

1 *Type of the Paper (Baseline Records of Contamination Levels)*

## 2 **Filling in the knowledge gap: Observing**

### 3 **MacroPlastic litter in South Africa's rivers**

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13 **Abstract:** Only 12% of the world's published plastic research includes references to Africa despite  
14 it being a significant contributor to the global plastic waste and mismanagement problem (~88.5%  
15 of Africa's plastic waste is mismanaged). Ocean plastics are transported from land by rivers to the  
16 sea. However, source contextualization is complex. Many African rivers predominantly run alongside  
17 human settlements that host informal waste dumpsites. In this study a simple cost effective, easily  
18 deployed, consistent and replicable survey methodology was employed. The study quantified  
19 macroplastic in three rivers discharging into Algoa Bay, South Africa. The results indicated that  
20 industrial Swartkops and metropolitan Baakens Rivers both illustrate moderate plastic pollution  
21 (>3000 plastic particles/day), with the relatively natural Sundays River to showing minimal evidence  
22 of river macro plastic (<100 plastic particles/day). The types of plastic were noted using the RIMMEL  
23 app (premier African implementation), enabling proportional comparison of different plastic litter  
24 types to be completed.

25 **Keywords:** macro-plastic; South Africa; river monitoring; RIMMEL app; visual monitoring

26

27 **Highlights:**

- 28 • Many rivers in significant plastic pollution contributing countries are unsurveyed

- 29 • RIMMEL provides a simple, consistent method to quantify river macroplastic
- 30 • Provision of the first plastic counts for 3 Port Elizabeth rivers, South Africa

31

32 Rivers are a widely acknowledged source of oceanic plastic pollution. Studies of oceanic plastic  
33 pollution have resulted in a social and political drive to quantify, characterize and invoke action to  
34 prevent marine plastic pollution. As a result, in the past decade, a growing number of studies have  
35 been undertaken to quantify river macro, meso and micro plastic being washed to the sea. There is  
36 a growing knowledge of rivers' contribution to this marine plastic waste, but knowledge and data gaps  
37 exist, especially in lower socio-economic areas, challenging community and environmental locations.

38 The growing body of knowledge on river macroplastic pollution initially focused on European and  
39 north American/Canadian rivers. More recently, studies extended to consider Asian rivers, and  
40 several of the top 100 world largest rivers (by discharge) have been analyzed, including Yangtze and  
41 Danube rivers (Milliman and Farnsworth, 2013). However, to date very little is reported on river plastic  
42 pollution in the African continent (Nel and Froneman, 2015; Ryan, 1988), with studies undertaken on  
43 the Vaal River (Bouwman et al., 2018), Gauteng Rivers (Bouwman et al., 2018), Crocodile River  
44 (Umlauf, 2019), estuary surface and sediment (KwaZulu-Natal) (Naidoo et al., 2015), marine waters  
45 and coastal environs (Nel and Froneman, 2015; Ryan, 1988; Ryan et al., 2018).

46 Africa is a significant contributor to the global plastic waste and mismanagement problem. Of the  
47 estimated 80Mt plastic mismanaged globally in 2015, approximately 21% occurred in Africa (Lebreton  
48 and Andrady, 2019). Approximately 88.5% of Africa's plastic waste is mismanaged, above the global  
49 average of 47% (Lebreton and Andrady, 2019). There is the potential for a proportion of this waste to  
50 enter the river network and be transported to the surrounding marine environment. However, there  
51 are few quantitative plastic pollution studies completed across Africa and therefore a knowledge gap  
52 and lack of baseline information. A review of published literature (Google Scholar) highlights this, with  
53 12% of all published papers or research on macro, meso and micro plastic including consideration of  
54 Africa, and only 11% of plastic pollution studies include a reference to Africa and rivers.

55 Many field methodologies have been used to quantify river plastic pollution at a macro to micro  
56 scale. These include a variety of drag nets (neuston, mantra and other nets) being towed behind  
57 boats or hung in place within a river flow, water sampling (water collection and sieving/filtration and  
58 microplastic counting) (Barrows, 2017; Liedermann et al., 2018; Mai et al., 2018; Priyanka and  
59 Udayashankara, 2018), and visual counts (using basic visual recognition and applications on

60 computers/tablets/phones) (Golumbeanu et al., 2017; González-Fernández and Hanke, 2017;  
61 González et al., 2016; Jambeck and Johnsen, 2015; Zettler et al., 2017). Macroplastic (>2.5cm) in  
62 rivers is easily visible, identified often as plastic in its' original form (e.g. bags, bottles) (Blettler et al.,  
63 2017; Lee et al., 2015). Macroplastic is visible in river flow if the observer is standing close to the  
64 water ( $\leq 10\text{m}$ ) and the plastic is in large pieces (Crosti et al., 2018; González-Fernández and Hanke,  
65 2017). Mesoplastic (5mm-2.5cm) is less easily visible in river flow but can be identified when using a  
66 net or river sample method to collect the plastic in the river flow (detailed in supplementary  
67 information). Microplastic (1 $\mu\text{m}$ -5mm) is very difficult to identify visually in moving river flow and  
68 requires physical sampling (water sampling/net plastic collection) and analysis (Hurley et al., 2018a;  
69 Li et al., 2018).

70 The European Commission Joint Research Centre (EC JRC) is one of several research networks  
71 created to address the knowledge gap in plastic pollution (marine and/or freshwater). Within this  
72 network, the RIMMEL project has created the JRC Floating Litter Monitoring Application (RIMMEL  
73 app) (EC JRC et al., 2016; González-Fernández and Hanke, 2017). This app, to be used on a tablet  
74 or mobile phone, provides a GPS and time stamped record of plastic identified, and importantly  
75 identifies not only its type, but also the size of the plastic found. The data collected by RIMMEL is  
76 standardized due to the applicants' data collection methodology and is in use across 36 scientific  
77 institutes, authorities and organizations in 17 (primarily European and Mediterranean) countries. The  
78 RIMMEL app is European Commission supported, widely accepted data collection tool and was  
79 designed for global river plastic pollution data collection.

