

LED Excitation of an Imaging Cytometer for Bead-based Immunoassay

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Abstract—We present and demonstrate a light-emitting diode (LED)-excited imaging cytometer for the detection of bead-based immunoassay samples. A broad area green LED illuminates the specimen plane using a set of aspheric lenses and an excitation filter. The imaging module was comprised of an objective lens, filters, tube lens, and camera. To demonstrate the multiplex capability of the presented system, a panel of three sets of beads with varying classification fluorescence intensity was employed. Experimental results revealed that the LED light source provides uniform illumination across the specimen plane, and therefore permits the multiplex detection of three biomarkers. Detection of a sepsis biomarker, procalcitonin, was used to demonstrate the detection sensitivity and measurement range of the system. The imaging cytometer can detect the concentration of procalcitonin as low as 24.4 pg/mL and it holds the potential for being developed for point-of-care testing applications.

Index Terms— biomarkers, biosensors, cytometer, fluorescence microscopy, light emitting diodes.

I. INTRODUCTION

CELL and protein biomarkers are commonly tested in disease diagnosis and monitoring [1-4]. Flow cytometry is one of the workhorses of cell and protein biomarker testing; however, conventional flow cytometers have large form factors and are also expensive to acquire and maintain. Therefore, only centralized laboratories and hospitals are equipped with flow cytometry analyzers. This results in significant measurement turn-around times and a lack of access to laboratory testing in resource-limited settings.

These issues can be addressed through use of a ‘portable cytometer’ technology. Our group reported a portable CD4/CD8 cell counting device for HIV patient monitoring [5, 7]. Recently, we also reported the development of Dengue antibody / antigen detection system using bead-based sandwich immunoassay [8]. Other researchers reported the improvement of a variety of components of the cytometer systems, including microfluidic sample handling, lens-free microscopy, and detection/signal processing [2,4,9-12]. Miniaturized flow cytometers have been developed for a variety of applications including pathogen identification, cellular

immunophenotyping, multiplex biomarker analysis, and rare cell analysis and sorting [13-16]. Because of the value of portable cytometers for point-of-care applications, this field continues to attract attention from academic research and industrial communities.

Conventional flow cytometers use a sheath fluid to hydrodynamically focus the target cells/particles in a single-file stream. Laser sources are aligned to strike the target cells/particles in the focused stream. The “imaging cytometry” approach we adopted is based on the principle of fluorescence microscopy. Samples containing cells/particles are introduced into a shallow and wide microfluidic channel, followed by fluorescence imaging and image processing. The imaging cytometry approach could potentially be developed into a smaller form factor because hydrodynamic focusing is not required in the design. Nonetheless, the imaging cytometry approach requires a uniform illumination profile across the microscopic field of view. The uniformity of the illumination intensity is critical for achieving the accuracy and precision of quantitative fluorescence detection.

In our previous research, lasers were used for the illumination of the imaging system [8] where the Gaussian intensity profile results in a non-uniform illumination of the micro-fluidic channel. With the development of GaN LEDs over the last 20-30 years, LEDs are now available with high brightness and efficiency, with wavelengths that cover from the deep ultraviolet to the near infrared, providing flexibility in matching suitable LEDs with the requirements of fluorophores. LEDs are compact, robust, and can be powered by low-voltage batteries or relatively inexpensive switchable power supplies making them particularly well-suited to point-of-care applications [17].

Bead-based immunoassays are an important application of flow cytometers for protein biomarker detection [18,19]. Polystyrene beads are typically utilized as the substrate for binding with target molecules and therefore converting the chemical information (biomarker concentration) into an optical signal (fluorescence intensity). As shown in Step a in Fig. 1, polystyrene beads are encoded with different concentration levels of a red fluorophore (e.g. allophycocyanin). Beads with

