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1 **Mapping the neuroanatomy of functional decline in Alzheimer's disease from basic to**
2 **advanced activities of daily living**

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22

23 24 44 **Abstract**

25 26 45 27 46 **Background**

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29 47 Impairments in activities of daily living (ADL) are a criterion for Alzheimer’s disease (AD)
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31 48 dementia. However, ADL gradually decline in AD, impacting on advanced (a-ADL,
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33 49 complex interpersonal or social functioning), instrumental (IADL, maintaining life in
34
35 50 community), and finally basic functions (BADL, activities related to physiological and self-
36
37 51 maintenance needs). Information and communication technologies (ICT) have become an
38
39 52 increasingly important aspect of daily functioning. Yet, the links of ADL, ICT, and
40
41 53 neuropathology of AD dementia are poorly understood. Such knowledge is critical as it can
42
43 54 provide biomarker evidence of functional decline in AD.

44 45 55 **Methods**

46
47 56 ADL were evaluated with the Technology–Activities of Daily Living Questionnaire (T-
48
49 57 ADLQ) in 33 patients with AD and 30 controls. ADL were divided in BADL, IADL, and a-
50
51 58 ADL. The three domain subscores were covaried against gray matter atrophy via Voxel-
52
53 59 Based Morphometry.

54 55 60 **Results**

56
57 61 Our results showed that three domain subscores of ADL correlate with several brain
58
59 62 structures, with a varying degree of overlap between them. BADL score correlated mostly
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61 63 with frontal atrophy, IADL with more widespread frontal, temporal and occipital atrophy
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63 64 and a-ADL with occipital and temporal atrophy. Finally, ICT subscale was associated with
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4 65 atrophy in the precuneus.

5 66 **Conclusions**

7 67 The association between ADL domains and neurodegeneration in AD follows a traceable
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9 68 neuropathological pathway which involves different neural networks. This the first
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11 69 evidence of ADL phenotypes in AD characterised by specific patterns of functional decline
12
13 70 and well-defined neuropathological changes. The identification of such phenotypes can
14
15 71 yield functional biomarkers for dementias such as AD.

16 72
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18 73 **Keywords:** Alzheimer's disease; Functional impairment; Activities of Daily Living;
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20 74 Technology–Activities of Daily Living Questionnaire.

21 75 **1. Background**

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23 76 Alzheimer's disease (AD) is one of the most common form of age-related dementia,
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25 77 affecting more than 25 million people worldwide, with the number of new cases raising
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27 78 continuously, both in developed and developing countries [1, 2]. The diagnosis of
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29 79 dementia due to AD is based on the presence of a gradual onset of cognitive
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31 80 impairment, mainly an episodic memory impairment with evidence of cognitive
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33 81 dysfunction in at least one other cognitive domain, whose severity has led to a
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35 82 significant functional decline in Activities of Daily Living (ADL), interfere with the
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37 83 ability to function at work or at usual activities [3].

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39 84 The confirmation of the presence and severity of impairment in ADL is critical for the
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41 85 diagnosis of dementia[3] . Commonly, ADL have been divided in Basic ADL (BADL) and
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43 86 Instrumental ADL (IADL). BADL are defined as activities related to basic physiological
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45 87 and self-maintenance needs, including tasks such as eating, toileting or getting dressed.

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47 88 IADL include activities, essential to maintain independent living and maintaining
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49 89 life in community, such as managing finances, shopping, handle medications or using the
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51 90 public transport [4, 5]. Recently, Advanced ADL (a-ADL) has emerged as an additional
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53 91 important category in ADL[5, 6]. A-ADL are defined as more complex activities, not being
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55 92 essential to maintain an independent live, are considered voluntary [7] and include
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57 93 activities necessary for complex interpersonal or social functioning such as using household
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59 94 technology, going on holidays, practice hobbies, etc.[4, 6, 8]. A-ADL require higher levels
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61 95 of cognitive, physical, and social functions, are very sensitive to subtle cognitive

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4 96 impairment and could contribute to early diagnosis of dementia[4]. Nonetheless, the
5 97 definition of a-ADL and its division from IADL is complex and need to consider cultural
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7 98 variability, since ADL performance is influenced by cultural events [9-12]. Moreover, as
8
9 99 culture evolves, any scale that is sensitive to early ADL deficits must also evolve to
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11 100 measure newly relevant activities.

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13 101 In the last decades, information and communication technologies (ICT) have
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15 102 become an increasingly important aspect of daily functioning and the use of electronic
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17 103 devices are essential in different everyday life tasks, such as communication, work or
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19 104 recreational activities. The use of everyday technology may be of particular concern in
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21 105 people with dementia because most patients typically continue to live at home, in the same
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23 106 social context as before the disability and, as a result, they are expected to manage the
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25 107 everyday technology that is common in that context [13]. ICT could include either IADL
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27 108 and a-ADL depending on the complexity of the technology and sociocultural factors
28
29 109 shaping technology use [6, 14].

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31 110 Despite recent advances in the development of ADL scales, the relationship
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33 111 between those outcomes and structural brain changes in AD is poorly understood,
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35 112 especially considering the neural correlates of IADL or BADL. BADL dysfunction in AD
36
37 113 was associated with atrophy in the temporal, cingulate, hippocampus, caudate, frontal, and
38
39 114 parietal regions whereas IADL dysfunction was linked to atrophy in the frontal, temporal,
40
41 115 parietal, insula, and caudate regions[15]. Hippocampal and cortical gray matter volume loss
42
43 116 was associated with rapid IADL decline in AD [16]. Parietal and temporal lobe atrophy at
44
45 117 baseline predict further IADL impairment over time [17]. Finally, PET studies have
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47 118 reported an association between greater rate of IADL impairment over time and middle
48
49 119 frontal, orbitofrontal and posterior cingulate hypometabolism in AD [18].

