

# An innovative generic platform to simulate real-time PTO damping forces for ocean energy converters based on SIL method

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**Abstract:** This paper proposes a generic PTO (power-take-off) simulation platform which can be used to predict how devices perform in wave conditions when a simulated real-time linear or non-linear PTO damping forces is employed. The experimental platform could be used to investigate the maximum power output of wave converters(WECs) without constructing a physical PTO system and complex control strategies at the design stage of a WEC, thus making it efficient and inexpensive to explore different PTO solutions. For this purpose, a software-in-the-loop (SIL) simulation method is adopted which uses an innovative control loop running on an inexpensive real-time controller coupled to a DC motor which simulates the PTO damping torque. To calibrate the proposed PTO simulation platform, 1349 drop tests are carried out. A series of relationship curves and corresponding equations are drawn for both the linear and non-linear PTO cases. Moreover, correlation curves for input gains and the produced damping force coefficients are provided. The correlation indicates the PTO simulation platform's capacity of simulating linear PTO can reach 40-220 and can reach 10-70 for quadratic damping in terms of damping force coefficient. To investigate the accuracy of the platform, uncertainty analyses are also carried out in good details. The calibrating tests and uncertainty analyses indicate that the proposed experimental platform can be used to overcome many of the limitations in modelling PTO systems at laboratory scale to simulate both real-time linear and quadratic PTO damping forces.

**Keywords:** Ocean energy, Experimental platform, SIL simulation, PTO damping, Uncertainty analyses

## Highlights:

- An innovative generic platform used to produce a range of both linear and quadratic real-time PTO forces is presented;
- The performance of the platform is investigated using multiple calibrating drop tests.
- Reasonable correlation curves between the input gains of the PTO simulation platform and the coefficients of simulated PTO damping forces are achieved for both linear and quadratic cases.
- The correlation indicates the PTO simulation platform's capacity of simulating linear PTO can reach 40-220 and can reach 10-70 for quadratic damping regarding damping force coefficient.
- Considering the application of this platform in model testing, the uncertainty of the platform is analysed in good details.

## 1. Introduction

Being consistent day and night, ocean waves are a more promising resource carrying a higher energy density compared to solar and wind, as shown in Fig.1. If successfully exploited, wave energy, will make a significant contribution to meeting global energy demands while reducing the negative impact on climate change and environmental pollution, by reducing the rate of consumption of fossil fuels.

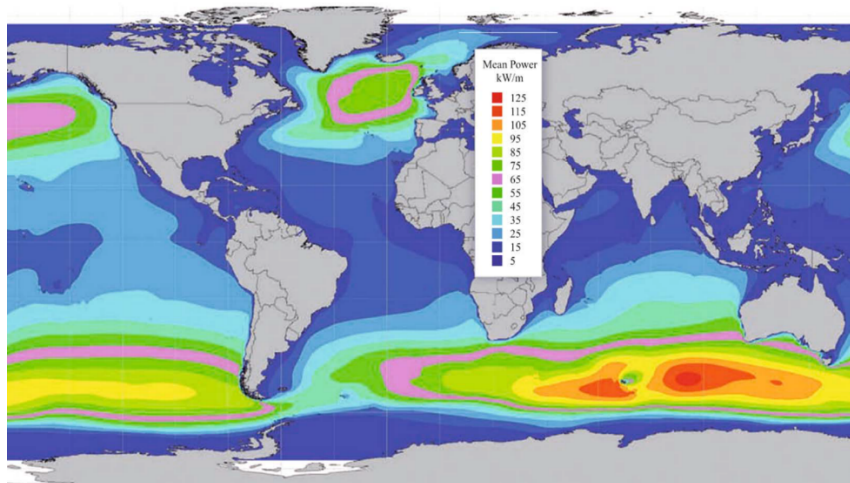


Figure 1 Global distribution of mean wave power density in kW/m[1]

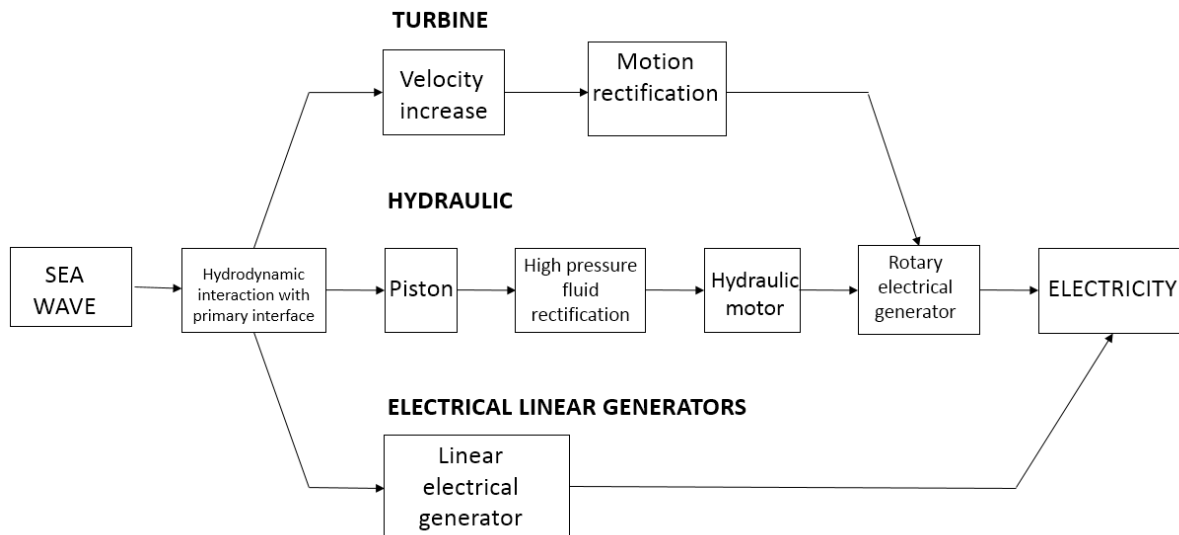
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47 However, there are not enough commercial grid-connected Wave Energy Converters (WEC's) installed  
 48 at this moment, and only a few megawatts are installed. According to the World Energy Council, state-  
 49 of-the-art wave energy technologies operate at an LCOE (Levelized Cost of Energy) of 49.6 cents or  
 50 38.9 pence per kWh[2-5], which is above average standards of 10-13pence per kWh and still going  
 51 upward. Recently a growing number of companies who initially had a considerable interest in wave  
 52 energy utilisation are investing elsewhere or having growing financial difficulties due to a combination  
 53 of high up-front development and capital costs, underperforming prototype devices together with  
 54 underestimating the inherent technical challenges connected to the harsh ocean environment. For  
 55 example, in 2013, Voith Hydro decided to shut down WaveGen who developed the LIMPET power  
 56 station. One year later, the Pelamis went burst. The Aquamarine Ltd which developed the Oyster  
 57 device stopped their business in November 2015.

58

59 As demonstrated in[6], the economic feasibility of a wave energy converter depends mostly on its  
 60 power take-off system, maximising the output power and significantly increasing the reliability of WEC  
 61 PTO systems may be the most promising way to minimise the LCOE. Therefore it is necessary to  
 62 consider the PTO regarding the accurate testing of wave energy converter devices. There existing a  
 63 few well known PTO solutions employing hydraulic systems, turbines, and linear generators, as shown  
 64 in Fig.2[7]. However, it is almost impossible for correctly model a PTO system regarding the exact  
 65 amount of desired damping torque. Therefore, if simplify the linear and non-linear PTO systems into  
 66 linear and non-linear damping, it becomes available to simulate the PTO by any scales.

67 Nowadays ocean energy developers are more aware of the need to increase device reliability and  
 68 performance while reducing costs. Accurate testing of devices before deployment is even more crucial  
 69 - an aspect which is the motivation for this paper. However, most researchers performed experiments  
 70 with an orifice plate representing the PTO in CFD simulation or without considering the damping effect  
 71 induced by the PTO system. For instance, Sykes et al.[8] investigated the hydrodynamic performance  
 72 of a Fixed type axis Symmetry Cylindrical Oscillating water column device using a boundary element  
 73 model WAMIT without considering the damping effect induced by the PTO system. Additionally, for  
 74 testing concerning the PTO, people only able to simulate quite limited linear damping using air or  
 75 other dampers, due to the absence of a suitable PTO simulation method.



76  
77

Figure 2 Alternative PTO mechanisms [7]

78 Therefore, motivated by the need to meet the accurate testing of devices before deployment, this  
 79 study is aimed at developing a low-cost innovative PTO simulation platform which can be used to test  
 80 how wave converters perform when a simulated linear or non-linear PTO damping is employed. Thus  
 81 making it possible to reach an optimal design for different WEC/PTO combinations at the testing stage.  
 82 Moreover, by changing the input gains of the PTO simulation platform, different PTO strategies are  
 83 simulated. After proposing a novel PTO simulation platform, a 40<sup>th</sup> scaled Oyster device is applied on  
 84 this platform, and numerous tank tests in both regular and irregular waves with linear or nonlinear  
 85 PTO damping strategies are carried out. And details are provided in the companion paper -  
 86 “Hydrodynamic responses and power efficiency analyses of the oscillating wave surge converters with  
 87 different simulated PTO strategies”. However, it is fundamental to explain how this PTO simulation  
 88 platform works and how accurate it is instead of just showing the tank testing results and the power  
 89 efficiency analyses. Considering it will be almost 20000 words and it will be too complicated to explain  
 90 everything in one paper, two articles are written. And this paper is one of the two articles, which  
 91 proposed an innovative PTO simulation approach and enable the future hydrodynamic performance  
 92 and power efficiency analyses of a scaled Oyster device model under a large number of different PTO  
 93 strategies.

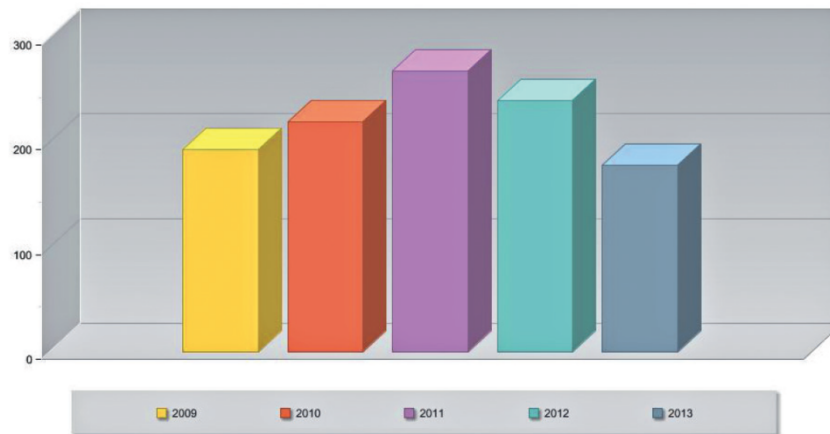
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95 This paper is organised as follows: In section 2, a literature review of the state-of-the-art wave energy  
 96 technologies is given and the challenges and limitations are addressed. Section3 presents the working  
 97 principle of PTO simulation based on SIL method and its construction. In Section 4, the key input  
 98 parameters and the results of the calibrating tests are discussed. Reasonable correlation  
 99 curves between the input gains of the PTO simulation platform and the coefficients of simulated PTO  
 100 damping forces are achieved for both linear and quadratic cases. Moreover, The correlation indicates  
 101 the PTO simulation platform’s capacity of simulating linear PTO can reach 40-220 and can reach 10-70  
 102 for quadratic damping regarding damping force coefficient. The uncertainty analyses are provided in  
 103 section5. Section 6 summarises the conclusions from the study.

