

1 **Clinical Features and Presentation of Cervicofacial Infection: A**
2 **Maxillofacial Trainee Research Collaborative (MTReC) Study**

3

4

5 **Abstract**

6 **Introduction:** Cervicofacial infection (CFI) is a common presentation to the Oral and
7 Maxillofacial (OMFS) department and accounts for significant emergency activity. The 8 current
9 study aims to understand the aetiology, management and clinical features of patients
10 hospitalised with CFI.

11 **Methods:** Our study included all patients admitted for management of CFI from May to
12 October 2017 at 25 OMFS units across 17 UK regions. Data were collected prospectively and 12
13 included age, comorbidities, prior treatment received, markers of sepsis and presenting
14 clinical features.

15 **Results:** One thousand and two (1002) admissions were recorded; 546 (54.5%) were male.
16 Median age was 34 years (1-94 years). The most common presenting complaints were
17 trismus (46%) and dysphagia (27%). Airway compromise was present in 1.7% of cases.
18 Odontogenic infection accounted for 822/1002 (82%) admissions. Of those with an infection
19 of odontogenic origin, 453/822 (55.1%) had received previous treatment. Two-thirds of those
20 who had received treatment were managed by antibiotics alone (300/453, 66.2%) Patients 20 met
21 criteria for sepsis in 437/1002 (43.6%) of CFI, and in 374/822 (45.5%) of odontogenic 21 infections.

22 **Conclusion:** This is the largest study worldwide of patients requiring inpatient management
23 for CFI. Infection due to odontogenic origin is the most frequent reason for admission and

- 24 nearly half do not seek treatment before presentation. Patients with CFI often present late in
- 25 their disease and frequently meet criteria for sepsis, requiring timely and aggressive treatment

26 to ensure optimum outcomes. Trismus is an emerging dominant feature with all the
27 implications related to the anaesthetic management of these patients. Knowledge of these
28 factors has implications for the referrer, triage, the emergency department, the anaesthetic
29 team and members of the OMFS team.

30 **Keywords:** cervicofacial, infection, odontogenic, sepsis, airway, trismus

31

32 **Introduction**

33 Cervicofacial infection (CFI) patients are a common presentation to the oral and maxillofacial
34 surgery department (OMFS). CFI can be caused by numerous aetiologies and present with
35 variable clinical features. Initial management often relies on relatively junior staff, and there
36 is much variation in treatment protocols between OMFS UK.¹ In depth knowledge of the
37 presenting features and the aetiology of infection, and clinical characteristics of this patient
38 group are crucial to further define and understand this population and to improve their
39 management.

40

41 CFI describes infection within the anatomical boundaries of the neck and face. The source of
42 CFI is commonly odontogenic but can also originate from the salivary glands, the tonsils and
43 skin.² Extensive dental disease is prevalent within the general UK population; the UK Adult
44 Dental Health Survey found that 7% of adults had features suggestive of extensive dental
45 caries causing significant problems in need of early attention.³ Patients who don't receive this
46 early attention may progress to require admission and hospital treatment for CFI.

47

48 The primary treatment aim of CFI is the removal of the underlying cause and drainage of
49 purulent collections. This is aided with antibiotic therapy if the patient is systemically unwell.

50 Surgical treatment of odontogenic infections commonly involves extraction of teeth, together
51 with surgical drainage of pus via incision, exploration and drainage procedures. Determining
52 the likely source can be challenging and requires extensive clinical examination and
53 radiography.

54

55 Many patients receive antibiotic therapy from dentists, general medical practitioners or the
56 emergency department. The proportion of patients that visit healthcare providers prior to
57 admission, and details of the provided treatment have not been previously examined. A
58 previous study² has defined the proportion of patients who presented to a general dentist prior
59 to admission, but that alone may not be representative.

60

61 Sepsis is a recognised complication of odontogenic infection with a wide range of reported
62 prevalence from 16% to 61.2% in retrospective analyses.^{4,5} Appropriate triage and timely
63 management require an understanding of the underlying aetiology with identification of key
64 presenting features and markers for sepsis. The literature lacks prospectively collected data
65 around this crucial parameter.