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51 120 However, to our knowledge, there is no study investigating the neural correlates of
52
53 121 a-ADL. Nor is their evidence that such correlates differ from those reported for BADL and
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55 122 IADL. Moreover, no study has incorporated ICT as an important aspect of functional
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57 123 assessment. The aim of this study was to investigate the neural correlates of the global
58
59 124 score and subscores of the T-ADQL in patients with AD in comparison to healthy controls
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61 125 (HC). Specifically, we examined which brain areas were associated with a-ADL
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63 126 impairment in AD in comparison with BADL and IADL scores. In a first step, total T-
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65 127 ADLQ scores; BADL, IADL and a-ADL subscores were regressed against gray matter

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128 atrophy via voxel-based morphometry (VBM). In a second step, we performed an inclusive
129 masking analysis to verify which areas of brain atrophy would overlap between BADL and
130 a-ADL, and between IADL and a-ADL. Finally, we performed an exclusive masking
131 analysis to verify areas of brain atrophy displaying no overlap between BADL, a-ADL, and
132 IADL. We hypothesized that these three ADL domains would exhibit shared and
133 segregated neuroanatomical substrates and that a-ADL would be associated to regions
134 involved in more complex cognitive tasks.

135 **2. Methods**

136 **2.1 Participants**

137 A cohort comprising 63 participants was recruited for the study. This cohort was
138 divided into two groups matched according to sex, age, and years of education: 33 subjects
139 with a clinical diagnosis of AD and 30 healthy controls (HC). Patients were recruited from
140 the Memory and the Neuropsychiatric Clinic at Hospital del Salvador, and the Neurology
141 and Neurosurgery Department at Hospital Clínico Universidad de Chile (HCUCH), both
142 located in Santiago, Chile. HC were recruited from a variety of sources, including spouses
143 or relatives of patients with dementia. The inclusion criteria considered Spanish-speaking
144 participants older than 60 years of age. All participants required a reliable proxy who had
145 known them for at least 5 years. Specifically, a proxy was someone who was able to
146 provide information about ADL performance, behavioral changes, as well as patients’
147 general medical history. The exclusion criteria included illiteracy, underlying neurological
148 or psychiatric illness that could affect cognition (except AD), physical disability, and
149 sensory disturbance that could interfere with the neuropsychological assessment. All AD
150 patients met the NINCDS-ADRDA criteria for probable AD [3]. Diagnosis was made by
151 consensus between senior neurologists (AS and CD) based on extensive clinical protocol,
152 interviews with a reliable proxy, laboratory tests and global cognitive functioning. Briefly,
153 AD patients displayed a history of significant episodic memory loss, within the context of
154 preserved behavioral and personality, score above 0.5 on the Clinical Dementia Rating
155 scale (CDR) [3]. HC did not report memory complaints, had a score of 0 on the CDR[3] ,
156 and their cognitive performance was considered normal according to local normative data
157 for the Addenbrooke’s Cognitive Examination – Revised Chilean Version (ACE-R-Ch)
158 (>76) [19]. Scores of the T-ADQL were not considered to establish the diagnosis. Ethical

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159 approval for this study was obtained from the Ethical and Scientific Committee of the East
160 Metropolitan Health Service and the HCUCH. All the participants, and their caregivers,
161 provided informed consent in accordance with the Declaration of Helsinki.

162 **2.2 Clinical and Neuropsychological examination**

163 All proxies and participants were interviewed separately in order to obtain the CDR scores.
164 The T-ADLQ was completed by proxies as we have previously described [20]. Experienced
165 clinical psychologists trained in the administration of our neuropsychological protocol and
166 blinded to the diagnosis of each subject carried out the neuropsychological assessment. In
167 addition to the MMSE [21], and the ACE-R-Ch [19] to assess global cognitive functioning,
168 the neuropsychological protocol included The Boston Naming Test as an index of naming
169 abilities. The Rey-Osterrieth Complex Figure Test was used to measured visuospatial
170 constructional abilities [22]. Forward and backward digit-span tasks provided an index of
171 working memory while the Word free and cued selective reminding test (FCSRT) was used
172 to assess episodic memory. The Frontal Assessment Battery (FAB), is a screening test for
173 executive dysfunction that assesses conceptualization, mental flexibility, motor
174 programming, resistance to interference, inhibitory control and environmental autonomy,
175 was also applied[23]. Other tests of executive functions (EF) included the Modified
176 Version of the Wisconsin Card Sorting Test (MCST) [24] which informs on cognitive
177 flexibility. Verbal fluency tests including both Phonemic Verbal Fluency test (i.e., words
178 beginning with letters F, A, and S in one minutes) and Semantic Fluency test (i.e., animals
179 in 1 minute) as well as the Trail Making Test A and B [25, 26].

180 **2.3 T-ADLQ**

181 The T-ADLQ [20] consists of 7 subscales: Self-Care, Household Care, Employment
182 and Recreation, Shopping and Money, Travel and ICT . Each item is rated on a 4-point
183 scale. For each activities, a rating is provided for instances in which the patient may have
184 never performed that activity in the past, stopped the activity prior to the onset of dementia,
185 or for which the proxy did not have information [27].The overall functional impairment
186 (FI) was calculated for each domain as well as for the global questionnaire as follows: (sum
187 of all ratings not rated ND/DK)/ (3 x total number of items not rated ND/DK). The
188 denominator represents the score that would have been obtained if the most severe level of
189 impairment had been indicated for all items rated not ND/DK [27]. This equation ensures
190 that the functional impairment score was based on the actual functioning of the patients

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4 191 relative to their own premorbid functioning. Higher percentage scores indicate greater
5 192 deterioration.

