

1     **SAFETY ASSESSMENT OF GRAVITY LOAD DESIGNED RC FRAMED BUILDINGS**

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5     **ABSTRACT:** A large number of gravity load designed (GLD) RC buildings are found in many  
6 seismic-prone countries including India. Many of them were built before the advent of seismic  
7 codes or with the utilization of old and inadequate seismic design criteria. Engineers and decision  
8 makers need to have information on the seismic vulnerability of such buildings in a given region  
9 for mitigation planning. The present study aims at evaluating the relative seismic vulnerability of  
10 GLD building subjected to seismic hazards corresponding to various seismic zones of India. The  
11 relative seismic vulnerability of GLD buildings for various site hazard conditions is estimated in  
12 a practical ‘load and resistance factor’ format as per the 2000 SAC Federal Emergency  
13 Management Agency (SAC-FEMA) guidelines. The results of this study show that the relative  
14 vulnerability of the GLD building (with respect to a building designed for seismic forces) increases  
15 many folds from lower to higher seismic zone. It also indicates that the GLD buildings existing in  
16 higher seismic zones of India (IV and V) should be immediately uninhabited as an urgent  
17 mitigation measure.

18

19     **Keywords:** Gravity load, building, fragility, seismic hazard, confidence level

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## 27 **INTRODUCTION**

28 During past earthquakes (Bhuj 2001, Kashmir 2005, Sichuan 2008, Nepal 2015, Italy 2016)  
29 reinforced concrete (RC) buildings often displayed unsatisfactory seismic behavior, particularly  
30 when their design included only gravity loads. A large number of gravity load designed (GLD)  
31 buildings are found in many seismic-prone countries including India. Many of them were built  
32 before the advent of seismic codes or with the utilization of old and inadequate seismic design  
33 criteria. Special attention has been given (Hoffman *et al.* 1992, Masi 2003, Magenes and Pampanin  
34 2004, Polese *et al.* 2008) to the investigation on the seismic vulnerability of such existing  
35 buildings. This special attention may be attributed to a number of socio-economic reasons. The  
36 global rural-urban balance is increasingly in favour of cities (UNFPA 2011) and gravity load  
37 designed RC buildings represent a large portion of the built environment of urban areas. The loss  
38 in terms of human lives and economy during an earthquake in the present scenario depends largely  
39 on the performance of GLD buildings in urban areas. Engineers and decision makers need to have  
40 information on the seismic vulnerability of such buildings in a given region for mitigation planning  
41 (Ramamoorthy *et al.* 2008). Thus, the evaluation of the seismic vulnerability of GLD buildings  
42 has a key role in the determination and reduction of earthquake impact. Most of the previous  
43 studies (Aktan and Bertero 1987, Aktan and Nelson 1988, Qi and Pantazopoulou 1991, Benavent-  
44 Climent *et al.* 2004, Magenes and Pampanin 2004, Laterza 2016, Lin *et al.* 2016) employed  
45 deterministic approach for the performance assessment of the GLD buildings. Almost all of the  
46 studies (Masi 2003, Ramamoorthy *et al.* 2008, Masi and Vona 2012, Bakshi and Asadi 2013, Masi

47 *et al.* 2015, Wu *et al.* 2015) which considered the probabilistic approach do not include the site  
48 hazard for the safety assessment. As the GLD buildings perform differently in different site hazard  
49 conditions, the performance assessment is not complete without the consideration of associated  
50 site hazards. A detailed literature review revealed only a few studies (Polese *et al.* 2008,  
51 Ellingwood *et al.* 2007, Celik and Ellingwood 2009, Halder and Paul 2016) that deals with fragility  
52 of buildings along with site hazard. However, these studies do not focus on the relative  
53 vulnerability of GLD buildings in different seismic zones having low to high seismic hazards.  
54 The present study aims at evaluating the relative seismic vulnerability of GLD building subjected  
55 to seismic hazards for various seismic zones of India (IS 1893:2002). Probabilistic seismic risk of  
56 a representative four storey GLD building is evaluated and compared with those of similar building  
57 designed for seismic loads corresponding to selected seismic zones.

