

# ReConEvent 2019 - Publishing your work & scholarly communication

Tuesday, 23 July 2019  
Edinburgh, United Kingdom



# Recent advances in the scholarly comms landscape

## Plan S & its implications

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# 100% Open Access by 2020: an ambitious objective...



The Competitiveness Council meeting in Brussels this week.

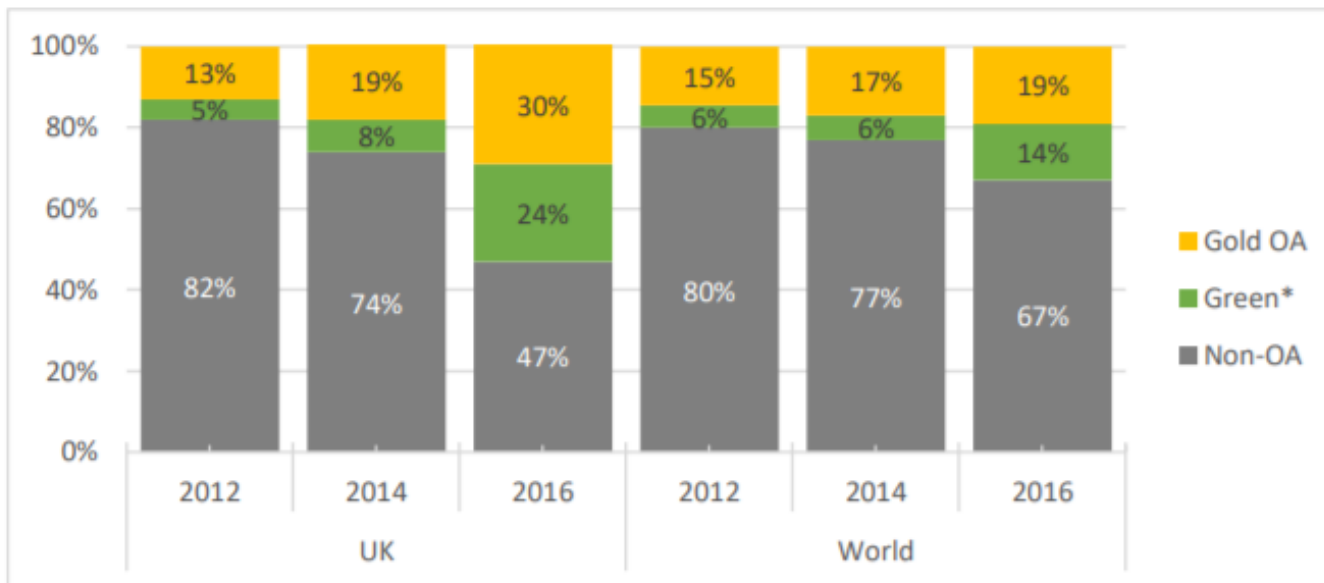
EU Competitiveness Council

## In dramatic statement, European leaders call for 'immediate' open access to all scientific papers by 2020

By **Martin Enserink** | May. 27, 2016 , 2:30 PM

... nearly impossible to achieve

Figure 1: Prevalence of journal article publishing via Gold, Green\* and traditional (non-OA, subscription only) routes after 24 months, for UK and World, 2012-2016<sup>31</sup>