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8 193 An expert panel (2 neurologists, 3 psychologists, 1 occupational therapist) gathered
9 194 the activities of the T-ADLQ in three domains (BADL –IADL – a-ADL). To ensure
10 195 consistency of the division, each expert classified each activity independently and then a
11 196 consensus was reached to harmonize the different classifications. The outcomes from the
12 197 consensus classification are presented in Table 1.

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19 199 ***2.4 Statistical analyses for demographic and neuropsychological data***

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24 200 The Statistical Package for the Social Sciences (SPSS) version 20 for Windows
25 201 (IBM Corp., Armonk, NY, USA) was used to analyze the demographic and
26 202 neuropsychological data. We obtained descriptive statistics for such data, used chi-squared
27 203 for the categorical variables, and perform two-tailed independent-sample t-tests for the
28 204 comparisons between AD and HC. Differences with a $p < .05$ were considered significant.
29 205 Additionally, the effect sizes (Cohen's- d statistic) were calculated to determine the
30 206 magnitude of the group differences. According to Cohen, effect sizes between 0.2 and 0.49
31 207 are considered small; those between 0.5 and 0.79, moderate; and those 0.8, large [28].

32 208 ***2.5 MRI acquisition***

33 209 MRI acquisition was performed in two 1.5 Tesla MRI scanners, a Philips Intera
34 210 Nova Dual gradient system (45mT/m), and a Siemens Symphony Maestro Class (Erlangen,
35 211 Germany) with 20 mT/m gradient system. High resolution anatomical scans were obtained
36 212 using a T1-weighted three dimensional gradient recalled echo acquisition: 3D T1 fast field
37 213 echo sequence on Philips scanner, and 3D T1 fast low angle shot on Siemens scanner, both
38 214 with the same acquisition parameters (TE=4.6ms, TR=25ms; flip angle=30°, field of view
39 215 on frequency=250 mm, 256x256 matrix, isotropic voxel size 1x1x1 mm).

40 216 ***2.6 VBM analysis***

41 217 MRI data were analyzed with FSL-VBM, a (Voxel-based Morphometry) VBM
42 218 analysis [29, 30] that is part of the FSL software package
43 219 (<http://www.fmrib.ox.ac.uk/fsl/fslvbm/index.html>) [31]. First, tissue segmentation was

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220 carried out using FMRIB's Automatic Segmentation Tool (FAST) from brain-extracted
221 images [32]. The resulting grey matter partial volume maps were then aligned to the
222 Montreal Neurological Institute standard space (MNI152) using the nonlinear registration
223 approach using FNIRT [33] which uses a b-spline representation of the registration warp
224 field [34]. A study-specific template was created, combining AD and Control images, to
225 which the native gray matter images were re-registered nonlinearly. The registered partial
226 volume maps were then modulated (to correct for local expansion or contraction), by
227 dividing them by the Jacobian of the warp field. The modulated images were then
228 smoothed with an isotropic Gaussian kernel with a sigma of 3mm (FWHM: 8 mm).

229 The statistical analysis was performed via a voxel wise general linear model (GLM)
230 to investigate gray matter intensity differences. Permutation-based nonparametric testing
231 (with 5000 permutations per contrast) [35] was used to form clusters with the threshold-free
232 cluster enhancement (TFCE) method [31]. The significance threshold was $p < 0.05$ and
233 tests were corrected for multiple comparisons via Family-wise Error (FWE) correction
234 across space, unless otherwise stated. For uncorrected results, a threshold of 100 contiguous
235 voxels was used, at $p < 0.001$ to reduce the likelihood of significant clusters. Regions of
236 significant atrophy were superimposed on the MNI standard brain, with maximum
237 coordinates provided in MNI space. Areas of significant gray matter loss were localized
238 with reference to the Harvard-Oxford probabilistic cortical and subcortical atlas.

239 In a first step, differences in gray matter intensities between AD patients and HC
240 were assessed. To control for a possible scanner site effect, we introduced scanner site as a
241 nuisance covariate for the group contrasts. Next, correlations between gray matter atrophy
242 and T-ADLQ total score and the scores of the three domains of the T-ADLQ, i.e. BADL,
243 IADL and a-ADL subscores, were entered as covariates in the design matrix of the VBM
244 analysis for AD patients combined with HC. This procedure improves the statistical power
245 to detect brain-behavior relationships[36]. In a third step, we study overlap of brain atrophy
246 between the BADL, IADL and a-ADL subscores performing an inclusive masking analysis.
247 For statistical power, a covariate-only statistical model with a t-contrast was used,
248 providing an index of association between brain atrophy and scores on the functional
249 scales. The statistical maps generated from the contrast using BADL, IADL and a-ADL
250 subscores as covariate, were scaled using a threshold of $p < 0.001$, following which, the
251 scaled contrasts were multiplied to create an inclusive, or overlap, mask across groups. In a

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252 fourth step, we performed a contrast analysis between the three subscores BADL, IADL
253 and a-ADL subscores of the T-ADLQ to study the existence of significant anatomical
254 differences between the different domains. For the exclusive masks, the same procedure
255 described above was adopted. However, the scaled images were subsequently subtracted
256 from each other, to create an exclusive mask for each condition.

257 **3. Result**

258 ***3.1. Demographic and neuropsychological data***

259 Demographic and neuropsychological scores are shown in Table 2. AD and HC
260 groups did not differ in terms of sex, age, or education (all $p > 0.05$). In brief, AD patients
261 exhibited scores significantly higher on assessments of severity of the disease (CDR) and
262 lower on measures of global cognitive efficiency (ACE-R-Ch and MMSE) and episodic
263 memory (FCSRT) relative to HC. Compared to the HC group, the AD group was impaired
264 on the global scores of T-ADLQ ($F(1,67) = 70.981, p < 0.001$); the three ADL domains and
265 the ICT subscores (see Table 2) The details of the neuropsychological battery in HC and
266 AD subjects are shown in Supplementary Table 1.

