

1 **Porpoise Click Classifier (PorCC): A high-accuracy classifier to study harbour**
2 **porpoises (*Phocoena phocoena*) in the wild**

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14 **Running headline:** High Accuracy Porpoise Classifier

15

16 **Abstract**

17 Harbour porpoises are well-suited for passive acoustic monitoring (PAM) as they produce
18 highly stereotyped narrow-band high-frequency (NBHF) echolocation clicks. PAM systems
19 must be coupled with a classification algorithm to identify the signals of interest. Here, we
20 present a harbour porpoise click classifier (PorCC) developed in MATLAB, which uses the
21 coefficients of two logistic regression models in a decision-making pathway to assign
22 candidate signals to one of three categories: high-quality clicks (HQ), low-quality clicks
23 (LQ), or high-frequency noise (N). The receiver operating characteristics of PorCC was
24 compared to that of PAMGuard's Porpoise Click Detector/Classifier Module. PorCC
25 outperformed PAMGuard's classifier achieving higher hit rates (correctly classified clicks)
26 and lower false alarm levels (noise classified as HQ or LQ clicks). Additionally, the
27 detectability index (d') for HQ clicks for PAMGuard was 2.2 (overall $d' = 2.0$) versus 4.1 for
28 PorCC (overall $d' = 3.4$). PorCC classification algorithm is a rapid and highly accurate
29 method to classify NBHF clicks, which could be applied for real time monitoring, as well as
30 to study harbour porpoises, and potentially other NBHF species, throughout their distribution
31 range from data collected using towed hydrophones or static recorders. Moreover, PorCC is
32 suitable for studies of acoustic communication of porpoises.

33

34 **Keywords:** classification, echolocation, logistic regression, NBHF species

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36

37 I. INTRODUCTION

38 Studying harbour porpoises *Phocoena phocoena* in their natural environment is a difficult task.
39 They are small and surface for only a few seconds at a time, travelling in groups of three or
40 fewer animals, or as solitary individuals (Hammond et al. 2002). However, they are highly
41 vocal (Linnenschmidt et al. 2013) and are therefore well suited for passive acoustic monitoring
42 (PAM). Harbour porpoises produce highly stereotyped narrow-band high-frequency (NBHF)
43 clicks for echolocation and communication. These clicks have peak and centroid frequencies
44 between 100 and 160 kHz, centred around 130 kHz (Møhl and Andersen 1973), with no
45 spectral energy below 100 kHz (Hansen, Wahlberg, and Madsen 2008). The duration of
46 individual clicks ranges from 50 μ s to 175 μ s and the half-power (-3 dB) bandwidth is around
47 15 kHz (Kyhn et al. 2010). Clicks are emitted in series, often referred to as “trains”. A click
48 train is loosely defined as “any series of clicks separated by gradually or cyclically changing
49 inter-click interval suggesting a unit during an echolocation event or a communication signal”
50 (Koschinski, Diederichs, and Amundin 2008). Other odontocetes that produce NBHF clicks
51 are all the porpoises (Phocoenidae), some dolphins of the Lissodelphininae subfamily,
52 pygmy and dwarf sperm whales (Kogiidae), and the river dolphin *Pontoporia blainvillei*
53 (Galatius et al. 2019).

54 Different PAM devices are used to study harbour porpoises, including animal-borne devices
55 (Akamatsu et al. 2007), towed hydrophone arrays (e.g., Gillespie et al. 2005; Sveegaard et al.
56 2011), and static devices (e.g., Carlström 2005; Carstensen, Henriksen, and Teilmann 2006).
57 Static PAM devices can be roughly divided between those that record continuously (e.g.,
58 SoundTrap - Ocean Instruments, New Zealand) and click detectors or data loggers that only
59 store information about the transient sounds detected, such as date and time, peak frequency,
60 and amplitude (e.g., C-PODs; Chelonia Ltd., Cornwall, UK). C-PODs, and the earlier version
61 T-POD, are used for a wide variety of studies, including seasonal and geographical changes