## 104 2. Literature Review

105 Numerous wave energy extraction techniques have been proposed over the past two centuries. The  
 106 history of attempts to harness wave energy is divided into three time periods as the authors concluded  
 107 in this paper, to summarise past achievements. From 1799 to 1990 although more than one thousand  
 108 patents were registered, the activity of converting wave energy remained mainly at the academic  
 109 level[9-15]. From 1990 to 2011, prototype wave energy converters aimed at large-scale energy

110 production were deployed worldwide with activities and interest on a steep upward trend[16-20].  
111 From 2011 up to the present, the number of the prototype being implemented continues on a  
112 downward trend with fewer countries reporting developers who are actively advancing their  
113 technologies toward commercialisation or have achieved a significant amount of milestones along  
114 that path[21-24] (Fig.3.). Liliansa et al. reviewed the performance of various state-of-the-art wave  
115 energy converters and concluded the most existing WEC devices are still at the Research &  
116 Development (R&D) stage, and the well-known Pelamis system is currently encountering financial  
117 problems[23].  
118



119  
120 *Figure 3 Ocean energy technology international Patent Cooperation Treaty publications between 2009 and 2013(source:*  
121 *Thomson Innovation)*

122  
123 Indeed, we have seen a growing trend in exploring better control strategies to maximise the output  
124 power of WECs, so as to rescue the immaturity of this industry. For example, J.C.C. Henriques et al.  
125 developed a PTO control of an oscillating-water-column spar-buoy wave energy converter, and  
126 hardware-in-the-loop simulation is used in experiments to characterise the proposed control  
127 algorithms[25]; Jorgen Hals et al. proposed model-predictive controller to exploit the full absorption  
128 potential of wave-energy converters; N.M. Tom et al. used the Pseudo-spectral control which allows  
129 optimising the controller design to achieve the optimisation of the output power of an oscillating surge  
130 WEC. Other exploration of control strategy could be seen in[26] electrical control, adaptive control  
131 in[27], phase control in [28]. However, those control strategies can only very limitedly improve the  
132 performance of the device, and further validation testing still requires the absence of a proper PTO  
133 simulation in the Lab.

134 On the other hand, numerical simulation prospers due to the convenience to consider the WEC system  
135 as a fully-coupled system, in which the PTO is usually oversimplified and modelled. For example, David  
136 I.M. Forehand et al. proposed a fully coupled Wave-to-Wire Model of an array of wave energy  
137 converters, to explore the power conversion in Matlab, where a hydraulic PTO simplified and modelled  
138 [29]. Moreover, the hydraulic power take-off system initially proposed by R Henderson recommended  
139 for Pelamis in [30]. Indeed the hydraulic PTO system stands out among other solutions(turbines,  
140 electrical, and linear generators)because of the merits of good robustness, speed control, energy  
141 storage. However, the Pelamis project is facing severe financial difficulties. The authors believe it is  
142 necessary to explore the best PTO efficiency before the deployment of any real PTO system to  
143 maximise the investment. We get inspiration to simulate the PTO damping by the SIL method to enable  
144 the real-time experiments with a correspondingly scaled or full-scale simulated PTO. For more details  
145 about SIL simulation see references[31, 32].

146 Current testing concerning a PTO system for WECs only involves in minimal linear PTO damping force,  
147 which is usually provided by very simple damping actuators. For example, Zhipeng et al. conducted a  
148 series of model tests for a heaving-buoy wave energy converter using various air dampers to simulate  
149 the PTO damping[33], Richard et al.[34] reviewed how a linear generator can be used as a power take-  
150 off unit to apply a damping force. However, this method only can represent some linear generators,  
151 and in many cases, the PTO system produced nonlinear damping. The non-linear PTO currently is only  
152 considered in a study based on numerical modelling. Facing the limitations of the absence of a PTO  
153 system, the authors believe a generic way is required to simulate the PTO damping to enable various  
154 testing with a proper simulated PTO damping for the wave energy conversion device, at the design  
155 and optimisation stage.

156

157 By the way, a recent proposal to harvest ocean energy by new triboelectric Nanogenerator networks  
158 was published in nature[35], which attracted a lot of attention, however, as demonstrated in [36-38],  
159 this exciting technology is still at the theoretical stage.

### 160 3. Development of the platform

161

162 It is necessary to evaluate the amount of energy that a device can extract from sea conditions, before  
163 investing a significant amount of money into PTO development. People find some simplified ways to  
164 model a PTO for a WEC device, as reviewed in section 2. In this section, the SIL method is innovatively  
165 adapted to achieve PTO damping in real-time. And calibration testing is designed to provide the  
166 validity of the technique. Therefore, if the reader can not thoroughly understand the working principle  
167 from this section due to the innovative use of the software-in-the-loop application, part 4 may be  
168 helpful to explain further how different strategies are implemented by the proposed method, enabling  
169 the platform to simulate a range of linear damping and quadratic damping for the PTO damping.

#### 170 3.1 The Damping Force of PTO

171

172 The definitions of PTO and damping force for a WEC are described in[30] as:

173 *'The extraction, by any wave energy converter (WEC), of useful energy from ocean waves*  
174 *requires that the waves apply force to some form of responsive mechanism able to resist*  
175 *the working force that the waves apply, and some form of reference against which that*  
176 *mechanism can react. The mechanism by which energy is transferred between the waves and the*  
177 *WEC, and subsequently or directly into useful form, is generally known as the power take-off*  
178 *(PTO).'*

179

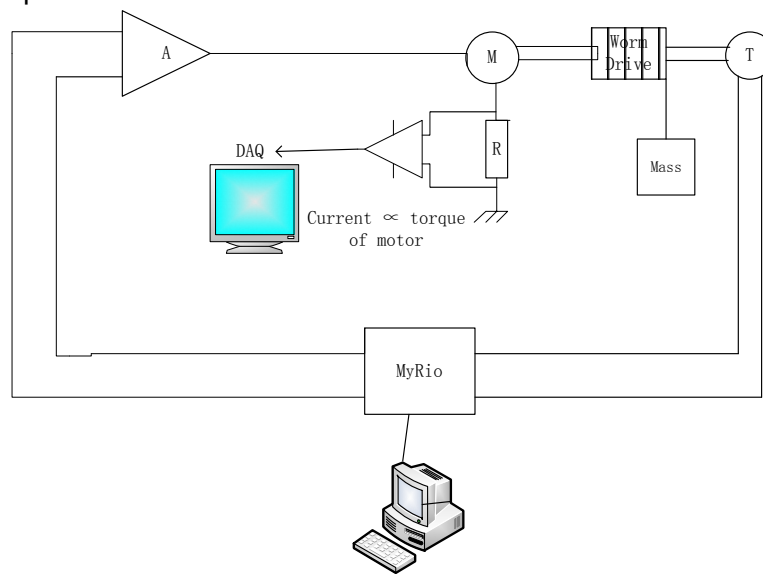
180 As stated above, when a PTO system draws electrical power from a WEC, a damping torque is applied  
181 to the device. Thus, if there is an approach to duplicate the real-time damping force appropriately to  
182 follow a particular linear or nonlinear function, then it is assumed in this paper that a linear or nonlinear  
183 damping strategy is available to duplicate. Moreover, the authors simplify the linear or linear PTO  
184 system into a linear or nonlinear damping force function. Then an electric motor is used to produce  
185 the real-time damping force following the control function which represents the PTO strategy.

#### 186 3.2 Working principal

187

188 The PTO simulation platform is based on the SIL method, so the primary principle is about explaining  
189 how to make the software and the loop to produce the aimed PTO damping. As we mentioned above,  
190 a DC motor is designed to deliver the PTO damping, and the software (whose control function is the  
191 reflect of the aimed damping function) in the loop controls the motor to provide a linear or quadratic  
192 damping. As shown in Fig.4, the loop consists a National Instruments "MyRio" controller, a power

193 amplifier (A), a DC motor( PML GR12CH motor combined with brake, tacho and encoder) (M), an  
 194 method of applying a known torque to the motor shaft (falling mass on the worm drive, which is also  
 195 the method we used in calibration testing), a tachometer(T) and the software in the computer linked  
 196 to “MyRio” controller. A separate Data Acquisition System (DAQ) is used to record data including the  
 197 current signal from the resistive current sensor(R), and velocity signals in real time. When the mass  
 198 released, it will carry a downward acceleration due to gravity; then the speed will be captured by the  
 199 tachometer and read by the “MyRio” controller. The controller software will calculate a signal  
 200 proportional to the input velocity to command the motor produce a torque resisting that of the falling  
 201 mass. The controller loop is running fast in real time, and the system will finally reach an equilibrium  
 202 state when both the velocity of the mass and the damping torque reach an equilibrium state.  
 203 Measurements from a typical test are shown in Fig.5, which can be classified into four distinct periods.  
 204 During period A, the mass is at rest, 1.5m above the final point. Period B is the acceleration period of  
 205 the mass after release and period C is the equilibrium state. In period D, the mass has travelled 1.3m  
 206 and reaches its final point.



207  
 208 *Figure 4 Schematic diagram of the loop for simulating the PTO damping*

209  
 210  
 211 And according to Newton’s second law and third law, each damping torque triggered by the  
 212 dropping mass should be as follows.

213 
$$F_{damping} = weight = m \times g \tag{1}$$

214 
$$T_{dampingS} = F_{damping} \times d / 2 \tag{2}$$

215 where  $F_{damping}$  stands for the damping force,  $T_{dampingS}$  stands for the damping torque produced by the  
 216 motor,  $d$  is the diameter of the worm drive connected to the output shaft of the DC motor.

217  
 218 A current sensor is designed in the loop to measure the current of the DC motor to give a prediction  
 219 on the dynamic damping torque ( $T_{dampingD}$ ) according to the proportional relationship between the  
 220 current and torque for a DC motor. However the proportional relationship will be slightly affected by  
 221 the properties of the motor itself and environmental factors, e.g. motor temperature, so the  
 222 comparisons between the two damping torques ( $T_{dampingS}$  &  $T_{dampingD}$ ) will be discussed in Section 4.4  
 223 as part of the uncertainty analysis of the platform.

