

1 **Collapse processes in abandoned pillar and stall coal mines: implications for shallow mine**  
2 **geothermal energy.**

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9 **Abstract.** Flooded mine workings represent potential aquifers for shallow geothermal development projects.

10 However, determining the collapse state of such workings in advance of drilling, and predicting their  
11 hydrogeological properties can be challenging, therefore developing an understanding of the products of mine  
12 collapse is important. We investigate the internal structure of collapsed pillar and stall mine workings exposed  
13 through coastal erosion near Whitley Bay, NE England. Our data suggests these workings collapsed in stages,  
14 leaving a clay-rich anthropogenic sedimentary layer consisting of collapse breccias and muds that will have  
15 gradually reduced the water capacity of the mine workings as collapse proceeded.

16 **1. Introduction**

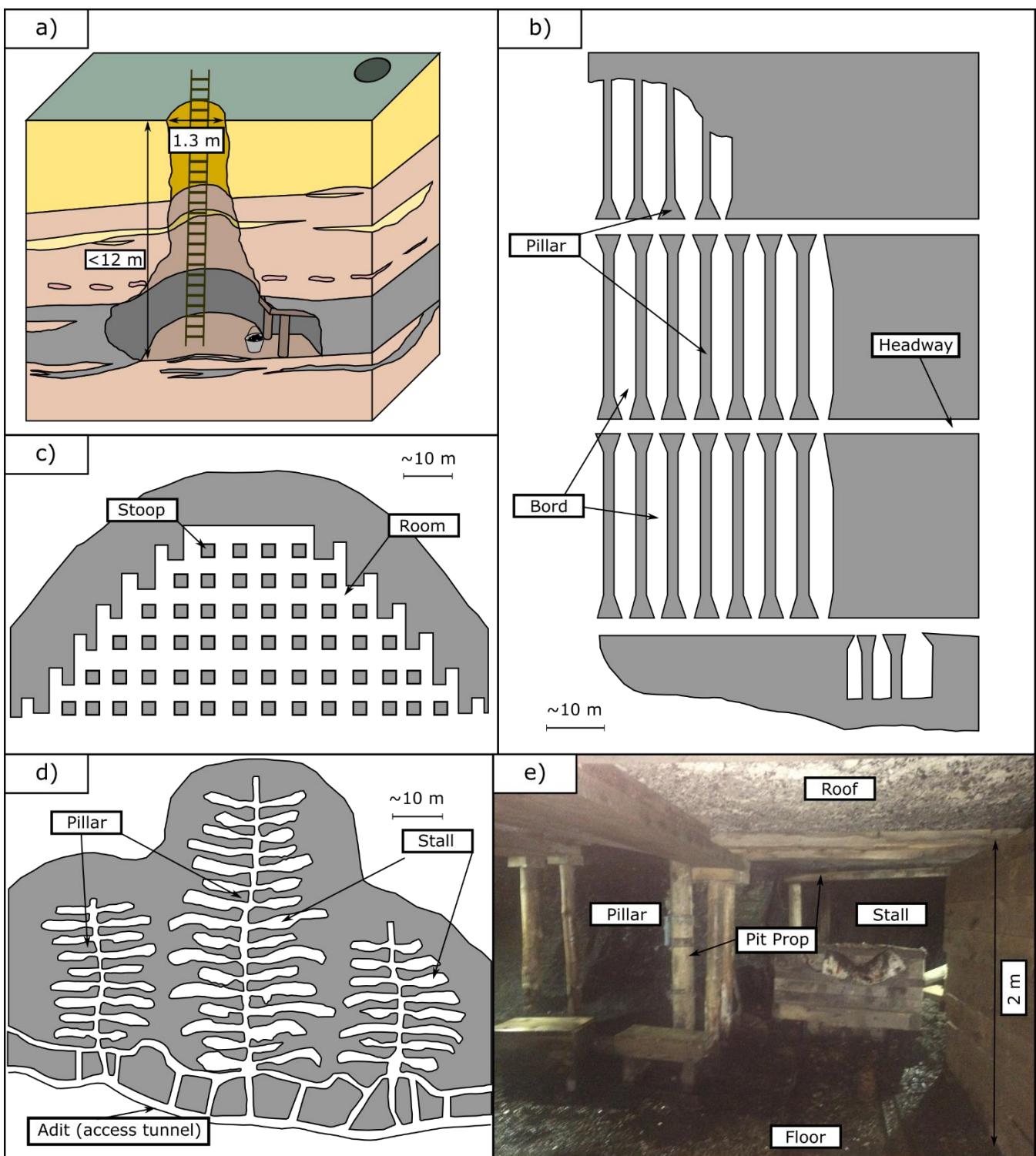
17 The importance of coal during the industrial revolution and the large workforce required for its sub-surface  
18 extraction led to the development of densely populated areas underlain by a labyrinth of mine workings.  
19 Following the decline of sub-surface extraction, groundwater returns to pre-mining levels flooding the mine  
20 workings (Younger et al., 2002). These workings provide potentially large aquifers (e.g. a single coal seam in  
21 Pennsylvania and surrounding states contains  $5.1 \times 10^{12} L$  of water (Watzlaf and Ackman, 2006)) that can be  
22 tapped to extract low-enthalpy geothermal heat using ground source heat pumps (Dochartaigh, 2009; Malolepszy  
23 et al., 2005; Monaghan et al., 2017). Additionally mine voids can be used for Aquifer Thermal Energy Storage  
24 (ATES) to store waste heat (e.g. waste industrial heat, refrigeration, air conditioning) for extraction when required  
25 (Hamm and Sabet, 2010; Patsa et al., 2015; Sanner, 2001). Heat pumps tapping flooded mine waters have  
26 significant potential in the decarbonisation and regeneration of densely populated ex-coal mining areas (Hamm  
27 and Sabet, 2010; Malolepszy, 2003; Malolepszy et al., 2005).

28 Sealed open loop systems are typically employed for mine water geothermal systems, with coupled extraction  
29 and injection wells (Banks et al., 2019). The volume of water which can be extracted from the mine reservoir,  
30 known as the water capacity (Lund, 2001; Loredo et al., 2017; Menéndez et al., 2019) should be high, though to  
31 avoid thermal breakthrough there should not be a direct connection between injection and extraction boreholes  
32 (Banks et al 2019). The up-front capital investment required in drilling is high, consequently it is desirable to be  
33 able to constrain the bulk hydrogeological properties of a mine before drilling.

34 While the methods of coal extraction varied through time (e.g. bell pits, shortwall, longwall), the pillar and stall  
35 method was widely used in the UK between the middle of the 14<sup>th</sup> and early 20<sup>th</sup> century (Fig. 1, Table 1) (Bruyn  
36 and Bell, 1999). Using this method coal was extracted from 'stalls', or 'rooms', supported by pit-props (Daunton,  
37 1981), stacks and pillars wherein, 30 to 70% of the coal remained unworked to support the roof (Fig. 1) (Garrard  
38 and Taylor, 1988; Wardell and Wood, 1965). For mine geothermal prospects, the majority of flow will occur  
39 through open stalls (or their relicts), which will have very high permeability (Loredo et al., 2017). Rock pillars and  
40 temporary supports (e.g. pit props, colliery arches) were designed to sustain the weight of the overburden,  
41 however, following mining operations local stresses, rotting timbers, and the spalling of the pillars, can cause the  
42 roof to fail and eventually collapse (Bruyn and Bell, 1999; Helm et al., 2013; Lokhande et al., 2005). The failure of  
43 a single pillar will cause other pillars, particularly those in an up-dip direction, to become increasingly stressed  
44 and risk collapse causing a knock-on effect until the support of the overburden is significantly reduced (Bruyn and  
45 Bell, 1999). As roof material falls, the stalls become clogged and deformation migrates upwards in a predictable  
46 manner (e.g. Garrard & Taylor 1988; Madden and Hardmam, 1992), sometimes forming crown holes at the  
47 surface, depending on the thickness and lithology of the overburden. Pillar collapse and roof spalling, which can  
48 occur many years after mining operations have ceased (Carter et al. 1981; Salmi et al. 2019a, b) can lead to  
49 widespread subsidence (Gee et al., 2017) often occurring as individual collapse events over relatively short time  
50 scales (days to weeks) (Carter et al., 1981; Marino and Gamble, 1986).

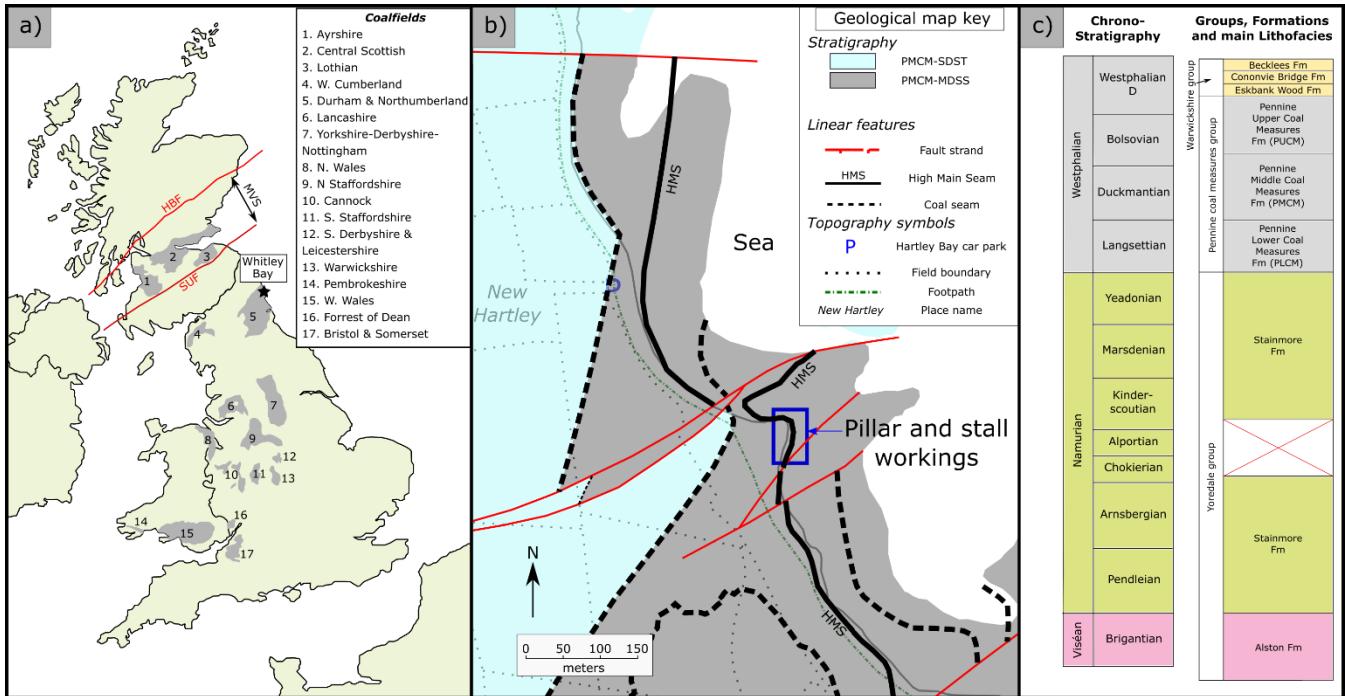
51 While the structure above collapsed pillar and stall workings has been studied by many authors (e.g. Garrard and  
52 Taylor, 1988; Helm et al., 2013), particularly to understand mine-induced subsidence, the lithologies which make  
53 up the collapsed section and the processes by which they are deposited has received little attention. With the  
54 emergence of shallow mine geothermal prospects it is now important to understand the characteristics of these

55 'mine wastes', and the processes involved if we are to improve our estimations of the water capacity and flow  
56 properties of former pillar and stall workings. To address this we investigate exposures of workings near Whitley  
57 Bay that have been exhumed by costal erosion. We use a detailed sedimentological approach and discuss the  
58 implications of our findings for shallow mine geothermal projects targeting pillar and stall workings.