62 in distribution (Verfuß et al. 2007) and response to anthropogenic noise (Carstensen,
63 Henriksen, & Teilmann, 2006; Pirota, Brookes, Graham, & Thompson, 2014). Moreover,
64 they have been used to study porpoise acoustic behaviour (Koschinski, Diederichs, and
65 Amundin 2008), including diurnal variations in echolocation rates and click train patterns
66 (Carlström 2005). Continuous recordings at high sampling rates, required to record harbour
67 porpoise clicks, generate an enormous amount of data and so the storage capacity limits the
68 length of the data collection period. Moreover, data analysis is time consuming and so often
69 part of the data remains unanalysed. On the other hand, click data loggers do not require high
70 storage capacity and thus are more suitable for long-term studies and, since they are coupled
71 with an automatic real-time classifier, the time invested in post-deployment data analysis is
72 reduced significantly. However, it is not possible to carry out post-hoc verification as the C-
73 POD does not record the sound itself, and the detection and classification algorithms are not
74 publicly available.

75 On the other hand, there are vast amounts of acoustic continuous recordings made using
76 PAM systems that could be used to fill gaps in our understanding of harbour porpoise
77 behaviour and communication in the wild. To that end, however, a classification system that
78 can accurately and reliably identify harbour porpoise clicks is required. A classification
79 system, in simple terms, assigns a given signal x to one of k pre-defined classes according to
80 a series of parameters or functions. For continuous recordings, one of the most used harbour
81 porpoise detector/classifier systems is PAMGuard's Click Detector and Classifier modules.
82 PAMGuard is a modular, open source software designed to detect and classify marine
83 mammal sounds (Gillespie et al. 2009), and it is used worldwide for a wide range of studies
84 (Cucknell et al. 2016; Lawrence et al. 2016; JNCC 2010). The standard settings of the
85 classifier include a pre-filter (4th order digital Butterworth IIR 10 kHz high pass filter) and a
86 trigger filter (4th order digital Chebyshev IIR 100-150 kHz band pass filter, pass band ripple

87 2.0). Clicks are classified as produced by porpoises by comparing the test band (110-150
88 kHz) to control bands (40-90 kHz and 160-190 kHz), with a 6 dB threshold (“general
89 configuration file – porpoise click detection”, available at www.pamguard.com). As an open
90 source the software is regularly improved, and although the user can manage the settings,
91 there is no available information about its performance. The precision (i.e., percentage of
92 individual clicks correctly classified as porpoise clicks) reported for an earlier version of this
93 classifier was between 37% and 74%, depending on the settings and background noise, while
94 the proportion of missed clicks was not reported (Gillespie and Chappell 2002). However, the
95 performance of the current version remains unquantified. Additionally, the classifier requires
96 manual verification after the identified clicks have been highlighted and clicks have to be
97 manually selected in echolocation events in order to be extracted for further analysis
98 (Cucknell et al. 2016; Lawrence et al. 2016). Alternatively, many researchers use custom-
99 built classifiers (such as the KERNO classifier of the C-POD), of which neither the
100 algorithm, nor the performance is available. As acoustic recordings continue to accumulate,
101 assessing the performance of available classifiers for comparison purposes and automating
102 these processes becomes essential.

103 The objective of this study was to develop a harbour porpoise click classifier (PorCC) that
104 improves the performance of existing classifiers, reducing the occurrence of both false alarms
105 and missed clicks, and that provides the user with a simple assessment of the quality of the
106 classified click. PorCC was developed using the output of PAMGuard’s Click Detector
107 Module, and uses the coefficients of two logistic regression models to estimate a probability
108 that a given signal was produced by a harbour porpoise. The predictor variables used to build
109 the two logistic regression models were selected because these are the variables most
110 commonly used to describe the temporal and spectral characteristics of harbour porpoise
111 vocalisations (e.g., Kyhn et al., 2013). Once the probabilities are estimated, each signal is

112 assigned to one of three categories: high-quality clicks (HQ), low-quality clicks (LQ), and
113 high-frequency noise (N). These categories were defined based on the characteristics of the
114 waveform, power spectrum, and spectrogram (Fig. 1). The performance of PorCC was tested
115 against manually labelled samples from 18 hours of data collected in two different seasons
116 and in different background noise conditions. Additionally, the performance was tested
117 against PAMGuard's Classifier in a subset of the dataset, consisting of 8 hours of data from
118 one summer day.