224  
225 The damping function in the program is described as

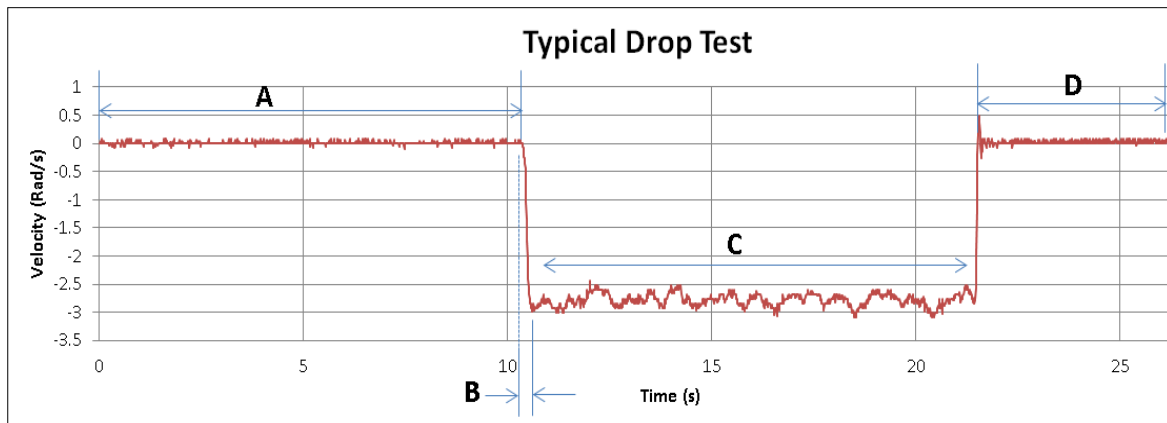
226 
$$F(t) = \frac{gain}{100} \dot{y}(t)^n \quad (3)$$

227 where the  $gain/100$  is a user-supplied scaling factor,  $F(t)$  is the damping force, and  $\dot{y}(t)$  is the  
228 velocity, which is the derivative of the displacement  $y(t)$  concerning time  $t$ . When “ $n=1$ ”, the  
229 platform will simulate a real-time linear PTO damping force, similarly when “ $n=2$ ”, the platform will  
230 simulate a real-time quadratic damping force. And the targeted damping equation is described as

231 
$$F(t) = \zeta_p \times \dot{y}(t)^n \quad (4)$$

232 where  $\zeta_p$  represents the coefficient of the real-time PTO damping force.

233



234

235

Figure 5 A typical drop test monitored by DAQ

236 The idea of using drop tests to calibrate the system is that: by one standard drop test, it is calibrated  
237 that the motor produced damping equal to the mass damping, at the meantime a corresponding  
238 velocity for that damping value is marked. For the real-time experiments in labs the trigger is not a  
239 driving mass, instead is the speed of a specific WEC model. By quite a few calibrating drop tests using  
240 increasing mass, it can observe if a linear or quadratic damping is achieved as predefined by the control  
241 function in the software in the loop. By changing the input gains, it can observe through following  
242 drop tests if the PTO damping with different coefficients is achieved.

243

### 244 3.4 Construction of the platform

245

246 To enable the running of the SIL application, some simple electrical equipment is used to form a  
247 monitoring and executive platform as shown in Fig.6. The machines allow the motor to produce the  
248 damping force according to the control function in the software simultaneously enable the authors to  
249 monitor and record the histories of torque, position and velocity. As shown in Fig. 6, the electronic  
250 part of the PTO testing platform is made up of five main components: a GR12CH printed armature DC  
251 motor fitted with a tachometer and a position encoder, a DC servo amplifier, National Instruments  
252 MyRIO-1900 controller, MICRO 1401 II data acquisition (DAQ) system, and a high-accuracy current  
253 sensor equipped with current shunt monitor.

254

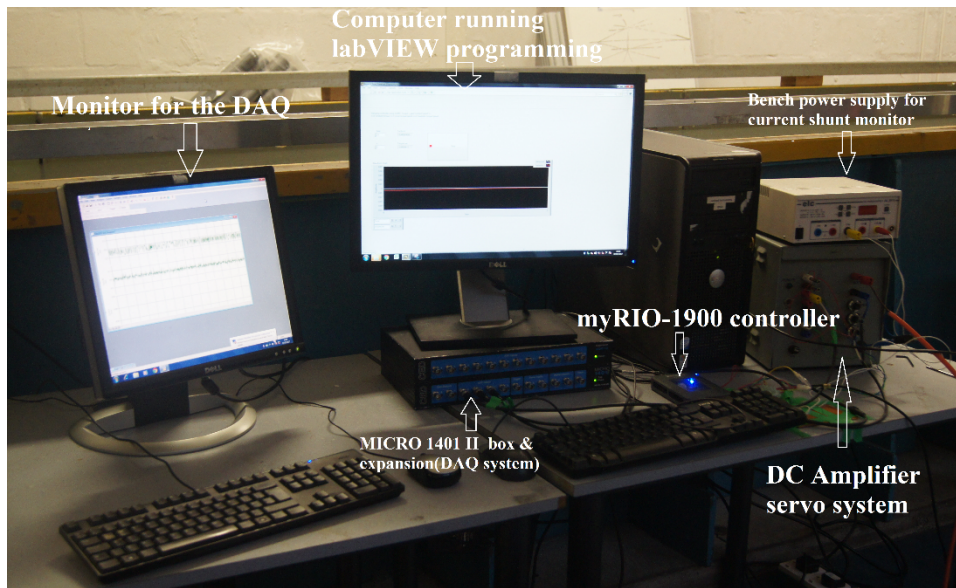


Figure 6 Components of the PTO damping simulation platform

## 4. Calibration of the Platform

To investigate the validity and characterise this platform, 1349 drop tests are carried out. And the input gains for the control function in software as well as weights of dropping mass used to trigger the actuating motor is carefully selected as follows.

### 4.1 Selection of the input gains and driving masses

To characterize the PTO platform, different values of the input gains of the PTO simulation platform are carefully selected to assure the tests are located in a reasonable combined range of all the instruments adopted (refer to Fig.6). Table 1 lists the selected gain being observed during the calibrating tests. Six gain values were selected to achieve six linear damping coefficients and five gain values to obtain the five nonlinear damping coefficients. The input gain is for the control function of the software used in the loop.

Table 1 Selected gains for drop tests

	Available Gain range	Gain1	Gain2	Gain3	Gain4	Gain5	Gain6
n=1	20-120	20	40	60	80	100	120
n=2	40-200	40	80	120	160	200	—

As mentioned in section 3.2, a series of weights/mass (as shown in Table 2) are used to trigger the SIL application, and a set of experiments are carried out to validate each specific damping coefficient. For each drop test, the static damping torque ( $T_{dampingS}$ ) is obtained by equation 1 and equation 2, but also the current measurement is monitored and recorded as a prediction of dynamic damping torque produced by the motor. For the linear case 14 weight values in the range, 0.3 -1.6kg were used, while for the nonlinear instance the range was 0.4-1.6 kg. To investigate the uncertainty, each drop test is repeated three times for each weight and each gain. The averaged value obtained from the repeat test is used to observe the produced damping.

Table 2 Selected weights (kg) used in the drop tests

M1	M2	M3	M4	M5	M6	M7
----	----	----	----	----	----	----

n=1	—	0.4	0.5	0.6	0.7	0.8	0.9
n=2	0.3	0.4	0.5	0.6	0.7	0.8	0.9
	M8	M9	M10	M11	M12	M13	M14
n=1	1	1.1	1.2	1.3	1.4	1.5	1.6
n=2	1	1.1	1.2	1.3	1.4	1.5	1

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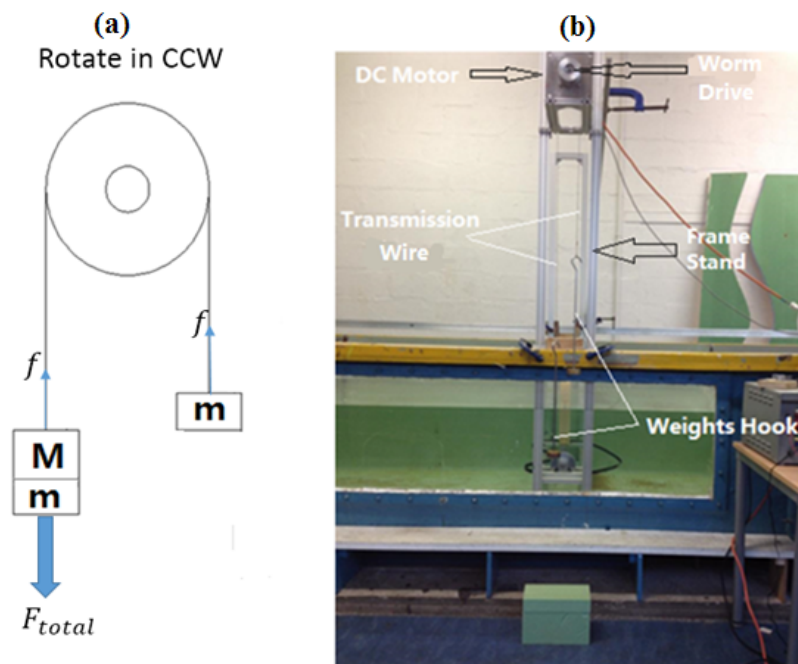
## 280 4.2 Experimental set-up

281

282 The mechanical model of the PTO Testing Platform used in the calibrating tests comprises an  
 283 aluminium mounting frame, a DC motor, a worm drive, 3mm multi-stranded steel transmission wire  
 284 and two weight pans, as shown in Fig.7(b). For all of the drop tests, wire pretension is applied using  
 285 two 0.1 kg masses -“m”, as shown in Fig.7(a), to hold the wire on the worm and also to ensure a  
 286 minimum tension in the multi-strand wire to minimise the initial wire ‘stretch’. The mass M is used to  
 287 drive the wire to produce an input speed, and all weights selected to use are listed above. Details of  
 288 the mechanical experimental set-up are as shown in Table 3.