61 Figure 1: Typical UK shallow mining methods. (b) to (d) plan view pillar and stall mining methods to show regional variations in  
 62 terminology and layout. (b, c and d redrawn from Bruyn and Bell (1999) a) bell pit, b) Bord-and-pillar workings, Newcastle upon Tyne  
 63 (17th Century), c) Stoop and room workings, Scotland (17th Century), d) Pillar and stall workings, South Wales (17th Century), e)  
 64 Photograph of pillar and stall workings, Beamish open air museum.

## 65 2. Geological history



66  
67 **Figure 2: Geological setting.** a) location of field site and UK coal fields (after (Donnelly et al., 2008), b) geology surrounding the workings  
68 (The map is modified from Geological Map Data BGS@UKRI (2018)) PMCM-SDST, c) Chrono-  
69 stratigraphy, formations and lithofacies of the Northumberland Trough (Chadwick et al., 1995). SDST = stand stone dominant sequence,  
70 MDSS = mudstone dominant sequence.

71 UK coal mine workings are found within mid-late Carboniferous sequences deposited in late Devonian-  
72 Carboniferous basins (Fig 2a). Coastal erosion has exposed a series of abandoned underground workings on the  
73 headland (National Grid square NZ34 76) just north of St Mary's Lighthouse, Whitley Bay (England) (Fig. 2b).  
74 Whitley Bay is located in the Northumberland Trough, a 50 km wide, ENE-WSW trending, half graben which  
75 formed in response to the extensional reactivation of the Iapetus Suture during the mid to late Carboniferous  
76 (Chadwick et al., 1995; Chadwick and Holliday, 1991; Johnson, 1984). The thickest coal seams (>2 m), many of  
77 which are workable; (Fielding, 1982; Smails, 1935), are almost exclusively confined to the Pennine Middle Coal  
78 Measures which reach 450 m thick in places (Fielding, 1984a; Leeder, 1974; Smails, 1935) (Fig. 2c).

79 Carboniferous lithologies at Whitley Bay consist of fossiliferous and barren mudstones (50 to 55%), siltstones and  
80 sandstones (40 to 48%) and bituminous coals (<5%), which nearly always occur above seat-earths (Fielding,  
81 1984b; 1985, 1982; Jackson et al., 1985; Lawrence and Jackson, 1986). These are interpreted as being deposited  
82 on a broad, flat deltaic plain with numerous distributary channels (Fielding, 1984b; Fielding, 1985; Jackson et al.,  
83 1985). Exceptional exposures of the Pennine Middle Coal Measures, including the High Main Seam (HMS), are

84 observed along the 1.2 km long studied section (British National Grid NZ 364 756; Figure 2b), which dips gently E  
85 in low cliffs and rocky foreshore exposures.

86 The High Main Seam is highly variable in thickness (average 2 m, Fielding, 1982) and quality (Fielding, 1984a;  
87 Jackson et al., 1985; Lawrence and Jackson, 1986; Murchison and Pearson, 2000) with centimetre-to metre-scale  
88 shale partings commonly present (Fielding, 1982). Immediately below the HMS thin ‘stringers’ of coal (centimetre  
89 scale) are often found, which are locally workable (Fielding, 1982). Based on the history of the coalfield (Table 1),  
90 and because the workings are above the water table, we suggest the coal near Whitley Bay was extracted  
91 somewhere between 1550 and 1710 AD.

| Date            | UK wide  | Northumberland and Durham Coalfields  |
|-----------------|--|---|
| <b>Pre-1200</b> | Extracted by shallow pits or adits (shallowly dipping tunnels) <sup>1,2</sup> .  | Workings of the High Main Seam date from Roman times <sup>8</sup> .   |
| <b>1200s</b>    | Widespread coal mining began increasing up to, including and following the Industrial revolution.  | Early shallow workings (<7 to 10 m) primarily using adits from the coast/valley side, with bell pits also used <sup>9</sup>   |
| <b>1300s</b>    | Bell-pits (Fig. 1a) became widespread <sup>2,3</sup> .   |   |
| <b>1500s</b>    | Most shallow reserves accessible by surface access methods extracted. The Pillar and Stall method began to be used <sup>2,3</sup> (Fig. 1d).                                       | 1550's saw the increased extraction of the HMS, with coal becoming a significant commercial interest <sup>3,10,11,12</sup> .<br>Pillar and stall workings began in the late 16 <sup>th</sup> Century <sup>2</sup> .   |
| <b>1600s</b>    |  | The majority of coal close to sea-ports and above the water table extracted by the late 17 <sup>th</sup> Century <sup>13</sup> .  |
| <b>1700s</b>    |  | Technological advances in 1710 enabled coal to be mined below the water table <sup>13</sup> .   |
| <b>1800's</b>   | Mining methods became standardised <sup>1,2</sup> (Fig. 1b, c & e). In 1850 detailed coal mine surveys began, with abandonment plans becoming mandatory from 1872 <sup>1,4</sup> . | 1800 map of sea-sale collieries (where coal was shipped from local ports (e.g. the Port of Tyne)) does not include the workings near Whitley Bay <sup>3</sup> .<br>1830's to 1870s saw many large collieries opened up working the High Main Seam (e.g. Fenwick). |
| <b>1900's</b>   | Many collieries switched to the use of longwall methods, with workings reaching depths in excess of 1 km (e.g. collieries near Glasgow).   | 1993: Easington colliery closes marking the end of underground coal extraction in the Durham and Northumberland Coalfields.   |

92 Table 1: Summary of the mining history of the UK and Durham and Northumberland Coalfields. References; 1) Bell (1986), 2) Bruyn &  
93 Bell (1999), 3) Smails (1935), 4) Healy & Head (1984), 8) Fielding (1982), 9) Dearman et al. (2000), 10) Page (1907), 11) Smailes (1938),  
94 12) Nef (1965), 13) Galloway (1898).

95 **3 Methods**

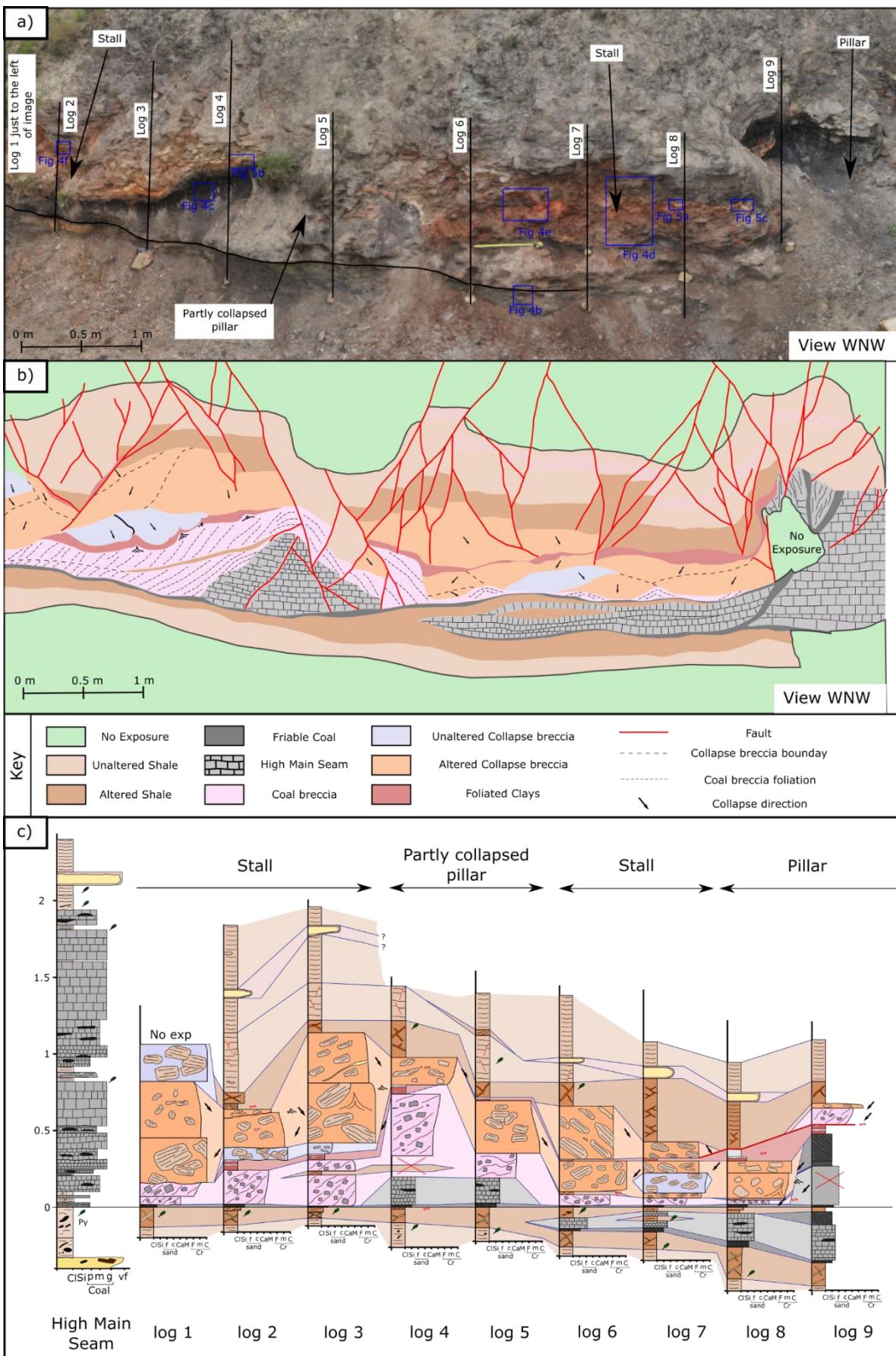
96 High-resolution sedimentary logs of 9 vertical sections, spaced every metre, were taken along the workings  
97 exposed near Whitley Bay (see Figure 3). Lithological boundaries, both structural and depositional, were defined  
98 as either based on a distinct change in grain-size, or matrix type. The relationship between sedimentary facies  
99 within the workings can be split into two areas, pillars and stalls, depending on whether unworked coal is present  
100 or absent respectively in the logged section (Fig. 3). A sedimentary log through the unworked High Main Seam at  
101 the base of Hartley Steps (British National Grid: NZ 34469 75668) was taken as the comparative baseline for the  
102 collapse lithologies. Facies were defined based on distinct changes in texture, grain size, stacking relationships  
103 and sedimentary structures. Collapse breccias were described using the terminology of Woodcock & Mort (2008),  
104 who define chaotic, mosaic and crackle breccias based on clast size and ratio of clast to matrix. The clast type,  
105 orientation (taken as the dip of preserved bedding) and aspect ratio were recorded, along with the matrix  
106 composition. Muds in the sequence, which were not lithified, were described using the BS5930 (2015) standard  
107 for clay-rich soils.