119

120 **II. MATERIAL AND METHODS**

121 **A. Data collection**

122 Acoustic data were collected during systematic surveys conducted in the West coast of
123 Scotland, in the Firth of Clyde (55.5254° N, 4.9333° W) during 25 survey days throughout all
124 seasons, between 2016 (n = 20) and 2017 (n = 5), totalling over 210 hours of recordings.
125 Surveys were carried out under sail or engine from the 'Saorsa', a 40-foot sailing vessel.
126 Transect lines were determined in advance and surveyed at a speed between 5 and 7 knots, in
127 different weather conditions, during both day and night times. Surveys were terminated if the
128 sea state reached ≥ 5 . No concurrent visual observations were made. Recordings were made
129 using a towed omnidirectional hydrophone array connected to the software PAMGuard
130 (Gillespie et al. 2009) version 1.15.10, and digitised through a St Andrews Instrumentation
131 Ltd. data acquisition card with 16-bit resolution, at a sampling frequency of 500 kHz. The
132 array included two Magrec HP03 hydrophone units, each comprising a spherical ceramic and
133 a HP02 preamp, with a preamp high pass filter set at 2kHz. The hydrophones had a sensitivity
134 of -201 dB re 1V/ μ Pa at 150kHz, and a flat response between 2kHz and 150kHz. The array
135 was towed using a Kevlar-strengthened 100m long cable and the units were 25 cm apart.

136 PAMGuard's Click Detector Plug-In detects impulsive sounds (i.e., sounds of short duration
137 with abrupt onset and rapid decay) over a given SNR threshold selected by the user (e.g., 6
138 dB). The detected sound is then saved as an individual audio clip, which also includes a very
139 short recording period before and after the impulsive sound detected. All impulsive sounds
140 detected in a given hour of recording are individually saved in one *.pgdf* file (for PAMGuard
141 Data File) (Gillespie and Oswald 2017). For each audio clip, additional information is
142 attached, such as date and time, time of arrival difference (i.e., delay) with respect to the
143 reference hydrophone, and direction of arrival, estimated using trigonometric methods based
144 on time of arrival differences (Gillespie and Chappell 2002). By extracting individual clips
145 from these files, two datasets were created, one to train PorCC and one to test its performance
146 against manually labelled clips. Additionally, a subset of the testing data was used to compare
147 the performance against PAMGuard's Classifier.

148

149 **B. Training data**

150 Three categories of signals were defined for the development of PorCC: high quality porpoise
151 clicks (HQ), low-quality porpoise clicks (LQ), and high-frequency noise (N) (Fig. 1). HQ are
152 polycyclic signals with peak frequency between 100 and 160 kHz, no spectral energy below
153 100 kHz, and duration around 100 μ s, matching the description of on-axis harbour porpoise
154 clicks (Au, Kastelein, Benoit-Bird, Cranford, & McKenna, 2006; Hansen et al., 2008). LQ
155 consist of signals slightly different to HQ, for example presenting notches in the power
156 spectrum, or no clear beginning or end of the signal (low signal-to-noise ratio). Noise clips
157 (N) are signals with peak and centroid frequencies between 100 and 160 kHz that do not
158 share other characteristics with harbour porpoise clicks (e.g., oligocyclic, do have energy
159 below 100 kHz).

160 Of the over 2,500,000 audio clips detected and saved by PAMGuard's Click Detector during
161 the survey period, a subsample of 125,416 (representing 5% of the total) was extracted using
162 a random number generator to ensure they were independent from each other. In order to find
163 good signals to develop the logistic regression models for the classifier (PorCC), an early
164 version was used to assign to each clip a probability of being a harbour porpoise click. Those
165 with high probability (≥ 0.9) were considered to be potential HQ, those with a probability
166 between 0.5 and 0.9 were considered to be potential LQ, and those with a probability < 0.5
167 were considered to be potential N clips. Subsequently, from these, 5,500 were randomly
168 selected from their respective category to build two logistic regression models, thus 500 were
169 potential HQ, 500 were potential LQ, and 4,500 were potential N. In order to ensure each clip
170 was a good representative of its respective category, all 5,500 clips were then manually
171 verified. Unrepresentative clips were discarded and replaced with clips randomly selected
172 from the original subsample for that particular category.

