

1                   **EVALUATION OF AMOXICILLIN, METRONIDAZOLE AND**  
2                   **GENTAMICIN DOSAGE REGIMENS FOR USE IN ANTIBIOTIC**  
3                   **PROPHYLAXIS IN COLORECTAL SURGERY**

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14  
15   **Running title:** Antibiotic prophylaxis for colorectal surgery

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22 **ABSTRACT (243 words)**

23 **Objectives:** To evaluate amoxicillin, metronidazole and gentamicin dosage regimens for  
24 antibiotic prophylaxis in colorectal surgery.

25 **Methods:** The study was conducted in 20 patients undergoing colorectal surgery. Patients  
26 received one or two doses of amoxicillin 1000 mg, metronidazole 500 mg and gentamicin 3  
27 mg/kg ideal body weight, banded by height. Antibiotic concentrations were measured up to  
28 7 h post dose. Population pharmacokinetic (PopPK) analysis with NONMEM followed by  
29 Monte Carlo simulation of different dosage regimens was used to estimate the PTA for  
30 potential organisms associated with surgical site infections (SSIs).

31 **Results:** A median of 5 (range 3 – 6) concentrations were available per patient. CL and V of all  
32 antibiotics were related to weight; gentamicin CL was also related to  $CL_{CR}$ . The administered  
33 doses maintained the desired PTA up to 8 h for the *Streptococcus anginosus* group but not for  
34 enterococci, *Bacteroides fragilis* group, MSSA, and *Escherichia coli*. An additional 500 mg  
35 amoxicillin every 4 h was sufficient to achieve the PTA for most relevant organisms but 2  
36 hourly dosing was required for patients at risk of infective endocarditis. A metronidazole dose  
37 of 1000 mg was required for patients >85 kg. In patients with  $CL_{CR} >50$  ml/min, 5 mg/kg  
38 gentamicin with an additional 2.5 mg/kg in prolonged surgery at 6 h, maintained PTA targets  
39 for >10 h.

40 **Conclusions:** PopPK analysis with Monte Carlo simulation identified prophylactic antibiotic  
41 regimens that would maintain the PTA for organisms associated with SSIs during short and  
42 long duration colorectal surgery.

43

44

45 **INTRODUCTION (3610 words)**

46 Surgical site infections (SSIs) increase post-operative morbidity, mortality and cost of  
47 treatment, causing a substantial burden to hospitals and society.<sup>1</sup> Within the UK and Europe,  
48 colorectal surgery is associated with the highest SSI rate among elective operations.<sup>2</sup>  
49 Antimicrobial prophylaxis reduces the risk of SSIs<sup>3</sup> and maintaining serum and tissue  
50 concentrations above the MICs of common organisms involved in SSIs from incision to skin  
51 closure has been found to reduce SSI rates in colorectal and cardiac surgery.<sup>4,5</sup> Intravenous  
52 (IV) gentamicin plus metronidazole is widely used first line in the UK for colorectal surgery  
53 prophylaxis<sup>6</sup> due to good Gram-negative and anaerobic cover and a low risk of *Clostridium*  
54 *difficile* infection. Amoxicillin may be added according to local microbiological advice.  
55 However, such antibiotic dosage regimens are largely empirical and it is not clear if they  
56 maintain adequate concentrations during prolonged surgical procedures.

57

58 Pharmacokinetic/pharmacodynamic (PK/PD) principles and population pharmacokinetics  
59 (PopPK) are increasingly being used to develop antimicrobial dosage regimens that optimise  
60 surgical prophylaxis and the treatment of infections.<sup>7</sup> By combining PopPK and Monte Carlo  
61 simulations, the adequacy of antibiotic prophylaxis can be assessed while accounting for  
62 patient (e.g. renal function, weight), surgical (e.g. duration), and microbiological (e.g.  
63 organism and MIC breakpoint) factors. The aim of this study was to identify prophylactic  
64 antibiotic dosage regimens that would maintain plasma concentrations of amoxicillin,  
65 metronidazole and gentamicin above the MIC values of common organisms associated with  
66 SSIs in colorectal surgery.

67

68 **METHODS**

69 Adults undergoing colorectal surgery and who received amoxicillin, metronidazole and  
70 gentamicin were eligible for inclusion in the study, which was approved by the NHS East  
71 Midlands – Nottingham 1 Research Ethics Committee (16/EM/0209). All patients gave their  
72 written informed consent prior to entry into the study. The following antibiotics were  
73 administered within 1 h of skin incision according to current guidelines within NHS Greater  
74 Glasgow and Clyde: 1000 mg amoxicillin IV; 500 mg metronidazole IV; and an IV gentamicin  
75 dose banded according to height (HT) based on 3 mg/kg ideal body weight (IBW),<sup>8</sup> (Table S1).  
76 If the duration of surgery exceeded 4 h, an additional 1000 mg amoxicillin IV was administered  
77 at 4 h. If it exceeded 8 h, additional doses of 1000 mg amoxicillin IV, 500 mg metronidazole  
78 IV, and the banded gentamicin IV dose were administered at 8 h. Antibiotics were also re-  
79 dosed if the estimated blood loss was above 1.5 L. Blood samples were collected pre-dose  
80 then during surgery at 1 h and 2 h after dosing, and at skin closure. If additional doses were  
81 required, samples were also withdrawn before and 1 h after these doses.

