

Determination of the seismic signatures of landslides in soft soils: a methodology based on a field scale shear box

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Keywords: landslide monitoring; microseismic; field scale; shear box

Abstract

We present a novel field experimental setup that can be used for studying the characteristics of landslide seismicity. The setup consists of a concrete, filled with soil, cylinder that moves along a surficial soil corridor. The emitted seismic signals are due to soil friction. The cylinder acts as an upscaled sheer-box allowing control over a number of parameters: the magnitude of normal stress on the failure plane, the degree of saturation and the type of soil. This allows for the simulation of soil friction within, or between, different geological layers under different conditions. Results are site specific, but can be easily reproduced for any geological environment. We validate this methodology by comparing the spectral characteristics of the signals emitted by the movement of the cylinder to those induced by a controlled failure of a 2.5m high vertical face at a nearby site with very similar geology. We find a very good agreement between the two. This methodology can be used as a site investigation tool for the optimization of the deployment geometry of seismic networks for landslide monitoring, as well as to inform machine learning algorithms on automatic detection and classification of recorded signals during seismic monitoring of landslides.

Keywords: field shear box, microseismic monitoring, landslides

Introduction

Extreme weather phenomena have more than doubled, even tripled at times, since 1980 (European Academies' Science Advisory Council, 2018). As a result, in 2018 only, flooding accounted for 39% of the reported natural disasters. This

percentage becomes 5% in the case of landslides and soil mass movements (Ritchie and Roser, 2019). This number does not include incidents of landslides induced by flooding. Such events can cause major disruption including loss of human life (Petley, 2012) and great economic cost (Sassa and Canuti, 2009). Understanding and predicting the behaviour of landslides remains a major geotechnical challenge. In the past 15 years, passive seismic monitoring has been used systematically to detect, characterise and locate seismic waves induced by slope failure in an effort to better understand their kinematics. This type of monitoring is mainly common for slopes dominated geologically by rocks (e.g. Vilajosana et al., 2008; Barla et al., 2010; Helmstetter and Garambois, 2010; Gigli et al., 2011; Guinau et al., 2019): the rock's brittle behaviour translates to higher amounts of emitted seismic energy during failure when compared to soils. Soils have lower density and thus, high attenuation properties, which makes it difficult for weak seismic waves, such as those emitted during fissure formation, to be detected.

Current research on seismic monitoring of slopes and their potential failure focuses on identifying and categorizing seismic signals emitted as a result of fracture formation and soil movement, e.g. Hibert et al. 2014; Zimmer and Sitar, 2015; Provost et al., 2018; Schöpa et al. 2018. A number of studies focus on reducing the errors involved in locating accurately such seismic sources, i.e. finding where the failure originated (e.g. Amitrano et al., 2010; Levy et al., 2011; Walter et al., 2011; Rothmund and Joswig, 2012). Seismic monitoring of landslides/rockslides involves seismometers being deployed around slopes prone to failure for time periods that could span from a few days to years (Walter et al., 2011). All recorded signals need to be characterised and their sources identified. These sources include, among others, local, regional and teleseismic earthquakes, anthropogenic and environmental noise, etc. The different types of seismic sources are validated based on a priori knowledge of the area's seismicity and/or on other technologies, such as video recordings, piezometers, GNSS and total stations, which all contribute to the confirmation, location and origin time determination of landslide occurrences (Mainsant et al., 2012). This can be an expensive exercise.

67 More recently, machine learning has been applied to the detection and
68 classification of landslide/rockslide seismic recordings, e.g. Vouillamoz et al.
69 (2018), Qu et al. (2019); Hibert et al. (2019). This approach, which originates
70 from the field of signal processing, has been quite revolutionary, especially in the
71 analysis of continuous, noisy seismic recordings. Hence, machine learning has
72 been increasingly gaining recognition and momentum amongst researchers and
73 professionals in the field. The caveat is that the effectiveness of such
74 methodologies requires large sets of labelled seismic data from slope monitoring
75 records to be used for training. Such data are sparse, and amongst those that are
76 available to the scientific community, most are in Alpine environments, i.e.
77 rockslides. Seismic data sets from landslides in soft soils are rare. To add to this, the
78 seismic signatures of soil (and rock) failure depend on the geology encountered
79 along the source-to-receiver (seismometer) path and the soil properties, both of
80 which could differ for different areas with differing soil types.

81 We present an easy to implement methodology that helps fill this gap and could
82 potentially be used to (1) develop a data-base of seismic signals induced by soil
83 friction, specific for the site/area of interest. This data-base could then be used to
84 inform automatic identification and classification algorithms. (2) Inform the
85 optimisation of the geometry of the passive seismic monitoring network. Our
86 novel experimental setup can simulate landslide originated seismic signals on site.
87 The procedure allows for the control over the material type and properties of the
88 simulated landslide, as well as its depth, to suit the needs of the area that is being
89 monitored. The information deducted from the analysis of the collected data can
90 be used for the identification of the frequency content and waveform pattern of
91 the emitted signals, and the role of local geology, in the propagation of the seismic
92 waves.