58

## 59 **RESEARCH SIGNIFICANCE**

60 GLD buildings are expected to be more vulnerable than the seismically designed buildings but  
61 their relative vulnerability with respect to the seismically designed building may not be the same  
62 at different site hazard conditions. Previous studies on the quantification of this relative seismic  
63 vulnerability of GLD buildings are limited. Stakeholder's need to have information about this  
64 relative seismic vulnerability of GLD buildings for improved disaster mitigation planning. This  
65 paper demonstrates the relative seismic vulnerability of GLD buildings for various site hazard  
66 conditions in a practical load and resistance factor format as per the SAC-FEMA method.  
67 However, SAC-FEMA method, which is introduced initially for simplified probabilistic seismic  
68 assessment of steel buildings, is validated using a more accurate Monte Carlo simulation method

69 in the present study. The confidence levels of existing GLD buildings in terms of demand and  
70 capacity factors are compared with respect to seismically designed buildings.

71

## 72 **SAFETY ASSESSMENT METHODOLOGY**

73 Probabilistic seismic safety assessment characterizes the randomness and uncertainty in both  
74 seismic demand and capacity of the structure. Following parameters are used by SAC-FEMA  
75 method (Cornell *et al.* 2002) for the evaluation of seismic risk: Probabilistic seismic demand model  
76 (PSDM), fragility curves, drift hazard curves and mean annual probability of exceedance, demand  
77 factor, capacity factor and confidence levels. This section explains the theory behind this  
78 assessment.

79

### 80 **PSDM and Fragility Curves**

81 A fragility function represents the probability of exceedance of the seismic demand ( $D$ ) for a  
82 selected performance level ( $C$ ) for a specific ground motion intensity measure ( $PGA$ ). Maximum  
83 inter-storey drift demand in a building subjected to a ground motion is considered as demand  
84 parameter ( $D$ ) in the present study. Fragility curve presents a cumulative probability distribution  
85 that indicates the probability that a building will be damaged to a given damage state or a more  
86 severe one, as a function of a particular intensity measure. It can be obtained for each damage state  
87 and can be expressed in closed form as follows:

$$88 \quad P(C - D \leq 0 | PGA) = \Phi \left( \frac{\ln(\hat{D}/\hat{C})}{\sqrt{\beta_{D|PGA}^2 + \beta_C^2 + \beta_m^2}} \right) \quad (1)$$

89 in which  $\Phi$  is widely tabulated as standardized Gaussian distribution function,  $\hat{D}$  is the median  
90 drift demand,  $\hat{C}$  is the median of the drift at chosen performance level,  $\beta_{D|PGA}$  is the dispersion in

91 drift demand at a given  $PGA$  level,  $\beta_c$  is the dispersion in capacity and  $\beta_m$  is the dispersion in  
 92 modeling. A series of nonlinear time history analysis is carried out to obtain the probabilistic  
 93 representation of demand parameter. An analytical approximation of this representation is  
 94 considered as per Cornell *et al.* (2002) that says, at given level of  $PGA$ , the predicted median drift  
 95 demand ( $\hat{D}$ ) can be represented approximately by the form:

$$96 \quad \hat{D} = a(PGA)^b \quad (2)$$

97 where the constants, 'a' and 'b' are the regression coefficients. The drift demands ( $D$ ) are assumed  
 98 to be distributed log-normally about the median (Shome and Cornell 1999) with a standard  
 99 deviation,  $\beta_{D|PGA}$  (the dispersion in drift demand  $D$  at a given  $PGA$  level). The three parameters,  $a$ ,  
 100  $b$  and  $\beta_{D|PGA}$  are obtained by performing a number of nonlinear analyses and then conducting a  
 101 regression analysis of  $\ln(D)$  on  $\ln(PGA)$ . The power-law relationship presented in Eq. 2 represents  
 102 the probabilistic seismic demand model (PSDM) for the considered frame.

103 Incorporating this power-law approximation, Eq. 1 can be re-written as follows:

$$104 \quad P(C - D \leq 0 | PGA) = 1 - \Phi \left( \frac{\ln(\hat{C}) - \ln(a.PGA^b)}{\sqrt{\beta_{D|PGA}^2 + \beta_c^2 + \beta_m^2}} \right) \quad (3)$$

105 A performance level ( $C$ ) defines the capacity of building to withstand a specified level of damage  
 106 which can be represented quantitatively. Inter-storey drift capacities ( $\hat{C}$ ) for various performance  
 107 levels, range from slight damage to complete destruction, are taken from Masi *et al.* (2015) as  
 108 shown in Table 1. The value of  $\beta_c$  depends on the building type and construction quality, and it  
 109 has been assumed as 0.25 as per ATC 58 (2012) for the moderate quality of construction in this  
 110 study.