267 ----- INSERT TABLE 2 BY HERE-----

268 ***3.2. VBM: Groups comparison analysis***

269 Results are shown in Table 3 and Figure 1. The AD group was contrasted with HC
270 group to reveal patterns of brain atrophy. The AD group showed significant grey matter
271 atrophy in bilateral hippocampal brain regions, bilateral precentral gyrus, and a right
272 lateralized atrophy in the precuneus cortex, inferior frontal gyrus (par opercularis), inferior
273 temporal gyrus and temporal fusiform cortex (posterior division) ($P_{fwe\text{corr}} < 0.05$). Similar
274 results were obtained in the analysis covarying for scanner site (see Supplementary Table 2
275 and Supplementary Figure 1).

276 ----- INSERT TABLE 3 BY THERE -----

277 ----- INSERT FIGURE 1 BY THERE -----

278 ***3.3 Correlations with T-ADLQ subscores***

279 VBM correlations with T-ADLQ total score are presented in supplementary files
280 (see Supplementary Table 3 and Supplementary Figure 2). In brief, T-ADLQ total score

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281 covaried with bilateral atrophy in the parahippocampal gyrus (anterior division) and the
282 inferior temporal gyrus (posterior division and temporo-occipital region), and a right
283 lateralized atrophy in the lateral occipital cortex (inferior and superior division) ($p_{\text{unorr}} <$
284 0.001).

285 BADL, IADL and a-ADL subscores of the T-ADLQ were entered as covariate in
286 the design matrix of the VBM analysis. Results are shown in Table 4 and Figure 2. For the
287 AD group, the score on the BADL domain covaried with atrophy in the left supplementary
288 motor cortex and right frontal regions (orbital cortex and superior/middle frontal gyrus)
289 ($p_{\text{unorr}} <$ 0.001). The IADL subscore covaried with atrophy in several areas widely
290 distributed, highlighting the left paracingulate gyrus, bilateral temporal fusiform cortex, left
291 parahippocampal gyrus (anterior division), and right intracalcarine cortex ($p_{\text{unorr}} <$ 0.001).
292 Finally, the a-ADL score covaried mainly with atrophy in the left lingual gyrus,
293 intracalcarine cortex, and bilateral parahippocampal gyrus (anterior division) ($p_{\text{unorr}} <$ 0.001).
294 We present the VBM results showing regions of significant gray matter intensity decrease
295 that covary with the ICT subscale in supplementary material (see Supplementary Table 4
296 and Supplementary Figure3).

297 ----- INSERT TABLE 4 BY THERE -----

298 ----- INSERT FIGURE 2 BY THERE -----

299 **3.4 Overlap analysis**

300 We performed an inclusive masking analysis to verify which areas of brain atrophy
301 overlap when accounting for functional impairment in AD as measured by IADL domain
302 vs. a-ADL domain and BADL domain and a-ADL domain. Results show that the BADL
303 domain overlap with neither the IADL nor the a-ADL domain subscores of the T-ADLQ.
304 We found an overlap between the IADL and a-ADL domain subscores of the T-ADLQ (see
305 Table 5 and Figure 3).

306 ----- INSERT TABLE 5 BY THERE -----

307 ----- INSERT FIGURE 3 BY THERE -----

308 **3.5 Contrast Analysis**

309 In a final step, we performed a contrast analysis between the BADL, IADL and a-

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310 ADL domain subscores of the T-ADLQ to study the existence of significant anatomical
311 differences between the different domains. Results shows that a-ADL were exclusively
312 associated with one cluster in the left lingual gyrus and the anterior division of the
313 parahippocampal gyrus. The score of the IADL covariated exclusively with atrophy in
314 several areas, mainly the left paracingulate and cingulate gyrus (anterior division), the right
315 and left temporal fusiform cortex (anterior division) and the right middle frontal gyrus
316 (puncorr< 0.001) (see Table 6 and Figure 3).

317 ----- INSERT TABLE 6 BY THERE -----

318 **4. Discussion**

319 To the best of our knowledge, this is the first study assessing the neural correlates of
320 a-ADL and ICT in AD. Our results showed that T-ADLQ subscores correlate with several
321 brain structures, with a varying degree of overlap when the three domains of activities of
322 the questionnaire were considered. The BADL score correlated mostly with frontal atrophy,
323 IADL with more widespread frontal, temporal and occipital atrophy and a-ADL with
324 occipital and temporal atrophy. The inclusive masking analysis did not show areas that
325 overlap between BADL, IADL and a-ADL. However, the bilateral parahippocampal region
326 (PHR) and the precuneus cortex are implicated in both, the IADL and a-ADL. In the
327 exclusive masking **analysis**, we found an association between IADL and the paracingulate
328 gyrus and the temporal fusiform cortex, while a-ADL correlated more with lingual gyrus
329 atrophy.

330 T-ADQL total score correlated with a large cluster centered in the temporal
331 fusiform cortex (anterior division) and parahippocampal gyrus (anterior division).
332 Concerning the association with the temporal cortex, our results are in line with studies in
333 both AD and other neurological disorders. Medial temporal lobe atrophy has been
334 associated with functional impairment in both, subjects with stroke [37] and mild cognitive
335 impairment (MCI) [38]. The association with the PHR is not surprising due to the
336 involvement of the PHR in multiple cognitive process relevant to everyday functioning as
337 visuospatial processing, episodic memory, and contextual associative processing [39].
338 Moreover, PHR atrophy has been reported as an early biomarker of AD [40], and
339 hypometabolism in this region has been associated with greater decline in IADL
340 performance [18]. Concerning BADL, AD patients assessed in our study were in mild to
341 moderate stages of the disease and presented mild impairment in some BADL activities

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342 (average of 5% of impairment). BADL correlated with two small clusters in left
343 supplementary motor cortex and right frontal regions (orbital cortex and superior/middle
344 frontal gyrus). Although we acknowledge that these results should be interpreted with
345 caution due to the characteristics of our samples, they are in agreement with several studies
346 which have reported that motor abilities are crucial for BADL[41] [42, 43]and tend to
347 decline as the disease progresses[44].