108 Photographs (320 images) were taken of the outcrop to create a high resolution, orthorectified, photomontage  
109 (Fig. 3). Using the sedimentological information and location of logged sections, key boundaries were mapped  
110 out and stacking relationships investigated. Within the collapse breccia, a number of sub-divisions could be  
111 defined with subtle changes in clast orientation observed (e.g. 45 and 82 cm in Log 1). These areas were used to  
112 help constrain the phases of collapse recorded in the sequence.

113 **4 Results**

114 **4.1 General description**

115 Eight facies were identified through detailed field observations and sedimentary logging (Table 2). Two stalls are  
116 observed at Whitley Bay, which make up 69% of the outcrop. Both lithologies that overlie the High Main Seam in  
117 the central pillar and edge of the northern pillar display similar facies associations (Fig. 3).



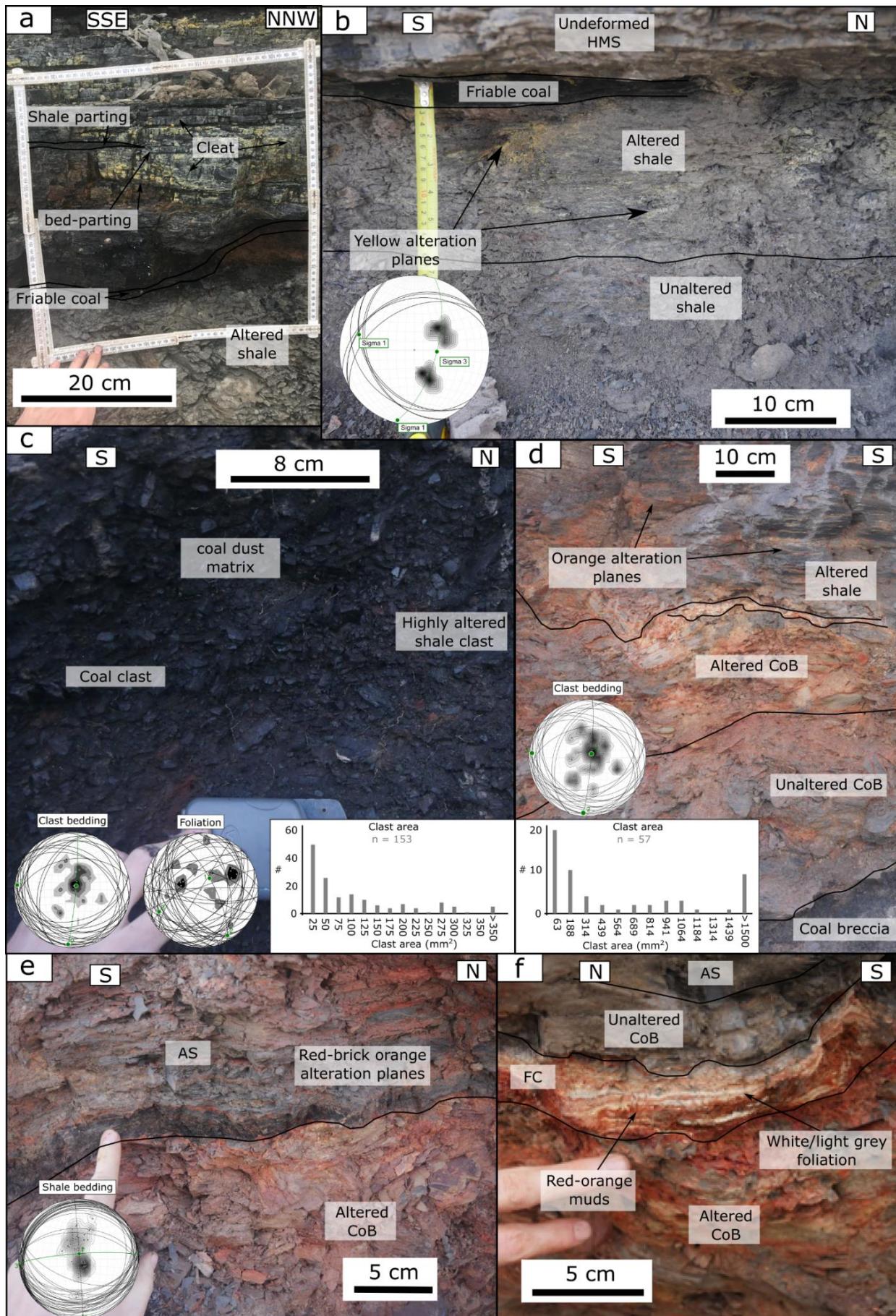
119 Figure 3: Workings of the High Main Seam near Whitley Bay: a) photomontage of the workings with the location of logs marked; b)  
120 section view and interpretation of mine wastes (collapse lithologies); and c) sedimentary logs through the undeformed High Main Seam  
121 at the base of Hartley Steps and through the workings at the locations marked in (a). For individual log descriptions please see  
122 Supplementary 1.

| Facies   | Description   | Depositional processes   |
|--|---|--|
| High Main Seam (HMS)<br>Undeformed thickness = 2.01 m.<br>Fig 4a | Interbedded unit containing 16 coal beds (2 to 43 cm thick) and 7 organic rich shale partings (1 to 4 cm thick). Euhedral pyrite crystals (<0.2 mm) occasionally visible along bedding planes. Locally Jarosite is developed along the cleat network, particularly towards the base of the High Main Seam.  | The deposition of peat in a swampy, anoxic environment which was sporadically interrupted by clastic deposition in a delta plain environment <sup>1</sup> .  |
| Unaltered Shale (US)<br>Beds 0.2 to 1 cm thick<br>Fig 4b         | A mudstone to silty-mudstone, which can be either organic rich or organic poor. Coalified plant fossils and euhedral pyrite (<0.2 mm, <5%) are often found along bedding surfaces, particularly below the HMS.  | Low energy deposition in a variably oxic environment, related to the flooding of peat swamps <sup>1,2</sup> .  |
| Altered Shale (AS)<br>Beds 0.2 to 0.8 cm<br>Figs 4a & b          | Found above and below the workings of the HMS in undeformed and worked sections. Similar to US, however, weathers more readily and contains shallowly dipping alteration planes (yellow below & brick orange above).  | The development of acid mine water following mining operation causes the degradation of clay minerals and movement of the sulfur from the pyrite within the seam <sup>3</sup> .  |
| Friable Coal (FC)<br><15 cm thick.<br>Figure 4b & d              | FC may be observed along the base, and within the workings, as well as along the edge of the right-hand pillar (Figure 3). FC is black, dominated by organic material (> 95%) and characterised by a very tight fracture network which cause the lithology to erode as a black powder. Fractures either occur perpendicular to layering, or at an angle forming a well-developed foliation.   | Can either be formed by working related deformation of thin channel coals and stringers of the HMS or the development of tectonically deformed coals <sup>4</sup> along the edge of pillars during the collapse of workings.   |
| Coal Breccia (CB)<br>Thickness 2 to 55 cm<br>Figure 4c           | CB occurs along the base of the workings, and varies in thickness considerably. CB is a chaotic to mosaic breccia <sup>5</sup> consisting of angular clasts of coal (>90%) and highly altered shale. Coal clasts (median 60 mm <sup>2</sup> ) are bounded by bedding planes or cleats. Shale clasts are often altered to a red-orange, silt to clay grade dust. The matrix is dominated (<95%) by silt grade organic fragments with the remaining 5% consisting of quartz and occasional <0.5 mm pyrite crystals. | May be formed either a) through the spalling of the pillars, whereby talus-like deposits occur as pillars corrode through time <sup>6</sup> , b) through the down-dip flow of coal during flooding events being deposited in the lee side of pillar in a similar manner to sediment lags behind bridge abutments <sup>7</sup> , or c) a product of waste being discarded behind miners during coal extraction that built up against pillars. |
| Collapse Breccia (CoB)<br>Thickness 20 to 90 cm<br>Figure 4d & e | The dominant lithology in the collapsed stalls CoB is found as altered or unaltered pods of clast dominated (85 to 90%) crackle breccia <sup>5</sup> . Clasts, are dominated by shale clasts (90 %), with clasts of ironstone, coal and bleach-white sandstone or seat earth also present. Clasts typically show high aspect ratios elongated parallel to bedding. The matrix is clay-rich containing brick-orange, silt grade clasts of altered shale and sand grains.   | Rotation of clasts away from pillars as material collapses into the stalls <sup>8</sup> . Where the permeability of the workings is low, and mine water develops, clays in the collapse breccia degrade and develop the orange alteration colour.  |

|  |   |  |
|--|---|--|
| <i>Foliated Muds (FM)</i><br><i>Thickness 0.5 to 15 cm</i><br><i>Figure 4f &amp; 5</i> | <p>FM consists of mm to cm scale foliated, unlithified muds which alternate between brick-red and off-white in coloration. Foliations typically stack from brick-red to white, with the top of the white foliations marking distinct depositional phases. Between 7 and 13 cycles can be identified, filling from the deepest point and occasionally showing soft-sediment deformation.</p> | <p>Cyclical flooding and evaporation of salt-rich fluids and mine water, causing a stacked sequence above the pre-existing CoB. The red foliations are likely caused by acid mine water reactions (see discussion for further details) forming ochre deposits. Slumps develop either due to rapid deposition on the CoB top topography, or due to the further collapse along the workings.</p> |
|--|---|--|