289

290



291

292 Figure 7 a) Schematic diagram of pretension in drop tests in counterclockwise,  $f$  is the pre-tension caused by “m”, and

293  $F_{total}$  is the driving force caused by “M”; b) Mechanical model of drop

294

295

Table 3 Details of mechanical experimental set-up

Component	Parameters	Dimension
Frame Stand	Total height	2.1m
	Total width	1.6m

Transmission wire	Total length	1.8m
	Drop distance	1.3m
	Diameter	3mm
Hook	Weight	0.1kg
Worm drive	Diameter	70mm

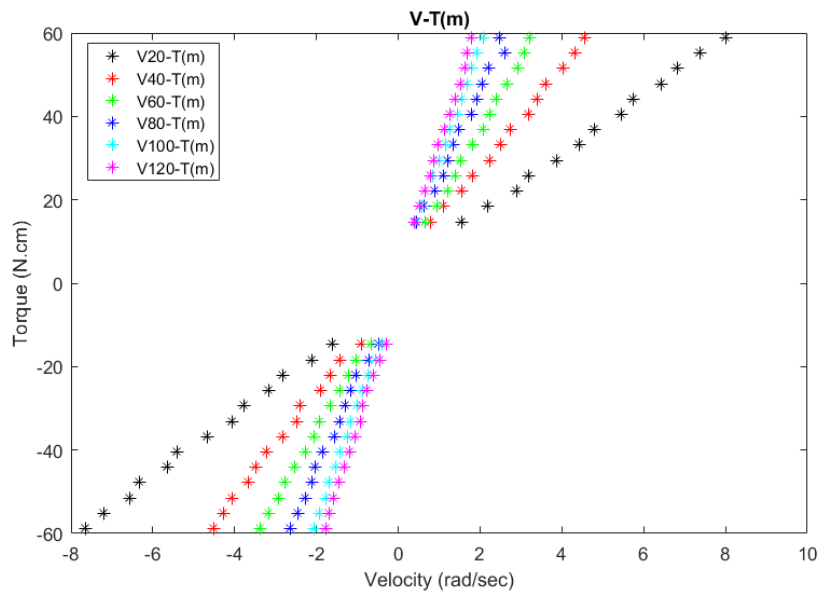
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### 4.3 Analytical method and results discussion

300 In this section, we investigate how the damping torque behaves when different weights are used to  
301 drive the PTO simulation platform under different input gains of the linear or quadratic control  
302 function.

#### 4.3.1 Linear PTO control functions(n=1)

304 Under the linear control function in the software in the loop, the relationship between velocity (V)  
305 and the damping torque  $T_{dampingS}$  ( the definition of  $T_{dampingS}$  seeing section 3.2 ) are shown in Fig.8, in  
306 which each point comes from the average values of the three repeated drop tests. Data points located  
307 in the positive torque region represent the drop tests in a counter-clockwise (CCW) direction, which  
308 means for those drop tests, each time a specific weight is applied on the worm to drive the motor to  
309 rotate in the CCW direction as shown in Fig.7(a). Similarly, the points located in the negative torque  
310 region are the results of drop tests in a clockwise direction. Different gain values are indicated by the  
311 colour of the star points, black representing the lowest gain value and pink representing the highest  
312 gain value. All the input gains used to run the control function is listed above in Table 3.



313

314 Figure 8 Relationship of velocity and damping torque( $T_{dampingS}$ )( the points located in )

315 As shown in Fig.8, a linear relationship between torque and velocity for each of the different gain value  
316 is achieved, and as we increase the input gains for the PTO simulation platform, the slopes of the linear  
317 damping increase as well. As gain increases, the slope of the lines increases, which represents a larger  
318 damping coefficient. Then each equation is drawn from each group of linear data. Moreover, the  
319 damping coefficient  $\zeta_p$  can be derived from the fitted equation in Table 4. The fitted equations are  
320 in the form of  $F(t) = \zeta_p \times \dot{y}(t) + u$ , in which the  $u$  is the uncertainty of the linear PTO simulation  
321 discussed below in section 5. In this study, six different gain values for linear damping cases were

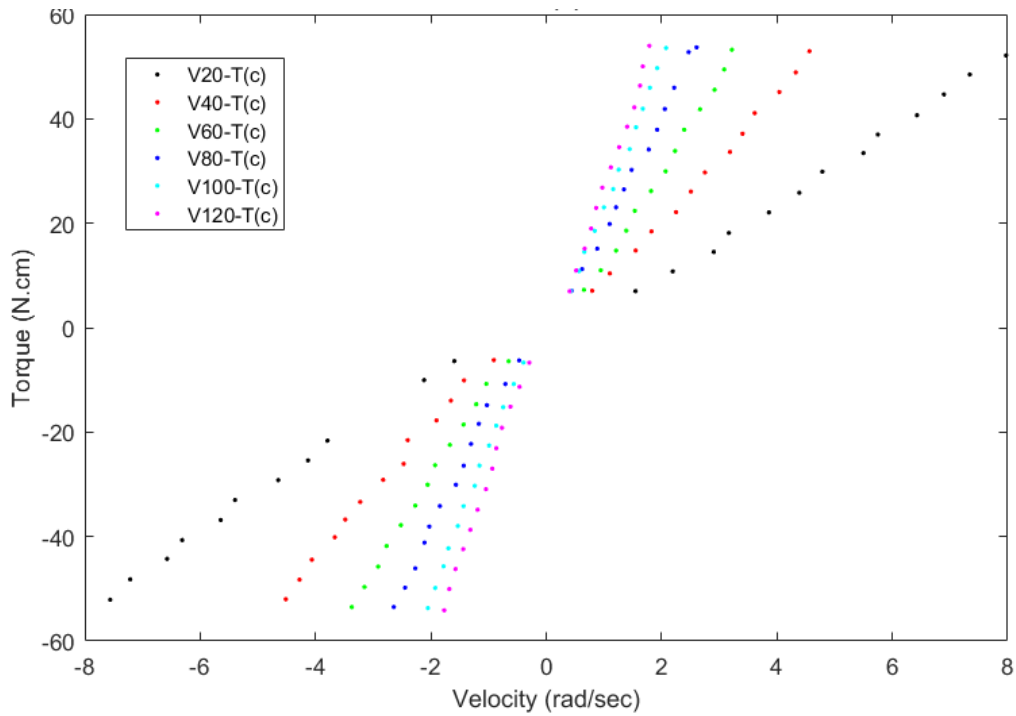
322 examined and the damping coefficients were measured at  $gain = 20, 40, 60, 80, 100, 120$ , with the  
 323 corresponding damping ratios  $\zeta_p = 44.22, 73.66, 107.9, 129.5, 167.2, 194.6$  in counterclockwise  
 324 direction and  $\zeta_p = 46.12, 77.57, 105.6, 133.4, 169.9, 191.2$ , respectively as shown in Table 4.

325 *Table 4 Table of fitted functions based on  $T_{dampingS}$  for linear PTO*

Gain	Fitted damping equation	
	CCW	CW
20	$F(t) = 44.22\dot{y}(t) + 2.834$	$F(t) = 46.12\dot{y}(t) - 2.425$
40	$F(t) = 73.66\dot{y}(t) + 4.585$	$F(t) = 77.57\dot{y}(t) - 1.933$
60	$F(t) = 107.9\dot{y}(t) + 2.277$	$F(t) = 105.6\dot{y}(t) - 1.909$
80	$F(t) = 129.5\dot{y}(t) + 4.934$	$F(t) = 133.4\dot{y}(t) - 2.571$
100	$F(t) = 167.2\dot{y}(t) + 3.445$	$F(t) = 169.9\dot{y}(t) - 2.925$
120	$F(t) = 194.6\dot{y}(t) + 2.003$	$F(t) = 191.2\dot{y}(t) - 4.143$

326  
 327 In dynamic model testing, the  $T_{dampingD}$  ( the definition of  $T_{dampingD}$  see section 3.2 ) is directly  
 328 monitored and used to give a prediction of PTO damping instead of  $T_{dampingS}$ . Therefore relationship  
 329 curves between  $T_{dampingD}$  and real-time instantaneous velocities are obtained as well. It is seen from  
 330 Fig.9; the linear damping is assured though it may result in an absolute uncertainty. Therefore, the  
 331 fitted equations for the six groups of ballpoints in Fig.9. are also obtained. And the corresponding  
 332 damping ratios are  $\zeta_p = 45.24, 76.4, 112.7, 139, 176.9, 206.5$ , in counterclockwise direction and  $\zeta_p$   
 333  $= 47.57, 80.25, 111.9, 141.7, 179.7, 203.1$ , respectively as shown in Table 5. Then the uncertainty in  
 334 methodology is reflected in the difference of  $\zeta_p$ , which is discussed in section 5.

335



336

337

Figure 9 Relationship of velocity and damping torque ( $T_{dampingD}$ )

338

Table 5 Table of fitted functions based on  $T_{dampingD}$  for linear PTO

Gain	Fitted damping equation	
	CCW	CW
20	$F(t) = 45.24\dot{y}(t) - 5.154$	$F(t) = 47.57\dot{y}(t) + 6.228$
40	$F(t) = 76.4\dot{y}(t) - 3.622$	$F(t) = 77.57\dot{y}(t) - 1.933$
60	$F(t) = 112.7\dot{y}(t) - 5.911$	$F(t) = 105.6\dot{y}(t) - 1.909$
80	$F(t) = 139\dot{y}(t) - 3.407$	$F(t) = 133.4\dot{y}(t) - 2.571$
100	$F(t) = 176.9\dot{y}(t) - 4.949$	$F(t) = 169.9\dot{y}(t) - 2.925$
120	$F(t) = 206.5\dot{y}(t) - 6.294$	$F(t) = 191.2\dot{y}(t) - 4.143$

339

340 As this PTO simulation platform is designed to work in real time in labs, therefore, gain-coefficient  
 341 relationship curves are also drawn for generic use, in which the  $\zeta_p$  value in each fitted equations is  
 342 used as the linear damping coefficient. And they are shown in Fig.10, the blue lines stand for the Dc  
 343 and Gain relationship in a counterclockwise, and red lines in clockwise. Solid lines are using  $T_{dampingS}$   
 344 as the damping torque, while dotted lines using  $T_{dampingD}$ .

