

# Numerical and Experimental Validation of the Passive Performance of a Co-Harmonic Gyro-Multiplier Interaction Region

David A. Constable, Alan D. R. Phelps, Colin G. Whyte, Wenlong He, Adrian W. Cross, and Kevin Ronald

**Abstract**— The azimuthally rippled cavity for a large orbit, co-harmonic gyro-multiplier, designed to operate at the 2<sup>nd</sup> and 4<sup>th</sup> harmonics, at frequencies of 37.5 GHz and 75 GHz, respectively, has been numerically and experimentally confirmed to be insensitive to the polarization of quadrupole, TE<sub>2,n</sub>-like modes, including the 2<sup>nd</sup> harmonic operating mode of the multiplier, a cylindrical TE<sub>2,2</sub>-like waveguide mode. To test the cavity with this mode required the design, construction and measurement of ripple wall mode converters, converting the cylindrical TE<sub>2,1</sub> mode to the TE<sub>2,2</sub> mode. These were designed to operate at a central frequency of ~37.9 GHz, with predicted mode purity of better than 85%, and 3 dB bandwidth of 161 MHz. The constructed converter had a central operating frequency of 37.7 GHz, with S-parameter measurements used to infer suitable mode purity, and an operational 3 dB bandwidth of 50 MHz. This has allowed farfield phase measurements of the corrugated cavity to be conducted, where the orientation of the geometry to the polarization of both the TE<sub>2,1</sub> and TE<sub>2,2</sub> modes was shown to have no effect on the dispersion.

**Index Terms**— Cyclotron resonant masers, Electromagnetism, Gyrotrons, Microwave measurements, Mode converters.

## I. INTRODUCTION

**G**YRO-MULTIPLIERS [1]-[5] represent an attractive method of obtaining coherent high-frequency radiation, which can extend into the THz regime [6], [7]. Reliable microwave sources operating in this regime will facilitate the growing number of applications emerging across a range of diverse fields, such as communications [8], [9], spectroscopy [10], and medicine [6], [11]-[14].

Gyro-multipliers are an extension of the gyrotron [15]-[17], where an electron beam immersed in an axial magnetic field, will emit coherent radiation close to the electron cyclotron

frequency,  $\omega_{ce}$ , (1), as dictated by the dispersion relation (2). Here,  $e$  is the electron charge,  $B_z$  is the strength of the axial magnetic field,  $\gamma$  is the relativistic correction factor,  $m_0$  is the rest mass of the electron,  $s$  ( $\in \mathbb{Z}$ ) is the cyclotron harmonic,  $k_z$  is the axial wave-number, and  $v_z$  is the axial velocity of the electron beam.

$$\omega_{ce} = \frac{eB_z}{\gamma m_0} \quad (1)$$

$$\omega = s\omega_{ce} + k_z v_z \quad (2)$$

Two distinct types of gyro-multiplier exist; single cavity [18]-[21] and multiple cavity [22]-[28] arrangements, with both oscillator and amplifier configurations being investigated. Both systems operate in a similar manner; by tuning the geometry of the device correctly, directly exciting a low harmonic signal resulting in modulation of the azimuthal current on the beam at the ‘driven’ harmonic and at multiples of that harmonic. The harmonic output is not directly excited (which is difficult to achieve for harmonics  $> 3$ ) but is instead parasitically emitted. Considering equations (1) and (2), employing high harmonic operation ( $s > 1$ ) results in a reduced magnetic field compared with low harmonic operation at the same frequency. Therefore, the ancillary system requirements of the gyro-multiplier can be reduced. This is of particular importance at high frequencies, where the limits of magnet technology become challenging. This process is known as co-harmonic behavior [18]-[20]. In a large-orbit gyrotron (LOG), the azimuthal mode index,  $m$ , corresponding to the number of full wave variations of the radial component of the field amplitude in a closed azimuthal path around the waveguide axis, must equal the harmonic number,  $s$ . There is no natural harmonic relationship in the

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David A. Constable was with SUPA, Department of Physics, University of Strathclyde, Glasgow, G4 0NG, United Kingdom. He is now with the Physics Department, Lancaster University, Lancaster, LA1 4YW, United Kingdom (e-mail: [d.constable@lancaster.ac.uk](mailto:d.constable@lancaster.ac.uk)).

Alan D. R. Phelps, Colin G. Whyte, Adrian W. Cross, and Kevin Ronald are with SUPA, Department of Physics, University of Strathclyde, Glasgow, G4 0NG, United Kingdom (e-mail: [a.d.r.phelps@strath.ac.uk](mailto:a.d.r.phelps@strath.ac.uk); [colin.whyte@strath.ac.uk](mailto:colin.whyte@strath.ac.uk); [a.w.cross@strath.ac.uk](mailto:a.w.cross@strath.ac.uk); [k.ronald@strath.ac.uk](mailto:k.ronald@strath.ac.uk)).

Wenlong He was with SUPA, Department of Physics, University of Strathclyde, Glasgow, G4 0NG, United Kingdom. He is now with the College of Electronic and Information Engineering, Shenzhen University, Shenzhen 518060, Guangdong Province, China (e-mail: [wenlong.he@szu.edu.cn](mailto:wenlong.he@szu.edu.cn)).

mode spectrum of cylindrical waveguides, i.e. two modes, with an integer ratio of their azimuthal indices, will not have an integer ratio of their cut-off frequencies. A special arrangement for the cavity is therefore required to enable simultaneous direct excitation at the low harmonic and emission at the high harmonic.

In this paper, we discuss the passive performance of an azimuthally corrugated interaction region for use in a large-orbit gyro-multiplier. Azimuthal corrugations in gyrotron interaction regions have also been examined in small-orbit devices [e.g. 29], in order to break the degeneracy of non-symmetric modes. In these cases, there have been impacts noted on the interaction efficiency. In the present case, the intention is that the corrugation should not affect the degeneracy of the two polarizations associated with the lower harmonic resonance, hence not affecting the beneficial coupling to the large orbit electron beam.