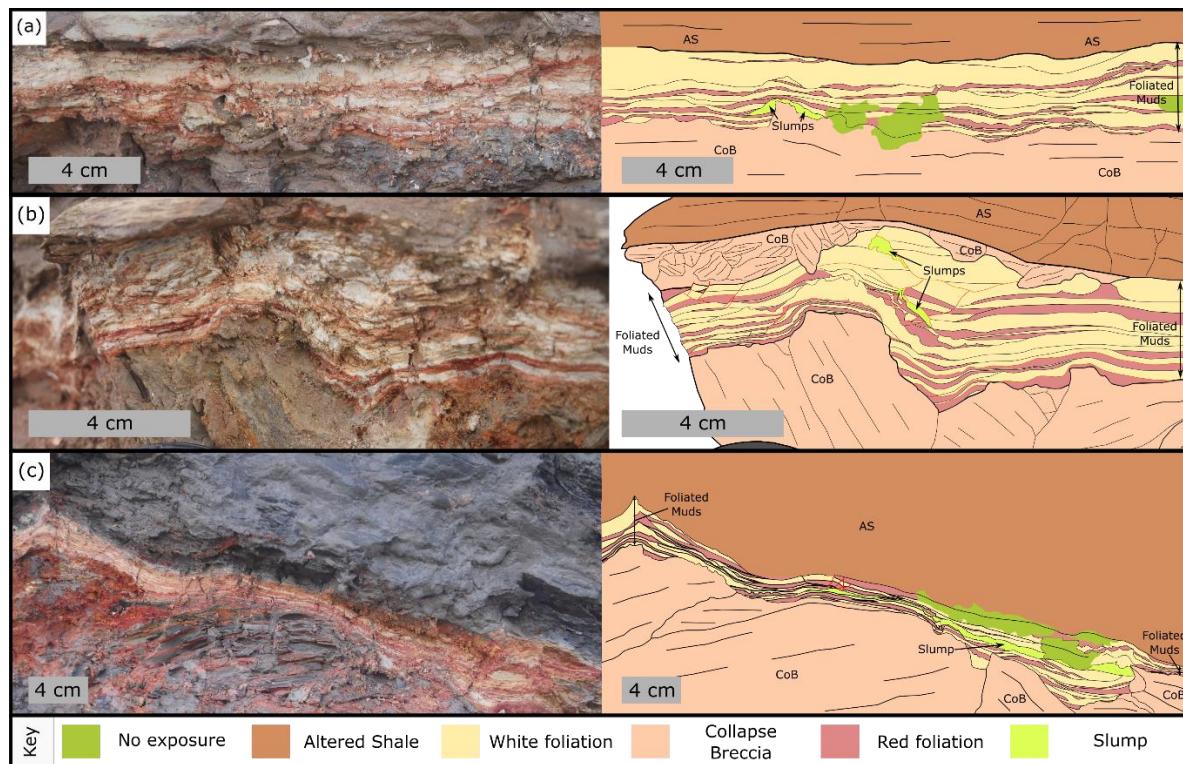
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124  
125

**Table 2: Facies description and interpretation of depositional environment. Here, 'thickness' refers to the vertical thickness of a bed, pod, or lithology within the studied section. References: 1) Fielding (1984b); 2) Fielding (1982); 3) Younger et al. (2002); 4) Godyń (2016); 5) after Woodcock & Mort (2008); 6) Martin & Maybee (2000); 7) Koken & Constantinescu (2008); 8) Lokhande et al. (2005).**



128 **Figure 4: Facies photographs and associated clast and kinematic data.** The location of the photographs for (b) to (f) is indicated on Figure  
 129 3. The photograph for (a) is taken at the base of Hartley Steps [British National Grid: NZ 34469 75668]. (a) undeformed High Main Seam  
 130 with Jarosite developed along the cleats of the lowermost beds. (b) The succession underlying the High Main Seam, with the orientation  
 131 of the yellow alteration planes shown in the inset stereographic projection. (c) Close up photograph of the coal breccia built up on the  
 132 southern side of the central pillar. Inset stereographic projection display the orientation of clast bedding and the foliation picked out  
 133 by fines. The inset histogram displays the equivalent circular area of all clasts measured in the field ( $n = 152$ ). (d) The succession overlying  
 134 the coal breccia. CoB = collapse breccia. The inset stereographic projection displays the orientation of bedding in the CoB clasts, with in  
 135 inset histogram displaying the equivalent circular area of the clasts. (e) The contact between altered shale (AS) and Altered collapse  
 136 breccia (CoB) at the top of the collapsed lithologies. The insert stereographic projection displays the bedding of the altered and unaltered  
 137 shale overlying the collapsed workings. (f) The development of the foliated muds above altered collapse breccia (CoB) towards the  
 138 south of the outcrop.

139 The thickness of the collapsed stalls, defined as the distance between the laterally continuous friable coal at the  
 140 base of the workings, and the fractured unaltered shale at the top, ranges from 52 cm in log 7 to 114 cm in log 3  
 141 with the collapse facies thicknesses and associations varying along the outcrop (Fig. 3c). The relationships of  
 142 unaltered shale, altered shale and friable coal are the same as the undeformed section; however, the thickness  
 143 of altered shale is greater beneath stalls. Coal breccia can be observed on-lapping onto the partially collapsed  
 144 pillar (Fig. 3b), with the maximum thickness (c 40 cm) and larger clast sizes (median =  $144 \text{ mm}^2$ ) found closest to  
 145 the boundary of the pillar (Fig 4c). Coal breccia does not show clear grading; however, a weak foliation is picked  
 146 out by fines that dip and away from the pillar (Fig. 3c, 4c). Towards the south of the outcrop coal breccia occurs  
 147 as a discontinuous layer, with the foliation suggesting that soft-sediment deformation caused by later collapses  
 148 caused the thinning and thickening of the unit.



150 **Figure 5: FC stacking patterns.** The location of the detailed photographs are indicated on Figure 3. Please see text for a description of  
 151 key features.

152 Foliated muds typically dip towards the SW and are observed in both stalls, overlying coal breccia in the south  
153 stall and either coal breccia or collapse breccia in the north stall. Complex stacking patterns and sedimentary  
154 structures are observed in the foliated muds, which are controlled by the underlying topography (Fig. 5). The  
155 alternating red- and white- layers are cyclical in nature, with the number of cycles varying from 7 to 13. At the  
156 base of foliated muds, the foliation can be seen to on-lap onto angular clasts of collapse breccia, with the thickest  
157 deposits occurring in gaps between clasts of collapse breccia (Fig. 3). This shows that the collapse occurred after  
158 mining and was followed by the deposition of the foliated muds, which filled the topography on the top of the  
159 collapse breccia. Stacking patterns in Fig. 5a suggest that rotation of this topography occurred throughout the  
160 deposition of the muds, leading to changes in depocenters probably caused by further collapse of workings  
161 disrupting the collapse breccia.