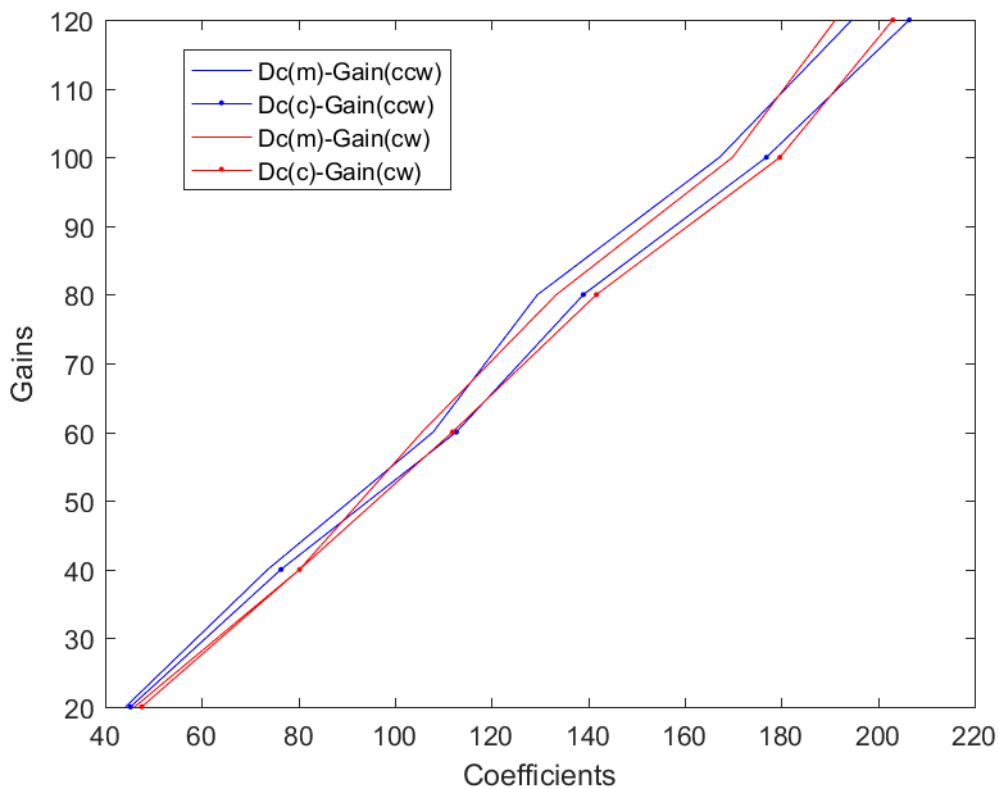


Figure 10 Relationship of input gains and linear damping force coefficients

345

346

347

#### 348 4.3.2 Quadratic PTO control functions(n=2)

349

350 With the quadratic control function in the software in the loop, the relationship between velocity (V)  
 351 and the damping torque  $T_{dampingS}$  could be drawn as shown in Fig.11. On the left of Fig.11, the star  
 352 points are formed of the data from drop tests in CCW direction, and on the right are composed of the  
 353 data from drop tests in CW direction. As shown in Fig.11, it is learned that the quadratic relationship  
 354 of the produced damping and the trigger velocity is achieved the trigger velocity should be the  
 355 rotate/pitch velocity of the wave energy converter devices when using the platform in tank testing.  
 356 For different five gains, the PTO simulation platform is showed to be able to produce different  
 357 quadratic PTO damping. Similarly, the essential fitting tool is also used to draw the quadratic equations,  
 358 as listed in Table 6.

359

360

361

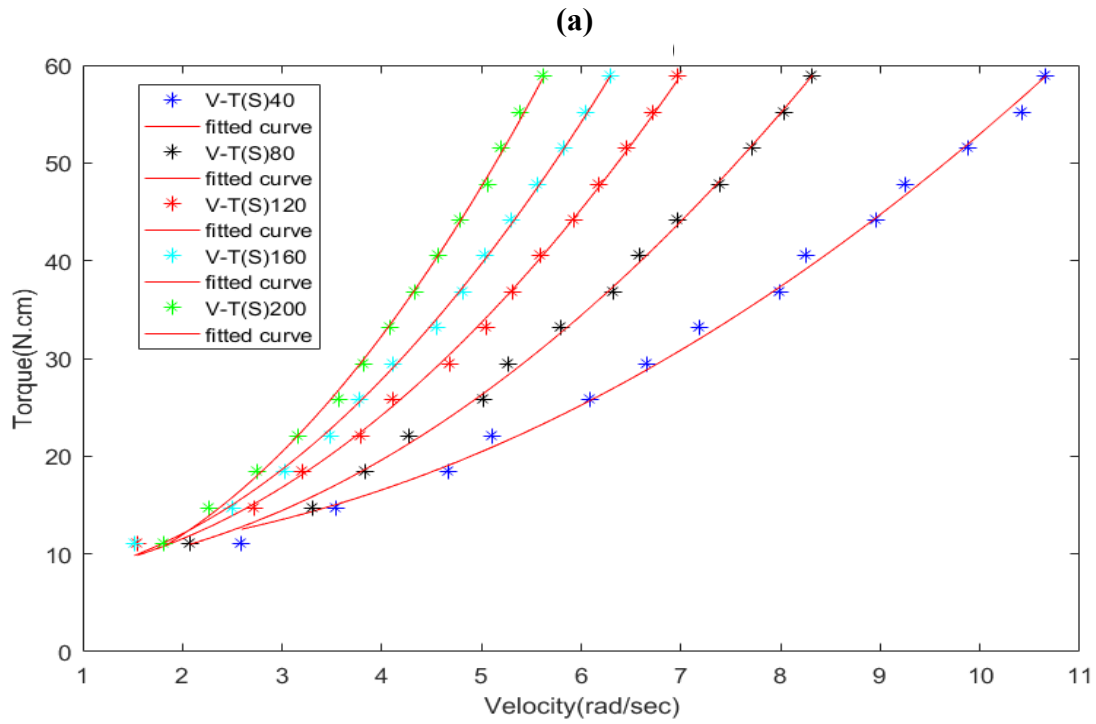
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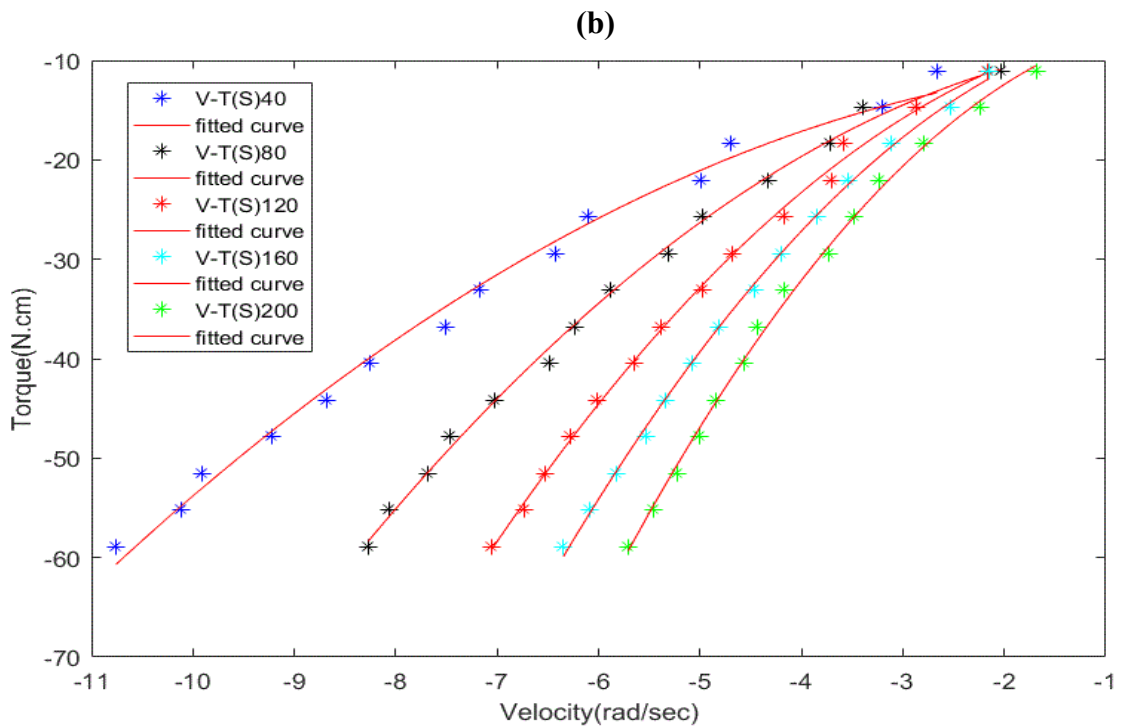
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369

370 Figure 11 Relationship of velocity and damping torque( $T_{dampings}$ ) ( left is the curves for tests drop in CCW direction; right is  
 371 the curves for tests fall in CW direction)

372 As gain increases the radians of the quadratic open arc increases which represents a larger quadratic  
 373 damping coefficient. The damping coefficient  $\zeta_p$  can be derived from the fitted equation in Table 6.

374 The fit equations are in the form of  $F(t) = \zeta_p \times \dot{y}(t)^2 + u$  , likewise, the  $u$  is also the uncertainty for  
 375 quadratic PTO simulation discussed below in section 5. In this study, five different gain values for

376 nonlinear damping cases were examined, and the damping coefficients were measured at  $gain = 40,$   
 377  $80,120,160,200,$  with the corresponding damping ratios  $\zeta_p = 17.11, 29.18, 41.6, 51.93, 66.98,$  in  
 378 counter clockwise direction and  $\zeta_p = -17.24, -29.15, -42, -53.21, -64.81,$  respectively as shown in Table  
 379 6.

380 *Table 6 Table of drawn functions based on  $T_{dampingS}$  for nonlinear PTO*

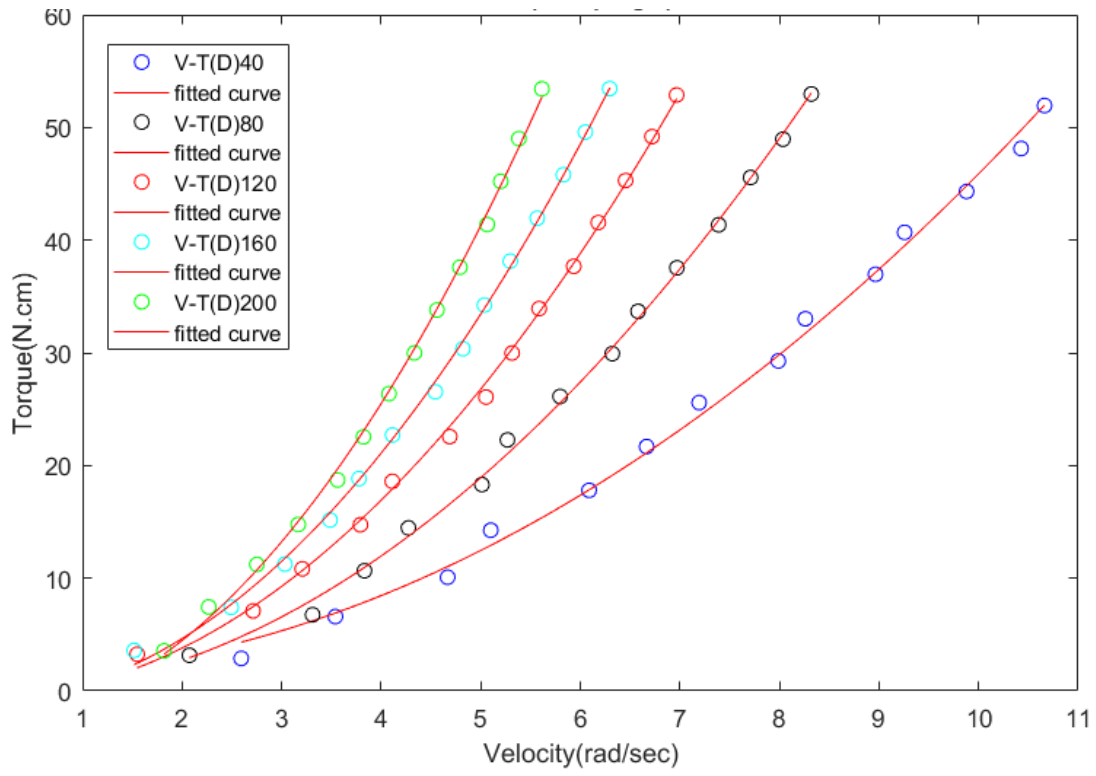
Gain	Fitted damping equation	
	CCW	CW
40	$F(t) = 17.11\dot{y}(t)^2 + 9.619$	$F(t) = -17.24\dot{y}(t)^2 - 10.16$
80	$F(t) = 29.18\dot{y}(t)^2 + 7.799$	$F(t) = -29.15\dot{y}(t)^2 - 7.843$
120	$F(t) = 41.6\dot{y}(t)^2 + 7.334$	$F(t) = -42\dot{y}(t)^2 - 6.254$
160	$F(t) = 51.93\dot{y}(t)^2 + 6.809$	$F(t) = -53.21\dot{y}(t)^2 - 5.602$
200	$F(t) = 66.98\dot{y}(t)^2 + 5.182$	$F(t) = -64.81\dot{y}(t)^2 - 5.851$

