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1 **TOTAL FOCUSING METHOD BASED ULTRASONIC PHASED ARRAY IMAGING IN**
2 **THICK STRUCTURES**

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12 **Abstract**

13 Ultrasonic non-destructive testing traditionally uses a conventional monolithic transducer. An
14 approach similar to this comprising of independent single transmissions but with reception
15 performed by all the elements in phased array ultrasonics is known as Full Matrix Capture (FMC).
16 The acquired data is processed by Total Focusing Method (TFM). Conventional FMC-TFM has
17 limitations in the inspection at large depth in attenuating materials due to single element
18 transmission. To improve the beam forming process, coherent recombination of the plane wave
19 with specific angles is utilized in transmission and the same aperture is used for the reception in
20 Plane Wave Imaging (PWI). A new methodology called Angle Beam Virtual Source FMC-TFM
21 (ABVSFMC-TFM) is proposed to inspect thick attenuating materials such as nickel base alloys.
22 The ABVSFMC method leads to improved Signal to Noise Ratio (SNR) as compared to the
23 conventional FMC due to increased energy with directivity during transmission using a group of
24 elements and improved divergence as compared to the PWI due to a small virtual source near the
25 sample surface.

26 In the present paper, FMC-TFM, PWI-TFM and ABVSFMC-TFM methods are compared for
27 inspection of thick nickel base superalloy (Alloy 617) with slots at various depths in the range of
28 25-200 mm. Optimization of the incidence angle has been performed by beam computation in
29 CIVA software. Results obtained by CIVA simulations are discussed and also compared for the
30 three methods.

31

32 **Keywords:** FMC-TFM, VSFMC-TFM, PWI, phased array

33

34 **1. INTRODUCTION**

35 Phased array ultrasonic technique (PAUT) based inspections are replacing the other volumetric
36 inspection methods in nondestructive testing including conventional ultrasonics and radiography.
37 Full Matrix Capture–Total Focusing Method (FMC-TFM) is one of the most advanced methods in
38 PAUT and is called a ‘gold standard’ method [1]. FMC is performed by acquiring data from each
39 possible pulse-receive combination of the transducer array. All elements are utilized as transmitters
40 sequentially to obtain N^2 numbers of A-scan signals for a transducer with N elements [2]. The
41 raw A-scan signals are processed by Total Focusing Method (TFM). FMC-TFM has limitations in
42 the inspection at large depth in thick attenuating materials as a single element transmission limits
43 the penetration of sound beam especially at larger depths [3]. FMC-TFM inspection also poses
44 problem for the inspection of weld joints. Linear array cannot be placed on top of the weld bead.
45 Angle beam FMC-TFM reduces the sensitivity further due to additional interfaces and wedge
46 material. Karaman et al. [4] proposed the concept of ‘virtual source’. The data acquisition is
47 performed using a group of elements that form a virtual focusing above [5, 6] or below [7] the
48 surface of the specimen. Acoustic energy produced by the virtual source is higher and enables the

49 inspection at large depth with improved Signal to Noise Ratio (SNR). TFM performance speed
50 can be enhanced by reducing the number of independent firings [8, 9]. However, without
51 optimization of the transducer parameters, sparse array may produce the side lobe or grating lobes
52 [1]. Bannouf et al. [8] compared the FMC-TFM, Sparse Matrix Capture (SMC) and Virtual Sparse
53 Array (VSA) for Side Drill Holes (SDHs) of 2 mm diameter up to 75 mm depth in a ferritic steel
54 specimen. Signal loss was observed in FMC-TFM method due to material presenting high level of
55 structural noise and to overcome the problem, VSA method was utilized. Spencer et al. [2]
56 compared the advanced ultrasonic techniques such as Phased Array (PA), FMC-TFM and
57 Advanced Focusing Method (AFMC-TFM) for the inspection of Electrical Discharge Machined
58 (EDM) notches in weld region of flat carbon steel plates of 19 mm thickness. It was observed that
59 the smooth fracture face of vertical notches could not be detected by any of the above techniques.
60 Long et al. [3] utilized reflected and mode converted waves for the inspection of two flat bottom
61 holes representing a lack of fusion in the weld region using FMC-TFM technique. Experimental
62 results showed that with 32 elements aperture size, only the top tip signals could be observed.

63 The transmission energy can be increased by employing Plane Wave Imaging (PWI), where all
64 the elements are fired simultaneously to generate a plane wave. Simultaneous transmission of the
65 elements with high acoustic power results in improved sensitivity. As the divergence of the plane
66 wave is very limited, suitable delay laws are applied to sweep the plane waves at different angles
67 and data is received by all the elements [13-16]. Recently, direct and half skip mode PWI have
68 been utilised for the inspection of irregular surfaces. Simulation was performed using CIVA
69 software for the validation for point like and crack like defects [14]. Long et al. [4] have also
70 performed CIVA simulation of FMC-TFM imaging for structurally complex stainless-steel piping

71 of 50 mm thickness. A good agreement was observed between the simulation and the experimental
72 results in presence of weld anisotropy.