173

174 *1. Logistic regression models*

175 In simple terms, the logistic regression model estimates coefficients for each predictor
176 variable in the model and the error term, from which a probability is derived. The predictor
177 variables used were the duration of the signal (estimated as the 80% energy of the clip, μs),
178 peak frequency (PF, kHz) and centroid frequency (CF, kHz), -3dB ($\text{BW}_{-3\text{dB}}$, kHz) and root
179 mean square bandwidths (Madsen and Wahlberg 2007), and Q_{RMS} (ratio between CF and
180 BW_{RMS}). Additionally, the ratio between peak and centroid frequencies (Ratio) and the peak
181 value of a cross correlation (XC) performed against a typical harbour porpoise click were
182 used. The click used for the cross correlation was extracted from the original datasetⁱ, and
183 was selected based on the waveform, power spectrum and spectrogram characteristics and
184 peak-to-peak amplitude (162dB re: 1 μPa). Additionally, the waveform was consistent in both

185 hydrophones, and the time of arrival difference between them was 0 (i.e., the orientation of
186 the animal was perpendicular to the array). All predictor variables were explored for
187 normalityⁱⁱ. Multicollinearity, that is, when the predictor variables are correlated with each
188 other, was tested using the Pearson χ^2 coefficient and none of the variable pairs had a
189 correlation coefficient higher than ± 0.36 , except Q_{RMS} and XC that had a correlation of
190 0.49ⁱⁱⁱ.

191 The response variable for Model 1 is binomial with the outcomes HQ / N and was built using
192 500 and 4,500 clips of each, respectively. The response variable for Model 2 is also binomial
193 with the outcomes LQ/ N and was built using 500 and 4,500 clips of each, respectively. The
194 same N clips were used for both models. For each logistic regression model, a total of 63
195 models were tested as a series of reduced models using all possible predictor variable
196 combinations, and the best of each model was identified as the one with the lowest Akaike
197 Information Criteria (AIC) value (Table 1)^{iv}.

198

199 **C. PorCC - Classification algorithm**

200 The algorithm of the harbour porpoise click classifier (PorCC) was written in MATLAB
201 2017a (The Math Works TM, Inc., Natick, MA, USA) and runs on clips previously saved by
202 PAMGuard's Click Detector Plug-In, analysing only those recorded by the first hydrophone
203 on which they impinged. For each clip, the predictor variables identified in the model
204 selection procedure are estimated and two probabilities are calculated using the coefficients
205 obtained from the logistic regression models. Subsequently, a series of if/then statements is
206 applied to assign the clip to one of the three categories previously defined (Fig. 2).

207

208 **D. Testing data**

209 To test the performance of PorCC, a dataset was created with all clips ($n = 265,918$) extracted
210 from 5% of *.pgdf* files (i.e., 11 hours of recordings, from ten survey days), which were
211 selected randomly, and all clips ($n = 284,231$) from the 28th of August 2017 (i.e., eight hours
212 of recordings). Clips with peak and centroid frequencies between 100 kHz and 160 kHz and
213 $Q_{RMS} > 4$ represented potential harbour porpoise clicks, and so these ($n = 70,689$) clips were
214 extracted and manually labelled according to the three categories previously defined (Fig. 1),
215 based on the characteristics of the waveform, power spectrum, and spectrogram. The overlap
216 between the training and the testing data was of 442 clips. Subsequently, PorCC was used to
217 classify the clips automatically by estimating the predictor variables and the probability-
218 threshold values of 0.9999 and 0.55 (Fig. 2).

219 Confusion matrices and receiver operational characteristics (ROC) curves were used to assess
220 the performance of PorCC. The hit rate was calculated for all categories as well as the rate of
221 misclassification (i.e., false alarm and missed clicks) and the precision level. The hit rate is
222 the number of HQ and LQ clips classified as HQ (strict criterion), or as either HQ or LQ
223 (relaxed criterion), divided by the total number of non-N clips. Three analyses were
224 performed: one with only HQ clips, one with only LQ clips, and one with both HQ and LQ
225 clips, all against the N clips. The false-alarm rate is the number of N clips classified as HQ
226 (strict criterion), or HQ or LQ (relaxed criterion) divided by the total number of N clips. In
227 total, this results in six different points of operation in the ROC plot (strict or relaxed
228 criterion combined with HQ, LQ, or both). The missed-clicks rate is defined as 1 minus the
229 hit rate. The precision is defined as the number of clips correctly classified divided by the
230 total number of clips classified into that category.