The performance of a large-orbit, corrugated cavity gyro-multiplier oscillator, operating at the 2<sup>nd</sup> and 4<sup>th</sup> harmonics, has previously been numerically simulated with the Particle-in-Cell code, MAGIC3-D [20], [30], as well as measured experimentally, with an electron beam [19]. Both PIC simulations and experiment demonstrated successful co-harmonic operation, with signals excited at 37.5 GHz and 75 GHz, in TE<sub>2,2</sub>-like and TE<sub>4,3</sub>-like waveguide modes, respectively. A short cut-off taper, leading to a section of circular waveguide was intended to trap the 2<sup>nd</sup> harmonic TE<sub>2,2</sub>-like signal within the cavity, thus allowing output of a pure TE<sub>4,3</sub> mode. However, it was observed that the TE<sub>2,2</sub> mode underwent mode conversion along the output taper, converting to the TE<sub>2,1</sub> and TM<sub>2,1</sub> waveguide modes, which were above cut-off in the output region. In previous discussions of the co-harmonic interaction region, its passive performance has not been validated; specifically, the insensitivity of the orientation of the corrugation to TE/TM<sub>2,n</sub> modes has not been documented, either numerically or experimentally.

In this paper, we present numerical simulations and experimental measurement demonstrating the insensitivity of the mode cut-off frequency to the corrugation's alignment to the polarization of the TE<sub>2,2</sub> mode. In order to achieve this, the authors utilize a previously documented TE<sub>2,1</sub> mode launcher [31] in combination with a ripple wall mode converter [32]-[34], to generate a TE<sub>2,2</sub> waveguide mode. This waveguide mode is then used to examine the response of the cavity to the two lowest quadrupole TE<sub>2,n</sub> modes. Numerical simulations from CST Microwave Studio are also carried out to show the sensitivity of octopole (TE<sub>4,n</sub>) modes to the orientation of the cavity corrugation.

## II. BASIC PRINCIPLES

The corrugated region of the gyro-multiplier features an 8-fold sinusoidal corrugation, shown in Fig. 1, which is intended

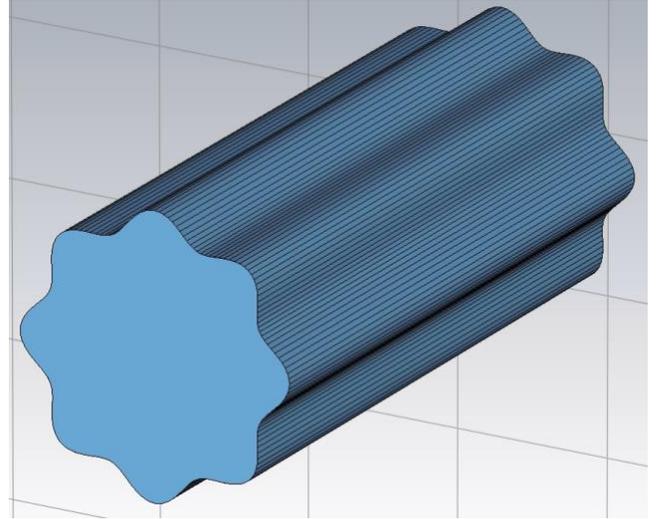


Fig. 1. Representation of the co-harmonic corrugated waveguide, from CST Microwave Studio.

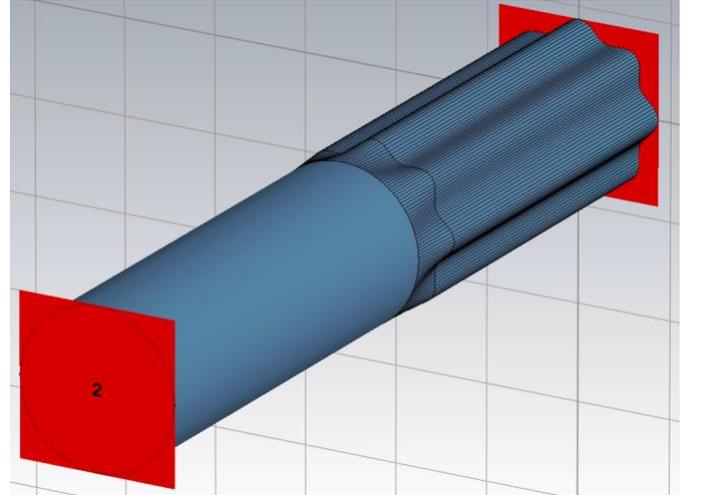


Fig. 2. Geometry simulated in CST Microwave Studio.

to break the degeneracy of the two polarizations of the TE<sub>4,3</sub> waveguide mode (used for the high frequency output at the 4<sup>th</sup> harmonic), i.e. separating their cut-off frequencies. In this manuscript, polarization refers to the two orthogonal polarizations that one would expect to occur in a standard cylindrical waveguide. The corrugation can be refined to allow the higher of the two TE<sub>4,3</sub>-like modes to be cut-off at exactly double the frequency of the TE<sub>2,2</sub>-like mode, which is intended to be excited at the 2<sup>nd</sup> harmonic by a cyclotron electron beam. However, the degeneracy of the polarizations of the TE<sub>2,2</sub>-like mode should not be affected by the corrugation. The cavity has an average radius of 8 mm, with a corrugation depth of 0.7 mm. The corrugation profile follows (3), where  $\phi$  is the azimuthal co-ordinate, varying between 0 and  $2\pi$ . The output region is cylindrical, with radius of 8.3 mm. The cut-off frequency for a cylindrical waveguide can be determined from (4), where  $c$  is the speed of light in vacuum;  $p'_{m,n}$  is the ' $n$ 'th root of the differentiated Bessel function of the first kind, of order ' $m$ ' (6.706 for the TE<sub>2,2</sub> mode, and 12.682 for the TE<sub>4,3</sub> mode); and

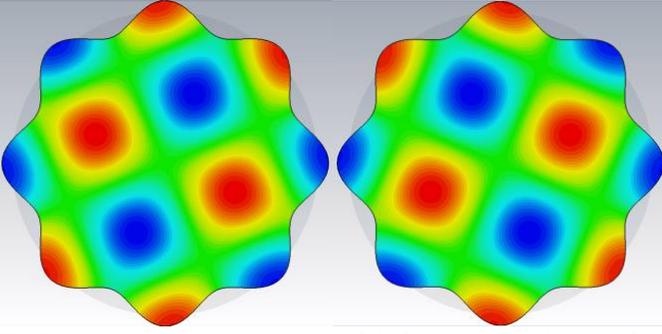


Fig. 3. Magnitude of the axial magnetic field for the two polarizations of the  $TE_{2,2}$ -like mode in the corrugated waveguide, from CST Microwave Studio.