# Or is it?



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University	P	P(OA)	PP(OA)
1 Bilkent Univ	1909	1834	96.1%
2 Hong Kong Polytech Univ	9911	8459	85.3%
3 London Sch Hyg & Trop Med	7254	6172	85.1%
4 Durham Univ	7177	5966	83.1%
5 Univ Jaume I	2197	1822	82.9%
6 City Univ London	2569	2099	81.7%
7 Univ St Andrews	5535	4518	81.6%
8 Univ Strathclyde	4848	3931	81.1%
9 Univ Glasgow	11807	9391	79.5%
10 Univ Leeds	11672	9203	78.8%
11 Caltech	13529	10638	78.6%
12 Univ Edinburgh	17828	13786	77.3%
13 Loughborough Univ	4245	3279	77.2%
14 Univ Bristol	14235	10832	76.1%
15 Univ Bath	5139	3866	75.2%
16 Univ Coll London	35124	26419	75.2%
17 Univ Leicester	5732	4275	74.6%
18 Univ Warwick	10384	7722	74.4%
19 Univ E Anglia	4796	3561	74.2%
20 Univ Sussex	5338	3961	74.2%
21 Univ Dundee	3977	2947	74.1%
22 Cardiff Univ	9872	7311	74.1%
23 Imperial Coll London	28625	21002	73.4%
24 Univ Sheffield	12273	8982	73.2%
25 Lancaster Univ	5785	4221	73.0%

CWRS Leiden Raking 2019:  
 Open Access (May 2019),  
<https://www.leidenranking.com/ranking/2019/>

# The issue: “immediate” OA

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## University of Strathclyde

Select indicators

Type of indicators:

Time period:



Leaflet | Tiles © Esri — Esri, DeLorme, NAVTEQ

### Overview (2014–2017)

All sciences

		Size-dependent	Size-independent	
Publications	P	4848		
Open access publications	P(OA)	3931	PP(OA)	81.1%
Gold open access publications	P(gold OA)	435	PP(gold OA)	9.0%
Hybrid open access publications	P(hybrid OA)	807	PP(hybrid OA)	16.6%
Bronze open access publications	P(bronze OA)	193	PP(bronze OA)	4.0%
Green open access publications	P(green OA)	3860	PP(green OA)	79.6%
Publications with unknown open access status	P(OA unknown)	68	PP(OA unknown)	1.4%

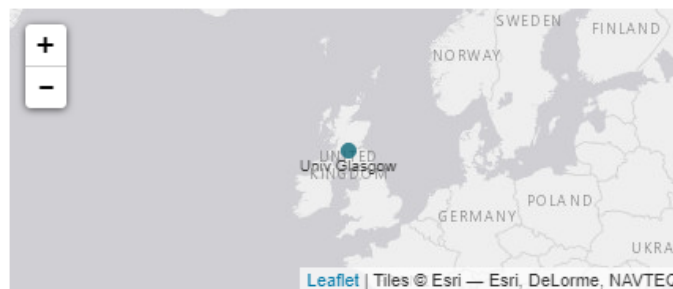
# The issue: “immediate” OA

## University of Glasgow

### Select indicators

Type of indicators:

Time period:



### Overview (2014–2017)

All sciences

	Size-dependent	Size-independent	
Publications	P	11807	
Open access publications	P(OA)	9391	PP(OA) 79.5%
Gold open access publications	P(gold OA)	2267	PP(gold OA) 19.2%
Hybrid open access publications	P(hybrid OA)	2851	PP(hybrid OA) 24.1%
Bronze open access publications	P(bronze OA)	1231	PP(bronze OA) 10.4%
Green open access publications	P(green OA)	8853	PP(green OA) 75.0%
Publications with unknown open access status	P(OA unknown)	123	PP(OA unknown) 1.0%

# The issue: “immediate” OA

## The University of Edinburgh

### Select indicators

Type of indicators:

Time period:



### Overview (2014–2017)

All sciences

	Size-dependent		Size-independent	
Publications	P	17828		
Open access publications	P(OA)	13786	PP(OA)	77.3%
Gold open access publications	P(gold OA)	3522	PP(gold OA)	19.8%
Hybrid open access publications	P(hybrid OA)	3680	PP(hybrid OA)	20.6%
Bronze open access publications	P(bronze OA)	1850	PP(bronze OA)	10.4%
Green open access publications	P(green OA)	12813	PP(green OA)	71.9%
Publications with unknown open access status	P(OA unknown)	244	PP(OA unknown)	1.4%