231

232 **E. PorCC vs PAMGuard**

233 A subset of the testing dataset for PorCC was used to assess the performance of the porpoise
234 click classifier built-in in PAMGuard and compared it to that of PorCC. This dataset subset
235 contained all clips from the 28th of August 2017 (n = 284,231) of which 30,897 clips had
236 already been manually labelled, having peak and centroid frequencies within the 100-160
237 kHz range, and $Q > 4$. PAMGuard’s classifier highlights potential harbour porpoise clicks
238 and echoes that the user can manually verify and group into “acoustic events” to later extract
239 them for further analysis. For the purpose of this study, all highlighted clicks were selected
240 without manual verification, assigned to a unique acoustic event, and exported to an SQL
241 database. Putative echoes were included because it was previously noted that this classifier
242 sometimes misidentifies real harbour porpoise clicks as echoes (and vice versa) as well as for
243 comparison purposes, as PorCC also identifies potential echoes (LQ). PAMGuard creates a
244 table within the SQLite database, where information for each of the extracted potential
245 harbour porpoise click is provided, including date, time, and an identification number within
246 the *.pgdf* file where the waveform is saved. Using a custom-built script, and using the
247 identification number, all clips identified by PAMGuard’s classifier as potential harbour
248 porpoise clicks were extracted from the *.pgdf* files and saved in a MATLAB structure array
249 for further analysis. Subsequently, clips that were highlighted by PAMGuard’s classifier but
250 were discarded by PorCC were manually labelled.

251 Confusion matrices and receiver operational characteristics (ROC) curves were used to assess
252 the performance of PAMGuard and compare it against PorCC. False alarm, hit rates, and
253 precision levels were also estimated, as well as the detectability index (d') (see e.g. Egan,
254 1975; Tougaard, 2002).

255

256 **III. RESULTS**

257 **A. Logistic regression models**

258 According to AIC values, the best Model 1 (for HQ signals) was that with only Q_{RMS} and
259 duration as explanatory variables, while the best Model 2 (for LQ signals) had five
260 explanatory variables, Q_{RMS} , duration, ratio between peak and centroid frequency, cross-
261 correlation coefficient, centroid frequency, and -3dB bandwidth^v.

262

263 **B. PorCC performance**

264 PorCC classification process, including estimating all necessary parameters, takes
265 approximately 1 ms per clip. Harbour porpoises produce between fewer than 10 and few
266 hundred clicks per second depending on their behaviour (Clausen et al. 2010; Sørensen et al.
267 2018; Wright et al. 2017), PorCC shows, therefore, potential for real time application. For
268 HQ, precision was 88.5% (4,475 out of 5,054, 519 of which were LQ and 60 N), false alarm
269 (i.e., N classified as HQ) was 0.0001% (60 out of 537,591 N clips were classified as HQ),
270 and 31.8% of clicks were missed (1,710 were classified as LQ and 382 as N) (Table 2). As
271 precision increases hit rate decreases, that is fewer clicks, of the total available to the
272 classifier, are going to be identified, demonstrating the well-known trade-off between errors:
273 false alarms vs. misses in signal detection and Type I vs. Type II errors in conventional
274 statistics (Fig. 3).

275

276 **C. PorCC vs PAMGUARD**

277 A total of 30,897 clips from the 28th of August met the criteria for potential harbour porpoise
278 clicks, that is, having peak and centroid frequency between 100 and 160 kHz and Q_{RMS}
279 higher than 4. The results of the comparison of the performance of PorCC (using $Th1 \geq$
280 0.9999 and $Th2 \geq 0.55$) and PAMGuard's classifier for HQ are shown in Table 2. Based on
281 the detectability indexes (Fig. 3), PorCC outperforms PAMGuard's classifier in all cases, but
282 especially for HQ clicks. The overall precision for HQ for PorCC was 30.8% for

283 PAMGuard's classifier, assuming that PAMGuard's classifier correctly classified HQ and LQ
284 as such in 100% of the cases, as once clicks are extracted from PAMGuard, there is no
285 information of whether a clip was originally classified as a harbour porpoise click or an echo,
286 which can be considered as equivalent to the HQ and LQ categories^{vi}.