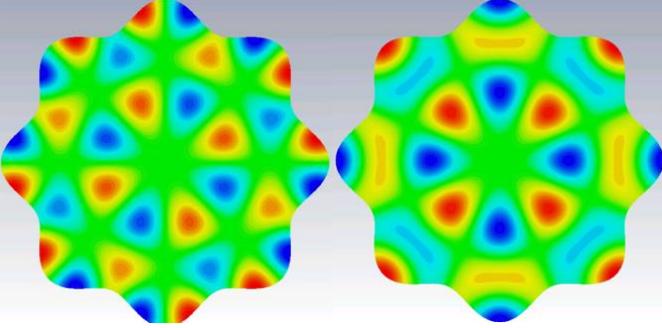


Fig. 4. Magnitude of the axial magnetic field for the two polarizations of the  $TE_{4,3}$ -like mode in the corrugated waveguide, from CST Microwave Studio.

$r$  is the radius of the waveguide under consideration. In the output region, the cut-off frequency of the  $TE_{2,2}$  mode is 38.4GHz, whilst it is 72.9 GHz for the  $TE_{4,3}$  mode.

$$r(\phi) = (8 + 0.7\sin(8\phi)) [mm] \quad (3)$$

$$f_{cut-off} = \frac{cp'_{m,n}}{2\pi r} \quad (4)$$

The effect of the corrugation on the  $TE_{2,2}$ -like and  $TE_{4,3}$ -like modes are initially investigated in CST Microwave Studio. The simulated geometry is shown in Fig. 2 below. Here, the cavity is 39 mm in length, the output taper is 6 mm in length, and the cylindrical waveguide is 45 mm in length. The taper is a linear transition between the radii of the corrugation and the smooth bore cylindrical waveguide (radius 8.3 mm). The required modes are excited at port 1, which terminates the corrugated region. The mesh utilized in all CST Microwave Studio discussed in this paper is hexahedral, comprising  $\sim 4050$  cells per  $mm^3$ . No Ohmic losses are considered in any CST Microwave Studio simulation presented in this manuscript.

An important feature of the corrugation is that the two polarizations of quadrupole ( $TE_{2,n}$ ) modes perceive the same effective radius,  $r_{eff}$ , and thus remain degenerate. CST Microwave Studios' evaluation of the cut-off condition for the two polarizations (with a frequency of 37.426 GHz, corresponding to  $r_{eff} = 8.55$  mm) verifies this insensitivity to the eight-fold corrugation. The amplitude of the axial magnetic field component for the two polarizations of the  $TE_{2,2}$ -like

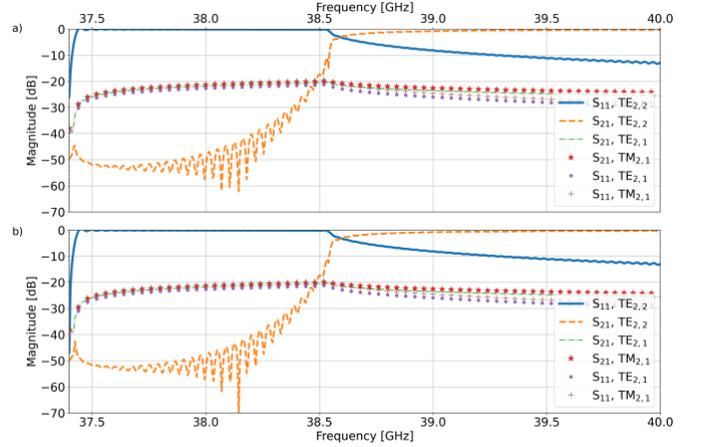


Fig. 5. Predictions of the S-Parameters for launching of the a) first, and b) second polarizations of the  $TE_{2,2}$  mode, into the simulated geometry, from CST Microwave Studio.

modes within the corrugated region are shown in Fig. 3. Here, the two mode patterns are identical to each other, apart from a simple azimuthal rotation of  $\pi/8$ , as expected for a quadrupole mode.

In contrast, the degeneracy of the two polarizations of the octopole ( $TE_{4,n}$ ) mode will be broken by the corrugation. Fig. 4 shows the variation of the amplitude of the axial components of the magnetic field for the two polarizations of the  $TE_{4,3}$ -like mode within the corrugated waveguide. As can be seen, there is a definite difference between the two magnetic field distributions, with a splitting of the degeneracy of the cut-off frequencies of the two polarizations. Here, one cannot simply transform between the two polarizations by azimuthal rotation. CST Microwave Studio predicts the cut-off frequencies of the two polarizations to be 69.5 GHz and 75.2 GHz, respectively. Using (4), the effective radii for the two polarizations of the  $TE_{4,3}$ -like waveguide modes within the corrugated waveguide are 8.70 mm and 8.05 mm, respectively.

The insensitivity of the  $TE_{2,2}$ -like modes, and sensitivity of the  $TE_{4,3}$ -like modes, can further be demonstrated through S-Parameters predicted by time domain simulations. Here, the two distinct polarizations of the  $TE_{2,2}$ -like and  $TE_{4,3}$ -like modes are injected at port 1 in the geometry shown in Fig. 2, with the reflection ( $S_{11}$ ) and transmission ( $S_{21}$ ) parameters predicted.

Fig. 5 shows the near identical predictions of the S-parameters for both polarizations of the  $TE_{2,2}$  mode (previously seen in Fig. 3), across a frequency range of 37.4 GHz – 40 GHz. The polarization of the  $TE_{2,1}$  and  $TM_{2,1}$  modes is dictated by the polarization of the injected  $TE_{2,2}$  mode. All other modes are observed at levels of less than -40 dB and are thus ignored.