82

83 Amoxicillin and metronidazole concentrations were determined simultaneously by LC-MS  
84 using an Orbitrap Exactive (Thermo Scientific). The method was linear in the range 0.1 to 6.4  
85 mg/L and intra-run coefficients of variation (CVs) were <12% for amoxicillin and <5% for  
86 metronidazole at concentrations of 0.1, 0.8 and 6.4 mg/L.<sup>9</sup> Clinical samples were diluted to  
87 be within this range. Gentamicin concentrations were determined by a homogeneous  
88 particle-enhanced turbidimetric inhibition immunoassay (Architect, Abbott Laboratories) that  
89 was linear up to 10 mg/L and had inter-assay CVs of 2.2 – 4.5%.

90

91 PopPK parameters for each drug were estimated using NONMEM 7.4.2 (Icon Development  
92 Solutions, Ellicott City, MD) using first-order conditional estimation with interaction.  
93 Bootstrap analysis was performed using Perl-speaks-NONMEM version 4.6.0.<sup>10</sup> and visual  
94 predictive checks (VPC) were constructed using Wings for NONMEM version 750.<sup>11</sup>  
95 Preliminary analysis of the data indicated that a one-compartment model was adequate for  
96 all antibiotics. Between-subject variability (BSV) was assumed to be log-normally distributed;  
97 additive, proportional and combined models were compared for residual error. Patient age,  
98 sex, HT, total body weight (TBW), IBW, adjusted body weight ( $AJBW = IBW + 0.4 \times$   
99  $(TBW - IBW)$ ), allometric weight  $(TBW/70)^{0.75}$ ,  $CL_{CR}$  and serum albumin were evaluated as  
100 covariates.  $CL_{CR}$  was estimated using the Cockcroft–Gault equation<sup>12</sup> based on TBW, IBW,  
101 AJBW, and lean body weight (LBW).<sup>13</sup> Potential relationships between PK parameters and  
102 covariates were examined graphically and then added individually and in combination to the  
103 population model in a stepwise manner. A decrease in the objective function value (OFV) of  
104 3.84 ( $p < 0.05$ ) was considered statistically significant for forward selection of covariates and  
105 6.63 ( $p < 0.01$ ) during backward elimination. Models were also evaluated using goodness-of-  
106 fit plots, a bootstrap sampling procedure with 1000 samples and a VPC based on 1000  
107 simulations.

108

109 The final PopPK models were used within NONMEM to run Monte Carlo simulations of 1000  
110 patients sampled from the patient dataset. Antibiotic concentration-time profiles were  
111 simulated according to current guidelines and with the following modifications: additional  
112 doses of 500 mg amoxicillin at 4 h only and at 2, 4 and 6 h; a single pre-operative  
113 metronidazole dose of 500 mg/1000 mg (if  $>85$  kg); a single pre-operative metronidazole dose

114 of 1000 mg; a single pre-operative gentamicin dose of 3, 4 and 5 mg/kg TBW or AJBW (if TBW  
115 >IBW);<sup>14</sup> in patients with  $CL_{CR} >50$  ml/min, a pre-operative gentamicin dose of 3 mg/kg  
116 TBW/AJBW with an additional dose of 3 mg/kg at 4 h and a pre-operative gentamicin dose of  
117 5 mg/kg TBW/AJBW with an additional dose of 2.5 mg/kg at 6 h. Simulations were typically  
118 performed hourly up to 8 h but continued to 12 h after re-dosing of gentamicin. Free drug  
119 concentrations were estimated using published protein binding values for each antibiotic:  
120 17% for amoxicillin;<sup>15</sup> 15% for metronidazole;<sup>16</sup> and 0% for gentamicin.<sup>17</sup> PTA was defined as  
121 the percentage probability of achieving free antibiotic concentrations above the MIC (%fT  
122 >MIC) and the following EUCAST MIC breakpoints were applied: amoxicillin, 0.5 mg/L for the  
123 *Streptococcus anginosus* group and 4 mg/L for enterococci; metronidazole, 4 mg/L for the  
124 *Bacteroides fragilis* group; gentamicin, 1 mg/L for MSSA and 2 mg/L for *Escherichia coli*.<sup>18</sup> A  
125 PTA of  $\geq 90\%$  was considered acceptable.

126

## 127 RESULTS

128 The characteristics of the 20 patients (11 female) who participated in the study are  
129 summarised in Table 1. Median age was 58 years and weight 71.2 kg; 12 patients had a BMI  
130  $\geq 25$  kg/m<sup>2</sup>.  $CL_{CR}$  ranged from 50 to 166 mL/min (median 99 mL/min). Laparoscopic procedures  
131 were conducted in 11 patients and 9 had open resections. Left colonic/rectal resection was  
132 the most common procedure (10 patients). The median duration of surgery was 4.5 h and the  
133 median volume of IV Hartmann's solution administered was 3.1 L; 2 patients required a blood  
134 transfusion. The median times from the beginning of the first dose of antibiotic prophylaxis  
135 to surgical incision were: amoxicillin, 22 min (range 3 – 38 min); metronidazole, 21 min (range  
136 6 – 32 min); gentamicin, 21 min (range 6 – 36 min). Overall, 13 patients required an additional

137 dose of amoxicillin, 2 of which were also re-dosed with gentamicin and metronidazole due to  
138 blood loss. A total of 99 samples were withdrawn with a median of 5 (range 3 – 6) per patient.  
139 Of these, 4 amoxicillin and 3 metronidazole concentrations were removed from population  
140 analyses due to sampling errors.