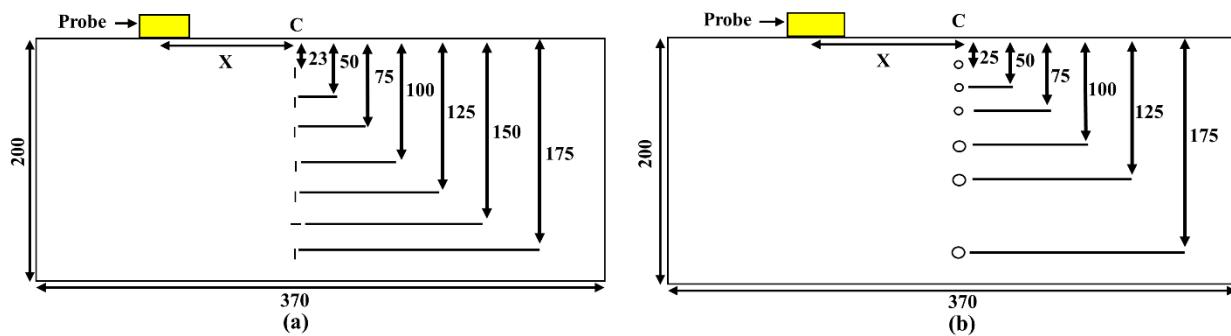
73 The success of a TFM based technique (FMC or PWI) depends upon the number of A-scans in
74 which the flaw information is present. Larger the number of A-scans with flaw information, better
75 is the focusing or beam forming achieved. In case of FMC-TFM, this is achieved with the help of
76 the large divergence of the beam emanating from a transducer element of small width and multiple
77 receivers. In PWI, this is achieved by multiple receivers and transmitting plane waves at smaller
78 angular steps. With increasing depth of inspection, smaller angular steps may be required. Further,
79 PWI technique requires a phased array equipment with a larger number of simultaneous pulser
80 channels. An alternate technique, Angle Beam Virtual Source (ABVS) FMC-TFM having a few
81 advantages of both the FMC and the PWI techniques is explored in the present study and the results
82 are compared with those obtained by FMC-TFM and PWI techniques. The ABVSFMC-TFM is
83 proposed to enhance the sensitivity and SNR during ultrasonic inspection of thick narrow gap weld
84 joints of austenitic nickel base super alloys. Ultrasonic inspection of thick austenitic steel and
85 nickel base superalloys poses specific challenges due to large elastic anisotropy and coarse grains
86 in the weld metal [5]. Hence, thick austenitic welds cannot be inspected using normal beam
87 inspection. As a group of elements is used in transmission in the ABVSFMC-TFM, a divergent
88 beam of increased amplitude as compared to FMC with specific directivity can be achieved
89 without using a wedge. Optimization of the incidence angle is performed in the present study by
90 beam computation in CIVA software. The methodology is simulated in CIVA software for different
91 angles by imaging the tip diffracted signals obtained from slot type planar defects at various depths
92 in the range of 25-175 mm in a 200 mm thick nickel base superalloy (Alloy 617) forging. Also,
93 the simulation and experimental results are compared with those of PWI and FMC-TFM.

94

95 **2. MATERIALS AND METHODS**96 **2.1 Specimen details:**

97 An Alloy 617 calibration block of dimensions 200 mm (h) * 75 mm (w) * 370 mm (l) as
 98 shown in Fig. 1 is used for CIVA simulation and experimental studies. The specimen comprises of
 99 six numbers of 25 mm deep Side Drill Holes (SDHs) and seven numbers of 25 mm deep slots at
 100 different heights on the two opposite faces of the block, as shown in Fig. 1. The top three SDHs
 101 (at 25 mm, 50 mm and 75 mm from the top surface) are of 4 mm diameter, whereas, the bottom
 102 three SDHs are of 6 mm diameter. Similarly, the top three slots are of 4 mm height and the bottom
 103 three vertical slots are of 6 mm height. The width of the horizontal slot at 150 mm depth is 6 mm.
 104 The vertical slots simulate lack of sidewall fusion in a narrow gap thick weld joint and the
 105 horizontal slot simulates an inter-pass slag/oxide layer. Due to the difficulty in machining deep
 106 slots in a nickel base superalloy, the minimum possible width of the notch could only be achieved
 107 as 1 mm practically by electrode discharge machining (EDM).