Between 37.4 GHz and 38.5 GHz, the incident mode shows a strong reflection ( $S_{11}$ ,  $TE_{2,2}$ ) close to 0 dB, as it is reflected due to the cut-off taper at the output end of the waveguide. However, mode conversion occurs along the length of the cut-

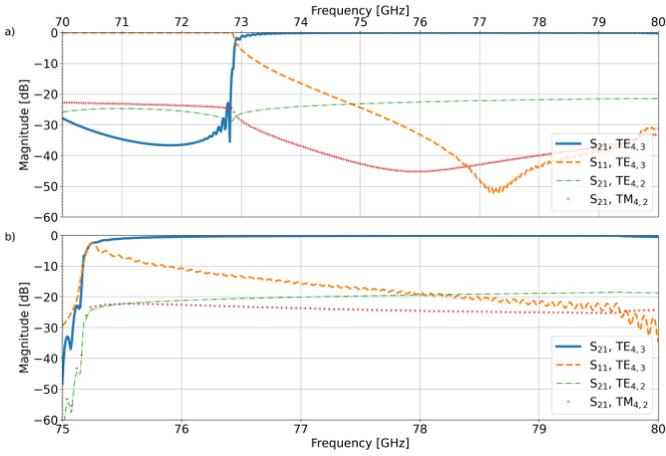


Fig. 6. Predictions of the S-Parameters for launching of the a) first, and b) second polarizations of the  $TE_{4,3}$  mode, into the simulated geometry, from CST Microwave Studio.

off taper, resulting in the  $TE_{2,1}$  and  $TM_{2,1}$  modes being reflected to the input port ( $S_{11}$ ,  $TE_{2,1}$  and  $S_{11}$ ,  $TM_{2,1}$ ) and transmitted to the output port ( $S_{21}$ ,  $TE_{2,1}$  and  $S_{21}$ ,  $TM_{2,1}$ ). The degree of mode conversion is on the order of -20 dB to -40 dB for all frequencies above 37.4 GHz, and is consistent with the MAGIC3-D simulations reported in [20]. Below the cut-off frequency of the  $TE_{2,2}$  mode, the reflection and transmission predicted for each mode converted signal are approximately equal (e.g. at 37.6 GHz, the magnitude of  $S_{21}$  of  $TE_{2,1} \sim S_{11}$  of  $TE_{2,1}$ ). The alternative orthogonal polarization of the quadrupole mode is not observed at any significant level, due to the linear polarization of the injected signal.

Fig. 6 shows the S-Parameter predictions for the launching of two polarizations of the  $TE_{4,3}$  mode, across a frequency range of 70 GHz – 80GHz and 75 GHz – 80 GHz, respectively. On comparing the two plots, it is evident that the two polarizations have distinct S-Parameters. The first polarization (Fig. 6a) is strongly reflected for frequencies less than 72.9 GHz, as it is cut-off in the cylindrical output region. In this polarization, the  $TE_{4,3}$  has a cut-off frequency in the corrugated waveguide of 69.7 GHz, allowing it to propagate. Above 72.9 GHz, the  $TE_{4,3}$  mode propagates unimpeded to the output. It is important to note that mode conversion along the output taper occurs for the  $TE_{4,2}$  mode across the entire frequency range, at levels of less than -20 dB. Mode conversion is observed to lower order  $TE_{4,n}$  and  $TM_{4,n}$  modes, but at levels of less than -40 dB, and are thus not considered.

In comparison, the second polarization of the  $TE_{4,3}$  mode is cut-off within the corrugated waveguide (Fig. 6b), below a frequency of 75.1 GHz, with no signals propagating below this. This is in good agreement with the expected cut-off frequency of a cylindrical waveguide of radius  $\sim 8.07$  mm. For frequencies above 75.1 GHz, this polarization is largely transmitted to the output, with some of the signal reflected to the input ( $S_{11}$ ,  $TE_{4,3}$ ), the magnitude of which decreases with increasing frequency. Mode conversion again occurs along the output taper, with the

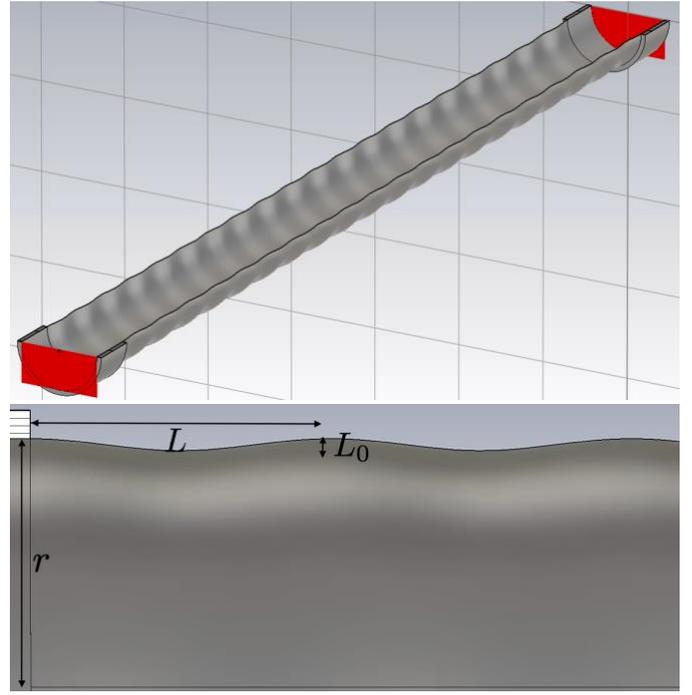


Fig. 7. Cross sectional view of the  $TE_{2,1}$  to  $TE_{2,2}$  mode converter, as represented by CST Microwave Studio.

$TE_{4,2}$  and  $TM_{4,2}$  modes being the dominant of the converted signals. Again, all other modes are predicted to have signals of less than -40 dB and are ignored.