141

#### 142 **PopPK analysis**

143 The amoxicillin analysis included 75 concentrations, ranging from 1.6 to 39.1 mg/L. Including  
144 TBW in the model had no effect (OFV fell by 0.49) but relating both CL and V to AJBW reduced  
145 the OFV by 5.95, BSV in CL fell from 31.2% to 28.7% and in V from 23.7% to 17.9%. Adding  
146  $CL_{CR}$  based on AJBW to this model reduced the OFV by a further 6.31 but the model  
147 parameters were poorly characterized and bootstrap analysis indicated a lack of stability. The  
148 final model had a typical CL of 0.213 L/h/kg AJBW and a V of 0.353 L/kg AJBW. Individual  
149 estimates of CL ranged from 7.9 to 25.2 L/h (mean 14.0 L/h), of V from 15.7 to 35.3 L (mean  
150 22.7 L) and of elimination  $t_{1/2}$  from 1.0 to 1.5 h (mean 1.2 h).

151

152 There were 76 metronidazole concentration measurements ranging from 6.5 to 24.4 mg/L.  
153 CL was best described by TBW and V by AJBW. This model reduced the OFV by 35.4 and had  
154 the following structure:  $CL (L/h) = 3.22 \times (TBW/70)^{0.75}$ ;  $V = 0.556 L/kg AJBW$ . Inclusion of these  
155 covariates reduced BSV in CL from 31.3% to 26.7% and in V from 25.3% to 10.8%. Individual  
156 estimates of CL ranged from 1.9 to 5.1 L/h (mean 3.5 L/h), of V from 22.7 to 48.6 L (mean 35.7  
157 L) and of elimination  $t_{1/2}$  from 4.4 to 11.0 h (mean 7.5 h).

158

159 There were 79 gentamicin concentration measurements ranging from 1.6 to 13.7 mg/L. CL  
160 was best described by a combination of AJBW and  $CL_{CR}$  based on AJBW; V was best described  
161 by AJBW. Inclusion of these covariates reduced the OFV by 17.4, BSV in CL from 21.9% to  
162 16.1% and in V from 13.5% to 10.4%. The final model had the following structure:  $CL (L/h) =$   
163  $0.0449 \times AJBW + 0.0195 \times CL_{CR}$ ;  $V = 0.239 L/kg AJBW$ . Individual estimates of CL ranged from  
164 3.0 to 7.0 L/h (mean 4.7 L/h), of V from 11.0 to 19.4 L (mean 15.2 L) and of elimination  $t_{1/2}$   
165 from 1.8 to 3.0 h (mean 2.3 h).

166

167 The parameter estimates of the final PopPK models for all three antibiotics and the results of  
168 the bootstrap analyses are presented in Table 2. In all cases, residual error was best described  
169 by a proportional error model. Both the VPCs for each antibiotic (Figure 1) and the bootstrap  
170 analyses (Table 2) indicated that the parameters were well characterized and the models  
171 described the data well. Figure S1 shows additional goodness-of-fit plots. Individual  
172 parameter estimates for each patient for all three antibiotics are listed in Table S2.

173

#### 174 **Pharmacodynamic analysis**

175 The PTA estimates for each antibiotic dosage regimen against susceptible organisms are  
176 shown in Table 3. After a single dose of amoxicillin, the PTA for the *S. anginosus* group was  
177 98.1% and 84.9% at 5 h and 6 h, respectively; with a second dose of 500 mg at 4 h the PTA  
178 remained above 90% up to 8 h. For enterococci the PTA was 99.6% and 82.4% at 2 h and 3 h,  
179 respectively; with additional doses of 500 mg at 2, 4 and 6 h, the PTA remained above 90%  
180 up to 8 h. After a dose of 500 mg metronidazole, the PTA for the *B. fragilis* group dropped to  
181 89.3% at 8 h. At 5 h, 40 concentrations (out of 20000) were below the MIC, mainly (90%) in

182 patients weighing >85 kg. Increasing the dose to 1000 mg (if >85 kg) maintained the PTA  
183 above 90% up to 8 h. For the gentamicin dose of 3 mg/kg banded on HT, the PTA for MSSA  
184 was 88.6% at 7 h and for *E. coli* it was 89.6% at 5 h. With 3 mg/kg TBW/AJBW, the PTA for  
185 MSSA was 84.6% at 7 h and for *E. coli* it was 85.8% at 5 h. Administration of an additional dose  
186 of 3 mg/kg at 4 h maintained the PTA for MSSA above 90% up to 11 h, while the PTA for *E.*  
187 *coli* was 76.4% at 10 h. With 4 mg/kg TBW/AJBW, the PTA for MSSA was 81.3% at 8 h and for  
188 *E. coli* it was 81.3% at 6 h. With 5 mg/kg TBW/AJBW, the PTA for MSSA remained above 90%  
189 up to 8 h, while the PTA for *E. coli* was 72.3% at 7 h. Administration of an additional dose of  
190 2.5 mg/kg at 6 h maintained the PTA for MSSA above 90% up to 12 h, while the PTA for *E. coli*  
191 was 89.3% at 11 h. No data were available for patients with  $CL_{CR} < 50$  ml/min.