80 Physical field monitoring of three rivers in the Port Elizabeth (South Africa) area was undertaken  
81 during the summer of 2019 (January – March). Port Elizabeth is located in the Eastern Cape and  
82 forms part of the Nelson Mandela Bay Metropolitan Municipality, the second largest metropolitan  
83 district in South Africa. The major port city of Port Elizabeth is comprised of a strong economic, cultural  
84 and financial center, affluent urban development area (surrounding Baakens River) and intense  
85 industrial area and inland township community (surrounding Swartkops River). Surrounding Port  
86 Elizabeth city are natural wilderness spaces including Addo Elephant National Park (to the north east  
87 and adjacent to the Sundays River). Visual recording following the RIMMEL app methodology  
88 (Castro-Jiménez et al., 2019a; Crosti et al., 2018; González-Fernández and Hanke, 2017; Schirinzi  
89 et al., 2020; Schöneich-Argent et al., 2020) in conjunction with complementary river sample collection  
90 in each river was undertaken at three monitoring locations on three major rivers near Port Elizabeth

91 (see supplementary information for further details). It is also acknowledged that tablets, large screen  
92 phones or field computers may not always be available in resource limited research departments or  
93 safe to use in certain areas. A paper version of the RIMMEL app specifically for the recording of  
94 plastic pollution was therefore created and tested alongside the tablet RIMMEL app. This was done  
95 to ensure that, in areas where using tablets is unsafe, or for monitoring teams without access to tablet  
96 or mobile technology necessary to run the app, the visual monitoring could still be completed.

97 The three rivers (Figure 1) were selected as they provided an overview of the Port Elizabeth river  
98 conditions, from intensely industrialized, modified and polluted to relatively natural and undeveloped.  
99 A tabulated summary of the study river's characteristics is provided in the supplementary material.

100 **Figure 1.** Port Elizabeth river sampling sites, and their contributing catchments.

101 The Swartkops River extends ~134km with a catchment area of >1360km<sup>2</sup> (Figure 2). Prior to  
102 industrialization of this catchment, the Swartkops Estuary was identified and qualified as a RAMSAR  
103 (wetland of international importance) site (1980's), however this qualification has since been  
104 downgraded due to pollution and modification of the salt pans/salt industry use of the estuary (BirdLife  
105 International, 2002). The Swartkops River runs through urbanized and industrial regions of Port  
106 Elizabeth (Binning and Baird, 2001). It is the most heavily industrialized river in Port Elizabeth and  
107 was selected to represent a high anthropogenic impacted river. Plastic waste mitigation strategies  
108 implemented in the Swartkops River such as drain outlet baskets have since been deployed at the  
109 Motherwell Township, however down river is at risk of storm water runoff, flood events, and strong  
110 winds depositing plastic waste from the informal dumpsites and townships closer to the Swartkops  
111 River mouth. The Zwartkops Conservancy, an education and conservation NPO, also actively  
112 undertakes litter cleanup campaigns across the lower sections of this catchment, collecting 14,700  
113 bags in 2017 and 14,400 in 2018 (50L bags, ~6kg/bag). During the January and February period of  
114 this studies field work, the Zwartkops Conservancy collected 1317 and 1165 bags of litter from the  
115 lower Swartkops Estuary area. There are also multiple stationary litter traps along several of the semi-  
116 canalized tributaries to this river, upstream from the monitoring location. These are infrequently  
117 emptied (~quarterly) and are constructed to detain approximately 5-7m<sup>3</sup> of litter including plastic.

118 **Figure 2.** Wyle "Colour" Bridge sampling location on the Swartkops River.

119 Sampling was undertaken at the 'Colour Bridge', a road bridge located 5km from the estuary  
120 river mouth. The river is both fluvial and tidal at this location. Monitoring was completed in the thalweg  
121 of the flow (near central location on the bridge) during peak outflow (the 3<sup>rd</sup> and 4<sup>th</sup> hours after the top  
122 of the tide).

123 The Sundays river is a long (>250km) meandering river with very little urban development or  
124 industrialization along its banks. The river has not been canalized, and for a portion of its lower reach  
125 it flows through/alongside the Addo Elephant National Reserve (game reserve). The river is the  
126 largest and most natural in the Port Elizabeth area, with the river estuary/mouth and surrounding sand  
127 dune area under conservancy management (nature reserve) (Figure 3).

128 **Figure 3.** Old Main Road Bridge sampling location on the Sundays River

129 The monitoring location was on a disused road bridge located 11km upstream from the river  
130 mouth. The location is influenced by both tidal and fluvial flow. The central thalweg was monitored in  
131 the same manner as the Swartkops River, during the strongest discharge period of the outgoing tidal  
132 period (a downstream flow condition influencing the discharge rate at the bridge).

133 The Baakens River is a highly modified urban river that flows through the Baakens Valley and  
134 central Port Elizabeth urban area. The lower section of this river has been canalized, and the upper  
135 reaches are within a riverine parkland area. The rivers tributaries collect storm water from much of  
136 the high socio-economic urban areas of Port Elizabeth and the Walmer golf course.

137 **Figure 4.** Footbridge sampling location on the Baakens River.

138 The field monitoring was undertaken on a small pedestrian bridge in the lower reach of the  
139 Baakens River (Figure 4). The location was 1km from the river mouth but above the tidal influence on  
140 this river (the river bed rises above the tidal influence approximately 500m from the river mouth). At  
141 the monitoring location, the river has been artificially straightened. It is grassed on the southern bank  
142 (an artificial flood plain) and planted with reeds on the northern bank. The river is narrow (<3m wide)  
143 and shallow (<2m deep, average daily flow) but has a gravel or concreted bed and is relatively fast  
144 flowing (compared to the Swartkops and Sundays Rivers).

145 The visual macroplastic monitoring methodology designed and previously pilot tested by EU JRC  
146 (Crosti et al., 2018; González-Fernández and Hanke, 2017; González et al., 2016) was adopted for  
147 this research. At each location and during each monitoring period, one person visually monitored and

148 recorded macroplastic moving down the river using the RIMMEL app and a second person completed  
149 the same task using a paper version of the RIMMEL app (available in the supplementary information).  
150 The two visual plastic 'spotters' worked in isolation and did not confer or support each other in sighting  
151 or identification of the plastic particles.