108



109 **Figure 1.** Schematic of Alloy 617 specimen showing (a) planar defects and (b) side drill holes on
 110 the two opposite faces.
 111

112

113 **2.2 CIVA simulation and experimental details:**

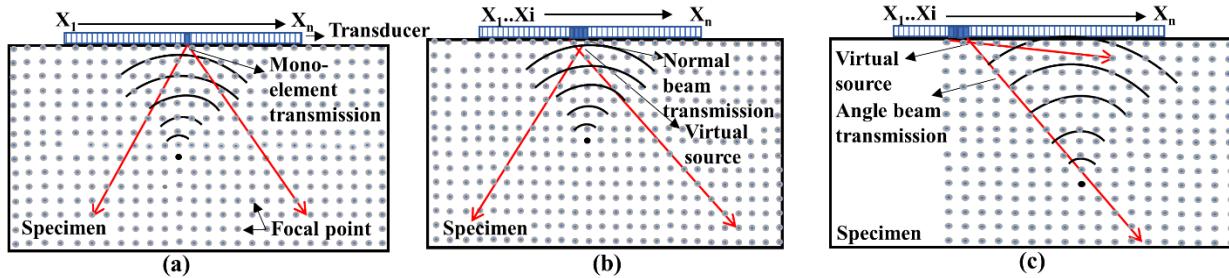
114 A 2.25 MHz linear array ultrasonic transducer having 128 elements with the element pitch of
115 0.75 mm was used in both simulation and experiments. In case of Virtual Source-Full Matrix
116 Capture (VSFMC), 8 numbers of elements were used to form the virtual source at a specific depth
117 based on the CIVA simulation studies as detailed in section 3.1. CIVA is a multi-expertise software
118 platform for simulation of multiple-techniques in Non Destructive Testing (NDT) [6]. It is widely
119 used for simulation of complex geometry inspection. An elastodynamic Geometrical Theory of
120 Diffraction (GTD)-Kirchhoff model based on Physical Theory of Diffraction (PTD) has been
121 utilized for simulating response of SDHs and planar defects in CIVA 11 [20, 21]. This model
122 provides a unified modeling of both specular reflection and diffraction phenomena. As mentioned
123 in section 2.1, the vertical planar defects are made to simulate the lack of side wall fusion at the
124 weld-parent metal interface. As the lack of side wall fusion needs to be detected from the parent
125 metal side without the requirement of the ultrasonic beam to enter in the weld zone, the response
126 of these defects made in the quasi-isotropic forge block can be considered close to the side wall
127 fusion in a weld. Further, the grain size in Alloy 617 forging block is of the order of 0.2 mm i.e.
128 about $1/10^{\text{th}}$ of the wavelength used in the present study. Hence, isotropic acoustic property of the
129 calibration block is used in the CIVA 11 simulation. Even though, lack of side wall fusion type
130 defects of very small width could not be practically machined in the test specimen for experimental
131 studies, tight planar defects were simulated in CIVA to obtain the response of such defects in
132 ABVSFMC-TFM with different experimental configurations. In addition to the planar defects, the
133 response of SDHs were also studied in the present study as SDHs could be machined in the
134 calibration block similar to those considered in the simulation. The simulation has been performed
135 using expert mode feature wherein any transmission/reception sequence can be user-defined within

136 the limit of the maximum number of elements assumed in the transducer. Using the expert mode,
137 delay laws were created for FMC, Normal Beam (NB) VSFMC, ABVSFMC and PWI methods.

138 Simulations were performed in three different FMC configurations, as shown in Figs. 2a-c.
139 Figure 2a shows the schematic of data acquisition in conventional FMC (CFMC) configuration,
140 where transmission began with the first element and then it was shifted electronically upto the 64th
141 element. The A-scan signals were received by all 64 elements in every transmission sequence, i.e.
142 a total of 64*64 A-scans were generated. Figures 2b and 2c show the schematics of normal and
143 angle beam-VSFMC, where a group of 8 elements were utilized with suitable delay laws to focus
144 the beam at the depth of 2 mm from the top surface of the specimen (2:2 focusing along the X-axis
145 and Z-axis) to obtain a beam at normal and at specific angles to the normal to the top surface,
146 respectively. The active groups of elements were electronically translated by one element after
147 every transmission sequence up to the last 64th element. Thus, a total of 57 (=64-8+1) number of
148 transmission sequences were performed in every VSFMC data acquisition. In every transmission
149 sequence, the signals were received by all 64 elements similar to that in the CFMC reception, i.e.
150 a total of 57*64 A-scans were generated. Simulations were also performed for PWI with the plane
151 waves at angles in the range of 1° to 89° with the step of 1° i.e. a total of 90*64 A-scans were
152 generated.