# Why do funders want “immediate” OA?

## Search - Publisher copyright policies & self-archiving

One journal found when searched for: **cell**

Journal:	<a href="#">Cell</a> (ISSN: 0092-8674, EISSN: 1097-4172)
RoMEO:	This is a <b>RoMEO yellow</b> journal
Paid OA:	A paid open access option is available for this journal.
Author's Pre-print:	✓ author can archive pre-print (ie pre-refereeing)
Author's Post-print:	✘ subject to Restrictions below, author can archive post-print (ie final draft post-refereeing)
Restrictions:	<ul style="list-style-type: none"><li>12 months embargo</li></ul>
Publisher's Version/PDF:	✘ author cannot archive publisher's version/PDF
General Conditions:	<ul style="list-style-type: none"><li>Author's pre-prints on ArXiv, bioRxiv or BioRN</li><li>On non-commercial hosting platforms including institutional repository</li><li>Published source must be acknowledged</li><li>Must link to journal homepage with DOI</li><li>Publisher's version/PDF cannot be used</li><li>Author's post-print must be released with a Creative Commons Attribution Non-Commercial No Derivatives License</li></ul>
Mandated OA:	(Awaiting information)
Paid Open Access:	<a href="#">Open Access</a>
Notes:	<ul style="list-style-type: none"><li>Publisher last reviewed on 05/08/2015</li></ul>
Copyright:	<a href="#">Policy</a>
Updated:	31-Jan-2018 - <a href="#">Suggest an update for this record</a>
Link to this page:	<a href="http://sherpa.ac.uk/romeo/issn/0092-8674/">http://sherpa.ac.uk/romeo/issn/0092-8674/</a>
Published by:	<a href="#">Elsevier</a> (Cell Press): 12 month embargo - <a href="#">Yellow Policies in RoMEO</a>



# Why do funders want “immediate” OA?



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### Zika Open

These papers are posted in the context of the Public Health Emergency of International Concern declared by the Director-General of the World Health Organization 1 February 2016.

The data in these papers are freely available for unrestricted use, distribution and reproduction in any medium, provided that the original work is properly cited as indicated by the Creative Commons Attribution 3.0 Intergovernmental Organizations license (CC BY IGO 3.0).

If you wish to submit a manuscript, please do so via our [online tracking system](#).

#### EDITORIAL

**Data sharing in public health emergencies: a call to researchers**

- Christopher Dye, Kidist Bartolomeos, Vasee Moorthy, Marie Paule Kieny

Posted: 4 February 2016

<http://dx.doi.org/10.2471/BLT.16.170860> [Article \[HTML\]](#)

#### RESEARCH IN EMERGENCIES

# The funders' response to slow progress

 **Plan S**  
Making full and Immediate Open Access a reality

Plan S

**Making full and  
immediate Open  
Access a reality**

Why Plan S

Principles and Implementation

Who's involved

About

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## About Plan S

Plan S is an initiative for Open Access publishing that was launched in September 2018. The plan is supported by cOAlition S, an international consortium of research funders. Plan S requires that, from 2021, scientific publications that result from research funded by public grants must be published in compliant Open Access journals or platforms.

## Coordinated by



## National funders



## Charitable foundations



## European funders



# Not just a European initiative



**Robert Kiley**  
@robertkiley

Follow

Big news. India joins cOAlition S [#Plan\\_S](#). This is great news -- and demonstrates the growing global support for [#openaccess](#) and [#Plan\\_S](#)



**Principal Scientific Adviser, Govt. of India** [@PrinSciAdvGol](#)  
1/2 India joining cOAlition S: Journal subscriptions, publishing charges block access to publicly funded knowledge. Access, dissemination, use of knowledge should be free; allowing all to build on research funded by the Indian government, other...