152 To undertake the visual assessment of macroplastic transported down the monitored rivers, the  
153 monitoring location upstream from the river mouth (with fluvial flow) was selected where a vantage  
154 point centered over the river was available (e.g. a bridge, preferably  $\leq 10\text{m}$  above the water surface).  
155 To complete the visual monitoring, the 'spotter' stood in the selected monitoring point on the bridge,  
156 looking upstream, and recorded all plastic that moved under the bridge within a designated  
157 'observation track' over the monitoring period (Figure 5).

158 **Figure 5.** Visual observation track and monitoring location selection used to collect RIMMEL app data  
159 (Sundays River Old Main Road Bridge example). Modified from Figure 1 in (González-Fernández and  
160 Hanke, 2017).

161 The visual monitoring point was specific to the location, aimed to provide a representative  
162 observation track for each river (e.g. from river bank to river center). Baakens River width at the  
163 monitoring location was small,  $< 3\text{m}$ , therefore the entire width of this river was included in the  
164 observation track. The Sundays River is wide (85m) and the bridge elevation is at the upper limit  
165 appropriate for visual plastic identification. The observation track was therefore limited to 10m and  
166 spanned from the center of the river back towards the river bank. The Swartkops River is also  
167 relatively wide (110m), but the bridge is  $\sim 4\text{m}$  above the water surface and has a comparatively slow  
168 flow velocity ( $< 0.4\text{ m/s}$ ). The observation track for the Swartkops River at the Colour Bridge of 15m  
169 was selected. A minimum of 7 individual monitoring surveys were completed at each of the river  
170 monitoring locations during the January-March period of 2019.

171 The RIMMEL app has a GPS location macro, enabling automatic latitude and longitude recording  
172 if the device (e.g. tablet) has a GPS. For the paper version of the RIMMEL and devices without GPS  
173 enabled, the location can be identified using a handheld GPS or through geographic information  
174 systems (e.g. ESRI or google maps/google earth). The app also requires the observer elevation and  
175 observation track to be noted, alongside the river width and flow velocity.

176 The flow velocity is ideally monitored using a calibrated flow meter. However, where such  
177 equipment is unavailable, the float method can be used to estimate river flow velocity and calculate

178 discharge. To undertake the float method test, collect a piece of natural detritus such as a stick. At  
179 the upstream edge of the bridge, release the stick into the central flow of the river. Monitor the time  
180 taken for the stick to pass beneath the bridge (a known and measured width). The flow velocity can  
181 then be estimated (time (sec)/distance (m)) multiplied by a friction correction (Harrelson et al., 1994)).  
182 The float method test needs to be repeated multiple times to improve the accuracy in measurement,  
183 and needs to be completed a minimum of three times during the 2 hour monitoring period (start, 1hr,  
184 end). To accompany the flow test information, cross section survey of the river was completed to  
185 determine the flow, depth, area and the elevation of the bridge above the water surface (Figure  
186 2Figure 3Figure 4).

187 The average plastic particle count/day was calculated from all monitoring periods (n=7) following  
188 the methodology specified in (Crosti et al., 2018; EC JRC et al., 2016; González-Fernández and  
189 Hanke, 2017; van Emmerik et al., 2018). Representative daily plastic particle counts were calculated  
190 using the reported relative daily discharge for the three rivers and the recorded flow during the  
191 monitoring periods. These values were then extrapolated to provide an indication of the potential  
192 annual plastic particle flow down these rivers at the monitoring locations (Figure 6).

193 **Figure 6.** The count of meso and macroplastic transported down the monitored rivers from RIMMEL and  
194 complementary visual counts completed at the monitoring location. Figure 8a presents the relative daily  
195 counts of plastic particles for the monitoring locations; Figure 8b extrapolates these results to a tentative  
196 estimate of the possible annual, non-flood plastic particle discharge.

197 Initial analysis indicated that Swartkops and Baakens rivers both illustrate moderate plastic  
198 pollution (>3000 plastic particles/day), with the relatively natural Sundays River showing minimal  
199 evidence of macro and meso plastic in the river water (<1000 plastic particles/day). All rivers  
200 illustrated the presence of meso plastic as well as macro plastic. The Baakens River was noted to  
201 present a larger proportion of macroplastic relative to the Swartkops and Sundays Rivers. However,  
202 the upstream area of the Swartkops River is supported by plastic cleanup activities (Zwartkops  
203 Conservancy pers comm, Dale Clayton 31<sup>st</sup> March 2019). The Zwartkops Conservancy collected over  
204 2480 bags of litter from the banks of the Swartkops River. If no litter collection had occurred during  
205 the monitoring period, it is suggested that the quantity of macro plastic recorded for the Swartkops  
206 River would be notably greater. The 'Swartkops without cleanup' values illustrated in Figure show the  
207 potential increase in macro plastic conveyed down the Swartkops River if only 1 piece of plastic waste  
208 from each of the 2482(~6kg each) litter bags was transported by the river.

209 The types of plastic were recorded using the RIMMEL app, enabling proportional comparison of  
210 different plastic litter types to be completed. The plastic identified in the Sundays Rivers was small  
211 (~5cm in size) and brightly colored, however the determination of the plastic origin (e.g. food wrapper,  
212 plastic bag etc.) was not always clear (as the particles were often fragments of the original plastic  
213 product) and therefore a greater number of identified plastic particles were classified as 'plastic  
214 pieces' to prevent incorrect logging of plastic type.

215 **Figure 7.** Proportion of plastic by type

216 The majority of identifiable macroplastic was found to be plastic packaging and food  
217 wrappers/packaging. There were a greater number of plastic bags and foam pieces (from packaging  
218 and construction) found in the Swartkops River, than the Sundays or Baakens Rivers. The Baakens  
219 River presented a larger quantity of plastic sheeting or film (from packaging).