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1 unique fluorescence intensity signature are thus generated, such
 2 as A, B and C in Fig. 1. Therefore, the red fluorescence intensity
 3 could provide bead classification information. The second step
 4 is to prepare a capture antibody on the individual bead sets
 5 Afterwards, a panel of bead sets reacts with the specimen (e.g.
 6 blood), captures biomarkers selectively, and generates
 7 reporter fluorescence from a yellow fluorophore (e.g.
 8 phycoerythrin). Because the intensity of the reporter
 9 fluorescence is correlated with the concentration of a biomarker
 10 molecule, we could measure the concentration of a biomarker
 11 by analyzing the yellow fluorescence intensity. Combining the
 12 multiplex capacity of the classification fluorescence, bead
 13 based immunoassay could typically detect 5-10 biomarkers in
 14 one run. **By exciting the beads with an excitation LED,
 15 fluorescent images can be generated for target protein
 16 concentration analysis.**

17 In this paper, we propose and experimentally demonstrate the
 18 detection of a sepsis biomarker called procalcitonin using the
 19 bead-based immunoassay approach. An LED-excited imaging
 20 cytometer prototype is presented based on the principle of an
 21 infinity-corrected fluorescence microscope. A green LED
 22 (center wavelength 520 nm) is used as the light source. A panel
 23 of three sets of beads was used to demonstrate the feasibility of
 24 bead multiplexing. The concentration of procalcitonin is fitted
 25 against the fluorescence intensity to demonstrate the detection
 26 performance of the prototype imaging cytometer system.

27 **II. LED-EXCITED IMAGING CYTOMETER**

28 A schematic of the LED-excited imaging cytometer
 29 prototype is shown in Fig. 2A. It consists of three modules: the
 30 LED light source, microfluidic sample cartridge, and imaging
 31 module.

32 A green LED with 520 nm peak wavelength (Lumileds
 33 LXZ1-PM01) was used to excite the two fluorophores
 34 (allophycocyanin, ‘APC’, and phycoerythrin, ‘PE’). The
 35 individually packaged LED die is mounted on a PCB and
 36 controlled using a Field-Programmable Gate Array (FPGA)
 37 chip and MATLAB code. Two identical aspheric lenses

(Thorlabs ACL2520U, Ø25mm, F=20mm, NA=0.60) were used to project the LED light to the specimen plane.

One of the challenges of using LED illumination is the cross-coupling of the LED emission into the fluorescent channels. As shown in Fig. 1S in the supporting document, the green LED has a peak wavelength of 520 nm, but the broadband LED emission extends beyond 550 nm and overlaps with the emission band of the PE reporter emission, as shown in Fig. 2S in the supporting document. An excitation filter (Semrock FF01-457/530/628) with an optical density of > 6 is used to block the green LED emission and hence isolate the fluorescent bands. Since the filters only achieve the required optical density under normal incidence, the excitation filter is placed in the columnated beam between the two aspheric lenses.

A 3D printed cartridge was used to hold the microfluidic sample chip, allowing for the alignment with light source and the imaging system. The green LED die can illuminate the entire area (1 mm x 1 mm) of the specimen plane with an estimated optical power of 16 mW/mm². A microfluidic chip with wide (800 µm) and shallow (20 µm) channels was used to confine the sample. This configuration produces a ribbon-like fluid sample that increases throughput while limiting the chance of multiple beads flowing through the same location at the same time.

The imaging module was comprised of an infinity-corrected optical system. The fluorescence emitted at the specimen plane was collimated by a 10x objective lens and focused onto the sensor plane using a 200 mm tube lens. A dual bandpass filter (center wavelengths 577 nm and 690 nm) was used to isolate the emission fluorescence from the two fluorophores as well as block excitation light from the green LED. Additionally, a bandpass filter with a 565 nm center wavelength and a long-pass filter with 665 nm cut-on wavelength are assembled on flip mounts, allowing us to take separate images of the classification and reporter fluorescence channel. A pco.edge 4.2 LT monochrome scientific camera is used in the prototype. Using this combination of filters resulted in a pump background of 143.8 (16 bit) compared to a noise floor of 100.5 for the CMOS sensor.