287

288 **IV. DISCUSSION**

289 The perfect classifier cannot exist, as detection always will be limited by noise, either
290 external from the environment, or internal. For electronic systems this internal noise is in
291 amplifiers and hydrophones, and for biological systems, this noise will be in the form of
292 spontaneous activity in the neurons. In real-world applications, noise also comes in the form
293 of substantial variation in the temporal and spectral characteristics of acoustic signals. These
294 are affected by many factors, including background noise and the direction from where the
295 signals impinges on the hydrophone, as well as by how the data were collected (e.g.,
296 hydrophone own noise, frequency characteristics of the hydrophones) (Richardson et al.
297 1995). Moreover, in this study, the performance of the classifier is intrinsically linked to the
298 performance of the Click Detector Plug-In in PAMGuard, which in turn depends on the
299 settings selected by the user (e.g., number of samples before and after the signal, SNR
300 thresholds). Despite this, the results of this study show that a classification system based on
301 logistic regression models to identify NBHF vocalisations produced by harbour porpoises
302 outperforms existing classifiers. PorCC can achieve hit rates of over 90% while keeping the
303 false alarm rate below 1% and maintaining high precision levels. The performance of PorCC
304 is expected to be similar, or higher, in data collected using static devices, or in areas with low
305 background noise. Moreover, it has potential for real time application, as it can analyse the
306 equivalent of one hour of data in under 1 minute.

307 For both logistic regression models, one model was better than the others. It is worth noting
308 that in both cases, the model with the cross-correlation coefficient (XC) as the only
309 explanatory variable appears in the second position after Q, when looking at models with
310 only one explanatory variable^{vii}. To classify HQ clicks, cross-correlation analysis, which can
311 be a time costly process, is not necessary and introduces a lot of variation as porpoise clicks
312 are not blueprints of each other. In fact, the cross-correlation coefficient value ranged from
313 0.0038 to 4.5655, and thus using a threshold in a decision-making process would inevitably
314 include HQ as well as N. The first model containing XC for click detection is fifth on the list.
315 For LQ, on the other hand, XC explains more of the variance in the model, being necessary in
316 the best model, and therefore helps in the classification process. For real-world porpoises,
317 there is likely to be both intra-and inter-animal variation in signals, as well as substantial
318 effects on the frequency spectrum caused by the directionality of the beam and the frequency
319 dependent absorption in the water. This variation is illustrated by the differences in the
320 recorded signals shown in figure 1, most evident by the lack of overlap in frequency spectra
321 of the HQ and the LQ signals. For signals where the parameters are very variable, but where
322 means may be more stable, other types of detectors can be predicted to outperform a cross-
323 correlation receiver. One such receiver is a simple energy detector, which integrates energy
324 within a specified frequency band and a specified duration (Green and Swets, 1966), and this
325 is essentially what the HQ-classifier of PorCC is.

326 Available data suggest that the variation pattern of inter-click intervals within a click train is
327 indicative of specific behaviours (Clausen et al. 2010; Koschinski, Diederichs, and Amundin
328 2008; Wisniewska et al. 2018; Sørensen et al. 2018). This is especially true for foraging and
329 feeding behaviour, characterised by inter-click intervals below 10 ms after a phase with much
330 larger inter-click intervals (e.g., Koschinski et al., 2008). PorCC's classification algorithm
331 can be implemented in the output of any transient-sound detector for continuous recordings

332 and, given the low misidentification levels, it is suitable to study the behaviour of wild
333 harbour porpoises, as the variations in inter-click intervals can only be detected if the
334 majority of clicks within a click train are identified. Moreover, these studies can be carried
335 out in data that has already been collected using continuous recordings at an adequate
336 sampling rate, both using towed hydrophone arrays or static devices, such as SoundTrap. The
337 PorCC classification algorithm, including the functions to estimate the different variables and
338 the resulting coefficients, is publicly available and can be coded in other programming
339 languages, such as Python. It could also be incorporated into PAMGuard.