93 **Methods**

94 *Experimental set-up overview:* The experimental set-up is designed to induce
95 displacement of one soil layer relative to another, thus reproducing the source
96 mechanism, i.e. soil slip, along the failure plane of a landslide in soft soils. During
97 such an event, friction between soil particles produces seismic waves that travel
98 through the surrounding geology and are recorded by seismometers. The method

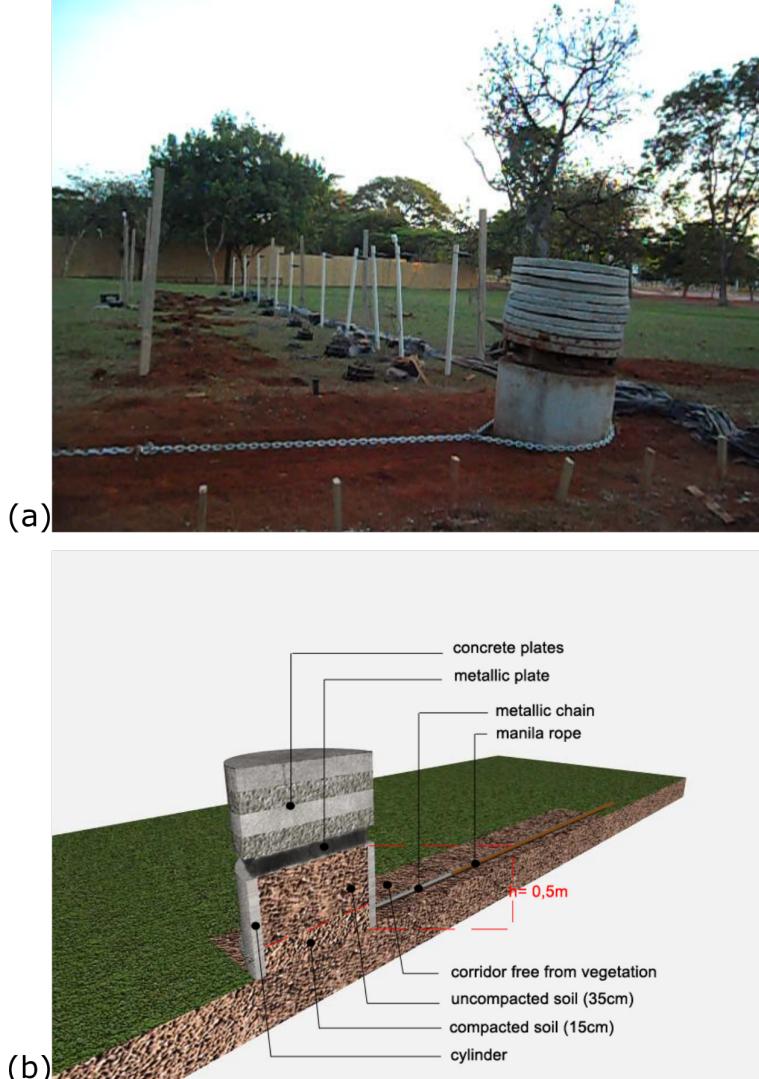
99 uses an up-scaled shear box, which allows control over a number of parameters:
100 the load applied, the induced stress (i.e. the magnitude of normal stress on the
101 failure plane) and the depth of the failure plane.

102 A concrete hollow cylinder (0.5 m high, 0.65m internal diameter) is filled with a
103 15 cm layer of compacted soil and a 35 cm layer of non-compacted soil. The
104 cylinder is placed on top of a surficial soil corridor free from vegetation (Figure 1).
105 The cylinder is connected to a reel via a high-tension manila rope and metallic
106 chain and is pulled along the soil corridor. Movement occurs in “pulses”: tension
107 built up on the rope connecting the cylinder with the reel overcomes the
108 resistance of static friction, then drops when the cylinder starts moving. We use
109 concrete slabs to vary the load applied on the slip surface.

110 *Detailed description of the experimental set-up:* A list of all parts of the
111 experimental set-up is provided below:

- 112 1. A concrete cylinder, 0.5m high, with an external and internal diameter of
113 0.75m and 0.65m respectively.
- 114 2. A surficial soil corridor free from vegetation, 4m long, 1.5m wide. The
115 dimensions of the corridor are chosen such that the concrete cylinder fits
116 within the width of the corridor. It is desirable that the cylinder can move over
117 a distance of at least 2 times the cylinder’s diameter.
- 118 3. A 200 mm thick metallic plate placed on top of the cylinder (fig. 1). The plate
119 has a 600mm diameter (smaller than the internal diameter of the cylinder).
120 The weight of the metallic plate used in this study was approximately 100kg.
- 121 4. 10 circular, 0.75m diameter, 200mm thickness concrete plates, each placed
122 on top of the metallic plate (fig. 1). The weight of each concrete plate varied
123 between 41 and 52 kg (see Table 1).
- 124 5. A manually operated reel.
- 125 6. A high-tension manila rope.
- 126 7. A high-tension steel chain.
- 127 8. 7 short period 3D Sercel seismometers, with a flat frequency response from
128 2Hz to 100Hz.
- 129 9. 7 REFTEK dataloggers set to record continuously at 1000Hz sampling rate.
130 Synchronisation of the data loggers was achieved by the use of GPS.

131 10. A power source for the data loggers and seismometers: in this case 7 car
132 batteries (40Amp, 12Volt).
133



135 Figure 1. a) The concrete cylinder used as the up-scaled shear box. A metallic plate
136 and up to 10 concrete plates can be placed on top of the confined soil block to
137 vary the applied load. b) Schematic representation of a cross section along the
138 direction of movement of the experimental set up.

139

140 Table 1: Weight of the concrete plates used

No of concrete plate	Weight (kg)	No of concrete plate	Weight (kg)
1	45	6	45
2	49	7	41
3	47	8	47
4	47	9	41
5	52	10	42

141

142 The first step in setting up the experiment is to construct a surficial soil corridor

143 free from vegetation without disturbing the natural compaction state of the soil.

