

# 1 **Micro-structural and volumetric behaviour of bimodal artificial** 2 **soils with aggregates**

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## 17 **Highlights**

- 18 • The methodology employed is capable of producing soil aggregates, which can be used  
19 in different proportions to obtain diverse structures;
- 20 • Artificial soils with aggregates and simple, non-mineralogical variability and controlled  
21 double porosity structures;
- 22 • The presence of aggregates in different proportions has a direct impact on soil's:  
23 plasticity; classification; compaction curve; retention curve; pore size distribution;
- 24 • Soil shrinking process associated with the drying of the macro and microstructure could  
25 be separated and related with its retention curve;
- 26 • Contribution to a better understanding of the role of aggregates on the microstructural  
27 and volumetric behaviour of bimodal soils.



31 the trend of volumetric strain of the samples, from which it was possible to separate the soil  
32 shrinking process associated with the drying of the macro and microstructure and its  
33 relationship with the retention curve. Therefore, this study contributes to a better understanding  
34 of the role of aggregates on the microstructural and volumetric behaviour of bimodal soils.

35

### 36 **Keywords**

37 Bimodal soil; aggregates; kaolin; soil structure; pore size distribution; volume change.

38

## 39 **1. Introduction**

40 Soil aggregates are secondary units which results from the binding of several soil particles  
41 (Unger and Mccalla, 1980). Soil aggregates play a major role in the formation of soil structure,  
42 which in turn influences the soil's behaviour. The most well-known example of aggregates  
43 influence in the behaviour of soils comes from the field of geological repositories for nuclear  
44 waste disposal, where highly compacted bentonite blocks and mixtures of bentonite powder,  
45 pellets and sand are often used as the main engineered barrier systems protecting the  
46 groundwater and soil from contamination (Alonso et al., 2011; Gens et al., 2011; Hoffmann et  
47 al., 2007; Lloret et al., 2003; Sánchez et al., 2016).

48

49 However, aggregates are also observed in other contexts. Delage (2009) in discussion with  
50 Tarantino and De Col (2008) noticed that the distribution of bimodal pores in compacted  
51 Kaolin samples produced in their study was linked to the aggregates formed during the process  
52 of wetting and sieving carried out during the samples' preparation stage. Bagherieh et al. (2009)  
53 and Foong et al. (2016) made similar observations using similar procedures. In these cases the  
54 aggregates formed by the wetting and sieving procedures are easily destroyed. That is, they do

55 not affect the geotechnical characteristics of the material, such as grain size distribution curve,  
56 compaction curve and Atterberg limits.

57

58 Additionally, aggregates can also be found in natural bimodal soils (Mitchell and Soga, 2005;  
59 Ng et al., 2017; Wang et al., 2019, 2020). Nevertheless, in tropical residual soils this is a  
60 remarkable characteristic. The weathering process of residual soils, particularly in well-drained  
61 regions, promote the formation of soils aggregates with a bimodal pore structure characterized  
62 by a large difference between the dominant micro and macro pores (Cordão Neto et al., 2018;  
63 Futai and Almeida, 2005; Guimarães, 2002; Lopes, 2016; Miguel and Bonder, 2012; Otálvaro  
64 et al., 2016, 2015; Queiroz, 2015; Silva, 2007, 2009), where the micro-porosity is formed by  
65 intra-aggregate spaces and macro-porosity is formed by inter-aggregate spaces (Alonso, 1998;  
66 Alonso et al., 1999; Mitchell and Soga, 2005; Romero, 2013; Romero and Simms, 2008). In  
67 these cases, the aggregates have a high stability in water due to the presence of cementing  
68 agents.

69

70 Despite previous studies on bimodal soils with aggregates, it is not clear what are their effects  
71 on the soil's engineering behaviour. Inspired by pioneering work of Burland (1990), Bressani  
72 (1990), Maccarini (1987) and others, that turned to the creation of artificial soils to help in the  
73 understanding of fundamental soil behaviour, this study purposes the development of a  
74 methodology for producing soils with simple structures, non-mineralogical variability and  
75 controlled double porosity with aggregates. Thus, the characteristics of the artificial bimodal  
76 soils produced are discussed in microstructural terms by means of Mercury Intrusion  
77 Porosimetry (MIP), Scanning Electron Microscopy (SEM), Soil Water Retention curve  
78 (SWRC) and shrinking process.

## 79 **2. Methodology for producing soils with controlled double porosity**

80 Kaolin was the material selected here to be used in the production of a control double porosity  
81 soil, as this material is basically composed of Kaolinite mineral; therefore it is a pure and classic  
82 material with non-mineralogical variability also adopted in several studies based on artificial  
83 soils (Alazaiza et al., 2016; Bagherieh et al., 2009; Foong et al., 2016; Lopes, 2016;  
84 Morgenstern and Tchalenko, 1967; Pedrotti and Tarantino, 2014; Sa'ari et al., 2015; Serna,  
85 2012; Tarantino and De Col, 2008; Tarantino and Tombolato, 2005; Wheeler and Sivakumar,  
86 1995; Yu et al., 2016).

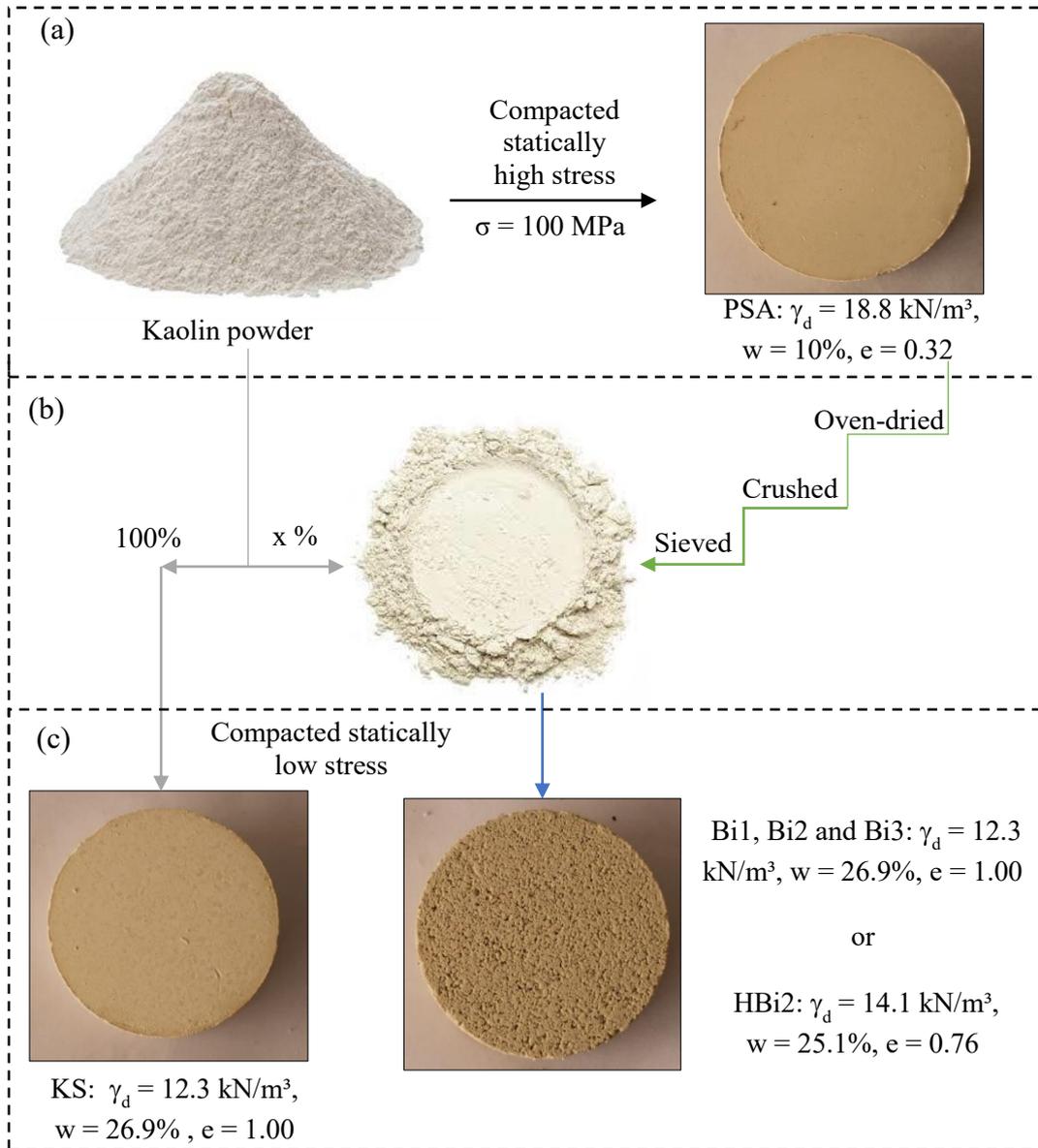
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88 The material used in the research was Kaolin 605-635, produced in Brazil. Some of the  
89 characteristics of the material include: liquid limit,  $w_L$ , of 54.6%, plastic limit,  $w_p$ , of 38.5%,  
90 resulting in a plastic index, PI, of 16.1%; specific gravity,  $G_s$ , of 2.54; the proportion of clay-  
91 sized (particle size smaller than 2  $\mu\text{m}$ ) is 55% while the proportion of silt-sized (particle size  
92 between 2  $\mu\text{m}$  and 75  $\mu\text{m}$ ) is 45%; this soil is classified as high plasticity silt (MH) according  
93 to the Unified Soil Classification System (USCS).

94

95 The methodology proposed for the development of a control double porosity material is  
96 presented in Figure 1 and consists of: (i) initial compaction of Kaolin at high stress - in order  
97 to generate a soil with stable aggregates (Figure 1a); (ii) remoulding new samples by mixing a  
98 pre-determined proportion of the sample created in Figure 1a, properly oven dried and crushed,  
99 with a proportion of the original supplied Kaolin (Figure 1b); (iii) new compaction of samples  
100 produced in Figure 1b at a new moisture content (Figure 1c). This methodology is described in  
101 detail in the following sections.

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108 **2.1 Preparation and characterization of artificial aggregates**

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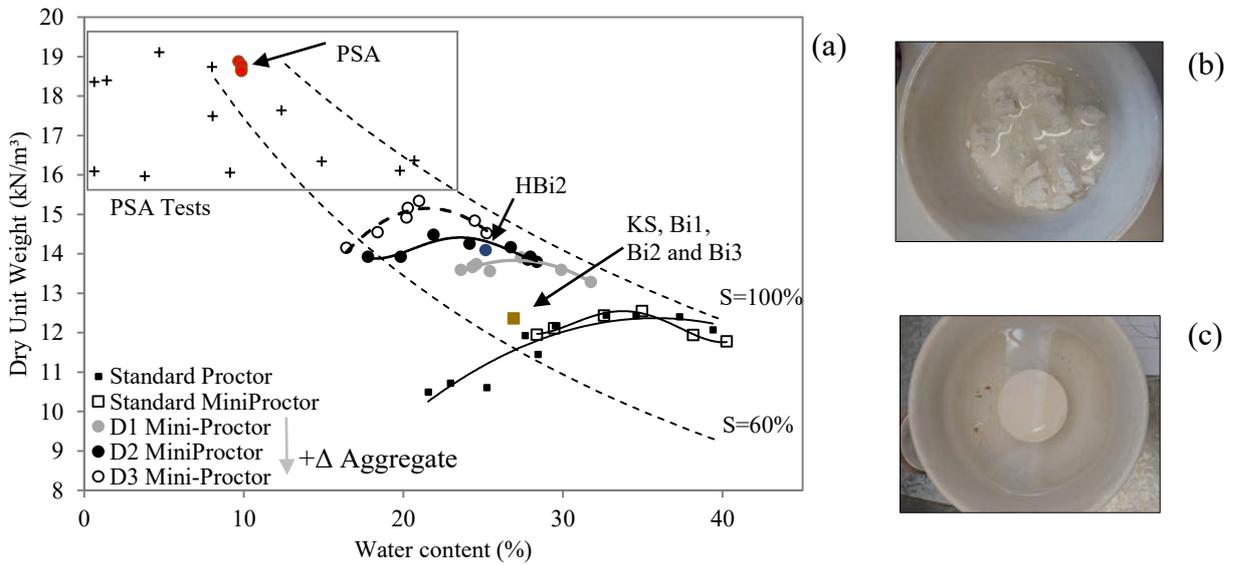
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Figure 1 – Methodology for preparing bimodal samples: (a) high pressure compaction stage; (b) mixing step in different aggregate proportions; (c) low pressure compaction to obtain bimodal samples.

As a starting point for obtaining artificial aggregates, compaction tests were performed using Standard Proctor and Mini Proctor (Villibor and Nogami, 2009) methodologies. The Kaolin powder was moistened and left to equilibrate for at least 24 hours before compaction. Figure 2a shows the compaction curves generated by these two methodologies; it can be observed a

113 good agreement between the compaction curves obtained with the Standard Proctor's energy  
 114 and the Mini Proctor test, which highlights the validity of the Mini Proctor test.

115



116 Figure 2 – (a) Compaction curves and stability tests to achieve stable microstructure; (b)  
 117 unstable sample when submerged in water; (c) stable sample when submerged in water.

118

119 For the sake of producing artificial soils with controlled aggregate content, it is appropriate that  
 120 the aggregates are stable when the material is immersed in water. This ensures that the  
 121 aggregates remained in the samples at other preparation stages. Thus, after compaction, the  
 122 stability of the samples was inspected by means of crumb tests (ASTM D6572, 2000), where  
 123 samples were kept immersed in water for 12 hours, double the recommended by the standard.  
 124 This test provides a simple and quick method for identifying dispersive clayey soil. Several  
 125 crumb tests were performed on specimens coming from static compaction at different stress  
 126 levels (20, 40, 50, 100 MPa) and water contents (1%, 3%, 5%, 8%, 10%, 15%, 20%).

127

128 The strain rate of 1.2 mm/min was applied. This was similar to that used by other authors in  
 129 the literature (Rahardjo et al., 2004; Venkatarama Reddy and Jagadish, 1993; Wheeler and

130 Sivakumar, 1995). However, in the case under study, the sample was kept under the final load  
131 for longer (30min) once the desired void ratio and stress level were reached. This decision was  
132 a precaution made because the stress applied here (100 MPa) is much greater than the  
133 conventional stress usually targeted. A time of 30 min was an extra measure used to ensure the  
134 stress and water content distribution within the sample were homogeneous or in the very least  
135 quasi-homogeneous, i.e., the excess pore-water pressure generated by the application of the  
136 load dissipated before load was released. In the event that the excess pore-water pressure does  
137 not dissipate before load was released an increase in sample volume, hence void ratio, should  
138 be observed. No significant changes in the sample volume after the compaction stage were  
139 observed (comparing the final void ratio obtained with the targeted void ratio, standard  
140 deviation of 0.01 and coefficient of variation of 4.4%).

141

142 The points in Figure 2a represented by cross symbols show non-stable samples (Figure 2b)  
143 while red circle points show the results of stable samples (Figure 2c) that were compacted  
144 under 100 MPa at 10% of water content. This compaction produced samples that were stable  
145 when immersed in water, here called Parent Sample of Aggregate (PSA), these samples had a  
146 dry unit weight of 18.8 kN/m<sup>3</sup>, a degree of saturation of 80% and a void ratio of 0.32 (Figure  
147 1a).

148

149 After obtaining a stable microstructure, the PSA samples were oven-dried at 105°C (Figure  
150 1b). In this temperature range there is no significant change in the kaolinite mineral (Caballero  
151 et al., 2019; Carneiro et al., 2003; Cheng et al., 2019). The oven-dried, crushed and sieved  
152 (sieve #10 – 2mm) material obtained from PSA samples are considered here the source of  
153 aggregates; and for this reason the word aggregates is here used as synonym for the material

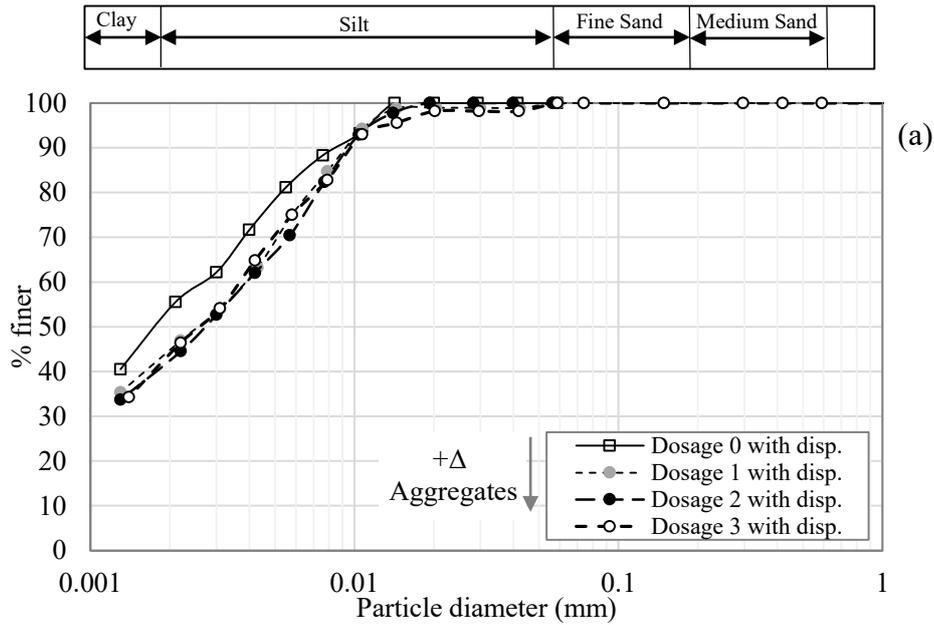
154 produced (PSA dried, crushed and sieved). Then, the material obtained was mixed with the  
155 originally supplied Kaolin powder in different proportions (Figure 1b). Three aggregate  
156 dosages were used. Dosage 1 had 100% of the mixed material passing through the 0.6 mm  
157 sieve, 80% through the 0.2 mm sieve and 60% through the 0.075 mm sieve. Dosage 2 reflected  
158 the grain size distribution of a bimodal soil provided by Otálvaro et al. (2015) without  
159 dispersant (100% passing through the 0.6 mm sieve, 57.8% through the 0.2 mm sieve and  
160 37.5% through the 0.075 mm sieve). Finally, dosage 3 had 40% of the mixed material passing  
161 through the 0.2 mm sieve and 20% through the 0.075 mm sieve. That is, dosage 1 had the  
162 lowest percentage of aggregate (40%) distributed between fine and medium sand-sized  
163 diameters, dosage 3 had a higher aggregate content (80%) and dosage 2 intermediate aggregate  
164 content (62.5%). That is, aggregate content is the amount of dried, crushed and sieved PSA  
165 sample material used in each dosage.

