstructures using the multi-tip diamond tool based on the force modulation approach. The scientific gaps or difficulties that this research tried to tackle is to achieve 3D sin-shaped ripples when using the multi-tip diamond tool for single scratching under the condition of variable forces control, while guaranteeing high-precision surface quality. The experimental work was firstly carried out to investigate the influence of MTD tool cutting on single crystal copper surface under constant forces. The effect of applied normal forces on the depth of cut was explored, and the cutting depths determined the material removal states. Furthermore, MD simulations have been utilized to give the atoms inside into the formation mechanism of machined surface when using the MTD tool cutting. The conclusions are drawn as follows:

- (1) Periodic sinusoidal microstructures required in the ICF experiments were successfully achieved on the copper coated microsphere surface under constant forces. The cross-sectional topography of machined microstructures matches the geometry of used MTD tool quite well.
- (2) The fabrication of 3D sin-shaped ripples on the flat copper surface demands the realization of variable forces control. The wavelengths of ripples measured

experimentally are in full agreement with the theoretical values obtained from the calculation of time period of sine force signals and cutting speeds.

- (3) Under the action of variable forces, the influence of processing parameters, such as the time period of loading forces and cutting speeds, on the surface quality of machined 3D sin-shaped ripples are revealed. The desired wavelengths in an optimal range (from the shortest 15 μm to the longest 400 μm) can be obtained by selecting the appropriate processing parameters, while guaranteeing the high accuracy of surface quality.
- (4) The phenomenon of no material side flow was observed during MD simulations, owing to the fact that formation of machined surface was governed by the movement of generated defects in the sub-surface, such as SFs, Lomer-Cottrell locks and Hirth locks. This result is consistent with experimental work and indicates that MTD tool cutting based on the force modulation approach may be considered as a remarkable candidate for scale-up machining of periodic nanostructures.

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