66

67 In this prospective, multicentre study we aimed to investigate the aetiology, type of treatment
68 prior to presentation to OMFS and presenting clinical features of CFI including the
69 prevalence of sepsis. We hope that detailed description of the patient population and their
70 presentation will improve understanding of CFI and encourage appropriate allocation of
71 resources and optimise outcomes. The study was conducted using the trainee collaborative
72 model.

73

74

75 **Methods**

76 This snapshot audit was devised and delivered by the Maxillofacial Trainee Research
77 Collaborative (MTReC) CFI project group. MTReC regional leads together with a team of
78 trainee collaborators in regional OMFS units were responsible for gaining local audit
79 approval.

80

81 Data were captured prospectively with the use of standardised collection forms for all patients
82 admitted under OMFS for management of a CFI of any aetiology. The study ran from May to
83 September 2017.

84

85 Data Collected

86 Data collected included patient age, sex, smoking status, comorbidities, details regarding any
87 treatment prior to admission, source of infection, presenting symptoms and biological
88 markers of infection (heart rate, blood pressure, c-reactive protein level, white cell count,
89 blood glucose level).

90

91 Each participating site was provided with a secure link to a server at NHS Greater Glasgow
92 and Clyde to allow remote data entry directly onto Research Electronic Data Capture
93 Software (REDCap).

94

95 Data Analysis

96 Data was collected onto a spreadsheet (Excel, Microsoft) and Statistical analysis was carried
97 out using SPSS (SPSS version 25, IBM). Significance of non-parametric data was tested
98 using Pearson Chi-squared Test where appropriate.

99

100 **Results**

101 Forty trainee collaborators collected data from 25 UK OMFS hub units across 17 regions
102 from March to September 2017. The total number of patients recorded was 1002.

103

104 The median age at time of presentation was 34 years (range 1 to 94 years), 546 patients
105 (54.5%) were male and 456 (45.5%) were female. The number of current smokers was
106 327/1002 patients (32.6%), while the number of smokers in those over the age of 18 years
107 was 322/876 (37.8%). Men were significantly more likely to be current smokers (205/546
108 37.5%) compared to women (122/456, 26.7%) ($X^2(1, N = 1002) = 13.16, p = .000$).

109

110 Most patients (92%) had an American Association of Anaesthesiologists (ASA) score of 1 or
111 2. Seventy-one patients (7%) had a previous diagnosis of diabetes mellitus. Of the remaining
112 931 patients, random blood glucose was recorded in 348. Of these 348 patients, seven (2%)
113 had a blood glucose level ≥ 11.1 mmol/l.

114

115 Trismus was the most frequently reported symptom, noted in 462/1002 patients (46.1%).
116 Dysphagia was present in 272/1002 (27.3%), voice changes in 43/1002 (4.3%) and stridor
117 in 5/1002 (0.5%). Impending airway compromise at the time of presentation was noted in
118 17/1002 patients (1.7%).

119

120 Odontogenic infections accounted for 822 (82%) admissions. Infection associated with
121 mandibular teeth accounted for 61.2% of the total number of admissions and 74.7% of
122 odontogenic infections. Other sources of infection are shown in *Table 1*, with the most
123 frequently implicated teeth illustrated in *Figure 1*. There were a small number of cases where
124 the source was unclear and infection arising from both a mandibular and maxillary tooth was

125 possible, as well as dual underlying pathologies such as a soft tissue infection with potential
126 dental infection.

127

128 Around half (453/822, 55.1%) of the patients presenting with an infection of odontogenic
129 origin had received treatment prior to presenting to OMFS. The majority of those (300/822,
130 36.5%) were managed with antibiotics alone, without any form of source control. A very
131 small minority of 87/822 (10.6%) patients had undergone a surgical removal of a tooth in an
132 attempt to remove the source of infection prior to presentation. Even less patients had
133 undergone other forms of treatment as shown in *Table 2*. Two or more forms of treatment had
134 been received by 100/822 (12.2%).