166

167 The grain size distribution curves of kaolin samples, with and without dispersant, with different  
168 aggregate contents are shown in Figure 3a and b, respectively. These graphs show a prominent  
169 difference of the grain size distribution curve of the Kaolin with aggregate when determined  
170 with and without dispersant. The grain size distribution curve of pure kaolin (dosage 0), without  
171 dispersant, shows no material of the size that conventionally characterises clay-sized particles;  
172 and stabilization of the curve in a diameter of 0.004 mm (Figure 3b). A higher percentage of  
173 clay-sized is observed in the grain size distribution curve of the same specimen (dosage 0) with  
174 dispersant (Figure 3a). This observation can be related to the effect of agglomeration and  
175 flocculation of clay-sized particles. This occurs due to electrostatic forces between the particles  
176 when immersed in an aqueous medium without a chemical dispersing agent, since this sample  
177 does not have aggregates.

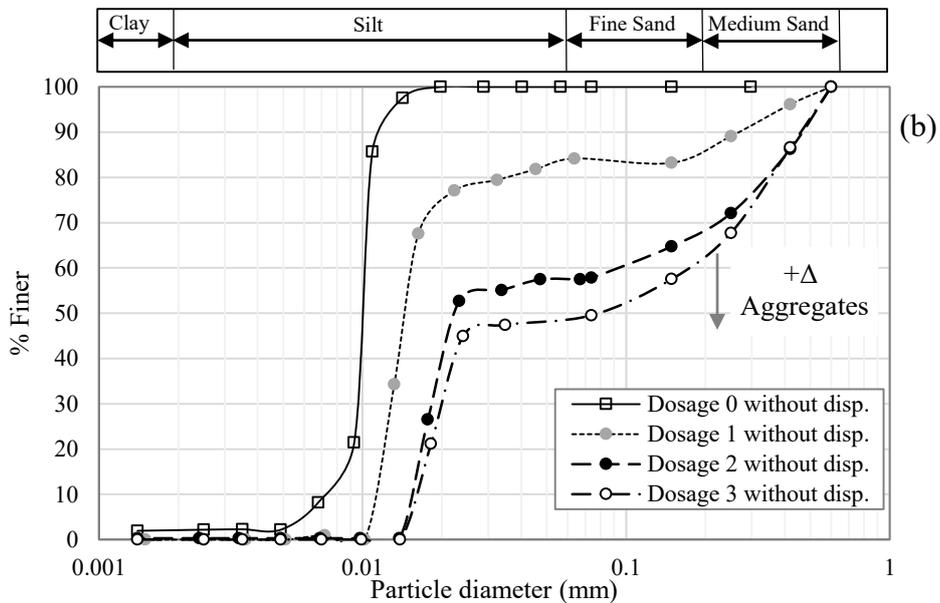
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183 Figure 3 – Particle size distribution of Kaolin: (a) with dispersant; (b) without dispersant.

184

185 As the aggregate content increases, there was a tendency for the curves without dispersant to

186 move to the right of the pure kaolin curve (dosage 0). That is, dosage 3 in the graph without

187 dispersant showed a larger sand-sized diameter compared to dosage 2, followed by dosage 1.

188 It is also apparent that the dispersant was able to eliminate the majority of aggregates. However,

189 when comparing the grain size distribution, determined with dispersant, of pure Kaolin and

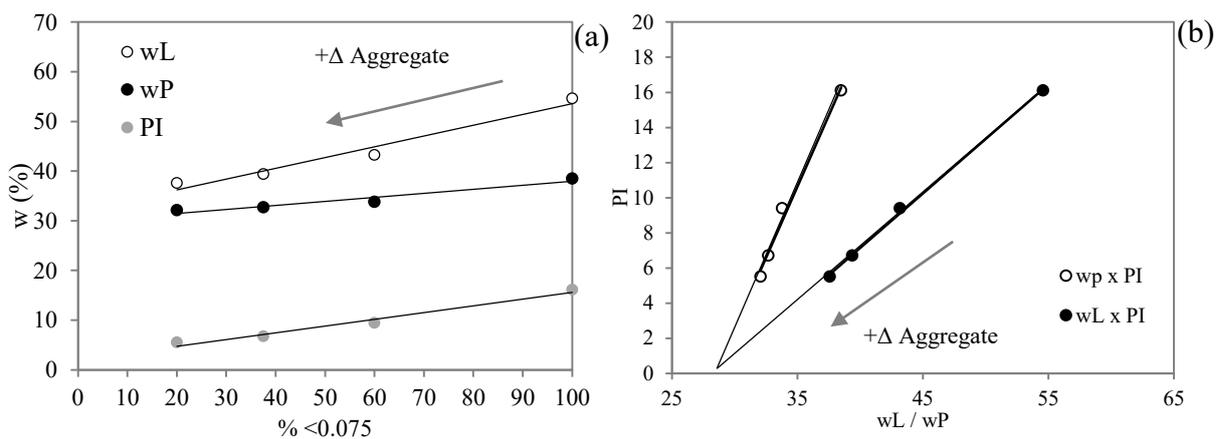
190 Kaolin with aggregates, it is observed that some of the aggregates created in the Kaolin with

191 aggregates samples were not completely wiped out. Perhaps the dispersing agent was not  
 192 enough to eliminate all aggregates formed in the initial compaction stage.

193

194 Atterberg limit tests were performed on all samples according to ASTM D4318 (2017). The  
 195 mixing prior to the liquid limit test was performed for 30 min. The plastic limit test was carried  
 196 out after the liquid limit test. The results are shown in Figure 4. Since the presence of aggregate  
 197 changed the soil consistency, it was observed that the increase in aggregates content generated  
 198 a decrease in the liquid limit and plasticity index (Figure 4a). Consequently, there was a  
 199 modification on the soil classification from MH to ML (USCS). Extrapolating the trend  
 200 observed in these three aggregate levels (Figure 4b), for a high aggregate content, there is  
 201 convergence between  $w_L$  and  $w_p$  lines, which results in the complete loss of the plasticity index,  
 202 similar to that observed in granular soils. Although the materials have the same mineralogy,  
 203 the presence of aggregate reduced the specific surface of the particles, hence reducing their  
 204 plasticity.

205



206 Figure 4 – Kaolin and Kaolin with aggregates: (a) Relationship between aggregate  
 207 proportions and Atterberg limits; (b) Relationship between Atterberg limits and plasticity  
 208 index.

209

210 Similar considerations were made by Sridharan et al. (1988) and Sridharan and Prakash (1998),  
211 who observed that the structure formed between particles affected the liquid limit. Along the  
212 same lines, Fearon and Coop (2000) observed an increase of the liquid limit and the plasticity  
213 index associated with the reconstitution of the sample and also with the energy and process of  
214 reconstitution. The authors observed significant differences between the Atterberg limits  
215 obtained depending on the method of reconstitution used: the standard procedure of hand  
216 mixing; passing the sample through a mixer; or passing it several times in a mincer. The  
217 observed tendency is an increase in the Atterberg limits with an increase in the energy use to  
218 reconstitute the samples. Similar to the tendency observed in this study, where an increase in  
219 aggregate content, which is the opposite to reconstitution, implicated in a decrease in the soil  
220 plasticity.

221

222 Regarding the compaction curves, it was noticed that the presence of kaolin aggregates  
223 generated a significant change in the compaction curve, with a decrease in the optimum water  
224 content and an increase in the dry unit weight (Figure 2a). Additionally, the shape of the  
225 compaction curve has narrowed with the increase of aggregate content.

226

## 227 **2.2 Compaction of bimodal samples**

228 These mixtures previously described (Figure 3) were used to obtain the compacted bimodal  
229 samples by means of static compaction at low stress. Two samples were produced from Dosage  
230 2: (a) A sample compacted at a low energy to approach the dry branch of the compaction curve  
231 without aggregates (Figure 2a). The water content of this sample was  $w = 26.9\%$  and the dry  
232 unit weight  $\gamma_d = 12.3 \text{ kN/m}^3$ . This sample is herein referred to as Bimodal sample (Bi2); (b) A

233 sample with aggregates produced with water content of 25.1% and dry unit weight of  $\gamma_d=14.1$   
 234  $\text{kN/m}^3$  (Figure 2a). This sample is herein referred to as High energy Bimodal sample (HBi2).

235

236 Two samples, compacted under the same water content and dry unit weight of Bi2, were  
 237 produced from Dosage 1 and 3, herein named Bi1 and Bi3 respectively (Figure 2a). Another  
 238 sample also compacted under the same water content and dry unit weight of Bi2, but now  
 239 produced out of only Kaolin, i.e., without the presence of aggregates, is denoted here as Kaolin  
 240 Sample (KS). Thus, four samples produced from different dosage mixtures were created,  
 241 compacted under the same water content and dry unit weight. In ascending order of aggregate  
 242 content, they are: KS, Bi1, Bi2 and Bi3. Table 1 summarizes the origin and compaction  
 243 conditions of the samples produced.

244

Sample	Origin	w (%)	$\gamma_d$ (kN/m <sup>3</sup> )	e
PSA	Pure Kaolin	10.0	18.8	0.32
KS	Pure Kaolin	26.9	12.3	1.00
Bi1	PSA and Pure Kaolin dosage 1	26.9	12.3	1.00
Bi2	PSA and Pure Kaolin dosage 2	26.9	12.3	1.00
HBi2	PSA and Pure Kaolin dosage 2	25.1	14.1	0.76
Bi3	PSA and Pure Kaolin dosage 3	26.9	12.3	1.00

245 Table 1 - Summary of origin and sample's compaction conditions.

246

247 In order to prepare the samples for compaction, this procedure was followed: The kaolin  
 248 material (Pure Kaolin – dosage 0; or a mixture of Pure Kaolin with PSA dried, crushed and  
 249 sieve – dosage 1-3) was moistened using distilled water. After that the moistened material was  
 250 sieved (sieve #10 – 2mm). The sieved material was stored in plastic bags for at least 24 hours  
 251 before compaction. Finally, the material was moulded and statically compacted at strain rates

252 of 1.2 mm/min until the defined dry unit weight was reached. Samples were extruded using a  
253 dummy cylinder head. The standard deviation of the moisture content obtained for Bi and PSA  
254 samples was 0.31 and 0.34 respectively, while the standard deviation for the dry unit weight  
255 for Bi and PSA samples was 0.16 and 0.18 respectively.

256

### 257 **2.3 Procedures for assessing the micro-structural behaviour**

258 Parent Sample of Aggregate (PSA), Bimodal Samples (Bi1, Bi2 and Bi3), High Energy  
259 Bimodal Sample (HBi2) and Kaolin Sample (KS) were characterized by their SWRC,  
260 shrinkage curve and PSD, complemented with SEM images.

261

262 The PSD of the specimens was measured using the MIP and qualitatively assessed by means  
263 of SEM images. Before carrying out the tests, specimens of 1 cm<sup>3</sup> in volume were prepared  
264 following freeze-drying. This technique consisted in applying rapid freezing with immersion  
265 in liquid nitrogen and then placing the specimen in a lyophilizer applying a pressure of 5 Pa  
266 and temperature of -50 °C for 24 hours. This methodology is reported by Delage and Lefebvre  
267 (1984) and Mitchell and Soga (2005) as the least disturbing freeze-drying procedure.

268

269 The SWRC was measured for the entire suction range using two testing procedures. To  
270 determine the SWRC, axis translation tests for suctions up to 500 kPa and dewpoint  
271 psychrometer (WP4C) for suctions above 500 kPa were used. Although the axis translation  
272 technique imposes matric suctions, and WP4C measures total suctions, both measurements  
273 showed a good agreement which allows the determination of one SWRC in terms of matric  
274 suction, in the same way performed by Tarantino and De Col (2008).

275 Fredlund (2002) integrated the shrinkage curve obtained by physical indexes (e versus w) with  
276 the SWRC (w versus s), and noted that the shape of the shrinkage curve in terms of suction is  
277 similar to the shape of the unimodal SWRC. Similar fittings of the shrinking process were also  
278 performed by Peng and Horn (2005) and Cornelis et al. (2006). To obtain the shrinkage curve  
279 (relationship between void ratio and water content), a caliper, an analytical balance and an oven  
280 were used. The water content obtained was converted into suction using Durner's fitting (1994)  
281 from a previously determined SWRC. This procedure is similar to that adopted by Otálvaro et  
282 al. (2016). Both, in the tests carried out for determining the SWRC, and in the tests performed  
283 for determining the shrinkage curves, after compaction, specimens were wetted until the  
284 capillarity saturation was reached and then they were submitted to drying paths. The capillary  
285 saturation was performed by placing a porous stone and filter paper at the base of the sample  
286 then the assembly was placed in an airtight container. Water added to the container comes into  
287 contact with the porous stone and the soil absorbs water by capillarity upwards. This procedure  
288 is similar to that adopted by Hird and Bolton (2017).

289

### 290 **3. Results and discussions**

#### 291 **3.1 Pore Size Distribution and Scanning Electron Microscopy**

292 The results of the MIP tests were adjusted using an equation proposed by Durner (1994) and  
293 adapted by Lopes et al. (2014) for bimodal soils. Figure 5a presents the results of the MIP tests  
294 obtained for KS, Bi1, Bi2 and Bi3 samples (all of these were compacted under the same  
295 conditions of water content and dry unit weight, having different aggregate content). The PSD  
296 shown in Figure 5b is obtained by deriving the cumulative intrusion curves, as suggested by  
297 Romero (1999). Figure 5c and d shows a comparative of samples prepared with the same

298 aggregate content but under different compaction conditions (water content, dry unit weight  
299 and void ratio).

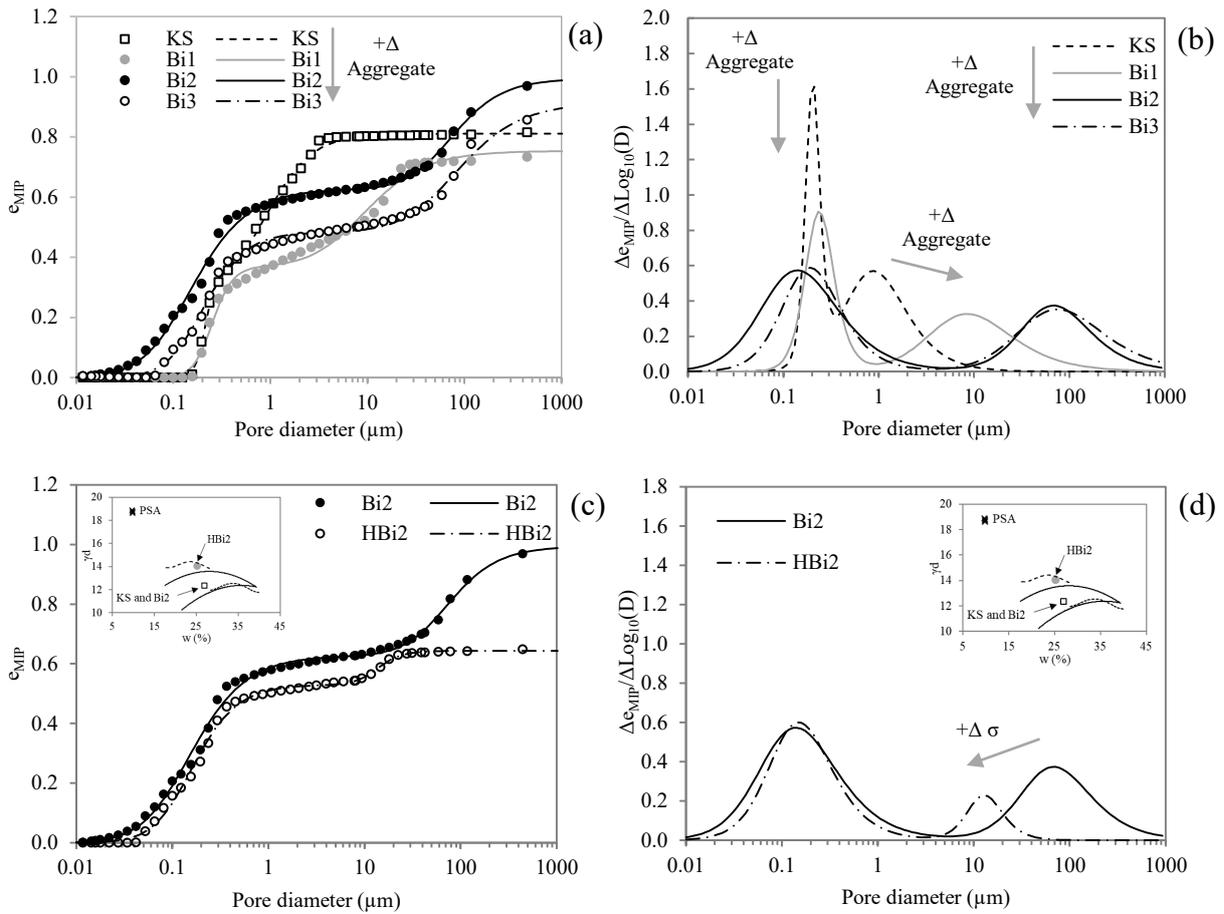
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301 The bimodal PSD of Bi2 and Bi3 samples observed in Figure 5b is unquestionable. The  
302 difference between the sizes of the dominant micro ( $\approx 0.1\mu\text{m}$ ) and macro pores ( $\approx 100\mu\text{m}$ ) in  
303 this samples reached 3 orders of magnitude. As the aggregate content increased, there was an  
304 increase in the size of the macro pores. On the other hand, in the micro pores range a higher  
305 frequency of pore diameters is observed, meanwhile the higher is the aggregate content the  
306 smaller are the diameters of micro pores noticed. In other words, it can be observed an increase  
307 in the bimodality of the samples as aggregate content rises. However, from Bi2 to Bi3 sample,  
308 despite an increase in the aggregate content, little change was observed in the macro pores  
309 region.