144 The concrete cylinder is then placed on the corridor.

145 A layer of soil, 15cm thick, is placed inside the cylinder and is compacted using the

146 standard proctor rules (Smith, 1981) in order to match the compaction stage of

147 the surficial soil. This creates an interface between the surficial soil along the

148 corridor and the compacted soil layer inside the cylinder. The soil placed inside the

149 cylinder is taken from the top layer of the site under investigation, excavated on

150 the day of the experiment. This is important in order for the two soil layers that

151 are in contact to share the same mechanical properties. The remaining empty

152 space to the top of the cylinder is then filled with non-compacted soil from the

153 site. This soil acts as an overweight, increasing the stress levels on the interface

154 between the soil of the surficial corridor and the soil inside the cylinder.

155 The metallic plate is placed on top of the cylinder's soil (inside the cylinder),

156 followed by the concrete plates. Together, they control the stress levels on the

157 surface between the soil in the cylinder and the corridor. The concrete plates are

158 not in contact with the cylinder. They are supported solely by the metallic plate.

159 This ensures that the soil carries the full load of the plates and that no load is

160 carried by the cylinder itself (fig. 1b).

161 The cylinder is connected to a reel (fig. 2) using a steel chain and a manila rope

162 tied together, both capable of enduring high levels of tension. The chain has a

163 stable, easily adjustable hold on the concrete cylinder (fig. 1a). If the chain is tied

164 around the reel's drum, the small impacts and friction between the chain and the

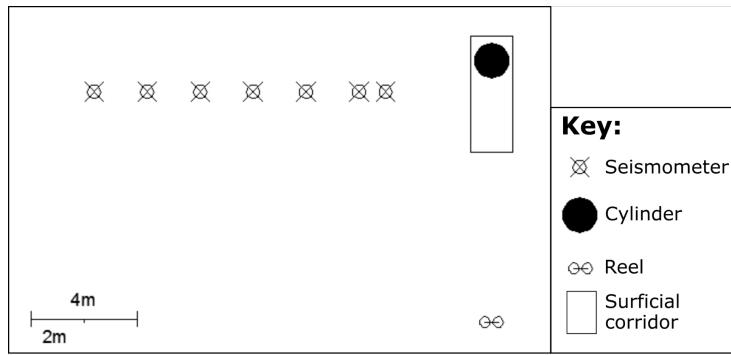
165 drum or the chain itself could add to the seismic noise. To avoid this, a manila rope

166 is tied around the reel's drum creating a smooth interaction surface between the

167 chain and the drum. The chain is then tied to the manila rope (fig. 2). The reel
168 needs to be stabilised. In our case, stabilization of the reel was achieved by
169 burying its two legs ~0.5m into the soil and by fastening it onto a tree.

170 Our monitoring system consisted of 7 three-component short period
171 seismometers buried 0.5m below surface to minimize noise and maximise the
172 coupling between the sensor and the soil. Each sensor had its own datalogger, GPS
173 clock and battery to minimize data loss in case of random instrumental failures and
174 to ensure synchronisation of all recordings. Sensors were deployed along a line
175 perpendicular to the cylinder's movement direction as shown in Figure 3. The two
176 horizontal components were aligned towards North-South and East-West
177 directions, respectively.

178



179

Figure 2. Plan view of experimental set up.

180 The source-to-receiver distances (cylinder ≡ source, seismometer ≡ receiver)
181 were 4m, 5m, 7m, 9m, 11m, 13m, and 15m away from the centre of the surficial
182 corridor. This dense linear deployment geometry was designed to ensure a low
183 detection threshold, i.e. recording of the smallest displacements of the cylinder and
184 to allow for a detailed analysis of the emitted seismic signals as they propagated
185 away from their source.

186 The seismometers were constantly deployed throughout the experiment and set
187 to a continuous acquisition mode. Our test site was in the city of Brasilia (Brazil)
188 close to the city centre. To reduce noise from day-to-day activities all experiments
189 took place early in the morning: all experiments were carried out between 05:00
190 and 06:45, with each experiment lasting no more than 5 minutes. Background

191 noise was recorded for 1 hour in total prior to the experiments, as well as for 1
192 minute before and after each experiment. We ensured the same monitoring
193 conditions for all recordings, including no changes to the levelling or orientation
194 of the seismometers.

195 It was important (1) to investigate whether signals generated by soil
196 displacements could be detected above noise levels, and (2) to determine whether
197 recordings with high signal-to-noise ratios could be produced close to urban
198 areas, proving that seismometers can be realistically used as a monitoring
199 method for landslides in such environments.

200 *Experiments:* Four experiments were carried out, each under a different loading
201 (and stress) condition: Experiment 1: 472kg (14.03kPa), Experiment 2: 568kg
202 (16.88kPa), Experiment 3: 743kg (22.09kPa), Experiment 4: 829kg (24.64kPa).
203 These loads include the weight of the concrete slabs and the weight of the soil
204 within the cylinder. The stress is controlled by adding or reducing load (i.e.
205 concrete slabs) on top of the soil in the cylinder. Load, force and stress levels on
206 the slip surface, as well as an equivalent depth of the events if they were to occur
207 on a slope, are shown in Table 2. The stress and equivalent depth were calculated
208 using equations (1) to (4) (Craig, 2004):

209 $F = m \times g$ (eq. 1)

210 $\sigma_v = \gamma_{soil} \times z$ (eq. 2)

211 $\sigma_v = F / S_{area}$ (eq. 3)

212 combining equations (1) to (3) we get:

213 $z = (F / S_{area}) / \gamma_{soil}$ (eq. 4)

214 where F is the force (N) applied on area S_{area} (m^2) of the soil surface in the
215 cylinder, g is the acceleration of gravity (m/sec^2), σ_v is the vertical stress (kN/m^2)
216 at depth z (m) and γ_{soil} is the unit weight (kN/m^3) of soil.