220 The macro and mesoplastic particle sizes ranged from ~5mm to >50cm. The larger proportion  
221 of collected plastic falls within the mesoplastic category (FigureFigure ). Of the macro plastic counted,  
222 the plastic particles were generally at the smaller end of the size range. Similar to previously published  
223 studies on plastic particle size, the particle size found in the monitored rivers follows the  
224 (exponentially) increasing trend, showing greater particle counts as the particle size range decreases  
225 (Cai et al., 2017; Gasperi et al., 2018; Schymanski et al., 2018). The results suggest that the quantity  
226 of mesoplastic pollution is approximately twice that of the macroplastic pollution in the monitored  
227 rivers.

228 **Figure 8.** Particle size of monitored plastic

229 The plastic monitoring published for South Africa coastal waters (macro, meso, and microplastic  
230 counts) ranges from ~200 up to >300,000 plastic particles/m<sup>3</sup> (Bouwman et al., 2018; Naidoo et al.,  
231 2015; Nel and Froneman, 2015; Verster et al., 2017), with highly urbanized and developed catchment  
232 rivers showing up to 56 MP/L (Bouwman et al., 2018). The quantity of macro- and mesoplastic  
233 identified in three rivers within this study show significantly lower quantities of plastic compared to  
234 sampled South African coastal waters. However, it is important to note that the size range considered  
235 in this study is limited to 5mm and therefore does not include microplastic particles (microplastics are  
236 included in many of the aforementioned studies). It is also acknowledged that the results reported in  
237 this study are a first insight into the plastic quantities in the monitored rivers and that a longitudinal

238 study is necessary to ensure all environmental conditions and seasons are included in the monitoring  
239 to provide greater accuracy in the generalized plastic river discharge estimates.

240 The field monitoring results have been compared to the global published data of plastic in  
241 freshwater (with the inclusion of standard samples for wastewater and drinking water for reference).  
242 The monitored rivers illustrate plastic quantities in the 30<sup>th</sup> and 35<sup>th</sup> percentile of the published plastic  
243 quantities (Figure ). Initial monitored plastic quantities from the three monitored rivers suggest these  
244 rivers to convey plastic pollution to the ocean, but that they are not among the most polluted rivers  
245 monitored and reported to date.

246 **Figure 9.** Comparative plastic quantity (MP/m<sup>3</sup>) from the Port Elizabeth field study, reported MP quantities  
247 from rivers around the world and representative waters (receiving bays, wastewater and drinking water)  
248 (Anderson et al., 2017; Baldwin et al., 2016; Bouwman et al., 2018; Castro-Jiménez et al., 2019b; Cheung  
249 et al., 2018; Dris et al., 2015; Faure et al., 2015; Fischer et al., 2016; Free et al., 2014; Gasperi et al., 2014;  
250 Hurley et al., 2018b; Kosuth et al., 2018; Lima et al., 2014; Mani et al., 2015; Mason et al., 2016; McCormick  
251 et al., 2014; Moore et al., 2011; Rech et al., 2015; Sadri and Thompson, 2014; Schymanski et al., 2018; Su  
252 et al., 2016; Tibbetts et al., 2018; van der Wal et al., 2015; Vermaire et al., 2017; Wang et al., 2017; Zhao  
253 et al., 2015). The three field study rivers are highlighted in black. The location of the freshwater plastic  
254 sample locations reported (blue) and from this study (black) are presented in the map inset. It is noted that  
255 the results tentatively compared here are not representative of a set size range of plastic particle or piece,  
256 but are provided for an initial indicative consideration.

257 Further studies though South Africa and Africa in general are needed to help address the  
258 research gap in river plastic pollution transported to the oceans, but this study provides a first step  
259 towards addressing this knowledge gap. The RIMMEL app and complementary visual counting is  
260 effective in monitoring macro- and mesoplastic respectively in these small to moderate sized rivers in  
261 locations where advanced monitoring methods are not available and provides data consistent and  
262 comparable to that collected and reported in Europe and other countries. It is noted that due to the  
263 success of this survey in Port Elizabeth, This protocol has been adopted by a 7 African research  
264 groups of the West Indian Ocean Marian Science Association (WIOMSA in conjunction with the  
265 African Waste Network). The research teams, from (Kenya, Madagascar, Mauritius, Mozambique,  
266 Seychelles, Tanzania) have formed a trans-Africa research consortium who will monitor river plastic  
267 pollution using these survey techniques over the next 2 years. This is a strong inroad to addressing  
268 the knowledge gap in African river plastic pollution and the quantity of surface river plastic being  
269 conveyed to the oceans and marine environment.

270 **Supplementary Materials:** All data discussed in this paper is available within the manuscript.

271 **Author Contributions:** K Moss, D Allen and S Allen conceptualized the research project. K Moss, D Allen and  
272 S Allen undertook the field monitoring, data analysis and manuscript writing. D González-Fernández designed  
273 the RIMMEL app and provided the analytical support to the RIMMEL data.