135

136 odontogenic infections separately. Of those, 269/822 patients (32.7%) had received
137 antibiotics from a dental practitioner prior to admission. Less common sources of prescription
138 were the emergency department (91/822; 11.1%) and general medical practitioner (36/822;
139 4.4%). Patients had received antibiotics from more than one source in 23/822 cases (2.8%).

140

141 Overall, 437/1002 patients (43.6%) met criteria for the definition of sepsis at the time of
142 admission. The percentage was even higher for CFIs of odontogenic origin 374/822 (45.5%)
143 whilst only 63/180 (35%) of those with non-odontogenic infection were septic.

144

145 The most frequently involved fascial spaces in CFI were the buccal (567/1002, 56.6%) and
146 submandibular spaces (336/1002, 33.6%). Involvement of other spaces is shown in *Table 3*.
147 Buccal (130/195; 56%) and canine space (81/195; 35%) involvement accounted for the
148 majority of CFI associated with maxillary teeth. Fascial space involvement subdivided by
149 mandibular teeth is shown in *Figure 2*.

150 Involvement of more than one fascial space was observed in 294/822 patients (35.8%) with
151 odontogenic infection. Those with infections arising from mandibular teeth had around twice
152 the prevalence of multispace involvement (41.7% mandibular vs.18.5% maxillary). Bilateral
153 fascial space involvement was seen in 29/822 patients (3.5%) with odontogenic infection.

154

155 **Discussion**

156 To our knowledge this is the largest worldwide prospective study around CFI. The captured
157 data provide the largest patient population to date and the only prospective study in the
158 literature. It records a high level of detail including aetiology, pre-hospital management,
159 clinical features and management.

160

161 This study supports previous observations that CFI occur over a wide age range.^{2,5} It is
162 interesting to note that male patients accounted for a higher proportion of admissions than
163 female patients with a ratio of 1.2:1, despite higher levels of caries in female populations.⁶
164 This 20% sex difference requires further investigation of potential underlying factors, aiming
165 to reduce this excess in men.

166

167 Current smokers accounted for 37.8% of admissions (age > 18 years), which is more than
168 double the rate of cigarette smokers (17.2%) reported in the 2015 UK Annual Population
169 Survey.⁷ Smoking is known to have deleterious effects on the oral environment and on
170 immune function, and a recent systematic review and meta-analysis concluded that there is a
171 correlation between tobacco smoking and an increased risk of dental caries.⁸ The high rate of
172 smoking observed in male patients in this cohort may provide part of the explanation for the
173 higher rate of admission for CFI in men.

174
175 CFI of odontogenic origin constituted the largest group, accounting for over four times the
176 number of admissions than all other aetiologies combined. Dental caries is a preventable
177 disease and much of this disease could be effectively managed at an early stage by a general
178 dental practitioner. It is therefore of some concern to note that nearly half (44.9%) of patients
179 admitted with CFI of odontogenic origin had received no previous treatment prior to
180 presenting to OMFS, whilst over a third (36.5%) had been managed with antibiotics alone.
181 When looking at those patients with infection of odontogenic origin, only 13.1% (108/822)
182 had previously received any attempt at source control (dental extraction or dental treatment).
183 Limited availability is often cited by the media as a barrier to dental care, which contradicts
184 the finding of The UK Adult Dental Health Survey that 92% of adults who attempted to make
185 a dental appointment were successful in doing so.³ Factors other than access must therefore
186 account for the high proportion who failed who access appropriate care. The survey also
187 found that only 58% of adults had attempted to make a dental appointment in the previous
188 three years. Approximately one in six patients (15.5%) with infection of odontogenic origin
189 sought care from services other than primary dental care. It has been proposed that personal
190 barriers to accessing dental care have their origins within the patient's previous life
191 experiences and their psycho-social background.⁹