192

## 193 DISCUSSION

194 This study assessed the PK of amoxicillin, metronidazole and gentamicin in 20 patients  
195 undergoing elective colorectal surgery and used the resulting population models to assess  
196 how patient, surgical, and microbiological factors influenced the exposure to these  
197 antibiotics. Monte Carlo simulations were used to calculate the PTA for each antibiotic and  
198 evaluate different dosage regimens.

199

200 Although two-compartment models have been used in other studies,<sup>19-21</sup> concentration-time  
201 profiles of all three antimicrobials were adequately described using a one-compartment  
202 model. This reflects the limited number of sample times and lack of information on  
203 distribution; the first sample was taken at least 1 h after the dose. The mean individual  
204 estimates of amoxicillin CL (14.0 L/h) and V (22.7 L, 0.314 L/kg) were similar to the values of

205 13.3 L/h and 0.30 L/kg reported by Arancibia *et al.*<sup>19</sup> in 9 healthy subjects and Carlier *et al.*<sup>22</sup>  
206 (CL 10.0 L/h, V 27.4 L) in 13 critically ill patients. Although Carlier *et al.*<sup>22</sup> found that CL<sub>CR</sub> best  
207 described amoxicillin CL, which is consistent with its renal CL,<sup>23</sup> it was not possible to  
208 characterize a relationship between CL<sub>CR</sub> and amoxicillin CL in the present study; the only  
209 covariate clearly identified was weight. This is likely to reflect the generally good renal  
210 function in the patient group; none of the patients had a CL<sub>CR</sub> below 50 mL/min. Obesity can  
211 alter PK parameters<sup>24</sup> and is a risk factor for SSI following colorectal surgery.<sup>14</sup> The influence  
212 of obesity on amoxicillin PK has not previously been reported. In the present study, 35% of  
213 patients were obese (six) or severely obese (one) and AJBW provided a better description of  
214 CL and V than TBW.

215

216 Metronidazole is extensively metabolised in the liver<sup>16</sup> and body weight was the only  
217 covariate found to influence CL and V. The best model comprised an allometric relationship  
218 between metronidazole CL and TBW and a linear relationship between V and AJBW. Asin-  
219 Prieto *et al.*<sup>25</sup> found a linear relationship between body weight and both CL and V<sub>1</sub> but did not  
220 examine different size descriptors. The mean individual estimate of metronidazole CL (3.5  
221 L/h) was consistent with values reported by Asin-Prieto *et al.*<sup>25</sup> (3.5 L/h) in 63 patients  
222 undergoing colorectal surgery and Cerda *et al.*<sup>26</sup> (3.2 L/h) in 33 patients undergoing colorectal  
223 surgery. The typical V of 0.49 L/kg in the present study was within the range of 0.40 L/kg<sup>25</sup> –  
224 0.68 L/kg<sup>26</sup> previously reported. Differences in V may reflect different sampling strategies and  
225 inter-patient variability.<sup>26</sup>

226

227 As gentamicin CL depends on renal function, CL estimates would be expected to vary between  
228 studies, according to patient characteristics. Nevertheless, the mean individual CL estimate  
229 (4.7 L/h, 0.065 L/h/kg) was consistent with the values reported by Cerda *et al.*<sup>26</sup> (4.7 L/h) and  
230 Markantonis *et al.*<sup>27</sup> (5.31 L/h) although lower than the 0.091 L/h/kg reported by Zelenitsky  
231 *et al.*<sup>28</sup> As expected,  $CL_{CR}$  influenced gentamicin CL and V was related to patient size.<sup>29</sup> Using  
232 AJBW to estimate  $CL_{CR}$  by the Cockcroft-Gault formula, provided the best fit; this finding is  
233 consistent with a study by Leader *et al.*<sup>30</sup> in 100 obese patients. The mean individual estimate  
234 of gentamicin V (0.210 L/kg) was consistent with values of 0.22 – 0.26 L/kg previously  
235 reported for gentamicin prophylaxis in colorectal surgery<sup>26-28</sup> but lower than the value of 0.31  
236 L/kg reported in adults from a general population.<sup>31</sup> Since gentamicin distributes into  
237 extracellular fluid, these lower values may reflect pre-operative fasting with subsequent  
238 dehydration and a reduced body water content. The population model identified in the  
239 present study suggests that AJBW may be the most appropriate size descriptor for obese  
240 patients, which is consistent with the findings of Bauer *et al.*<sup>32</sup>

241

## 242 **Pharmacodynamic analysis**

243 A pre-operative dose of 1000 mg amoxicillin with an additional dose of 500 mg intra-  
244 operatively 4 h later, successfully met the PTA at the defined MIC breakpoint of 0.5 mg/L for  
245 the *S. anginosus* group. Without this additional dose, the PTA at 6 h dropped to 84.9%,  
246 emphasizing the importance of re-dosing amoxicillin in prolonged surgery. Guidelines usually  
247 recommend repeating the prophylactic dose when the surgical procedure extends beyond  
248 twice the elimination  $t_{1/2}$  of the antibiotic.<sup>14</sup> In the case of amoxicillin, this would mean  
249 repeating the dose at around 2.5 h as the elimination  $t_{1/2}$  averaged 1.2 h. However, an

250 additional dose of 500 mg at 4 h was acceptable due to the low MIC breakpoint of the *S.*  
251 *anginosus* group. Antibiotic cover against enterococci is not normally required for colorectal  
252 surgery except for patients at high risk of infective endocarditis (IE).<sup>33</sup> To maintain adequate  
253 cover for such patients, the results indicated that 500 mg amoxicillin should be administered  
254 every 2 h during the surgical procedure. A continuous infusion could also be considered.