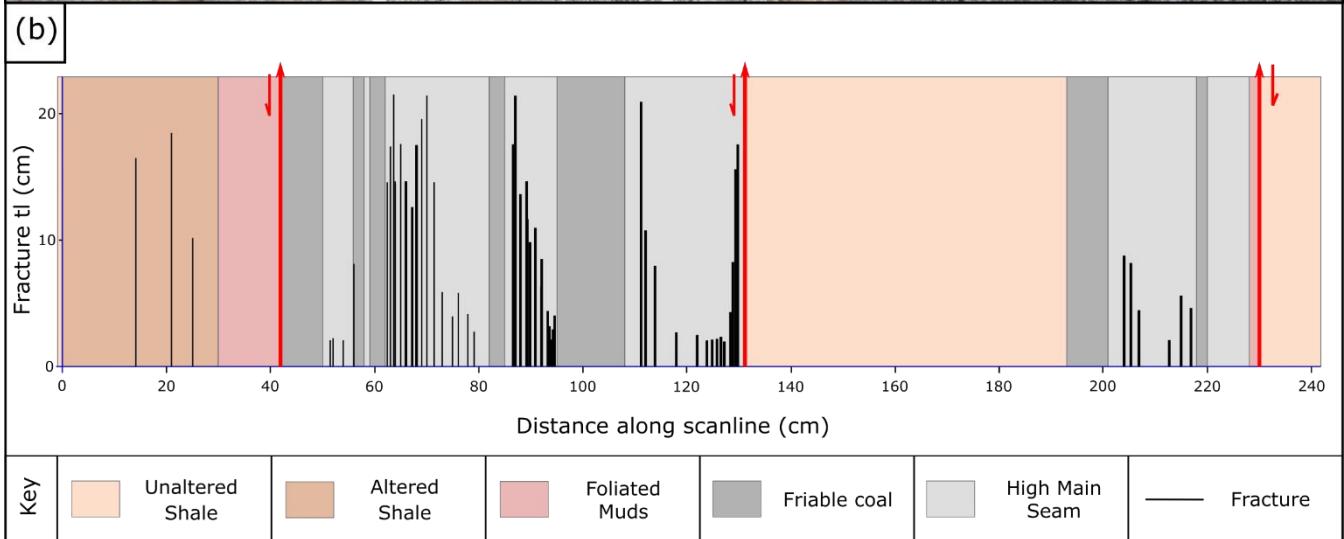
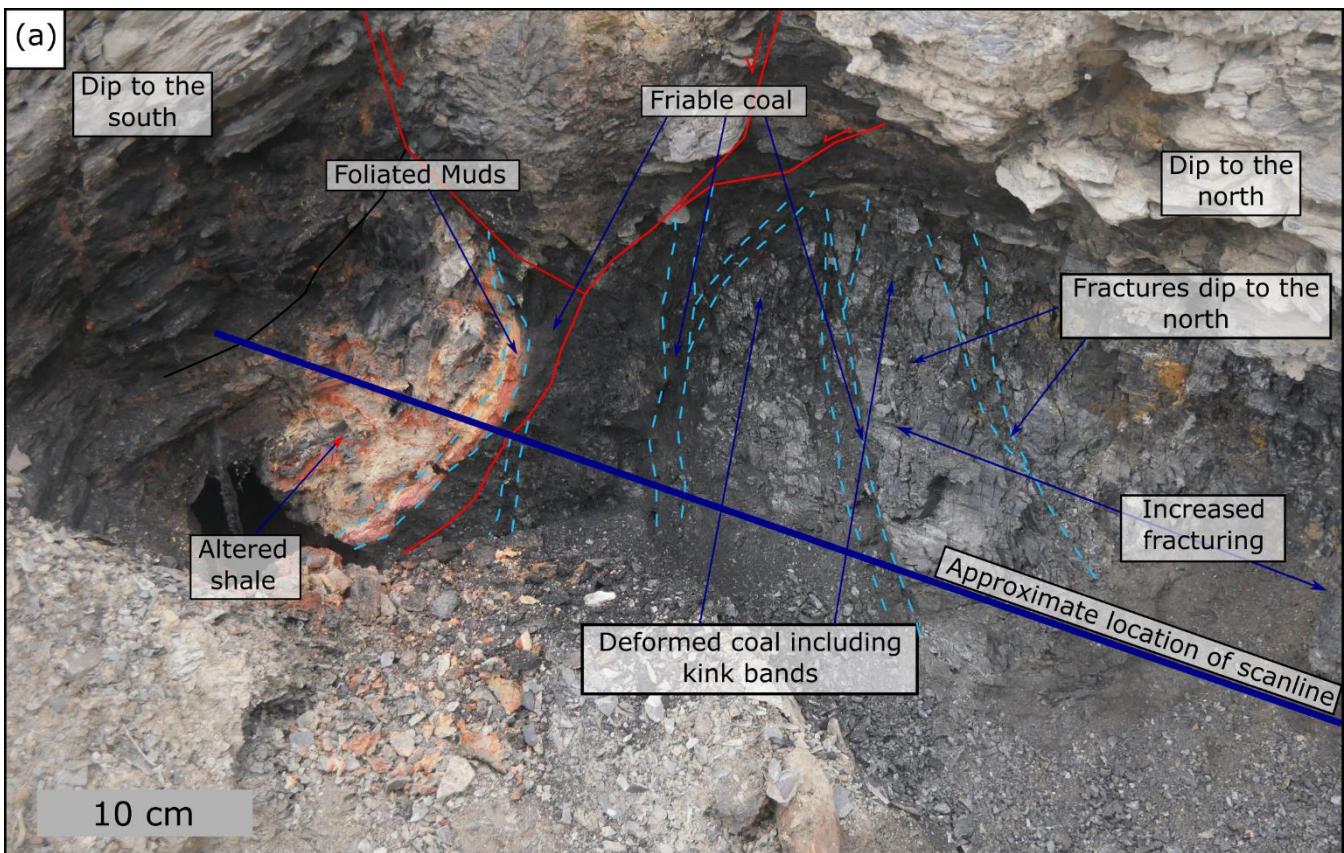
162 The bottom of the foliated muds is generally undisturbed, however, in the mid- to upper- sections of the deposit  
163 slumps, minor faulting and soft sediment deformation can be observed. Slumps occur where a paleoslope occurs  
164 either within the foliated muds or from the top of the collapse breccia. For example, in Fig. 5c a laterally extensive  
165 slump deposit is observed, with normal faults developed at its head, and compressional features at its foot. This  
166 can be observed along the shallowly dipping ( $c. 8^\circ$  to  $10^\circ$ ) upper surface of the collapse breccia. In Fig. 5b, the top  
167 of the foliated muds has been deformed by a later collapse, which caused the soft-sediment deformation of a  
168 thick white layer, and small-scale faults and foliation rotation to develop.

169 Foliated muds may either be overlain by further collapses (collapse breccia), which often caused soft-sediment  
170 deformation of the pre-existing units, or, to the far north of the outcrop, by altered shale. Altered shale makes  
171 up the top of the collapse lithologies and is brought down onto underlying lithologies by a series of fault strands  
172 that led to the closing of open space (Fig. 5b) and the extrusion of foliated muds along the edge of the pillar (Fig.  
173 6a).

#### 174 **4.3 Pillars**

175 Two pillars are observed, which make up 31% of the outcrop width: one to the north and another near the centre  
176 of the studied section. In the northern pillar, the top 0.45 m of the undeformed High Main Seam succession is  
177 observed and the base is visible in the foreshore up-dip of the studied section; suggesting that the full thickness

178 of the seam (c. 2 m) is present at this location. The base of the High Main Seam exposed in the centre of the  
179 outcrop (logs 4 and 5) closely matches the lower part of the log of the undeformed sequence (Fig. 3c). However,  
180 above the undeformed coal in log 4 and 5, 14 to >40 cms of coal breccia are observed. This displays a subtle  
181 foliation, which dips away from the pillar and contains semi-randomly orientated clasts (Fig. 4c). Above the coal  
182 breccia, the central pillar shows facies associations more similar to that observed in the stalls (see above). The  
183 unaltered shale at both locations display low-amplitude folding (Fig. 4e), with material subsiding from above the  
184 pillars into stalls (See Fig. 3).



185

186 Figure 6: View looking W and down slightly at a slightly caved vertical section that includes the northern Pillar: a) annotated field  
187 photograph displaying key structural elements of the edge of the northern pillar; b) scanline oriented orthogonal and passing through  
188 the edge of the pillar displaying the trace length of fractures. The location of the scanline is highlighted in a.

189 In both pillars the High Main Seam displays increased fracturing compared to the undeformed section, along with  
190 local development of friable coal (Fig. 6). The scanline taken through the northern pillar (Fig. 6b), highlights that  
191 within 5 cm of the friable coal the trace length and intensity of fractures increases. Fractures often form parallel  
192 to, and utilised, the pre-existing cleat network. Locally, particularly between strands of friable coal, bedding,  
193 cleats, and fractures are rotated (Fig. 6a). This suggests that fracturing occurred prior to the block rotation of the

194 coal, and that only later deformation (e.g. development of kink-bands) occurred during the development of friable  
195 coal.

196 Bedding orientation below the High Main Seam is similar to the seam itself ( $040^{\circ}/10^{\circ}$  W), however, bedding above  
197 the seam maintains thickness and dips to the north and south with a mean fold axis of  $105^{\circ}/80^{\circ}$  N (Insert Fig. 4a).  
198 Folding is subtle above stalls, however, above pillars it is clearly visible. At this location the folding and rotation  
199 of bedding along antithetic faults occurs such that two low amplitude open anticlines and three synclines with  
200 wavelengths of 0.5 m to 2 m are observed. This suggests folding is due to the sagging of the overburden following  
201 roof collapse.

202 **4.4 Comparison between the sedimentology of mine and cave collapse.**

203 A good analogue for the lithologies and processes described in this study is the collapse and sedimentation of  
204 modern and paleo-cave systems (e.g. Labourdette et al., 2007; Loucks, 2007, 1999; McMechan et al., 2002). This  
205 provides a useful conceptual framework to understand the observed lithologies and stacking patterns that  
206 outcrop near Whitley Bay. Loucks *et al.* (2004) identified 5 distinct paleo-cave facies from core and outcrop data  
207 showing clear parallels to the facies observed near Whitley Bay (Table 3). The key differences in the depositional  
208 systems include: the thickness and lateral extent of the facies; properties of the zone of damage; initial lithological  
209 properties; and finally that the collapsed mine workings leave a low permeability, clay-rich layer and not a highly  
210 permeable coarse chaotic collapse breccia (Loucks et al., 2004).