217 For our experimental set-up, $S_{area} = \pi \times (0.65/2)^2 = 0.33 \text{ m}^2$, $\gamma_{soil} = 18 \text{ kN/m}^3$.

218

219

220 Table 2: Load, force, stress levels and equivalent depth of a failure plane for all 4
221 experiments. Calculation of the force, stress and equivalent depth was based on
222 equations (1) - (4).

223

Experiment number	Applied load (kg)	Applied force (kN)	Applied stress (kPa)	Equivalent depth for a failure plane (m)
1	472	4.63	14.03	0.78
2	568	5.57	16.88	0.94
3	743	7.29	22.09	1.23
4	829	8.13	24.64	1.37

224

225 *Experimental procedure:*

226 Step 1: Recording of background seismic noise. At least one hour of continuous
227 noise recordings is required for the characterisation of the general background
228 noise.

229 Step 2: Each Experiment starts by recording one minute of background noise
230 measurements as additional noise recordings from Step 1.

231 Step 3: The soil-filled concrete cylinder is pulled along the surficial corridor with
232 the use of a reel. Tension is gradually built on the manila rope as the reel's arm is
233 slowly turned. This tension is transmitted to the steel chain and eventually to the
234 concrete cylinder. During this process, the manila rope is undergoing small
235 deformations. When this tension overcomes the resistance of static friction
236 between the soil layer within the cylinder and the surficial soil of the corridor, the
237 cylinder moves.

238 Step 4: As the cylinder starts moving, the state of stress and deformation of rope is
239 gradually restored. Meanwhile, the tension forcing the cylinder to move reduces
240 until it is smaller than the friction resisting the cylinder's movement. At that point,
241 the cylinder stops moving. The displacement of the cylinder is in the range of a
242 few centimetres at a time, with the actual movement less than 1-2 seconds. Steps
243 3 and 4 are schematically shown in Figure 3. Steps 3 and 4 are repeated until the
244 cylinder is displaced more than a meter in total. This is to allow multiple cylinder
245 slip events to occur under the same experimental conditions resulting in a large
246 database of seismic recordings.

247 Step 5: Upon completion of Step 4, one minute of seismic background noise is
248 recorded and the experiment is complete.

249 If more than a metre distance is left between the cylinder and the end of the
250 corridor, a new experiment could start with different parameters, e.g. a different
251 load on top of the cylinder. Otherwise, the cylinder is pulled back to the beginning
252 of the corridor and Steps 1-5 are repeated for the next Experiment.

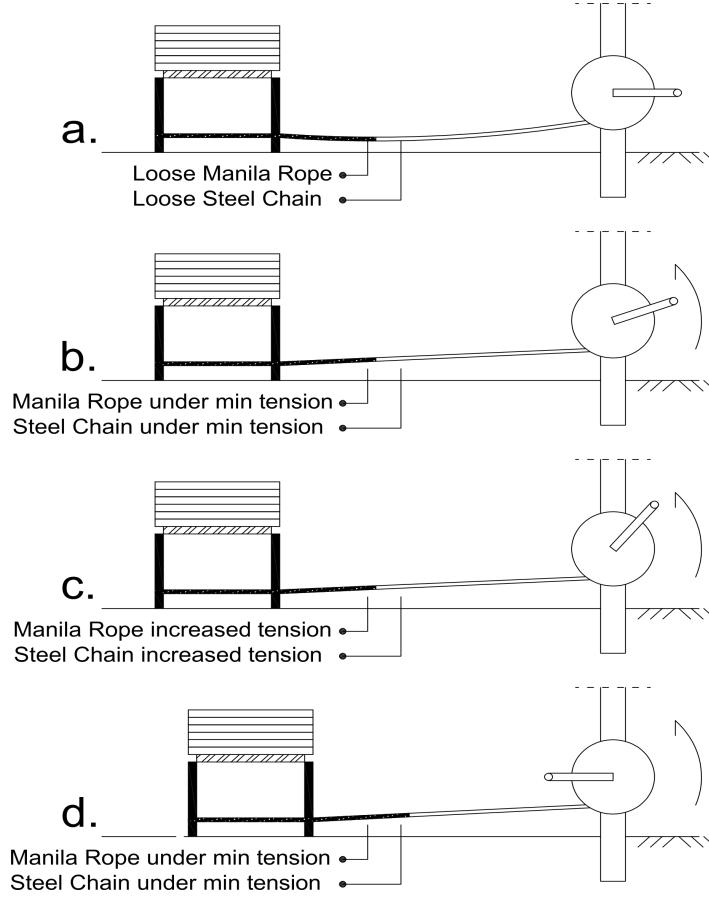
253 It should be noted that during the induced slip events, the concrete cylinder is in
254 contact with the soil. The friction between concrete and soil is expected to also
255 emit seismicity but it can be considered a trivial addition to the seismic energy
256 released due to the slip of the two soil layers. Two arguments support this. (1) The
257 self-weight of the concrete cylinder is very small compared to the sum of weight
258 of the soil within the cylinder and the weight of the concrete slabs, and (2) the
259 area of the concrete cylinder that is in contact with the soil corridor is very small
260 compared to the corresponding area of the soil within the cylinder.