255

256 The current dosage regimen of 500 mg metronidazole pre-operatively achieved a PTA for the  
257 *B. fragilis* group of 89.3% at 8 h, which was considered close enough to 90% to accept 8 hourly  
258 re-dosing. Asin-Prieto *et al.*<sup>25</sup> examined the PTA of a pre-operative dose of 1500 mg  
259 metronidazole against an MIC breakpoint of 8 mg/L in 63 patients undergoing colorectal  
260 surgery. They found that metronidazole PK was related to weight and concluded that patients  
261 with a TBW of 90 kg required an additional dose of 1500 mg 4 h after the first dose to maintain  
262 free drug concentrations above the MIC for up to 8 h. Although the present study used both  
263 a lower prophylactic dose and MIC breakpoint (4 mg/L) it also identified poor target  
264 attainment in heavier patients; simulated concentrations were typically below the MIC at 5 h  
265 in patients whose weight was >85 kg. A pre-operative dose of 500/1000 mg (if >85 kg)  
266 achieved a PTA of 95.8% at 8 h and would therefore be preferred. However, it is important to  
267 note that the metronidazole PK/PD analysis did not include the hydroxyl metabolite, which  
268 has been reported to have 65% of the antimicrobial activity of metronidazole against the *B.*  
269 *fragilis* group.<sup>34</sup> By only considering the MIC of the parent drug, overall efficacy may have  
270 been underestimated.

271

272 The current gentamicin dose of 3 mg/kg, banded on HT and repeated intra-operatively at 8 h,  
273 did not maintain the PTAs at the defined MIC breakpoints of 1 mg/L for MSSA and 2 mg/L for  
274 *E. coli*. The 3 mg/kg TBW/AJBW regimen achieved lower PTAs compared to the regimen  
275 banded on HT as the banding led to higher doses for some patients. As expected, the exposure  
276 increased when the higher doses were simulated. Monte Carlo simulations were also used in  
277 a previous study that assessed gentamicin regimens for prophylaxis in abdominal surgery.<sup>35</sup>  
278 The authors determined the cumulative target attainment (CTA) by integrating PTA values  
279 and MIC distributions and found that without intra-operative re-dosing, the CTA at 6 h  
280 remained above 90% for *E. coli* with a gentamicin dose of 5 mg/kg and fell below 90% after 5  
281 h with a dose of 3 mg/kg. Since 90% of their colorectal procedures lasted less than 5 h, the  
282 authors recommended 3 mg/kg due to concerns about the risk of aminoglycoside-related  
283 toxicity. In contrast, only 60% of the colorectal procedures in the present study lasted less  
284 than 5 h and the dose of 3 mg/kg TBW/AJBW achieved a PTA of 85.8% at 5 h for *E. coli* whereas  
285 the 5 mg/kg TBW/AJBW dose maintained the PTA above 90% until 6 h. These results suggest  
286 that 5 mg/kg would be acceptable for surgical procedures of up to 6 h. For procedures lasting  
287 more than 6 h, re-dosing with 2.5 mg/kg achieved a PTA of 89.3% at 11 h for *E. coli*. It would  
288 be reasonable to check the concentration before re-dosing to reduce the risk of toxicity.  
289 Furthermore, in patients with  $CL_{CR} < 50$  ml/min, lower doses might be safer and re-dosing  
290 unnecessary.

291

## 292 Dosage guidelines

293 The results of the PopPK and pharmacodynamic analyses suggested the following antibiotic  
294 doses to maintain a PTA  $\geq 90\%$  against organisms commonly encountered in colorectal

295 surgery: amoxicillin 1000 mg pre-operatively, with an additional 500 mg intra-operatively at  
296 4 h in most cases and 2 hourly if there is a risk of IE; metronidazole 500 mg pre-operatively,  
297 increased to 1000 mg if the patient's weight is >85 kg and re-dosed at 8 h; gentamicin 5 mg/kg  
298 TBW, or AIBW if TBW >IBW, pre-operatively, re-dosed with 2.5 mg/kg intra-operatively at 6  
299 h. Since the minimum  $CL_{CR}$  in the patient group was 50 ml/min, these doses would not apply  
300 to patients with lower estimates of  $CL_{CR}$ .

301

302 This study had some limitations. There is a lack of published data on the ideal targets for  
303 surgical antibiotic prophylaxis, particularly for concentration-dependent antibiotics, such as  
304 gentamicin and metronidazole. Zelenitsky *et al.*<sup>5</sup> reported that a gentamicin concentration at  
305 skin closure above 1.6 mg/L was required for effective prophylaxis in colorectal surgery, and  
306 this finding informed the target of  $\%fT >MIC$  that was assumed for both time-dependent and  
307 concentration-dependent antibiotics. The PTA values from the present study were based on  
308 the EUCAST clinical MIC breakpoints rather than MIC distribution data and may not reflect  
309 local or changing antimicrobial susceptibility. Furthermore, the unbound serum  
310 concentrations were calculated from literature values of protein binding and may differ from  
311 actual unbound concentrations, particularly in patients with malignancy. However, most  
312 patients had normal albumin concentrations and since the protein binding of all three  
313 antibiotics is low, variations are unlikely to have a major impact.