310

311 It is important to note that previous researches showed little or no change on the micro pore  
312 after compaction and oedometer tests (Cordão Neto et al., 2018; Otálvaro et al., 2016; Wang  
313 et al., 2020). The difference observed in this paper in the micro-pores range is due to the stress  
314 level applied to PSA samples (100 MPa), that is far above the conventionally applied values  
315 found in the literature. Additionally, samples with the same aggregate content but compacted  
316 under different conventional energy levels (Bi2 and HBi2) show the same micro pore size. The  
317 increase in compaction energy in this case leads to a decrease only at the macro-pore level  
318 (Figure 5d), as observed in the aforementioned researches.

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Figure 5 – MIP tests of KS, Bi1, Bi2 and Bi3: (a) Intrusion curve; (b) PSD; MIP tests of Bi2 and HBi2: (c) Intrusion curve; (d) PSD.

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The KS sample, which is composed of only pure Kaolin and was compacted in the same conditions ( $w = 26.9\%$ ,  $\gamma_d = 12.3 \text{ kN/m}^3$ ) as Bi2, presents slightly bimodal behaviour where the difference between macro and micro pore sizes are not significant. Similar results have been observed by other authors (Sivakumar et al., 2010; Tarantino and De Col, 2008; Thom et al., 2007) in samples of compacted kaolin, and in samples of reconstituted kaolin at low vertical stress levels (Lopes, 2016). At the same time, intermediate pore sizes (in the range of  $2.0 \mu\text{m}$ ) observed in the KS sample are not present in the Bi2 sample.

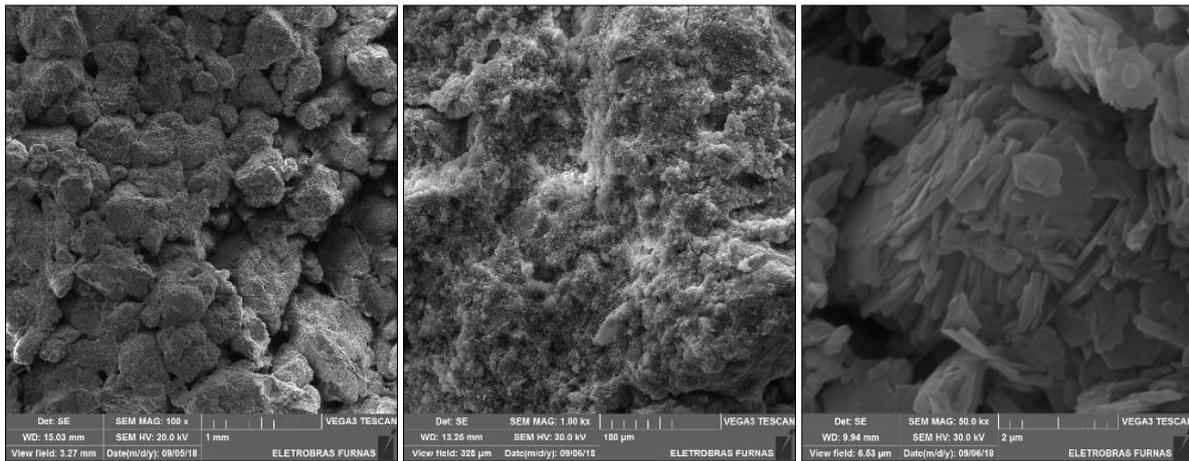
The void ratios obtained by the MIP are smaller than the void ratios of the samples. The difference is 0.06 for Bi2, 0.11 for HBi2, 0.26 for KS, 0.21 for Bi3 and 0.32 for Bi1 sample.

335 According to Romero and Simms (2008), this problem is usually observed in clayey soils due  
336 to the difficulty of the mercury in filling the smaller pores of the soil, non-intruded porosity.  
337 According to the authors, the differences between the void ratios can also be attributed to: (i)  
338 isolated pores surrounded by solids which are not intruded; (ii) some pores which are accessible  
339 only through smaller pores, i.e. they are not detected until smaller pores are penetrated,  
340 restricted porosity; (iii) the minimum pressure of the apparatus limits the smallest pore size to  
341 be detected, porosity not detected. Other researches have reported differences between the  
342 voids ratios obtained by MIP in relation to the void ratios of the samples (Cordão Neto et al.,  
343 2018; Lopes, 2016; Pedrotti, 2016). It is worth mentioning that some authors have also  
344 observed the occurrence of compression on soft samples during the mercury intrusion phase  
345 (Penumadu and Dean, 2000).

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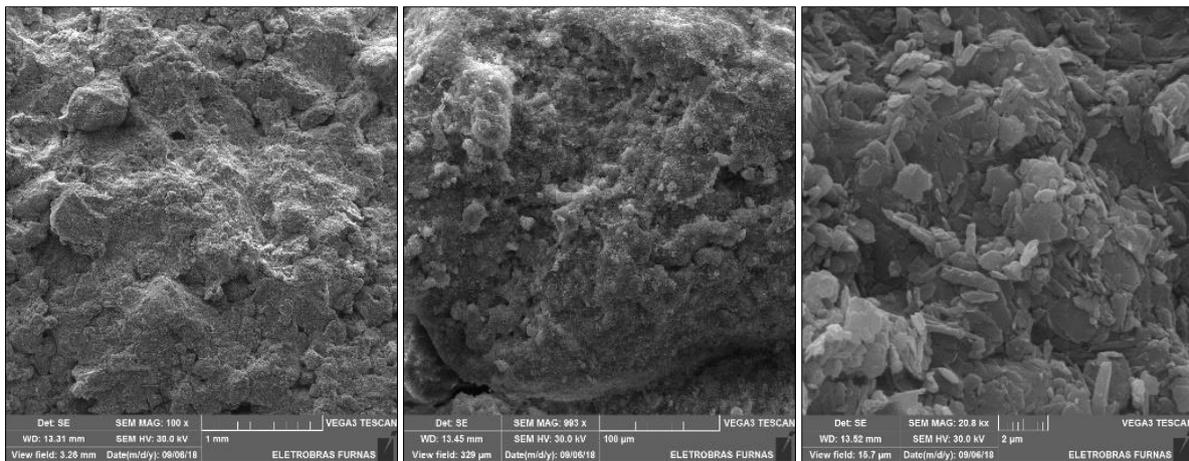
347 SEM imaging is a complementary method for analysing the soil's microstructure. Figure 6 and  
348 Figure 7 show SEM images of the bimodal Bi2 and HBi2 samples at different scales. From  
349 these figures, it is possible to recognise that Bi2 sample sustain a bimodal pore structures while  
350 the increase in the compaction energy is responsible for the reduction of the macro pores  
351 observed on the HBi2 sample (Figure 7). Considering the images of the HBi2 sample at the  
352 larger scale, it is possible to notice that the aggregates formed over the high-energy compaction  
353 have a predominantly face-to-face structure (Figure 7). Qualitatively, the images correspond to  
354 the dominant pore sizes presented in Figure 5. These SEM images validate the proposed  
355 methodology for preparing bimodal samples adopted in the research.

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357  
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Figure 6 – SEM images of Bi2 sample.



359  
360  
361

Figure 7 – SEM images of HBi2 sample.

362 **3.2 Soil Water Retention Curves**

363 The SWRC, expressed in terms of water ratio ( $e_w = V_w / V_s$ ), was adjusted using Durner's  
 364 (1994) equation, which is a generalization of the van Genutchen's equation (1980) for  
 365 multimodal soils. For bimodal soils:

366 
$$e_w = \frac{e_w^L}{[1 + (a_L \cdot s)^{n_L}]^{-1/n_L}} + \frac{e_w^S}{[1 + (a_S \cdot s)^{n_S}]^{-1/n_S}} \quad (\text{Eq. 1})$$

367 where:  $a$  and  $n$  are fitting parameters,  $s$  is suction, L and s subscriptions stand for large and  
 368 small respectively.

369

370 Table 2 presents the fitting parameters used to adjust the SWRC. Figure 8a shows the SWRC  
 371 of PSA, KS, Bi2 and HBi2, while Figure 8b shows the SWRC of KS, Bi1, Bi2 and Bi3 (in this  
 372 last figure all samples were compacted under the same condition of water content and dry unit  
 373 weight, having different aggregate contents).

374

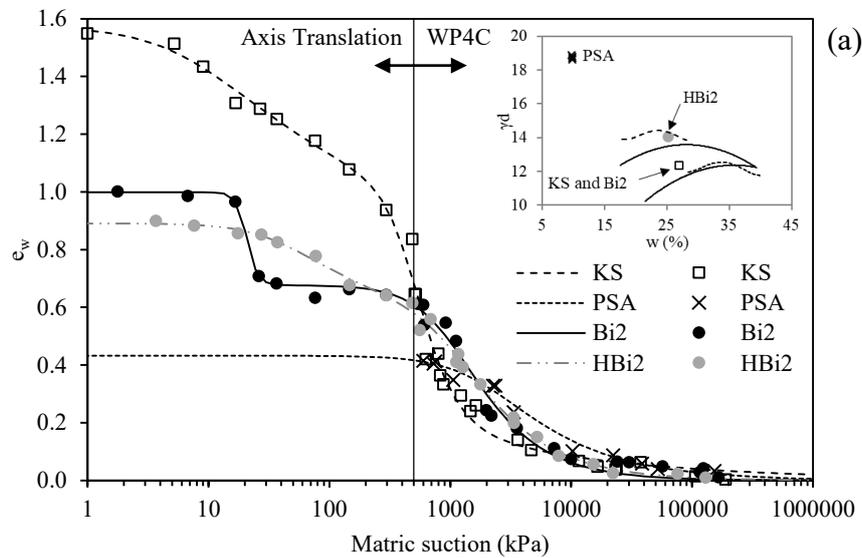
SWRC Fitting							Shrinkage curve Fitting						
	PSA	KS	Bi1	Bi2	Bi3	HBi2		PSA	KS	Bi1	Bi2	Bi3	HBi2
$R^2$	0.99	0.99	1.00	0.99	0.99	1.00	$R^2$	0.99	0.99	0.99	0.99	0.99	1.00
$e_w^L$	0.00	0.78	0.42	0.32	0.45	0.29	$\Delta e^L$	0.00	0.23	0.06	0.11	0.03	0.04
$a_L$	0.000	0.140	0.084	0.049	0.115	0.023	$b_L$	0.000	0.084	0.051	0.051	0.109	0.013
$n_L$	1.00	1.31	2.36	10.12	7.86	1.81	$p_L$	1.00	1.73	7.69	7.35	14.45	9.79
$e_w^S$	0.43	0.79	0.85	0.68	0.72	0.60	$\Delta e^S$	0.06	0.15	0.09	0.07	0.11	0.06
$a_S$	0.001	0.002	0.002	0.001	0.002	0.001	$b_S$	0.002	0.003	0.003	0.002	0.002	0.003
$n_S$	1.69	3.26	1.76	2.04	1.71	1.90	$p_S$	2.16	5.03	3.16	2.72	3.52	2.69
$e_{wsat}$	0.43	1.57	1.28	0.99	1.17	0.89	$e_{res}$	0.37	0.98	0.91	0.94	0.86	0.72
							$e_{sat}$	0.44	1.41	1.06	1.119	1.01	0.82

375 Table 2 – Fitting Parameter of SWRC and shrinkage curves.

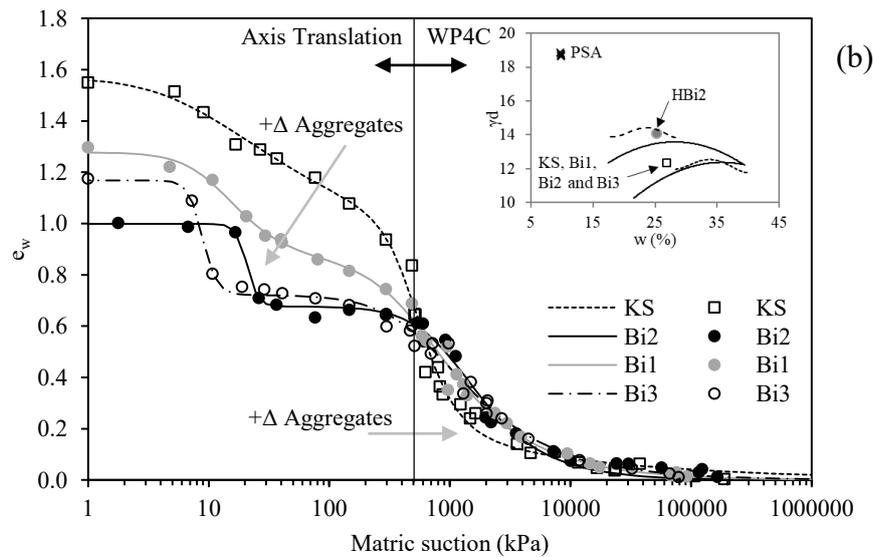
376

377 The significant difference between the SWRC of the KS and Bi2 samples confirms that the  
 378 proposed methodology is adequate for the creation of bimodal soils. Although both samples,  
 379 KS and Bi2, were compacted under the same conditions of water content and void ratio, the  
 380 presence of aggregates in Bi2 was determinant to produce a bimodal structure. In fact, for Bi2  
 381 sample (Figure 8a), water starts to drain out of the macro pores at a suction of 15 kPa and from  
 382 the micro pores at 1500 kPa. On the other hand, in the KS sample it is not possible to clearly  
 383 identify the level in which the draining within the macro pores ends and the draining amongst  
 384 the micro pores begins (Figure 8a). In contrast, the higher density imposed on the compaction  
 385 of the HBi2 sample reduced the size of the macro pores and the bimodal shape of the SWRC

386 is less evident. While the SWRC of the PSA sample presents unimodal characteristics due to  
 387 the high energy applied during its constitution (100 MPa).



388



389

390 Figure 8 – Soil Water Retention Curve: (a) PSA, KS, Bi2 and HBi2; (b) KS, Bi1, Bi2 and  
 391 Bi3.

392

393 The SWRCs show that the origin of the double porosity of the samples is considerably  
 394 influenced by the presence of aggregates (Figure 8b). The trend is that the distance between  
 395 macro and micro pores increases with the rise in the aggregate content, which is highlighted by  
 396 the intermediate plateau of the SWRC of samples with aggregates. The suction value related to

397 the air entry value of the macro pores show a decrease with the increase in aggregate content,  
 398 and the micro pores a decrease in size caused by primary compaction process.

399

400 As the void ratio of the samples after the compaction process is the same (the only thing that  
 401 differentiates the samples is the aggregate content), it is understood that the decrease in the  
 402 diameters of micro pores with primary compaction and the formation of aggregates generated  
 403 an increase in the macro pores of the samples. In other words, a redistribution of the pores  
 404 within the samples took place because the particles are now in an aggregated form. However,  
 405 it is important to note that the presence of aggregates decreased the expansive potential of the  
 406 samples, which also affects the redistribution of pores during the wetting and drying processes.  
 407 The issues related to volumetric variation will be dealt with in the following section.

408

### 409 3.3 Volumetric behaviour and proposed shrinkage equation

410 The volumetric behaviour of the samples was evaluated during the wetting and drying process.  
 411 Table 3 presents volumetric deformations, considering: the wetting phase, the drying phase and  
 412 the total deformation.

413

Sample	$e_{initial}$	$e_{sat}$	$\epsilon_{wetting} (\%)$	$e_{final}$	$\epsilon_{drying} (\%)*$	$\epsilon_{total} (\%)**$
PSA	0.31	0.49	-13.5	0.37	7.8	-4.7
KS	1.02	1.33	-15.3	0.99	14.4	1.3
Bi1	1.01	1.06	-2.9	0.90	7.9	5.2
Bi2	1.01	1.10	-4.5	0.94	7.6	3.5
Bi3	1.00	1.00	-0.3	0.85	7.6	7.4
HBi2	0.75	0.82	-3.7	0.72	5.5	2.0

414 \*the initial void ratio considered is the  $e_{sat}$ , \*\*the initial void ratio considered is  $e_{initial}$ .

415 Table 3 – Void ratios and volumetric deformations of samples subjected to wetting and drying.

416 The PSA and KS samples, when compared to the samples with aggregates (Bi1, Bi2, Bi3 and  
417 HBi2) present higher expansion once saturated while presenting higher shrinkage when drying  
418 (Table 3). This observation shows that (i) the presence of aggregates decreases the expansion  
419 and shrinkage, for wetting and drying respectively. And these reductions are also related with  
420 (ii) the reduction in the plasticity of Bi samples and eventually with (iii) the reduction on the  
421 specific surface which in turn is a product of the formation of aggregates. When comparing  
422 Bi2 and HBi2 samples (Table 3), the increase of energy during the secondary compaction  
423 process led to a reduction of the expansion potential of the HBi2 sample.