261

262 *Analysis of recordings:*

263 The analysis was carried out in the frequency domain and was based on the
264 algorithm proposed by Welch (1967) and the calculation of power spectral density
265 (PSD) (Press et al., 1992). We also carried out a time-frequency analysis for the
266 whole duration of each Experiment to confirm whether the frequency content of
267 the slip events of the same Experiment was similar: We make the assumption that
268 all events were considered to emit similar seismic signals, as long as the
269 experimental conditions (i.e. loading conditions on the slip surface and degree of
270 saturation of soil) were kept constant. This assumption allowed for the calculation
271 of the PSD and identification of the recorded signal frequency content, using more
272 than one slip events. All PSDs of the soil slip events induced within the same
273 experiment were taken into account for the creation of a single averaged PSD
274 curve. The spectrograms for the time-frequency domain analysis were based on
275 Short Time Fourier Transforms (Press et al., 1992). All computations were carried
276 out in Matlab. The start and end time of all Experiments was known from the
277 experimental procedure and the field log kept during all Experiments. This
278 allowed for the identification and isolation of the parts of the seismograms

279 containing the signals emitted as a result of the cylinder movement along the
280 corridor. Multiple displacement events (corresponding to multiple cylinder
281 movements along the corridor) were induced during the duration of each
282 Experiment. These events were similar but not exactly identical (see figure 4 for
283 the recordings of Experiment 1 over time).

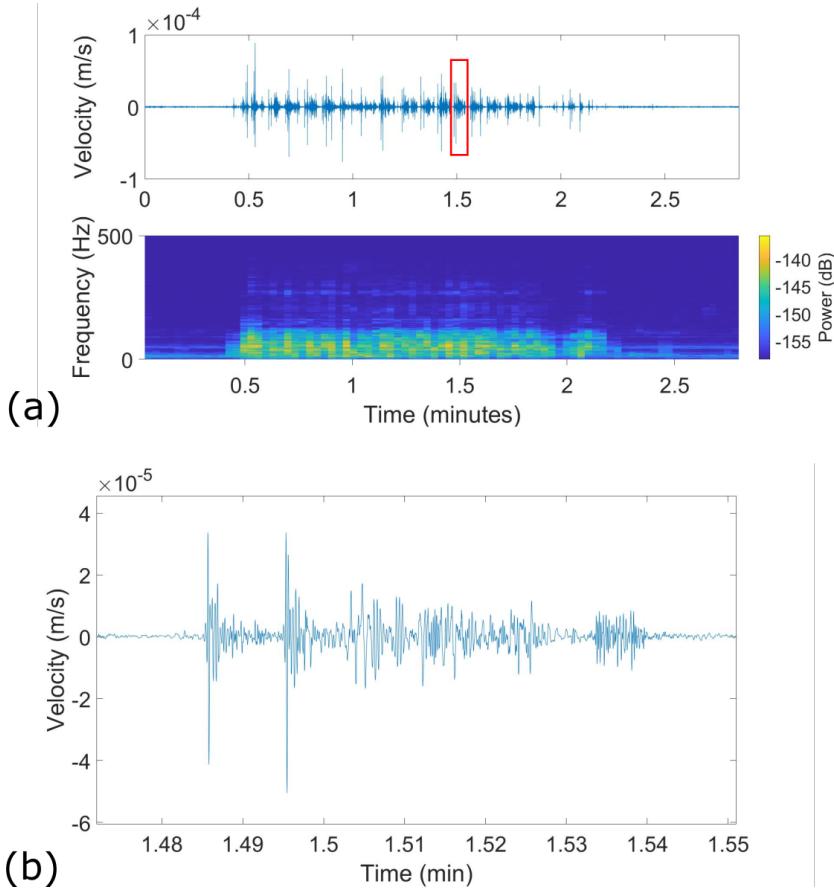


284

285 Figure 3. Simulation of a slip event mechanism using an up-scaled shear box
286 experimental procedure: a) A cylinder filled with soil is connected to a reel with a
287 loose steel chain and a manila rope; no tension is applied b) The arm of the reel is
288 turned creating a tension level capable of lifting the weight of both the steel chain
289 and manila rope and stretching them. c) The arm of the reel is turned more, thus
290 increasing the tension on the steel chain and manila rope. The manila rope is now
291 undergoing small deformations. The static friction developed on the slip surface
292 between the soil within the cylinder and the surficial corridor is preventing the
293 cylinder from moving. d) The static friction of the slip surface between the soil

294 within the cylinder and the surficial corridor is smaller than the tension developed
295 on the steel chain and the manila rope, thus allowing the cylinder to move.

296



297 Figure 4. (a) top: Raw velocity data over the full duration of Experiment 1. (a)
298 bottom: corresponding spectrogram of recordings. It can be seen that the
299 frequency content of the different slip events is very similar. (b) Zoomed in part of
300 the recordings within the red rectangle area in (a) showing a slip event, i.e. from
301 start to end of a single cylinder movement.
302

303 They ranged from abrupt short displacement events to slower continuous
304 movements. The latter is associated with the mechanism of raising tension in the
305 manilla rope, resulting in slightly different slip events along the corridor. The slip
306 event peak amplitudes ranged from $7 \times 10^{-6} \text{ m/sec}$ for Experiment 1 to 5×10^{-4}
307 m/sec for Experiment 4, as recorded by the seismometer that was at 4m distance
308 from the cylinder. The standard deviation of the background seismic noise levels
309 was $2.27 \times 10^{-6} \text{ m/s}$. In between each slip event the cylinder was immobile. During