111

## 112 **Drift hazard curves and Mean annual probability of exceedance**

113 To provide the likelihood of unacceptable behavior of selected GLD building at a given site and  
114 the associated confidence levels (Yun *et. al.* 2002), it is important to consider the seismic hazard  
115 of the site which is not considered in the PSDM and fragility curves. The parameters, drift hazard  
116 curve and mean annual probability of exceedance permit one to assess the seismic safety of the  
117 building. The confidence level of the design of any building will provide the degree of uncertainty  
118 in its seismic safety. The methodology to obtain these parameters are discussed in this section.

119 This method incorporates three analytical approximations. The first approximation is the  
120 assumption of the hazard function,  $H(PGA)$  which gives the annual probability of occurrence of  
121 the earthquake at any given site. The other two approximations are introduced in the form of a  
122 power law relationship (Eq. 2) between inter-storey drift demand ( $D$ ) and  $PGA$  and the log  
123 normality assumption of inter-storey drift ( $D$ ). The probabilities of the buildings exceeding any  
124 performance level are achieved by combining the probabilistic representations of the three  
125 elements in two steps. The first step couples the first two basic elements, hazard function  $H(PGA)$   
126 and drift demand function,  $D(PGA)$  in terms PSDM to produce a drift hazard curve  $H_d(d)$ .  $H_d(d)$   
127 provides the annual probability that the drift demand ( $D$ ) exceeds any specified drift value ( $d$ ).  
128 The second step combines this curve with the drift capacity ( $C$ ) to produce  $P_{PL}$  which is defined as  
129 the annual probability of the performance level not being met.

130 Using the total probability theorem (Benjamim and Cornell 1970)  $H_d(d)$  can be written as

$$131 \quad H_d(d) = \int P[D \geq d | PGA = x_i] dH(x) \quad (4)$$

132 Where  $dH(x)$  can be obtained from standard hazard curve  $H(PGA)$ . Assuming that the hazard curve  
133 can be estimated in the region of interest, by the form

134 
$$H(PGA) = \int P[PGA \geq pga] = k_0 (PGA)^{-k} \quad (5)$$

135 Where  $k_0$  and  $k$  are the constant coefficients. The above expression implies that the hazard curve  
 136 is linear on a log-log plot in the region of interest.

137 Using Eq. 2 and the log normality assumption, the first factor of Eq. 4 can be written as

138 
$$P[D \geq d | PGA = x_i] = 1 - \Phi\left(\frac{\ln[d / ax^b]}{\beta_{D|PGA}}\right) \quad (6)$$

139 Using Eq. 6 and Eq. 5, Eq. 4 for the drift hazard curve can be written in a simplified form as

140 
$$H_D(d) = H(PGA^d) \exp\left[\frac{1}{2} \frac{k^2}{b^2} \beta_{D|PGA}^2\right] \quad (7)$$

141  $PGA^d$  is the peak ground acceleration corresponding to the drift demand level,  $d$  i.e.

142 
$$PGA^d = \left(\frac{d}{a}\right)^{\frac{1}{b}} \quad (8)$$

143 where ‘ $a$ ’ and ‘ $b$ ’ are the regression coefficients (refer Eq. 2). Detailed derivation of Eq. 7 is  
 144 available in Jalayer and Cornell (2003). Using the total probability theorem, the annual probability  
 145 of unacceptable performance ( $P_{PL}$ ) can be defined as:

146 
$$P_{PL} = P[C \leq D] = \sum_{all d_i} P[C \leq D | D = d_i] P[D = d_i] \quad (9)$$

147 The second factor in the above equation represents the likelihood of a given drift demand level,  
 148  $P[D = d]$  which can be determined from the drift hazard curve derived in Eq. 4. Eq. 9 can be  
 149 represented in continuous form as

150 
$$P_{PL} = \int P[C \leq d] dH_D(d) \quad (10)$$

151 The drift capacity ( $C$ ) is assumed to be log-normally distributed with a median value  $\hat{C}$  and  
 152 dispersion  $\beta_c$ . Estimation of these parameters ( $\hat{C}$  and  $\beta_c$ ) is described by Yun and Foutch (2000)  
 153 and Yun *et al.* (2002). With the log-normality assumption, the first factor in Eq. 10 becomes

154 
$$P[C \leq d] = \phi \left( \frac{\ln(d/\hat{C})}{\beta_c} \right) \quad (11)$$