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4:38 AM - 12 Feb 2019

78 Retweets 105 Likes



2 78 105

<https://twitter.com/robertkiley/status/1095301069675085824>

## China backs bold plan to tear down journal paywalls

*Officials pledge support for European-led 'Plan S' to make research papers immediately free to read – but it's unclear whether China will adopt all the plan's policies.*

<https://www.nature.com/articles/d41586-018-07659-5>

## cOAlition S Welcomes its First African Member and Receives Strong Support from the African Academy of Sciences

<https://www.coalition-s.org/coalition-s-welcomes-its-first-african-member-and-receives-strong-support-from-the-african-academy-of-sciences/>

# Plan S: 10 Principles



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The Free Encyclopedia

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## Plan S

From Wikipedia, the free encyclopedia

*Not to be confused with S-Plan.*

**Plan S** is an initiative for [open-access science publishing](#) that was launched by [Science Europe](#) on 4 September 2018.<sup>[1][2]</sup> It is an initiative of "cOAlition S",<sup>[3]</sup> a consortium launched by major national research agencies and funders from twelve European countries. The plan requires scientists and researchers who benefit from state-funded research organisations and institutions to publish their work in open repositories or in journals that are available to all by 2021.<sup>[4]</sup> The "S" stands for "shock".<sup>[5]</sup>

### Principles of the plan [ edit ]

The plan is structured around ten principles.<sup>[3]</sup> The key principle states that by 2021, research funded by public or private grants must be published in [open access](#) journals or platforms, or made immediately available in open access repositories without an [embargo](#). The ten principles are:

1. authors should retain [copyright](#) on their publications, which must be published under an open license such as [Creative Commons](#);
2. the members of the coalition should establish robust criteria and requirements for compliant open access journals and platforms;
3. they should also provide incentives for the creation of compliant open access journals and platforms if they do not yet exist;
4. publication fees should be covered by the funders or universities, not individual researchers;
5. such publication fees should be standardized and capped;
6. universities, research organizations, and libraries should align their policies and strategies;
7. for books and monographs, the timeline may be extended beyond 2021;
8. open archives and repositories are acknowledged for their importance;
9. [hybrid open-access journals](#) are not compliant with the key principle;
10. members of the coalition should monitor and sanction non-compliance.

# Wellcome updates open access policy to align with cOAlition S

The overarching aim of our OA policy is to make sure that knowledge and discoveries resulting from our funding are shared and used in a way that maximises their benefit to health.

We expect our researchers to publish their findings freely online as high-quality, peer-reviewed research articles, monographs and book chapters.

3. We will no longer cover the costs of OA publishing in subscription journals. Grant applicants cannot ask for these costs in their grant application, and grantholders will not be allowed to use their grant funds to pay for these costs.

However, from 1 January 2021 to 31 December 2024, organisations can use Wellcome OA funds for publication costs associated with transformative OA arrangements. These costs must be in proportion to the number of OA articles supported by Wellcome.

7. All Wellcome-funded researchers are strongly encouraged to:

- post preprints of their completed manuscripts
- publish them under a CC-BY licence.

9. We are committed to making sure that when we assess research outputs during funding decisions we consider the intrinsic merit of the work, not the title of the journal or publisher.

All Wellcome-funded organisations must publicly commit to this principle. For example, they can sign the San Francisco Declaration on Research Assessment, Leiden Manifesto or equivalent.

We may ask organisations to show that they're complying with this as part of our organisation audits.

# The issue around hybrid journals



SERVICES

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OPEN SCIENCE IN EUROPE



## The worst of both worlds: Hybrid Open Access

 policies & funders,  scholarly communication  Najla Rettberg  Tuesday, 26 June 2018  964 Hits

 0 Comments

A couple weeks ago, the European Commission (EC) announced that starting with their new funding programme, Horizon Europe, they will [no longer reimburse publication fees for hybrid Open Access](#). Previously, the EC had excluded hybrid APCs when they first introduced Open Access funds during the FP7 (Post-Grant) Open Access Pilot, but later covered hybrid Open Access in the following funding programme, Horizon 2020 (2014-2020).