314

315 It is recognised that the population models were based on serum rather than tissue antibiotic  
316 concentrations. Although all three antibiotics distribute well into extracellular fluids, tissue  
317 penetration studies are limited and variable. Although blood loss is known to reduce serum

318 antibiotic concentrations, estimated blood loss provides an unreliable quantification of peri-  
319 operative blood loss and was therefore not included in the PopPK analysis. In addition, due to  
320 the lack of renal and liver impairment, the results cannot be extrapolated to these patient  
321 groups or to patients weighing >100 kg. Nevertheless, the characteristics of the patients  
322 studied were typical of the patient population.

323

324 In conclusion, this study found that the current dosage regimens maintained the desired PTA  
325 over the re-dosing interval for the *S. anginosus* group but not for enterococci (in patients at  
326 high risk of IE), the *B. fragilis* group (in patients >85 kg), MSSA, and *E. coli*. The proposed  
327 dosage guidelines offer an improved profile for all three antibiotics and should maintain these  
328 PTAs for the likely duration of colorectal surgical procedures.

329

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341

342 **TRANSPARENCY DECLARATIONS**

343 None to declare.

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435 **Table 1** Summary of the demographic, clinical and surgery related characteristics of the 20  
 436 patients included in the study

Characteristic	Median	Range
Male/female	9/11	
Age (years)	58	18 – 81
Weight (kg)	71.2	47.6 – 101.9
Height (m)	1.67	1.44 – 1.79
BMI (kg/m <sup>2</sup> )	26.7	19.4 – 40.7
Serum creatinine (μmol/L)	66	53 – 97
CL <sub>CR</sub> (mL/min)	99	50 – 166
Albumin (g/L)	37.5	32 – 44
Laparoscopic/open	11/9	
IVs (L)	3.1	1.2 – 6.0
Dur (h)	4.5	1.5 – 8.0
Estimated blood loss (L)		<0.2 – 1.8

437 Key: IVs, intravenous Hartmann’s solution volume administered during surgery; Dur,  
 438 duration of surgery from incision to skin closure.

439

440 **Table 2** PopPK parameter estimates for amoxicillin, metronidazole and gentamicin in patients  
 441 undergoing colorectal surgery

Parameter	Final estimate	RSE (%)	Bootstrap median (5 <sup>th</sup> and 95 <sup>th</sup> percentiles)
<b>Amoxicillin</b>			
$\theta_{CL}$	0.213	6.6	0.214 (0.188-0.236)
$\theta_V$	0.353	4.8	0.356 (0.328-0.384)
BSV CL (CV%)	28.7	40.4	27.7 (16.2-37.7)
Shrinkage $\eta_{CL}$	0.00%		
BSV V (CV%)	17.9	32.2	17.5 (12.3-22.7)
Shrinkage $\eta_V$	6.29%		
RE Proportional (CV%)	12.2	11.7	12.2 (9.8-14.6)
Shrinkage RE	16.1%		
<b>Metronidazole</b>			
$\theta_{CL}$	3.22	7.0	3.24 (2.87-3.63)
$\theta_V$	0.556	2.5	0.555 (0.533-0.577)
BSV CL (CV%)	26.7	40.9	25.6 (13.9-34.4)
Shrinkage $\eta_{CL}$	13.8%		
BSV V (CV%)	10.8	43.1	10.3 (6.1-14.1)
Shrinkage $\eta_V$	6.14%		
RE Proportional (CV%)	4.46	15.6	4.39 (3.32-5.57)
Shrinkage RE	22.9%		
<b>Gentamicin</b>			
$\theta_{AJBW}$	0.0449	27.8	0.0442 (0.0239-0.0685)
$\theta_{CL_{CR}}$	0.0195	44.5	0.0195 (0.0036-0.0344)
$\theta_V$	0.239	2.9	0.239 (0.227-0.250)
BSV CL (CV%)	16.1	41.8	14.6 (9.4-21.0)
Shrinkage $\eta_{CL}$	1.53%		
BSV V (CV%)	10.4	33.7	10.0 (6.7-12.7)
Shrinkage $\eta_V$	13.1%		
RE Proportional (CV%)	6.59	5.5	6.60 (5.89-7.24)
Shrinkage RE	20.0%		

442 Key:  $\eta_{CL}$ , individual variation in CL;  $\eta_V$ , individual variation in V; RE, residual error; CV%, coefficient of variation  
 443 expressed as a percentage; RSE, relative standard error. Amoxicillin model CL (L/h) =  $\theta_{CL} \times AJBW$  (kg), V(L) =  $\theta_V$   
 444  $\times AJBW$  (kg). Metronidazole model CL (L/h) =  $\theta_{CL} \times (TBW(kg)/70)^{0.75}$ , V(L) =  $\theta_V \times AJBW$  (kg). Gentamicin model  
 445 CL (L/h) =  $\theta_{AJBW} \times AJBW$  (kg) +  $\theta_{CL_{CR}} \times CL_{CR}$  (mL/min) using the Cockcroft-Gault equation<sup>12</sup> based on AJBW, V(L)  
 446 =  $\theta_V \times AJBW$  (kg).