155 Substituting and carrying out the integration,  $P_{pl}$  can be written as

156 
$$P_{pl} = H(PGA^{\hat{C}}) \exp \left[ \frac{1}{2} \frac{k^2}{b^2} (\beta_{D/PGA}^2 + \beta_c^2) \right] \quad (12)$$

157 where,  $PGA^{\hat{C}}$  is the peak ground acceleration ‘corresponding to’ the median drift capacity,  $\hat{C}$ . In  
 158 other words, it is the most likely intensity of the earthquake ( $PGA$ ) at which the building will be  
 159 subjected an inter-storey drift equal to the value  $\hat{C}$  (limit state drift capacity) and it can be found  
 160 out from Eq. 2 by substituting  $\hat{D}$  as  $\hat{C}$ .

161 
$$PGA^{\hat{C}} = \left( \frac{\hat{C}}{a} \right)^{\frac{1}{b}} \quad (13)$$

162 Eq. 12 implies that, if there is no uncertainty in  $D$  and  $C$ , the  $P_{pl}$  will be the probability of the  
 163 occurrence of the ground motion having  $PGA$  of  $PGA^{\hat{C}}$ . The dispersion in  $D$  and  $C$  increases the  
 164 failure probability ( $P_{pl}$ ) exponentially.

165

## 166 **Confidence levels**

167 In order to represent the seismic performance assessment of buildings in a practically convenient  
 168 format in line with Load Resistance Factor Design (LRFD) approach, three factors are introduced  
 169 by Cornel *et al.* (2002): demand factor ( $\gamma$ ) to account for the uncertainty in drift demand, capacity  
 170 factor ( $\phi$ ) to account for the uncertainty in capacity and confidence factor ( $\lambda$ ) to account for the  
 171 desired safety. The parameter  $\gamma$  represents here the measure of dispersion in the ground motion.  
 172 Similarly, the parameter,  $\phi$  represents the dispersion in the capacity of the structure. The  
 173 parameter,  $\lambda$  measures the safety level considering uncertainty in both demand and capacity.

174 To transform Eq. 12 into a convenient format,  $P_{PL}$  is equated to the performance objective  $P_0$ , and  
 175 rearranged using Eq. 5, yielding

$$176 \quad \left\{ \exp \left[ -\frac{1}{2} \frac{k}{b} \beta_c^2 \right] \right\} \hat{C} \geq \left\{ \exp \left[ \frac{1}{2} \frac{k}{b} \beta_{D|PGA}^2 \right] \right\} \hat{D}^{th} \quad (14)$$

$$177 \quad \phi \cdot \hat{C} \geq \gamma \cdot \hat{D}^{th} \quad (15)$$

178 In which  $\hat{D}^{th}$  is defined as the median drift demand under a given ground motion having a *PGA*  
 179 level of the annual probability  $P_0$  of being exceeded. The capacity and demand factors can be  
 180 calculated as,

$$181 \quad \phi = \exp \left[ -\frac{1}{2} \frac{k}{b} \beta_c^2 \right] \quad (16)$$

$$182 \quad \gamma = \exp \left[ \frac{1}{2} \frac{k}{b} \beta_{D|PGA}^2 \right] \quad (17)$$

183 By obtaining these explicit relationships, one can ensure the probabilistic performance objectives  
 184 which involve the explicit nonlinear dynamic behavior of buildings based on the drift or  
 185 displacements rather than forces.

186 In order to ensure the probability of failure of the building as low as  $P_0$ , the median drift capacity  
 187  $\hat{C}$ , must exceed the median drift demand ( $\hat{D}^{th}$ ). By this scheme, one can find the probability of  
 188 occurrence of maximum earthquake level that any designed building can resist, provided the  
 189 building satisfies certain safety standards given by Eq. 15. The Eq. 15 can be used to confirm  
 190 whether a building designed as per the existing design standards satisfy the performance objective  
 191  $P_0$  in three steps. Step 1: find the ground motion intensity from the hazard curve with a probability  
 192 of occurrence,  $P_0$ . Step 2: determine the median drift demand for this *PGA*. Step 3: compare the  
 193 factored median capacity ( $\hat{C}$ ) against the factored ( $\hat{D}$ ) considering uncertainty, to determine the  
 194 level of confidence as follows.