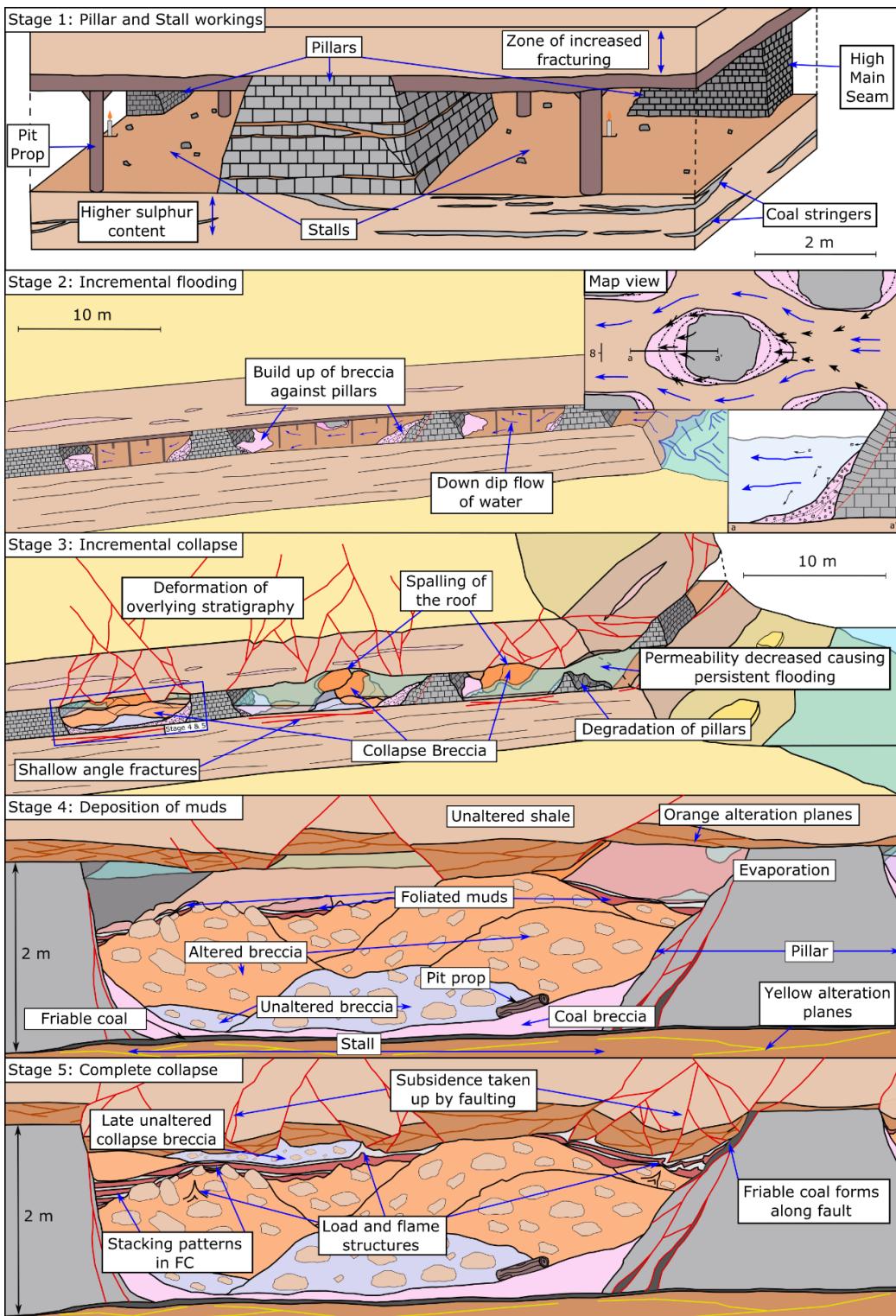
| Cave collapse facies Louchs et al. (2004)   | Equivalent mine collapse facies identified in this study   |
|---|--|
| <i>Continuous Strata Facies:</i> Competent, coherent bedded carbonates, with only local evidence of deformation.  | Interbedded siliciclastic lithologies with high clay content in the succession.  |
| <i>Discontinuous Strata Facies:</i> Characterised by localised folding and faulting, with some local brecciation. Bedding is generally continuous along strikes. The unit is highly fractured and has local development of mosaic breccia.  | Localised faulting and the rotation of bedding is observed; however, bedding can still be observed.  |
| <i>Highly Disturbed Strata Facies:</i> Highly deformed, discontinuous bedded strata with considerable amounts of crackle and mosaic brecciation. Small scale fault and folding common and interbeds of clastic material mark where individual collapse events are recorded.<br>Interacting deformation patterns only occurs where two sections of caves are in close proximity.   | Immediately above the worked seams deformation commonly interacts with deformation patterns from nearby stalls (Stage 3).  |
| <i>Coarse-Clast chaotic breccia facies:</i> Very poorly sorted, matrix to clast-supported granule-to boulder-sized chaotic breccia. Finer interbeds common, interpreted as sediment transport into the cave (Loucks, 1999). Overall volume of collapsed lithology increase by c. 40% (Labourdette et al., 2007). Where available rock is less than 2.5 times the volume a collapse sinkhole develops (e.g. Mylroie et al., 1995). | Similar to the collapse breccia, however, due to the shale roof of the High Main Seam, lower expansion of the breccia occurs during collapse, permeability will be low and only a small space is available to be filled with fines. The collapse of shallow workings can lead to sink hole development (Garrard and Taylor, 1988; Poulsen and Shen, 2013). |
| <i>Fine Chaotic Breccia:</i> Poorly to well sorted, matrix to clast-supported, granule- to cobble-sized chaotic breccia. Sediment fill commonly observed, but limited to small grain size. Sediment fill deposited by transport from within or outside the cave (Loucks, 1999).   | Coal breccia develops from material left from mining operations and the spalling of pillars (Martin and Maybee, 2000). This then gets transported along the coal seam.   |
| <i>Finer Grained Sediment Facies:</i> Consist of silt- to granular-size sediment, dominated by detrital carbonate. Siliciclastic clay may reach 4%, but generally accounts for less than 1%. Sediment is interpreted as being transported in an open chamber by traction, mass-flow and suspension mechanisms.  | Foliated muds deposited from the mixing of mine-waters and deep hyper-saline brines leading to the sedimentation of thin muds from evaporation, suspension and mass transport mechanisms.  |

211 Table 3: Comparison between cave collapse lithologies and those observed in this study.

212 **5 Discussion**

213 5.1. Processes involved in the formation of collapsed pillar and stall workings

214 We investigated the processes which occur during the collapse of abandoned pillar and stall mine workings. For  
 215 the first time a conceptual evolutionary model for the temporal evolution of the internal structure is proposed  
 216 based on detailed sedimentological and structural evidence. This has allowed the processes which occur during  
 217 collapse to be proposed. Five distinct phases are identified, as outlined below and summarised in Fig. 7.



218

219 **Figure 7: Conceptual evolutionary model of the collapse of pillar and stall mine workings based on Whitley Bay, Northumberland. See**  
220 **text for description of each stage.**

221 **Stage 1 & 2: Extraction of coal and build-up of CB:**

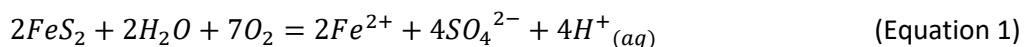
222 The High Main Seam was worked using pillar and stall mining methods, sometime between 1550 and  
223 1710 AD (see Table 1). The shallow depth of the seam at this location suggests that access was most likely  
224 from an inclined adit driven upwards from the beach (a sea drift). The proximity to the coast, current

225 seam level, probable adit height, and early date of the workings (pre-pumping technology) supports the  
226 interpretation that the outcrop near Whitley Bay was worked above the water table. Lying above the  
227 water table, workings would drain under gravity via the adit, so flooding likely only occurred following  
228 periods of heavy rain and/or winter storms. During the extraction of coal, small fragments of low-value  
229 coal and coal dust would be left behind. These would have been transported down-stream (towards the  
230 SW) during flood events and deposited against pillars, leading to the development of the coal breccia.  
231 The on-lapping of coal breccia onto the degraded pillar (Fig. 3b), the orientation of the faint foliation (Fig.  
232 4c), and clast-bedding orientation (Fig. 4c) matches the deposition pattern that would be expected in a  
233 shallow channel flowing around an obstacle (e.g. scouring around groynes (Koken and Constantinescu,  
234 2008)). Separation of coal by density to form a coal “lag” is typical in streams and beaches. Following the  
235 end of mining operations pillars began to spall and collapse (Salmi et al., 2019). Larger clasts of (higher  
236 value) coal would then added to the breccia from pillar spalling and collapse (**Stage 2**). Another possible  
237 interpretation is that the coal breccia represents an anthropogenic deposit caused by miners discarding  
238 waste material behind them during coal extraction. However, we feel this is less likely due to the grading  
239 and stacking patterns observed in outcrop (Fig. 4c).

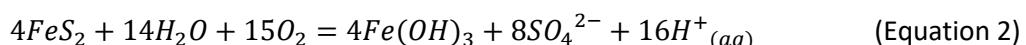
240 **Stage 3: Incremental collapse and steady reduction in permeability:**

241 As time passed, we suggest that episodic flooding degraded pillars and pit-props and the roof of the  
242 workings began to sag and spall (e.g. Bruyn & Bell 1999). Onlapping relationships suggest the collapse  
243 near Whitley Bay occurred through a total of 19 events (Fig. 3b). In agreement with the work of Helm *et*  
244 *al.* (2013), we suggest collapse initiates near the centre of stalls, as evidenced though stacking patterns  
245 and sedimentary structures (e.g. slumps) observed across the outcrop, and that this was followed by  
246 several small collapses propagating towards the pillars. Initially collapses likely did little to reduce the  
247 overall permeability of the workings, and episodic flood waters would flow around the collapsed sections.  
248 As the percentage of collapsed material increased, clays sourced from shales would clog pore-space  
249 between clasts. The breccia, which was poorly sorted and already had a low permeability, would have  
250 become saturated.

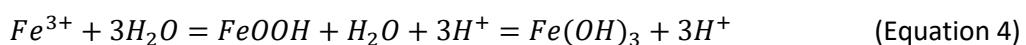
251 Mine water is typically acidic (Younger, 2004, 1995), a product of both ‘vestigial acidity’ caused by the  
252 previous oxidation of pyrite, and ‘juvenile acidity’ caused by the products of seasonal pyrite oxidation  
253 above a fluctuating water table (Younger, 1998). A complex cycle of chemical reactions takes place as  
254 pyrite oxidises (See Stumm and Morgan, (1981) for full description). However, the simplified net products  
255 can be described through Equation 1, with the overall sequence being acid-producing (Equation 2) (Banks  
256 et al., 1997):



258 *Pyrite + water + oxygen = ferrous iron + sulphate + acid*



260 While little pyrite oxidation will take place below the water level, oxidation will be abundant in the  
261 unsaturated zone with the reaction products mobilised as ground water rises (Younger, 1993; Younger  
262 and Sherwood, 1993). The high acidity and oxidising environment represents the ideal situation for the  
263 development of ochre (Younger et al., 2002). Ferrous iron released by the oxidation of pyrite will remain  
264 in solution while acidity is particularly high ( $pH < 2.5$ ) or where oxygen levels are reduced (e.g. fully  
265 saturated workings) (Banks et al., 1997; Younger et al., 2002). However, when the ferrous iron is exposed  
266 to the atmosphere partial oxidation will occur leading to the precipitation of ion oxyhydroxide (ochre)  
267 (equation 3 and 4) (Banks et al., 1997).



270 The pyrite within the saturated collapse breccia would have been oxidised to form weakly acidic mine  
271 waters (Turner and Richardson, 2004; Younger, 1995, 1994), which altered clays in the matrix and clasts  
272 of the collapse breccia and mobilised iron from the siderite ironstone nodules/beds. This oxidation and  
273 leaching caused the red-orange coloration and bleaching respectively. The presence of breccia pods that  
274 do not show alteration suggests that some earlier and later collapses were not exposed to oxygenated  
275 groundwaters to the same degree, possibly due to being fully saturated with anoxic groundwaters or  
276 hydrogeologically isolated.

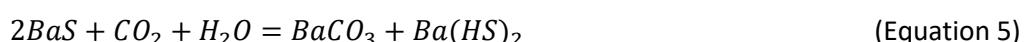
277

#### Stage 4: Formation of the foliated muds.

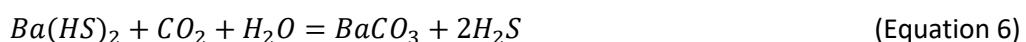
278

In the Durham and Northumberland coalfields, hypersaline barite rich brines (Younger, 1995), led locally to the development of Baryte ( $\text{BaSO}_4$ ) and Witherite ( $\text{BaCO}_3$ ) veins within the Westphalian Coal Measures (Dunham, 1983), which in places have been mined (Dunham, 1948). The Eccles Colliery, located 5.5 km west of the field site (British National Grid = NZ 304 695), primarily worked both the Main and High Main Seams and had problems with barium-rich groundwater (Gray and Judd, 2003). This required the installation of a Blanc-fixé plant that produced around 3000 tons of  $\text{BaSO}_4$  per year (Palumbo-Roe and Colman, 2010). Alternation of Ba-rich brines with acidic minewaters could have led to the alternate deposition of baryte and ochre in the abandoned mineworkings, mimicking the mixing reaction used for the industrial process. Witherite is almost exclusively produced through the precipitation of barium sulphide solutions with either carbon dioxide (equations 5 & 6) or soda (Kresse et al., 2007).  $\text{CO}_2$ , locally termed blackdamp, is abundant within coalmine workings and the evidence from Whitley Bay suggests the workings were periodically flooded, providing  $\text{H}_2\text{O}$  into the system (Stage 1-3). Therefore, given the likely presence in the mineworkings of sulphate-reducing bacteria, conditions may have been present for the precipitation of witherite from upwelling barium rich hypersaline fluids.