153 The experiments were performed using GEKKO phased array ultrasonic equipment supplied
154 by M/s. Eddyfi with the maximum number of 64 simultaneous transmitting and receiving channels.
155 The delay laws generated using CIVA was used for acquiring the data in NBVSFMC and
156 ABVSFMC modes. The raw A-scan signals were saved and the TFM images were generated using
157 a software developed in LabVIEW. The experimental results of ABVSFMC-TFM were also
158 compared with the FMC-TFM and PWI-TFM results obtained directly using the software in the

159 GEKKO equipment. The ratio of the signal amplitude and the corresponding maximum noise
160 amplitude in the vicinity (within 10 mm) of the defect signal in the same echo-dynamic pattern
161 were used to calculate the SNR.



162 **Figure 2.** Schematic of transmission in (a) FMC-TFM, (b) NBVSFMC-TFM and (c) ABVSFMC-
163 TFM
164

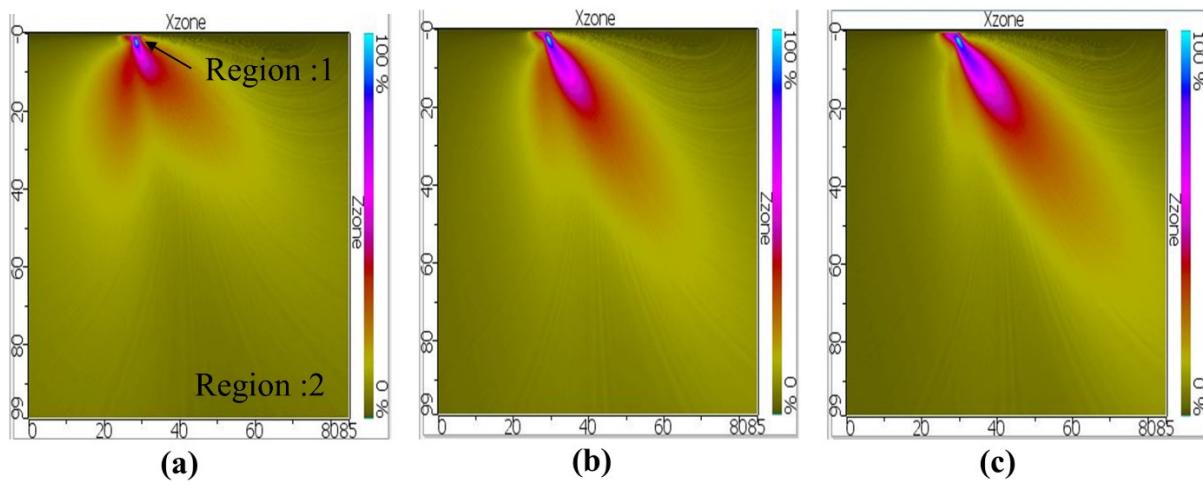
166 **3. RESULTS AND DISCUSSION**

167 **3.1 CIVA simulations**

168 ***3.1.1 Beam computation using CIVA simulation***

169 Figure 3 shows the sound beam computation using CIVA 11 software using linear array of 8
170 active elements with 2.25 MHz, 0.75 mm pitch for different focusing points. Extensive beam
171 computation study has been performed by Nanekar et al. [7] for optimization of number of
172 elements for phased array probes of different frequencies and pitch values for generation of virtual
173 source beam. They utilized the virtual source in a methodology called ‘PA-SAFT’ combining
174 phased array (PA) with synthetic aperture focusing technique (SAFT). The results showed that the
175 6 dB beam width decreased with increasing number of elements in the active aperture and it was
176 almost constant beyond 6 or 8 numbers of elements depending upon the pitch and the frequency
177 combinations. Based on this, 8 elements active aperture has been considered in the present study.
178 The acoustic pressure is high near the surface indicated by blue (region:1 in Fig. 3a) in all the
179 cases. The green (region:2 in Fig. 3a) indicates the minimum acoustic pressure. Figure 3a shows

180 that with focusing at x (horizontal) and y (vertical) positions of 1 mm and 1 mm (1:1), the beam
 181 spread is large. However, beam splitting is observed from the main lobe and the acoustic pressure
 182 is very low beyond ~40 mm depth. Figure 3c shows that the acoustic pressure is fairly high even
 183 at larger depths, but the beam is getting narrower with increasing virtual source distance i.e. losing
 184 the beam divergence. Focusing at 2:2 provides a large divergence ($\sim \pm 30^\circ$) with the intended
 185 directivity at 45° . This helps to achieve focusing during the image reconstruction and hence used
 186 in the present study.