To characterize the intensity profile of the LED light on the specimen plane, multiple neutral density filters (total OD 5.0) are applied to take an image of the green LED profile on the specimen plane. The LED relative intensity across the specimen plane has coefficients of variation of 4.2% and 2.2% for the center x profile and the center y profile, respectively. In comparison, a gaussian laser beam was determined to have coefficients of variation of 52.3% and 55.9% for the center x profile and the center y profile, respectively. Therefore, it is considered satisfactory to use the LED excitation for further fluorescent imaging testing.

88 **III. IMAGE PROCESSING AND BEAD CLASSIFICATION**

89 In the current imaging cytometer prototype, a 565 nm
 90 bandpass filter and a 650 nm long-pass filter are switched when
 91 capturing fluorescent images from the two fluorophores,
 92 classification fluorophore APC, and reporter fluorophore PE.
 93 Representative images of the classification fluorescence

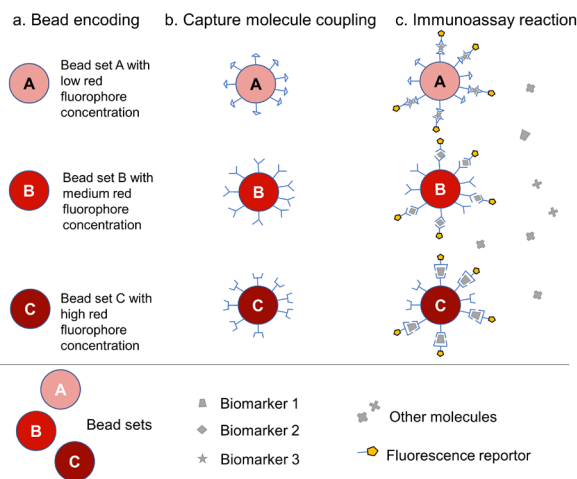


Fig. 1. Schematic representation of the steps in a bead-based immunoassay for the simultaneous detection of three biomarkers, a) bead encoding, b) resulting functionalized bead and c) the final bead with captured biomarker and fluorescence reporter molecule.

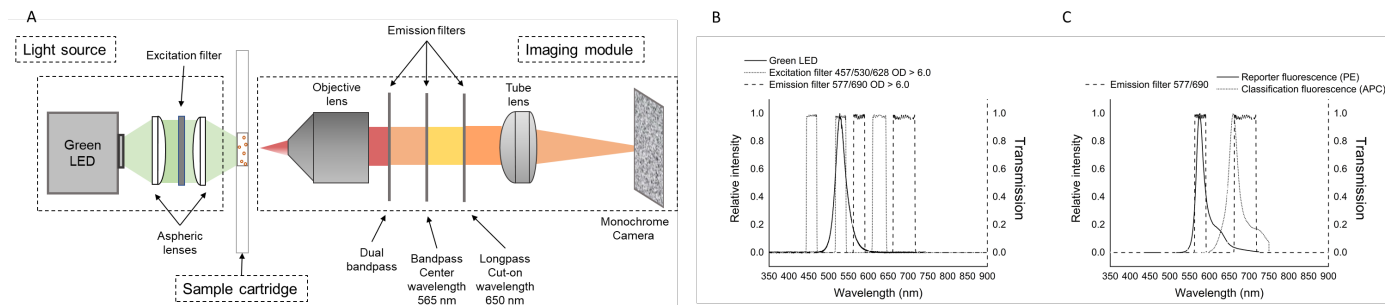


Fig. 2. (A) Schematic of the LED-excited imaging cytometer prototype. (B) Spectra of the green LED, excitation filter and emission filter. (C) Spectra of the classification fluorophore (APC), the reporter fluorophore (PE), and the dual bandpass emission filter.

1 channel and reporter fluorescence channel are shown in Fig. 3A
 2 and 3B, respectively. Images in Fig. 3A and 3B represents the
 3 same group of beads, captured using the individual fluorescenc
 4 filters. Therefore, the bead image in Fig. 3A comes from the re
 5 classification fluorophore APC. The bead image in Fig. 3B
 6 comes from the yellow fluorophore PE for biomarker
 7 concentration detection. The captured images are processed in
 8 ImageJ by defining the region of interest (ROI) and analyzing
 9 fluorescence intensity of the ROI, as shown in Fig. 3A and 3B.