292



293



294

Due to the presence of both altered and unaltered collapse breccia (Figure 3b), we suggest that the water table, and hence the composition of groundwater, varied through time. As groundwater levels rise due to meteoric water inputs, the products of pyrite oxidation will dissolve and the acidity of the groundwater will increase (as discussed in Stage 3). This will cause the proportion of acidic mine water relative to brines to increase and promote the breakdown of clays within the shales (Younger et al., 2002), which become entrained into the hypersaline fluid and carried in suspension. When the meteoric input and flow rate decreased, lower water levels would lead to the deposition of the red-mud layer. At times when pyrite oxidation was lower, the brine component of groundwater would have dominated and less clays would be held in suspension. This would lead to the deposition/precipitation of salty muds (possibly with light-

303 coloured baryte or witherite precipitates) in place of the red muds. The cyclic nature of the deposit (Figure  
304 5) could thus be caused by annual groundwater fluctuations and if this is the case the foliated muds  
305 represent deposition over a 7 to 13 year period.

306 Given that the clays are deposited in thin layers preserved as the red foliation, this suggests periods of  
307 very little flow to enable clays to settle from suspension. The orange-red colour and silt-grade grains are  
308 similar to the altered shale clasts in the coal breccia, and likely represent clays sourced from shales altered  
309 by acid mine waters (c.f. Younger 1995). The top of each cycle is white to off white, clay-rich layers with  
310 a distinct salt-and-vinegar taste interpreted as precipitates from a hyper-saline fluid. The deposits would  
311 first have built up as small pods in topographic lows on top of collapse breccias, suggesting that the hyper-  
312 saline fluid formed puddles on the breccia, and that deposition through evaporation occurred prior to the  
313 next pulse. This type of deposit is commonly seen where brines periodically flood areas of topography (in  
314 this case the mine floor), essentially acting as a mini-basin which is infilled during reflux (Warren, 2016).

315 Slump-deposits and soft sediment deformation within foliated muds is seen either a) where the dip of  
316 the paleo-topography is high ( $>8^\circ$ ), or b) in the vicinity of later collapse breccia pods (Fig. 5). Slump  
317 deposits may either have been caused by the rapid build-up of sediment on a slope (Moore, 1961), or  
318 following ground motions, for example earthquakes (Keefer, 1984) or local collapse. Both processes could  
319 have been active in the workings, with pulses of saline brines and/or flooding during winter storms  
320 causing rapid deposition of muds and evaporites and ground motion caused by roof collapse. The slump  
321 deposits in both Fig. 5a and b show no disruption of overlying layers, and have upright folds at the toe  
322 following an ‘open-toe’ deposition style with units above on-lapping onto the deposit (c.f. Alsop *et al.*  
323 2016). In contrast the deposit in Fig. 5c has a longer run out, is thicker and overlying cycles are deformed  
324 through normal faulting at the head and compressional features at the toe. We suggest the slumps in Fig.  
325 5 a and b formed due to rapid sedimentation on the paleo-topography present on the top of the collapse  
326 breccia, possibly triggered by minor collapses. Fig. 5c, however, was deposited following a roof collapse  
327 which caused a slump to develop, utilising a shallowly dipping clay layer as a decollement, similar to large  
328 scale processes caused by earthquakes (Alsop *et al.*, 2016). Collapse related slumps are found at different  
329 stratigraphic layers within the foliated muds, suggesting that the workings collapsed over several years.

330

### **Stage 5: Final collapse of stall:**

331 Eventually pillars degraded to the point where they could no longer support the overlying stratigraphy  
332 and the roof collapsed. The collapse and subsidence of overlying units has been accommodated through  
333 normal faults which dip away from the zone of collapse. This caused triangular zones of deformation,  
334 with subsidiary faults coming off the main strands (Fig. 3). The minor faulting pattern was controlled by  
335 the topography of the pre-existing collapse lithologies. For example, to the north of the partially collapsed  
336 pillar material was brought down by several small offset fault strands which bound 'lenses' (c.f. Gabrielsen  
337 *et al.* (2016)) of undeformed shale and ironstone.

338 The orange alteration of the collapse lithologies near Whitley Bay (Fig. 4) suggests that they were at least  
339 partially saturated at the time of collapse, with soft sediment deformation observed in collapse breccias,  
340 foliated muds, and coal breccias (Figs. 3b, 5). While Stage 5 occurred following the deposition of foliated  
341 muds to the north of the outcrop, in the rest of the outcrop Stage 4 is followed by a return of Stage 3 and  
342 the deposition of collapse breccia pods (Fig. 3). Where collapse breccia is not found, foliated mud is  
343 thickest with the greatest number of cycles observed (13 as compared to 7 to 8 to the south). This  
344 suggests that the void near pillars was open to flow far longer than the rest of the workings.

345 **5.2. Relevance to deeper pillar and stall workings**

346 When considering the applicability of the processes of collapse interpreted at Whitley Bay to mine workings at a  
347 depth that could represent a geothermal prospect several mine-specific factors need to be considered as outlined  
348 below:

349 1. **Pillar geometries and distribution:** Pillar properties (e.g. geometry, distribution, and width to height  
350 ratio) strongly effects how prone a section of a mine is to collapse (Garrard and Taylor, 1988; Taylor and  
351 Fowell, 2007). The geometry and distribution of pillars varied between coal fields (Figure 1) and within  
352 individual collieries in response to geological conditions (e.g. faulting) (Bruyn and Bell, 1999). Due to the  
353 economic importance of coal and governmental regulations, detailed plans of mine layouts at the time  
354 of extraction are common place, with the UK making it a legal requirement in 1872 (Bell, 1986). The  
355 recorded mine layout of seam worked by pillar and stall methods may however become altered by pillar

356 spalling (Salamon et al., 1998), changes in local stress (e.g. collapse of nearby pillars (Zhou et al., 2019)),  
357 and environmental factors (e.g. strength reduction through wetting) (Fang and Harrison, 2002; Salamon  
358 et al., 1998; Zhao et al., 2016). Additionally, the spalling of roof material can increase the effective seam  
359 height (Salamon et al., 1998). These factors could lead to a highly heterogeneous pattern of collapse (e.g.  
360 (Poulsen and Shen, 2013; Zhou et al., 2019)).

361 2. ***How widespread was the use of pit props?***: The use of timber supports in mining was described by  
362 Agricola in De Re Metallica in 1556 (e.g. p 124-5). However, the use of pit-props was an innovation in  
363 Tyneside around 1800 which allowed for extraction of a greater proportion of coal (> c.40%) and were  
364 used for temporary support where the span between pillars is effectively too large for the pillars alone to  
365 support the roof. Pit-props were widespread in the Northumberland and Durham coalfields, with wood  
366 fragments observed in borehole logs intersecting early workings of seams overlain by shale roofs (e.g.  
367 Workings of the Low Main Seam, Eccles Colliery [NZ 428080 574320]). However, the use of pit-props  
368 varied throughout the UK (e.g. Daunton, 1981) and globally, depending on requirements due to differing  
369 roof lithologies and/or local extraction methods (e.g. Ayrshire short-wall, surface mining).

370 3. ***Multiple mining levels:*** In mature mining areas (e.g. Northumberland) it is common for seams at different  
371 levels to have been worked from the same colliery. For example, the Hartley Colliery located just inland  
372 from the studied section [NZ 301 771], worked the High Main and Yard seams primarily by pillar and stall  
373 methods, and the Five Quarter seam initially by pillar and stall methods, followed by later longwall mining.  
374 The undermining of pillar and stall workings affects the local stress regime in the mine, and will impact  
375 the location and extent of collapses (Zhu et al., 2018).

376 4. ***Pillar robbing:*** The removal (“goafing”) or partial extraction of coal from pillars during the final stages of  
377 mining, termed ‘robbing of pillars’, was commonly practiced (Brady and Brown, 1985), and evidenced on  
378 mine abandonment plans of the High Main Seam at Seaton Delaval Colliery [NZ299763]. Pillar robbing on  
379 retreat reduces the effective strength of the pillars by increasing the span to height ratio, and can  
380 promote roof collapse (Poulsen and Shen, 2013; Salamon et al., 1998).

381 5. ***Stowage, loose or packed waste, and backfill:*** Across many coalfields (e.g. Ayrshire and Glasgow) it was  
382 common place for miners to ‘backfill’ mined out voids with waste material (e.g. sandstone, foul coal, and

383 seat-earth fragments) (e.g. Karfakis et al., 1996). The workings in this study are clearly not backfilled due

384 to:

385 a. **Differential compaction around shale fragments in the collapse breccia:** In packed waste you  
386 would expect compaction to occur over a period of time and compaction potentially occurring  
387 orthogonal to bedding. You would also not expect to see the differentiation between siltstone  
388 and mudstone layers that are observed in the altered shale (Figure 3) and subtly in the collapse  
389 breccia (Figure 4d-f) in packed waste.

390 b. **Presence of foliated muds and slump deposits:** For foliated muds to develop (Figure 4 & 5), void  
391 space is required for a significant period of time, that is periodically disrupted causing the  
392 observed slump deposits (Figure 5). The use of backfill in sub-surface mining was a useful way of  
393 discarding waste without having to haul it to the surface and therefore areas of packed waste  
394 would have been densely filled from floor to roof.

395 c. **Stacking patterns and facies associations across the studied section:** In packed waste you would  
396 not expect to able to observe distinct facies relationships (Figure 3) and clast orientations (Figure  
397 4) that are observed across the outcrop.

398 Clear differences in lithology and stacking patterns are expected between the section studied at  
399 Whitley Bay and a backfilled stall and therefore a similar sedimentological approach used in this study  
400 is required to classify packed waste.