187
 188 **Figure 3.** Beam computation results using 2.25 MHz, 0.75 mm pitch for different focusing (a)
 189 1:1, (b) 2:2 and (c) 3:3
 190

191 ***3.1.2 Comparison of FMC-TFM, NBVSFMC-TFM and PWI-TFM***

192 Figure 4 shows the TFM images generated in CIVA 11 for different experimental
 193 configurations simulated in CIVA. The amplitude range values in the simulation results shown in
 194 Figs. 4-7 are different depending upon the maximum amplitude obtained in the specific
 195 configuration. However, the amplitude values across different configurations can be compared
 196 with each other in the CIVA simulation results, e.g. PWI-TFM (Fig. 4d) exhibits ~20 times higher
 197 amplitude as compared to that for the FMC-TFM (Fig. 4a).

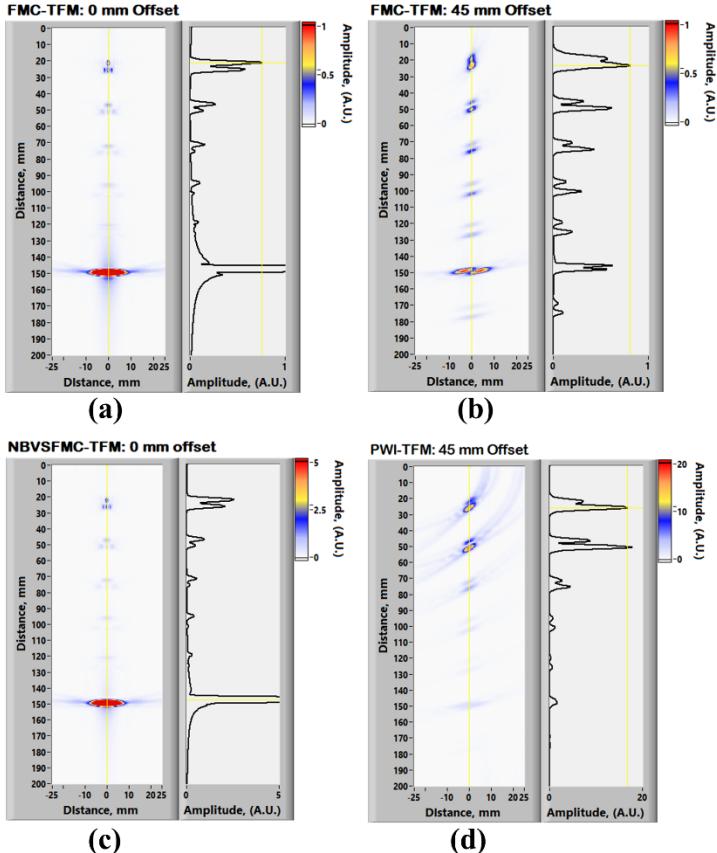


Figure 4. B-scan image using CIVA simulation for a) FMC-TFM, b) FMC-TFM with 45 mm offset, c) NBVSFMC-TFM and d) PWI with 45 mm offset

Figure 4a shows the simulated FMC-TFM image obtained for the reference block shown in Fig. 1 by considering the transducer on top of the defect. The amplitude of the deeper defects decrease drastically as compared to the near surface defect located at 25 mm from the top surface. In order to study the response of the planar defects using angle beam FMC-TFM, the transducer was kept at 45 mm offset from the centre line of the defects. Figure 4b shows the TFM B-scan image with improved amplitude for the deeper defects as compared to that in the normal beam FMC-TFM (Fig. 4a). Further, the bottom tip indicated higher amplitude, in general, as compared to the top tip of the defects in angle beam inspection simulation.

Single element provides less acoustic energy which may not be sufficient for the detection of deeper defects with higher amplitude and a required SNR. With increase in the number of

elements to 8 in the VSFMC-TFM, the acoustic energy increases. The amplitude is increased by about 4 times in the NBVSFMC-TFM (Fig. 4c) as compared to that in the FMC-TFM (Fig. 4a) for the transducer considered on top of the defect in both the cases. Figure 4d shows PWI-TFM B-scan image obtained by angles of transmission in the range of 1° to 89° . It can be seen that the amplitude is higher for defects near the top surface due to higher acoustic energy and decreases drastically with increasing depth for defects at 75 mm and beyond. It should be noted in Fig. 4 that the amplitude range is 0-1 A.U. in Figs. 4a (FMC) and 4b (FMC with 45 mm probe offset), whereas it is 0-5 A.U. in Fig. 4c (NBVSFMC) and 0-20 A.U. in Fig. 4d (PWI). The horizontal defect at 150 mm depth shows very high amplitude in normal beam inspections (Figs. 4a and 4c). These simulation studies clearly indicated about 4 times and 20 times increase in amplitude in VSFMC-TFM and PWI-TFM, respectively as compared to FMC-TFM. Further, it is also observed that the bottom tips can be clearly resolved only by performing angle beam inspections.