10 To compare the uniformity of LED illumination with a laser
 11 beam, a representative set of beads with a consistent red
 12 fluorophore concentration was used with both LED
 13 illumination, or a green laser (Laserglow, LRS-0532). It was
 14 found that the LED illumination showed significant decrease of
 15 fluorescence intensity variation ($CV=22.2\%$) compared with
 16 laser illumination source ($CV=53.7\%$). The decrease of
 17 fluorescence intensity variation indicates the improved
 18 illumination uniformity using the demonstrated LED light
 19 source. The improved illumination uniformity permits the
 20 multiplex detection of biomarkers. Because classification
 21 fluorescence peak overlapping can be reduced, classification
 22 accuracy and multiplexing capability can be improved.

23 To demonstrate the multiplexing capability of the bead-base
 24 immunoassay (shown in Fig. 1), the prototype cytometer was
 25 used to capture images of a panel of three sets of beads with
 26 different concentration of red fluorophore (using the 650 nm
 27 long-pass filter). Bead fluorescence intensity in the
 28 classification channel is plotted in histogram to show the
 29 distribution of classification fluorescence intensity. As shown
 30 in Fig. 4a, the fluorescence intensities of bead set A, B, and
 31 show three clearly separate peaks, indicating that the three bea
 32 sets can be classified. There are some ROIs that showed
 33 fluorescence intensities between the peaks. Therefore, narrowe
 34 intervals of classification fluorescence are defined for the
 35 individual bead sets, to reduce the probability of
 36 misclassification (Fig. 4a).

37 IV. REPORTER FLUORESCENCE AND BIOMARKER 38 QUANTITATION

39 After analyzing the classification fluorescence channel, we
 40 investigate the biomarker detection capability of the prototype
 41 cytometer by analyzing the reporter fluorescence images
 42 captured using the 565 nm bandpass filter. Similar techniques
 43 were adopted to define the ROIs and generate reporter

fluorescence intensities from the ROIs (Fig. 3B).

Procalcitonin is a sepsis biomarker that has clinical
 significance for diagnosing the severity of sepsis. A cut-off
 concentration lower than 0.5 ng/mL is indicative of absence of
 infection, or a mild infection. In patients with severe sepsis
 complications and less likelihood of survival, procalcitonin
 levels could reach 10 ng/mL or higher [20]. In this research, a
 bead-based immunoassay of procalcitonin is developed by
 coupling capture antibodies specific to procalcitonin using an
 approach reported earlier [8]. The coupled beads react with
 serial dilutions of procalcitonin standards (6.1 – 25000 pg/mL)
 spiked in buffer solutions by forming an antigen-antibody
 complex, followed by binding with detection antibody and
 reporter fluorophore PE. An empirical approach for
 determining limit of detection (LoD) was adopted by running
 procalcitonin standard samples with very low concentrations,
 e.g. 6.1 pg/mL, and 24.4 pg/mL.

After the bead-based immunoassay reaction, the bead
 samples are introduced into the microfluidic chip for
 fluorescent imaging. Multiple images of beads were captured
 for each immunoassay sample to collect enough number of
 ROIs (e.g. 30) for statistical analysis of median fluorescence
 intensity (refer to supporting document Fig. 3S). Integrated
 intensity of ROIs is used for fluorescence intensity analysis. As
 shown in Fig. 4b, median fluorescence intensity is fitted with
 procalcitonin concentration using a four-parameter logistic
 regression model. The coefficient of determination (R^2) is
 calculated as 0.999. This indicates a good fit between the output
 response (fluorescence intensity) and the input parameter
 (concentration). The measurement range of the procedure is
 determined to be 24.4 -25000 pg/mL, indicating a 3-decade
 dynamic range. The LED-excited imaging cytometer can detect
 the procalcitonin concentration as low as 24.4 pg/mL (LoD).
 Although this is not as sensitive as 6.1 pg/mL determined using
 a conventional flow cytometer, both sensitivity levels are below
 the cut-off concentration of procalcitonin for sepsis diagnosis,
 indicating the potential for meeting the sensitivity requirements
 of clinical relevance. Comparing this result with a previous
 study using a Gaussian beam laser, we found an improvement
 of sensitivity from ng/mL level to pg/mL level [8,21]. This is
 partially due to the decreased variation of bead fluorescence
 intensity resulting from the uniform LED illumination,
 although a more sensitive assay protocol may also contribute to