424

425 In terms of total volumetric deformation, it is observed that the PSA sample showed more  
426 expansion than shrinkage, which resulted in a negative total volumetric deformation, and non-  
427 recoverable deformations. The opposite is observed in the other samples, in which the final  
428 total deformation is positive, indicating more shrinkage than expansion, which is related to the  
429 structure formed during the compaction processes.

430

431 Thus, in samples with aggregates, during wetting, there is an expansion of aggregates  
432 generating a closure of macro pores with a slight variation in the total volume of the sample.  
433 The same occurs during the drying process, with shrinkage of aggregates and small variation  
434 in total volume. This behaviour agrees with the analyses carried out by Romero (2013), which  
435 demonstrated that the expansion of the microstructure by wetting can alter the macrostructure,  
436 that is, there is an interaction between the different levels of structure (invasion or retraction of  
437 macro pores due to expansion or shrinkage of micro pores). The observations here reported are  
438 also in line with Alonso et al. (1999), who showed a trend of volumetric variation caused by  
439 the expansion of the microstructure.

440 Regarding the shrinkage curves of the samples (Figure 9), a fitting equation based on Durner  
441 (1994)'s proposal, with the intention of describing the shrinkage path of the soils, is suggested  
442 for relating the void ratio of the sample to the suction during the drying path.

443

$$444 \quad e = \frac{\Delta e^L}{\left[1 + (b_L \cdot s)^{p_L}\right]^{-1/p_L}} + \frac{\Delta e^S}{\left[1 + (b_S \cdot s)^{p_S}\right]^{-1/p_S}} + e_{res} \quad (\text{Eq. 2})$$

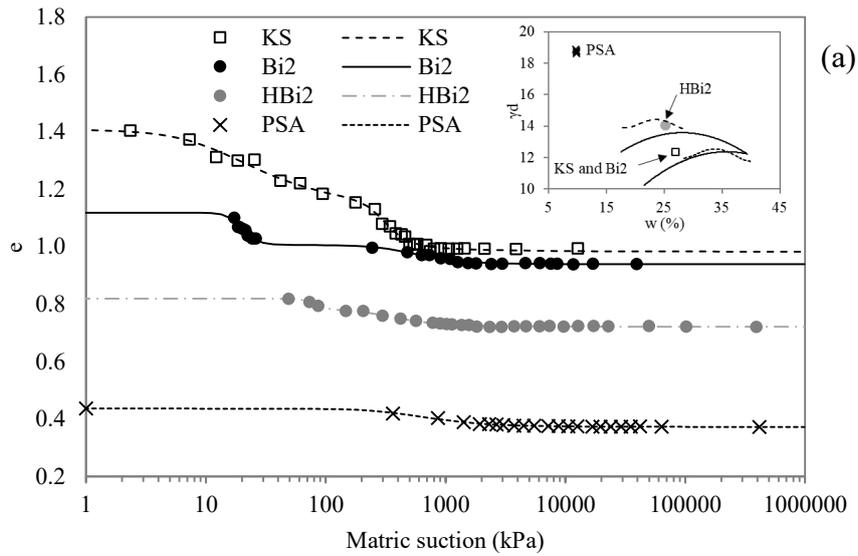
445

446 where  $b$  and  $p$  are fitting parameters,  $e_{res}$  is the residual void ratio when reaching the non-  
447 deformable state on drying,  $\Delta e^L$  and  $\Delta e^S$  represent the variation of voids ratio provided by the  
448 drying of the macro and micro pores, respectively.

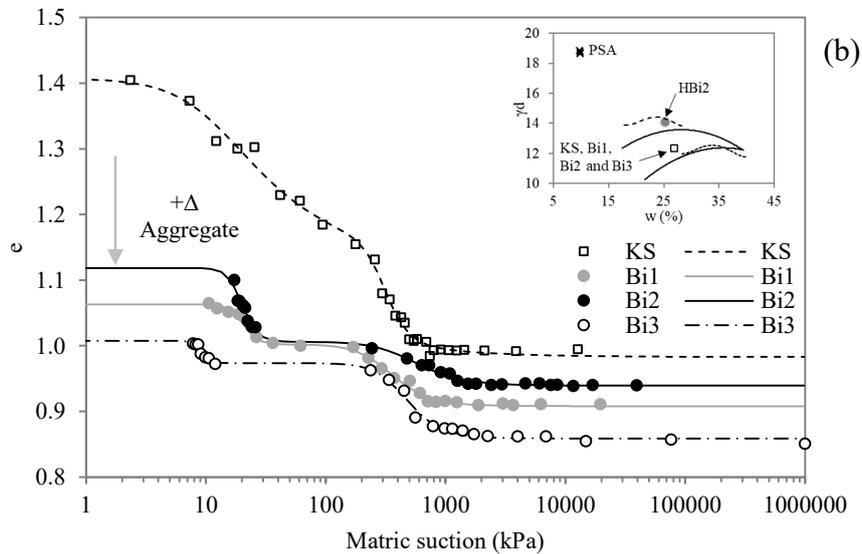
449

450 Table 2 shows the fitting parameters used to adjust the shrinkage curve. It is important to point  
451 out that the parameter  $a_L$  (from SWRC) are close to the values of the fitting parameter  $b_L$  on  
452 the corresponding shrinkage curve. The same observation could be drawn for the parameters  
453 related to the drainage of the micro pores ( $a_s$ ) and starting of the shrinkage, as a result of the  
454 drying of the micro pores ( $b_s$ ). The residual void ratio ( $e_{res}$ ) is that obtained after the shrinking  
455 limit of the soil, in which there is no more volumetric variation. Finally, the sum of the residual  
456 void ratio ( $e_{res}$ ) with the variation of the void ratio due to the shrinkage of the micro pores ( $\Delta e^S$ )  
457 and the variation of the void ratio due to the shrinkage of the macro pores ( $\Delta e^L$ ) must be equal  
458 to the voids ratio of the saturated soil ( $e_{sat}$ ).

459



460



461

462 Figure 9 – Shrinkage curve of samples: (a) PSA, KS, Bi2 and HBi2; (b) KS, Bi1, Bi2 and  
 463 Bi3.

464

465 The shrinkage curve of the PSA sample is fitted unimodally. As a result, equation 2 is reduced  
 466 to two terms, as in the case of unimodal fittings one of the  $\Delta e$  becomes zero, and the fitting  
 467 parameters  $b$  and  $p$  related to this term become obsolete. The remaining samples are fitted with  
 468 the bimodal equation. In general, all fittings prove that the shrinkage fitting equation is quite  
 469 effective in reproducing the shrinkage paths during drying ( $R^2$  ranging from 0.99 to 1.00).

470

471 The shrinking behaviour occurs at different ranges of suction and is directly related to drainage  
472 of the macro and micro pores as represented by their SWRCs. Four shrinkage curves and their  
473 respective SWRC are presented: PSA (Figure 10a), Bi2 (Figure 10b), KS (Figure 10c) and Bi3  
474 (Figure 10d). Indeed, regarding the bimodal samples the shrinking begins with the drainage of  
475 water from the macro pores and then continues with the drainage of the water from the micro  
476 pores. For example, for Bi2 sample (Figure 10b), it is observed that between suction of 30 and  
477 200 kPa there is no drainage of water from the pores and, therefore, in this range there is no  
478 shrinking of the sample, as demonstrated by the experimental shrinkage data and corresponding  
479 fitting curve (Figure 10b). Similar observations can be drawn for other bimodal samples. On  
480 the other hand, the PSA sample characterised by unimodal SWRC shows shrinking beginning  
481 on the same suction range where the pore drainage begins (Figure 10a).

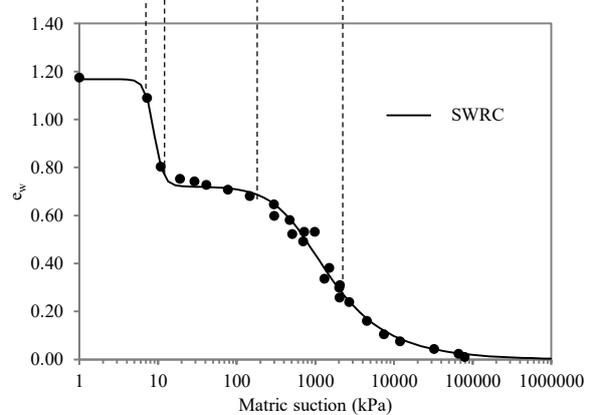
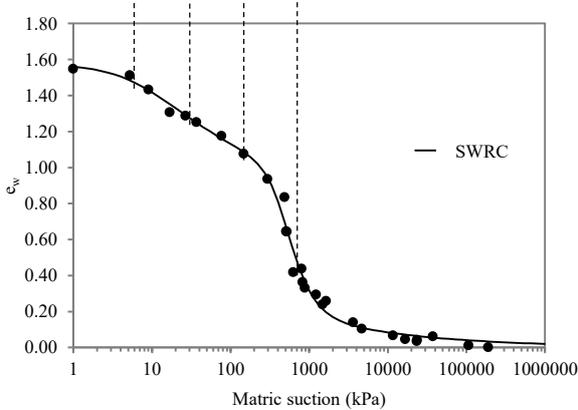
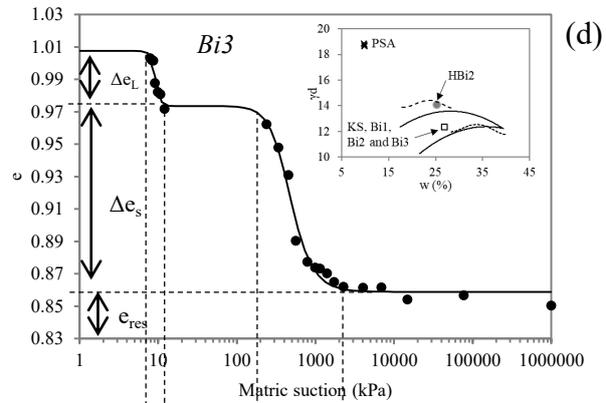
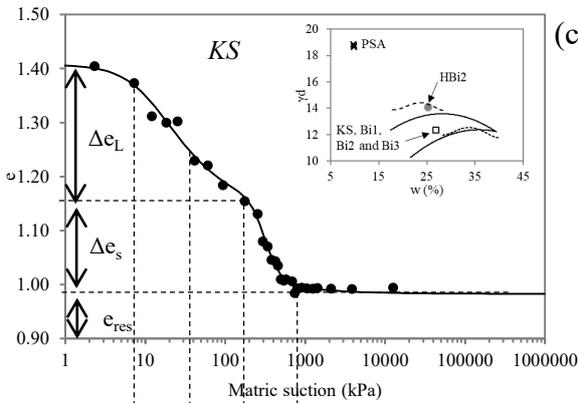
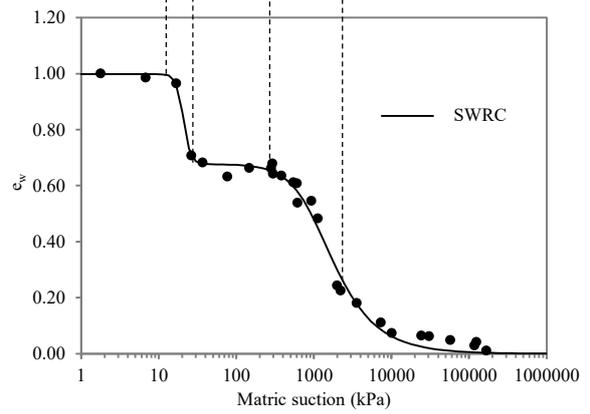
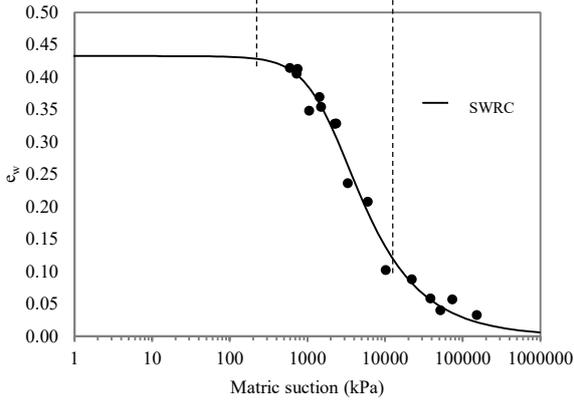
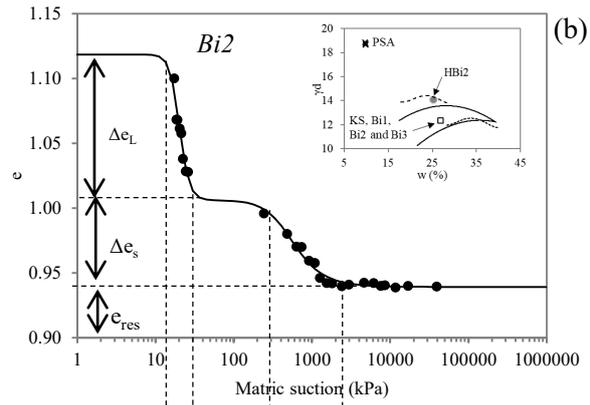
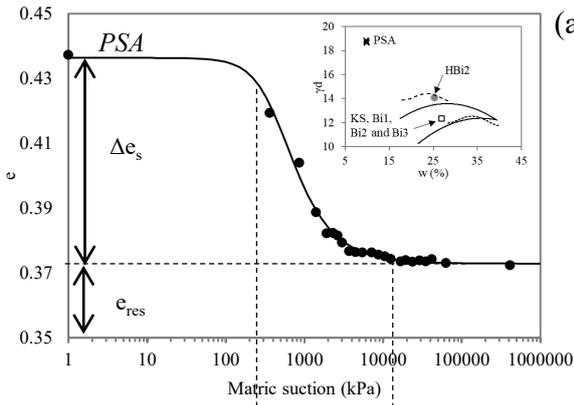
482

483 In all cases, shrinking within the micro pores occurs approximately halfway up the drainage  
484 section of the micro pores, until the samples reach a residual void ratio. This is due to the fact  
485 that shrinking is motivated by the increase of suction hence decrease of water meniscus in the  
486 soil. It appears that in the case under study, after half of the drainage of the micro pores, the  
487 water will no longer be in its capillary form, it is now immobile - only present in the form of  
488 meniscus, thus stopping soil shrinkage (Lu, 2016; Lu and Dong, 2017; Tuller and Or, 2005).

489

490 Based on the proposed fitting equation and the data presented in Table 2, it is possible to  
491 separate the variation in voids ratio associated with the drainage of micro and macro pores  
492 during the drying process, as well as to determine which suction values are thresholds  
493 responsible for the process of shrinkage of the samples.

494



495

496

497

498

499

500

Figure 10 – (a) Shrinkage curves applied to proposed fitting equation, together with SWRC and interest points: (a) unimodal sample PSA; (b) bimodal sample Bi2; (c) KS; (d) Bi3.

## 501 **4. Conclusions**

502 Previous researches have recognised that the soil structure is one of the key soil elements that  
503 needs to be examined to allow for a fully comprehensive understanding of its behaviour. In the  
504 interest of contributing to a better understanding of the role of aggregates on the microstructural  
505 behaviour of bimodal soils, this paper described a new methodology for producing soils with  
506 simple - non-mineralogical variability and controlled double porosity - structures.

507

508 The methodology proposed consisted in creating aggregate by compacting Kaolin at high  
509 stresses (100MPa). The product of this sample was then oven-dried, crushed, sieved and mixed  
510 in different proportions with additional Kaolin powder to create samples with different  
511 aggregate content. Thus, four samples were produced and compacted under the same  
512 conditions of water content, dry unit weight and void ratio, having different aggregate contents  
513 (0, 40, 62.5 and 80%). An additional sample, with 62.5% aggregate content was compacted  
514 targeting lower water content and void ratio and higher dry unit weight.

515

516 The aggregates within the samples created were stable in water, which is crucial to ensure that  
517 aggregates remained in the samples at other preparation stages. This also allows to control the  
518 aggregates content and the double porosity. This stability was observed when comparing the  
519 grain size distribution of the different aggregate content samples without dispersant. Another  
520 important observation to be highlighted is that although the materials have the same  
521 mineralogy, the presence of aggregates reduced the specific surface of the particles, hence  
522 reducing their plasticity. This has also led to a decrease in expansivity with an increase in  
523 aggregate content. Additionally, the increase in aggregate content have also promoted change  
524 in the soil classification and compaction curve.

525 The samples created were assessed microstructurally by means of Soil Water Retention Curves  
526 (SWRC) and Pore Size Distribution (PSD), as well as qualitatively by Scanning Electron  
527 Microscopy (SEM) images. A relevant characteristic of the soils obtained with the proposed  
528 methodology is the bimodality. The difference between the sizes of the dominant micro and  
529 macro pores reached 3 orders of magnitude. It was observed that the increase in the size of  
530 dominant macro pores is associated with the increase in aggregate content up to a point, since  
531 no significant change in the dominant macro pores were observed between samples with  
532 aggregate content of 62.5 and 80%. Furthermore, the increase in compaction density led to a  
533 reduction in the difference between the size of dominant micro and macro pores together with  
534 a slight change in the size of micro pores.

535

536 The microstructural behaviour of the bimodal soils was also studied through their shrinkage  
537 curves. These curves showed that the presence of aggregates decreased the expansion and  
538 shrinkage of the samples. Moreover, in terms of suction, the shrinkage curves show tendency  
539 of shrinking similarly to the drainage observed in the SWRC. Based on these results, a fitting  
540 equation based on the soils' volumetric strain behaviour was developed fitting successfully the  
541 experimental shrinkage data.

