

1 **Community Cycling Exercise for Stroke Survivors is Feasible and**
2 **Acceptable**

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28 Abstract

29 Background

30 Physical activity is recommended after stroke but levels for stroke survivors are
31 typically low. The use of indoor recumbent cycling, delivered through local government
32 leisure facilities, may increase access to exercise among stroke survivors.

33 Objective

34 This study aimed to evaluate the acceptability and feasibility of an indoor cycling
35 programme delivered through existing local government services.

36 Methods

37 Participants were recruited through stroke liaison nurses and public advertising. After a
38 home visit to assess eligibility and conduct psychological and general health
39 assessments, participants attended their local leisure centre for an initial fitness test and
40 short battery of physical tests. Then an eight week training programme was designed
41 with weekly goals. Following the programme the assessments were retaken along with
42 an evaluation questionnaire. In-depth, semi-structured, interviews were conducted with
43 15 participants and 5 fitness coaches.

44 Results

45 115 individuals volunteered to participate during a 10 month recruitment period, 77 met
46 the inclusion criteria and consented, 66/77 (86%) completed the programme including
47 all eight non-ambulatory participants. The programme and procedures (recruitment and
48 outcome measures) were feasible and acceptable to participants (81% reported
49 following the programme). Participants were generally very positive about the
50 experience. Significant improvements in sit-to-stand capacity ($M_{pre}=25.2s$, $M_{post}=19.0s$,
51 $p=.002$), activities of daily living (NEADL, $M_{pre}=12.2$, $M_{post}=13.2$, $p=.002$),
52 psychosocial functioning (SAQOL, $M_{pre}=3.82$, $M_{post}=4.15$, $p=.001$), energy (SAQOL,

53 $M_{pre}=3.75$, $M_{post}=4.02$, $p=.018$) and depression (GHQ, $M_{pre}=.97$, $M_{post}=.55$, $p=.009$)
54 were observed.

55 Conclusion

56 A cycling based exercise programme delivered through local leisure centre staff and
57 facilities was shown to be feasible and acceptable for people living with stroke.

58

59 Keywords (3 to 5): Stroke, Exercise, Quality of Life, Physical Fitness

60

61 Abbreviations

62 MRC: Medical Research Council

63 STS: Sit to stand

64 FTSTST: Five Times Sit-to-Stand Test

65 PWS: Preferred walking speed

66 6MWT: Six-Minute Walk Test

67 NEADL: Nottingham Extended Activities of Daily Living Scale

68 SAQOL-39: The Stroke and Aphasia Quality of Life Scale

69 GHQ-28: The General Health Questionnaire

70 PSQI: The Pittsburgh Sleep Quality Index

71 MoCA: Montreal Cognitive Assessment

72 FAS: The Fatigue Assessment Scale

73 FITT: Frequency, Intensity, Type and Time

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78 **Introduction**

79 Physical activity after stroke improves fitness and capacity to carry out daily activities,
80 with growing evidence for positive cognitive^{1, 2} and quality of life outcomes.³ This
81 evidence has been produced consistently across a wide range of high quality studies⁴
82 using well controlled methodologies. The same body of literature, however, reports the
83 persistence of very low levels of physical activity among stroke survivors^{5, 6} suggesting
84 difficulties translating research evidence into the real world.

85 Whilst adherence to physical activity programmes among stroke survivors
86 participating in research trials can be high, for example Mead et al.⁷ reported 100%
87 attendance for 59% of participants, this positive response may not reflect experiences
88 outside the controlled environment of a research trial where high levels of resource and
89 support are common. Taking advantage of local government funded leisure facilities
90 may be a more acceptable and economically sustainable model, albeit with the
91 continued involvement of health professionals which has been shown to improve
92 attendance amongst individuals with poorer physical function.⁸

93 Indoor cycling, particularly with semi-recumbent cycle ergometers, offers specific
94 advantages for stroke survivors attempting to exercise. These ergometers provide a safe
95 stable environment with adaptable positions for wheelchair users and an ability to make
96 incremental changes to cadence and resistance to tailor exercise. The use of cycle
97 ergometers with stroke survivors has been reported to be safe and acceptable with
98 evidence of improvements to balance, strength, general function and motor control.^{9, 10}