224

225 ***3.1.3 ABVSFMC-TFM with different incidence angles***

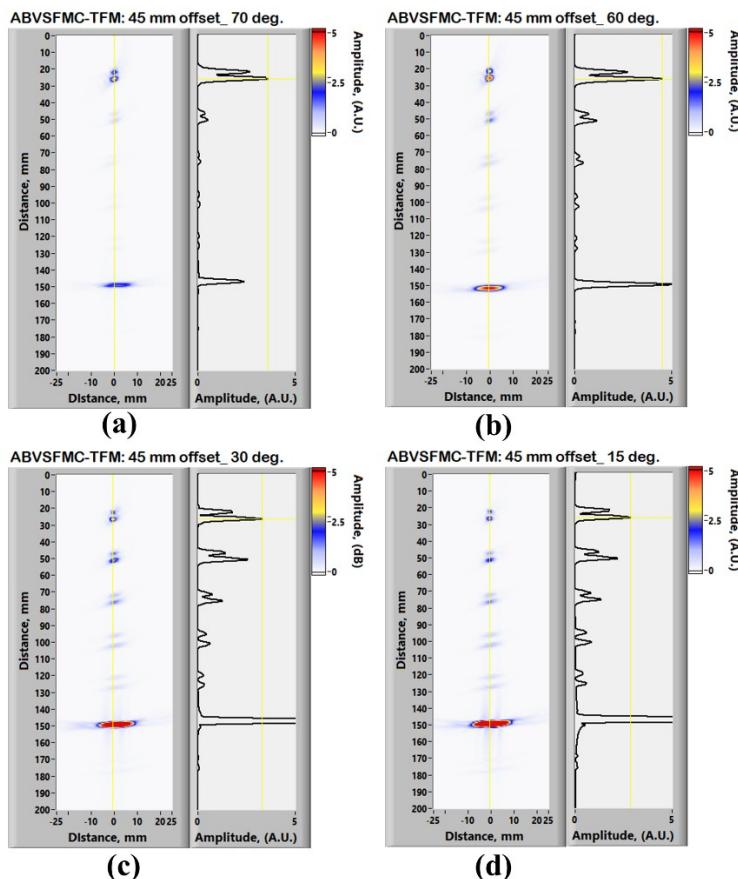
226 The ABVSFMC-TFM provides the possibility of directing the beam at the desired angles
227 and thus enhancing the sensitivity at the desired depths in angle beam inspection for a given probe
228 offset position. In order to detect the diffracted signals with improved sensitivity throughout the
229 thickness, simulation was performed for different beam angles for 45 mm offset. Figures 5 a-d
230 show TFM B-scan images and corresponding echo-dynamic patterns for ABVSFMC-TFM for 70° ,
231 60° , 30° and 15° beam angles, respectively. It is observed that as the incidence angle decreases, the
232 amplitude of the diffracted signals from the deeper defects increase due to the incident angle beam
233 hitting the deeper defects directly. To detect the diffracted signals with improved sensitivity at

234 specific depth and probe offset distance, suitable beam angle can be selected in the ABVSFMC-
235 TFM.

236

237 **3.2 Comparison of CIVA simulation with experimental results**

238 Figures 6 a and b show the CIVA simulation and experimental results, respectively for the
239 response of SDHs (Fig. 1b) in ABVSFMC-TFM with 45° incidence beam and ~ 50 mm probe
240 offset. The maximum amplitude in the experimental ABVSFMC-TFM is normalized to the
241 maximum amplitude in the CIVA simulation result (0-40 A.U.). Both exhibit similar trend except
242 for a rapid drop in the amplitude with increasing defect depth in the experimental results. This is
243 attributed to the attenuation in alloy 617 block which is not considered in the CIVA simulation.



244
245 **Figure 5.** TFM B-scan image obtained by CIVA simulation using ABVSFMC-TFM for (a) 70°
246 angle, (b) 60° angle, (c) 30° angle and (d) 15° angle