447 **Table 3** Summary of the PTA of amoxicillin, metronidazole and gentamicin for use in surgical prophylaxis against *S. anginosus* group, enterococci, *B. fragilis*  
 448 group, MSSA and *E. coli* at susceptibility breakpoints recommended by EUCAST

Antibiotic	Dose	PTA by organism (%)																																
		Time after dose (h)												Time after dose (h)																				
		1	2	3	4	5	6	7	8	9	10	11	12	1	2	3	4	5	6	7	8	9	10	11	12									
		<i>S. anginosus</i> group												Enterococci																				
Amoxicillin <sup>a</sup>	1000 mg pre-op	100	100	100	100	98.1	84.9	54.2	24.5															100	99.6	82.4	34.9	7.1	0.8	0.1	0.0			
	1000 mg pre-op + 500 mg at 4 h	100	100	100	100	100	100	100	99.2																									
	1000 mg pre-op + 500 mg at 2, 4 and 6 h																							100	99.6	100	96.8	100	95.3	100	94.5			
		<i>B. fragilis</i> group																																
Metronidazole <sup>b</sup>	500 mg pre-op	100	100	100	100	99.8	98.7	95.4	89.3																									
	500 mg/1000 mg (>85 kg) pre-op	100	100	100	100	100	99.7	98.5	95.8																									
	1000 mg pre-op	100	100	100	100	100	100	100	99.9																									
		MSSA												<i>E. coli</i>																				
Gentamicin <sup>c</sup>	3 mg/kg IBW banded by height pre-op	100	100	100	100	100	98.6	88.6	64.8														100	100	100	99.4	89.6	58.5	24.9	7.8				
	3 mg/kg TBW/AJBW pre-op	100	100	100	100	99.9	97.6	84.6	59.8															100	100	100	99.2	85.8	52.2	20.6	5.5			
	3 mg/kg TBW/AJBW pre-op + 3 mg/kg TBW/AJBW at 4 h	100	100	100	100	100	100	100	100	99.9	99.1	92.9	77.4										100	100	100	99.0	100	100	100	99.8	95.3	76.4	47.7	22.3
	4 mg/kg TBW/AJBW pre-op	100	100	100	100	100	99.7	95.6	81.3															100	100	100	100	97.9	81.3	50.1	22.0			
	5 mg/kg TBW/AJBW pre-op	100	100	100	100	100	99.9	98.7	91.2															100	100	100	100	99.7	93.4	72.3	42.4			
	5 mg/kg TBW/AJBW pre-op + 2.5 mg/kg TBW/AJBW at 6 h	100	100	100	100	100	99.9	100	100	100	100	100	99.9	97.9										100	100	100	100	99.6	93.5	100	100	100	99.2	89.3

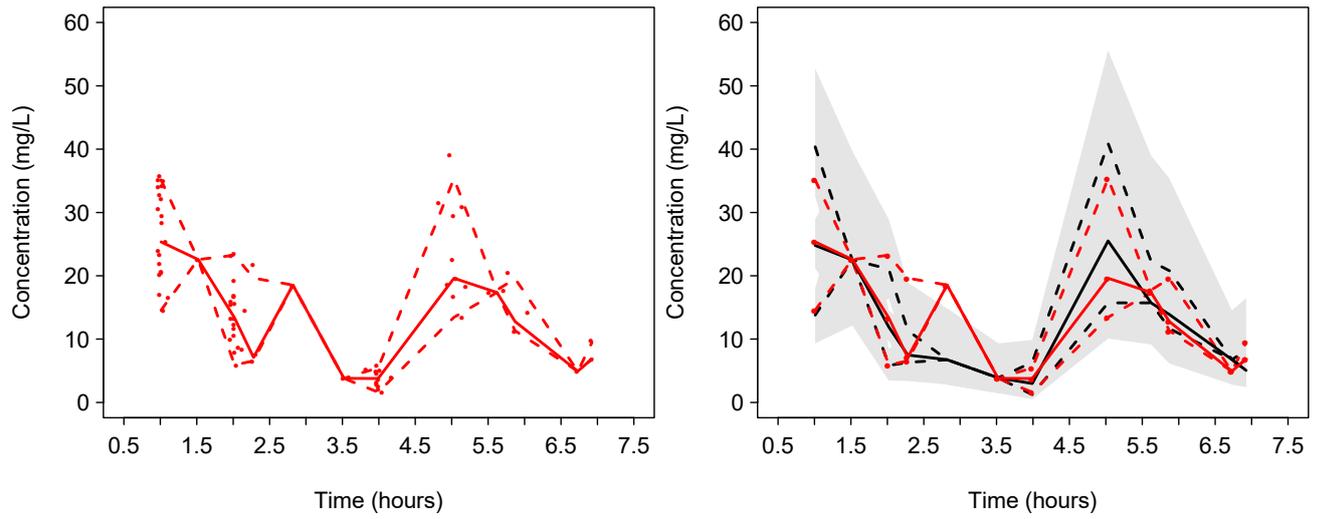
449 Key: pre-op, pre-operatively; <sup>a</sup> EUCAST breakpoints = 0.5 mg/L for *S. anginosus* group, 4 mg/L for enterococci; <sup>b</sup> EUCAST breakpoints = 4 mg/L for *B. fragilis*  
450 group; <sup>c</sup> EUCAST breakpoints = 1 mg/L for MSSA, 2 mg/L for *E. coli*.<sup>18</sup>

451 **Figure 1** VPCs of the final population model describing (a) amoxicillin, (b) metronidazole  
452 and (c) gentamicin PK in patients undergoing colorectal surgery.

