

1 **A qualitative risk assessment of cleansing and disinfection**
2 **requirements after an avian influenza outbreak in commercial poultry**

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29 **Abstract**

- 30 1. During an Avian influenza (AI) outbreak in the United Kingdom the joint aim of the
31 poultry industry and the Government is to eliminate and prevent the spread of
32 infection, through control measures based on the current European Union (EU)
33 Council Directive (2005/94/EC). An essential part of these measures is the cleansing
34 and disinfection (C&D) of infected premises.
- 35 2. This risk assessment assessed the differences in risk of re-infection in a repopulated
36 flock if the EU Directive is interpreted to permit secondary C&D to be undertaken
37 either with or without dismantling complex equipment. The assessment estimated the
38 probability of virus survival on different types of equipment in a depopulated
39 contaminated poultry house before and after preliminary and secondary C&D
40 procedures. A risk matrix spreadsheet tool was used to carry out the assessment and
41 concluded that provided secondary C&D is carried out with due diligence (i.e. carried
42 out to a defined code of practice as agreed by both industry and policy makers), the
43 risk of re-infection from equipment is negligible both with and without dismantling
44 complex equipment in all farm types considered.
- 45 3. By considering the equipment types individually, the assessment identified those
46 areas of the house which may still contain viable virus post preliminary C&D and,
47 therefore, on which attention should be focussed during secondary C&D. The generic
48 risk pathway and risk matrix spreadsheet tool have the potential to be used for other
49 pathogens and species given appropriate data.

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51 Key words: disease; broilers; laying hens; influenza virus

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69 Introduction

70 Poultry can be affected by a variety of diseases and parasites but Avian Influenza (AI)
71 viruses and Newcastle disease (ND) viruses are the only avian diseases that must be
72 notified to the competent authority by law if suspected in the United Kingdom (UK). Their
73 notifiable status is due to the high mortality and morbidity experienced within an infected
74 poultry population and the economic impacts from trading restrictions and embargoes

75 placed on infected areas or countries (Aldous et al. 2010). During a notifiable avian
76 disease (NAD) outbreak the Government's aim is to prevent the spread of infection
77 through proportionate and evidence-based control measures based on the current
78 European Union (EU) Council Avian Influenza Directive (2005/94/EC) (EU 2006). An
79 essential part of these control measures is the cleansing and disinfection (C&D) of infected
80 premises (IP) to remove virus from the IP before restocking can occur and
81 movement/trade restrictions can be lifted. The efficiency and speed with which C&D is
82 completed directly impacts the wider industry with economic implications. In the UK,
83 following government funded preliminary C&D, a notice will be served on the
84 owner/occupier of the IP requiring them to carry out secondary C&D at their own expense
85 and to the satisfaction of a Government veterinary officer. Preliminary C&D essentially
86 involves spraying all surfaces with disinfectant to 'damp down' any virus in the
87 environment whilst secondary C&D involves cleansing to remove organic debris,
88 degreasing and disinfecting and then repeating the process to provide a high level of
89 confidence that any virus on the premises is eliminated.

90 The EU Directive states that during secondary C&D "washing and cleansing by careful
91 brushing and scrubbing of the ground, floors, ramps and walls following the removal or
92 dismantling, where possible, of equipment or installations otherwise impairing the effective
93 cleansing and disinfection procedures" is required. The directive may be interpreted and
94 implemented by necessitating all complex equipment or installations e.g. cages, egg belts
95 etc., to be dismantled prior to secondary C&D. Dismantling and then reassembling the
96 complex equipment is, however, time and labour intensive, leading to high costs to the
97 individual producer and may result in an extended period before trade can re-commence
98 for the wider industry.

99 This risk assessment assesses the differences in risk to a sentinel flock of poultry if the
100 EC Directive was interpreted to permit secondary C&D to be undertaken either with or
101 without dismantling all complex equipment that could otherwise be appropriately cleansed
102 and disinfected. The results are presented as a qualitative assessment risk matrix tool
103 based on a generic risk pathway with the potential to be used for other pathogens and
104 species, given appropriate data. Worst case assumptions were made when no other data
105 were available. The assessment estimated the probability of virus survival on different
106 types of equipment in a depopulated contaminated poultry house before and after
107 preliminary and secondary C&D procedures before deriving a probability of re-infection in
108 a sentinel poultry flock.

109 **Methods**

110 **Risk question**

111 The following risk question was used as a basis for this assessment:

112 *“What is the risk of re-infection with Avian Influenza in a layer breeder, broiler breeder,*
113 *layer or broiler flock from complex equipment/installations, given the different*
114 *interpretations and implementations* of the EU directive with regards to C&D?”*

115 ** detailed in the following sections*

116 Throughout this report, poultry is taken to refer to the sectors being considered as outlined
117 in the risk question for chickens *Gallus gallus* only.

118 **Risk Pathway**

119 The pathway, as shown in Figure 1, is generic for all poultry groups and premises type being
120 considered in this assessment. Each step on the pathway considers a key stage of the
121 process, in relation to either virus levels or risk mitigation. The pathway divides according to

122 whether or not secondary C&D is carried out and, if it is carried out, whether or not
123 dismantling of complex equipment occurs.