### III. RIPPLE WALL MODE CONVERTER

In order to generate the circular waveguide  $TE_{2,2}$  mode necessary to experimentally cold-test the corrugated waveguide (Fig. 1) discussed in the previous section, a set of mode converting structures were required. Ripple wall mode converters feature an axial perturbation [32]-[34], and have previously been utilized to change the radial mode index of an electromagnetic signal in an over-moded cylindrical waveguide. The axial perturbation varies sinusoidally in radius, with the perturbation period,  $L$ , being close to the beat wavelength,  $\lambda_{beat}$ , of the incident and desired modes in unperturbed waveguide, as shown in (5), where  $\beta_1$  and  $\beta_2$  are the wavenumbers of the incident ( $TE_{2,1}$ ) and converted ( $TE_{2,2}$ ) signal [35], [36]. The conversion efficiencies of ripple wall mode converters are often close to 100%, with their bandwidth being determined by the number of periods utilized [36]-[38]. Maximum bandwidth can be obtained through a single period equal to a beat wavelength [37]; however, the need for bandwidth must be balanced against the need to suppress any unwanted modes [36].

$$\lambda_{beat} = \frac{2\pi}{\beta_1 - \beta_2} \quad (5)$$

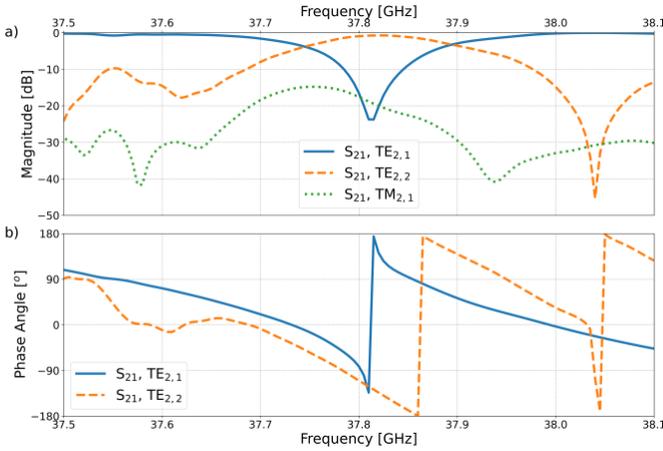


Fig. 8.  $S_{21}$  parameters of the  $TE_{2,1}$  to  $TE_{2,2}$  mode converter, as predicted by CST Microwave Studio.

As described previously, the 2<sup>nd</sup> harmonic of the co-harmonic gyrotron is intended to operate at a frequency of  $\sim 37.5$  GHz, in the  $TE_{2,2}$  mode. Since the cut-off frequency of the  $TE_{2,2}$  mode in the output region of the corrugated waveguide is  $\sim 38.4$  GHz, the ripple wall mode converter was optimized to operate between these frequencies so that it can be used to test the predicted leakage of signal through the mode conversion process.

#### A. Design and Numerical Simulation

CST Microwave Studio was used to optimize the design of the ripple wall mode converter. The input and output radii were both chosen to be 8.7 mm, with a sinusoidal ripple in the radius of the tube between the two ports. The parameters in the optimization process were the depth and period of the ripple, and the number of periods. The optimization goal was set for maximum excitation of the  $TE_{2,2}$  signal at the output port, induced by the  $TE_{2,1}$  mode at the input port. As in Section II., no Ohmic losses are considered.

The optimized mode converter is shown in Fig. 7. Here, the smooth bore sections at either end are visible, with the vertical planes depicting the incoming and outgoing ports in CST Microwave Studio. Since the device is symmetrical, the ports can be considered interchangeable. The optimized parameters are a ripple depth of  $L_0 = 0.15$  mm, 20 periods, and a period of  $L = 10.5$  mm. The period,  $L$ , is close to the beat wavelength of the two signals,  $\lambda_{beat} = 9.2$  mm. It has previously been documented that if unwanted modes are present, a larger number of periods are required to obtain the desired mode purity of the chosen mode [36]. This has informed the choice of a large number of periods in this design, due to the need to suppress conversion to the undesired  $TM_{2,1}$  mode, and subsequently resulting in a narrow bandwidth device. A pure  $TE_{2,1}$  signal, across a frequency span of 35-40 GHz is provided as the input signal, with the S-parameters at both ports recorded.

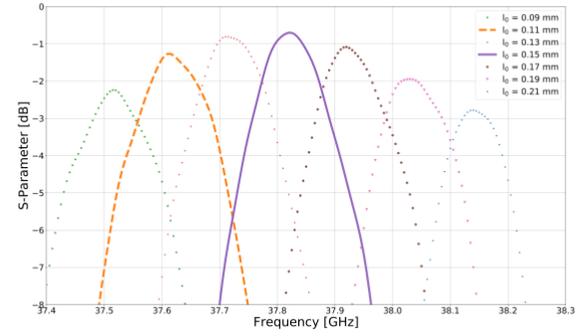


Fig. 9. Variation in the  $S_{21}$  parameters of the  $TE_{2,2}$  mode produced by the  $TE_{2,1}$  to  $TE_{2,2}$  mode converter, as a function of ripple amplitude, as predicted by CST Microwave Studio.

The predicted  $S_{21}$  parameters for the mode converter are shown in Fig. 8a. A constrained range of frequencies between 37.5 GHz and 38.5 GHz is considered. Here, the solid curve shows the injected  $TE_{2,1}$  mode and the dashed curve shows the  $TE_{2,2}$  mode. As can be seen, a strong conversion to the desired mode is predicted at a level of better than  $-0.7$  dB ( $\sim 85.1\%$  power conversion), at a center frequency of  $\sim 37.82$  GHz. The 3 dB bandwidth of the converted signal is 161 MHz. Weak conversion is also observed to the undesired  $TM_{2,1}$  mode (dotted curve); however, this is predicted at a relative magnitude of less than  $-15$  dB across the entire frequency range.

The phase evolution of the  $TE_{2,1}$  and  $TE_{2,2}$  modes predicted at the output of the converter are depicted in Fig. 8b. For much of the frequency span, the phase of the  $TE_{2,1}$  signal evolves smoothly. However, around 37.8 GHz, there is a sudden change in the gradient of the phase angle in the range where energy is being scattered to the  $TE_{2,2}$  mode. Conversely, the  $TE_{2,2}$  signal exhibits numerous complex fluctuations in its relative phase at frequencies corresponding to the peaks and troughs in its transmission behavior, exhibiting regular dispersive behavior in the region from 37.7 GHz to 38.0 GHz, where the signal is strong.