340 PorCC, like other classifiers, is not exempt of errors, and trying to increase the hit rate would
341 in turn lead to an increase in the false alarm rate, as seen in the change in performance going
342 from a strict to a relaxed criterion. However, the ultimate goal in classification is not to avoid
343 errors, but to manage them. Thus, PorCC provides the user with a general assessment of its
344 performance through the ROC curves, as these show the changes in hit rate with false alarm
345 variations (Tougaard 2002), which results from using different threshold values to classify
346 harbour porpoise clicks. Therefore, users can, *a priori*, manage the level of error according to
347 their needs. Furthermore, depending on the objectives, the user can extract either or both HQ
348 and LQ clicks as well as decide when LQ clicks should be ignored (e.g., single LQ clicks) or
349 taken into account (e.g., studies of click train patterns).

350 The performance of PorCC for HQ clicks is very high, yet much lower for LQ clicks. This
351 could be the result of some high-frequency noise clips having similar characteristics to LQ
352 clicks, which means the coefficients derived from the second logistic regression model are
353 inefficient to distinguish between LQ clicks and high frequency noise. However, this low
354 performance can also be the result of a level of subjectivity when assigning signals to these
355 categories. This happens to be a fundamental limitation for almost all studies of this kind,
356 where performance of detectors is evaluated on real world data. One must have some means

357 of determining the “true state of the world”, i.e. separating signals into those truly originating
358 from porpoises and those that are just random noise. In this study, as in most others, we relied
359 on the superior ability of the human brain to perform pattern recognition in noise and thus
360 measure the performance of the detectors essentially against the performance of a skilled
361 human observer. There is no objective way of determining whether a signal in the array
362 recordings really originated from a porpoise or not. Only under extremely well controlled
363 circumstances, such as when one has a single animal isolated in a pool and a recorder
364 attached to the animal to monitor each and every vocalisation from the animal is it possible to
365 evaluate the absolute detection performance of the detection system and even in such cases,
366 one would suffer difficulties in transferring the experimental settings (limited depth and
367 distance to receiver, training or habituation of the animal etc.) to the situation in real world
368 monitoring.

369

370 **V. CONCLUSIONS AND FUTURE WORK**

371 The performance of PorCC greatly exceeds that of the currently available classifier in
372 PAMGuard and has potential for real time application as well as to study the acoustic
373 behaviour of harbour porpoises and other NBHF species in the wild, in data collected using
374 both towed hydrophone arrays or static recorders¹. Future work includes testing PorCC in
375 data obtained using a different recording device (e.g., SoundTrap – Ocean Instruments, New
376 Zealand) and under different survey conditions, and in recordings of harbour porpoises from
377 another population. Additionally, the performance of PorCC will be tested against the
378 performance of C-PODs in data collected simultaneously by a C-POD and a SoundTrap
379 (Sarnocinska et al. 2016).

¹ Preliminary results suggest that PorCC algorithm can be successfully applied to harbour porpoise data recorded with different devices and in different areas, as well as other NBHF species, such as Heaviside’s dolphins (*Cephalorhynchus heavisidii*).

380

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390

391 **AUTHOR CONTRIBUTION**

392 M.C. conceived the ideas, and analysed the data; M.C., J.T., J.F.C.W., and J.C.J. designed the

393 methodology and interpreted the results; D.N. collected the data; M.C. led the writing of the

394 manuscript. All authors contributed critically to the drafts and gave final approval for

395 publication.

396

397 **COMPETING INTERESTS**

398 The authors have no competing interests declared.

399

400 **DATA AVAILABILITY**

401 Raw data were generated by the Clyde Porpoise CIC. Derived data supporting the findings of

402 this study and the classification algorithm are available at the Pure Data Repository of the

403 University of Strathclyde.

404

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534 **Table 1.** Series of logistic regression models for Model 1 and Model 2. Only the best five are
535 shown here. See text for description of the variables used^{viii}. The outcomes of the response
536 variable for Model 1 are high-quality harbour porpoise clicks or high frequency noise, and for
537 Model 2 are low-quality harbour (LQ) porpoise click or high-frequency noise (N). AIC =
538 Akaike's Information Criterion.