99 These findings can, at least in part, be explained by the similarity (kinematics and
100 muscle activation) between recumbent cycling and the functional tasks of climbing a
101 step and rising from a chair.¹¹

102 This study investigated the feasibility of community dwelling stroke survivors
103 participating in a cycling based exercise programme delivered through existing local
104 government funded staff and facilities. The primary aim was to assess feasibility and
105 acceptability, this comprised recruitment, assessments, adherence and acceptability of
106 the exercise programme from both the participants and fitness coaches' perspective.
107 Secondly, to collect evidence of any change in outcome measures to inform future
108 controlled trials.

109 **Material and Methods**

110 This was a mixed methods feasibility trial with a 'pre-post' intervention quasi-
111 experimental design (UKCRN - ID 17583) based on Medical Research Council (MRC)
112 guidelines for the development of complex interventions.¹² The methods conform to the
113 STROBE Guidelines (see supplementary information).

114 ***Participants***

115 Participants were medically stable stroke survivors resident within Lanarkshire
116 (Scotland) local council area. The local councils are responsible for providing local
117 services and facilities, including sport and leisure.

118 Participants responded to verbal invitations from a stroke liaison nurse who
119 routinely see patients during the 12 month period following discharge and recruitment
120 posters placed in community locations and local newspapers. The study was approved
121 by the East of Scotland Research Ethics Committee (14/ES/1080). Individuals interested
122 in participating were invited to contact the research group who screened for eligibility,
123 using the following criteria, and then sent an information package. The recruitment
124 period lasted 10 months (01/12/2014 until 30/09/2015).

125 Inclusion criteria; a diagnosis of stroke (physician confirmed), over 18 years old
126 and resident in Lanarkshire. Exclusion criteria included; contraindications to physical

127 activity, a recent injurious fall without medical assessment, cognitive impairment severe
128 enough to prevent protocol adherence, assessed with the Montreal Cognitive
129 Assessment (MoCA)¹³ and advice from the clinical team.

130 ***Materials***

131 *Physical capacity*

132 Four tests of physical capacity were conducted: 1) Five Times Sit-to-Stand Test
133 (FTSTST)¹⁴ where the time to perform 5 sit to stand movements is recorded, 2)
134 preferred walking speed (PWS), timed over 5 meters¹⁵, 3) Six-Minute Walk Test
135 (6MWT) using a standard treadmill¹⁶, where the distance walked during 6 minutes is
136 recorded and 4) the Nottingham Extended Activities of Daily Living Scale (NEADL)¹⁷,
137 which is a widely used self-reported measure of functional mobility with scores ranging
138 from 0-22, higher scores indicating better ability.

139 *Psychological and general health*

140 The Stroke and Aphasia Quality of Life Scale (SAQOL-39)¹⁸: This is a self-reported
141 measure of quality of life with scores ranging from 0-5, higher scores indicating better
142 quality of life. The General Health Questionnaire (GHQ-28)¹⁹: A self-reported measure
143 used to assess emotional distress in adults. Scores range from 0-28, with scores above 4
144 indicating the presence of distress. The Pittsburgh Sleep Quality Index (PSQI)²⁰: A self-
145 reported measure with scores ranging from 0-21, scores of five or greater suggesting
146 poor sleep quality. The Fatigue Assessment Scale (FAS)²¹: A self-reported measure
147 used to assess chronic fatigue and its interference on mental functioning and activities
148 of daily living. Scores greater or equal to 22 indicating interference from fatigue.²²

149 *Semi-structured evaluation questionnaires and interviews*

150 An evaluation questionnaire gathering participants' opinions on the whole experience
151 from recruitment (e.g. how they found out about the programme) to individual sessions