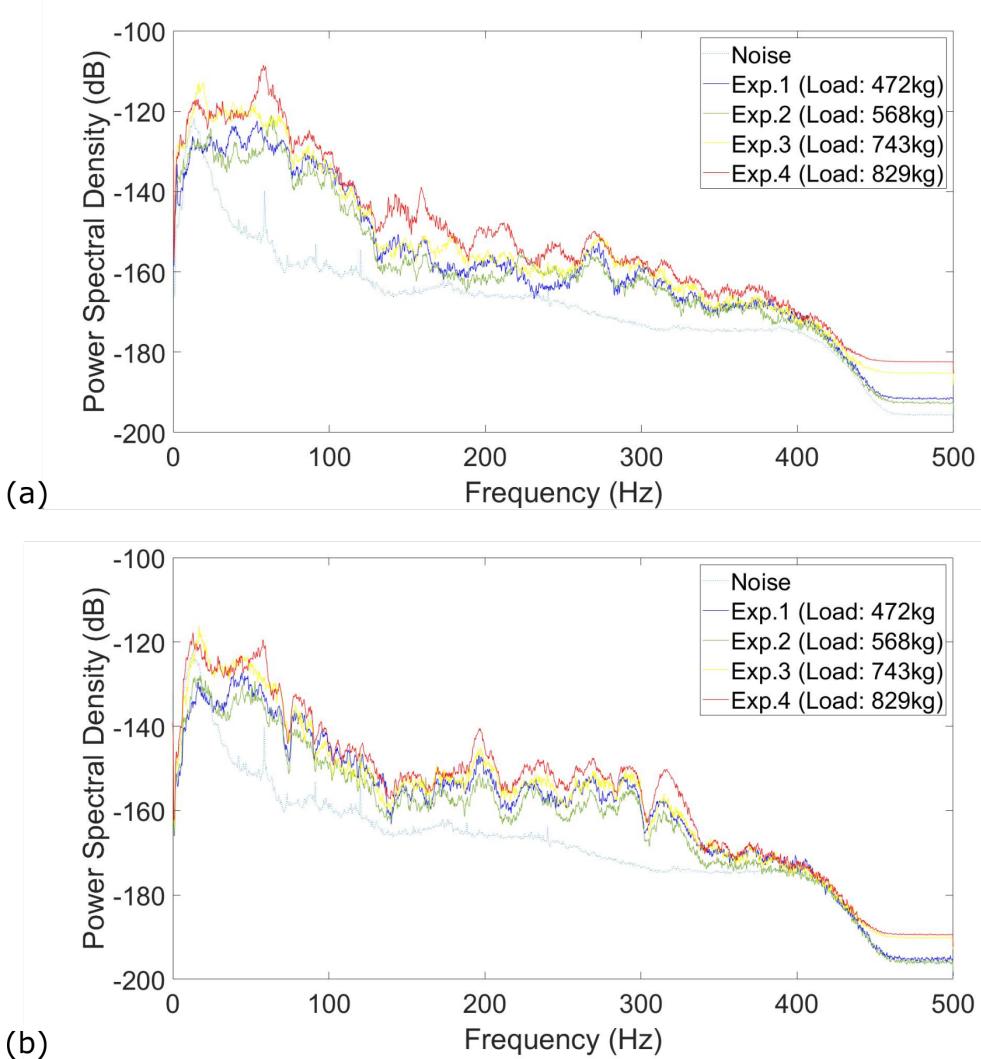
310 those time segments, seismometers were recording just ambient noise. These
311 noise recordings were of different time length. The latter, along with the different
312 number of slip events recorded at each experiment, led to a different ratio
313 between the noise and the signal time duration that was recorded for each of the
314 four different Experiments. In order to be able to directly compare the frequency
315 analysis results from the different experiments, the ratio between the noise and
316 the signal time duration needed to be kept constant. We achieved this following
317 the methodology described in Yfantis (2015, p. 74) and briefly summarised in the
318 following 4 stages: i) The total duration of the noise recordings in between slip
319 events was calculated for each Experiment. ii) The experiment with the maximum
320 total noise duration was identified. iii) The experiment identified in (ii) was
321 truncated at the start of the first slip event to the end of the last slip event and the
322 ratio between the signal and noise durations was calculated. iv) The other three
323 Experiments were truncated keeping a noise segment before and after their first
324 and last slip events, respectively, with duration such that it matches the signal to
325 noise duration ratio identified in (ii).

326 **Results**

327 *Relationship between emitted energy and loading:* Figure 5 shows the PSD spectra
328 of the data recorded from the vertical component of the seismometers deployed at
329 4m and 15m away from the cylinder (source) during all four Experiments. The
330 dotted curve in all plots is the average PSD curve of all recorded background noise
331 data. The area below each PSD curve is representative of the emitted energy. All
332 loading conditions resulted in signals well above the background noise levels.
333 From Figure 5 it can be shown that the PSD spectra of the signals from all
334 Experiments share a similar pattern, i.e., the change in stress does not change the
335 frequency content of the recordings, but it does affect the actual value of the PSD:
336 the higher the stress, the higher the value of the resulting PSD amplitude. This is
337 expected, as a higher stress results in a higher degree of friction and therefore, the
338 release of more energy when this friction is overcome. Extrapolating this result to
339 real landslides we would expect that landslides with deeper failure planes should
340 induce seismic signals with larger amplitudes during a failure along these planes.
341 However, this stands only in cases where the energy attenuation through the soil

342 is significantly smaller compared to the total amount of energy emitted during
343 failure. The frequency content of those recorded pulses that greatly exceed noise
344 levels was found to be mainly below 120Hz (Figure 5), a result that agrees with
345 other findings on soft soil landslides (Walter and Joswig, 2008). Small differences
346 between the frequency content of the data recorded at different distances away
347 from the cylinder can be attributed to the attenuation during wave propagation
348 through the soil.