542

543 Additionally, it is important to highlight that the methodology proposed for preparing bimodal  
544 soils produces soils with aggregates and non-mineralogical complexity (i.e., macro pores and  
545 aggregates are both made out of Kaolin material), which emphasises difference between micro  
546 and macro structure. This characteristic could be extremely useful for differentiating the effect  
547 of the soil microstructure on its macroscopic behaviour. The next stages of the research involve

548 assessing the hydro-mechanical characteristics of these artificial bimodal soils as well as the  
549 effects that the double porosity caused on them.

550

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558

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1 **Micro-structural and volumetric behaviour of bimodal artificial**  
2 **soils with aggregates**

3  
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16

17 **Abstract**

18 ~~Tropical residual~~ ~~b~~ bimodal soils with aggregates present a complex micro-structural and  
19 volumetric hydro-mechanical behaviour, ~~which is related to the presence of aggregates and~~  
20 ~~elaborate structure. This occurs because Behavioural models for these soils are difficult to~~  
21 ~~develop since~~ they showcase several variables, mainly such as mineralogical, different grain  
22 sizes and structures. ~~and structural.~~ The objective of this paper is to develop a methodology  
23 for producing soils with aggregates and simple, non-mineralogical variability and controlled  
24 double porosity ~~structures representative of those of tropical residual soils, in which the~~  
25 ~~bimodal pore structure is characterized by a large difference between the dominant micro and~~  
26 ~~macro pores.~~ In this sense, aggregates using Kaolin ~~clay~~ were created to obtain bimodal  
27 samples. The aggregates produced with this methodology were stable in water and the bimodal  
28 samples were analysed by means of Mercury Intrusion Porosimetry (MIP), Scanning Electron  
29 Microscopy (SEM), Soil Water Retention Curve (SWRC) and analysis of the shrinking  
30 process. Results show that the presence of aggregates in different proportions has a direct

31 impact on soil's: plasticity; classification; compaction curve; retention curve; as well as the  
32 pore size distribution (PSD), where ~~great difference in the sizes between dominant~~ micro and  
33 macro pores were observed. The shrinking and expansion analysis of the samples show that  
34 the presence of aggregates reduced both the expansive and shrinking potential. During the  
35 analysis of the shrinking process a fitting equation is presented to describe the trend of  
36 volumetric strain of the samples, from which it was possible to separate the soil shrinking  
37 process associated with the drying of the macro and microstructure and its relationship with  
38 the retention curve. Therefore, this study contributes to a better understanding of the role of  
39 aggregates on the microstructural and volumetric behaviour of ~~tropical residual~~bimodal soils.

40

#### 41 **Keywords**

42 Bimodal soil; aggregates; kaolin-~~clay~~; soil structure; pore size distribution; ~~residual soils~~;  
43 volume change.

44

#### 45 **1. Introduction**

46 Soil aggregates are secondary units which results from the binding of several soil particles  
47 (Unger and McCalla, 1980). Soil aggregates play a major role in the formation of soil structure,  
48 which in turn influences the ~~hydro-mechanical~~soil's behaviour-~~of soils~~. The most well-known  
49 example of aggregates influence in the ~~hydro-mechanical~~ behaviour of soils comes from the  
50 field of geological repositories for nuclear waste disposal, where highly compacted bentonite  
51 blocks and mixtures of bentonite powder, pellets and sand are often used as the main engineered  
52 barrier systems protecting the groundwater and soil from contamination (Alonso et al., 2011;  
53 Gens et al., 2011; Hoffmann et al., 2007; Lloret et al., 2003; Sánchez et al., 2016).

54

55 However, aggregates are also observed in other contexts. Delage (2009) in discussion with  
56 Tarantino and De Col (2008) noticed that the distribution of bimodal pores in compacted  
57 Kaolin samples produced in their study was linked to the aggregates formed during the process  
58 of wetting and sieving carried out during the samples' preparation stage. Bagherieh et al. (2009)  
59 and Foong et al. (2016) made similar observations using similar procedures. In these cases the  
60 aggregates formed by the wetting and sieving procedures are easily destroyed. That is, they do  
61 not affect the geotechnical characteristics of the material, such as grain size distribution curve,  
62 compaction curve and Atterberg limits.

63  
64 Additionally, aggregates can also be found in natural bimodal residual soils (Mitchell and Soga,  
65 2005; Ng et al., 2017; Wang et al., 2019, 2020). Nevertheless, in tropical residual soils this is  
66 a remarkable characteristic. The weathering process of tropical residual soils, particularly in  
67 well-drained regions, promote the formation of soils aggregates with a bimodal pore structure  
68 characterized by a large difference between the dominant micro and macro pores (Cordão Neto  
69 et al., 2018; Futai and Almeida, 2005; Guimarães, 2002; Lopes, 2016; Miguel and Bonder,  
70 2012; Otálvaro et al., 2016, 2015; Queiroz, 2015; Silva, 2007, 2009). ~~In these cases, the~~  
71 ~~aggregates have a high stability in water due to the presence of cementing agents.,~~ where the  
72 micro-porosity is formed by intra-aggregate spaces and macro-porosity is formed by inter-  
73 aggregate spaces (Alonso, 1998; Alonso et al., 1999; Mitchell and Soga, 2005; Romero, 2013;  
74 Romero and Simms, 2008). In these cases, the aggregates have a high stability in water due to  
75 the presence of cementing agents. This double porosity feature has been observed in several  
76 studies, either when analysing the water retention or the pore size distribution (PSD) curves

78 ~~Natural tropical residual soils have several complexities related with the high variability of~~  
79 ~~geotechnical properties resulting from the process of chemical weathering, and the presence of~~  
80 ~~different minerals and cementing agents such as iron and aluminium oxides and hydroxides.~~  
81 ~~All these complexities make it difficult to understand the aggregates role on the soil structure~~  
82 ~~hence hydro-mechanical behaviour of these soils.~~

83

84 ~~Despite previous studies on bimodal soils with aggregates, it is not clear what are their effects~~  
85 ~~on the soil's engineering behaviour.~~ Inspired by pioneering work of Burland (1990), Bressani  
86 (1990), Maccarini (1987) and others, that turned to the creation of artificial soils to help in the  
87 understanding of fundamental soil behaviour, this study purposes the development of a  
88 methodology for producing soils with simple structures\_ ~~(non-mineralogical variability and~~  
89 ~~controlled double porosity with aggregates.) representative of those of residual tropical soils,~~  
90 ~~in which the bimodal pore structure is characterized by a large difference between the dominant~~  
91 ~~micro and macro pores. In this sense, it is expected that this study could contribute to a better~~  
92 ~~understanding of the role of aggregates on the microstructural behaviour of tropical residual~~  
93 ~~soils.~~

94

95 Thus, the characteristics of the artificial bimodal soils produced are discussed in  
96 microstructural terms by means of Mercury Intrusion Porosimetry (MIP), Scanning Electron  
97 Microscopy (SEM), Soil Water Retention curve (SWRC) and shrinking process. ~~A parallel is~~  
98 ~~also drawn among the differences between (1) the artificial soil with aggregates created and its~~  
99 ~~counterpart original soil; and (2) the artificial soil with aggregates created and the inspirational~~  
100 ~~natural tropical residual soils characterised by a large difference between the dominant micro~~  
101 ~~and macro pores (Cordão Neto et al., 2018; Lopes, 2016; Miguel and Bonder, 2012).~~

102

## 103 **2. Methodology for producing soils with controlled double porosity**

104 Kaolin ~~Clay~~ was the material selected here to be used in the production of a control double  
105 porosity soil, as this material is basically composed of Kaolinite mineral; therefore it is a pure  
106 and classic material with non-mineralogical variability also adopted in several studies based on  
107 artificial ~~clayey~~ soils (Alazaiza et al., 2016; Bagherieh et al., 2009; Foong et al., 2016; Lopes,  
108 2016; Morgenstern and Tchalenko, 1967; Pedrotti and Tarantino, 2014; Sa'ari et al., 2015;  
109 Serna, 2012; Tarantino and De Col, 2008; Tarantino and Tombolato, 2005; Wheeler and  
110 Sivakumar, 1995; Yu et al., 2016).

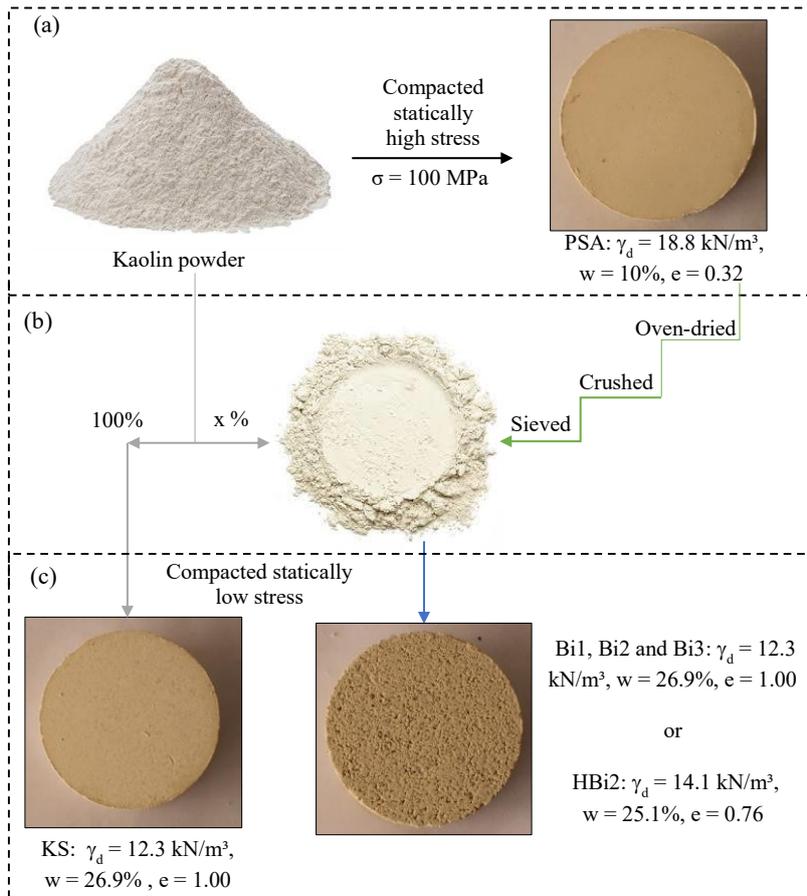
111

112 The material used in the research was Kaolin 605-635, produced in Brazil. Some of the  
113 characteristics of the material include: liquid limit,  $w_L$ , of 54.6%, plastic limit,  $w_p$ , of 38.5%,  
114 resulting in a plastic index, PI, of 16.1%; specific gravity,  $G_s$ , of 2.54; the proportion of clay-  
115 sized (particle size smaller than 2  $\mu\text{m}$ ) is 55% while the proportion of silt-sized (particle size  
116 between 2  $\mu\text{m}$  and 75  $\mu\text{m}$ ) is 45%; this soil is classified as high plasticity silt (MH) according  
117 to the Unified Soil Classification System (USCS).

118

119 The methodology proposed for the development of a control double porosity material is  
120 presented in Figure 1 and consists of: (i) initial compaction of Kaolin ~~clay~~ at high stress - in  
121 order to generate a soil with stable aggregates (Figure 1a); (ii) remoulding new samples by  
122 mixing a pre-determined proportion of the sample created in Figure 1a, properly oven dried  
123 and crushed, with a proportion of the original supplied Kaolin ~~clay~~ (Figure 1b); (iii) new

124 compaction of samples produced in Figure 1b at a new moisture content (Figure 1c). This  
 125 methodology is described in details in the following sections.  
 126



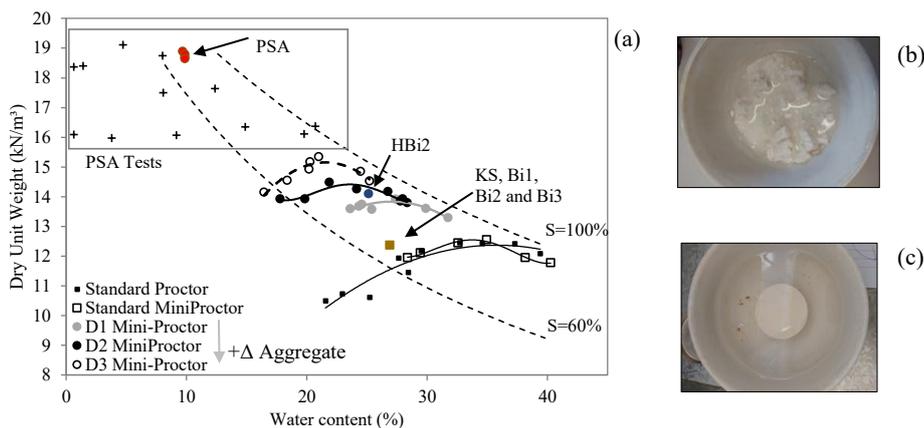
127  
 128 Figure 1 – Methodology for preparing bimodal samples: (a) high pressure compaction  
 129 stage; (b) mixing step in different aggregate proportions; (c) low pressure compaction to  
 130 obtain bimodal samples.

131

132 **2.1 Preparation and characterization of artificial aggregates**

133 As a starting point for obtaining artificial aggregates, compaction tests were performed using  
 134 Standard Proctor and Mini Proctor (Villibor and Nogami, 2009) methodologies. The Kaolin  
 135 ~~clay~~ powder was moistened and left to equilibrate for at least 24 hours before compaction.  
 136 Figure 2a shows the compaction curves generated by these two methodologies; it can be  
 137 observed a good agreement between the compaction curves obtained with the Standard  
 138 Proctor's energy and the Mini Proctor test, which highlights the validity of the Mini Proctor  
 139 test.

140



141 Figure 2 – (a) Compaction curves and stability tests to achieve stable microstructure; (b)  
 142 unstable sample when submerged in water; (c) stable sample when submerged in water.

143

144 For the sake of producing artificial soils with controlled aggregate content ~~representative of~~  
 145 ~~natural residual soils~~, it is appropriate ~~to obtain that the~~ aggregates ~~that~~ are stable when the  
 146 material is immersed in water. This ensures that the aggregates remained in the samples at other  
 147 preparation stages. Thus, after compaction, the stability of the samples was inspected by means  
 148 of crumb tests (ASTM D6572, 2000), where samples were kept immersed in water for 12 hours,

149 double the recommended by the standard. This test provides a simple and quick method for  
150 identifying dispersive clayey soil. Several crumb tests were performed on specimens coming  
151 from static compaction at different stress levels (20, 40, 50, 100 MPa) and water contents (1%,  
152 3%, 5%, 8%, 10%, 15%, 20%).

153  
154 The strain rate of 1.2 mm/min was applied, ~~and the target stress was maintained for 30 min to~~  
155 ~~ensure homogeneity of the stress and redistribution of water within the sample. This was similar~~  
156 ~~to that used by other authors in the literature~~ (Rahardjo et al., 2004; Venkatarama Reddy and  
157 Jagadish, 1993; Wheeler and Sivakumar, 1995). ~~However, in the case under study, the sample~~  
158 ~~was kept under the final load for longer (30min) once the desired void ratio and stress level~~  
159 ~~were reached. This decision was a precaution made because the stress applied here (100 MPa)~~  
160 ~~is much greater than the conventional stress usually targeted. A time of 30 min was an extra~~  
161 ~~measure used to ensure the stress and water content distribution within the sample were~~  
162 ~~homogeneous or in the very least quasi-homogeneous, i.e., the excess pore-water pressure~~  
163 ~~generated by the application of the load dissipated before load was released. In the event that~~  
164 ~~the excess pore-water pressure does not dissipate before load was released an increase in~~  
165 ~~sample volume, hence void ratio, should be observed. No significant changes in the sample~~  
166 ~~volume after the compaction stage were observed (comparing the final void ratio obtained with~~  
167 ~~the targeted void ratio, standard deviation of 0.01 and coefficient of variation of 4.4%).~~

168  
169 The points in Figure 2a represented by cross symbols show non-stable samples (Figure 2b)  
170 while red circle points show the results of stable samples (Figure 2c) that were compacted  
171 under 100 MPa at 10% of water content. This compaction produced samples that were stable  
172 when immersed in water, here called Parent Sample of Aggregate (PSA), these samples had a

173 dry unit weight of 18.8 kN/m<sup>3</sup>, a degree of saturation of 80% and a void ratio of 0.32 (Figure  
174 1a).

175

176 After obtaining a stable microstructure, the PSA samples were oven-dried at 105°C (Figure  
177 1b). In this temperature range there is no significant change in the kaolinite mineral (Caballero  
178 et al., 2019; Carneiro et al., 2003; Cheng et al., 2019). The oven-dried, crushed and sieved  
179 (sieve #10 – 2mm) material obtained from PSA samples are considered here the source of  
180 aggregates; and for this reason the word aggregates is here used as synonym for the material  
181 produced (PSA dried, crushed and sieved). Then, the material obtained was mixed with the  
182 originally supplied Kaolin ~~powder clay~~ in different proportions (Figure 1b). Three aggregate  
183 dosages were used. Dosage 1 had 100% of the mixed material passing through the 0.6 mm  
184 sieve, 80% through the 0.2 mm sieve and 60% through the 0.075 mm sieve. Dosage 2 reflected  
185 the grain size distribution of ~~Brasilia a bimodal soil (residual tropical soil)~~ provided by Otálvaro  
186 et al. (2015) without dispersant (100% passing through the 0.6 mm sieve, 57.8% through the  
187 0.2 mm sieve and 37.5% through the 0.075 mm sieve). Finally, dosage 3 had 40% of the mixed  
188 material passing through the 0.2 mm sieve and 20% through the 0.075 mm sieve. That is,  
189 dosage 1 had the lowest percentage of aggregate (40%) distributed between fine and medium  
190 sand-~~sized~~ diameters, dosage 3 had a higher aggregate content (80%) and dosage 2 intermediate  
191 aggregate content (62.5%). That is, aggregate content is the amount of dried, crushed and  
192 sieved PSA sample material used in each dosage.