Hybrid Open Access describes a publishing model where some articles are made openly available, against the payment of an Article Processing Charge (APC), while other articles remain closed access, and the journal as a whole subscription-based. The first hybrid journals launched with Springer Open Choice and Wiley Online Open in 2004. Two years later, the publishing model was incorporated through Elsevier Open Access, Sage Choice and Taylor & Francis Open Select, and Nature Publishing Group (NPG) Open followed in 2007. Since then, the number of hybrid OA journals has skyrocketed and crossed the 10k mark, the leading 5 publishers owning the majority.

# Institutional research support



## Plan S

Research funders in Europe announce 'Plan S' to make all scientific works free to read as soon as they are published.

### The key Plan S principles are as follows:

- No scholarly publication should be locked behind a paywall;
- Open Access should be immediate i.e., without embargoes;
- Full Open Access is implemented by the default use of a Creative Commons Attribution CC BY licence;
- Funders commit to support Open Access publication fees at a reasonable level;
- Funders will not support publication in hybrid (or mirror/sister) journals unless they are part of a transformative arrangement with a clearly defined endpoint.

### Update 31 May 2019 : Plans S timeline pushed back to 2021

Today cOAlition S released its revised Plan S principles & implementation guidance after a public feedback exercise. The key points to note are: In order to provide more time for researchers and publishers to adapt to the changes under Plan S, **the timeline has been extended by one year to start in 2021**, also the requirements for repositories are relaxed ,and less permissive open licences (CC BY-ND) will be allowable on request.



# Subsequent steps forward

- Institutions and research funders are working to figure out the most appropriate ways to comply with Plan S. These include among others:
  - Read & Publish agreements
  - Suitable mechanisms for Green OA-based compliance: UK Scholarly Comms Licence
  - Supporting publishers and learned societies willing to explore opportunities to transition their business models
  - Addressing researchers' concerns
- Contact your research support service for more info

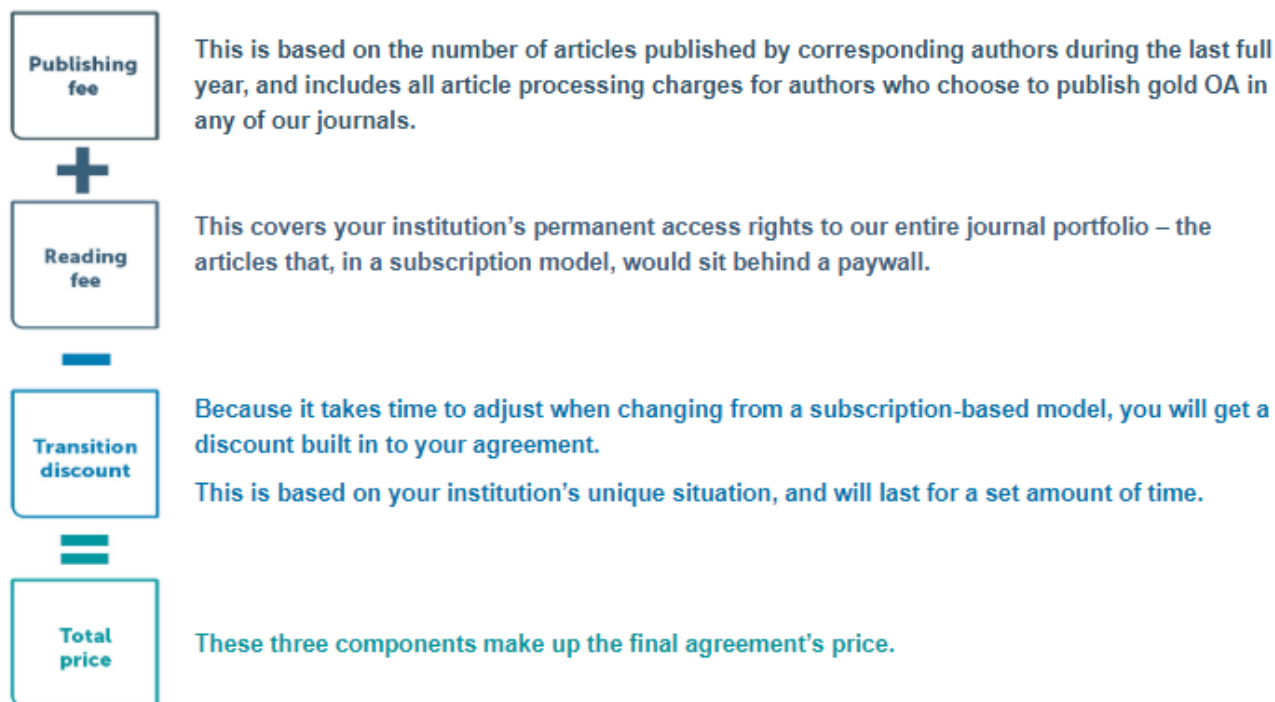
# 'Read & Publish' deals with publishers