195 
$$\lambda = \gamma \cdot \hat{D}^{\beta_0} / \phi \cdot \hat{C} \quad (18)$$

196 Where  $\lambda$  is the confidence factor. Higher the value of  $\lambda$  the lower is the level of confidence in the  
 197 safety. This factor can also be expressed in terms of total uncertainty in demand and capacity as  
 198 per Cornell *et al.* (2002) as follows.

199 
$$\lambda = \exp \left[ -K_x \beta_T + \frac{1}{2} \frac{k}{b} \beta_T^2 \right] \quad (19)$$

200 Where  $K_x$ , the confidence-measuring parameter, is defined as the standard Gaussian variability  
 201 associated with probability  $x$  not being exceeded and  $\beta_T$ , the total uncertainty is given by

202  $\beta_T^2 = \beta_C^2 + \beta_{D|PGA}^2$ . Eq. 19 can be rearranged to express  $K_x$  as follows.

203 
$$K_x = \left[ -\ln(\lambda) + \frac{1}{2} \frac{k}{b} \beta_T^2 \right] / \beta_T \quad (20)$$

204 The confidence level ( $x$ ) can be calculated from the value of  $K_x$  using the standard Gaussian table.

205 This implies that the confidence level of probability of failure ( $P_{PL}$ ) less than  $P_0$  is about  $x$  %. This  
 206 approach is used in the present study as an evaluation methodology.

207

## 208 **FRAMES CONSIDERED**

209 Typical RC bare frame having four storeys (uniform storey height of 3.2m) and two bays (uniform  
 210 bay width of 5m) is selected for the present study. This building is designed considering only  
 211 gravity forces using IS 456 (2000) and designated as ‘G’. The same building is designed for  
 212 seismic force corresponding to four seismic zones as per IS 1893 (2002). The buildings designed  
 213 for the seismic load of Zone II (PGA of 0.10g), III (PGA of 0.16g), IV (PGA of 0.24g) and V  
 214 (PGA of 0.36g) are designated as S1, S2, S3 and S4 respectively. All the building frames are  
 215 designed considering medium soil conditions (N-value in the range 10 to 30). The characteristic  
 216 strength of concrete and steel are taken as 25 MPa and 415 MPa respectively. The buildings are

217 assumed to be symmetric in plan and elevation, and hence a single plane frame is considered to be  
218 representative of the building along the loading direction. The dead load of the slab including floor  
219 finishes is taken as  $3.75 \text{ kN/m}^2$  and live load as  $3 \text{ kN/m}^2$ . The self-weights of the partition walls  
220 (230 mm) are applied separately as the uniformly distributed load on the respective beams. The  
221 design base shear is calculated using the equivalent static method as per IS 1893 (2002).

222

223 The design parameters such as seismic zone, seismic weight ( $W$ ), response reduction factor ( $R$ ),  
224 natural period ( $T_{code}$ ) and seismic design base shear ( $V_B$ ) are given in Table 2. The design details of  
225 beams and columns of all the selected frames are presented in Table 3. It is to be noted here that  
226 the order of the frames in terms of increasing design lateral strength is  $G < S1 < S2 < S3 < S4$ .

227

## 228 **STRUCTURAL MODELLING**

229 Selected buildings are modelled for nonlinear time history analysis required for seismic risk  
230 assessment. The Open System for Earthquake Engineering Simulation (OpenSees) Laboratory tool  
231 developed by McKenna *et al.* (2014) is used for all the analyses. A force-based nonlinear beam-  
232 column fiber element that considers the spread of plasticity along the element is used for modeling  
233 the beams and columns for nonlinear time history analysis. Formulation of the force-based fiber  
234 element is explained in Lee and Mosalam (2004). Kunnath (2007) has studied the sensitivity due  
235 to the number of integration points in each element and suggested the use of five integration points  
236 for fiber elements, which is followed in the present study. The core concrete is modelled by  
237 considering the effect of confinement due to the special reinforcement detailing in the beams and  
238 columns using the Kent and Park (1971) model. The cover concrete is modelled as unconfined  
239 concrete. Steel reinforcing bars are modelled using uniaxial Giuffre-Menegotto-Pinto steel

240 material model with isotropic strain hardening. More details about reinforcement modeling used  
241 in the present study can be found in [Filippou \*et al.\* \(1983\)](#). In the present study, a lumped mass  
242 approach is considered in which all the permanent weights that move with the structure is lumped  
243 at the appropriate nodes. This includes all the dead loads and part of the live loads (25%) which is  
244 expected to be present in the structure during the ground shaking. The in-plane stiffness of the  
245 floor is modelled using rigid diaphragm constraint. Damping is modelled using Raleigh damping  
246 for dynamic analysis, reported by [Filippou \*et al.\* \(1992\)](#).