348 IADL correlated with the left paracingulate gyrus, bilateral temporal fusiform
349 cortex, left parahippocampal gyrus (anterior division), and right intracalcarine cortex,
350 among others. Our results are in agreement with previous studies on the neural correlates of
351 IADL [15]. IADL impairment has been associated with inferior temporal and lateral
352 parietal (supramarginal) atrophy [17], decreased gray matter volume in the medial frontal
353 and temporo-parietal cortices in early stages of AD [45], and white matter lesion [46]. The
354 multiple brain areas related with IADL have been attributed to the complex nature of these
355 activities and their increased demand [15].

356 a-ADL correlated with the left lingual gyrus, intracalcarine cortex, and bilateral
357 parahippocampal gyrus (anterior division), areas that has been associated with higher order
358 cognitive functions [39], such as explicit memory [47]. As Braak and Braak described, the
359 brain atrophy of AD patients progresses following a hierarchical model, with early atrophy
360 in the hippocampus and parahippocampus gyrus [48], linked to memory and visuospatial
361 impairments in the early stages of the disease, progressing to a generalized pattern of brain
362 atrophy linked to a wide deterioration of cognitive domains [49].

363 In the overlap **analysis**, we found that the bilateral atrophy of the parahippocampal
364 gyrus, the paracingulate gyrus, and the intracalcarine cortex are associated to both IADL
365 and a-ADL impairments. Atrophy of these areas has been associated with impairment in
366 episodic memory, language, praxis and visual perception. These symptoms appear in the
367 early stages of AD [47, 50], corresponding to the typical progression of the disease, starting
368 with impairment in complex cognitive domains, including IADL, to difficulties in BADL in
369 the most advanced stages [51].

370 In the exclusive **analysis**, we found that left parahippocampal gyrus correlated
371 exclusively with a-ADL. This area has been extensively associated with topographical
372 learning and spatial navigation [52-54], and is crucial for normal adaptive behaviors in
373 work, travelling, or during other activities that comprise a-ADL.

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374 Finally, we found that the ICT subscale is associated with atrophy in the precuneus, area
375 that has been related with visuospatial functioning, attentional shift, and processing speed
376 [55, 56] It has also been considered a higher-order area that is generally involved in
377 controlling spatial aspects of motor behavior, and episodic memory retrieval[57]. Evidence
378 shows that attentional and visuospatial abilities are necessary for internet searching [58].
379 Attentional engagement had also been described in motor-cognitive skills when working
380 with touch-screen terminal [59]. Recent evidence suggests that hypometabolism in the
381 precuneus may be a biomarker of potential progression to AD [60]. From this perspective,
382 functional changes associated to this region may reflect the earliest manifestations of the
383 impact of AD on ADL, suggesting that these novel functional assessment tools could be
384 considered sensitivity tools to aid in the early diagnosis of AD. Longitudinal studies in AD
385 and other dementias are mandatory to support this hypothesis.

386 Our division of the T-ADLQ items in BADL, IADL and a-ADL should be
387 interpreted with caution as it was performed based on an expert panel in clinical diagnosis
388 of dementia and research in functional assessment. Although experts hold experience in
389 cognitive neurology (AS and CD), neuropsychology (CMN, FH and GF) and occupational
390 therapy (EM), some of such decisions can be influenced by sociocultural factors. For
391 example, classification of computer use as an a-ADL and use of mobile phones as an IADL
392 may not be representative of every socio-cultural or generational context. The study here
393 presented was carried out in 2015 when only 10% of the targeted elderly population
394 reported use of internet, 80% of them had access to the internet via landline connectivity
395 and only 20% via mobile phones [61, 62]. Moreover, elderly people in Chile, as in other
396 countries such as Portugal, report that they mainly use mobile phones for basic functions
397 such as answering and calling[63]. Also, in a recent paper by our group [64], we provide
398 additional evidence on the validity of our proposed division of the T-ADLQ in the BADL/
399 IADL and a-ADL categories. Nevertheless, further studies need to address the subdomains
400 characterization of evolving T-ADLQ; and these would need to be updated considering
401 intra-country specificity and socio-cultural factors [5, 65].

402 Some methodological issues warrant consideration. First, our neuroimaging results
403 regarding the correlation between VBM and subscore domain did not survive conservative
404 corrections for multiple comparisons and were therefore reported uncorrected at $p < 0.001$.
405 However, we reduced the likelihood of false positive results, by applying cluster extent

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406 thresholds of 100 contiguous voxels in the analysis. Importantly, Monte Carlo simulations
407 and experimental data demonstrate that cluster thresholding is an effective tool to reduce
408 the probability of false positive findings without compromising the statistical power of the
409 study [66]. Given our sample size, the application of stringent cluster extended thresholds,
410 and our a priori assumptions, we are confident that our results do not represent false
411 positive findings. However, it will be important to replicate these findings in larger patient
412 cohorts using corrected neuroimaging approaches. Second, the diagnosis of AD was
413 established on clinical grounds without any neuropathological confirmation for the
414 diagnoses. Nevertheless, AD patients presented bilateral atrophy in the hippocampal region,
415 a structural biomarkers of AD. Moreover, clinical pathological studies suggested that
416 NINCDS-ADRDA criteria are reliable for the diagnosis of AD. **Finally, patients included in
417 the study fulfilled criteria for Alzheimer’s Clinical Syndrome according to the latest NIA-
418 AA Research Framework [67].**