193

194 The grain size distribution curves of kaolin samples, with and without dispersant, with different  
195 aggregate contents are shown in Figure 3a and b, respectively. These graphs show a prominent  
196 difference of the grain size distribution curve of the Kaolin ~~clay~~ with aggregate when

197 determined with and without dispersant. The grain size distribution curve of pure kaolin  
198 (dosage 0), without dispersant, shows no material of the size that conventionally characterises  
199 clay-sized particles; and stabilization of the curve in a diameter of 0.004 mm (Figure 3b). A  
200 higher percentage of clay-sized is observed in the grain size distribution curve of the same  
201 specimen (dosage 0) with dispersant (Figure 3a). This observation can be related to the effect  
202 of agglomeration and flocculation of clay-sized particles. This occurs due to electrostatic forces  
203 between the particles when immersed in an aqueous medium without a chemical dispersing  
204 agent, since this sample does not have aggregates.

205

206 ~~As the aggregate content increases, there was a tendency for the curves without dispersant to~~  
207 ~~move to the right of the pure kaolin curve (dosage 0). That is, dosage 3 in the graph without~~  
208 ~~dispersant showed a larger sand diameter compared to dosage 2, followed by dosage 1. It is~~  
209 ~~also apparent that the dispersant was able to eliminate the majority of aggregates. However,~~  
210 ~~when comparing the grain size distribution, determined with dispersant, of pure Kaolin and~~  
211 ~~Kaolin with aggregates, it is observed that some of the aggregates created in the Kaolin with~~  
212 ~~aggregates samples were not completely wiped out. Perhaps the dispersing agent was not~~  
213 ~~enough to eliminate all aggregates formed in the initial compaction stage.~~

214

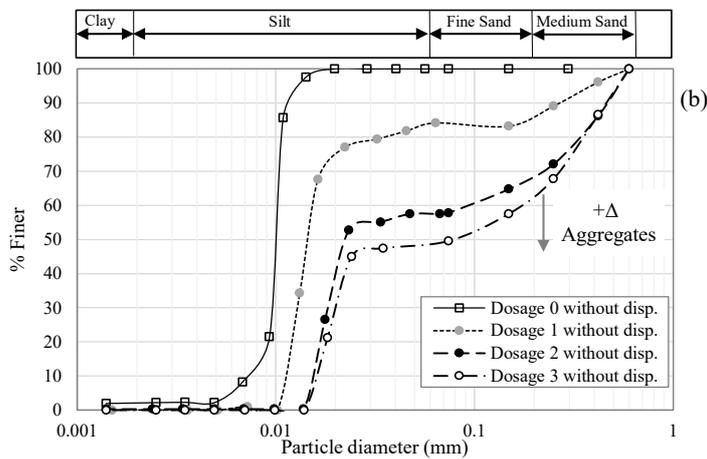
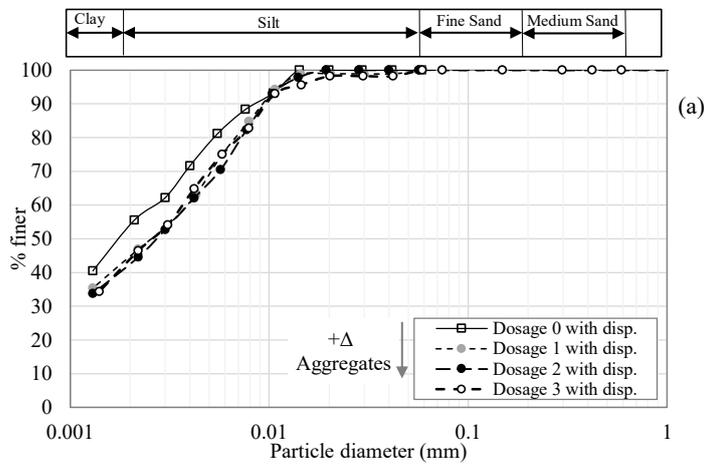


Figure 3 – Particle size distribution of Kaolin: (a) with dispersant; (b) without dispersant.

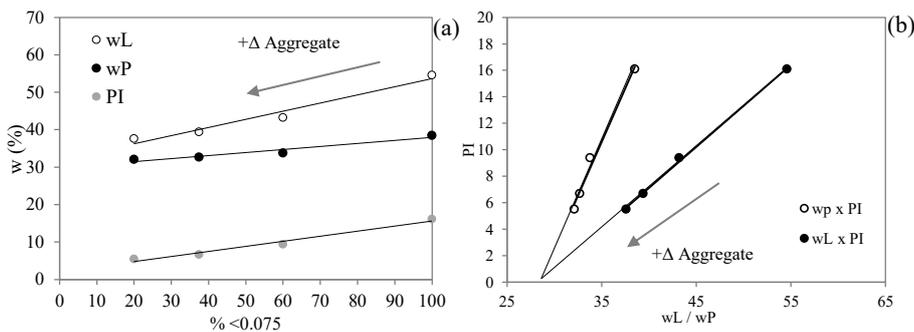
As the aggregate content increases, there was a tendency for the curves without dispersant to move to the right of the pure kaolin curve (dosage 0). That is, dosage 3 in the graph without dispersant showed a larger sand-sized diameter compared to dosage 2, followed by dosage 1. It is also apparent that the dispersant was able to eliminate the majority of aggregates. However, when comparing the grain size distribution, determined with dispersant, of pure Kaolin and Kaolin with aggregates, it is observed that some of the aggregates created in the Kaolin with

226 aggregates samples were not completely wiped out. Perhaps the dispersing agent was not  
227 enough to eliminate all aggregates formed in the initial compaction stage.

228  
229 Atterberg limit tests were performed on all samples according to ASTM D4318 (-2017). The  
230 mixing prior to the liquid limit test was performed for 30 min. The plastic limit test was carried  
231 out after the liquid limit test. ~~previously discussed and~~ The results are shown in Figure 4.

232 Since the presence of aggregate changed the soil consistency, it was observed that the increase  
233 in aggregates content generated a decrease in the liquid limit and plasticity index (Figure 4a).  
234 Consequently, there was a modification on the soil classification from MH to ML (USCS).  
235 Extrapolating the trend observed in these three aggregate levels (Figure 4b), ~~there is,~~ for a high  
236 aggregate content, there is convergence between  $w_L$  and  $w_p$  lines, which results in the complete  
237 loss of the plasticity index, similar to that observed in granular soils. Although the materials  
238 have the same mineralogy, the presence of aggregate reduced the specific surface of the  
239 particles, hence reducing their plasticity.

240



241 Figure 4 – Kaolin and Kaolin with aggregates: (a) Relationship between aggregate  
242 proportions and Atterberg limits; (b) Relationship between Atterberg limits and plasticity  
243 index.

244

245 Similar considerations were made by Sridharan et al. (1988) and Sridharan and Prakash (1998),  
246 who observed that the structure formed between particles affected the liquid limit. Along the  
247 same lines, Fearon and Coop (2000) observed an increase of the liquid limit and the plasticity  
248 index associated with the reconstitution of the sample and also with the energy and process of  
249 reconstitution. The authors observed significant differences between the Atterberg limits  
250 obtained depending on the method of reconstitution used: the standard procedure of hand  
251 mixing; passing the sample through a mixer; or passing it several times in a mincer. The  
252 observed tendency is an ~~in~~crease in the Atterberg limits with an increase in the energy use  
253 to reconstitute the samples. Similar to the tendency observed in this study, where an increase  
254 in aggregate content, which is the opposite to reconstitution, implicated in a decrease in the soil  
255 plasticity.

256

257 Regarding the compaction curves, it was noticed that the presence of kaolin aggregates  
258 generated a significant change in the compaction curve, with a decrease in the optimum water  
259 content and an increase in the dry unit weight (Figure 2a). Additionally, the shape of the  
260 compaction curve has narrowed with the increase of aggregate content.

261

## 262 **2.2 Compaction of bimodal samples**

263 These mixtures previously described (Figure 3) were used to obtain the compacted bimodal  
264 samples by means of static compaction at low stress. Two samples were produced from Dosage  
265 2: (a) A sample compacted at a low energy to approach the dry branch of the compaction curve  
266 without aggregates (Figure 2a). The water content of this sample was  $w = 26.9\%$  and the dry  
267 unit weight  $\gamma_d = 12.3 \text{ kN/m}^3$ . This sample is herein referred to as Bimodal sample (Bi2); (b) A  
268 sample with aggregates produced with water content of  $25.1\%$  ~~subjected to the Standard~~

269 ~~Proctor energy resulting in~~ a dry unit weight of  $\gamma_d=14.1$  kN/m<sup>3</sup> (Figure 2a). This sample  
270 is herein referred to as High energy Bimodal sample (HBi2).

271

272 Two samples, compacted under the same water content and dry unit weight of Bi2, were  
273 produced from Dosage 1 and 3, herein named Bi1 and Bi3 respectively (Figure 2a). Another  
274 sample also compacted under the same water content and dry unit weight of Bi2, but now  
275 produced out of only Kaolin ~~clay~~, i.e., without the presence of aggregates, is denoted here as  
276 Kaolin Sample (KS). Thus, four samples produced from different dosage mixtures were  
277 created, compacted under the same water content and dry unit weight. In ascending order of  
278 aggregate content, they are: KS, Bi1, Bi2 and Bi3. Table 1 summarizes the origin and  
279 compaction conditions of the samples produced.

280

Sample	Origin	w (%)	$\gamma_d$ (kN/m <sup>3</sup> )	e
PSA	Pure Kaolin <del>Clay</del>	10.0	18.8	0.32
KS	Pure Kaolin <del>Clay</del>	26.9	12.3	1.00
Bi1	PSA and Pure Kaolin <del>Clay</del> dosage 1	26.9	12.3	1.00
Bi2	PSA and Pure Kaolin <del>Clay</del> dosage 2	26.9	12.3	1.00
HBi2	PSA and Pure Kaolin <del>Clay</del> dosage 2	25.1	14.1	0.76
Bi3	PSA and Pure Kaolin <del>Clay</del> dosage 3	26.9	12.3	1.00

281 Table 1 - Summary of origin and sample's compaction conditions.

282

283 In order to prepare the samples for compaction, this procedure was followed: The kaolin ~~clay~~  
284 material (Pure Kaolin ~~Clay~~ dosage 0; or a mixture of Pure Kaolin ~~Clay~~ with PSA dried,  
285 crushed and sieve – dosage 1-3) was moistened using distilled water. After that the moistened  
286 material was sieved (sieve #10 – 2mm). The sieved material was stored in plastic bags for at  
287 least 24 hours before compaction. Finally, the material was moulded and statically compacted

288 at strain rates of 1.2 mm/min until the defined dry unit weight was reached. Samples were  
289 extruded using a dummy cylinder head. The standard deviation of the moisture content  
290 obtained for Bi and PSA samples was 0.31 and 0.34 respectively, while the standard deviation  
291 for the dry unit weight for Bi and PSA samples was 0.16 and 0.18 respectively.

292

### 293 **2.3 Procedures for assessing the micro-structural behaviour**

294 Parent Sample of Aggregate (PSA), Bimodal Samples (Bi1, Bi2 and Bi3), High Energy  
295 Bimodal Sample (HBi2) and Kaolin Sample (KS) were characterized by their SWRC,  
296 shrinkage curve and PSD, complemented with SEM images.

297

298 The ~~porosity-PSD~~ of the specimens was measured using the MIP and qualitatively assessed by  
299 means of SEM images. Before carrying out the tests, specimens of 1 cm<sup>3</sup> in volume were  
300 prepared following freeze-drying. This technique consisted in applying rapid freezing with  
301 immersion in liquid nitrogen and then placing the specimen in a lyophilizer applying a pressure  
302 of 5 Pa and temperature of -50 °C for 24 hours. This methodology is reported by Delage and  
303 Lefebvre (1984) and Mitchell and Soga (2005) as the least disturbing freeze-drying procedure.

304

305 The SWRC was measured for the entire suction range using two testing procedures. To  
306 determine the SWRC, axis translation tests for suctions up to 500 kPa and dewpoint  
307 psychrometer (WP4C) for suctions above 500 kPa were used. Although the axis translation  
308 technique imposes matric suctions, and WP4C measures total suctions, both measurements  
309 showed a good agreement which allows the determination of one SWRC in terms of matric  
310 suction, in the same way performed by Tarantino and De Col (2008).

311  
312 Fredlund (2002) integrated the shrinkage curve obtained by physical indexes (e versus w) with  
313 the SWRC (w versus s), and noted that the shape of the shrinkage curve in terms of suction is  
314 similar to the shape of the unimodal SWRC. Similar fittings of the shrinking process were also  
315 performed by Peng and Horn (2005) and Cornelis et al. (2006). To obtain the shrinkage curve  
316 (relationship between void ratio and water content), a caliper, an analytical balance and an oven  
317 were used. The water content obtained was converted into suction using Durner's fitting (1994)  
318 from a previously determined SWRC. This procedure is similar to that adopted by Otálvaro et  
319 al. (2016). Both, in the tests carried out for determining the SWRC, and in the tests performed  
320 for determining the shrinkage curves, after compaction, specimens were wetted until the  
321 capillarity saturation was reached and then they were submitted to drying paths. The capillary  
322 saturation was performed by placing a porous stone and filter paper at the base of the sample  
323 then the assembly was placed in an airtight container. Water added to the container comes into  
324 contact with the porous stone and the soil absorbs water by capillarity upwards. This procedure  
325 is similar to that adopted by Hird and Bolton (2017).

326

### 327 **3. Results and discussions**

#### 328 **3.1 Pore Size Distribution and Scanning Electron Microscopy**

329 The results of the MIP tests were adjusted using an equation proposed by Durner (1994) and  
330 adapted by Lopes et al. (2014) for bimodal soils. Figure 5a presents the results of the MIP tests  
331 obtained for KS, Bi1, Bi2 and Bi3 samples (all of these were compacted under the same  
332 conditions of water content and dry unit weight, having different aggregate content). The PSD  
333 shown in Figure 5b is obtained by deriving the cumulative intrusion curves, as suggested by  
334 Romero (1999). Figure 5c and d shows a comparative of samples prepared with the same

335 aggregate content but under different compaction conditions (water content, dry unit weight  
336 and void ratio).

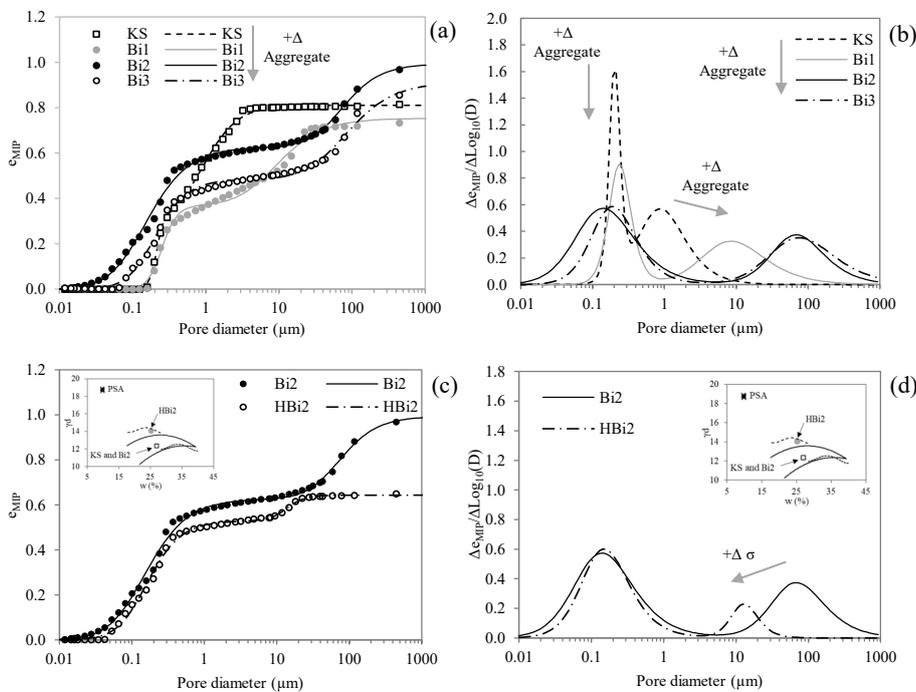
337

338 The bimodal PSD of Bi2 and Bi3 samples observed in Figure 5b is unquestionable. The  
339 difference between the sizes of the dominant micro ( $\approx 0.1\mu\text{m}$ ) and macro pores ( $\approx 100\mu\text{m}$ ) in  
340 this samples reached 3 orders of magnitude. ~~It can be seen that a~~ As the aggregate content  
341 increased, there was an increase in the size of the macro pores. On the other hand, in the micro  
342 pores range a higher frequency of pore diameters is observed, meanwhile the higher is the  
343 aggregate content the smaller are the diameters of micro pores noticed. In other words, it can  
344 be observed an increase in the bimodality of the samples as aggregate content rises. However,  
345 from Bi2 to Bi3 sample, despite an increase in the aggregate content, little change was observed  
346 in the macro pores region. ~~Likewise, it is clear that the increase of compaction energy led to a~~  
347 ~~reduction of the macro pores, from Bi2 to HBi2 sample~~

348

349 It is important to note that previous researches showed little or no change on the micro pore  
350 after compaction and oedometer tests (Cordão Neto et al., 2018; Otálvaro et al., 2016; Wang  
351 et al., 2020). The difference observed in this paper in the micro-pores range is due to the stress  
352 level applied to PSA samples (100 MPa), that is far above the conventionally applied values  
353 found in the literature. Additionally, samples with the same aggregate content but compacted  
354 under different conventional energy levels (Bi2 and HBi2) show the same micro pore size. The  
355 increase in compaction energy in this case leads to a decrease only at the macro-pore level  
356 (Figure 5d), as observed in the aforementioned researches.