192

193 The vast majority of patients admitted with CFI are otherwise medically fit and well, or with
194 underlying disease which is well controlled (ASA 1 and 2). Patients with diabetes mellitus
195 are known to have a higher susceptibility to acquire infections.¹⁰ The prevalence of diabetes
196 mellitus reported in this study (7%) is similar to the national English prevalence of 6.7%.¹¹
197 However, diabetes mellitus prevalence increases with age, from 9% aged 45 to 54 to 24%
198 aged 75 years and over. It is estimated that approximately 2% of adults aged 16 to 44 have

199 diabetes, and given the relatively young median age of this cohort, known diabetics are
200 overrepresented in patients admitted with CFI.¹² This finding is in agreement with Zheng et
201 al, who identified 77 patients with diabetes mellitus in a retrospective cohort of 192 patients
202 with CFI, though the number of diabetic patients in our cohort was considerably lower.¹³
203 Public health England estimates that approximately 2% of adults aged 16 to 44 have
204 undiagnosed diabetes mellitus.¹² In our cohort 2% (7/348) of patients who had a random
205 blood glucose recorded had a blood glucose level ≥ 11.1 mmol/l, which could signify an
206 underlying diagnosis of diabetes mellitus. However, many patients presenting with CFI
207 (especially odontogenic infection) report extended periods without significant calorie intake.
208 Therefore, a blood glucose ‘cut-off’ > 11.1 mmol/l may significantly underestimate the
209 number of undiagnosed diabetics in this group. As time from last oral intake was not recorded
210 in our audit, further investigation regarding impaired glucose tolerance and undiagnosed
211 diabetes mellitus in this patient group will clarify whether presentation with CFI can be
212 highlighted as a risk presentation for undiagnosed diabetes.

213

214 Trismus was present in almost half of those patients admitted to hospital, while dysphagia
215 was noted in nearly a third. There was a high risk (1 in 50) that patients with CFI may present
216 with features of advanced airway compromise. Both trismus and dysphagia are features
217 which signify the risk of a compromised and difficult to manage airway. It is clear that CFI
218 patients with these features require early assessment by both OMFS and an experienced
219 difficult airway anaesthetist in order to determine the need for advanced airway management.

220

221 Sepsis is a significant threat to life and a significant burden on healthcare systems. Sepsis was
222 prevalent in all patients at presentation; being seen in 45.5% of those with odontogenic
223 infection and 35.0% of those with non-odontogenic infection. This finding lies between the

224 previously quoted range of 16% to 61.2% in retrospective studies and the large sample size
225 and prospective nature of this study further corroborate that a large proportion of patients
226 with cervicofacial (and specifically odontogenic) infection are at risk of developing sepsis.^{4,5}
227 Patients with sepsis have added morbidity and typically have longer hospital admissions
228 requiring more critical care¹⁴. Mortality as a result of cervicofacial sepsis is rare but
229 preventing this clearly has resource and cost implications.^{15,16} The National Institute for
230 Health and Care Excellence states that ‘to reduce avoidable deaths, people with sepsis need to
231 be recognised early and treatment initiated. This guidance states that “healthcare staff ...
232 involved in assessing people's clinical condition are given regular, appropriate training in
233 identifying people who might have sepsis.”¹⁷ Our findings suggest that patients with CFI fall
234 into a high-risk category and should be managed with a high index of suspicion. It is clear
235 that recognition of sepsis parameters in primary care can be a means by which patients are
236 efficiently fast-tracked to the hospital OMFS team as highlighted some years ago.⁵

237

238 Involvement of more than one neck fascial space was common in this cohort. Multispace
239 involvement was most common (41.7%) when the infection was originating from a
240 mandibular tooth. Multispace involvement may be considered a surrogate marker for
241 advanced disease, suggesting that many of these patients present late. It is also clear that
242 when infection arises from a mandibular tooth, the operating surgeon must have a higher
243 index of suspicion for involvement of more fascial spaces and adopt a more aggressive
244 approach to surgical management than when infection has arisen from other sites and sources.