419 In conclusion, our study suggests that combining a domain specific approach to
420 ADL with neuropathological data drawn from MRI, specific functional phenotypes can be
421 identified. We have demonstrated that in the sample of AD investigated here such a
422 phenotype is characterized by widespread atrophy of the prefrontal, temporal and occipital
423 brain regions significantly associated with functional impairments that follow a gradient of
424 deterioration in the diseases continuum. Such functional phenotypes seemingly inform
425 about such a continuum. Our data also suggest that ADL assessment via such an approach
426 can be very sensitive to neurodegenerative processes, and that the association of types of
427 functional decline and AD progression does follow a traceable neuropathological pathway
428 that involves different neural network. Our results are consistent with the existence of a
429 specific pattern of functional loss in the activities of daily living, namely functional
430 phenotypes, beginning with impairment in the a-ADL, followed by losses in IADL and
431 finally progressing to BADL[4] .

432 Further studies need to address the contribution of white matter lesion to functional
433 impairment[68]. Finally, generalization of our results to other neurodegenerative diseases
434 should be made with cautious. Nevertheless, futures studies are needed to investigate if
435 other neurodegenerative disease leads to functional phenotypes different to those seen in
436 AD. Indeed, ADL dysfunction in FTD is associated with atrophy in network different to
437 that reported in AD and the left superior frontal gyrus is the only region implicated in IADL

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7 440 **5. Conflict of Interest**

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9 441 On behalf of all authors, the corresponding author states that there is no conflict of interest.
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12 443 **References**

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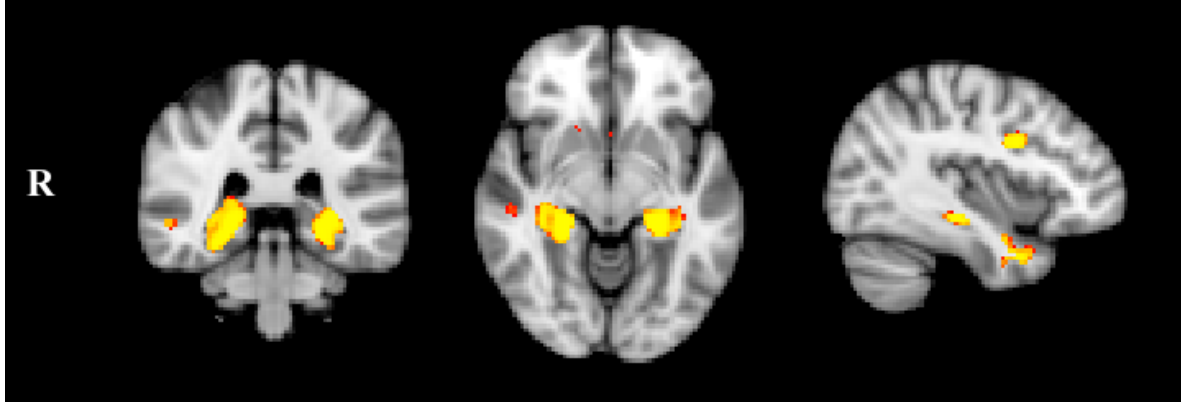
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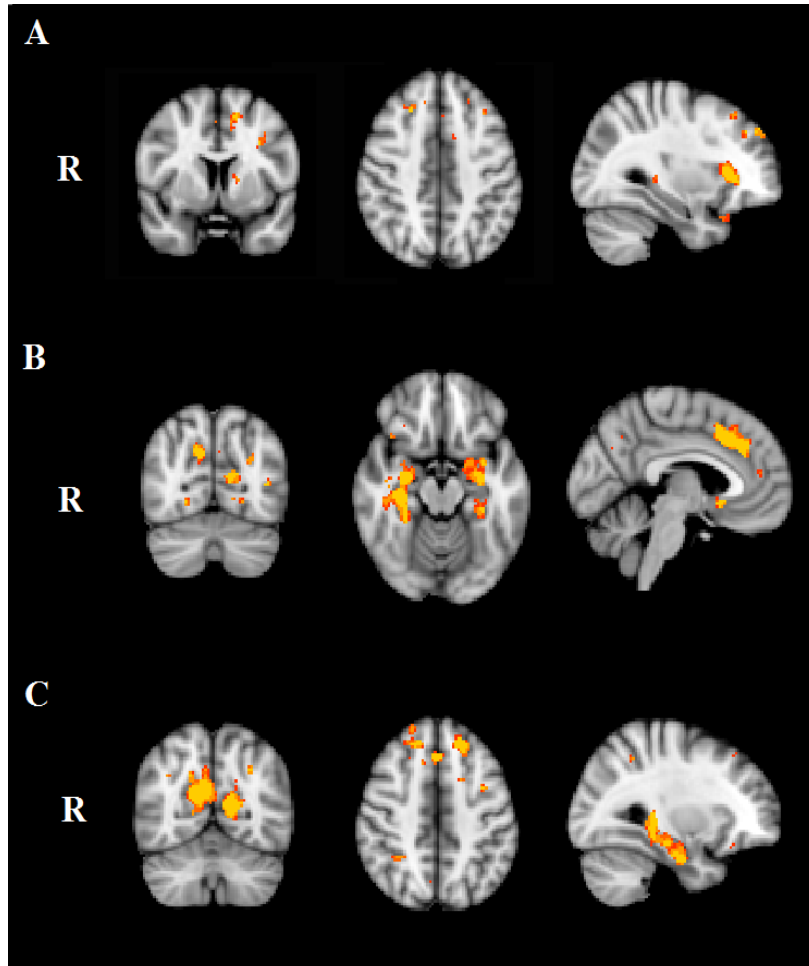
Figure 1: VBM showing significant gray matter intensity decrease in AD in contrast HC uncorrected by scanner.