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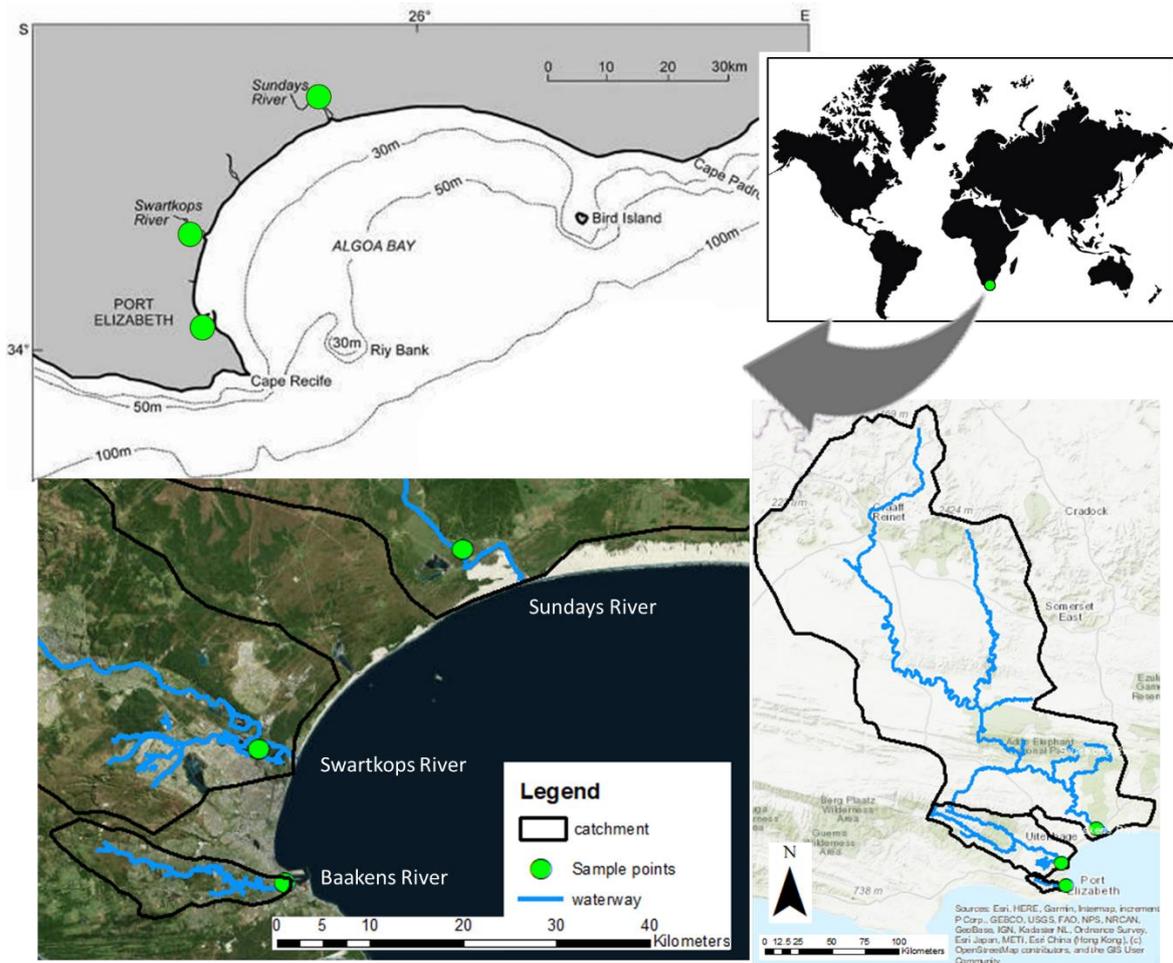
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437 **Figure 1.** Port Elizabeth river sampling sites, and their contributing catchments.

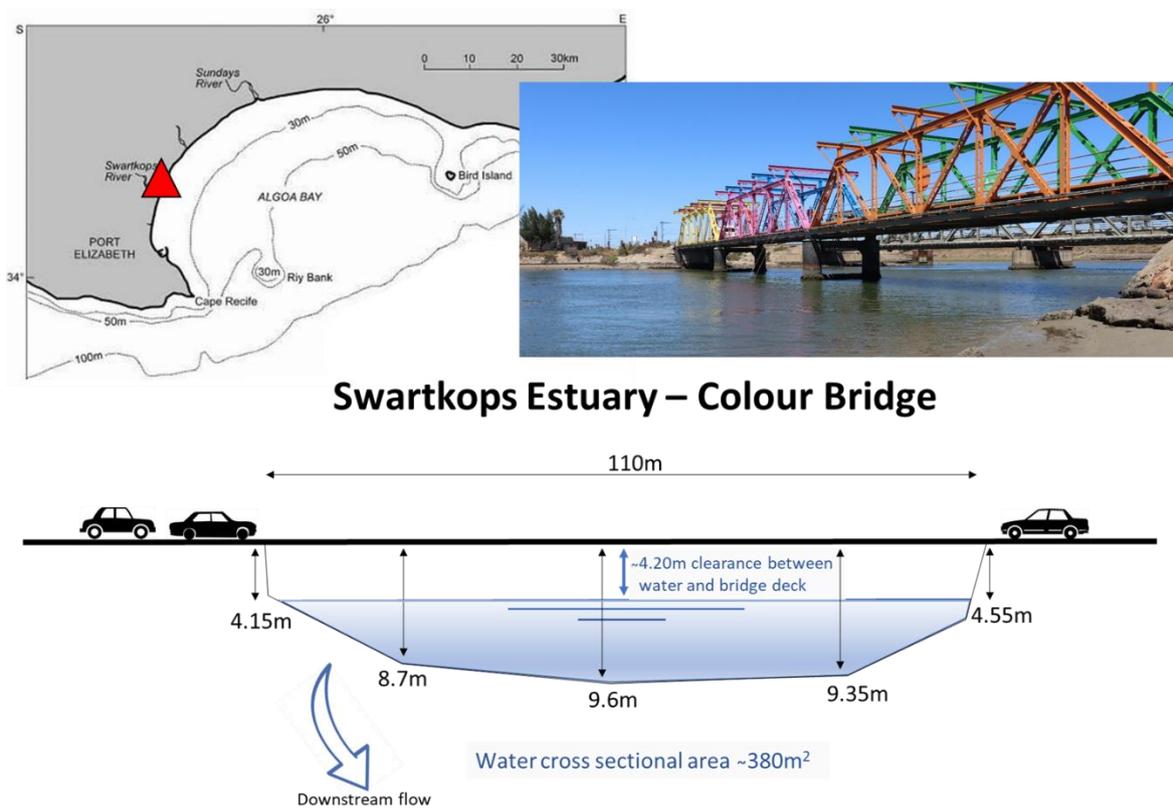
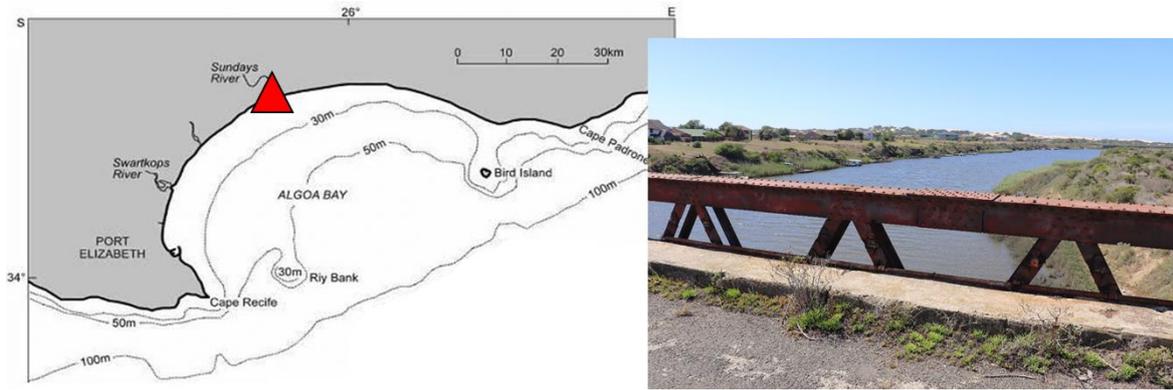