124 FIGURE 1 HERE

125 **Risk assessment**

126 There are three scenarios for which the risk was assessed:

- 127 1. Infection from complex equipment with preliminary C & D and no secondary
128 C&D
- 129 2. Infection from complex equipment with preliminary C&D and secondary C&D
130 without dismantling
- 131 3. Infection from complex equipment with preliminary C&D and secondary C&D
132 with dismantling

133 For each step, the key outputs are probabilities of contamination and virus levels, and are
134 defined as in Table 1.

135 TABLE 1 HERE

136 The risk assessment follows the guidelines and risk terminology as amended from the
137 European Food Safety Authority (EFSA) (EFSA 2006) and the World Organisation for
138 Animal Health (OIE) (OIE 2004). Briefly, the probabilities are expressed qualitatively as
139 *negligible, very low, low, medium, high* and *very high* and defined as: *negligible*, so rare that
140 it does not merit to be considered; *very low*, very rare but cannot be excluded; *low*, event is
141 rare but does occur; *medium*, event occurs regularly; *high*, event occurs very often; and *very*
142 *high*, event occurs almost certainly.

143 The following assumptions were made:

- 144 • Low temperature environmental conditions mirroring historical winter AI outbreaks in
145 Europe. Barns will normally reduce to external ambient temperature during C&D and
146 downtime; the speed at which this happens will depend on time of year and the
147 particular system (and internal temperatures prior to depletion).
- 148 • Heating to high temperatures for a number of days to kill the virus is not carried out
149 (although this technique has sometimes been used to kill red mites and may be
150 approved as an option for notifiable disease control in the future)
- 151 • No water based products would be used in below freezing temperatures
- 152 • Viral load and survival within different organic matrices were based on values from
153 the literature. When data was not available worst case assumptions were adopted
154 using expert opinion. (See the Supplementary material for details). For example, in
155 some cases proxy data, in particular, the use of *Salmonella* studies, was used to
156 assess probabilities. Data on the number of bacteria pre and post C&D can help to
157 indicate those areas where organic material is concentrated and those that are
158 difficult to clean thoroughly whilst acknowledging that there will be differences
159 between viral and bacterial environmental survival characteristics and susceptibility
160 to C&D. Approved dilution rates for statutory use of Virkon S for 'diseases of poultry
161 order and the avian influenza and influenza of avian origin order' which uses ND virus
162 as the target organism is 2.8 X greater than that for general orders which uses
163 *Salmonella* Enteritidis as the target organism. AI is less robust than ND so could
164 therefore be considered very susceptible to disinfectants. It is also possible for
165 bacteria to multiply in suitable conditions after C&D has been carried out whereas
166 viruses will continue to be subject to natural decay over time depending on the
167 environmental conditions.
- 168 • Highly pathogenic avian influenza (HPAI) and low pathogenic avian influenza (LPAI)
169 treated as one generic virus with the same parameters e.g. titres in organic matrices,
170 survival times (due to variability among strains within these groupings and insufficient
171 data to assess the viruses independently)
- 172 •
- 173 • Time periods between C&D stages and repopulation (based on expert opinion and
174 timescales from previous AI outbreaks (see Supplementary material)) with the
175 exception of the post preliminary C&D which is an unrealistic scenario.
- 176 • Secondary C&D carried out with due diligence (i.e. according to a defined code of
177 practice as agreed by both industry and policy makers)
- 178 • No risk mitigation strategies for outdoor paddocks in free range poultry houses
- 179

180 In terms of approach, for each poultry species and premises type combination (referred to
181 hereafter as farm-type), there are different types of equipment and matrices in which the

182 virus may be present. The four organic matrices considered were dust, feathers, faecal
183 material (cloacal) and oropharyngeal deposits. Each matrix can vary in relation to the extent
184 to which it contributes to the risk of infection for the different poultry houses and different
185 items of equipment. For example, whilst oropharyngeal deposits can contain high levels of
186 virus, there is very little organic material protecting the virus which makes it exposed to the
187 effects of disinfectants unless it is in a hard to access area. Avian influenza virus is known
188 to survive for up to 120 days in feathers (Yamamoto et al. 2010), however, direct
189 environmental contamination from these contaminated feathers may be limited to a local
190 area because of the nature of the material (Yamamoto et al. 2010).

191 For the assessment, each combination of equipment and matrices has its own set of
192 probabilities along the pathway and therefore its own overall estimate of probability of
193 infection (see Supplementary material). Due to the fact that these overall probabilities are a
194 product of the conditional probabilities, each is therefore determined by the lowest of the
195 pathway estimates (Gale et al. 2010). Thus, for a particular piece of equipment and matrix,
196 if there is a negligible or very low probability present in the pathway, the risk from that
197 equipment will be negligible or very low (at most). Clear definitions were allocated to each
198 qualitative rating and agreed by the project board. Risk assessors then used these ratings
199 with evidence from peer reviewed literature. Initial ratings were subsequently presented and
200 discussed with disease experts and the poultry industry (see acknowledgements) and
201 revised where necessary.