VBM analysis showing brain areas of decreased gray matter intensity in AD patients in comparison with Controls (MNI coordinates $X = -38$; $Y = -36$; $Z = -8$). Colored voxel show regions that were significant in the analysis with $P < 0.05$ corrected for multiple comparisons (family-wise error), with a cluster threshold of 100 contiguous voxels. Clusters are overlaid on the MNI standard brain.

Figure 2.

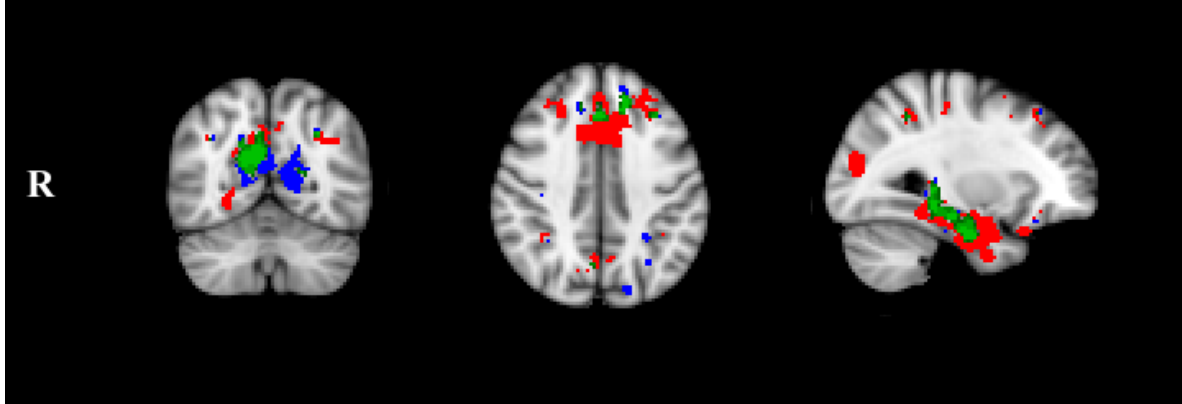
VBM correlation with T-ADLQ subscores in AD in comparison with HC uncorrected by scanner.



VBM analysis showing brain areas in which gray matter intensity correlates significantly with T-ADLQ subscores (A) BADL (MNI coordinates X = 32; Y = 6; Z = 44), (B) IADL (MNI coordinates X = -4; Y = -70; Z = -16) and (C) a-ADL subscores (MNI coordinates X = 30; Y = -62; Z = 46) in AD in comparison with Controls. Colored voxel show regions that were significant in the analysis with $P < 0.001$ uncorrected. For all analysis, a cluster threshold of 100 contiguous voxels was used. Clusters are overlaid on the MNI standard brain.

Figure 3.

VBM overlap and exclusive mask analysis for IADL and a-ADL in AD compared with HC.



VBM analysis showing overlap brain regions between T-ADLQ IADL and a-ADL domain sub-scores (green), and regions that correlate exclusively with IADL (red) and a-ADL (blue) in AD in comparison with Controls (MNI coordinates X = 30; Y = -62; Z = 40). Colored voxel show regions that were significant in the analysis with $P < 0.001$ uncorrected. For all analysis, a cluster threshold of 100 contiguous voxels was used. Clusters are overlaid on the MNI standard brain.

Table 1

Division of the three domains of the Technology – Activities of daily living questionnaire

*.

Basic ADL	Instrumental ADL	Advanced ADL
Eating	Taking pills or medicine	Employment
Dressing	Handling cash	Recreation
Bathing	Managing finances	Organization
Elimination	Public transportation	Travel
Interest in personal appearance	Driving	Internet access
	Mobility around the neighborhood	Email Access
	Traveling outside familiar environment	Computer use
	Preparing meals, cooking	
	Setting the table	
	Housekeeping	
	Home maintenance	
	Home repair	
	Laundry	
	Food shopping	
	Using the telephone	
	Talking	
	Understanding	
	Reading	

	Writing	
	Cell phone use	
	ATM use	

* The T-ADLQ scale is presented in supplementary files

Table 2

Demographic, global cognitive, and functional characteristics of AD and HC.

	AD	Control	t-test/ χ^2	Effect Size ¹	95% CI		
						Lower	Upper
N	33	29					
Sex (m:f)	13:20	9:20	0.492				
Age (years)	73.09 ± 6.96	72.03 ± 5.99	0.636	0.163		-2.265	4.378
Education (years)	12.21 ± 4.45	12.59 ± 3.73	-0.356	-0.092		-2.476	1.728
MMSE	20.79 ± 4.88 (30)	28.07 ± 1.67 (30)	-7.648**	-1.996		-9.185	-5.377
ACE-R	62.24 ± 15.64 (100)	92.55 ± 5.60 (100)	-9.887**	-2.580		-36.441	-24.177
CDR	1.66 ± 0.65 (3)	0 ± 00 (3)	13.648**	3.611		1.413	1.899
CDR-SB	5.84 ± 2.82 (18)	0 ± 00 (18)	11.157**	2.928		4.796	6.892
CDR-AIG	1.13 ± 0.83 (3)	0 ± 00 (3)	7.269**	1.925		0.815	1.435
T-ADLQ (%)	38.00 ± 16.96 (100)	8.41 ± 8.90 (100)	8.425**	2.184		22.562	36.611
Total							

BADL ⁱ	5.70	± 0.93	± 2.94	2.158*	0.565	0.347	9.184
domain	11.56 (100)	(100)					
subscore of							
the T-ADLQ							
(%)							
IADL domain	43.73	± 7.79	± 8.939**	2.318	27.893	43.975	
subscore of	19.39 (100)	10.23 (100)					
the T-ADLQ							
(%)							
a-ADL	52.33	± 19.76	± 6.087**	1.554	21.869	43.280	
domain of the	22.00 (100)	19.85 (100)					
T-ADLQ (%)							

Abbreviations: AD: Alzheimer's diseases; CDR: Clinical Dementia Rating. CDR-SB: Clinical Dementia Rating – Sum of Box. CDR-AIG: Clinical Dementia Rating – Algorithm; MMSE: Mini-Mental State Examination; ACE-R: Addenbrooke's Cognitive Examination Revised; MoCA: Montreal Cognitive Assessment; T-ADLQ: Technology of Daily Living Questionnaire. i. BADL: Basic Activities of daily life. ii. IADL: Instrumental ADL. iv a-ADL Advanced Activities of daily life.