152 (e.g. were they able to adhere to the exercise protocol) was completed by all
153 participants. These were followed by in-depth semi-structured interviews with
154 participants who were sampled consecutively during the final 2 months of recruitment
155 (n=15). The interview questions were developed by the research team to cover all
156 aspects of the study; they were open ended to promote discussion (see supplementary
157 files for a sample of the interview questions) and were checked for understanding
158 during a pilot with two participants. Similarly, five fitness coaches (one from each
159 participating centre) were interviewed to gather opinions on feasibility and acceptability
160 (e.g. level of support offered to participants during exercise sessions) from the leisure
161 centre's perspective (see supplementary files for a sample of the interview questions).
162 All interviews were conducted by a researcher not directly involved in delivery of the
163 intervention within 3 months of the final exercise programme being completed.

164 ***Intervention***

165 The programme was based on the Scottish Government funded 'Best Practice Guidance
166 for the Development of Exercise after Stroke Services in Community Settings'²³. These
167 guidelines advocate the principle of incremental overload adapted to individual needs
168 and goals and broadly follow the FITT (frequency, intensity, type and time) principles,
169 which have been shown to be feasible and effective in stroke populations⁷. The specific
170 content of the eight week programme was determined by a fitness coach following four
171 principles. 1) Frequency: 2-3 sessions per week, 2) Intensity: A moderate level was
172 targeted based on a rating of perceived exertion²⁴ during the initial fitness test (see
173 below), 3) Type: Cycling was the main feature but other activities could be included for
174 motivational purposes. 4) Time: Increasing duration to a maximum of 30 minutes of
175 cycling per session.

176 Before recruitment commenced training sessions for the fitness coaches were
177 held at each of the five participating centres and the equipment available for participants
178 was standardised across the centres.

179

180 ***Procedure***

181 Following consent participants met with a researcher at home who assisted them in
182 completing the MoCA, SAQOL-39, GHQ-28, PSQI and FAS. Participants were then
183 given an appointment at their nearest participating leisure centre where a fitness coach
184 administered the NEADL and supervised a fitness test comprising cycling with an
185 initial resistance of 25 watts which was increased by 10 watts every 2 minutes until the
186 participant indicated they could not continue or had reached 80% of their maximal heart
187 rate ($208 - 0.7 \times \text{age}$). Based on this, and information regarding stroke related
188 impairments and other conditions, the coach constructed a weekly programme.
189 Participants with insufficient strength to turn one or both pedals were instructed in the
190 use of a MOTomed® (RECK-Technik, Betzenweiler, Germany) assisted bicycle and
191 given progressive targets based on assistance from the bike's motor.

192 The eight week personal training plan was then explained to participants and
193 weekly goals set collaboratively. Participants were provided with an educational pack
194 describing the benefits and side effects of physical activity, as well as practical
195 information about the leisure centre including public transport. They were then left to
196 follow the training plan with supervision from the fitness coaches who had specific
197 qualifications delivering exercise to mobility impaired individuals. Attendance at the
198 leisure centre was recorded using magnetic swipe cards and participants and fitness
199 coaches were given activity logs with weekly individual targets for participants to
200 record their activity. Fitness coaches were asked to record adverse events. After eight

201 weeks participants were asked to repeat the outcome measures and an evaluation
202 questionnaire, face-to-face, over the telephone, or by post.

203

204

205 *Analysis procedure*

206 The semi-structured interviews were transcribed and coded using NVivo11 software
207 and analysed using the principles of thematic coding until data saturation was reached²⁵.
208 Descriptive statistics and t-tests were used to analyse change in the outcome measures.

209 **Results**

210 *Recruitment and adherence*

211 Recruitment lasted 10 months with a limit of two new participants per week due to the
212 research team's capacity. 115 participants expressed an interest in the study, 38 were
213 not consented as they; decided not to take part (16), did not fulfil the eligibility criteria
214 (15), required better set up of services, including a closer centre or transport difficulties,
215 (5) and expressed an interest after recruitment had ended (2). A total of 77 participants
216 were therefore consented during the recruitment period giving a recruitment rate of 7.7
217 per month. They had a mean age of 63.7 years (SD 12.6, range 21-85) and were 34.4
218 months (SD 46.3, range 5-231), on average, post stroke. 46/77 (60%) were male, 39/77
219 (51%) had experienced an Ischaemic stroke, 13/77 (17%) had a Haemorrhagic stroke
220 and 25/77 (32%) were unknown. The majority (49/77, 64%) used some form of walking
221 aid and 9/77 (12%) were wheelchair users.