202 The risk assessment process maps all of the individual probabilities and pathways to identify
203 any types of equipment which have a non-negligible risk using a risk matrix approach.
204 Exposure via contamination of a particular piece of equipment is determined after a period
205 of time before restocking and includes natural virus decay. The risk matrix assessment,

206 including exposure, is presented as a spreadsheet tool to assist in the visualisation of the
207 relative risks for the equipment types, matrices, farm types and C&D scenarios.

208 **Results**

209 In the spreadsheet based risk matrix tool, qualitative estimates of risk are provided for
210 possible combinations of, farm-type, equipment and organic matrix in which the organism
211 may be present. Figure 2 illustrates the assessment tool with the pathway flowing from left
212 to right. It begins with the level of pathogen in each matrix, accumulation of the matrices on
213 individual items of equipment and through the different C&D scenarios. It assesses the
214 probability of virus survival, viral load and probability of exposure to virus for a sentinel flock
215 for each scenario. The use of different equipment, farm types and matrices can be examined
216 in the spreadsheet by using the filter facility in the column header row. For example, Figure
217 3 illustrates the use of the tool filtered to show only results for enriched colony caged layers.
218 This demonstrates how the estimates for probability of infection and viral loads differ
219 between the scenarios as described in Table 1. It is estimated until the point where the
220 probability of virus survival and any remaining viral load is not considered to be at a
221 significant enough level to cause infection in a sentinel flock of birds.

222 FIGURE 2 HERE

223 FIGURE 3 HERE

224 Based on evidence in the literature dust and faecal deposits were considered to contain a
225 medium level of AI virus while oropharyngeal deposits and feathers were considered to
226 contain high levels of virus (Yamamoto et al. 2008b, Yamamoto et al. 2008a, Pepin et al.
227 2014, Spekrijse 2013, Reis et al. 2012). Table 2 shows those items of equipment which
228 give the highest predicted probability of infection for each of the three C&D scenarios for
229 individual farm production types. The risk assessment predicts that, within any farm-type
230 other than free range layers, the probability of infection in a sentinel flock from any
231 equipment is negligible after secondary C&D, irrespective of whether or not dismantling
232 occurs (Table 2). The 'Medium' probability results for preliminary C&D are assuming a
233 sentinel flock is introduced directly after C&D has occurred. Whilst this is an unrealistic
234 scenario, it demonstrates the probability of where residual virus may still be present within
235 the poultry house at this time.

236 TABLE 2 HERE

237 For free range layers, the probability of infection from outdoor areas (which would not be
238 affected by dismantling) was assessed as low (assuming no risk mitigation strategies have
239 been applied to these areas), with the risk from all types of equipment being negligible; this
240 is assuming a time period of ~37 days between culling and restocking and low temperature
241 conditions. By considering the equipment types individually, the assessment identifies those
242 areas of the house which may still contain viable virus post preliminary C&D to which sentinel
243 birds may have access and where attention should be focussed during secondary C&D.
244 Considering all poultry production types, these areas are drinking nipples, floor, outdoor
245 areas, nest box liner and autonests, perches, slatted areas and enrichments.

246 Figure 4 shows the relative risk of infection across the different types of equipment, within a
247 particular farm-type, for the preliminary C&D scenario, demonstrating the areas of highest
248 risk. The two secondary C&D scenarios are not shown graphically because the risk from all

249 equipment types was predicted to be negligible with the exception of the outdoor areas
250 (risk was considered 'Low') for both scenarios. It is stressed that the ordinal scales used to
251 produce Figure 4 are not quantitative values and are used only to illustrate qualitative
252 relative risk.

253 FIGURE 4 HERE

254 The risk assessment found a negligible risk of re-infection in sentinel chickens resulting from
255 contact with any equipment in enriched colony caged systems for both the secondary C&D
256 scenarios. This is the poultry sector with the most complex equipment involving numerous
257 cages and hard to access areas such as manure belts and nest boxes. A very low probability
258 of virus survival was associated with faecal deposits on the nest box liners and scratching
259 mats. At this stage of the C&D procedure, however, taking into account natural virus decay,
260 the viral load was considered to be negligible as was the probability of a bird being exposed
261 to a high enough level of virus to constitute an infectious dose. This is based on experimental
262 minimum infectious dose data (Aldous et al. 2010) and the assumption that the birds would
263 not come into direct contact with virus within the nest boxes. Nest box liners can be
264 perforated to allow all the dust and muck to fall away, however, they can still become soiled
265 by faeces and have been found to be more heavily soiled than wired areas due to droppings
266 stuck in the mats (Guinebretiere et al. 2012). It is considered that a thorough C&D procedure
267 would eliminate the majority of the organic matter and that the blades on the artificial turf
268 mats, which prevent eggs from coming into direct contact with the droppings trapped within
269 the blades, would also reduce the risk of the bird accessing any remaining virus.

270 A very low probability of virus survival and estimate of viral load was also found for dust and
271 faecal deposits on the manure belts of colony caged systems. Due to the slow movement of
272 these belts resulting in negligible dispersal of residual dried organic matter it was assumed

273 that there would be negligible probability of the birds being exposed to an infectious dose of
274 virus present on the belt.