Figure 2. Wyle "Colour" Bridge sampling location on the Swartkops River.



**Sundays River – Old Main Road Bridge**

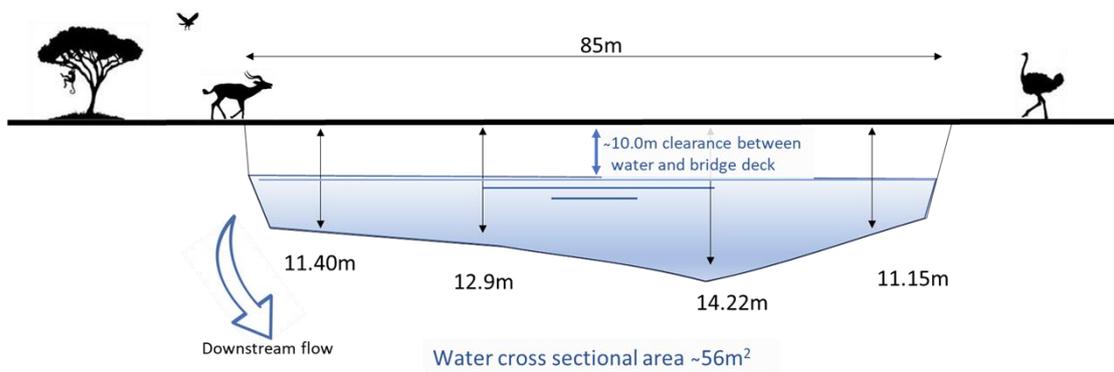
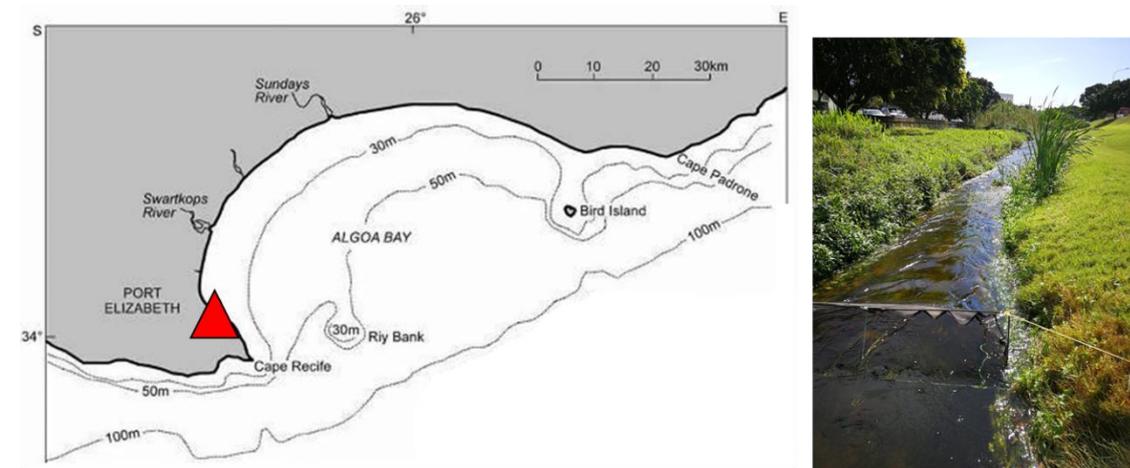


Figure 3. Old Main Road Bridge sampling location on the Sundays River



**Baakens River – Settlers Park**

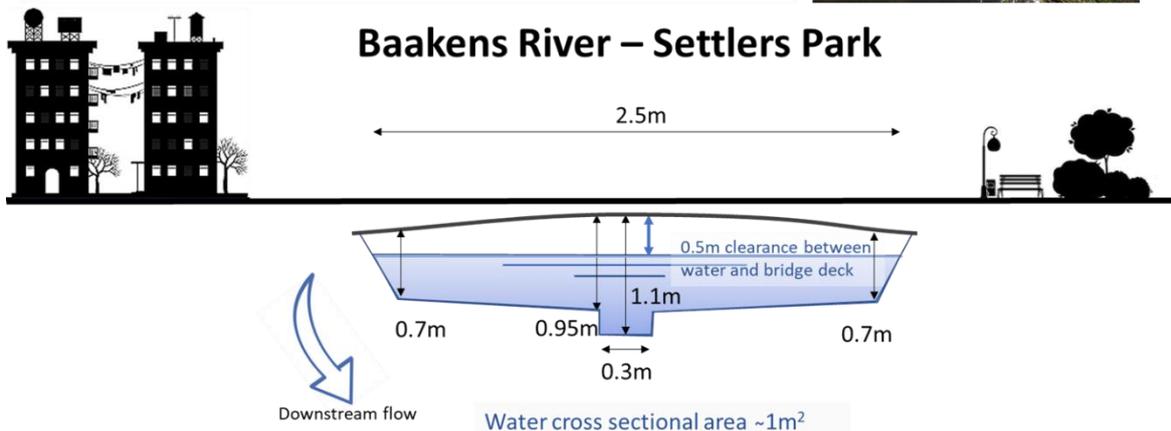


Figure 4. Footbridge sampling location on the Baakens River.

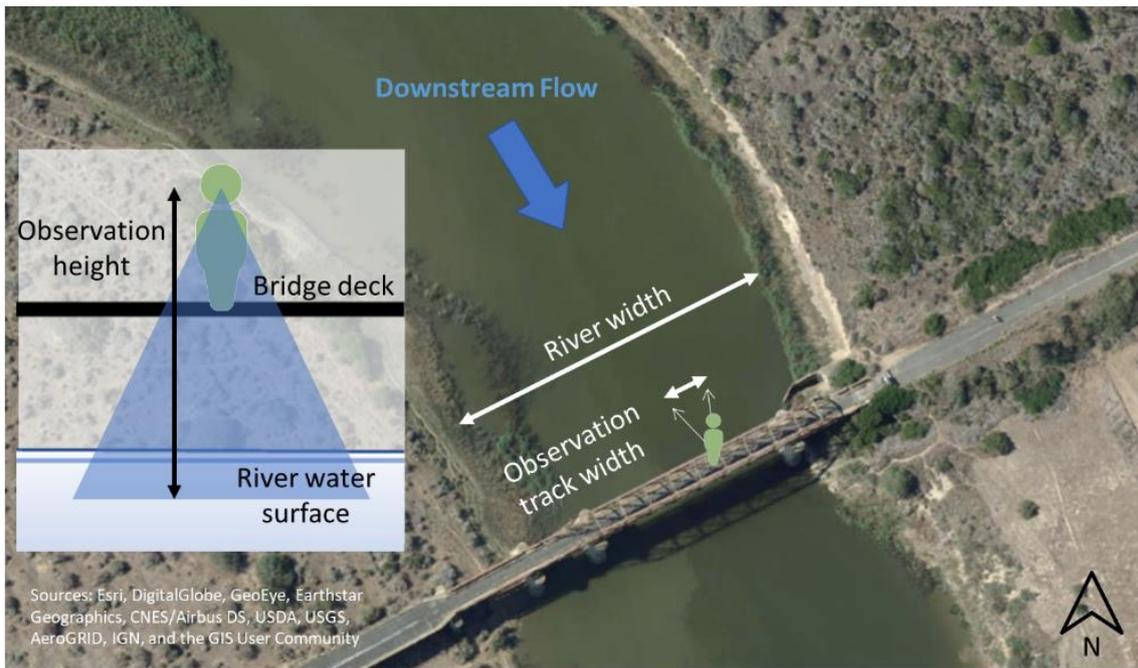


Figure 5. Visual observation track and monitoring location selection used to collect RIMMEL app data (Sundays River Old Main Road Bridge example). Modified from Figure 1 in (González-Fernández and Hanke, 2017).

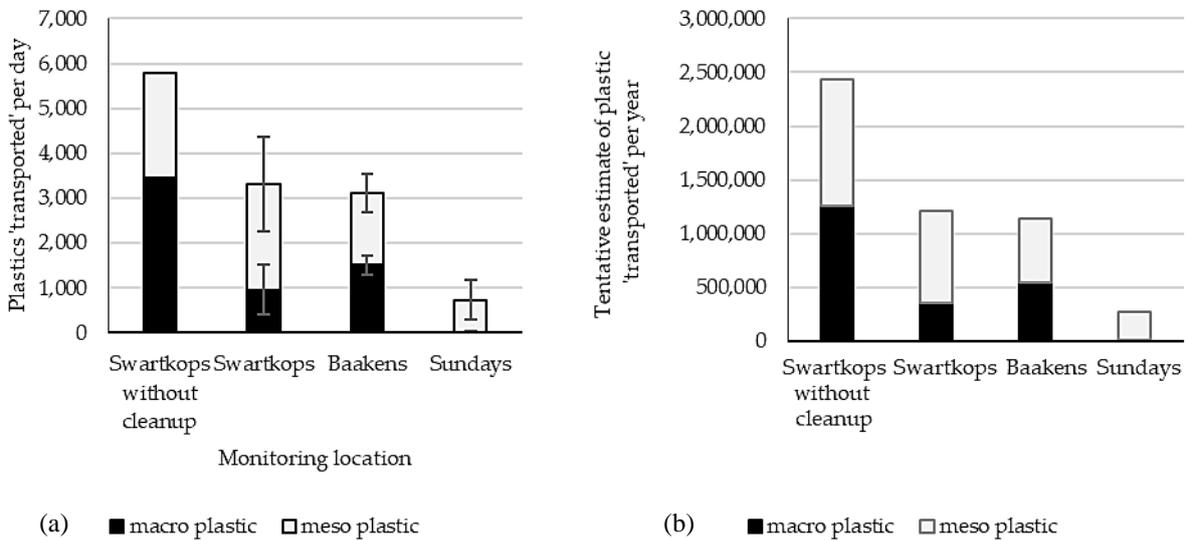
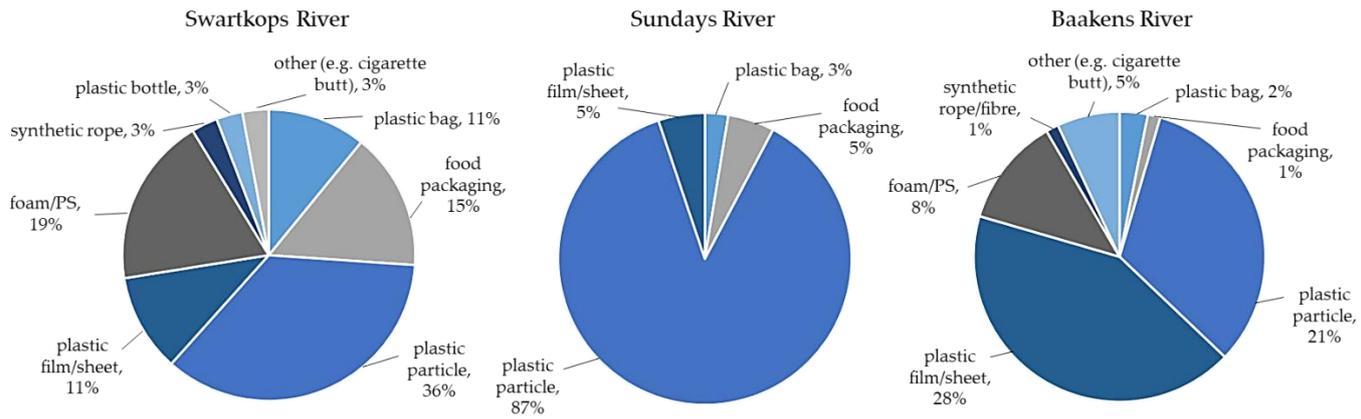
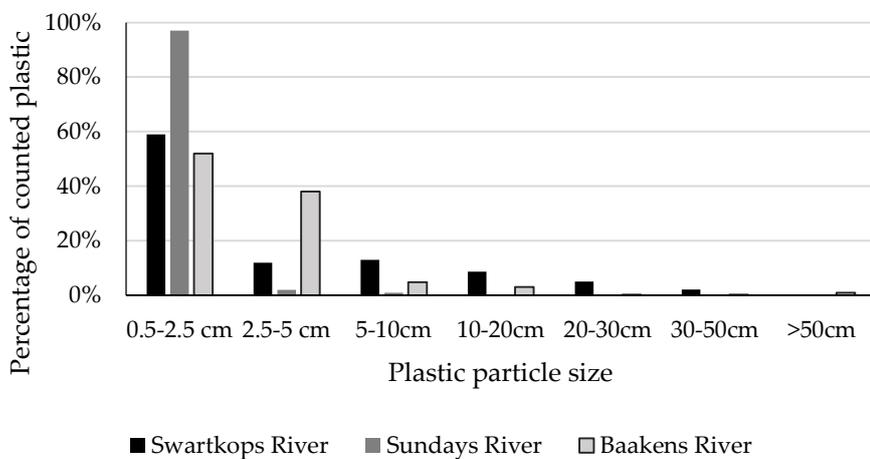


Figure 6. The count of meso and macroplastic transported down the monitored rivers from visual counts completed at the monitoring location. Figure 5a presents the relative daily counts of plastic particles for the monitoring locations; Figure 5b extrapolates these results to a tentative estimate of the possible annual, non-flood plastic particle discharge.



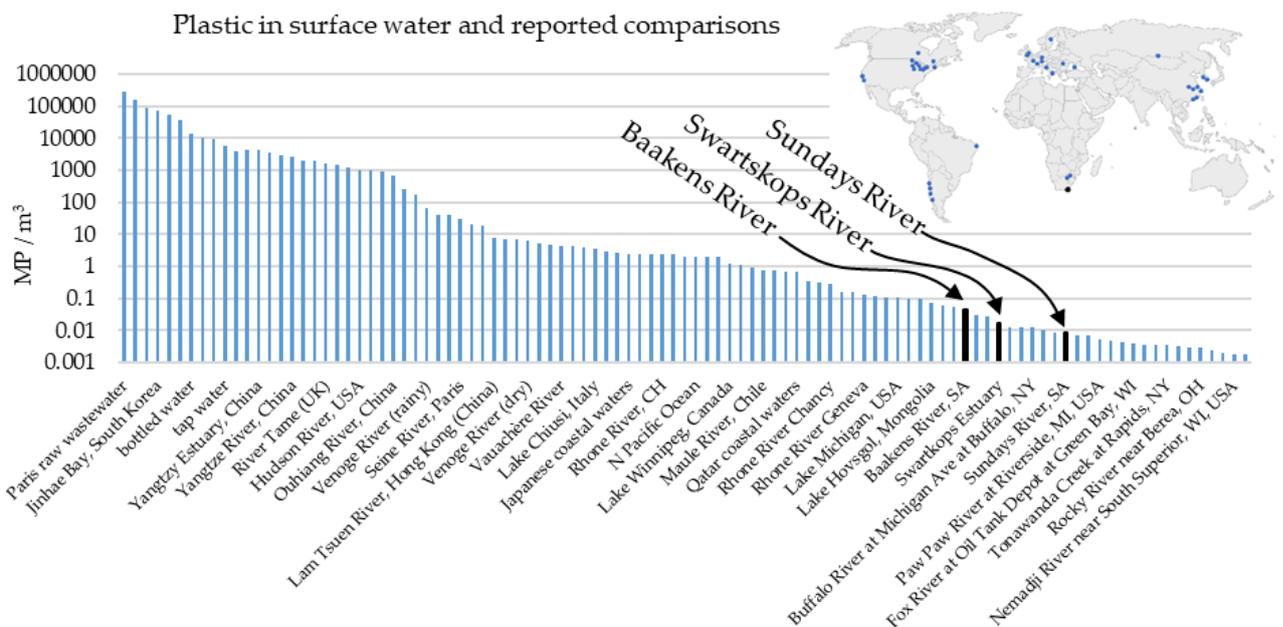
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Figure 7. Proportion of plastic by type



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Figure 8. Particle size of monitored plastic



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Figure 9. Comparative plastic quantity (MP/m<sup>3</sup>) from the Port Elizabeth field study, reported MP quantities from rivers around the world and representative waters (receiving bays, wastewater and drinking water) (Anderson et al., 2017; Baldwin et al., 2016; Bouwman et al., 2018; Castro-Jiménez et al., 2019b; Cheung et al., 2018; Dris et al., 2015; Faure et al., 2015; Fischer et al., 2016; Free et al., 2014; Gasperi et al., 2014; Hurley

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et al., 2018b; Kosuth et al., 2018; Lima et al., 2014; Mani et al., 2015; Mason et al., 2016; McCormick et al., 2014; Moore et al., 2011; Rech et al., 2015; Sadri and Thompson, 2014; Schymanski et al., 2018; Su et al., 2016; Tibbetts et al., 2018; van der Wal et al., 2015; Vermaire et al., 2017; Wang et al., 2017; Zhao et al., 2015). The three field study rivers are highlighted in black. The location of the freshwater plastic sample locations reported (blue) and from this study (black) are presented in the map inset.