453 Key: Red and black lines represent the 5<sup>th</sup> (dotted), 50<sup>th</sup> (solid) and 95<sup>th</sup> (dotted) percentiles  
454 of the observed data and predicted data, respectively. The shaded areas represent 95% CI for  
455 the percentiles of the predicted concentrations.

456

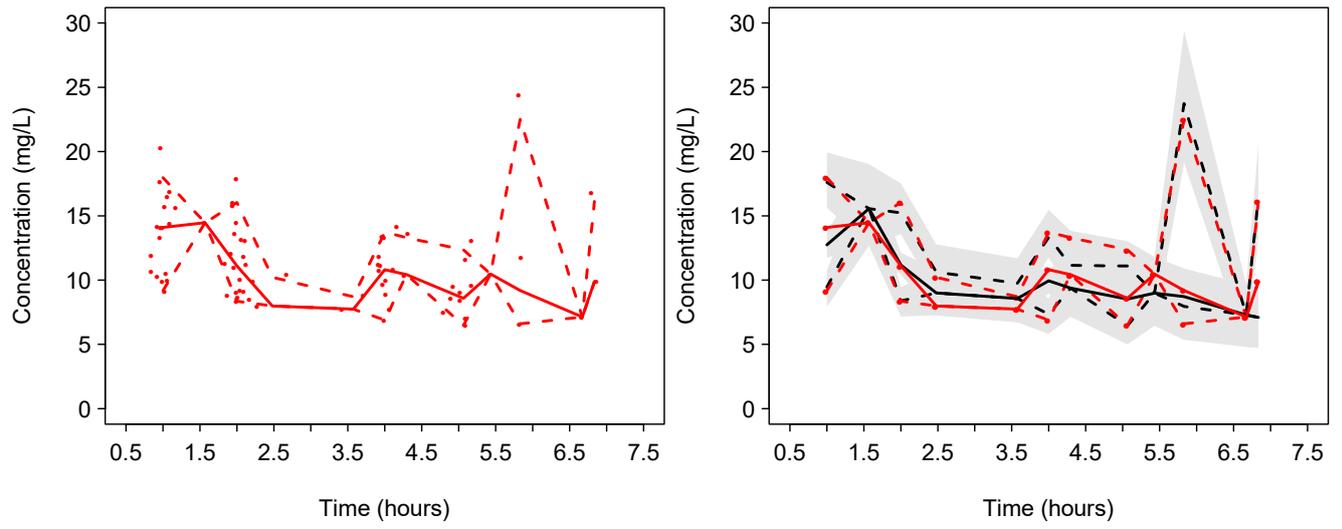
457 **Figure 1a**



458

459

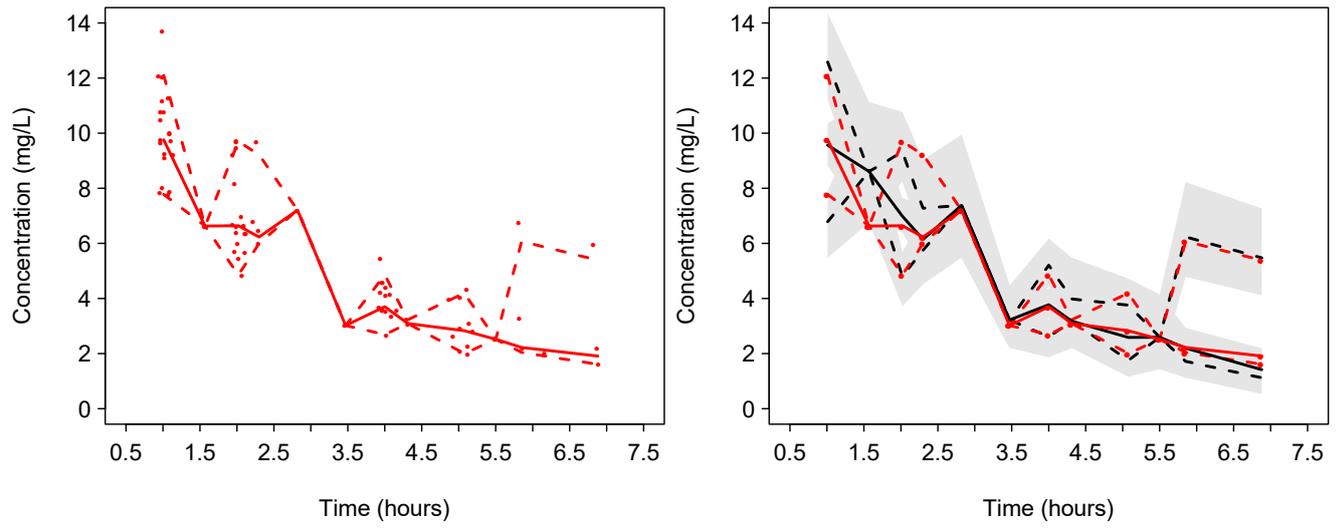
460 **Figure 1b**



461

462

463 **Figure 1c**



464