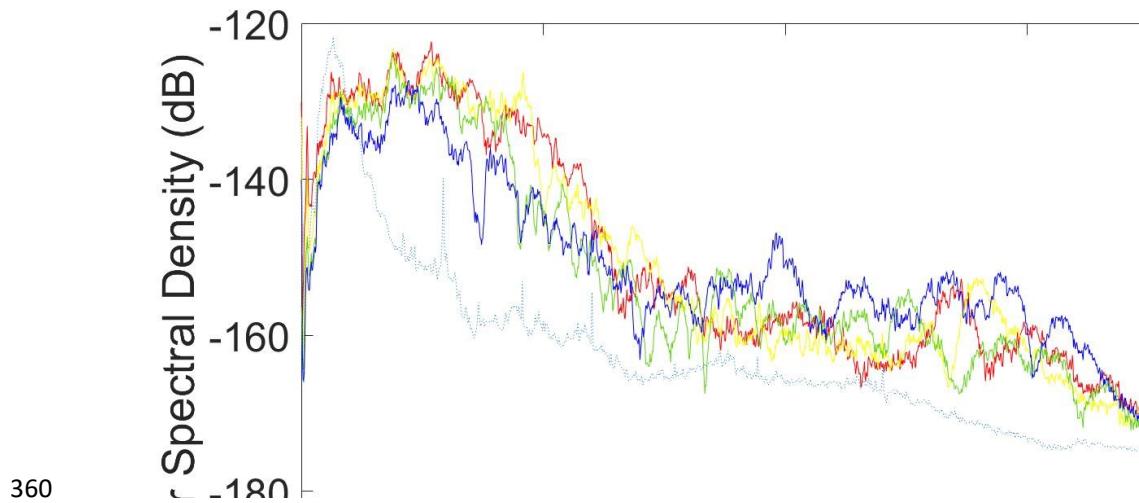
349 *Relationship between emitted energy and source-to-receiver distance:* Figure 6
350 shows the PSD spectra of the data recorded from the vertical component of the
351 seismometers during Experiment 1 (472kg load) at four different distances. This
352 experiment was chosen as a representative case with results being the same for
353 the other loads.



354 (b)

355 Figure 5: PSD spectra of the data recorded by the vertical component of the
 356 seismometers for all four experiments at a) 4m and b) 15m away from the
 357 cylinder (source). The PSD spectra of noise is the average PSD product of all noise
 358 recordings from the vertical component.

359



361 Figure 6: Experiment 1 - PSDs of data from the vertical component of the deployed
 362 seismometers at source-to-receiver distances of 4m, 7m, 11m and 15m. The
 363 dashed blue curve is an averaged PSD curve from all background noise recordings
 364 of all seismometers.

365 The larger the source-to-sensor distance, the lower the resulting PSD value
 366 (Figure 6), evidence that the energy contained in the emitted signals is dissipated
 367 as the signal travels from the source towards the sensor (attenuation effect). This
 368 is observed in the PSD spectra of all sensors for all Experiments. The data can
 369 then be used to understand the attenuation of the seismic energy of a landslide, a
 370 very important parameter when it comes to designing the deployment geometry
 371 of the seismic monitoring network.

372 **Discussion**

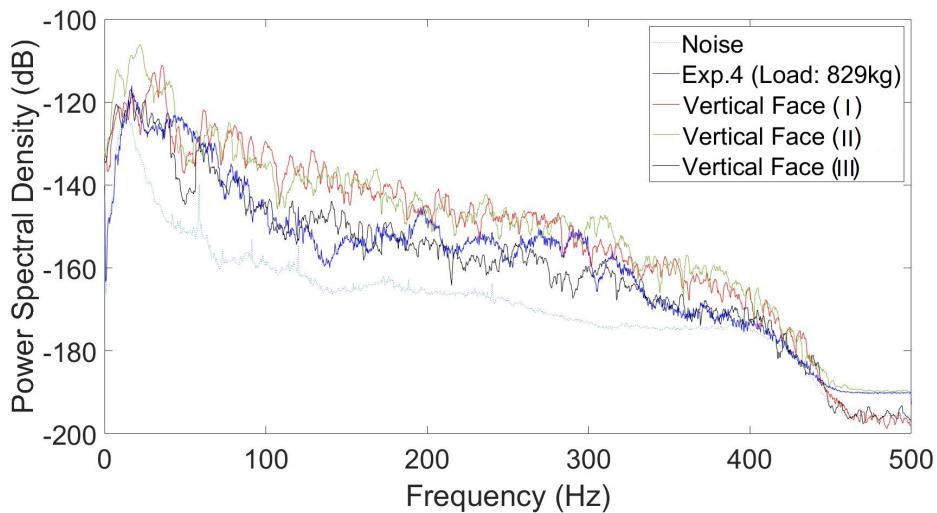
373 In order to validate the assumption that the methodology described above is a
 374 good analogue for landslide-induced seismic signals, we compare our findings
 375 from Experiment 4 (equivalent depth of failure plane at 1.37m), with those of
 376 seismic signals recorded during an induced failure, under controlled conditions, of
 377 a 2.5 m high vertical face at a nearby site with similar geology (Yfantis et al, 2013,
 378 Yfantis 2015; Yfantis et al. – under revision). The recordings and results from the
 379 analysis are directly comparable as both the geological and loading conditions are
 380 similar, the instrumentation used for monitoring is the same and the source-to-
 381 sensor distances are similar (9 m for Experiment 4 and 10m for the vertical face).
 382 During the induced failure of the 2.5m vertical face, different types of failure