357



358

359

360 Figure 5 – MIP tests of KS, Bi1, Bi2 and Bi3: (a) Intrusion curve; (b) PSD; MIP tests of Bi2  
 361 and HBi2: (c) Intrusion curve; (d) PSD.

362

363 The KS sample, which is composed of only pure Kaolin ~~clay~~ and was compacted in the same  
 364 conditions ( $w = 26.9\%$ ,  $\gamma_d = 12.3 \text{ kN/m}^3$ ) as Bi2, presents slightly bimodal behaviour where the  
 365 difference between macro and micro pore sizes are not significant. Similar results have been  
 366 observed by other authors (Sivakumar et al., 2010; Tarantino and De Col, 2008; Thom et al.,  
 367 2007) in samples of compacted kaolin, and in samples of reconstituted kaolin at low vertical  
 368 stress levels (Lopes, 2016). At the same time, intermediate pore sizes (in the range of  $2.0 \mu m$ )  
 369 observed in the KS sample are not present in the Bi2 sample.

370

371 The void ratios obtained by the MIP are smaller than the void ratios of the samples. The  
 372 difference is 0.06 for Bi2, 0.11 for HBi2, 0.26 for KS, 0.21 for Bi3 and 0.32 for Bi1 sample.

373 According to Romero and Simms (2008), this problem is usually observed in clayey soils due  
374 to the difficulty of the mercury in filling the smaller pores of the soil, non-intruded porosity.  
375 According to the authors, the differences between the void ratios can also be attributed to: (i)  
376 isolated pores surrounded by solids which are not intruded; (ii) some pores which are accessible  
377 only through smaller pores, i.e. they are not detected until smaller pores are penetrated,  
378 restricted porosity; (iii) the minimum pressure of the apparatus limits the smallest pore size to  
379 be detected, porosity not detected. Other researches have reported differences between the  
380 voids ratios obtained by MIP in relation to the void ratios of the samples (Cordão Neto et al.,  
381 2018; Lopes, 2016; Pedrotti, 2016). It is worth mentioning that some authors have also  
382 observed the occurrence of compression on soft samples during the mercury intrusion phase  
383 (Penumadu and Dean, 2000).

384

385 SEM imaging is a complementary method for analysing the soil's microstructure. Figure 6 and  
386 Figure 7 show SEM images of the bimodal Bi2 and HBi2 samples at different scales. From  
387 these figures, it is possible to recognise that Bi2 sample sustain a bimodal pore structures while  
388 the increase in the compaction energy is responsible for the reduction of the macro pores  
389 observed on the HBi2 sample (Figure 7). Considering the images of the HBi2 sample at the  
390 larger scale, it is possible to notice that the aggregates formed over the high-energy compaction  
391 have a predominantly face-to-face structure (Figure 7). Qualitatively, the images correspond to  
392 the dominant pore sizes presented in Figure 5. These SEM images validate the proposed  
393 methodology for preparing bimodal samples adopted in the research.

394

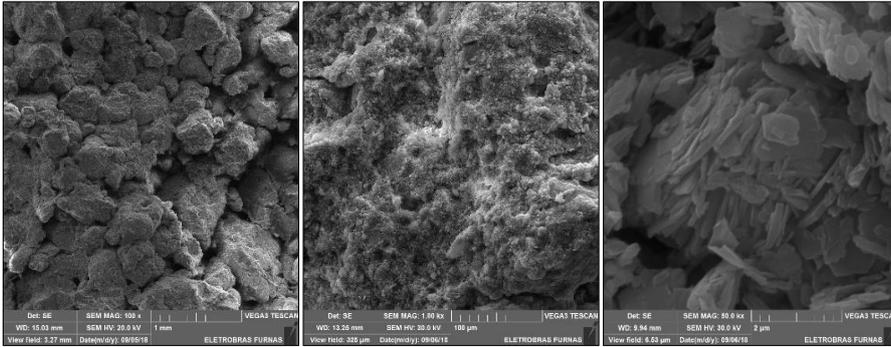


Figure 6 – SEM images of Bi2 sample.

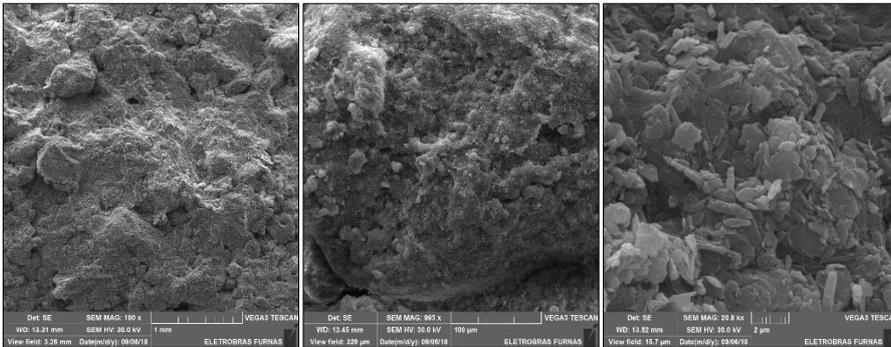
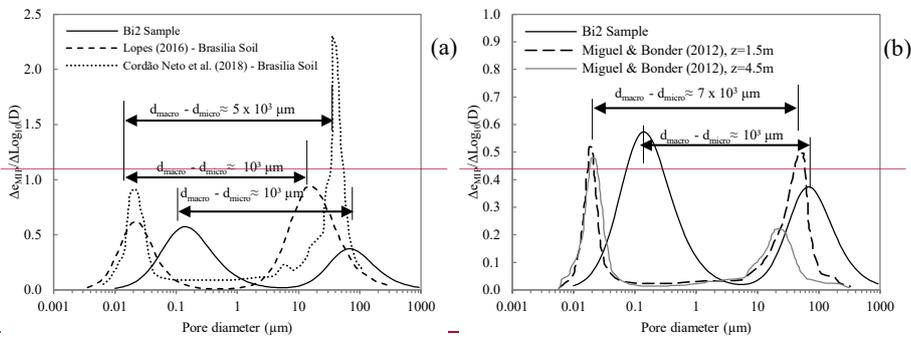


Figure 7 – SEM images of HBi2 sample.

In order to verify the bimodality of the samples produced in this research, a comparison of the PSDs obtained by MIP test was performed with results from other authors who studied tropical soils. To this end, the studies carried out by Miguel and Bonder (2012), Cordão Neto et al. (2018) and Lopes (2016) were used. Figure 8a shows the comparison of Bi2 sample with the Bimodal clay from Brasilia in natural condition at 2 m depth (Cordão Neto et al., 2018; Lopes, 2016) and Figure 8b shows comparisons with samples from Campinas soil at two different depths, 1.5 m and 4.5 m (Miguel and Bonder, 2012).



407 -  
 408 **Figure 8— Comparison between PSDs: (a) Kaolin Bi2 and Brasilia soil in natural condition,**  
 409 **Cordão Neto et al. (2018) and Lopes (2016); (b) Kaolin Bi2 and Campinas soil in natural**  
 410 **condition,  $z = 1.5\text{m}$  and  $z = 4.5\text{m}$ , Miguel and Bonder (2012).**

411  
 412 ~~Based on Figure 8a, it can be seen that the distance between the dominant macro and micro~~  
 413 ~~pores of Bi2 sample is 3 orders of magnitudes, similar to the distance observed in the Brasilia~~  
 414 ~~sample presented by Lopes (2016) and Cordão Neto et al. (2018). It is also observed that the~~  
 415 ~~dominant diameter of the macro and micro pores are not coincident. It is important to note that~~  
 416 ~~two different geo materials are under comparison, therefore it is to be expected that the PSDs~~  
 417 ~~compared would be somehow different. Additionally, Brasilia samples have in its mineralogy,~~  
 418 ~~in addition to kaolinite, cementing agents such as iron and aluminium oxides and hydroxides,~~  
 419 ~~which favour a more stable microstructure. Besides that, the porosimeters used in each case are~~  
 420 ~~also different, this could have an impact especially in the detection of smaller pore sizes.~~

421  
 422 ~~Equivalent conclusions could be drawn when comparing Bi2 sample with Campinas soil~~  
 423 ~~(Miguel and Bonder, 2012) in Figure 8b. This soil is similar to Brasilia soil hence Bi2,~~  
 424 ~~considering that they all show similar distances between the dominant macro and micro pores,~~  
 425 ~~and also micro pores in the same range of size.~~

427 **3.2 Soil Water Retention Curves**

428 The SWRC, expressed in terms of water ratio ( $e_w = V_w / V_s$ ), was adjusted using Durner's  
429 (1994) equation, which is a generalization of the van Genuchten's equation (1980) for  
430 multimodal soils. For bimodal soils:

431 
$$e_w = \frac{e_w^L}{[1 + (a_L \cdot s)^{n_L}]^{1/n_L}} + \frac{e_w^S}{[1 + (a_S \cdot s)^{n_S}]^{1/n_S}} \quad (\text{Eq. 1})$$

432 where:  $a$  and  $n$  are fitting parameters,  $s$  is suction, L and s subscriptions stand for large and  
433 small respectively.

434

435 Table 2 presents the fitting parameters used to adjust the SWRC. Figure 8a shows the SWRC  
436 of PSA, KS, Bi2 and HBi2, while Figure 8b shows the SWRC of KS, Bi1, Bi2 and Bi3 (in this  
437 last figure all samples were compacted under the same condition of water content and dry unit  
438 weight, having different aggregate contents).

439

440 ~~The significant difference between the SWRC of the KS and Bi2 samples confirms that the~~  
441 ~~proposed methodology is adequate for the creation of bimodal soils. Although both samples,~~  
442 ~~KS and Bi2, were compacted under the same conditions of water content and void ratio, the~~  
443 ~~presence of aggregates in Bi2 was determinant to produce a bimodal structure. In fact, for Bi2~~  
444 ~~sample (Figure 9a), water starts to drain out of the macro pores at a suction of 15 kPa and from~~  
445 ~~the micro pores at 1500 kPa, which represents two orders of magnitude between the two~~  
446 ~~porosities. On the other hand, in the KS sample it is not possible to clearly identify the level in~~  
447 ~~which the draining within the macro pores ends and the draining amongst the micro pores~~  
448 ~~begins (Figure 9a). In contrast, the higher density imposed on the compaction of the HBi2~~  
449 ~~sample reduced the size of the macro pores and the bimodal shape of the SWRC is less evident.~~

450 While the SWRC of the PSA sample presents unimodal characteristics due to the high energy  
 451 applied during its constitution (100 MPa).

452

SWRC Fitting							Shrinkage curve Fitting						
	PSA	KS	Bi1	Bi2	Bi3	HBi2		PSA	KS	Bi1	Bi2	Bi3	HBi2
$R^2$	0.99	0.99	1.00	0.99	0.99	1.00	$R^2$	0.99	0.99	0.99	0.99	0.99	1.00
$e_w^L$	0.00	0.78	0.42	0.32	0.45	0.29	$\Delta e^L$	0.00	0.23	0.06	0.11	0.03	0.04
$a_L$	0.000	0.140	0.084	0.049	0.115	0.023	$b_L$	0.000	0.084	0.051	0.051	0.109	0.013
$n_L$	1.00	1.31	2.36	10.12	7.86	1.81	$p_L$	1.00	1.73	7.69	7.35	14.45	9.79
$e_w^S$	0.43	0.79	0.85	0.68	0.72	0.60	$\Delta e^S$	0.06	0.15	0.09	0.07	0.11	0.06
$a_S$	0.001	0.002	0.002	0.001	0.002	0.001	$b_S$	0.002	0.003	0.003	0.002	0.002	0.003
$n_S$	1.69	3.26	1.76	2.04	1.71	1.90	$p_S$	2.16	5.03	3.16	2.72	3.52	2.69
$e_{wsat}$	0.43	1.57	1.28	0.99	1.17	0.89	$e_{res}$	0.37	0.98	0.91	0.94	0.86	0.72
							$e_{sat}$	0.44	1.41	1.06	1.119	1.01	0.82

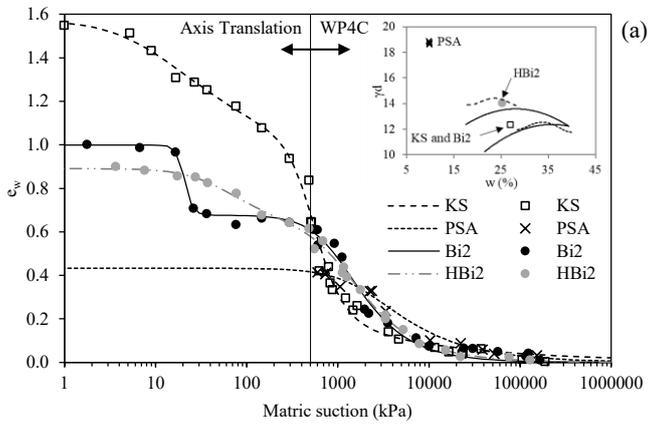
453 Table 2 – Fitting Parameter of SWRC and shrinkage curves.

454

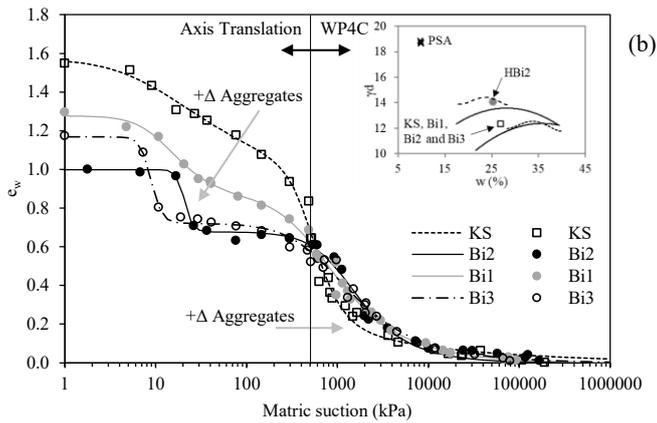
455 The significant difference between the SWRC of the KS and Bi2 samples confirms that the  
 456 proposed methodology is adequate for the creation of bimodal soils. Although both samples,  
 457 KS and Bi2, were compacted under the same conditions of water content and void ratio, the  
 458 presence of aggregates in Bi2 was determinant to produce a bimodal structure. In fact, for Bi2  
 459 sample (Figure 8a), water starts to drain out of the macro pores at a suction of 15 kPa and from  
 460 the micro pores at 1500 kPa. On the other hand, in the KS sample it is not possible to clearly  
 461 identify the level in which the draining within the macro pores ends and the draining amongst  
 462 the micro pores begins (Figure 8a). In contrast, the higher density imposed on the compaction  
 463 of the HBi2 sample reduced the size of the macro pores and the bimodal shape of the SWRC  
 464 is less evident. While the SWRC of the PSA sample presents unimodal characteristics due to  
 465 the high energy applied during its constitution (100 MPa).

466

467 The SWRCs show that the origin of the double porosity of the samples is considerably  
468 influenced by the presence of aggregates (Figure 9b). The trend is that the distance between  
469 macro and micro pores increases with the rise in the aggregate content, which is highlighted by  
470 the intermediate plateau of the SWRC of samples with aggregates. The suction value related to  
471 the air entry value of the macro pores show a decrease with the increase in aggregate content,  
472 and the micro pores a decrease in size caused by primary compaction process.



473



474

475 Figure 8 – Soil Water Retention Curve: (a) PSA, KS, Bi2 and HBi2; (b) KS, Bi1, Bi2 and  
476 Bi3.

477

478 The SWRCs show that the origin of the double porosity of the samples is considerably  
479 influenced by the presence of aggregates (Figure 8b). The trend is that the distance between  
480 macro and micro pores increases with the rise in the aggregate content, which is highlighted by  
481 the intermediate plateau of the SWRC of samples with aggregates. The suction value related to  
482 the air entry value of the macro pores show a decrease with the increase in aggregate content,  
483 and the micro pores a decrease in size caused by primary compaction process.

484  
485 As the void ratio of the samples after the compaction process is the same (the only thing that  
486 differentiates the samples is the aggregate content), it is understood that the decrease in the  
487 diameters of micro pores with primary compaction and the formation of aggregates generated  
488 an increase in the macro pores of the samples. In other words, a redistribution of the pores  
489 within the samples took place because the particles are now in an aggregated form. However,  
490 it is important to note that the presence of aggregates decreased the expansive potential of the  
491 samples, which also affects the redistribution of pores during the wetting and drying processes.  
492 The issues related to volumetric variation will be dealt with in the following section.

### 494 3.3 Volumetric behaviour and proposed shrinkage equation

495 The volumetric behaviour of the samples was evaluated during the wetting and drying process.  
496 Table 3 -presents volumetric deformations, considering: the wetting phase, the drying phase  
497 and the total deformation.

Sample	$e_{initial}$	$e_{sat}$	$\epsilon_{wetting} (\%)$	$e_{final}$	$\epsilon_{drying} (\%)*$	$\epsilon_{total} (\%)**$
PSA	0.31	0.49	-13.5	0.37	7.8	-4.7
KS	1.02	1.33	-15.3	0.99	14.4	1.3

Bi1	1.01	1.06	-2.9	0.90	7.9	5.2
Bi2	1.01	1.10	-4.5	0.94	7.6	3.5
Bi3	1.00	1.00	-0.3	0.85	7.6	7.4
HBi2	0.75	0.82	-3.7	0.72	5.5	2.0

499 \*the initial void ratio considered is the  $e_{sat}$ , \*\*the initial void ratio considered is  $e_{initial}$ .