401 6. **Rising water levels:** Following mining operations, post-mining ground water rebound causes mines to  
402 flood (Younger et al., 2002) leading to the degradation and strength reduction of pillars and transport of  
403 fine grained material in a similar manner to cave systems (Table 3). In exceptional cases (e.g. through a  
404 combination of faulting and collapse) it may even possible for workings to remain unsaturated for many  
405 years after other areas of the colliery have flooded.

406 7. **Stratigraphic relationships:** In typical coal-bearing sequences the overlying stratigraphy of different  
407 seams can be somewhat variable, with sandstone and shale roofs common (e.g. Fielding, 1984a,b). When  
408 collapse occurs, a vertically propagating fracture network will develop; however, the height of the  
409 fractured zone depends on the nature of the overburden (Garrard and Taylor, 1988; Taylor and Fowell,

410 2007), in particular the presence of any ‘key strata’ (e.g. competent sandstone beds also called ‘Sandstone  
411 Posts’) (Miao et al., 2011). In coal measures, a general ‘rule of thumb’ approach has been devised for the  
412 maximum extent of subsidence of 10 times the seam thickness (Garrard and Taylor, 1988; Poulsen and  
413 Shen, 2013; Taylor and Fowell, 2007);. Coal seams rarely only consist of coal, but instead contain several  
414 ‘bands’ or ‘partings’ of siliciclastic material (see the High Main Seam in Figure 3). The presence of partings  
415 effects the strength of pillars, and therefore likelihood of collapse and spalling (Kaiser and Cai, 2012; Liu  
416 et al., 2019). Additionally, where coal seams are underlain by clay rich lithologies the seam floor can rise  
417 up into stalls through clay swelling, which was known issue in the Durham and Northumberland Coal  
418 fields (Jenkins, 1958). Otherwise the material that overlies and makes up the seam will constitute the  
419 majority of the collapse fill, and therefore strongly impact the nature of the mine-waste. Fills consisting  
420 of a high sandstone content will likely contain gaps between clasts, along with a greater permeability  
421 within clasts, compared to a fill consisting of predominantly shale.

422 8. ***Presence of Faults:*** Miners typically aligned pillars such that they were elongated sub-parallel to faults  
423 and worked the coal until it was no-longer safe and/or economic to do so. Faults in coal measures tend  
424 to be structurally weak (Donnelly et al., 2008) and prone to reactivation causing a significant geotechnical  
425 risk to surface infrastructure (Bruyn and Bell, 1999; Donnelly, 2006).

426 The above factors raise uncertainties in understanding the lithologies present after stall collapse when these are  
427 intersected in boreholes inland. The Burradon House Borehole [NZ 427390 573130] intersected collapse  
428 lithologies from pillar and stall workings of the High Main Seam (113.69 m) worked in 1900. The base of the seam  
429 consist of 2.51 m of shale and fireclay (shale rich paleosoil), that is broken and fragmented with rusty weathering  
430 patterns (equivalent to Collapse Breccia). This is overlain by 0.74 m of mixed fragmented shale with rusty  
431 weathering and intact grey siltstone (equivalent to the Altered Shale). Finally, 1.17 m of shale with rusty  
432 weathering, and a shale with hematite stained ironstone nodules and plant material is recorded (equivalent to  
433 unaltered shale). The borehole, sunk prior reworking by longwall methods, also intersects workings of the Yard  
434 Seam (165 m). Unlike the High Main Seam, the Yard Seam (c. 1m thick) is overlain by a 5 to 8 m thick sandstone  
435 succession, and the borehole records 0.38 m of waste consisting of shale, broken fine-grained sandstone and  
436 suspected cavities.

437 Inland boreholes suggest lithologies described in this study are comparable to collapses of similar stratigraphic  
438 successions at depths that could act as a geothermal reservoir. Additionally, the level of detail possible using a  
439 sedimentological approach allowed collapse processes to be interpreted. We therefore suggest that a similar  
440 approach is undertaken at several near-surface sites, particular those with different roof lithologies and mining  
441 methods, to enable the full range of collapse facies and processes for pillar and stall workings to be established.

442 **5.3. Implications for shallow mine geothermal**

443 Ground water flow through abandoned mine workings can be considerable; for example, discharge flow rates  
444 from the workings of the Shilbottle Seam in Northumberland (UK) ranged from 0.8 ML/d to 2.6 ML/d (median =  
445 1.7 ML/d) (Younger, 2004). If the coal lenses we observed behind pillars are coal lags, then these are indicative of  
446 high flow conditions through partially saturated workings. Our work shows that where flow can occur, any  
447 collapse, including early spalling of the roof, adds low permeability, clay-rich, lithologies to the system. Within  
448 the collapsed breccia, several distinct packages were observed, occasionally showing alteration. The presence of  
449 multiple cycles shows that collapse did not occur instantaneously, instead representing a gradual decrease in void  
450 space, the migration of the void upwards, and the development of a topography at the base of the seam  
451 associated with the sagging and spalling of the roof. During this time flow would still occur, whilst workings are  
452 only partially or temporarily flooded, however, fluid pathways would become more tortuous and localised around  
453 pillars.

454 The presence of cycles within the foliated muds suggest that undersaturated fluid flow fluctuated through time  
455 in response to changes in groundwater levels, perched mine water, or pulses of hypersaline brines. For deeper  
456 workings this could also be triggered by regional groundwater rebound following the end of mining operations  
457 (Burke and Younger, 2000). The thickest deposits of foliated muds are in the vicinity of pillars, suggesting that this  
458 is the best location for preferential flow pathways after partial collapse of the stall, particularly as collapse in  
459 these areas occurs later than the rest of the workings. It is important, however, to consider not only the  
460 permeability of the lithologies which make up the mine workings, but also fracture networks which can combine  
461 to form flow pathways (e.g. McCay *et al.* 2019). Pillars display increased fracturing compared to the undeformed  
462 section (Fig. 6), and the low angle faults which accommodate the final collapse propagate from the pillars into  
463 overlying units (Fig. 3). Tectonically deformed coal, which may occur along the edge of pillars (Figs. 3 & 6), has a

464 significantly reduced permeability (Ju and Li, 2009) which will inhibit flow into stalls. If dewatering triggers  
465 collapse following the start of geothermal energy production, natural and collapse related faults may become  
466 reactivated (e.g. Bruyn and Bell, 1999; Donnelly, 2006)) leading to damage of the well casing and/or surface  
467 subsidence. While large open voids remain, the water capacity and permeability of the flooded workings will  
468 remain high; however, after the final stages of collapse (post stage 3/4) the mine will become increasingly less  
469 viable as a geothermal reservoir.

470 After mine abandonment the level of the seam is generally well constrained (Table 1), however, the location,  
471 arrangement, and composition of pillar and stalls is often unknown (Bruyn and Bell, 1999). Likewise, the current  
472 level of mine water might not reflect the flooding history. This uncertainty is of particular importance for  
473 commercial geothermal projects due to the high cost of drilling (Lukawski et al., 2016), with the geothermal  
474 potential of a well differing considerably depending on if you intersect a pillar, open stall, or collapsed stall. Where  
475 a stall is encountered, the fill type will depend on the stage of collapse and vary considerably along strike (Fig. 3).

476 In general, the presence of a clay-rich collapse breccia will be a sign of at least partial collapse and the presence  
477 of shale and sandstone fragments/core with a brick-orange coloration suggests that perched mine waters have  
478 developed prior to full saturation of the collapse breccias and may have begun to form low-permeable clay layers.

479 Although the collapse lithologies and clay layers have low permeability, they are also highly plastic and poorly  
480 consolidated. During mine dewatering or mine water rebound it is common to observe rapid break outs between  
481 sections of the mine (e.g. Wheal Jane abandoned tin mine (Younger, 2002)), suggesting that in some cases  
482 collapse may not cause a long term barrier to flow.

483 We show that the water capacity and permeability of unsaturated pillar and stall workings decreased as the  
484 degradation of pillars cause the roof to sag, spall, and eventually collapse. Workings in the final stages of collapse  
485 (post stage 3; Fig. 7), have a greatly reduced volume for fluid flow, and an increased number of potential flow  
486 pathways into overlying units. When assessing a site for geothermal potential it is therefore essential that the  
487 phases of collapse and flooding are considered. The findings presented here will be of use when assessing the  
488 potential water capacity of shallow pillar and stall mine workings prior to drilling, or when interpreting borehole  
489 information, and combined with site specific factors such as: a) background geology and hydrogeology; b) the

490 geothermics of the mine, and c) post-mining conditions (Malolepszy, 2003) will inform whether an area is a viable  
491 prospect for a mine geothermal energy system.

492 **6 Conclusions**

493 We present for the first time a detailed study of the internal structure of a collapsed pillar and stall coal mine.  
494 The internal structure of the workings near Whitley Bay is comprised of eight distinct facies, with lithology,  
495 kinematics, stacking patterns and structure consistent with mechanical understanding of mine collapse  
496 processes. A five-stage model of stall collapse is proposed, each acting to decrease the overall permeability of the  
497 mine. Stage 1 represents the methods used in initial coal extraction, and provides the initial framework for the  
498 rest of the collapse. During this time small fragments of coal were deposited on the seam floor. When the seam  
499 was abandoned (Stage 2) the size of fragments of coal increased and these were redeposited around pillars during  
500 flood events. When the seam was abandoned the roof began to spall (Stage 3), gradually collapsing. At this site  
501 there is evidence for multiple events (at least 19 at Whitley Bay), and indications that acid mine water began to  
502 form. In Stage 4 it is interpreted that the presence of hypersaline brines led to the cyclical deposition salty muds.  
503 Finally (Stage 5), the roof collapses along several normal faults, which led to the subsidence of the overlying  
504 stratigraphy. The last section to collapse was closest to the pillar.

505 We propose that abandoned pillar and stall workings should be considered as a highly heterogeneous, potentially  
506 clay-rich, anthropogenic layer developed by varying mechanical and sedimentological processes as collapse  
507 progresses. The water capacity and permeability of a collapsing mine will degrade progressively after  
508 abandonment as the roof spalls, and finally collapses. While this work is limited to a single unsaturated site, these  
509 processes are likely to be widespread and apply to deeper workings prior to final abandonment and flooding. This  
510 has significant implications for the shallow mine geothermal sector. Even when a stall is intersected, the stage  
511 and style of collapse will affect whether significant flow can be maintained. The well-connected fault and fracture  
512 network which overlies the workings could enhance, through increased flow pathways, or degrade, through  
513 communication to shallower cool groundwater, the geothermal potential of a site. The sedimentological  
514 approach to classifying mine wastes applied at Whitley Bay should provide a useful tool to classify these deposits  
515 elsewhere.

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