Small variations in the period of the structure show little impact on the operation of the mode converter; however, slight variations in the amplitude of the ripple are predicted to have a significant effect. Fig. 9 depicts the transmission of the  $TE_{2,2}$  signal, as a function of the ripple amplitude for variations spanning  $\pm 60$   $\mu\text{m}$  in steps of 20  $\mu\text{m}$ , around the optimized value of 150  $\mu\text{m}$ . As can be seen, these small variations in the amplitude result in changes in both the central frequency of operation, as well as a reduction in the magnitude of the converted signal.

#### B. Experimental Testing

Following their design, two aluminum formers for the mode converter structure were formed using a CNC lathe, which had a machining tolerance of  $\pm 10$   $\mu\text{m}$ . A 5 mm layer of copper was grown on top of the aluminum, with the aluminum then etched

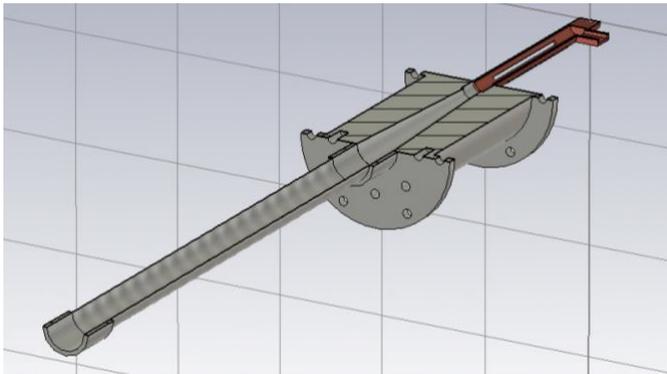


Fig. 10. Cross-sectional view of the setup for measuring the TE<sub>2,1</sub> to TE<sub>2,2</sub> mode converters (note, the second TE<sub>1,0</sub> to TE<sub>2,1</sub> mode converter and taper are not shown).

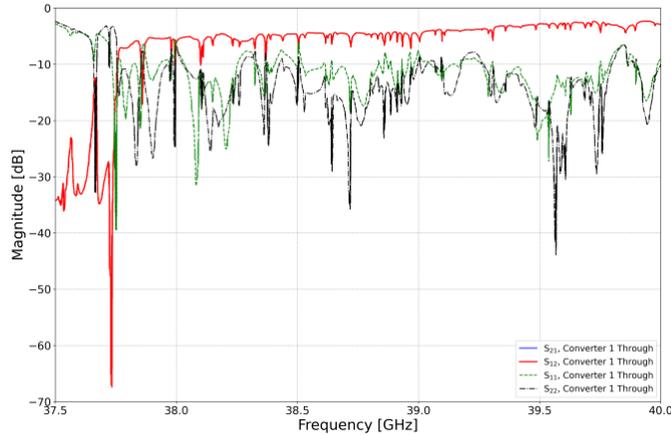


Fig. 11. Measured S-parameters of the TE<sub>2,1</sub> to TE<sub>2,2</sub> mode converters. The S<sub>21</sub> and S<sub>12</sub> curves are overlaid.

out, leaving the shape of the mode converter. To confirm the performance of the mode converter, its S-parameter behavior was measured using an Anritsu VectorStar MS4644A Vector Network Analyzer (VNA), across a frequency range of 37.5-40 GHz. The experimental setup used is similar to that shown in Fig. 10, where a TE<sub>2,1</sub> mode launcher [30] is shown feeding into a linear taper (tapering from a radius of 3.96 mm to 8.7 mm over a length of 50 mm), and connected to the ripple wall mode converter. The choice of linear taper geometry was to maintain mode purity of the TE<sub>2,1</sub> signal, having been confirmed by simulations in CST Microwave Studio. In order to perform transmission measurements, an identical taper and launcher are positioned on the opposing side of the ripple wall mode converter. The network analyzer was calibrated with its reference planes at the WR28 rectangular waveguide ports which connect to the input ports of the TE<sub>2,1</sub> launchers. As a result, the measurements incorporate the loss resulting from the TE<sub>2,1</sub> launchers.

The TE<sub>2,2</sub> mode has a cut-off frequency of 79.9 GHz within the TE<sub>2,1</sub> waveguide launcher, and therefore, cannot propagate within that structure. In order to examine the transmission performance of the ripple wall mode converter, sudden increases in the magnitude of the reflection parameters are

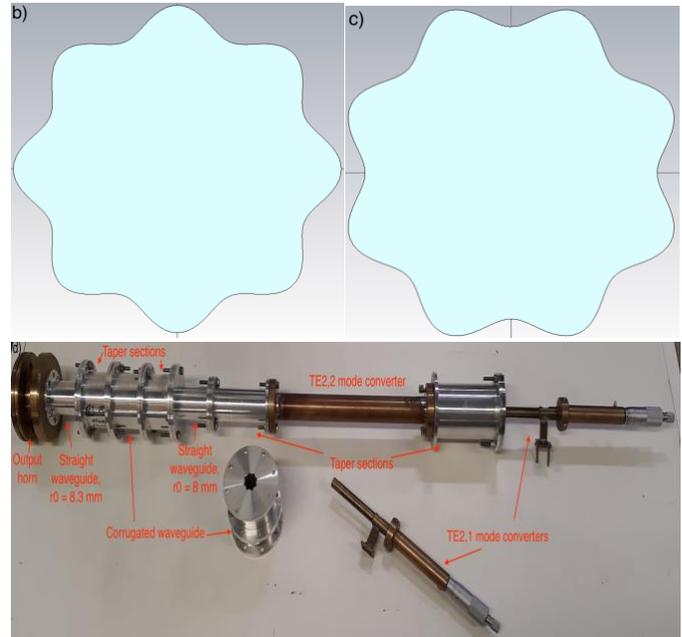
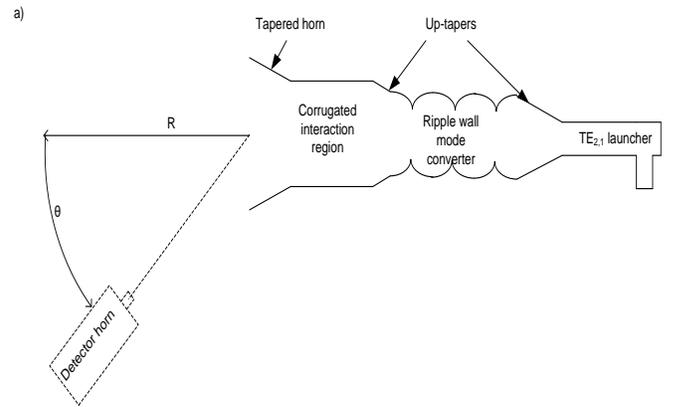