381

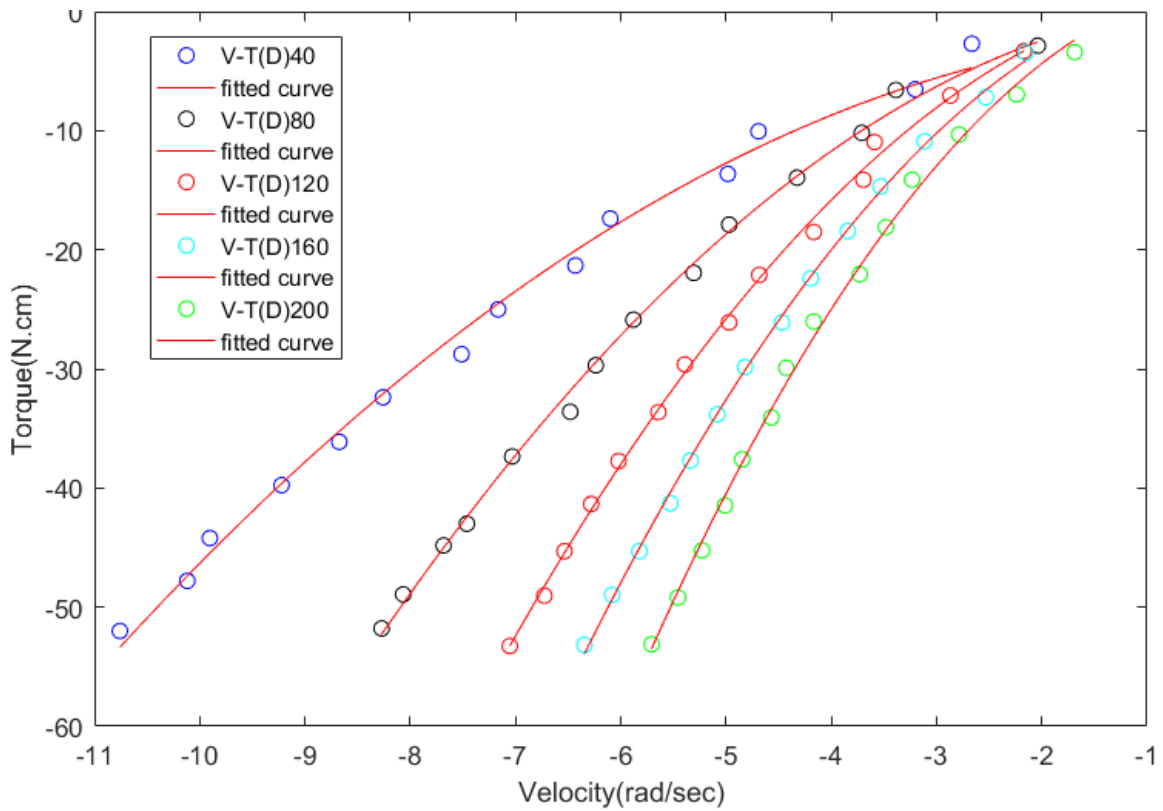
382 Similarly, for quadratic PTO damping, when the  $T_{dampingD}$  is directly used to give a prediction of PTO  
 383 damping in real time testing. Uncertainty is also introduced, learn from the relationship curves  
 384 between  $T_{dampingD}$  and real-time velocities in Fig.12, the quadratic relationships are reduplicated, and  
 385 the uncertainty could also be reflected in the  $\zeta_p$  in the fitted equations. The fitted equations are listed  
 386 in Table 7. And the corresponding damping ratios  $\zeta_p = 17.59, 30.48, 43.22, 52.42, 66.99,$  in  
 387 counterclockwise direction and  $\zeta_p = -17.66, -30.54, -43.78, -55.19, -67.87,$  respectively.

388

(a)



(b)



389

390

391

392 Figure 12 Relationship of velocity and damping torque( $T_{dampingD}$ ) (a.is the curves for tests drop in CCW direction;b.is the

393 curves for tests drop in CW direction)

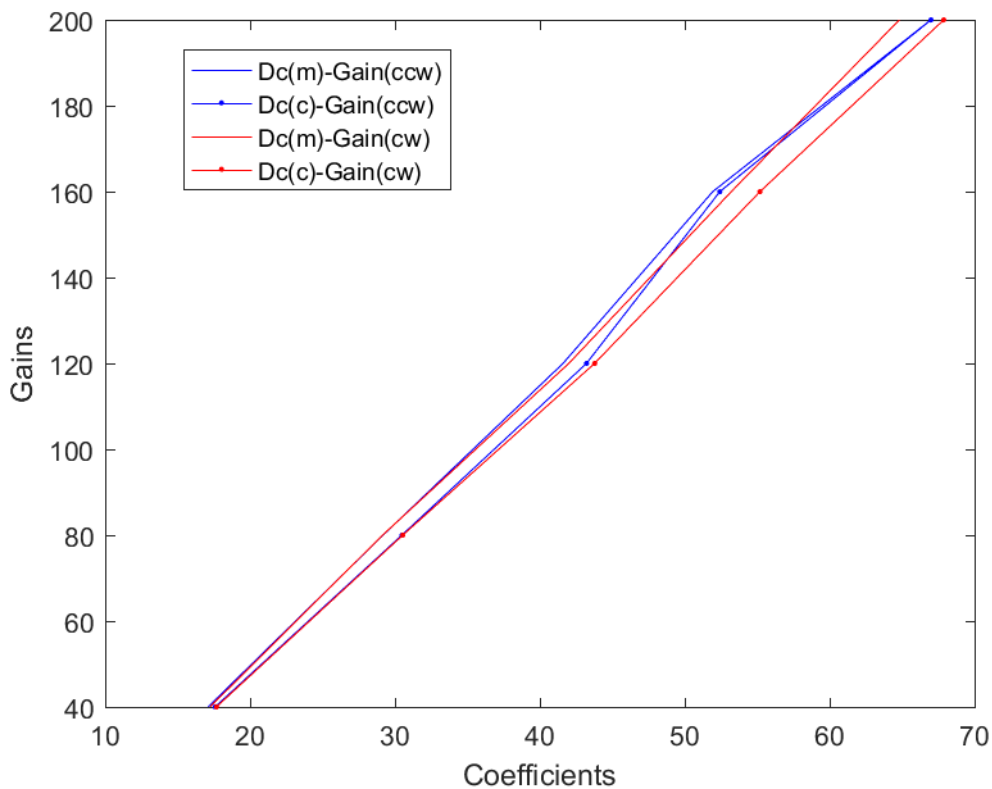
394

Table 7 Table of drawn functions based on  $T_{dampingD}$  for nonlinear PTO

Gain	Fitted equation	
	CCW	CW
40	$F(t) = 17.59\dot{y}(t)^2 + 1.323$	$F(t) = -17.68\dot{y}(t)^2 - 1.539$
80	$F(t) = 30.48\dot{y}(t)^2 - 0.3805$	$F(t) = -30.54\dot{y}(t)^2 + 0.6612$
120	$F(t) = 43.22\dot{y}(t)^2 - 0.583$	$F(t) = -43.78\dot{y}(t)^2 + 1.913$
160	$F(t) = 52.42\dot{y}(t)^2 - 0.8192$	$F(t) = -55.19\dot{y}(t)^2 + 2.362$
200	$F(t) = 66.99\dot{y}(t)^2 - 2.54$	$F(t) = -67.87\dot{y}(t)^2 + 2.511$

396

397 And the quadratic coefficients are used as the non-linear damping coefficients to acquire the Dc-Gain  
 398 curves, as shown in Fig.13, in which, the two solid lines are based on  $T_{dampingS}$ , and the other two  
 399 dotted lines are related to  $T_{dampingD}$ . The blue ones are in CCW direction and red lines in CW direction.



400

401

Figure 13 Correlation between input gains and coefficients of quadratic damping force

402

## 403 5 Uncertainty Analysis of the Platform

404

405 It is noted that a large proportion of research about Wave Energy devices did not include an  
 406 uncertainty analysis of their experiments. As pointed out by Lamont-Kane et al. [39], physical tests  
 407 suffer from errors compared to the actual value of the quantity of interest because physical tests and  
 408 measurements may not be wholly repeatable and reproducible. Without considering the uncertainties,  
 409 one may get different results from each testing even with the same facilities and model. Therefore, it  
 410 is more meaningful to give a range that contains the measured result and say the real value will fall  
 411 into that range instead of providing a single value. And the qualitative and quantitative analysis of the  
 412 range caused by lack of knowledge is called uncertainty analysis.

413 
$$Y = y \pm U \tag{5}$$

415 Where the  $Y$  is the measurand, that is the value of the particular quantity to be measured.  $y$  is the  
 416 estimated value of the measurand, and the  $U$  is the expanded uncertainty defined as

417 
$$U = k \times u_c(y) \tag{6}$$

418 where the  $k$  is the coverage factor decided by the level of confidence needed. The  $u_c(y)$  is the  
 419 combined standard uncertainty which is calculated based on standard uncertainty. Judging by the two  
 420 components in Equation(6), the expanded uncertainty can be interpreted as a combined standard  
 421 uncertainty with a level of confidence. Hence, the  $k$  can be explained as (assuming the level of  
 422 confidence is 95% here) there is 95% chance that the simulated PTO damping  $Y$  is

423 
$$y - U \leq Y \leq y + U \tag{7}$$

424 where the expanded uncertainty is within  $\pm u$  for simulated PTO and independent on time as shown  
 425 in Table 8.