275 For those poultry sectors with less complex equipment such as barn and free range layers
276 and broiler breeders and rearers, the floor was found to have a very low probability of viral
277 survival for both secondary C&D scenarios. The viral load at the time of restocking, however,
278 was considered to be negligible as was the probability of infection in a sentinel flock. A very
279 low probability of virus survival in faecal deposits on the nest box lining was also found after
280 secondary C&D for barn and free range layers and broiler breeders but the risk of infection
281 was reduced to negligible for the same reasons as colony caged birds.

282 For barn and free range layers, broiler breeder and the broiler rearer sector a medium risk
283 of infection immediately after preliminary C&D was predicted for nipples, drinkers, the floor
284 area, nest box liners, perches, enrichments, and slatted areas. Again these are the items of
285 equipment that are most difficult to access during the spraying of disinfectant during
286 preliminary C&D and which the chickens have close access to. The majority of the risk, for
287 those items other than nipples and drinkers, arises from faecal deposits whereby the organic
288 matter of the deposits could protect the virus from the full effect of the disinfectant applied
289 during preliminary C&D thereby reducing its efficacy.

290 **Discussion**

291 This risk assessment concluded that provided secondary C&D is carried out with due
292 diligence (i.e. carried out to a defined code of practice as agreed by both industry and policy
293 makers) the risk of recrudescence of infection of AI viruses is negligible both with and without
294 dismantling complex equipment in all farm types considered. The correct application of
295 secondary C&D combined with the period of time between depletion and restocking allowing
296 for viral decay are key components in the negligible risk rating for both scenarios. The few

297 items of equipment which still had a very low probability of contamination were generally not
298 in contact with the birds thereby reducing the risk of re-infection.

299 A low risk after secondary C&D was predicted for the outside paddocks of free range poultry
300 houses but this is assuming that no risk mitigation activities take place. The outdoor areas
301 or paddocks are unique to free range poultry sectors whereby C&D will have very little effect
302 on any virus present. Virus here, however, will be subject to UV effect, in addition to natural
303 decay as a result of temperature and other environmental factors. Outdoor survival of virus
304 in wet, puddled cold paddocks, could be longer than in the poultry house but by the time the
305 house has been cleaned and disinfected, restocked and the birds trained to use nest boxes
306 before release to range, the natural decay of virus should result in a negligible risk at
307 restocking. Additional interventions for outdoor areas include: scraping off heavy faecal load
308 close to pop-holes, cutting pasture short to allow drying and exposure to the sun, use of
309 products to 'dress' pasture, absorbing moisture and containing an anti-viral disinfectant or a
310 heavy lime application to reduce pathogen growth.

311 By considering the equipment types individually, the assessment identifies those areas of
312 the house which may still contain viable virus immediately post preliminary C&D and
313 therefore on which attention should be focussed during secondary C&D e.g. those areas
314 where feathers accumulate or there is a build-up of faecal material. It was assumed that in
315 some areas of the house there will still be viable virus after preliminary C&D has taken place
316 as it was assumed that organic matter will still be present when the disinfectant is applied.
317 It should be stressed that the results are assuming a sentinel flock is introduced directly after
318 preliminary C&D has occurred. Whilst this is an unrealistic scenario, it demonstrates the
319 probability of where virus may still be residual within the poultry house at this time, and so
320 helps prioritise areas for secondary C&D. For the colony caged layer sector a medium risk
321 was found for nipples, nest box liners, perches and scratching mats. These areas of

322 equipment could still potentially harbour significant viral loads after preliminary C&D and
323 also be accessible to the birds so that they are exposed to a sufficient viral load to cause
324 infection (Aldous et al. 2010).

325 In answering the risk question it was important to consider where the virus is likely to be
326 present in the house, how it is affected by C&D and what access the birds have to those
327 areas predicted to contain high enough levels of virus to constitute an infectious dose. Each
328 poultry system has its own specific design and therefore the critical points for each housing
329 system will differ. Some systems are easier to clean than others and when something is
330 difficult to clean, the risk of it not being cleaned properly will be higher. This will be reflected
331 in the efficacy of the disinfection because heavy organic soiling will influence the
332 performance of the disinfection procedure negatively. In a study on C&D of different layer
333 systems the necessity to pull the laying mats out of the nests and the extra attention spent
334 on cleaning the dust and manure stuck between the tiered flooring were the two main
335 reasons why the colony systems were more labour intensive in terms of cleaning. When
336 this is done properly, however, the disinfection results should not be influenced by
337 equipment type (Bossuyt K. 2012).

338 The length of time AI virus can remain infective in the environment, the specific conditions
339 of the environment that increase persistence, and the infective dose required for primary
340 transmission, have all been the subject of many experimental investigations. The majority
341 of the data available for this assessment were based on laboratory conditions without
342 factoring in 'environmental realism' (Dalziel et al. 2016). Persistence of AI viruses is
343 dependent on many parameters such as time, temperature, pH, salinity, light (UV),
344 desiccation and relative humidity (RH) (Stallknecht and Brown 2009) and the tenacity of AI
345 viruses to physical and chemical factors also increases in the presence of organic material
346 (Lu et al. 2003). In experimental conditions, multiple variables may be held constant (e.g.,

347 strain/isolate, pH, salinity, UV, and RH), while others are then varied (e.g., time and
348 temperature). Although this helps isolate the effect of treatments, the interactions of
349 treatments (Stallknecht and Brown 2009) may be missed and the results may therefore
350 apply less well to field conditions. Considering the need for environmental realism to be
351 applied to experimental data for survival of AI viruses within the poultry house environment,
352 studies are currently underway to assess the survival of AI virus in a barn setting after C&D
353 has been carried out and whether recrudescence of the virus in a sentinel flock occurs.