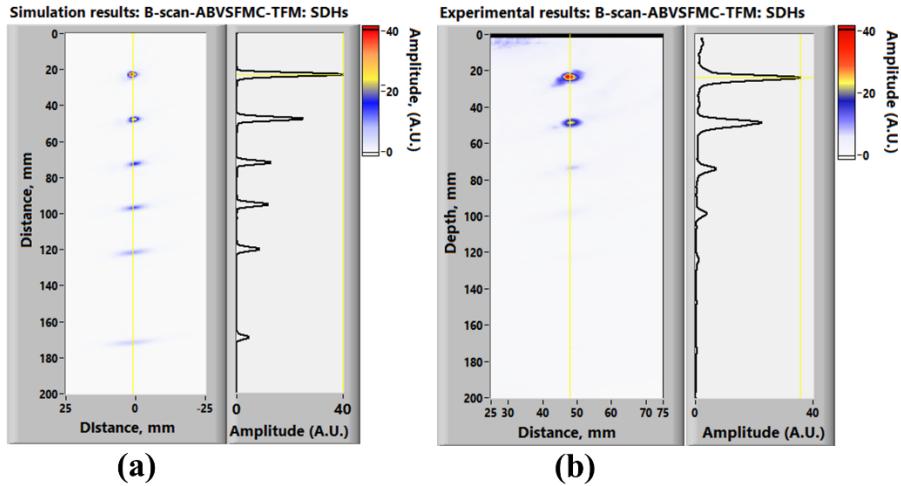
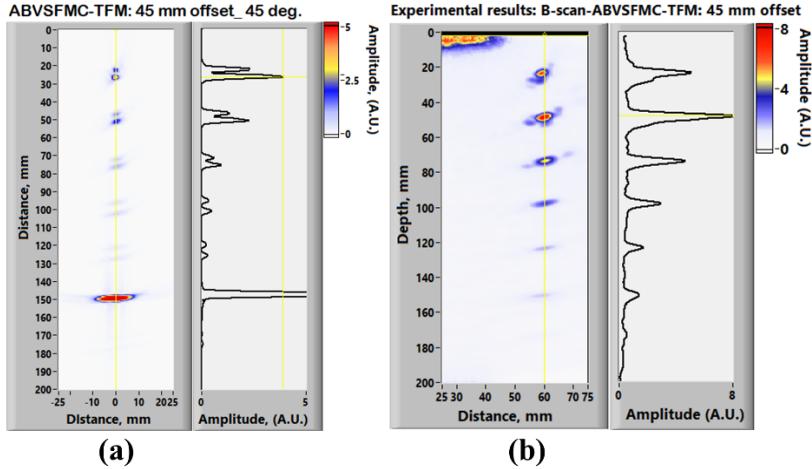


Figure 6. (a) simulation and (b) experimental (gain=50 dB) B-scan images and echo-dynamic patterns for SDHs at various depths obtained using ABVSFMC-TFM with the probe offset of ~50 mm

Figure 7a shows the CIVA simulation result of ABVSFMC-TFM with ~50 mm probe offset for the planar defects. The amplitude of the tip diffracted signals for the planar defects are observed to be about 10 times lower as compared to the SDHs at the same defect depth in the CIVA simulation. However, the amplitude is only about 5 times lower for the tip diffracted signals in the experimental results as compared to the SDHs. Further, the experimental results indicated the highest amplitude for the defect at 50 mm depth due to the beam directed at 45°, unlike the CIVA simulation results that indicated maximum amplitude for the defect at 25 mm depth. This difference may be attributed to the effect of the width of planar defects on the angle specific tip diffracted signals in the two cases. Further, higher amplitude is observed for the horizontal defect at 150 mm depth in the CIVA simulation as compared to that in the experimental result.



(b)

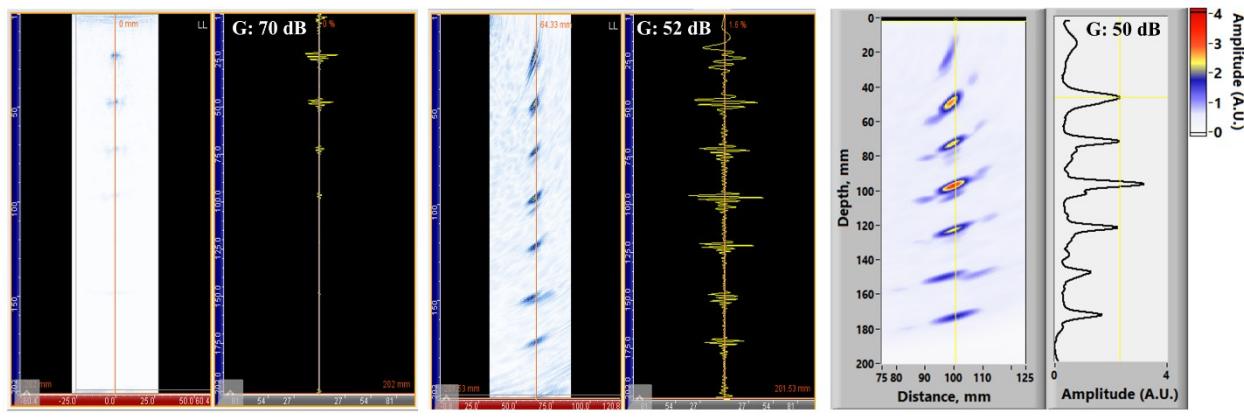
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Figure 7. (a) Simulation and (b) experimental (gain=50 dB) B-scan images and echo-dynamic patterns for planar defects at various depths obtained using ABVSFMC-TFM with the probe offset of ~ 50 mm