426  
427

*Table 8 Comparison of difference between the targeted and fitted equations*

	Control function( $Y$ )	Fitted equation( $y$ )		$U$
n=1	$F(t) = \zeta_p \times \dot{y}(t)$	$F(t) = \zeta_p \times \dot{y}(t) + u$	$F(t) = \zeta_p \times \dot{y}(t) + u$	$\pm u$
		$F(t) = 44.22\dot{y}(t) + 2.834$	$F(t) = 46.12\dot{y}(t) - 2.425$	$\pm 2.834$
		$F(t) = 73.66\dot{y}(t) + 4.585$	$F(t) = 77.57\dot{y}(t) - 1.933$	$\pm 4.585$
		$F(t) = 107.9\dot{y}(t) + 2.277$	$F(t) = 105.6\dot{y}(t) - 1.909$	$\pm 2.277$
		$F(t) = 129.5\dot{y}(t) + 4.934$	$F(t) = 133.4\dot{y}(t) - 2.571$	$\pm 4.934$
		$F(t) = 167.2\dot{y}(t) + 3.445$	$F(t) = 169.9\dot{y}(t) - 2.925$	$\pm 3.445$
		$F(t) = 194.6\dot{y}(t) + 2.003$	$F(t) = 191.2\dot{y}(t) - 4.143$	$\pm 4.143$
n=2	$F(t) = \zeta_p \times \dot{y}(t)^2$	$F(t) = \zeta_p \times \dot{y}(t)^2 + u$	$F(t) = \zeta_p \times \dot{y}(t)^2 - u$	$\pm u$
		$F(t) = 17.11\dot{y}(t)^2 + 9.619$	$F(t) = -17.24\dot{y}(t)^2 - 10.16$	$\pm 10.16$

$$\begin{array}{lll}
F(t) = 29.18\dot{y}(t)^2 + 7.799 & F(t) = -29.15\dot{y}(t)^2 - 7.843 & \pm 7.843 \\
F(t) = 41.6\dot{y}(t)^2 + 7.334 & F(t) = -42\dot{y}(t)^2 - 6.254 & \pm 7.334 \\
F(t) = 51.93\dot{y}(t)^2 + 6.809 & F(t) = -53.21\dot{y}(t)^2 - 5.602 & \pm 6.809 \\
F(t) = 66.98\dot{y}(t)^2 + 5.182 & F(t) = -64.81\dot{y}(t)^2 - 5.851 & \pm 5.851
\end{array}$$

---


$$\begin{array}{lll}
U & +9.619 & -10.16
\end{array}$$


---

428

429

430 It is found that the uncertainty in the measurement, components, and methodology leads to an  
431 uncertainty of the PTO simulation with the bias of  $\pm 10.16$ . When the platform is given an input gain,  
432 a linear or quadratic corresponding PTO damping force could be produced, and the function of the  
433 produced damping is no more far from the control function predefined in the software by a constant  
434 bias within  $\pm 10.16$ . The uncertainty of the PTO simulation platform in this section includes three main  
435 parts, in section 5.1, the change in the measurement is calculated based on data of repeated drop tests;  
436 section 5.2 presents the uncertainty from components of the platform, and in section 5.3 we analyse  
437 the uncertainty of the methodology of using current measurement ( $T_{dampingD}$ ) to give prediction on the  
438 physical damping torque ( $T_{dampingS}$ ).

### 439 5.1 Uncertainty in measurement

440 Evaluation of a component of standard uncertainty is a method for the assessment of uncertainty by  
441 the statistical analysis of series repeated observations. For a measurand Y that is measured by N  
442 independent repeated observations  $y_k$ , the best-estimated value is the arithmetic mean of the N  
443 observations:

$$444 \bar{y} = \frac{1}{N} \sum_{k=1}^N y_k$$

445 The variance of the comments is given by

$$446 s^2(y) = \frac{1}{N} \sum_{j=1}^N (y_j - \bar{y})^2$$

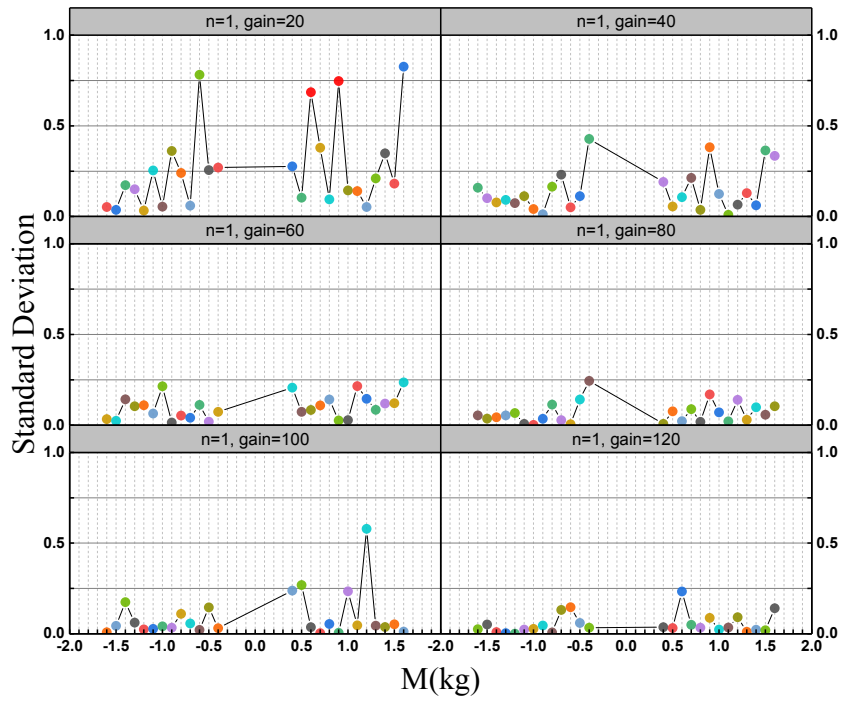
447 And the deviation of the mean is granted by

$$448 s(y) = \sqrt{s^2(y)} = \sqrt{\frac{1}{N} \sum_{j=1}^N (y_j - \bar{y})^2}$$

449 Assume standard uncertainty merely is the positive square root of the difference of the mean and is  
450 expressed as

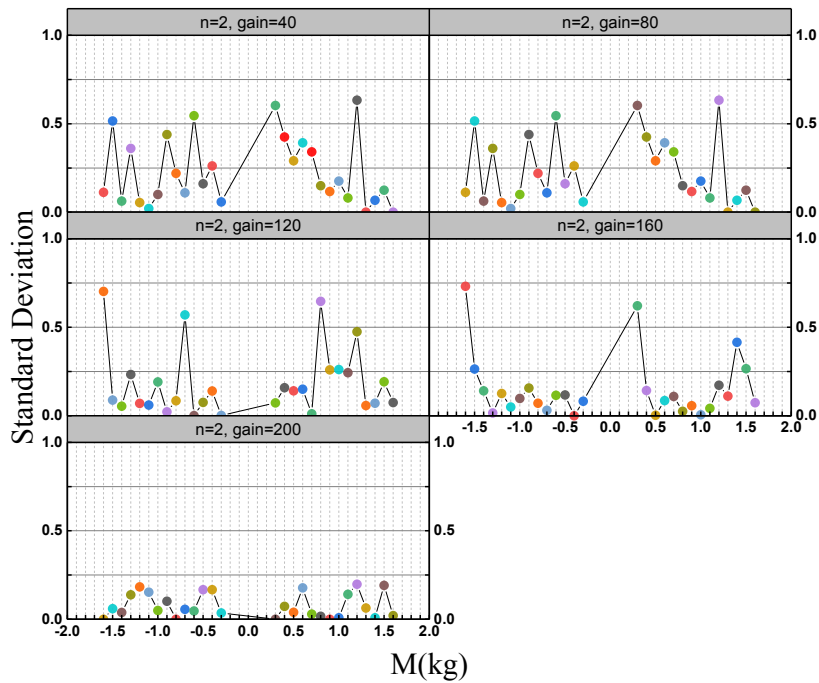
$$451 u(y) = s(y)$$

452 Then the change in measurement can be described as the observation/calculation of the standard  
453 deviation of the measures, as shown in Fig. 14 and Fig. 15.



454  
455

Figure 14 Trellis plot of the standard deviation of velocity measurements in linear cases



456  
457

Figure 15 Trellis plot of the standard deviation of velocity measurements in nonlinear instances

458 **5.2 Uncertainty in components**

459

460 Uncertainty in components is also the main source of uncertainties for the innovative PTO simulation  
 461 platform. This uncertainty is usually obtained by a) Experience with or general knowledge of the  
 462 behaviour and properties of relevant materials and instruments; b) Manufacturer's specifications; c)  
 463 Data provided in calibration and other certificates; d) Uncertainty assigned to reference data taken  
 464 from handbooks. An uncertainty in components adopted in our experiments is given in Table 9.

465 The raw data obtained from the data acquisition system for one standardized drop test is shown in  
 466 Fig.5. The data we collected from the current sensor and tachometer are voltages, so we need to make  
 467 a unit conversion before output, as the resolution of the current sensor is 10A/75mV, we use a unit  
 468 conversion coefficient 333.33 added to the voltage, in order to output the signals from current sensor  
 469 in Amp, making it 133.33A/volt. The resolution of the tachometer is 333.333 rpm/volt. Thus,  
 470 calculations show that unit for current signals output in Spike (which is the software interface with the  
 471 DAQ) is Amp, and for tachometer signals is rpm. Then the data in the unit of rpm and amp is sampled  
 472 into excel, then input into MATLAB for plotting.

473 *Table 9 Properties of critical components*

Meaningful parameters	Component	Data from sheet	Uncertainty source	Uncertainty
Damping constant	Motor	17 N cm/A	Nominal value from the manufacturer (temperature dependent)	+/-0.1Ncm/A
Velocity measurements	Tachometer	3V/1000rpm	Typical analogue tacho linearity.	+/- 1%
Current Measurements	Current Shunt	± 1.4% Gain Error, 0.3 μ V/°C Offset Drift 0.005%/°C Gain Drift (Max) linearity	From manufacturers' Datasheet	+/- 1.4%
Current measurements	Current shunt monitor		From manufacturers datasheet	+/- 0.1%
Offset	Amplifier	Manually adjusted to zero to within +/- 0.01 volt.	Unbalance in zero calibration	Result in the unbalance between simulated PTOs in CCW and CW directions
Linearity	Amplifier	+/-0.25%		
Current rating	Amplifier	2A continuous/ 10A peak (for 1 second)	When the current exceeds, rated current amplifier output current is reduced.	Limit drop weights so that amplifier operates within current rating.
Power rating	Amplifier	48W continuous/240 W peak(for 1 second)	When power exceeds, rated amplifier output power is reduced.	Limit drop weights so that amplifier operates within power rating.

Raw data	Data acquisition system (MICRO 1401 II)	16 bit +- 10V	Resolution +/- 0.003 V	ignorable
	MyRio Controller	12 bit 0-5V	Resolution +- 0.001V	ignorable
Measurement of "d"	Worm drive diameter	Based on the average for multiple measurements	the "d" used in the equation2 for calculation of $T_{dampingS}$	+/- 0.1mm
Test weights	Mass	M1 class weights	From manufacturers data sheet	+/- 0.001 kg

474

### 475 5.3 Uncertainty in methodology

476

477 The uncertainty of the methodology of using current measurement ( $T_{dampingD}$ ) to give a prediction on  
478 the physical damping torque ( $T_{dampingS}$ ).