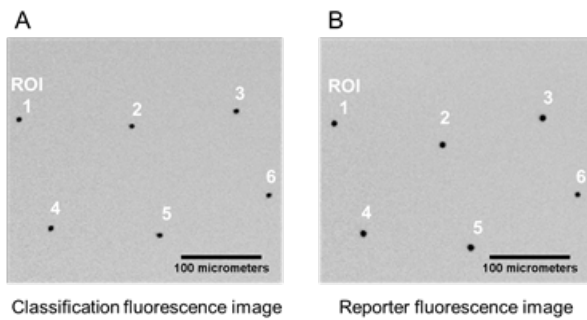


Fig. 3. Representative images (inverted) of a population of beads from (A) the classification fluorescence channel and (B) the reporter fluorescence channel. A 565 nm bandpass filter and a 650 nm long-pass filter are switched when capturing the individual fluorescent images.

1 the improved detection sensitivity.

2 In this study, we proved that three sets of beads can be
 3 classified in a multiplexed format. PCT is used to demonstrate
 4 the analytical performance of the LED-excited imaging
 5 cytometer prototype. Therefore, further studies will be
 6 conducted to investigate the bead-based immunoassay of
 7 multiple protein biomarkers, and to test the detection
 8 performance (sensitivity, accuracy, and precision) of the
 9 multiplex immunoassay. Oblique and epifluorescence design
 10 may be implemented to further improve the optical sensitivity.
 11 Additional studies are also needed to integrate the bead-based
 12 immunoassay in a microfluidic sample cartridge for automated
 13 sample preparation. Image capturing and processing is
 14 performed manually in this work. Therefore automation is
 15 required to demonstrate the functionality of the unit in the field.
 16 The prototype may be used as a model to develop into a field-
 17 deployable system that can be validated in clinics or remote
 18 communities for blood testing and clinical diagnosis.

19 V. CONCLUSIONS

20 The presented LED-excited imaging cytometer system was
 21 characterized for illumination uniformity and used for the
 22 detection of a panel of three sets of beads. Detection of a sepsis
 23 biomarker is presented and showed good detection sensitivity
 24 and accuracy. This demonstrated that LED illumination is a
 25 promising approach for imaging cytometer and its applications.
 26 The presented cytometer prototype can be further developed

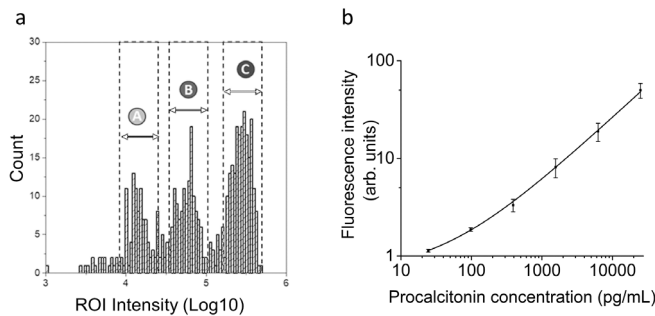


Fig. 4. (a) Histogram of a panel of three sets of beads (region of interests, ROI) with different red fluorophore concentrations. Double arrows showed the classification intervals of the bead set A, B, and C. (b) Calibration curve of procalcitonin concentration using median reporter fluorescence intensity. Four-parameter logistic model is used for curve fitting, and R^2 is 0.999. Error bars represents the variance of median fluorescence intensity for multiple frames of captured images ($n \geq 3$).

27 into a point-of-care testing device for disease diagnosis and
 28 monitoring.

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