222 From 77 participants who started the programme 66 completed (86% retention
223 rate). 11 participants did not complete; 5 failed to attend any session, 3 had ill-health, 2
224 decided they no longer wished to participate and 1 participant died.

225 *Suitability of assessments*

226 Completion rates, at baseline and outcome, were high for the STS (91%), while only
227 55% completed the 6MWT. All participants completed the bicycle fitness test with 69%
228 using a recumbent or upright bike and 31% using the power assisted bicycle.
229 From the evaluation questionnaire, 79% reported they were able to complete the tests
230 relatively easily, however 6% reported some difficulties. The interview data produced
231 similar findings with most reporting that they had no issues with the physical
232 assessments. The coaches who were also interviewed were happy with the testing
233 procedures but noted difficulties for the more severely impaired participants to complete
234 the treadmill test.

235 Completion rates, at baseline and outcome, for the psychological questionnaires
236 ranged from 89% to 94%. The researcher was present and assisted in the majority of
237 cases, however, a minority chose to complete them on their own with 5% of participants
238 returning questionnaires with missing items and 3% not returning them at all. Of the 64
239 participants who completed the eight week evaluation questionnaire, 48% specifically
240 mentioned that they required help and/or appreciated assistance from the researcher to
241 complete the measures. 40% stated that they had no issues in particular with the
242 questionnaires but 11% indicated they had some issue with the more sensitive items in
243 the General Health Questionnaire.

244 *Acceptability*

245 The programme was acceptable to both ambulatory and non-ambulatory participants.
246 12% of participants were wheelchair users and 64% individuals required a mobility aid
247 such as a cane or walking frame.

248 Almost all (98%) of participants who completed the evaluation questionnaire
249 responded positively to the programme, stating that it met their needs, and they would
250 recommend it to other stroke survivors. Some potential improvements were given such

251 as adapting the programme to include upper limb exercises (9%), having more local
252 programmes (5%) and greater staff awareness of the wider consequences of stroke and
253 related co-morbidities (5%).

254 The vast majority (98%) of participants felt comfortable in the leisure centre,
255 reporting that there was not much else the staff could have done to improve their
256 experience. Some negative aspects were mentioned, for example, 23% reported they
257 would have liked improvements in equipment or facilities, 5% would have like more
258 contact with staff and 3% reported that better organisation was required.

259 Interviewed participants felt supported, consistently stating that they felt the
260 programme was beneficial and support was available from both the research team and
261 fitness coaches:

262 “Very good, I felt that it was something that I needed and it came just at the right time
263 and it just has made me go from strength to strength so I can’t praise it enough” (P111)

264 “Yes, I did find it helpful, because I think that if I walked into the gym, I would
265 probably would have walked out again” (P67)

266 *Adherence*

267 Different objectives for the exercise programme were created for participants (see Table
268 1 for details). 29% (19/66) of participants aimed to increase duration with 84%
269 managing to adhere to this, however, there was considerable variation of actual change
270 in cycling time, ranging from 5 to 90 minutes. 29% (19/66) of participants were given a
271 plan to maintain the same exercise routine for the whole 8 weeks as incrementing was
272 not considered appropriate. None of the plans aimed to increase frequency or the
273 combination of frequency, duration and intensity.

274 Entry swipe card data proved to be an unreliable measure of adherence and only
275 65% of participants returned their activity logs. From the returned logs 73% of

276 participants adhered to their plan, however, when asked in the evaluation questionnaire
277 81% participants reported they had adhered to the programme, and 69% reported that
278 they enjoyed the goal-oriented aspect of the programme. 22% reported negative or
279 partially negative responses, with 8% reporting pain flares up or other physical issues.