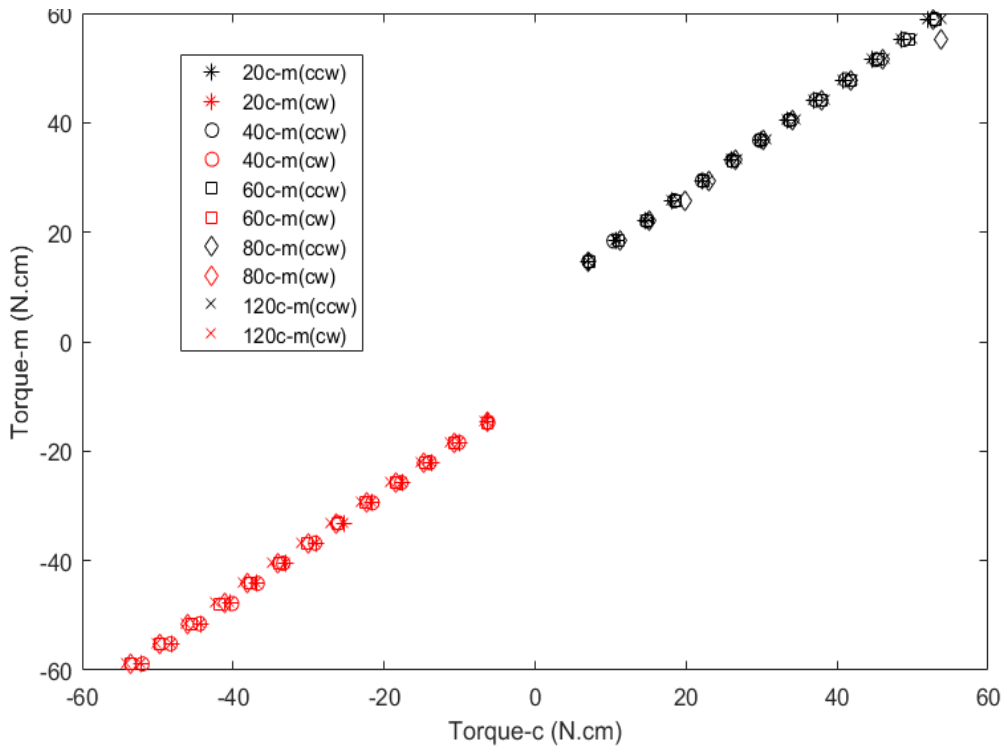
479 Because for DC motors and generators, it is concluded that the torque produced by motor proportion  
480 to the current flows through the motor, which is described as

$$481 \quad T = k_T \times I$$

482 Where  $k_T$  is the constant coefficient of motors, therefore it is designed to measure the dynamic  
483 damping torque by measuring the current of the damping motor. However, there is an absolute  
484 uncertainty of using current measurement to predict the physical damping torque. Therefore,  
485 comparisons between two damping torques for both linear PTO and nonlinear PTO is presented in  
486 Fig.16, where black data represents the data for counterclockwise and red for clockwise. We can draw  
487 a rough inference that  $T_{dampingD}$  is precisely proportional to  $T_{dampingS}$ , and the proportion coefficient is  
488 reasonably close to 1, so  $T_{dampingD}$  can be a prediction of  $T_{dampingS}$  when simulating a dynamic PTO.

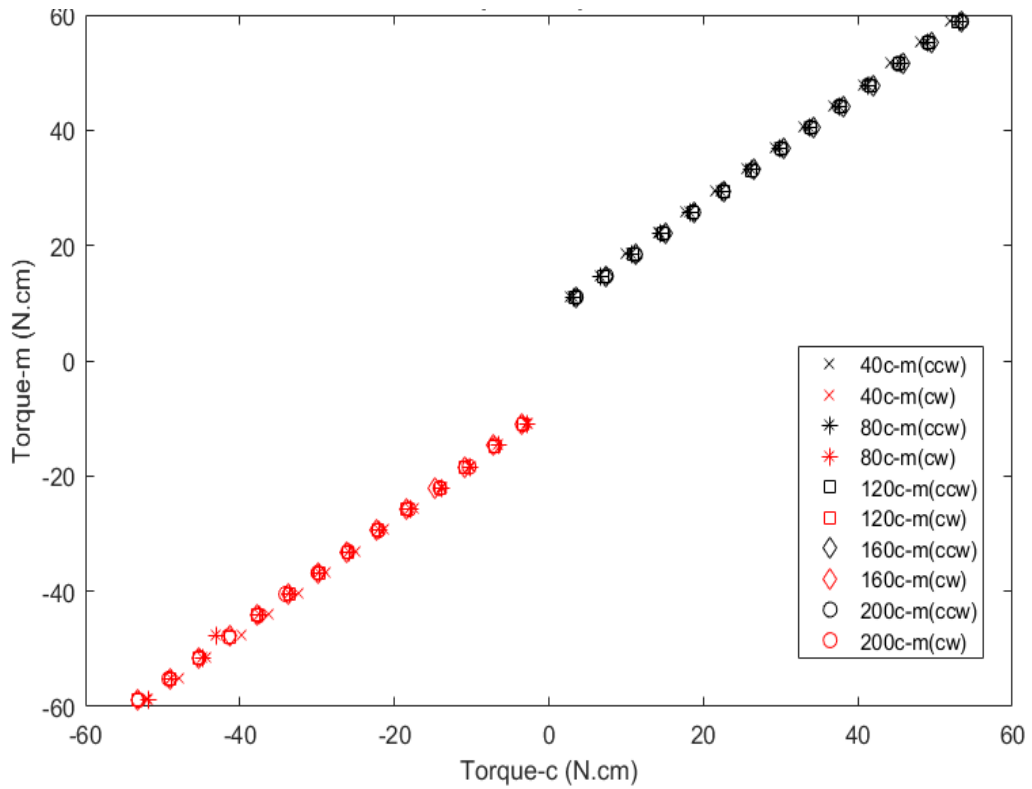
489

(a)



490  
491  
492

(b)



493  
494  
495

Figure 16.a) for linear cases and b) for nonlinear cases

## 496 5.4 Future work

497 The control function (equation 3) used in this paper, is a direct expression of the aimed damping forces  
498 (linear or quadratic). As for the uncertainty provided above, the iteration method presented in [40]  
499 will be used to adjusting damping spectrum to achieve a given value of correlation coefficient between  
500 the damping spectrum and the target one. Moreover, varying damping in traditional vibration systems  
501 in [41] and fractional vibrations reported in [42, 43] may be useful for the future work to possibly  
502 explore the mechanism of varying damping in the ocean energy converters described in this  
503 manuscript.

## 504 6. Conclusions

505 A novel experimental PTO simulation platform was proposed by using software-in-loop simulation  
506 methodology. The working principle is original, and the method of how to build up a PTO simulation  
507 platform is introduced in great details. A large number of drop tests are performed to calibrate the  
508 platform, and the following conclusions were drawn:

- 509 1. This PTO simulation platform can produce linear PTO damping and nonlinear damping in a  
510 wide range (quadratic damping is taken as a simple nonlinear example);
- 511 2. Reasonable correlation curves between the input gains of the PTO simulation platform and  
512 the coefficients of simulated PTO damping forces are achieved for both linear and quadratic  
513 cases.
- 514 3. The correlation indicates the PTO simulation platform's capacity of simulating linear PTO can  
515 reach 40-220 and can reach 10-70 for quadratic damping regarding damping force coefficient.
- 516 4. Dynamic torque measurement is adopted to predict the dynamic damping torque in dynamic  
517 tank testing which causes a tiny uncertainty in methodology, and the possibility is  
518 quantitatively analysed;
- 519 5. Gain-Damping coefficient curves are drawn and able to be used as a reference to simulate  
520 customer-suited PTO damping  
521

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620

621 **List of equations**

622  $F_{damping} = weight = m \times g$

623 (1) .....6

624  $T_{dampingS} = F_{damping} \times d / 2$

625 (2) .....6

626  $F(t) = \frac{gain}{100} \dot{y}(t)^n$

627 (3) .....7

628  $F(t) = \zeta_p \times \dot{y}(t)^n$

629 (4) .....7

630  $Y = y \pm U$

631 (5) .....18

632  $U = k \times u_c(y)$

633 (6) .....18

634  $y - U \leq Y \leq y + U$

635 (7) .....18

636

637

638

639

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641

642

643

644

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646

647

648

649

650

651

652

653

654

655

656 **List of tables**

657

658 Table 1 Selected gains for drop tests.....8

659 Table 2 Selected weights (kg) used in the drop tests .....8

660 Table 3 Details of mechanical experimental set-up .....9

661 Table 4 Table of fitted functions based on  $T_{dampingS}$  for linear PTO .....11

662 Table 5 Table of fitted functions based on  $T_{dampingD}$  for linear PTO .....12

663 *Table 6 Table of drawn functions based on  $T_{dampingS}$  for nonlinear PTO .....15*

664 Table 7 Table of drawn functions based on  $T_{dampingD}$  for nonlinear PTO .....17

665 Table 8 Comparison of difference between the targeted and fitted equations.....18

666 Table 9 Properties of critical components.....21

667

668 **List of figures**

669 Figure 1 Global distribution of mean wave power density in kW/m[1] .....2

670 Figure 2 Alternative PTO mechanisms [7].....3

671 Figure 2 Ocean energy technology international Patent Cooperation Treaty publications between

672 2009 and 2013(source: Thomson Innovation).....4

673 Figure 4 Schematic diagram of the loop for simulating the PTO damping .....6

674 Figure 5 A typical drop test monitored by DAQ.....7

675 Figure 6 Components of the PTO damping simulation platform.....8

676 Figure 7 a)Schematic diagram of pretension in drop tests in counterclockwise,  $f$  is the pre-tension

677 caused by “m”, and  $F_{total}$  is the driving force caused by “M”; b) Mechanical model of drop .....9

678 Figure 8 Relationship of velocity and damping torque( $T_{dampingS}$ )( the points located in ).....10

679 Figure 9 Relationship of velocity and damping torque ( $T_{dampingD}$ ) .....12

680 Figure 10 Relationship of input gains and linear damping force coefficients.....13

681 Figure 11 Relationship of velocity and damping torque( $T_{dampingS}$ )( left is the curves for tests drop in

682 CCW direction; right is the curves for tests fall in CW direction) .....14

683 Figure 12 Relationship of velocity and damping torque( $T_{dampingD}$ ) (a.is the curves for tests drop in

684 CCW direction;b.is the curves for tests drop in CW direction) .....16

685 Figure 13 Correlation between input gains and coefficients of quadratic damping force .....17

686 Figure 14 Trellis plot of the standard deviation of velocity measurements in linear cases .....20

687 Figure 15 Trellis plot of the standard deviation of velocity measurements in nonlinear instances.....20

688 Figure 16.a) for linear cases and b) for nonlinear cases .....23

689