Data are presented in mean ± standard deviation (Total score).

* $p < 0.05$, ** $p < 0.001$.

Table 3.

VBM showing significant gray matter intensity decrease in AD in contrast HC uncorrected by scanner.

MNI coordinates					
Regions	Hemisphere	x	y	z	Number of voxel
Hippocampus	Left	-24	-34	-10	1513
Hippocampus	Right	24	-36	-8	1155
Precentralgyrus	Left	-38	0	28	122
Precuneous cortex	Right	16	-64	32	119
Inferior frontal gyrus, par opercularis / precentralgyrus	Right	36	8	26	117
Inferior Temporal gyrus / Temporal Fusiform cortex, posterior division	Right	44	-14	-30	111

All results corrected for multiple comparisons (family-wise error) at $P < 0.05$; only cluster with at least 100 contiguous voxels included. MNI = Montreal Neurological Institute.

Table 4: VBM correlation with T-ADLQ subscores in AD in comparison with HC uncorrected by scanner.

MNI coordinates						
Regions	Hemisphere	x	y	z	Numberof voxel	
		BADL	IADL	a-	ADL	
BADLⁱ domain						
subscore						
Supplementary Motor Cortex	Left	-14	6	50	274	
Frontal orbital cortex	Right	32	28	0	260	
Superior gyrus / Middle frontal gyrus	Right	24	26	44	139	
IADLⁱⁱ domain						
subscore						
Para cingulate gyrus	Left	-4	34	26	3363	
Temporal fusiform cortex, anterior division	Right	32	-2	-40	1936	
Temporal	Left	-28	-10	-38	1418	

fusiform cortex / Parahippocampal gyrus, anterior division						
Intracalcarine cortex	Right	8	-66	12	836	
Frontal operculumcortex / Central opercular córtex	Right	42	10	10	512	
Parahippocampal gyrus, posterior division / Temporal fusiform cortex, posterior division	Left	-32	-34	-16	379	
Intracalcarine cortex	Left	-12	-70	10	191	
Precentral gyrus	Left	-38	0	22	185	
Lateral occipital cortex, inferior division	Right	34	-78	10	146	
Lingual gyrus /	Right	20	-74	-6	107	

Occipital fusiform gyrus						
Superior parietal lobule / Angular gyrus	Right	32	-50	42	101	
a-ADLⁱⁱⁱ domain subscore						
Lingual gyrus / Intracalcarine cortex	Left	-14	-62	4	1379	
Parahippocampal gyrus, anterior division	Left	-24	-10	-38	1169	
Parahippocampal gyrus, anterior division	Right	30	-14	-28	732	
Paracingulate gyrus	Left	-12	28	32	324	
Superior frontal gyrus	Right	12	10	58	175	
Superior frontal gyrus	Left	-18	8	46	131	
Paracingulate	Right	12	30	40	107	

gyrus / Superior					
frontal gyrus					
Paracingulate	Right	4	26	40	106
gyrus					

. i. BADL: Basic ADL. ii. IADL : Instrumental ADL. iii: a-ADL Advanced ADL

All results uncorrected at $P < 0.001$. Only cluster with at least 100 contiguous voxels included. MNI = Montreal Neurological Institute.

Table 5. VBM overlap between IADL and a-ADL subscores in AD compared with HC uncorrected by scanner.

MNIcoordinates					
Regions	Hemisphere	x	y	z	Number of voxel
Regions of overlap					
Parahippocampal gyrus, anterior division	Right	30	-14	-28	471
Precuneous cortex	Right	14	-56	12	463
Parahippocampal gyrus, anterior division	Left	-28	-12	-36	373
Parahippocampal gyrus, posterior division	Left	-28	-36	-14	232
Paracingulate gyrus	Left	-10	28	36	191
Intracalcarine cortex	Left	-12	-68	10	108

All results uncorrected at $P < 0.001$. Only cluster with at least 100 contiguous voxels included. MNI = Montreal Neurological Institute.

Table 6.

VBM exclusive regions that correlate with IADL and a-ADL subscores in AD compared with HC.

MNI coordinates						
Regions	Hemisphere	x	y	z	Number of voxel	
IADLⁱ domain subscores						
Paracingulate gyrus / Cingulate gyrus, anterior division	Left	-8	36	22	1930	
Temporal fusiform cortex, anterior division	Right	30	-2	-42	1258	
Temporal fusiform cortex, anterior division	Left	-30	0	-40	862	
Middle frontal gyrus	Right	26	26	40	731	
Central operculum cortex	Right	42	8	10	482	
Precuneous cortex	Right	18	-58	20	242	

Central operculum cortex	Left	-36	0	20	185
Lateral occipital cortex, inferior division	Right	36	-82	8	146
Occipital fusiform gyrus	Right	26	-66	-8	107
a-ADLⁱⁱ domain subscores					
Lingual gyrus	Left	-16	-62	2	646
Parahippocampal gyrus, anterior division	Left	-20	-10	-40	340

ii IADL : Instrumental ADL. ii: a-ADL: Advanced ADL

All results uncorrected at $P < 0.001$. Only cluster with at least 100 contiguous voxels included. MNI = Montreal Neurological Institute.