267 **3.3 Comparison of experimental results for FMC-TFM, PWI-TFM and ABVSFMC-TFM**

269 Figure 8 shows the B-scan images and corresponding A-scan signals for vertical slots
270 obtained using FMC-TFM (without probe offset), PWI-TFM (with ~ 80 mm offset) and
271 ABVSFMC-TFM (with ~ 80 mm offset) at 70 dB, 52 dB and 50 dB instrument gain, respectively.
272 It can be seen in Fig. 8a that only the near surface defects up to the depth of 50 mm could be
273 detected clearly in FMC-TFM. Whereas, in PWI-TFM and ABVSFMC-TFM, all the defects could
274 be detected clearly with higher sensitivity (Figs. 8 b and c). In PWI-TFM, all the top and bottom
275 tip signals are clearly seen (Fig. 8b) except for the defect at 175 mm depth, for which only the top
276 tip signal could be detected. All slots could be reliably detected using ABVSFMC-TFM with 80
277 mm probe offset. It can be seen in Fig. 8 that the PWI and ABVSFMC-TFM exhibited similar
278 amplitude at about 20 dB lower gain as compared to FMC-TFM. The increased sensitivity in PWI
279 and ABVSFMC-TFM are attributed to the increased number of transmitting elements in the
280 aperture. Even though the number of transmitting elements is only 8 ($1/8^{\text{th}}$ of that in the PWI) in

281 the case of ABVSFMC-TFM, similar amplitudes observed in PWI and ABVSFMC-TFM is
 282 attributed to the directivity of the beam with good divergence in the intended direction.



283
 284 **Figure 8.** B-scan and echo dynamic pattern for inconel specimen for slots using (a) FMC-TFM
 285 (no offset), (b) PWI-TFM (80 mm offset) and (c) ABVSFMC-TFM (80 mm offset)

286

287 The signal to noise ratio (SNR) obtained using FMC-TFM, ABVSFMC-TFM and PWI are
 288 compared for slots at different depths in Fig. 9. The SNR decreased with increasing depth of defect
 289 for the probe offset of 45 mm for all the techniques. Further, both PWI and FMC-TFM exhibited
 290 similar SNR for 45 mm probe offset, whereas, the ABVSFMC-TFM exhibited ~6 dB higher SNR.
 291 For the probe offset of 80 mm, the SNR is observed to be better than those observed for the probe
 292 offset of 45 mm for the defects at larger depths in ABVSFMC-TFM. This is attributed to larger
 293 divergence with directivity of the beam leading to defect information in large number of A-scan
 294 signals. This results in improved SNR upon application of TFM algorithm.

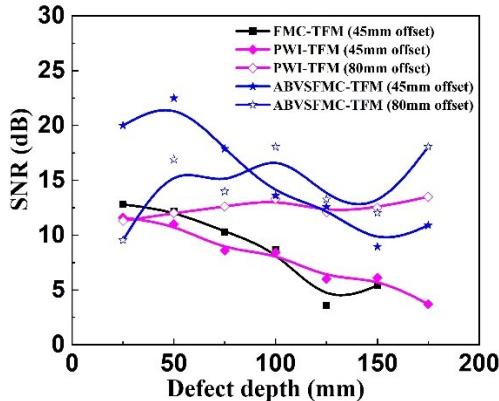


Figure 9. Variations in SNR as a function of defect depth for different experimental configurations

4. CONCLUSIONS

TFM based ultrasonic phased array imaging techniques with different data acquisition methodologies such as conventional FMC, NBVSFMC, ABVSFMC and PWI have been explored through CIVA simulation in the present study for inspection of thick components. The use of single element transmission exhibited lower amplitude in case of conventional FMC-TFM, particularly at larger depths. The benefit of transmission using multiple elements was evident in PWI imaging of defects at larger depths. Advantages of a newly proposed method ABVSFMC-TFM was demonstrated against both FMC-TFM and PWI-TFM for specific cases. The study also demonstrates that with suitable positioning of the transducer and the incidence angle, sensitivity can be tailored in the ABVSFMC-TFM technique for specific depth. The CIVA results of FMC-TFM is found to be in good agreement with the experimental results on a 200 mm thick forged Alloy 617 specimen with side drill holes at different depths. For the planar defects, the experimental results indicated some deviation from the CIVA simulation results which is attributed to the different width of the defects considered in the simulation and experiments. The study indicates that ABVSFMC-TFM can be considered as a promising technique for angle beam

314 inspection of thick and attenuating materials with the advantage of beam divergence with
315 directivity in the intended direction.

316

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