Fig. 12. Representations of a) a schematic of the experimental setup, and the corrugated waveguide orientated at b)  $\varphi = 0^\circ$ , c)  $\varphi = 22.5^\circ$ , and d) the launching apparatus. Also visible in d) is the corrugated waveguide.

identified. Such increases correspond to frequencies at which the converted TE<sub>2,2</sub> signal is reflected from the cut-off aperture at the far end of the ripple wall converter, and then reconverted to a TE<sub>2,1</sub> signal in its reverse transit. This signal will travel back to the incoming port and will therefore present itself as an increase in the reflected signal at that frequency and would be expected to correspond to a minimum in the transmission.

The measured transmission and reflection performance of one of the ripple wall mode converters is shown in Fig. 11. Here, the solid curve depicts the forward (S<sub>21</sub>) and reverse (S<sub>12</sub>) transmission behavior, while the dashed and dot-dash curves show the forward (S<sub>11</sub>) and reverse (S<sub>22</sub>) reflection performance, respectively. The transmission measurements demonstrate reciprocity.

Around 37.7-37.8 GHz, the transmitted signal shows a significant decrease in magnitude of -20 dB, associated with a high reflection signal, as one would expect for the intended

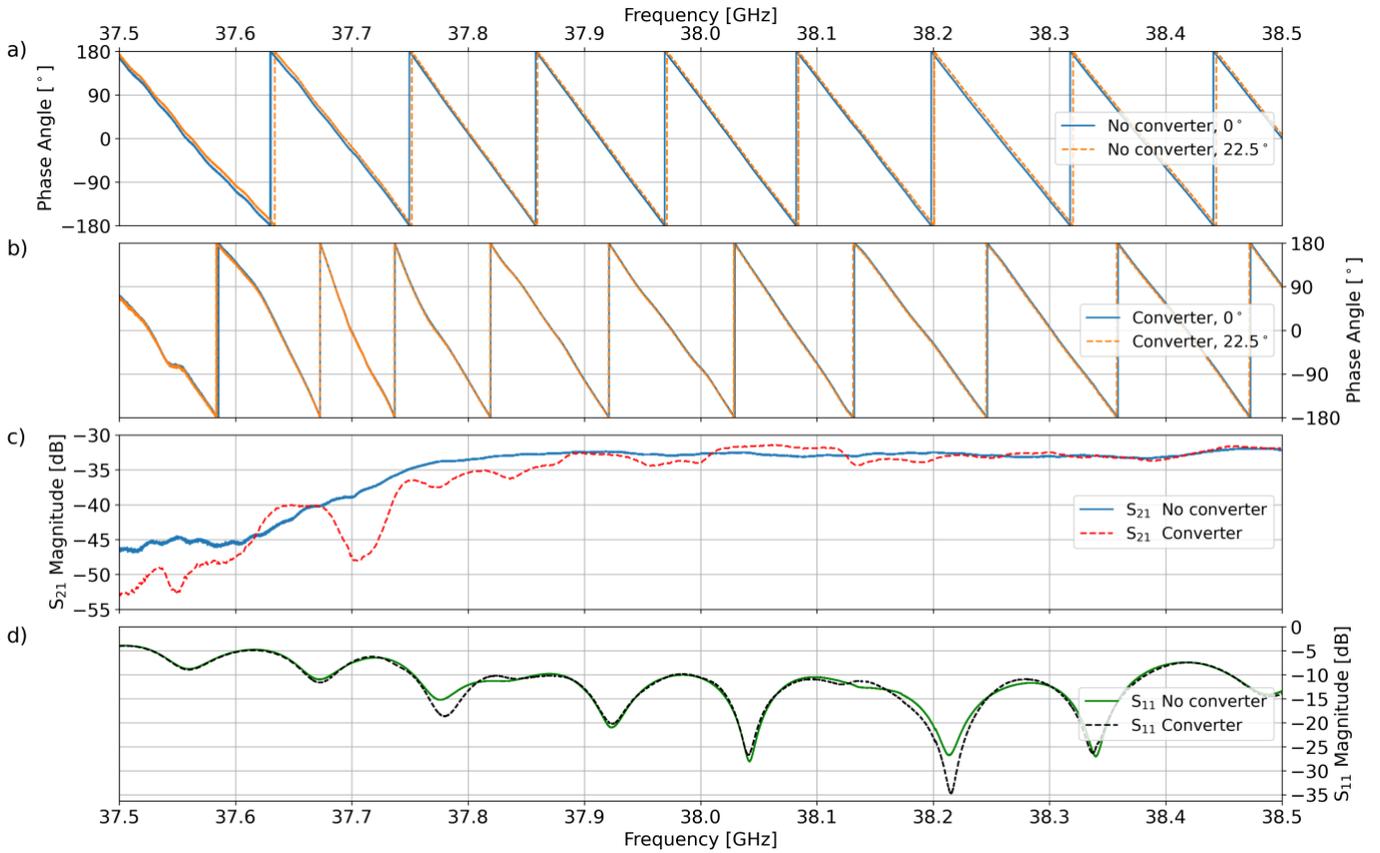


Fig. 13. Phase angle evolution of a) the  $TE_{2,1}$ , b) the  $TE_{2,1}$  signal and  $TE_{2,2}$  signals, after passing through the co-harmonic corrugated waveguide with ‘orthogonal’ polarizations, and c) transmission and d) reflection farfield S-parameter measurements for the co-harmonic corrugated waveguide both with and without the ripple wall mode converter. In a) and b), the two profiles overlap each other.