ID	Predictor Variables – Model 1	ΔAIC
1	Q _{RMS} + Duration	0
2	Q _{RMS} + Duration + Ratio	1.64
3	Q _{RMS} + Duration + BW	1.67
4	Q _{RMS} + Duration + CF	1.78
5	Q _{RMS} + Duration + XC	1.96
ID	Predictor Variables – Model 2	ΔAIC
1	Q _{RMS} + Duration + Ratio + XC + CF + BW	0
2	Q _{RMS} + Duration + Ratio + XC + BW	1.19
3	Q _{RMS} + Duration + Ratio + CF + BW	19.19
4	Q _{RMS} + Duration + XC + CF + BW	20.07
5	Q _{RMS} + Duration + Ratio + BW	20.87

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541 **Table 2.** Confusion Matrices. Comparison of correct and misidentification levels between
 542 PorCC and Porpoise Click Detector/Classifier Module in PAMGuard, and overall
 543 performance of PorCC. HQ = high-quality harbour porpoise clicks. LQ = low-quality harbour
 544 porpoise clicks. Noise = high and low--frequency noise (i.e., anything that is not a porpoise
 545 click).

Labelled		PorCC		PAMGuard		PorCC		
	Total	HQ	Noise	HQ	N	Total	HQ	Noise
HQ	1833	564*	1269 [‡]	1209	113 [‡]	6567	4475	382
Noise	965	477+1601 ^{#*}	279,355	25 [#]	280,034	537,591	60	533,228

546 *Of the total of 3,017 clips highlighted by PAMGuard as potential harbour porpoise clicks,
 547 1,601 had $Q_{RMS} < 4$ and peak and centroid frequencies outside of the 100 and 160 kHz range,
 548 therefore they were not captured by PorCC, as they were discarded at the first step.

549 [#] False alarm (N clips classified as HQ clicks divided by the total number of N clips)

550 [‡] Missed clicks (HQ clicks classified as N divided by the total number of HQ click).

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552

553 **Figure Captions**

554 Figure 1. Examples of the categories defined to develop the harbour porpoise click classifier
555 (PorCC). a) High-quality harbour porpoise click (HQ). b) low-quality harbour porpoise click
556 (LQ). c) high-frequency noise (N). Wigner plot (centre plot), waveform (lower plot), and
557 power spectrum (right plot).

558
559 Figure 2. Flowchart illustrating the decision-making pathway of the harbour porpoise click
560 classifier (PorCC). CF = centroid frequency. PF = peak frequency. Th = probability
561 thresholds. Prob = Probability.

562
563 Figure 3: Receiver operating characteristics (ROC) curves. Dots represent false alarm rates
564 and hit rates associated with detection of HQ-clicks (solid black line), LQ-clicks (black
565 dashed line) and both types combined (grey line), all against a background of N-clicks. Top
566 figures show performance of PAMGuard. Curves are best fitting ROC-curves, generated
567 under the assumption of Gaussian underlying distributions with equal variance. Bottom
568 figures show performance by PorCC under two different criteria: strict (only clicks classified
569 by PorCC as HQ) and relaxed (all clicks classified as either LQ or HQ). Figures to the left
570 and right contain same data, but right figures are plotted on double probit (probability) axes.

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ⁱ See supplementary material at [URL will be inserted by AIP] for a figure (Fig. S1.1) of the click used as a model against which a cross-correlation is performed in the PorCC classification algorithm.

ⁱⁱ See supplementary material at [URL will be inserted by AIP] for the histogram and distributions of all variables used to develop the logistic regression models (Fig. S2.1).

ⁱⁱⁱ See supplementary material at [URL will be inserted by AIP] for a correlation plot (Fig. S2.2) of all variable pairs.

^{iv} See supplementary material at [URL will be inserted by AIP] for a complete list of all logistic regression models performed to develop the PorCC classification algorithm (Tables S1 and S2).

^v See supplementary material at [URL will be inserted by AIP] for a complete list of all logistic regression models performed to develop the PorCC classification algorithm (Table S1 and S2)

^{vi} See supplementary material at [URL will be inserted by AIP] for examples of signals misclassified by both PorCC (Fig. S3.1 to S3.6) and by PAMGuard's Click Classifier Module (Fig. 3.7).

^{vii} See supplementary material at [URL will be inserted by AIP] for a complete list of all logistic regression models performed to develop the PorCC classification algorithm (Tables S1 and S2)

^{viii} See supplementary material at [URL will be inserted by AIP] for a complete list of all logistic regression models performed to develop the PorCC classification algorithm for high-quality signals (Table S1).