247 The number of ground motions required for an unbiased estimate of the structural response is 3 or  
248 7 as per ASCE/SEI 7-10. However, ATC 58 (2012) recommends a suite of 11 pairs of ground  
249 motions for a reliable estimate of the response quantities. ASCE/SEI 41 (2013) suggests 30  
250 recorded ground motions to meet the spectral matching criteria for nuclear power plant structures.  
251 Celik and Ellingwood (2010) used 40 ground motions for developing fragility curves. In the  
252 present study, twenty-two pairs of ground motions (44 ground motions) are collected from  
253 Haselton *et al.* (2012) and the details of the same are available in Haran *et al.* (2015). These ground  
254 motions are converted to match with IS 1893 (2002) design spectrum using a computer program  
255 (Mukherjee and Gupta 2002) and used for the nonlinear dynamic analyses. Norm displacement  
256 increment test criteria is used for the convergence test. The nonlinear dynamic analysis performed  
257 in the present study uses three algorithms, namely Newton-Raphson method, Broyden Algorithm  
258 and Newton Line Search Algorithm to find the equilibrium at each time step. In some cases, a few  
259 (0 to 5% out of 44) computational models are found to be unconverged due to computational  
260 instability. The computational models which are failed to converge are ignored in the calculation  
261 of probabilistic seismic demand model.

262 Uncertainties associated with concrete compressive strength, the yield strength of reinforcing steel,  
263 and global damping ratio are considered in the probabilistic seismic risk assessment. The mean  
264 value and coefficient of variation (COV) of the normal probability distributions of the above  
265 parameters (uncorrelated) are obtained from published literature and presented in Table 4.

266

## 267 **VALIDATION OF SAC-FEMA METHOD**

268 The present study employs SAC-FEMA method that uses power law assumption and log normality  
269 assumption of drift demand which are originally proposed for steel frames that simplify the  
270 calculation of the probability of unacceptable performance of the selected frames considering two  
271 structure-related assumptions. In order to use this method for GLD RC frames in the present study,  
272 it has been validated with more rigorous Monte Carlo simulation (MCS) in line with previous  
273 studies (Tsompanakis 2002; Zhang and Foschi 2004; Lu *et al.* 2008; Celik and Ellingwood 2010;  
274 Shahraki and Shabakhty 2015). The validation study is carried out on a typical frame (S4) by  
275 comparing the fragility curves obtained from both SAC-FEMA and MCS methods.

276 The accuracy of MCS method depends on the number of samples of random variables considered  
277 for the simulation. In order to check the accuracy of the probability of exceedance values obtained  
278 from MCS, a convergence study has been conducted by increasing the number of samples  
279 (computational models of frame) and MCS was found to be converged for a sample size of 10,000.  
280 Random values of material properties are generated as per Latin hypercube sampling technique  
281 (Ayyub and Lai 1989) using the parameters given in Table 4. These values are used randomly to  
282 create different computational models for the selected S4 frame. The 44 selected ground motions  
283 are scaled linearly from 0.1g to 1.0g and each of the computational models is analyzed for a  
284 particular earthquake (randomly selected) with a particular *PGA*. A total of 60,000 nonlinear time

285 history analyses are performed (at the final stage) and the maximum inter-storey drift (ISD) for  
286 each frame is recorded to obtain the fragility curves using MCS.

287 For SAC-FEMA method, a set of 44 computational models is developed for the selected frame as  
288 discussed above. These 44 computational models are analyzed for a particular earthquake  
289 (randomly selected from the set of 44 earthquakes) with a particular *PGA*. A total of 44 nonlinear  
290 time history analysis is performed for the selected frame.

291 The probability distributions for ISD obtained from MCS and SAC-FEMA method are compared  
292 in Fig. 1. The fragility curves are further developed using both the MCS and SAC-FEMA method.

293 Figs. 2a and 2b show the comparison of the fragility curves obtained from the two methods at  
294 selected performance levels. It is to be noted from Fig. 1 that the log-normal assumption of inter-  
295 storey drift demand used by SAC-FEMA method is in agreement with the results obtained from  
296 MCS. Fig. 2 shows that the SAC-FEMA method is able to predict the fragility curves with  
297 reasonable accuracy. While SAC-FEMA method results in a closed form continuous expression  
298 for exceedance probabilities, MCS provides exceedance probabilities at discrete points. It shows  
299 that the simplified SAC-FEMA method can yield satisfactory results for RC framed structures with  
300 less number of sample sizes and less computational effort.