mode conversion process. At higher frequencies, there are small drops in the transmitted signal of -3 dB, indicating weak mode conversion to some higher order mode. The  $TE_{2,1}$  mode were previously measured in isolation and showed good transmission (5dB loss, due to signal being radiated through azimuthal slots designed to force coupling to the required mode) down to a frequency of 37.6 GHz [31]. Hence the sharp drop in the transmission signal shown in Fig. 11 at 37.7 GHz must be associated with the excitation of the  $TE_{2,2}$  mode in the converter. The bottom end of the conversion band nearly overlapped with the minimum frequency supported by the  $TE_{2,1}$  launchers, so one only sees a partial (and sharp) recovery in the transmission behavior as the frequency drops to 37.6 GHz.

#### IV. MILLIMETRE WAVE MEASUREMENTS OF THE AZIMUTHALLY CORRUGATED WAVEGUIDE

Following the successful demonstration of the generation of  $TE_{2,1}$  and  $TE_{2,2}$  modes, millimetre wave measurements of the co-harmonic corrugated waveguide could be conducted. This process was performed by fixing the position of a receiving antenna in the farfield and recording phase information from the open end of the cavity, which itself, was tapered (up in radius) to an above cut-off section of waveguide for the purpose of this test. In order to ensure the converted  $TE_{2,2}$  signal is not

close to the cut-off at the output aperture, a horn antenna was designed for the mode converter. The linearly tapered antenna has an input radius of 8.7 mm, output radius of 26.4 mm, and length of 50 mm. The experimental setup for this is shown in Fig. 12a, with the launching apparatus shown in Fig. 12d. Since the corrugation has an azimuthal period of  $45^\circ$ , measurements are conducted with the cavity aligned at orientations of  $0^\circ$  and  $22.5^\circ$ , with respect to the polarization of the  $TE_{2,1}$  launcher. The two orientations of the cavity are shown in Fig. 12b and c, respectively. This measurement is conducted both with the ripple wall mode converter, and without it, thus subjecting the cavity to both the  $TE_{2,1}$  and  $TE_{2,2}$  modes over the spectral range 37.6-37.8 GHz.

The resulting phase behavior for both cavity orientations is seen in Fig. 13, for the situation where the ripple wall mode converter is not present (Fig. 13a), and when the ripple wall mode converter is present (Fig. 13b).

For the case of the purely  $TE_{2,1}$  signal (Fig. 13a), the phase evolution is smooth across the entire frequency band, showing no significant change in gradient. When the ripple wall mode converter is installed (Fig. 13b), the gradient of the phase evolution clearly varies across the frequency range. In the region of 37.65-37.75 GHz, the phase evolution is much more rapid, implying the excitation of the  $TE_{2,2}$  mode which will be closer to cut-off (and therefore exhibit a more rapid phase

evolution with frequency) in the ripple wall mode convertor, the azimuthally corrugated waveguide and (weakly) the output taper. On examining the transmission S-parameters of the ripple wall mode converters (Fig. 11), this frequency range clearly corresponds to where the transmitted signal displays a significant drop in magnitude, corresponding to the excitation of the TE<sub>2,2</sub> mode.

That the cavity is being tested in the TE<sub>2,2</sub> mode around 37.7 GHz is further verified if we consider the amplitude component of the S-Parameters measured in the far field of the launching antenna with and without the ripple wall mode converter, as shown in Fig. 13c. Here, a clear dip in the S<sub>21</sub> parameter is evident around 37.7 GHz only when the ripple wall converter is installed (the detector was located in a near optimum location to measure radiation launched from the antenna in the TE<sub>2,1</sub> mode). In contrast, the profile observed without the ripple wall converter shows a progressive drop in signal as the TE<sub>2,1</sub> launcher approaches its minimum frequency. On considering the corresponding S<sub>11</sub> parameters (Fig. 13d), around 37.7 GHz, no major change is introduced by the rippled wall converter- implying that the energy has been radiated, but into a different (much wider) radiation pattern.

As a result, we can say with confidence that the TE<sub>2,2</sub> mode is excited at 37.7 GHz, ~100 MHz lower than its design frequency. Fig. 13c shows that the bandwidth of the coupling is ~50 MHz. Due to the tolerance of the CNC lathe in the machining of the aluminum former, we suspect the amplitude and period of the ripple within the ripple wall mode converter are not consistent along its length. As seen in Fig. 11., multiple instances of weak mode conversion are observed across the frequency span, indicating the imperfection in the construction of the mode converter. This behavior is within the sensitivity of the manufacturing tolerances discussed previously in section 3.1.

Critically in Fig. 13a and b, irrespective of the angular orientation of the azimuthally corrugated waveguide the phase evolution of the signal is completely unaffected, both in regions and conditions where the signal is in the TE<sub>2,1</sub> and TE<sub>2,2</sub> modes. This verifies that the dispersion of the quadrupole modes is insensitive to their relative polarization with respect to the structure of an eight-fold azimuthal corrugation in a waveguide.

## V. CONCLUSION

The insensitivity of the dispersion of a co-harmonic corrugated waveguide to the polarization of quadrupole (TE<sub>2,n</sub>) modes has been analyzed both numerically and experimentally. The sensitivity of the performance of ripple wall mode converters to the amplitude of their corrugation has also been calculated. The tight tolerances can reasonably account for minor discrepancies observed between the S-parameters predicted by CST Microwave Studio, and those measured experimentally. Although designed to operate at a frequency of

37.82 GHz, analysis of data demonstrated that the ripple wall mode converter converts to the TE<sub>2,2</sub> mode at a frequency of 37.7 GHz. However, since this is above the cut-off frequency of the co-harmonic corrugated waveguide and the TE<sub>2,1</sub> launching system, the insensitivity of the dispersion to the mode polarization has been demonstrated successfully.

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