354 The infectivity of AI viruses at different temperatures is also variable from strain to strain
355 (Paek et al. 2010). The lack of data of AI virus survival at low temperatures is particularly
356 relevant, for example, nine out of the fourteen NAD outbreaks since 2006 in the UK occurred
357 between November and February. Variation between viruses was most evident under cold
358 water (4°C) conditions, with little variation observed at temperatures >28°C (Stallknecht and
359 Brown 2009). Studies have also demonstrated that significant variability exists in the
360 infection dynamics observed between individual virus strain, challenge dose and the specific
361 host it infects (Aldous et al. 2010, Swayne and Slemons 2008a). It should therefore be
362 acknowledged that extrapolation of data from a single virus strain across other avian species
363 or for different strains should be viewed with caution. The application of a 'worst case
364 scenario' for this assessment will have ensured that the risks have not been underestimated
365 but, as such, there remains a medium level of uncertainty associated with the data used for
366 these parameters.

367 Within this assessment LPAI and HPAI were treated as one virus. While there are likely to
368 be differences between them in terms of persistence, infectious doses and shedding levels
369 in the various matrices, it was considered that there was insufficient data available to assess
370 the viruses independently, although where possible, virus specific data are presented. HPAI
371 viruses are typically found in both the faeces and respiratory secretions of experimentally

372 infected chickens (Spickler, Trampel, and Roth 2008). LPAI viruses have also been detected
373 in both these secretions of experimentally infected chickens but the findings are less
374 consistent than with HPAI (Spickler, Trampel, and Roth 2008). Naïve wild bird mediated
375 introduction of LPAI viruses are often more likely shed via the cloaca but once the virus
376 moves through a Galliforme host, shedding via the respiratory tract becomes more common.
377 There have been no published studies on shedding of LPAI virus in feathers although the
378 mechanism for virus presence in the follicle is unclear. Data also suggests that LPAI viruses
379 require higher infectious doses to cause infection but that the broad variation in
380 susceptibility of poultry species makes the probability of infection occurring unpredictable
381 (Swayne and Slemons 2008a, Jones and Swayne 2004, Pantin-Jackwood et al. 2017, Van
382 der Goot et al. 2003). HPAI virus has been found to be more persistent than LPAI virus in
383 faeces and bedding material (Hauck et al. 2017), although this persistence may be related
384 to the initial higher viral load deposition and degree of contamination with HPAI viruses.
385 Thus, a lower infectious dose and high virus shedding (Aldous et al. 2010), along with
386 greater environmental persistence, likely increase the risk of infection for HPAI compared to
387 LPAI when there is an exposure event. The results are therefore presented for one generic
388 virus acknowledging that this is likely to be a worst cases scenario for LPAI The generic
389 nature does, however, mean that should more data become available, the assessment can
390 be re-parameterised and rerun to obtain pathogen specific results.

391 C&D is a costly and laborious task and its success in eliminating virus from the houses
392 depends not only on the choice and correct application of disinfectants but specifically upon
393 attention to detail to remove organic matter from those areas identified as still capable of
394 causing infection directly after preliminary C&D. In the UK, reference should be made to the
395 Defra approved disinfectant list which provides a list of products that can be used in case of
396 an AI outbreak and the concentration they must be used at. Consideration should be given
397 to the efficacy of disinfection at different temperatures and in the presence of organic matter

398 whilst minimising corrosion of metal surfaces, the pitted nature of which could harbour virus
399 and protect it from the disinfectant. Laying houses are difficult to clean thoroughly because
400 of their intrinsically complicated structures, which are even more complex in the case of
401 cage laying houses (Wales, Breslin, and Davies 2006). Access to cage interiors, feeders
402 and muck belts is very difficult unless effort and time is invested. It would appear that in
403 these circumstances a large amount of residual organic matter is expected after a standard
404 disinfection procedure (Carrique-Mas et al. 2009). Removal of equipment in on-floor houses
405 which were cleaned separately resulted in a high standard of cleaning. However, this was
406 during routine C&D between flocks so does not allow for the natural decay of the virus over
407 the time taken between culling and re-population that is accounted for in this assessment.
408 Whilst minimal virus decay is likely take place in light of the assumption of low temperature
409 environmental conditions, the time period of 37 days used in this assessment falls within the
410 bounds of viral decay in faeces reported in some studies (Webster et al. 1978, Beard 1984,
411 Lu et al. 2003).