301 Considering the computational effort of MCS procedure, the present study uses SAC-FEMA  
302 method for all further analysis. Previous researchers (Yun *et al.* 2002, Ellingwood *et al.* 2007, Wu  
303 *et al.* 2009, Celik and Ellingwood 2009; 2010, Davis *et. al.* 2010, Haran 2014, Haran *et al.* 2015;  
304 2016, Bhosale *et al.* 2016) have used this method for RC framed buildings.

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306

307

## 308 **PERFORMANCE OF GLD BUILDINGS**

### 309 **Probabilistic Seismic Demand Models (PSDM)**

310 The maximum ISDs and the corresponding PGAs are plotted on a logarithmic graph as shown in  
311 Fig. 3 for all the selected frames. Each point in the plot represents the PGA values and the  
312 corresponding maximum ISD. A power law relationship (Eq. 2) for each frame is fitted using  
313 regression analysis, which represents the PSDM for the corresponding frames. The regression  
314 coefficients, ' $a$ ' and ' $b$ ', are found out for each frame and reported in Table 5. The PSDM model  
315 provides the most likely value of maximum ISD in the event of an earthquake of certain PGA (up  
316 to 1g) in each frame. Depending on the PSDM, the vulnerability of the particular frame can be  
317 identified. It can be seen from Fig. 3 that GLD frame (G) has the highest drift demand whereas  
318 frames designed for seismic loads have the lower drift demands for any given intensity measure  
319 ( $PGA$ ).

320

### 321 **Seismic fragility curves**

322 Fragility curves are developed for all the selected frames at different selected performance levels  
323 and presented in Fig. 4. The exceedance probabilities of ISD are compared among the selected  
324 frames. It can be seen that the order of the frames in terms of decreasing exceedance probabilities  
325 is  $G > S1 > S2 > S3 > S4$  for all PGAs and performance levels. The GLD building poses the  
326 maximum failure probability among the selected frames. The failure probabilities decrease with  
327 the increase in design seismic load.

328

### 329 **Drift Hazard curves**

330 Drift hazard is defined as the probability of unacceptable seismic performance of a building in  
331 terms of the annual probability of exceedance of performance levels considering the probability of

332 an earthquake at a particular site. The site seismic hazard curves of four locations representing four  
333 different seismic zones of India are obtained from NDMA (<http://www.ndma.gov.in>) as shown in  
334 Fig. 5. These hazard curves are used in the present study for the development of drift hazard  
335 curves. The selected seismic hazard curves are fitted into the closed form equation (Eq. 5) in a log-  
336 log format as shown in Fig. 6 and parameters  $k_0$  and  $k$  are found out (Table 6).

337 The drift hazard curves for all the selected frames are developed as per the procedure discussed  
338 previously and presented in Fig. 7. Figs. 7a-7d show the comparison of drift hazard curves for  
339 GLD building and building design for seismic forces for four different seismic zones. Each of the  
340 four plots in Fig. 7 represents the drift hazard curves for the respective seismic zones of India. The  
341 GLD building is found to be more vulnerable compared to the building designed for seismic forces.  
342 The increase in vulnerabilities of GLD buildings increases with an increase in the seismic  
343 zone/hazard.

344

### 345 **Mean Annual Probability of Exceedance**

346 The values of the annual probability of collapse ( $P_{PL}$ ) or the annual exceedance probability of all  
347 the designed frames for selected performance levels are calculated and presented in Table 7. It can  
348 be seen from the calculated  $P_{PL}$  values that, GLD building is always more vulnerable in comparison  
349 to buildings designed to seismic load. The value of  $P_{PL}$  for GLD building in Zone V is found to be  
350 unity (indicating 100% failure) for some performance levels. In order to understand the relative  
351 vulnerability of GLD building, normalized  $P_{PL}$  of this building (relative to  $P_{PL}$  of building designed  
352 to seismic load) is presented in parentheses. For example, normalized  $P_{PL}$  of two in Zone-II at the  
353 performance level of 1.0% ISD means that GLD building has twice the risk than that of a building

354 designed to seismic load in Zone II. It can be observed from Table 7 that normalized  $P_{pl}$  of GLD  
355 building can go as high as 100 in the higher seismic zone.