245

246 **Conclusion**

247 This is the largest and only prospective epidemiological study on patients presenting with
248 CFI. The study shows that CFI can affect all age groups, that over half of patients have

249 sought treatment from multiple sources yet very few received any type of source control. We
250 have shown that patients with CFI have a high prevalence of sepsis and that multispace
251 involvement and airway problems were common. Approximately half of patients had trismus,
252 which has important implications for airway management. Findings from this study should
253 inform future strategies to optimise management of patients presenting with CFI.

254

255 **Conflict of Interest**

256 We have no conflicts of interest.

257

258 **Ethics statement/confirmation of patient permission**

259 No ethics approval necessary. Local audit approval sought via Caldicott Guardian where
260 appropriate.

261

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312
313

315 **Table 1:** Aetiology of CFI in the cohort of hospitalised patients

316

	All patients (n=1002) (n, % cervicofacial infection)
Odontogenic	822 (82.2)
Mandibular	614 (61.2)
Maxillary	195 (19.5)
Mandibular and Maxillary	13 (1.3)
Soft tissue	133 (13.4)
Salivary gland	50 (5.0)

317

318

319 **Table 2:** Treatment prior to admission for patients presenting with CFI of odontogenic origin

	Odontogenic infections (n=822) (n, % of odontogenic infections)
Antibiotics alone	300 (36.5)
Surgical removal of tooth	87 (10.6)
Incision and drainage	30 (3.6)
Dental Treatment (endodontic treatment, restoration)	21 (2.6)
Antibiotics in combination with other treatment	94 (11.4)
Extraction AND antibiotics	54 (6.6)
Incision and drainage AND antibiotics	24 (2.9)
Dental treatment AND antibiotics	16 (1.9)
Incision and drainage AND dental treatment	7 (0.9)
Incision and drainage AND extraction	4 (0.5)

320

321
322

Table 3: Fascial spaces involved in CFI

Fascial Space	Cervicofacial infection (n 1002, %)	Non-odontogenic infection (n=180, %)	Odontogenic infection (n 822, %)
Buccal	567 (56.6)	39 (26.7)	528 (64.3)
Canine	94 (9.4)	8 (4.4)	86 (10.5)
Parapharyngeal	37 (3.7)	6 (3.3)	31 (3.8)
Sublingual	72 (7.2)	6 (3.3)	66 (8.0)
Submasseteric	128 (12.8)	8 (4.4)	120 (14.6)
Submandibular	336 (33.5)	42 (23.3)	294 (35.8)
Submental	68 (6.8)	13 (7.2)	55 (6.7)
Other / undefined	128 (12.8)	89 (49.4)	29 (3.5)
> 1 Fascial space	325 (32.5)	31 (17.2)	294 (35.8)

Bilateral Involvement	39 (3.9)	10 (5.6)	29 (3.5)
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323

324

325

326 **Figure Legends**

327 **Figure 1:** Most common teeth involved in CFI of dental origin

328 **Figure 2:** Fascial spaces associated with infections originating from mandibular teeth

329

330

Figure 1; Dental Origin of Infection COLOUR

UR8	UR7	UR6	UR5	UR4	UR3	UR2	UR1	UL1	UL2	UL3	UL4	UL5	UL6	UL7	UL8
1.4%	1.0%	3.2%	2.2%	2.2%	1.9%	3.8%	2.5%	1.9%	3.3%	1.4%	1.4%	2.2%	4.0%	3.0%	1.3%
14.3%	13.9%	13.6%	3.2%	1.9%	0.3%	0.5%	0.3%	0.5%	0	1.1%	2.7%	4.1%	13.6%	13.8%	13.2%
LR8	LR7	LR6	LR5	LR4	LR3	LR2	LR1	LL1	LL2	LL3	LL4	LL5	LL6	LL7	LL8

Figure 2; Fascial spaces associated with infections originating from mandibular teeth

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COLOUR