412 Two of the main uncertainties within this assessment are the infection dynamics and survival
413 of AI viruses and the virus strain variability which may influence these data. There is a need
414 to fully understand the complexity of the large number of potential interacting variables that
415 can affect virus survival within the poultry house environment. Survival of virus on fomites
416 constructed from different materials is important at the interface of the equipment with the
417 deposit containing the virus (Wood et al. 2010, Greatorex et al. 2011, Tiwari et al. 2006,
418 Noyce, Michels, and Keevil 2007, Sakaguchi 2010, Bean et al. 1982, McDevitt et al. 2010)
419 but more studies are required to determine viral decay within the organic matrix itself.

420 Based on these conclusions, recommendations for improving the efficacy of secondary C&D
421 could include the improvement in equipment design to allow better access to those items of
422 equipment with which a higher risk was associated e.g. muck belts and nest boxes. Specific

423 C&D guidelines for higher risk equipment such as this could be outlined in the C&D
424 procedure (Huneau-Salaun et al. 2010). Design of new poultry sheds could include the
425 requirement to eliminate horizontal surfaces that collect dust, with smooth surface finishes
426 and level concrete floors to facilitate cleaning. The height of new sheds should be tall enough
427 to allow the use of a vehicle fitted with an enclosed, ventilated cab with filtered air intakes to
428 clean the whole of the floor (HSE 2012).

429 Overall, the risk pathway and matrix tool used for this assessment are generic in nature and
430 can be applied to other pathogens and species to compare scenarios where appropriate
431 data exists. The risk assessment matrix 'tool' complements the pathway and is a novel
432 application which allows the probability of infection from individual items of equipment to be
433 compared taking into consideration probability of virus survival, viral load and probability of
434 exposure throughout the pathway. In this assessment, the pathway and tool provide a
435 framework for effective application of C&D in a way which can lead to reduction of costs to
436 industry and mitigating some delays in recovering country freedom.

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569 Table 1: Probability definitions for the generic risk pathway derived for individual types of equipment

Scenario	Steps and Outputs
<u>No Secondary C&D</u>	<u>Initial contamination on Complex Equipment</u> P _C : Probability virus present on complex equipment at time of preliminary C&D V _H : Viral load on complex equipment at time of preliminary C&D <u>Preliminary C&D</u> P _P : Probability virus present on complex equipment after preliminary C&D V _P : Viral load on complex equipment after preliminary C&D <u>Exposure</u> P _E : Probability birds exposed to virus on complex equipment V _E : Viral load on complex equipment to which birds are exposed <u>Consequence (Infection)</u> P _I : Probability of infection, given exposure and viral load to which birds are exposed (dose-response)
<u>Secondary C&D without Dismantling</u>	<u>Initial contamination on Complex Equipment</u> P _C : Probability virus present on complex equipment at time of preliminary C&D V _H : Viral load on complex equipment at time of preliminary C&D <u>Preliminary C&D</u> P _P : Probability virus present on complex equipment after preliminary C&D V _P : Viral load on complex equipment after preliminary C&D <u>Secondary C&D without Dismantling</u> P _{SND} : Probability virus present on complex equipment after secondary C&D without dismantling V _{SND} : Viral load on complex equipment after secondary C&D without dismantling <u>Exposure</u> P _E : Probability birds exposed to virus on complex equipment V _E : Viral load on complex equipment to which birds are exposed <u>Consequence (Infection)</u> P _I : Probability of infection, given exposure and viral load to which birds are exposed (dose-response)
Secondary C&D with Dismantling	<u>Initial contamination on Complex Equipment</u> P _C : Probability virus present on complex equipment at time of preliminary C&D V _C : Viral load on complex equipment at time of preliminary C&D <u>Preliminary C&D</u> P _P : Probability virus present on complex equipment after preliminary C&D V _P : Viral load on complex equipment after preliminary C&D <u>Secondary C&D with Dismantling</u> P _{SD} : Probability virus present on complex equipment after secondary C&D with dismantling V _{SD} : Viral load on complex equipment after secondary C&D with dismantling <u>Exposure from Complex Equipment</u> P _E : Probability birds exposed to virus on complex equipment V _E : Viral load on complex equipment to which birds are exposed <u>Consequence (Infection)</u> P _I : Probability of infection, given exposure and viral load to which birds are exposed (dose-response)

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574 Table 2: Probability of infection in a sentinel flock for the three scenarios included in the risk pathway
 575 (equipment in brackets are those items with the highest risk at that stage)

Farm Type	Preliminary C&D only (R_P)	Secondary C&D without dismantling (R_{SND})	Secondary C&D with Dismantling (R_{SD})
Enriched Colony Caged	Medium (nipples; nest box liner; perches, scratching mat)	Negligible (All equipment)	Negligible (All equipment)
Free range layer	Medium (nipples; floor; outdoor areas; nest box liner; perches; slatted areas; enrichments)	Low (outdoor areas)	Low (outdoor areas)
Barn layer	Medium (nipples; floor; nest box liner; perches; slatted areas; enrichments)	Negligible (All equipment)	Negligible (All equipment)
Broiler breeder	Medium (nipples; floor; nest box liner; autonest; slatted areas; enrichments)	Negligible (All equipment)	Negligible (All equipment)
Broiler rearer	Medium (nipples; floor; slatted areas; enrichments)	Negligible (All equipment)	Negligible (All equipment)

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592 Figure Captions:

593 Figure 1: Generic risk pathway considering key stages of the C&D process and illustrating the three scenarios for which
594 the risk of re-infection is assessed. The different stages of the pathway will incorporate more detail including, for example
595 how the virus survives over time. The variables are defined in table 1.