## What is Read & Publish?

It's an alternative to the traditional subscription model. It helps both authors and institutions gradually tip the balance of their publishing output towards open access, making the process easier and more financially viable.

## How does it work?

With a Read & Publish agreement in place at their institution, authors can publish gold OA in all hybrid Royal Society of Chemistry journals, and readers have access to every paper in our journal portfolio.



# UK Scholarly Communications Licence

## About the UK-SCL

UK-SCL MODEL POLICY  
KEEPING OWNERSHIP OF ACADEMIC RESEARCH  
WITHIN THE ACADEMIC COMMUNITY – FOR  
VISIBILITY, IMPACT, ACCESS AND RE-USE

UK researchers are covered by a number of funder open access (OA) policies and publish in journals with a variety of OA policies, sometimes differing depending on who funds the research. This “policy stack” is complex, causes confusion and is difficult to administer. Researchers run the risk that their outputs may not be eligible for submission to the post 2021 Research Excellence Framework (REF2021)

Many publishers ask researchers to transfer copyright in outputs to the publisher, causing difficulties when researchers seek to re-use results in classroom and online teaching.

The Funding Councils (HEFCE, HEFCW, SFC) have set minimum OA eligibility criteria for REF2021 but encourage institutions to go beyond that minimum

SHARE



# New business models for learned societies

## Helping learned societies explore Plan S-compliant business models

*Wellcome, in partnership with UK Research and Innovation (UKRI) and the Association of Learned & Professional Society Publishers (ALPSP), are seeking to engage the services of a consultant to explore a range of potential strategies and business models through which learned societies could adapt and thrive under Plan S.*

Activity	Date
Issue the Brief to potential contractors	Friday 30 <sup>th</sup> November 2018
Responses returned	Monday 14 <sup>th</sup> January 2019 at 09.00 GMT
Contractor interviews (in person or video conference)	Thursday 24 <sup>th</sup> January 2019 (pm)
Contractor appointed (contract for services signed)	Thursday 31 <sup>st</sup> January 2019
Stakeholder meeting	April 2019
Mid-term meeting with Steering Group	May 2019
Summary report – task 5	By end of July 2019

Table 1: Timeline

<https://wellcome.ac.uk/sites/default/files/learned-societies-consultancy-request-for-proposals.pdf>



sciforum

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**BSPF 2019**

## 1st Basel Sustainable Publishing Forum

Dialog with Learned Societies:  
Sustainable Solutions for Successful Transition to Open Access

9 September 2019, University of Basel, Switzerland

<https://sciforum.net/conference/SustainableSolutionsToOpenAccess>

# Addressing researchers' concerns



## Wellcome Open Research and Humanities and Social Science workshop

5 July, 10.45 – 15.00

Wellcome, 215 Euston Road, London, NW1 2LE

### Objectives:

- Explore what support researchers need around open access, and how Wellcome might offer this
- Discuss Wellcome's open access policy and our open research platform
- Create space to discuss questions and experiences unique to HSS researchers

# Questions?

Contact us for more info and/or advice *upon manuscript acceptance* (or even earlier)

Pablo de Castro: [pablo.de-castro@strath.ac.uk](mailto:pablo.de-castro@strath.ac.uk)