356

### 357 **Confidence Levels**

358 The confidence levels of GLD buildings at different seismic zones are calculated for three  
359 performance objectives. Details of the selected performance objectives are presented in Table 8.

360 The capacity factor ( $\phi$ ), demand factor ( $\gamma$ ) and confidence factor ( $\lambda$ ) are computed for each  
361 performance level to satisfy the condition given by Eq. 17, Eq. 18 and Eq. 19 respectively, and  
362 presented in Table 9. It is observed that the capacity factor for both GLD building and buildings  
363 designed to seismic load are almost identical as the quality of construction is assumed to be  
364 identical for both of these two categories of buildings. However, the demand and confidence  
365 factors are significantly higher for gravity load designed building. Substantially higher dispersion  
366 in the demands for GLD building (compared to buildings designed to seismic load) results in  
367 higher demand factor. Also, a higher value of  $\lambda$  in gravity load design building represents a lower  
368 level of confidence in the safety.

369 The confidence level for achieving the corresponding performance objective for both categories  
370 of frames at all seismic zones are presented in Table 9. It can be seen that the confidence levels in  
371 meeting the performance objectives for the GLD buildings are consistently lesser than that of  
372 buildings designed for the seismic load. The decrease in the confidence levels of GLD buildings  
373 increases as the seismic zone level and performance objective level increases. The decrement in  
374 the confidence level of GLD building (in comparison with buildings designed for seismic load) is  
375 found to be about 1% for Zone II at PO-I, whereas this decrement is found to be 49% for Zone V  
376 at same performance objective PO-I. Similarly, for Zone II, the decrement in the confidence level

377 of GLD building increases from 1% in PO-I to 12% in PO-III. In general, the confidence level of  
378 GLD building is found to be relatively higher in Zone II and Zone III to achieve a lower level of  
379 performance objectives. However, it is significantly lower for higher seismic zones (Zones IV and  
380 V). This indicates that performance of GLD buildings in lower seismic zones (Zones II and III) is  
381 fairly good, whereas catastrophic performance can be expected from such buildings for higher  
382 seismic zones (Zones IV and V).

383 If the criterion is set such that there must be a confidence of at least 90% that the actual (but  
384 uncertain) probability of exceeding the performance level is less than the specific value of the  
385 annual probability of performance level not being met, the design requirements for IS 1893 (2002)  
386 fails to satisfy this criterion for higher seismic zones (Zones IV and V).

387

## 388 **SUMMARY AND CONCLUSIONS**

389 The present study evaluates the relative seismic vulnerability of GLD framed building subjected  
390 to seismic hazards corresponding to various seismic zones of India (IS 1893:2002). The  
391 vulnerability of a typical four storey GLD building is studied using a probabilistic performance-  
392 based approach in terms of fragility curves, drift hazard curves, the probability of unacceptable  
393 performance and the confidence levels. Salient conclusions of this study are listed as follows.

- 394 • The SAC-FEMA method for the probabilistic assessment of steel buildings has been verified  
395 for its applicability to GLD RC buildings through more rigorous MCS method. Results show  
396 that the SAC-FEMA method is in reasonably good agreement with the more accurate MCS  
397 method to predict the fragility curves. The Results of the MCS method are found to be  
398 supporting the log-normality assumption of SAC-FEMA method.

- 399 • The GLD building is found to be more vulnerable compared to the buildings designed for  
400 seismic forces. The increase in vulnerability of the GLD building (in comparison with  
401 buildings designed with seismic force) increases with the level of seismic hazard. For example,  
402 a GLD building has twice the seismic risk of buildings designed to seismic load at Zone-II  
403 (PGA of 0.1g) at a performance level 1.0% drift. This value of relative seismic risk can be  
404 more than 100 for a higher seismic zone (Zone V).
- 405 • Confidence levels in meeting the performance objectives for the GLD buildings are found to  
406 be consistently less in all the seismic zones than that of the corresponding buildings designed  
407 for the seismic load. The decrease in the confidence levels of GLD buildings increases as the  
408 seismic zone level and performance objective level increases.
- 409 • The results of the present study indicate that the GLD buildings existing in seismic zones IV  
410 and V of India should be immediately uninhabited to avoid devastating situations like Nepal  
411 (2015) and Kashmir (2005) earthquakes.

412

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