280 The interview data revealed that participants felt the plan had contributed to
281 their adherence with improved motivation through monitoring of progress.

282 “I think I need that discipline. And again I think with a gym, if you’ve got a tailored
283 plan then that that’s your routine – that’s what you stick to” (P73)

284 The coaches reported no problems in developing tailored plans. Alterations to the plans
285 were made depending on how the participants felt and this was carried fluidly between
286 coach and participant.

287 *Improvements in fitness and quality of life*

288 49/77 (64%) of participants were able to complete the STS test (Table 2) at baseline,
289 91% of whom took longer than 12s, indicating substantial impairment¹⁴. These scores
290 significantly improved after the programme (Mpre=25.2s, Mpost=19.0s, $t(48)=3.28$,
291 $p=.002$), with an average reduction in time of 4.81s. By outcome 18% of participants
292 had reduced their score to below 12s. Walking speed at baseline was slow (PWS:
293 Mpre=0.69 m/s, range=0.2m/s – 1.9m/s) and this improved, though not statistically
294 significantly, following the programme ($t(45)=1.94$, $p=.059$). The distance walked in six
295 minutes (6MWT) was completed by 19 participants and showed no significant change
296 after the programme ($t(18)=.05$, $p=.96$). Activities of daily living (NEADL), however,
297 did significantly improve (Mpre=12.2, Mpost=13.2, $t(52)=3.26$, $p=.002$).

298 The scores for the SAQOL-39 were relatively high at baseline and improved
299 significantly post-programme, with improvements in the subscales of psychosocial
300 functioning (Mpre=3.82, Mpost=4.15, $t(56)=3.42$, $p=.001$) and energy (Mpre=3.75,

301 $M_{post}=4.02$, $t(57)=2.44$, $p = .018$). Similarly, overall levels of distress were low at
302 baseline and improved significantly ($M_{pre}=6.18$, $M_{post}=4.19$, $t(56) = 2.19$, $p = .033$)
303 with significant changes on the depression subscale ($M_{pre}=.97$, $M_{post}=.55$, $t(57)=2.70$,
304 $p=.009$) but not the other subscales. Reasonably good baseline sleep scores (PSQI) did
305 not suggest extreme sleep problems, however, 63% of participants had a score of 5 or
306 more at baseline indicating poor sleep quality. Overall significant changes in PSQI
307 scores were not found, but further analyses of ambulatory participants showed a
308 significant improvement ($t(50)=2.27$, $p=.028$) for this sub-group.

309 Finally, the FAS showed 31% of participants were experiencing substantial or
310 extreme fatigue at baseline and there was no overall significant improvements in this
311 following the programme. Some participants did report changes though:
312 “What I am finding more is that I’m sleeping more at night, basically when I go to bed I
313 usually hit the pillow and I’m out anyway, but I think it’s mainly because of the
314 exercise.” (P29)

315 Seven adverse events were noted over the recruitment period, two attributable to
316 the study involving minor injury through falling off a treadmill.

317 **Discussion**

318 This quasi-experimental study was conducted primarily to test the feasibility and
319 acceptability of a physical activity programme that was designed to be sustainable
320 through the use of existing local government facilities and accessible to all stroke
321 survivors by using standard and powered cycling ergometers.

322 The programme was popular, with 115 individuals expressing an interest,
323 representing around 1% of the estimated stroke population living within the NHS
324 Lanarkshire health board area. A promising outcome from the recruitment process was
325 the number of non-ambulatory participants ($n=9$), which contrasts with most other

326 studies of physical activity in stroke populations which typically include individuals
327 with at least minimal ambulatory ability²⁶. In this regard the study is in line with
328 guidelines for wider access to physical activity for stroke survivors²⁷.

329 The recruitment figures support the use of leisure centres for delivering physical
330 activity classes to the whole stroke survivor population, and the leisure centre staff also
331 found the programme to be feasible and acceptable. It should be noted however that the
332 average age of participants (63.37 years) was relatively young, suggesting the
333 programme may be less appealing to older people or that the stroke liaison nurse was
334 less likely to approach them.