500 Table 3 – Void ratios and volumetric deformations of samples subjected to wetting and drying.

501

502 The PSA and KS samples, when compared to the samples with aggregates (Bi1, Bi2, Bi3 and  
503 HBi2) present higher expansion once saturated while presenting higher shrinkage when drying  
504 (Table 3). This observation shows that (i) the presence of aggregates decreases the expansion  
505 and shrinkage, for wetting and drying respectively. And these reductions are also related with  
506 (ii) the reduction in the plasticity of Bi samples and eventually with (iii) the reduction on the  
507 specific surface which in turn is a product of the formation of aggregates. When comparing  
508 Bi2 and HBi2 samples (Table 3), the increase of energy during the secondary compaction  
509 process led to a reduction of the expansion potential of the HBi2 sample.

510

511 In terms of total volumetric deformation, it is observed that the PSA sample showed more  
512 expansion than shrinkage, which resulted in a negative total volumetric deformation, and non-  
513 recoverable deformations. The opposite is observed in the other samples, in which the final  
514 total deformation is positive, indicating more shrinkage than expansion, which is related to the  
515 structure formed during the compaction processes.

516

517 Thus, in samples with aggregates, during wetting, there is an expansion of aggregates  
518 generating a closure of macro pores with a slight variation in the total volume of the sample.

519 The same occurs during the drying process, with shrinkage of aggregates and small variation

520 in total volume. This behaviour agrees with the analyses carried out by Romero (2013), which  
521 demonstrated that the expansion of the microstructure by wetting can alter the macrostructure,  
522 that is, there is an interaction between the different levels of structure (invasion or retraction of  
523 macro pores due to expansion or shrinkage of micro pores). The observations here reported are  
524 also in line with Alonso et al. (1999), who showed a trend of volumetric variation caused by  
525 the expansion of the microstructure.

526  
527 Regarding the shrinkage curves of the samples (Figure 9), a fitting equation based on Durner  
528 (1994)'s proposal, with the intention of describing the shrinkage path of the soils, is suggested  
529 for relating the void ratio of the sample to the suction during the drying path.

530

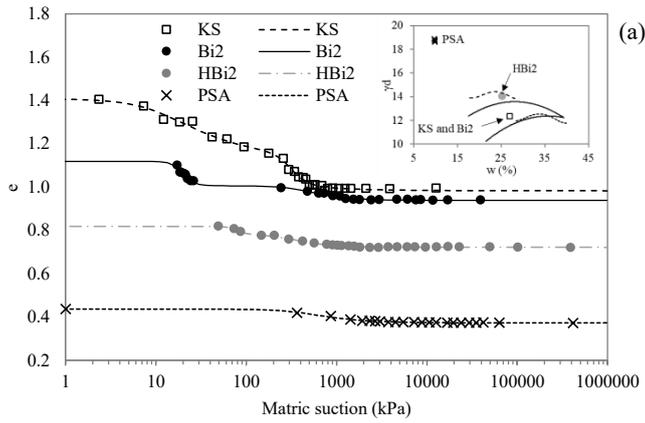
$$531 \quad e = \frac{\Delta e^L}{[1 + (b_L \cdot s)^{p_L}]^{-1/p_L}} + \frac{\Delta e^S}{[1 + (b_S \cdot s)^{p_S}]^{-1/p_S}} + e_{res} \quad (\text{Eq. 2})$$

532  
533 where  $b$  and  $p$  are fitting parameters,  $e_{res}$  is the residual void ratio when reaching the non-  
534 deformable state on drying,  $\Delta e^L$  and  $\Delta e^S$  represent the variation of voids ratio provided by the  
535 drying of the macro and micro pores, respectively.

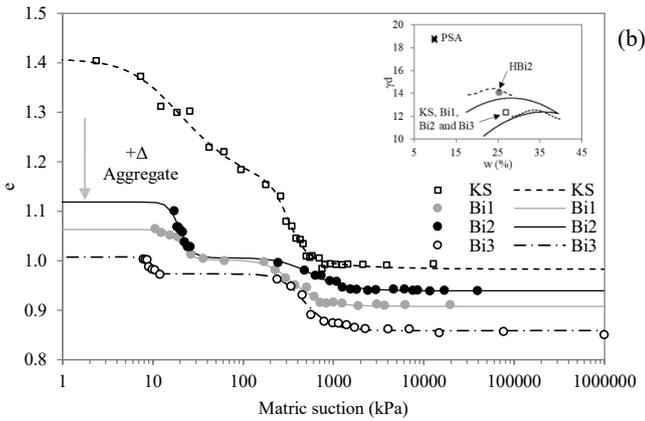
536  
537 Table 2 shows the fitting parameters used to adjust the shrinkage curve. It is important to point  
538 out that the parameter  $a_L$  (from SWRC) are close to the values of the fitting parameter  $b_L$  on  
539 the corresponding shrinkage curve. The same observation could be drawn for the parameters  
540 related to the drainage of the micro pores ( $a_S$ ) and starting of the shrinkage, as a result of the  
541 drying of the micro pores ( $b_S$ ). The residual void ratio ( $e_{res}$ ) is that obtained after the shrinking  
542 limit of the soil, in which there is no more volumetric variation. Finally, the sum of the residual  
543 void ratio ( $e_{res}$ ) with the variation of the void ratio due to the shrinkage of the micro pores ( $\Delta e^S$ )

544 and the variation of the void ratio due to the shrinkage of the macro pores ( $\Delta e^L$ ) must be equal  
 545 to the voids ratio of the saturated soil ( $e_{sat}$ ).

546



547



548

549 Figure 9 – Shrinkage curve of samples: (a) PSA, KS, Bi2 and HBi2; (b) KS, Bi1, Bi2 and  
 550 Bi3.

551

552 Table 2 shows the fitting parameters used to adjust the shrinkage curve. It is important to point  
 553 out that the parameter  $a_L$  (from SWRC) are close to the values of the fitting parameter  $b_L$  on  
 554 the corresponding shrinkage curve. The same observation could be drawn for the parameters

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555 ~~related to the drainage of the micro pores ( $a_1$ ) and starting of the shrinkage, as a result of the~~  
556 ~~drying of the micro pores ( $b_1$ ). The residual void ratio ( $e_{res}$ ) is that obtained after the shrinking~~  
557 ~~limit of the soil, in which there is no more volumetric variation. Finally, the sum of the residual~~  
558 ~~void ratio ( $e_{res}$ ) with the variation of the void ratio due to the shrinkage of the micro pores ( $\Delta e^s$ )~~  
559 ~~and the variation of the void ratio due to the shrinkage of the macro pores ( $\Delta e^m$ ) must be equal~~  
560 ~~to the voids ratio of the saturated soil ( $e_{sat}$ ).~~

561

562 The shrinkage curve of the PSA sample is fitted unimodally. As a result, equation 2 is reduced  
563 to two terms, as in the case of unimodal fittings one of the  $\Delta e$  becomes zero, and the fitting  
564 parameters  $b$  and  $p$  related to this term become obsolete. The remaining samples are fitted with  
565 the bimodal equation. In general, all fittings prove that the shrinkage fitting equation is quite  
566 effective in reproducing the shrinkage paths during drying ( $R^2$  ranging from 0.99 to 1.00).

567

568 The shrinking behaviour occurs at different ranges of suction and is directly related to drainage  
569 of the macro and micro pores as represented by their SWRCs. Four shrinkage curves and their  
570 respective SWRC are presented: PSA (Figure 10a), Bi2 (Figure 10b), KS (Figure 10c) and Bi3  
571 (Figure 10d). Indeed, regarding the bimodal samples the shrinking begins with the drainage of  
572 water from the macro pores and then continues with the drainage of the water from the micro  
573 pores. For example, for Bi2 sample (Figure 10b), it is observed that between suction of 30 and  
574 200 kPa there is no drainage of water from the pores and, therefore, in this range there is no  
575 shrinking of the sample, as demonstrated by the experimental shrinkage data and corresponding  
576 fitting curve (Figure 10b). Similar observations can be drawn for other bimodal samples. On  
577 the other hand, the PSA sample characterised by unimodal SWRC shows shrinking beginning  
578 on the same suction range where the pore drainage begins (Figure 10a).

579

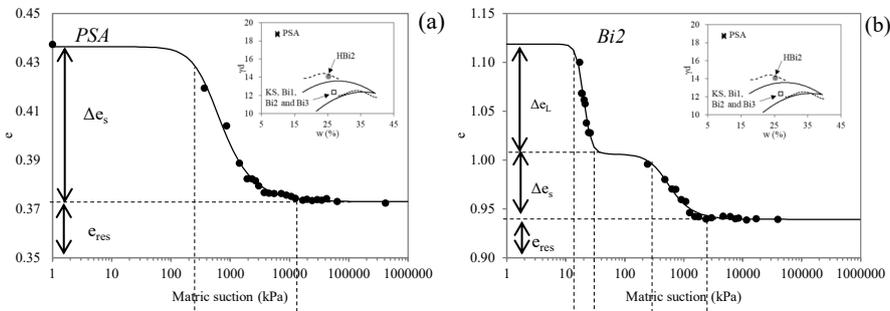
580 In all cases, shrinking within the micro pores occurs approximately halfway up the drainage  
581 section of the micro pores, until the samples reach a residual void ratio. This is due to the fact  
582 that shrinking is motivated by the increase of suction hence decrease of water meniscus in the  
583 soil. It appears that in the case under study, after half of the drainage of the micro pores, the  
584 water will no longer be in its capillary form, it is now immobile - only present in the form of  
585 meniscus, thus stopping soil shrinkage (Lu, 2016; Lu and Dong, 2017; Tuller and Or, 2005).

586

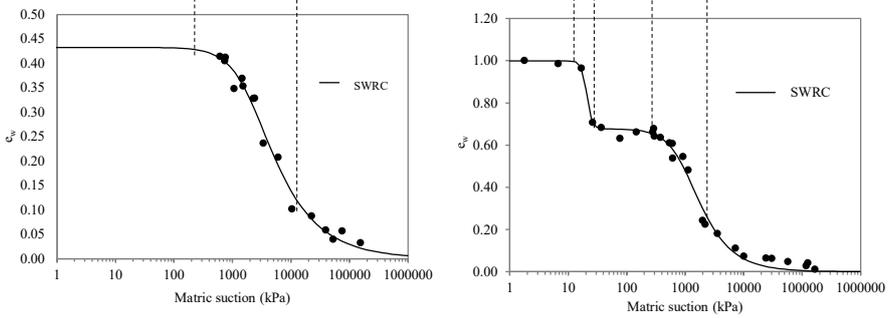
587 Based on the proposed fitting equation and the data presented in Table 2, it is possible to  
588 separate the variation in voids ratio associated with the drainage of micro and macro pores  
589 during the drying process, as well as to determine which suction values are thresholds  
590 responsible for the process of shrinkage of the samples.

591

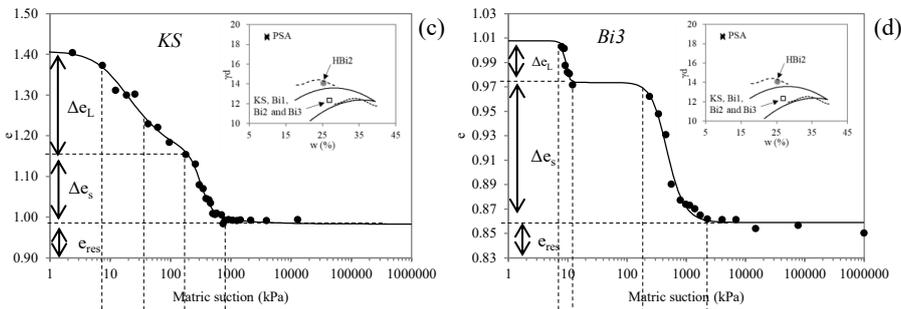
592



593



594



595

596 Figure 10 – (a) Shrinkage curves applied to proposed fitting equation, together with SWRC  
597 and interest points: (a) unimodal sample PSA; (b) bimodal sample Bi2; (c) KS; (d) Bi3.

598 ~~In all cases, shrinking within the micro pores occurs approximately halfway up the drainage~~  
599 ~~section of the micro pores, until the samples reach a residual void ratio. This is due to the fact~~  
600 ~~that shrinking is motivated by the increase of suction hence decrease of water meniscus in the~~  
601 ~~soil. It appears that in the case under study, after half of the drainage of the micro pores, the~~  
602 ~~water will no longer be in its capillary form, it is now immobile — only present in the form of~~  
603 ~~meniscus, thus stopping soil shrinkage (Lu, 2016; Lu and Dong, 2017; Tuller and Or, 2005).~~

604

605 ~~Based on the proposed fitting equation and the data presented in Table 2, it is possible to~~  
606 ~~separate the variation in voids ratio associated with the drainage of micro and macro pores~~  
607 ~~during the drying process, as well as to determine which suction values are thresholds~~  
608 ~~responsible for the process of shrinkage of the samples.~~

609

#### 610 **4. Conclusions**

611 ~~Tropical residual bimodal soils present a complex hydro-mechanical behaviour, which is~~  
612 ~~related to the presence of aggregates and elaborate structure. Previous Rresearchers~~ have  
613 recognised that the soil structure is one of the key soil elements that needs to be examined to  
614 allow for a fully comprehensive understanding of its ~~hydro-mechanical~~ behaviour. In the  
615 interest of contributing to a better understanding of the role of aggregates on the microstructural  
616 behaviour of ~~residual tropical bimodal~~ soils ~~hence hydro-mechanical behaviour~~, this paper  
617 described a new methodology for producing soils with simple - non-mineralogical variability  
618 and controlled double porosity - structures ~~representative of those of residual tropical soils, in~~  
619 ~~which the bimodal pore structure is characterized by a large difference between the dominant~~  
620 ~~micro and macro pores.~~

Field Code Changed

621

622 The methodology proposed consisted in creating aggregate by compacting Kaolin ~~el~~ay at high  
623 stresses (100MPa). The product of this sample was then oven-dried, crushed, sieved and mixed  
624 in different proportions with additional Kaolin ~~powder~~~~el~~ay ~~material~~ to create samples with  
625 different aggregate content. Thus, four samples were produced and compacted under the same  
626 conditions of water content, dry unit weight and void ratio, having different aggregate contents  
627 (0, 40, 62.5 and 80%). An additional sample, with 62.5% aggregate content was compacted  
628 targeting lower water content and void ratio and higher dry unit weight.

629

630

631 The aggregates within the samples created were stable in water, which is crucial to ensures that  
632 aggregates remained in the samples at other preparation stages. This also allows to control the  
633 aggregates content and the double porosity~~for simulating natural tropical residual soils~~. This  
634 stability fact was observed when comparing the grain size distribution of the different aggregate  
635 content samples without dispersant. Another important observation to be highlighted is that  
636 although the materials have the same mineralogy, the presence of aggregates reduced the  
637 specific surface of the particles, hence reducing their plasticity. This has also led to a decrease  
638 in expansivity with an increase in aggregate content. Additionally, the increase in aggregate  
639 content have also promoted change in the soil classification and compaction curve.

640

641 The samples created were assessed microstructurally by means of Soil Water Retention Curves  
642 (SWRC) and Pore Size Distribution (PSD), as well as qualitatively by Scanning Electron  
643 Microscopy (SEM) images. A relevant characteristic of the soils obtained with the proposed  
644 methodology is the bimodality. The difference between the sizes of the dominant micro and

645 macro pores reached 2 to 3 orders of magnitude. ~~This characteristic had not been observed in~~  
646 ~~previous kaolin researches and agreed with the differences between dominant sizes of micro~~  
647 ~~and macro pores of natural tropical residual soils.~~ It was observed that the increase in the size  
648 of dominant macro pores is associated with the increase in aggregate content up to a point,  
649 since no significant change in the dominant macro pores were observed between samples with  
650 aggregate content of 62.5 and 80%. Furthermore, the increase in compaction density led to a  
651 reduction in the difference between the size of dominant micro and macro pores together with  
652 a slight change in the size of micro pores.

653

654 The microstructural behaviour of the bimodal soils was also studied through their shrinkage  
655 curves. These curves showed that the presence of aggregates decreased the expansion and  
656 shrinkage of the samples. Moreover, in terms of suction, the shrinkage curves show tendency  
657 of shrinking similarly to the drainage observed in the SWRC. Based on these results, a fitting  
658 equation based on the soils' volumetric strain behaviour was developed fitting successfully the  
659 experimental shrinkage data.

660

661 Additionally, it is important to highlight that the methodology proposed for preparing bimodal  
662 soils produces soils with aggregates and non-mineralogical complexity (i.e., macro pores and  
663 aggregates are both made out of Kaolin Clay material), which emphasises difference between  
664 micro and macro structure. This characteristic could be extremely useful for differentiating the  
665 effect of the soil microstructure on ~~the-its~~ macroscopic behaviour.

666

667 ~~Finally, the comparison of the bimodal PSD of samples created in this research with samples~~  
668 ~~of high weathering residual soils proved that it was possible to simulate successfully the~~

669 ~~structure of these soils in laboratory using only one type of mineral, which favours further~~  
670 ~~studies of hydro-mechanical analyses.~~

671 The next stages of the research involve ~~:(i)-~~ assessing the hydro-mechanical characteristics of  
672 these artificial bimodal soils as well as the effects that the double porosity caused on them ~~and;~~  
673 ~~(ii) finally transferring the knowledge acquired with the microstructural, hydro-mechanical~~  
674 ~~study of artificial bimodal soils to natural tropical residual bimodal soils.~~

675

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683

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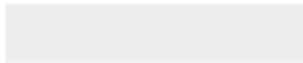
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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

## **CRedit Author statement**

**Vinicius de Oliveira Kühn:** Conceptualization, Methodology, Validation, Formal analysis, Investigation, Data Curation, Writing - Original Draft, Review & Editing, Visualization.

**Bruna de Carvalho Faria Lima Lopes:** Conceptualization, Validation, Writing - Review & Editing, Visualization.

**Bernardo Caicedo:** Resources, Funding acquisition, Writing - Review & Editing.

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