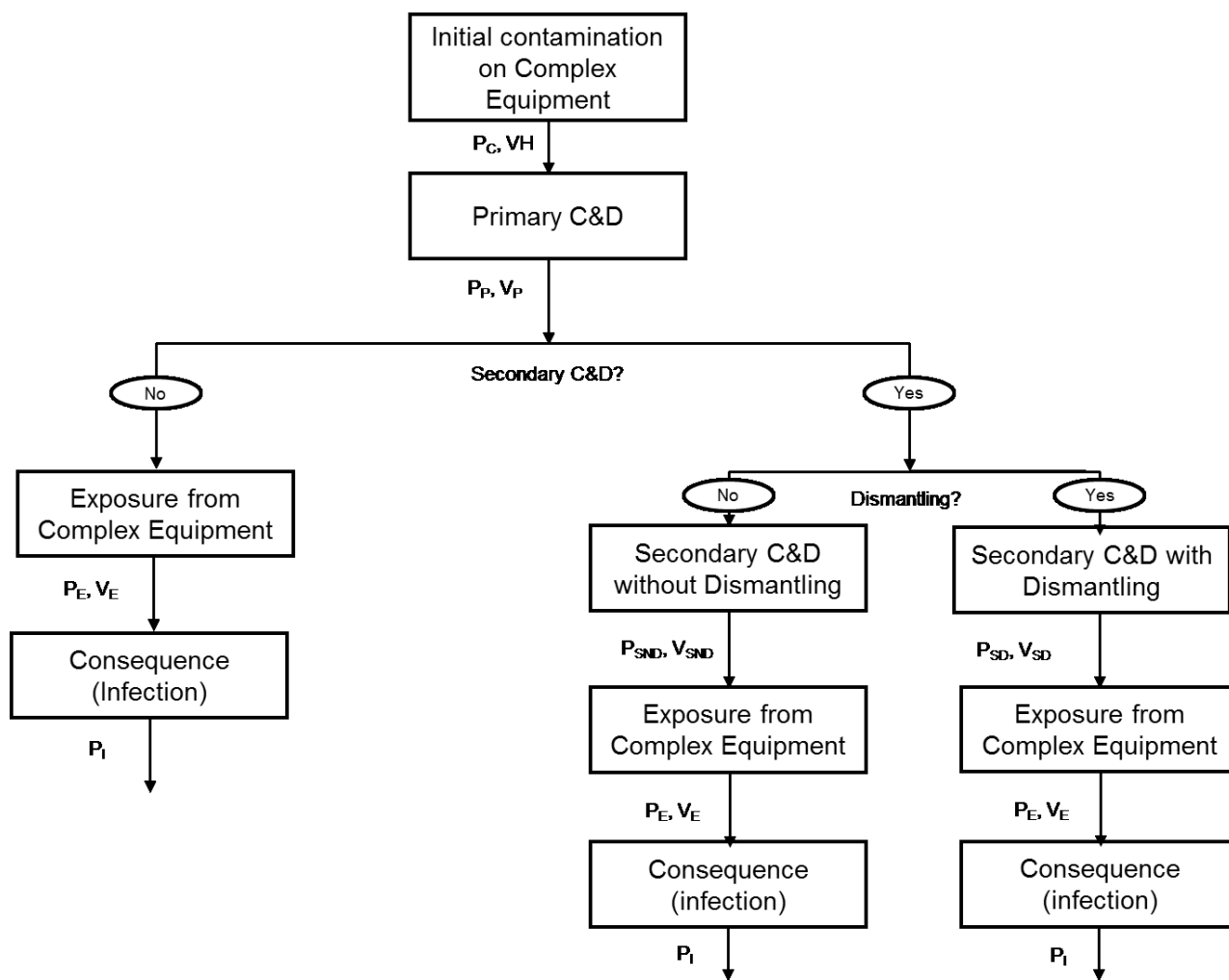
596 Figure 2: Risk Matrix qualitative assessment tool: example output

597 Figure 3: Risk matrix qualitative assessment tool for enriched colony caged layers showing results for Scenario
598 1 (P_1) and the 'Negligible' probability of virus survival on most bits of equipment after secondary C&D without
599 any dismantling

600 Figure 4: Comparison of the combined risk for items of equipment from all four organic matrices in the different poultry
601 sectors immediately after preliminary C&D. The ordinal scales are not quantitative values and are used only to
602 illustrate qualitative relative risk. The results for preliminary C&D are assuming a sentinel flock is introduced
603 directly after C&D has occurred. Whilst this is an unrealistic scenario, it demonstrates the probability of where
604 virus may still be residual within the poultry house at this time.