335 The high level of adherence (81%) to the programme was a very positive
336 outcome. While exercise adherence is not well reported in stroke studies⁸ it is generally
337 considered to be low, for example Miller et al.²⁸ report a 65% adherence rate.
338 This reinforces the benefits of using local leisure centres which not only have fitness
339 coaches to supervise the activities but a range of exercise equipment to tailor the
340 programme to the changing needs of the individual. The social reward in attending the
341 centre may also have contributed to this high adherence rate.

342 In terms of the assessment measures these were acceptable except for the high
343 numbers who could not complete the treadmill six minute walk test. It should be noted
344 that the completion of questionnaires typically required assistance and some participants
345 raised concerns about the more sensitive elements of the General Health Questionnaire.
346 The statistically significant improvements in STS ability are consistent with the
347 principle of training specificity as the motor pattern of recumbent cycling and STS are
348 similar¹¹. Upright cycling has a motor pattern considered comparable to walking²⁹ and,
349 if used, might have promoted greater recovery of walking function. While these

350 improvements did not impact on walking function, the improved STS capacity is
351 nevertheless a valuable outcome given the importance of this movement in daily life³⁰.

352 Significant improvements to quality of life and general health, specifically
353 psychosocial functioning, energy and depression, were encouraging as these can be
354 difficult to alter following stroke^{31, 32}. The improvement in sleep quality in the
355 ambulatory participants was also positive as other reports have indicated that up to 37%
356 of stroke survivors experience insomnia one year post-stroke³³. However, it should be
357 noted that the study participants did not demonstrate particularly poor levels of quality
358 of life, distress or sleep at baseline.

359 ***Study limitations***

360 The study had a number of limitations; firstly the activity logs and entrance swipe card
361 monitoring used in this study did not yield sufficiently reliable data, more efficacious
362 ways of tracking exercise participation should be considered. Secondly, similar to
363 previous studies, this study attracted younger participants with relatively high
364 psychosocial functioning compromising external validity to the wider stroke
365 community. Thirdly, using treadmills was too demanding for many participants and
366 were related to the two related adverse events.

367 **Conclusions**

368 The most important findings of this study were the willingness of participants to
369 undertake an exercise programme in their local leisure centre despite ongoing disability.
370 The study achieved its primary goal of testing the acceptability and feasibility of this
371 method of exercise delivery in the local community using recumbent cycle ergometers
372 as the focus of the programme due to their close similarity in the motor pattern of the
373 STS movement and their general accessibility.

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377 Data availability statement

378 The data that support the findings of this study are available on request from the
379 corresponding author, [AK]. The data are not publicly available due to restrictions on
380 information that could compromise the privacy of research participants.

381

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385

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480 Table 1: Programme objectives and percentage of participants (n=66) who followed
 481 them
 482

	Objective	Followed plan	% Who followed
Duration	↑19	16	84
Intensity	↑2	1	50
Frequency	↑0		
Duration and intensity	↑11	7	64
Duration and frequency	↑0		
Intensity and frequency	↑1	1	100
Duration, intensity and frequency	↑0		
Maintain	↑19	13	68
Programme not returned	14	Not known	
Total	66	38	58

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484 Table 2: Outcome measures at baseline and following programme (outcome)

Test	Baseline	Outcome	p
	Mean (SD)	Mean (SD)	
STS (s), n=49	25.2 (20.2)	19.0 (10.9)	.002
Preferred walking speed (m/s), n=46	0.7 (0.4)	0.9 (0.5)	.059
6 minute walk test (m), n=20	327.7 (228.9)	325.5 (292.2)	.960
NEADL, n=53	12.2 (6.1)	13.2 (6.4)	.002
SAQOL-39 Total, n=55	3.9 (0.7)	4.1 (0.7)	.007
GHQ-28 Total, n=57	6.2 (4.7)	5.0 (3.9)	.033
PSQI, n=56	6.2 (4.4)	5.3 (3.8)	.071
Fatigue Assessment Scale, n=57	19.9 (8.8)	18.8 (7.3)	.120

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