383 events were observed. These included: soil block topple and fall and soil block fall.
384 The first, involved toppling and falling as well as shear within the soil mass, with
385 the failure plane being almost the full height of the vertical face (around 2m). The
386 second involved having parts of the vertical face falling down with the failure
387 mechanism being mainly shear (Yfantis, 2015; Yfantis et al under revision).
388 Figure 7 summarises the results. All PSD spectra are above the background noise
389 level. All spectra are similar in pattern, amplitude and frequencies. However, some
390 differences are evident. More specifically, for the 2.5 m vertical face a) there are
391 peaks between frequencies 25Hz and 32Hz at the PSD curve, b) there is a trough
392 between frequencies 45Hz and 70Hz. c) the rate of energy loss is almost constant
393 for frequencies above 70Hz for the soil block topple and fall failures (I and II),
394 while for the soil block fall (III) the rate of energy loss is higher between 70 and
395 130Hz. In the cylinder experiment the PSD curve shows a sharp drop around
396 70Hz where the loss of energy is rather sharp but the rate of energy loss slows
397 down after 130Hz.

398 The differences between the spectra from the cylinder experiment and the
399 vertical face can be attributed to three main factors: (1) The vertical face had a
400 more complex failure mode, consisting of a combination of soil friction, soil block
401 toppling and soil impact at the foot of the slope (Yfantis 2015), compared to
402 Experiment 4, which is only soil friction along with some soil displaced in front of
403 the cylinder (as the latter was pulled along the corridor). This is also evident from
404 the higher similarity between the spectrum of Experiment 4 and that from the soil
405 block fall (vertical face III in Figure 7), where friction was the main factor for
406 both. Soil impact is also present for the soil block fall, but because previous
407 failures had occurred, the fall height was significantly smaller and the impact was
408 on pre-existing unconsolidated failed soil. (2) The induced failure of the vertical
409 face took place at a different site. Although both sites share similar geological
410 characteristics, small differences between them are expected due to soil
411 heterogeneities. (3) Small variations in background noise levels over time could
412 affect the spectra of the signals as some noise is inevitably included in the
413 calculations. In addition, the volume of the failed mass affects the spectrum. This
414 can be seen when comparing the spectra of the two soil block topple and fall

415 failures (I and II in Figure 7). Slight differences can be seen despite the fact that
416 the failure mechanism, the field site and the instrumentation were the same.
417 However, because the two failures happened with approximately 1.5 minutes'
418 time difference, it is possible that the background noise had slightly changed. Also,
419 the two failures involved slightly different soil volumes.

420



421

422 **Figure 7:** Comparison between emitted energy during Experiment 4 and the
423 induced failure of a 2.5m vertical face. For the latter, different modes of failures
424 were observed: I and II – soil block topple and fall, and III – soil block fall. The
425 energy levels of the background noise for the cylinder experiment are also shown.
426 The spectra of Experiment 4 and those of the vertical face are of similar
427 geometries with some differences. The PSDs for the Vertical Face failure has been
428 smoothed with a 10-point moving average for easier comparisons.

429 The proposed experimental setup and procedure make it possible to investigate
430 different scenarios under controlled conditions that would result in a database to
431 be used for the interpretation of the recordings from an actual landslide, as it
432 allows control over a number of parameters:

433 (a) The soil type within the cylinder and along the corridor. The soil used in this
434 setup was from the top layer of the investigation site. Material types from other
435 geological layers could also be used to simulate failure events within any geological
436 setting. Use of different soil types in the cylinder and the corridor can simulate
437 friction at the interface between two different geological layers.

438 (b) The soil's compaction. Different compaction properties can be used for both
439 soil surfaces involved in the experiment in order to study its effect on the
440 emitted signals.
441 (c) the stress on the slip surface. By controlling the stress on the slip surface the
442 soil friction at different depths can be simulated.
443 (d) the saturation conditions. Our experiments were carried out in dry soil. This
444 methodology allows control over a range of degrees of saturation of the soil and
445 their effect on the propagation and attenuation of the seismic waves.
446 (e) the area of slip surface. Soil displacement can occur at the whole area of the
447 active slope or at smaller ones. Using cylinders of different diameter, the effect of
448 area of the failure plane on the induced seismic signals can be studied.
449 If the manual reel is replaced with a motorized one, control over the
450 displacement mode could be achieved; a) continuous or discontinuous
451 displacement, b) magnitude of displacement, c) velocity of displacement.

452

453 **Conclusions**

454 We present an experimental setup to help in the interpretation of seismic signals
455 induced by landslides in soft soils. The experimental procedure and results are site
456 specific, but the methodology could be duplicated to simulate a range of different
457 conditions. It is a low-cost, fast approach that can provide information on the
458 effect of the on-site soil conditions on the emitted seismic signals and their
459 attenuation over distance, and thus be used for the optimisation of the final
460 design and deployment geometry of the monitoring systems. Most importantly, it
461 can inform signal pattern recognition (data-driven approach) in machine learning
462 algorithms to enable automatic detection and classification of seismic signals
463 emitted during slope failure.

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