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606 Figure 1:



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Figure 2:

Equipment	Farm Type	Matrix with which equipment can come in contact with	Level of pathogen in matrix	Rate of contact between equipment and matrix	Accumulation of matrix on equipment	Probability virus present in matrix on equipment after depopulation and before primary C&D (assume 2 days) (P_c)	Viral load in matrix on equipment at time of preliminary C&D (V_H)	Probability of virus survival in matrix on equipment after primary C&D (P_p)	Viral load in matrix on equipment after primary C&D and at time of restocking (V_r)	Probability birds exposed to virus in matrix on or from equipment (P_E)
scratching mat	Enriched Colony cages layers	Dust	Medium	High	Medium	Medium	Medium	Low	Low	Low
	Enriched Colony cages layers	Oropharyngeal	High	High	Medium	Medium	High	Low	Low	Low
	Enriched Colony cages layers	feathers	High	Low	Low	High	Low	high	Low	Very low
	Enriched Colony cages layers	Faecal (cloacal)	Medium	High	High	High	High	medium	Medium	Medium
Colony cages	Enriched Colony cages layers	Dust	Medium	High	Low	Medium	low	Low	Very Low	Very Low
	Enriched Colony cages layers	Oropharyngeal	High	High	Low	Medium	low	Low	Very Low	Very Low
	Enriched Colony cages layers	feathers	High	Low	Low	High	low	high	Low	Very low
	Enriched Colony cages layers	Faecal (cloacal)	Medium	High	Medium	High	Medium	medium	Medium	Low
Slatted area	Barn layers	Dust	Medium	High	Medium	Medium	Medium	Low	Low	Low
	Barn layers	Oropharyngeal	High	High	High	Medium	High	Low	Low	Low
	Barn layers	feathers	High	Medium	Low	High	low	high	Low	Very low
	Barn layers	Faecal (cloacal)	Medium	High	High	High	High	medium	Medium	Medium
	Free range layers	Dust	Medium	High	Medium	Medium	Medium	Low	Low	Low
	Free range layers	Oropharyngeal	High	High	High	Medium	High	Low	Low	Low
	Free range layers	feathers	High	Medium	low	High	low	high	Low	Very low
	Free range layers	Faecal (cloacal)	Medium	High	High	High	High	medium	Medium	Medium
	Broiler-rearer	Dust	Medium	High	Medium	Medium	Medium	Low	Low	Low
	Broiler-rearer	Oropharyngeal	High	High	High	Medium	High	Low	Low	Low
Broiler-rearer	feathers	High	Medium	low	High	low	high	Low	Very low	
Broiler-rearer	Faecal (cloacal)	Medium	High	High	High	High	medium	Medium	Medium	

Figure 3:

Equipment	Rate of contact between equipment and matrix	Accumulation of matrix on equipment	Probability virus present before primary C&D (P_C)	Viral load at time of preliminary C&D (V_H)	Probability of virus survival after primary C&D (P_P)	Viral load after primary C&D (V_P)	Probability birds exposed to virus (P_E)	Viral load to which birds are exposed (V_E)	Probability of infection in sentinel flock after preliminary C&D (P_I)	Probability of virus survival after secondary C&D (no dismantling) (P_{SND})
Metal trough	High	Medium	High	High	High	Very Low	Low	Very Low	Very Low	Negligible
Moving hopper	Medium	Low	High	Low	High	Very Low	Low	Very Low	Very Low	Negligible
Moving chain	Medium	Low	High	Low	High	Very Low	Negligible	Very Low	Negligible	Negligible
Bulk bins and augers	Low	Low	Medium	Very Low	Very Low	Negligible	Negligible	Negligible	Negligible	Negligible
Nipples	High	High	Medium	High	Medium	Medium	High	Medium	Medium	Negligible
Drinkers	High	High	Medium	High	Low	Low	Medium	Low	Low	Negligible
Nest box	Low	Low	High	Low	High	Low	Very Low	Very Low	Very Low	Negligible
Nest box liner	Medium	Medium	High	Medium	High	Medium	Medium	Medium	Medium	Very Low
Perches	High	High	High	High	High	Medium	Medium	Medium	Medium	Negligible
Scratching mat	High	High	High	High	High	Medium	Medium	Medium	Medium	Very Low
Colony cages	High	Medium	High	Medium	High	Medium	Low	Low	Low	Negligible
Ventilation	High	High	Medium	Medium	Medium	Medium	Very Low	Low	Very Low	Negligible
Egg belt	Medium	Low	High	Low	Low	Very Low	Very Low	Negligible	Negligible	Negligible
Cross conveyor (eggs)	Medium	Low	High	Low	Low	Very Low	Very Low	Negligible	Negligible	Negligible
Packing area	Low	Low	High	Low	Low	Very Low	Negligible	Negligible	Negligible	Negligible
Manure belt	High	High	High	High	High	Medium	Low	Medium	Low	Very Low
Cross conveyor (manure)	High	High	High	High	Medium	Medium	Negligible	Negligible	Negligible	Negligible
Manure air drying equipment	High	High	High	High	High	Medium	Negligible	Negligible	Negligible	Negligible
Manure store	High	High	High	High	Medium	Medium	Negligible	Negligible	Negligible	Negligible
Floors	Low	Low	High	Low	High	Very Low	Very Low	Very Low	Very Low	Negligible
Walls	Low	Low	Medium	Low	Low	Very Low	Very Low	Negligible	Negligible	Negligible

Figure 4:

