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Modeling the effect of occupants' behavior on household carbon emissions

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Abstract

Occupants' behavior has proven its significant impact on buildings performance. The research on carbon emissions has therefore recommended the integration of the technical and behavioral disciplines in order to accurately predict buildings carbon emissions. While various models were developed that consider the actions of occupants based on quantitative data, there are little efforts that link the impact of occupants' behavior on selected energy strategies while also consider the economic, technological, and environmental impacts. For this research, a dynamic model will be developed to simulate the interaction of occupants' behavior with various energy efficient scenarios to reduce carbon emissions. The model will help test the effectiveness of certain energy efficient scenarios before implementation. This paper illustrates the structure and the application of the proposed model. The model results show that the behavioral change can contribute enormously to the carbon emissions reduction even without the installation of more energy efficient improvements.

Keywords: Household Carbon Emissions, Occupants Behavior, System Dynamics

29 **Introduction**

30 Building Services Research Information Association (BSRIA) (2011) reported that the
31 currently used technology is a key reason for creating a gap between the actual and the
32 predicted performance of buildings. Mahdavi and Pröglhöf (2009), and Azar and Menassa
33 (2012) submitted that occupants' behavior affects significantly on the dwellings performance.
34 Occupancy-focused interventions can systematically reduce energy consumption especially
35 for existing buildings where installing energy efficient technologies is demanding, Oreszczyn
36 and Lowe (2010). Therefore, the research in this area has been developed in a multi-
37 disciplinary approach that integrates engineering, economics, psychology, or sociology and
38 anthropology disciplines in order to accurately predict the performance of dwellings when
39 occupied, such as the work of: Gram-Hanssen (2014); Tweed *et al.* (2014); CIBSE (2013);
40 Kelly (2011); Abrahamse & Steg (2011); Yun & Steemers (2011); Bin & Dowlatabadi
41 (2005); Bartiaux & Gram-Hanssen (2005); Moll *et al.* (2005); and Hitchcock (1993). These
42 studies identified the affecting variables, ranked them according to importance, and explained
43 their effects on the household energy consumption.

44

45 As a system, the physical components of dwellings are generally reliable. However, the
46 occupants related variables are unreliable, non-linear, and can be irrational. Modeling
47 approaches of energy consumption are quite different from that of occupants' behavior.
48 Although Borgeson and Brager (2008) have used stochastic algorithms to capture the non-
49 linear and unpredictable actions posed by occupants and mapped this with climate data, these
50 models do not sufficiently integrate the occupants' behavioral aspect with energy and carbon
51 emission models.

52

53 The UK Standard Assessment Procedure (SAP) assigns energy rating to dwellings. However,
54 SAP does not fully consider the householders' characteristics in terms of individual
55 occupants' behavior and household size, Building Research Establishment (BRE) (2011). The
56 Inter-governmental Panel on Climate Change (IPCC) (2007) emphasized that "*occupant*
57 *behavior, culture and consumer choice and use of technologies are also major determinants*
58 *of energy use in buildings and play a fundamental role in determining carbon emissions*".
59 IPCC (2007) also suggests that energy models should fully incorporate these determinants.
60 Despite BRE Domestic Energy Model incorporates elements of occupants' aspect (such as:
61 number of occupants), they are not explicitly considered, Natarajan *et al.* (2011). Studies of
62 Okhovat *et al.* (2009); Dietz *et al.* (2009); Nicol and Roaf (2005) have given some attention
63 to occupants behavior when evaluating dwellings performance.

64
65 Gill *et al.* (2010) estimated how occupants' behavior contributes to variations in dwelling
66 performance using simple statistical computation. Williamson *et al.* (2010) investigated a
67 number of Australian dwellings to test if they meet relevant regulatory standards and revealed
68 that the regulatory provisions do not comprise the variety of socio-cultural understandings,
69 the inhabitants' behaviors and their expectations. The study then suggests that occupants'
70 behaviors should be captured by the standards and regulations.

71
72 In this respect, occupancy-focused interventions have been researched which take various
73 forms, such as: continuous occupancy interactions, discrete energy interventions, green social
74 marketing campaigns, and feedback techniques, Allcott and Mullainathan (2010); Carrico and
75 Riemer (2011). Peer pressure, as a continuous interaction technique; considerably affect
76 people behavior towards energy use, Peschiera (2012). This effect varies based on the type of
77 buildings; residential verses commercial, Azar and Menassa (2014). Residential buildings

78 tend to have one-social network, however, commercial buildings include multi-social
79 networks representing the different groups of occupants in these buildings. Considering
80 different social groups and the concept of social sub-networks in buildings to represent the
81 multiplicity of cultural attitudes have been addressed by many researches, Mason *et al.*
82 (2007). The discrete occupancy interventions provide opportunities to minimize energy use.
83 Combination of all interventions is required to ensure an improved and sustainable behavioral
84 change over time, Chen *et al.* (2012). Moreover, the concept of variability (occupant's energy
85 intensity over time) was identified to reflect the possibility of an occupant to adopt new
86 energy-use characteristics, Verplanken and Wood (2006). It represents the possibility of a
87 person with strong energy-use attitude to be influenced easier or harder than a person with
88 flexible energy-use attitude. This approved that habits and attitudes of occupants should be
89 considered as main factors when different occupancy intervention techniques are introduced.
90
91 Other studies focused more on the classification of occupants' behavior. Barr and Gilg (2006)
92 examined the relationship between different behavioral properties and alternative
93 environmental lifestyles. Clusters of individuals were defined: "committed
94 environmentalists", "mainstream environmentalist", "occasional environmentalists", and
95 "non-environmentalists" with variables relating individuals to each cluster. The Scottish
96 Environmental Attitudes and Behavior (SEAB) (2008) also identified environmental
97 behaviors as: disengaged, distanced, shallow greens, light greens and deep greens. However,
98 Accenture (2010) have introduced eight different categories. The Low Carbon Community
99 Challenge Report (published by the Department of Energy and Climate Change (DECC)
100 (2012)) also has its classification as energy wasters, energy ambivalent, energy aware, and
101 active energy savers. Further similar studies such as Azar and Menassa (2012) and Energy
102 Systems Research Unit (ESRU) (2012) defined frugal, standard, and profligate energy

103 consumers. Frugal consumers use energy efficiently. Standard consumers are occupants who
104 do not spend much effort to reduce energy consumption. Profligates are using energy
105 extensively.

106

107 For modeling occupants' interaction with dwellings, Stevenson and Rijal (2010) argue that
108 there is a need for a more scientific methodology to link the technical aspect of energy
109 consumption and occupants' behavior in dwellings. There are also previous studies which
110 mainly focus on the interactions of occupants with energy devices in dwellings, Rijal *et al.*
111 (2011); Prays *et al.* (2010); McDermott *et al.* (2010); Haldi & Robinson (2009); Humphreys
112 *et al.* (2008); Kabir *et al.* (2007); Soldaat (2006); Bourgeois *et al.* (2006); Herkel *et al.*
113 (2005); Humphreys & Nicol (1998); Newsham (1994); Fritsch *et al.* (1990); and Hunt (1979).

114 The majority of these studies focused on occupants' behavior to control energy such as using
115 windows for lighting and thermal comfort. Other models have been developed to simulate the
116 occupants' actions based on quantitative data. However, there are little efforts that link the
117 impact of occupants' behavior on selected energy strategies while considering also the
118 economic, technological, and environmental impacts; which this research will focus on.

119

120 This research will build on these previous studies and aims to develop a model to simulate the
121 interaction of occupants' behavior with various energy efficient and carbon emissions
122 scenarios. The model will help test the effectiveness of certain energy efficient scenarios
123 before implementation. This paper illustrates the structure and the application of the proposed
124 model.

125

126 **Model structure**

127 From the aforementioned discussion, dwellings have two main subsystems which affect each
128 other: the physical (technical) subsystem which represents the dwellings
129 characteristics/parameters and the human (social) subsystem which represents occupants'
130 actions. The variables of the social system include occupants' behavior, occupants' thermal
131 comfort, and household characteristics. The outer environment of the dwellings should also
132 be considered as it has key influences on both the technical and social systems.

133

134 The outer environment such as the climatic variables (*e.g.* external temperature, rainfall)
135 affect on the dwellings' heating and ventilation. The occupants' reactions to these effects
136 vary depending on many determinants such as cultural, economic and demographic. This
137 creates a complex system with multi-causal relationships and interdependencies. The
138 variables can be "soft" and/or "hard" with a non-linear changeable behavior over time
139 including multiple feedback loops. Therefore, the proposed model in this research will test
140 various strategies to reduce household carbon emissions considering different occupants'
141 behaviors. The modeling approach adopted for this research uses System Dynamics (SD)
142 methodology.

143

144 The first stage of the methodology reviews the literature and published datasets for energy
145 consumption and CO₂ emission in dwellings to identify the model's variables, boundary, and
146 reference modes. 'Reference mode' is the past record of the model variables and how its
147 future trend might be. It is used to validate the results of the proposed model. For this stage,
148 the reports of the UK Department of Energy and Climate Change, metrological department,
149 Office of National Statistics, and Building Research Establishment have been reviewed. The
150 qualitative data used for the model was collected via interviews with energy experts to

151 develop the relationships among variables with no empirical data and/or evidence of
152 relationships, and also to ascertain the correctness of the initial relationships drawn.

153

154 SD modeling requires developing Causal Loop Diagrams (CLDs) and Stock-Flow Diagrams
155 (SFDs) for the studied system. CLDs show how each variable relate with one another. The
156 details of the CLDs developed for this model can be found elsewhere; Motawa and Oladokun
157 (2015). SFDs covert these CLDs into model formula to simulate the relationships among the
158 identified variables. The SFDs are the central concepts of dynamic systems theory, Sterman
159 (2000). The proposed model consists of six modules as shown in Figure 1: dwelling internal
160 heat, population/household, occupants' thermal comfort, household energy consumption,
161 climatic-economic-energy efficiency interaction, and household CO₂ emissions. The
162 feedback relationships among these modules represented by the identified loops show the
163 complexity of the system. This paper will focus on the part of the model which simulates the
164 effect of occupants' behavior to achieve thermal comfort. The SD environment "Vensim"
165 was used for the simulation of the developed modules.

166

167 Insert Figure 1

168

169 **Occupants Thermal Comfort Module**

170 To estimate thermal comfort, the following parameters are required: wet bulb globe
171 temperature, effective temperature, resultant temperature, and equivalent temperature. Fanger
172 (1970) used basic heat balance equations with empirical studies for skin temperature in order
173 to develop the Percentage People Dissatisfied and the Predicted Mean Vote parameters that
174 can measure thermal comfort, ISO (1994). In addition, the Chartered Institution of Building
175 Services Engineers (CIBSE) (2006a; 2006b) identified comfort measures in certain areas of

176 the dwellings for certain occupants' activity, clothing levels, and temperature. The guide of
177 CIBSE (2006b) identifies for bedrooms in winter, for example: clothing level of 2.5 clo., an
178 operating temperature of 17 – 19⁰C, and occupants' activity of 0.9 met. In addition to specific
179 studied parameters, this module also employs the criteria set out by CIBSE (2006b). These
180 criteria and parameters for estimating occupants' thermal comfort include: 'perceived
181 dwelling temperature', Humidex value, clothing, windows opening within the dwelling,
182 occupants' metabolic build-up, dwelling internal temperature, 'probability of window
183 opening', and 'probability of putting on clothing' by occupants based on the qualitative data
184 collected at the model conceptualization stage. The stock-flow diagram developed to
185 represent the relationships among these criteria and parameters is shown in Figure 2.

186

187 Based on these criteria and the developed stock-flow diagram, Equations 1 and 2 below
188 formulate the "occupants' comfort" and "occupants' metabolic build-up". For example, the
189 'occupants comfort' stock is accumulated by the inflow 'perceived dwelling temperature'
190 which depends on the windows opening within the dwelling, clothing, occupants' metabolic
191 build-up, and Humidex value. 'Humidex value' was driven by the relative humidity extracted
192 from the Humidex chart (shown in Figure 3) and the dwelling internal temperature. These
193 degrees of comfort have been qualitatively represented by the use of lookups within the
194 model. The relative humidity is the driving data within this module (summary is shown in
195 Table 1). The lookups in Figures 4 and 5 show the 'probability of putting on clothing' and
196 'probability of window opening' based on the qualitative data collected at the model
197 conceptualization stage, details of the data collection for this stage can be found elsewhere,
198 Oladokun (2014). Examples of the developed SD equations are shown in equation 3:5 for the
199 calculation of the Humidex value and occupants' comfort. The main output of this module

200 determines the level of occupants' comfort as a key variable to find the overall carbon
201 emissions as will be discussed next.

202

203 Insert Figure 2

204 Insert Figure 3

205 Insert Table 1

206 Insert Figure 4

207 Insert Figure 5

208

209 $OC(t) = INTEGRAL [PDIT, OC(t_0)] \dots \dots \dots (Eq. 1)$

210 $OMB(t) = INTEGRAL [OAL + PDIT, OMB(t_0)] \dots \dots \dots (Eq. 2)$

211 $HV = IF (DIT < 21 : AND: RH < 45), THEN (DIT), ELSE (NDHS) \dots \dots \dots (Eq. 3)$

212 $NDHS = IF (DIT < 30 : AND: RH > 25), THEN (NDHS), ELSE (SDHS) \dots \dots \dots (Eq. 4)$

213 $SDHS = IF (DIT < 36 : OR : RH > 50), THEN (SD), ELSE (GD) \dots \dots \dots (Eq. 5)$

214

215 **Household Carbon Emissions Module**

216 The household carbon emissions module simulates end uses of energy, namely; (hot water,
217 space heating, lighting, cooking, and appliances). The developed SFD for 'space heating', as
218 an example, is shown in Figure 6. The Figure illustrates the interrelationships among few key
219 variables simulated to calculate the amount of space heating. In addition to 'Occupants'
220 behavior', there are: rate of space heating, space heating energy, effect of energy efficiency
221 on space heating, effect of energy bills on energy consumption, setpoint temp, dwelling
222 internal temp, Space Heating Energy Consumption, energy to carbon conversion, and energy
223 to carbon conversion factor. As indicated by the SD equations (6:10), adding these end uses
224 of household energy consumption results in the calculation of the 'Average annual household

225 energy consumption'. Multiplying 'households' by this 'average annual energy consumption
 226 per household' results in the calculation of the total annual household energy consumption.
 227 Table 2 shows the data driving this module. The conversion factor 'energy to carbon
 228 conversion' is then used to determine carbon emissions. For the developed model, this factor
 229 is assumed for the conversion of energy from electricity source only. Ideally, a factor for each
 230 different fuel source should be identified separately then aggregated for all end uses of
 231 energy.

233 Insert Table 2

234 Insert Figure 6

$$236 \quad RSH = (SHE * EEESH / EEBC * 1.14 - 0.15 * FORECAST(SHE * 0.53, 39, 450)) * \\ 237 \quad (0.60 * ST) / DIT) \dots \dots \dots (Eq. 6)$$

$$238 \quad SHEC(t) = INTEGRAL [(RSH - ECC), ISHE (t_0)] \dots \dots \dots (Eq. 7)$$

$$239 \quad ECC = SHEC * ECCF \dots \dots \dots (Eq. 8)$$

$$240 \quad AAECH = CEC + HWECH + LEC + SHEC + AEC \dots \dots \dots (Eq. 9)$$

$$241 \quad TAHEC = AAECH * HO / 10^6 \dots \dots \dots (Eq. 10)$$

242
 243 The model uses the three behavioral classifications: 'frugal', 'standard', and 'profligate';
 244 adopted from ESRU (2012) and Azar and Menassa (2012). An assumption was informed to
 245 formulate the algorithm for energy consumption relative to the frugal, standard, and
 246 profligate behaviors based on the data published in the Intertek (2012) report. Further work is
 247 underway to consider more occupants' behavior variables such as: "occupants' social class
 248 influence" and "occupants' cultural influence"; which are currently assumed exogenously

249 variables for this model. External environment variables such as energy securities and
250 political uncertainties are also considered exogenously variables at this stage of the research.

251

252 **Behavior Analysis of Occupants Thermal Comfort Module**

253 A baseline scenario has been designed to run the proposed model assuming that the existing
254 trends of energy consumption are continuing until 2050. The 'standard' occupant's behavior
255 is assumed for the 'baseline' scenario. The dwelling internal temperature is assumed to be
256 19°C as an average degree for the whole dwelling.

257

258 The perceived dwelling temperature as a model of occupants' comfort will be the output of
259 this module. However, the input data includes the average relative humidity and the average
260 dwelling internal temperature. The perceived dwelling temperature as produced by the model
261 in Figure 7 is determined based on the Humidex chart in Figure 3. It is clear that the
262 increased pattern of the perceived dwelling temperature resembles the pattern of the average
263 dwelling internal temperature. To obtain better comfort level, the model assumes two
264 occupants' actions to respond to this increase of the perceived dwelling temperature: putting
265 on higher thermal resistance clothes or opening windows. Relevant qualitative data was
266 collected to model the probabilities of these two actions. As shown in Figure 8, the model
267 results indicate that the probability of putting on higher thermal resistance clothes declines
268 over the years, while the probability of occupants opening windows increases as the
269 perceived dwelling temperature increases. This is consistent with the global climate warming
270 predictions.

271

272 As the perceived dwelling temperature increases, the pattern of occupants' comfort and
273 occupants' metabolic build-up grow over time, as shown in Figures 9 and 10. Consequently,

274 a decline in the quest for hot water usage and more space heating is expected. Logically,
275 these growths would reach a saturation level considering the two aforementioned actions of
276 occupants to regulate comfort. Artificial ventilation may be possibly used more if the two
277 occupants' actions fail to achieve a satisfactory comfort level.

278

279 Insert Figure 7

280 Insert Figure 8

281 Insert Figure 9

282 Insert Figure 10

283

284 **Behavior Analysis of Household Carbon Emissions Module**

285 The output of the Occupants Thermal Comfort Module is a key input to this module. For the
286 example given in this paper of space heating as one of the components of Household carbon
287 emissions, the behavior of this module will be discussed.

288

289 Figure 11 shows the model results of 15MWh as an average space heating per household for
290 the first four decades. An increase in space heating energy has been observed until 2004, and
291 then a decline is observed. The initial growth is possibly because occupants raise the internal
292 temperature to get better thermal comfort. In 2010, the bad weather conditions led to another
293 sharp increase. As the results show, the space heating energy will continue to decline until
294 2050 mainly because of the energy efficiency improvements in order to comply with building
295 regulations. This decline can be also linked to the increasing energy costs from 2004 as noted
296 by Summerfield *et al.* (2010) and the milder winters (Palmer & Cooper, 2012).

297

298 Table 3 illustrates the expected decrease in household carbon emissions in years 2020 and
299 2050 compared with the year 1990 emissions. It is expected that there will be a reduction of
300 49.73 million tones of CO₂ by the year 2020 (about 29%). Therefore, based on the assumed
301 ‘baseline’ scenario, the reduction of 34% targeted by the 2008 Climate Change Act will not
302 be achieved. For the year 2050, the model results show a reduction of 83.73 million tones of
303 CO₂ (about 48%) which also suggests that the conditions of the ‘baseline’ scenario are not
304 sufficient to achieve the reductions of 80% targeted by the 2008 Climate Change Act.

305

306 Having discussed the model results for the baseline scenario, the following section discusses
307 a scenario of occupants’ behavior change over time due to potential more concern about
308 carbon emissions reduction.

309

310 **‘Behavioral Change’ Scenario**

311 As the major assumptions of the ‘baseline’ scenario are not sufficient to achieve the UK
312 target reduction in carbon emissions, further proposals should be considered. For the
313 developed model, occupants’ behavioural change is assumed as more concern from occupants
314 towards energy consumption is expected. Therefore, ‘frugal’ behaviour is assumed rather
315 than the ‘standard’ behaviour; i.e. attitude of more energy saving. This may make occupants
316 maintain a reduced internal temperature. The dwelling internal temperature is therefore set at
317 18.5°C. With the ongoing increase in energy prices, energy bills will be assumed higher by
318 5% over the ‘baseline’ scenario values. The household energy efficiency is assumed similar
319 to the ‘baseline’ scenario. The same effects of the ‘average household size’ and the ‘number
320 of households’ are also anticipated as generated by the model based on the historical record.

321

322 **Analysis of the results of the ‘Behavioral Change’ Scenario**

323 The total household carbon emission is shown in Figure 12 for the behavioral change effect
324 in comparison with the baseline scenario. Table 3 shows the household carbon emissions in
325 2020 and 2050 compared with the year 1990. The analysis reveals that there is substantial
326 reduction in the energy consumption under the ‘behavior change’ scenario which emphasizes
327 Janda’s (2011) comment ‘*buildings don’t use energy; people do*’. A total of 40.95% and
328 58.47% reduction in carbon emissions relative to 1990 base is expected by this behavioral
329 change by the year 2020 and 2050 respectively. This is actually a decent percentage showing
330 the high impact on energy consumption by occupants’ behavior even without the effect of
331 more advanced energy efficiency improvements. With the effect of more energy efficient
332 technologies installed in dwellings, the target of 80% reduction may be achieved.

333

334 Insert Figure 11

335

335 Insert Figure 12

336

336 Insert Table 3

337

338 **Model evaluation**

339 SD models should be first qualitatively evaluated by experts in the field. Sterman (2000)
340 highlighted that model structure should be consistent with relevant descriptive knowledge of
341 the system and conforms to basic physical laws. The level of aggregation of the model should
342 be also appropriate.

343

344 Fifteen experts from energy and SD backgrounds took part in the model evaluation process;
345 brief details about them are shown in Table 4. The interviewees of each field have an average
346 of 17.5 and 18.4 years of experience on issues relating to household energy and system

347 dynamics respectively. The interview started with a description of the research, its aim,
348 objectives, and the purpose of the evaluation process. The interviewees were then given the
349 final CLDs and the SFDs together with the assumptions made for each module. The
350 ‘baseline’ scenario and other trial scenarios (including the ‘behavior change’ scenario) were
351 then simulated and the main outputs from the model were presented. Furthermore, the system
352 dynamics experts have had additional scrutiny to test the model behavior, structure, and
353 equations and assess their appropriateness and conformity with the general rules of SD
354 modeling.

355

356 Insert Table 4

357

358 Martis (2006) suggest that models should be adequately evaluated against the criteria of:
359 logical structure, clarity, comprehensiveness, practical relevance, applicability, and
360 intelligibility. A scoring scale attributed for evaluating the criteria is shown in Table 5 and the
361 evaluation results are shown in Table 6.

362

363 Insert Table 5

364 Insert Table 6

365

366 The logical structure assesses the model consistency with the properties of the real system.
367 The mean score of 4.07 (which is above average) indicates that the model has an acceptable
368 logical structure to mimic the real system. The respondents also agree that the model has
369 enough clarity and practical relevance on issues relating to energy consumption and carbon
370 emissions with a mean score of 4.2 for both criteria. A mean score of 4.00 was given to the
371 model comprehensiveness which shows that the model captures the important variables that

372 influence energy and carbon emissions and is capable to address the problem under study.
373 With the assumptions made for the current version of this model, a mean score of 3.87 and
374 3.73 were given to Applicability and intelligibility of the model. While they are still above
375 average, the relatively low scores can be improved by further development of the model to
376 deal with these assumptions. This was clearly addressed in the feedback through highlighting
377 few exogenous variables to be considered endogenous, and through expanding the model
378 boundary to include other excluded variables. Their feedback was recorded for further data
379 collection and modeling.

380

381 The evaluation also aims to validate the SD model by conducting a number of structure-
382 oriented tests (e.g. dimensional consistency, parameter assessment, boundary adequacy,
383 structure assessment, integration error, and extreme conditions). There are also a number of
384 behavior pattern tests (e.g. family member, surprise behavior, behavior reproduction,
385 behavior anomaly, system improvement, and sensitivity analysis). Sterman (2000) concluded
386 that a model is behaviorally validated if its results show similarity with the behavior patterns
387 of the real system. Due to space limitation, one test of each group will be presented in this
388 paper. The full details of model evaluation can be found elsewhere; Oladokun (2014).

389

390 Among the main evaluation tests, there is the ‘extreme conditions test’ which evaluates how
391 the model responds to the variation of variables values. The model was run under the extreme
392 values of few key variables. For example, the variables of ‘insulation factor’ and ‘%
393 increment of energy bills’ were selected to show the sensitivity of the model. The two
394 variables are varied between 0% and 100%. Figure 13 and Figure 14 show the model results
395 that indicate the model behavior still make sense without any plausible or irrational response
396 to the extreme values.

397

398

Insert Figure 13

399

Insert Figure 14

400

401 The behavior anomaly test is a main test that evaluates how implausible behavior arises
402 should the assumptions made in the model altered, Sterman (2000). In order to conduct this
403 test, a loop knockout analysis was carried out on one of the loops in the occupants' thermal
404 comfort module to test its effect on the model output. Figure 15 shows the results of the test
405 which indicates that no anomaly or erratic behavior was noticed when the simulation was
406 performed.

407

Insert Figure 15

408

409 **Conclusions**

410 A dynamic model is introduced in this paper to simulate occupants' behavior effects to
411 reduce carbon emissions in dwellings. The systems theory has been followed for the model
412 development to consider the interrelationships among the technical, occupants' behavior and
413 the external environment of buildings. A number of factors have been used to represent
414 occupants' behavior based on: Humidex value for different degrees of comfort, the
415 'probability of putting on clothing' and the 'probability of window opening' within the
416 dwelling, and occupants metabolic build-up. Further work is underway to consider other
417 occupants' behavior variables such as: "occupants' social class influence" and "occupants'
418 cultural influence" which are currently assumed exogenously variables for this model.
419 Furthermore as a limitation to this proposed model, external environment variables such as
420 energy securities and political uncertainties are also considered exogenously variables at this
421 stage of the research. It is also proposed to consider, in further details, the impact of different

422 dwelling types on the model results and also the situation of having different temperature
423 degrees within the dwelling units instead of the assumption of one average degree for the
424 whole dwelling. The model can test the effectiveness of certain energy efficient scenarios for
425 the changes in occupants' behavior. It is concluded that carbon emissions can be vastly
426 reduced by changing occupants' behavior even without the installation of more energy
427 efficient improvements. With the effect of more energy efficient technologies installed in
428 dwellings, the target of 80% reduction set by the UK Climate Change act 2008 can be
429 achieved.

430

431 Notation

432 The following symbols are used in this paper:

433

434 *AEC* = Appliances Energy Consumption;

435 *AAECH* = Average Annual Energy Consumption per Household;

436 *CCF* = Carbon Conversion Factor;

437 *CEC* = Cooking Energy Consumption;

438 *DIT* = Dwelling Internal Temperature;

439 *EEBEC* = Effect of Energy Bills on Energy Consumption;

440 *EEESH* = Effect of Energy Efficiency on Space Heating;

441 *ECC* = Energy to Carbon Conversion;

442 *ECCF* = Energy to Carbon Conversion Factor;

443 *GD* = Great Discomfort;

444 *HWEC* = Hot Water Energy Consumption;

445 *HO* = Households;

446 *HV* = Humidex Value;

447 *ISHE* = Initial Space Heating Energy;
448 *LEC* = Lighting Energy Consumption;
449 *NDHS* = No Discomfort from Heat Stress;
450 *OAL* = Occupants Activity Level;
451 *OC* = Occupants Comfort;
452 *OMB* = Occupants Metabolic Build-up;
453 *PDIT* = Perceived Dwelling Internal Temperature;
454 *RSH* = Rate of Space Heating;
455 *RH* = Relative Humidity;
456 *ST* = Setpoint Temp;
457 *SD* = Some Discomfort;
458 *SDHS* = Some Discomfort from Heat Stress;
459 *SHE* = Space Heating Energy;
460 *SHEC* = Space Heating Energy Consumption;
461 *TAHEC* = Total Annual Household Energy Consumption.

462

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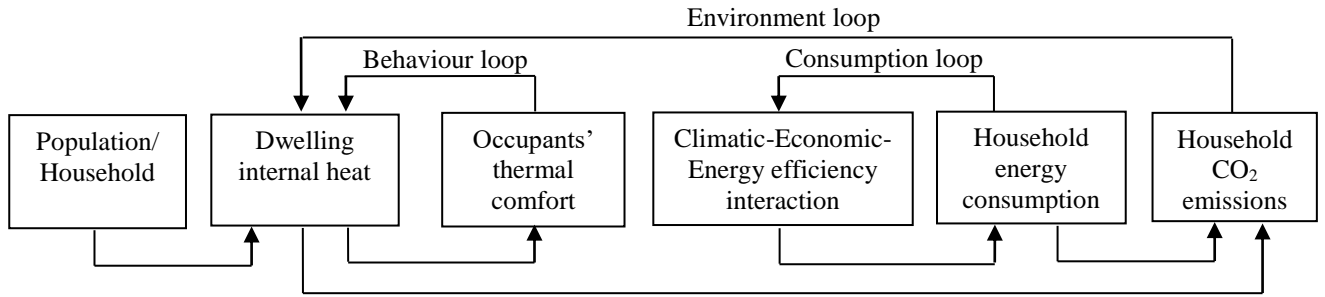


Figure 1: Household Energy Consumption modules

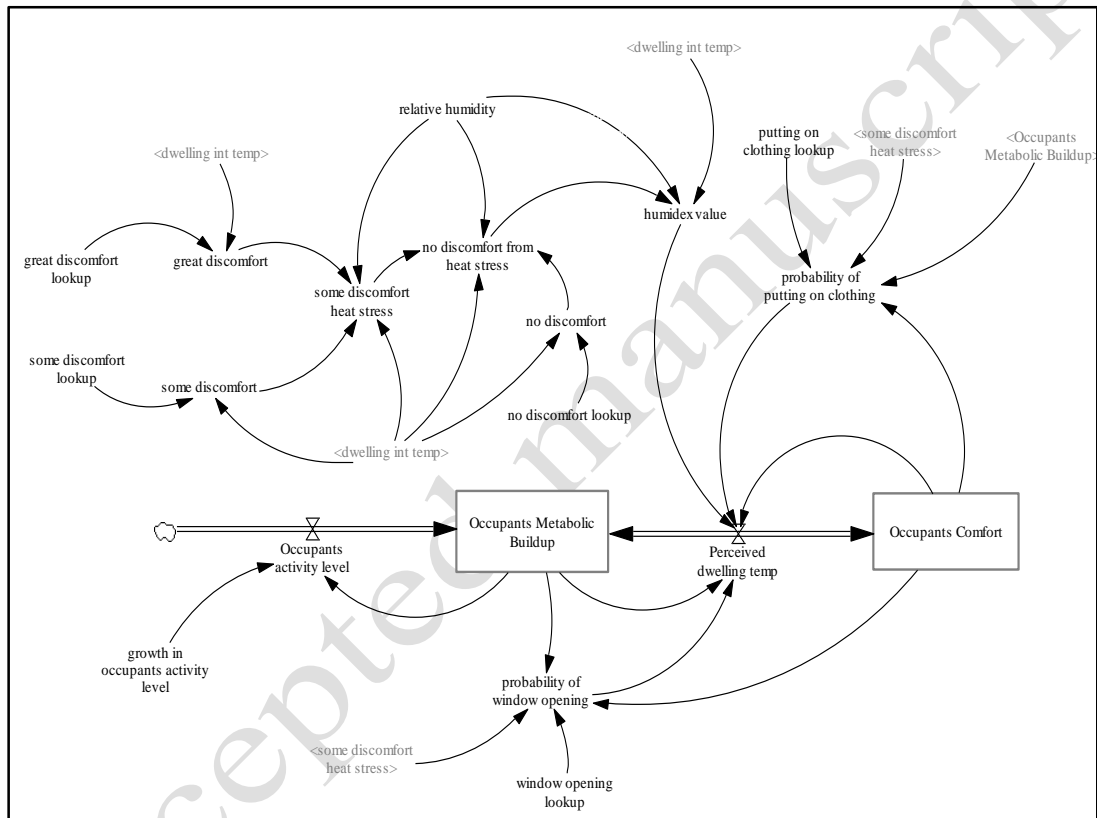




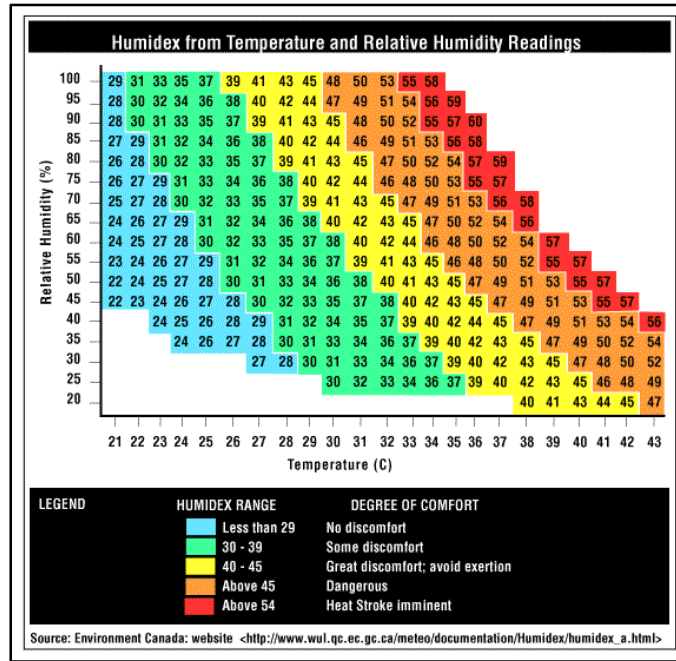


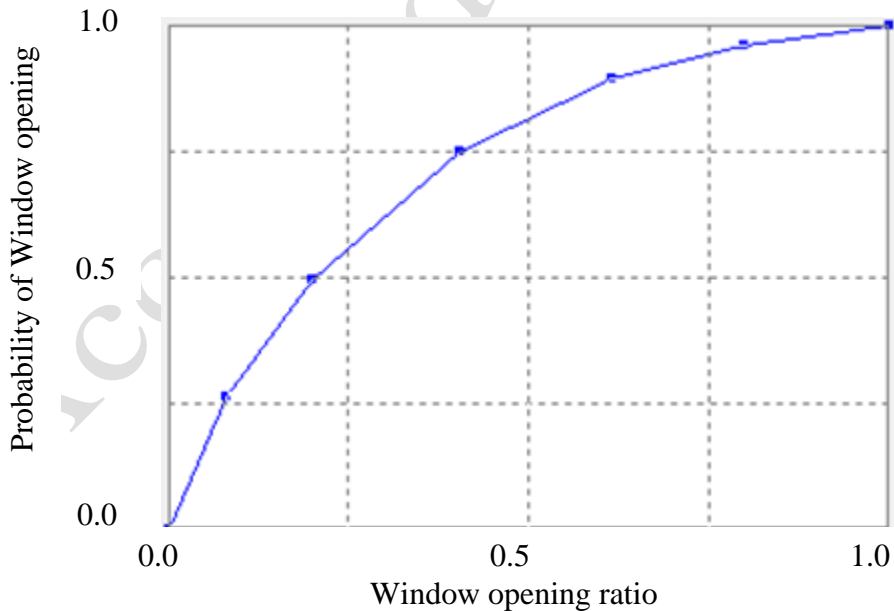
Figure 2: SFD for occupants thermal comfort module

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-  Stocks represent accumulations
-  Flows represent the changes to stocks
-  Flow rate
-  Cloud represents either Source/sink of the flow

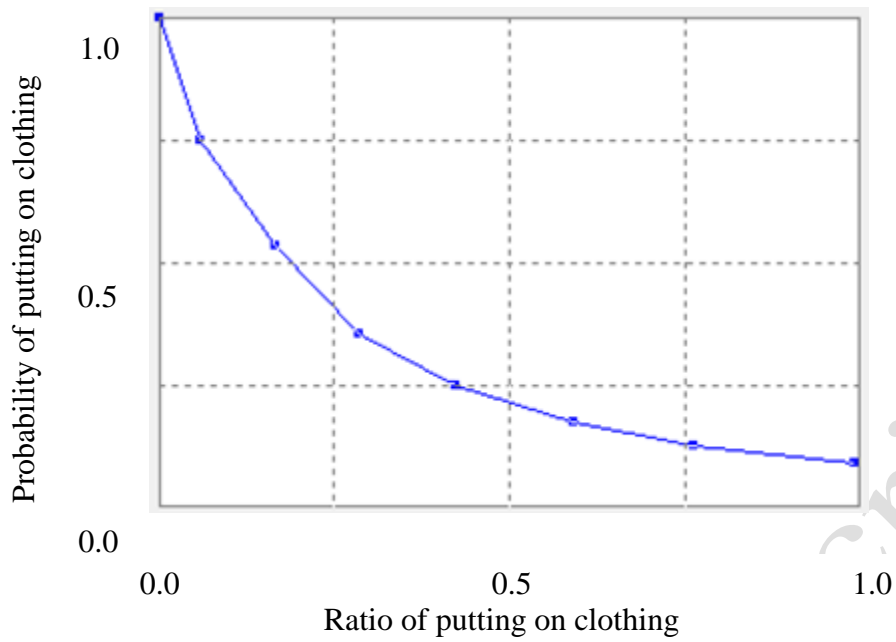


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714 **Figure 3:** Humidex chart (Source: Canadian Centre for Occupational Health and Safety)
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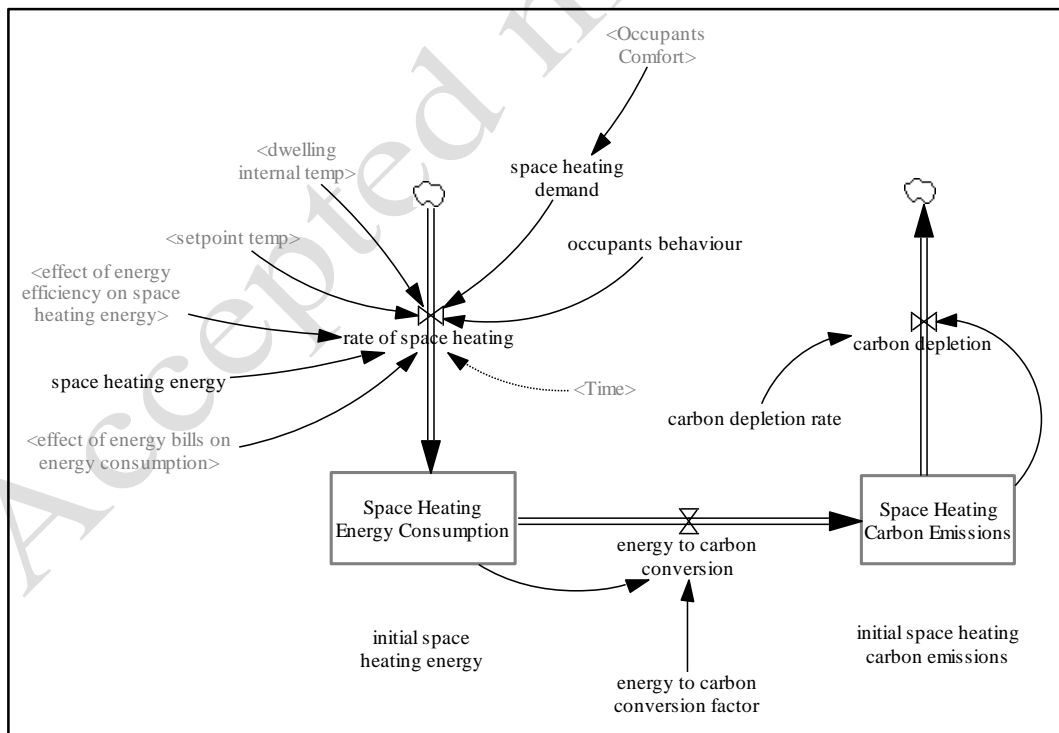
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728 **Figure 4:** Window opening lookup
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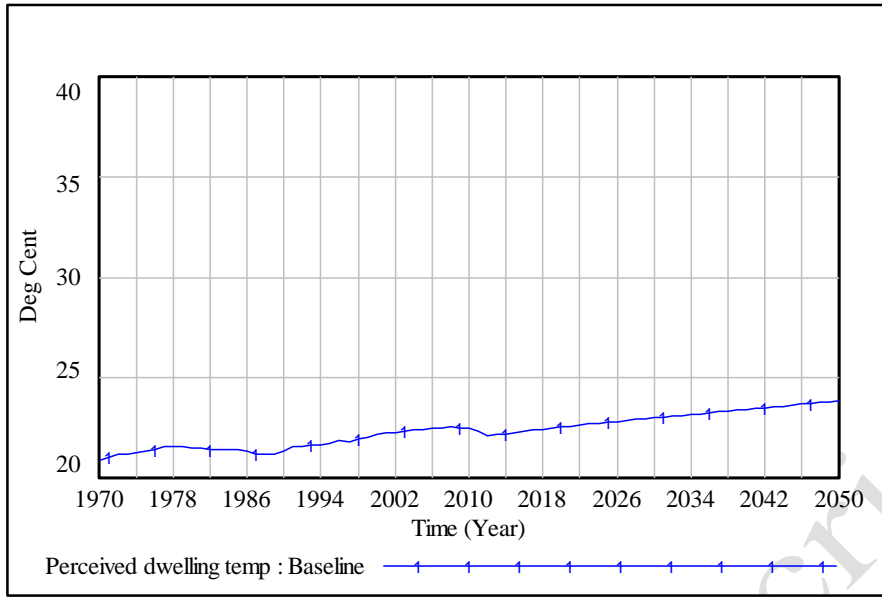
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Figure 5: Putting on clothing lookup



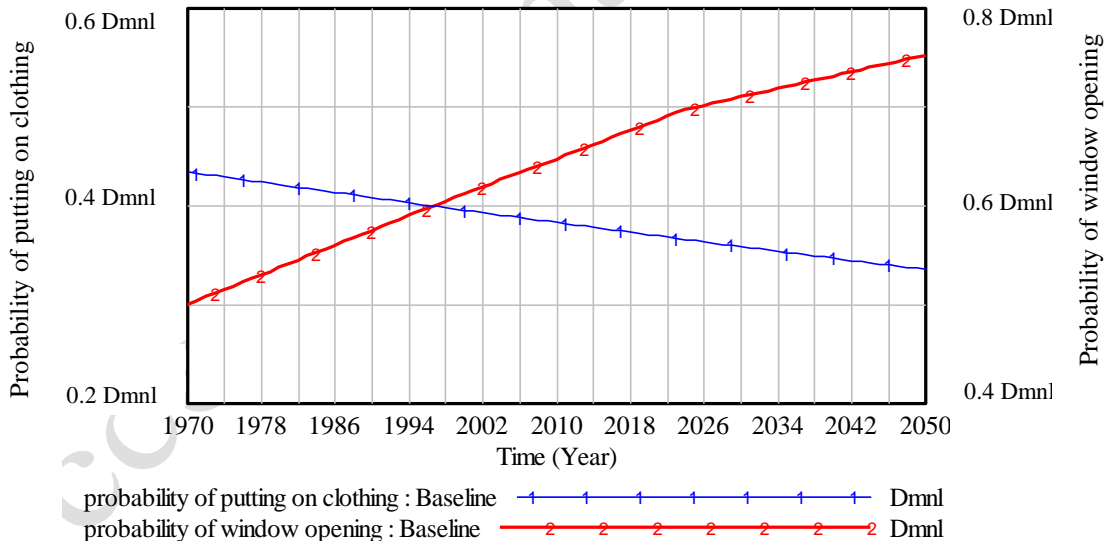
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Figure 6: SFD for space heating energy consumption and carbon emissions



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Figure 7: Perceived dwelling temperature under the 'baseline' scenario



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Figure 8: Probabilities of putting on clothing and window opening under the 'baseline' scenario

*Dmnl – dimensionless.

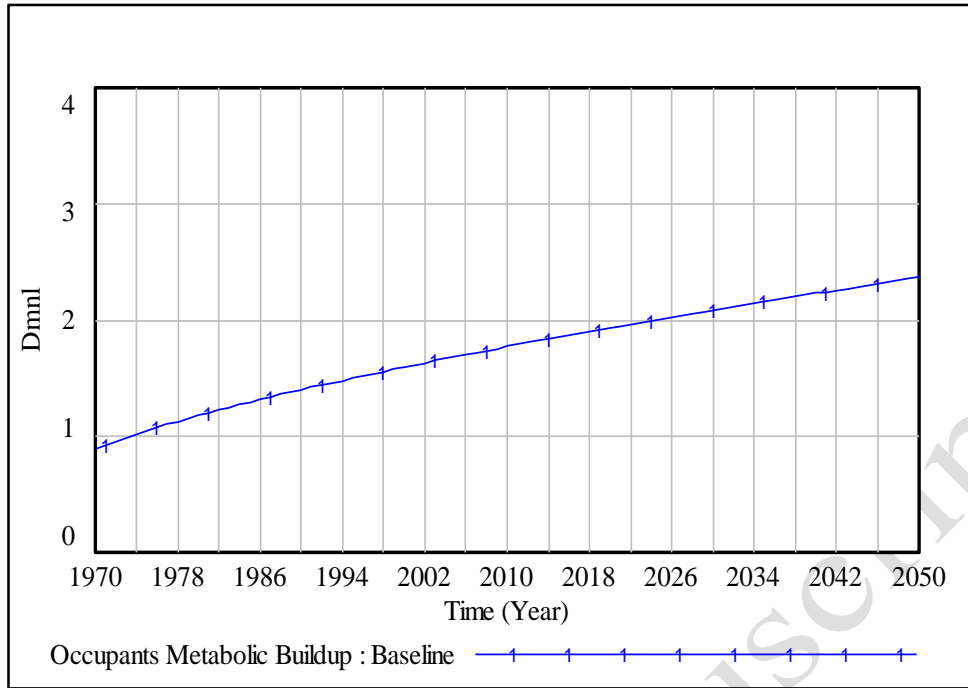


Figure 9: Occupants metabolic build-up under the 'baseline' scenario

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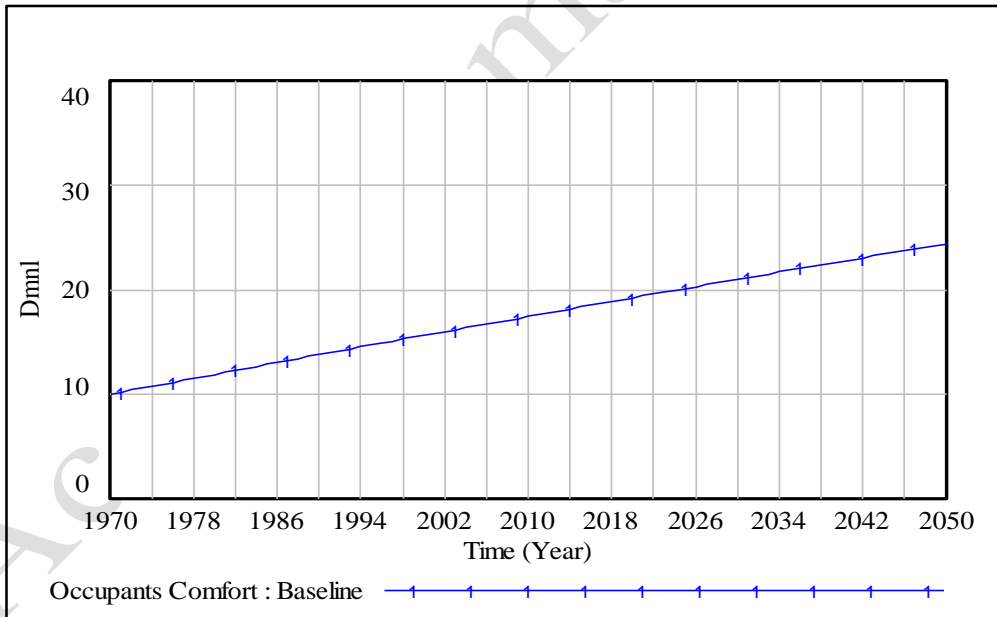


Figure 10: Occupants comfort under the 'baseline' scenario

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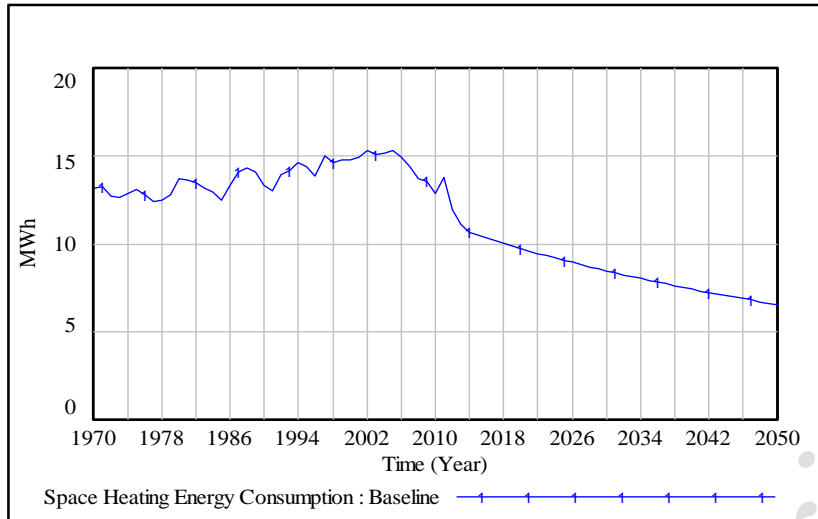


Figure 11: Average space heating energy consumption per household

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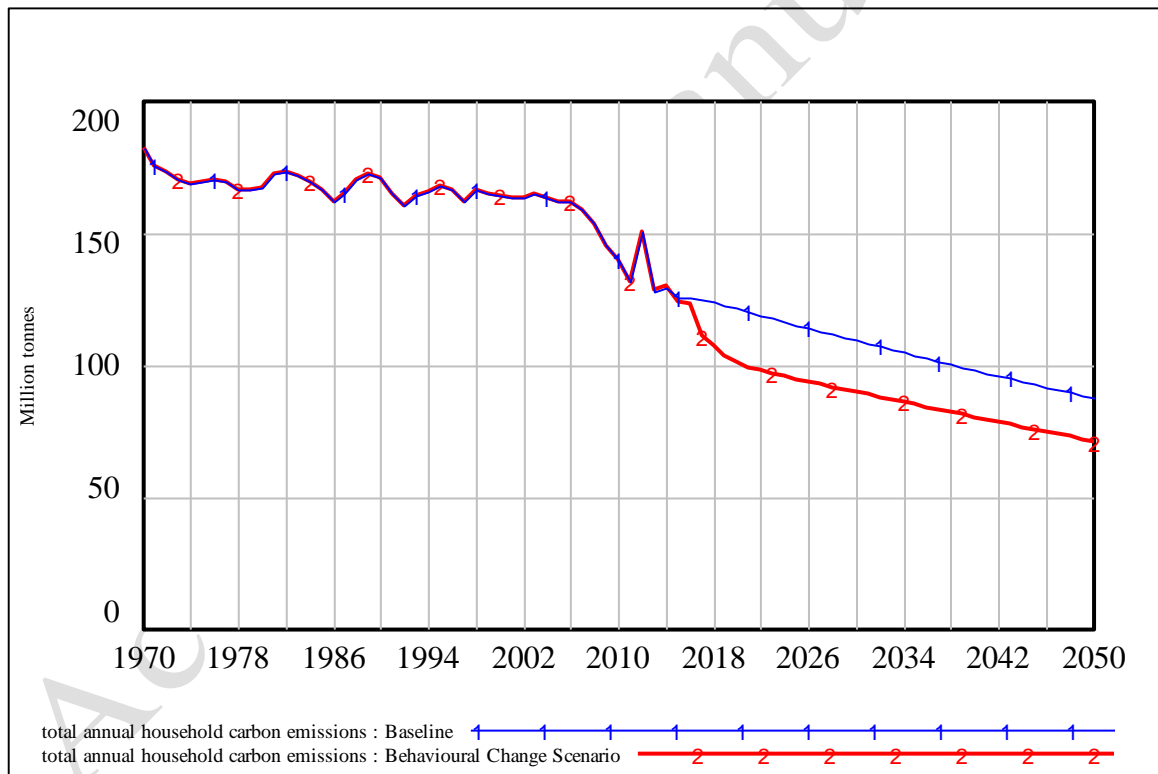
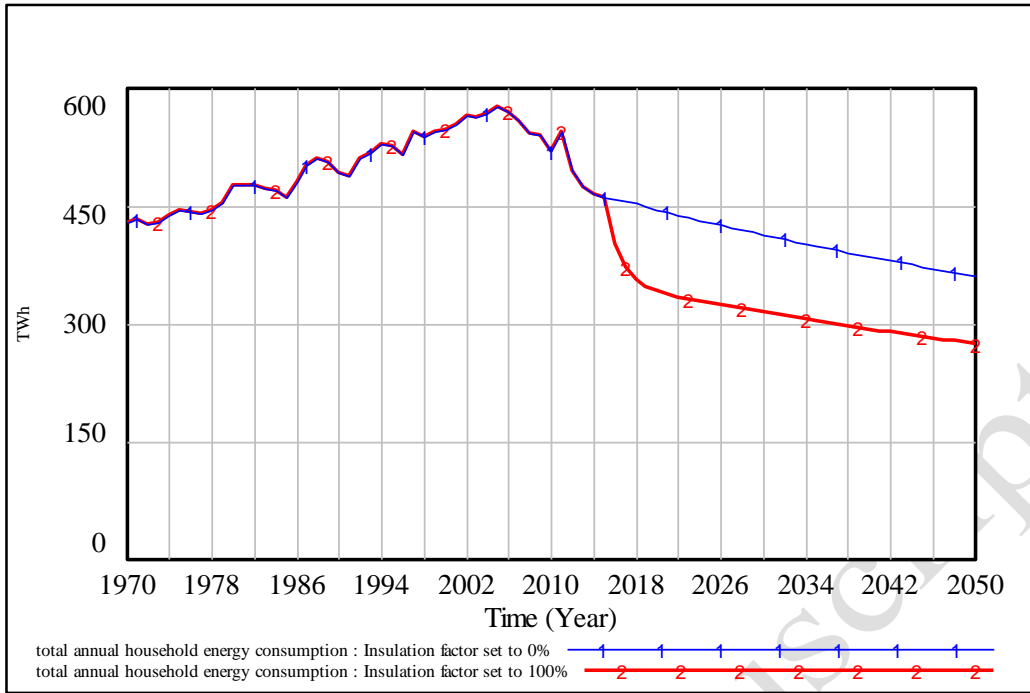


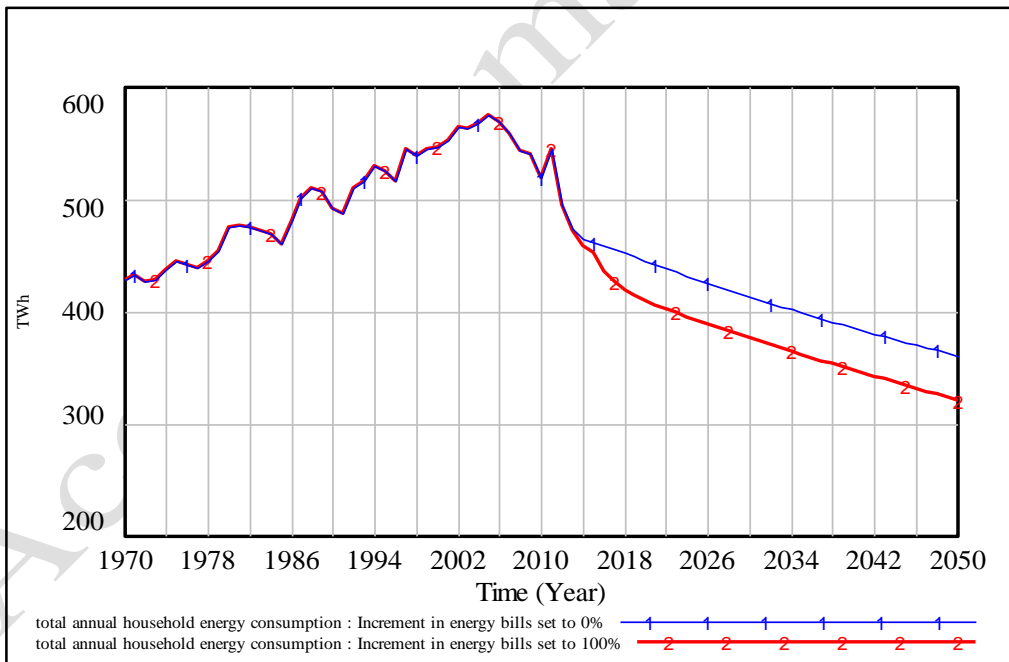
Figure 12: Total annual carbon emissions for the UK housing stock for the baseline and the 'behavioural change' scenarios

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Figure 13: Total annual household energy consumption under ‘insulation factor’ set to 0% and 100%



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Figure 14: Total annual household energy consumption under ‘increment in energy bills’ set to 0% and 100%

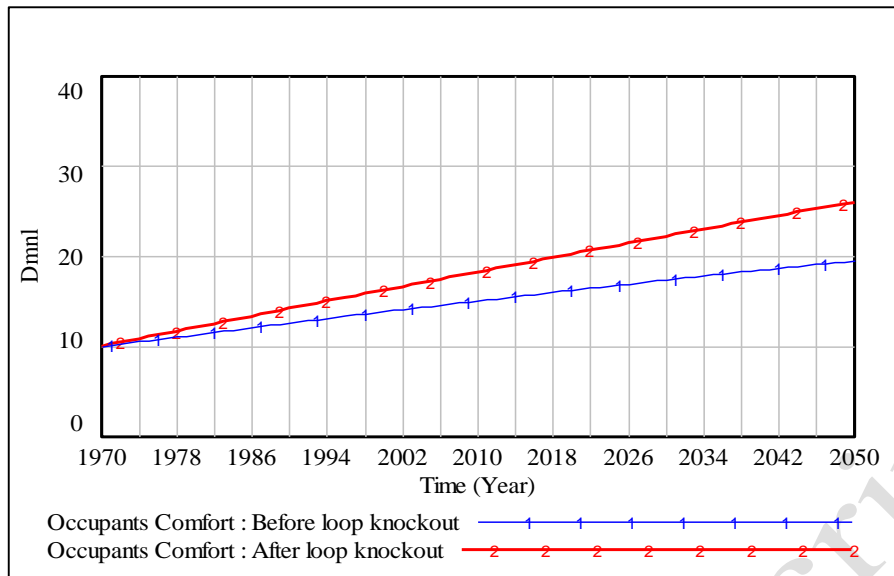


Figure 15: Effect of loop knockout on occupants' thermal comfort module

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834 **Table 1:** Sample data for relative humidity (adapted from: Met Office, 2013)

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Variable	Unit of Measurement	Minimum	Maximum	Mean	Standard Error	Standard Deviation
Relative humidity	Percentage	67	94	85.09	1.32	8.67

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844 **Table 2:** Sample data for household energy by end-uses (adapted from: Palmer & Cooper, 2012)

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Variable	Unit of Measurement	Minimum	Maximum	Mean	Standard Error	Standard Deviation
Space heating	MWh	10.14	15.84	13.54	0.18	1.19
Hot water	MWh	3.03	6.64	4.78	.17	1.10
Cooking	MWh	0.48	1.36	0.86	0.04	0.28
Lighting	MWh	0.55	0.69	0.65	0.01	0.04
Appliances	MWh	1.07	2.39	1.92	0.06	0.37

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854 **Table 3:** The household carbon emissions by end-uses for the baseline and the ‘behavioural change’ scenarios for the year 2020 and 2050 relative to 1990

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	(1990)	(2020)				(2050)			
	Tonnes of CO ₂	Baseline		Behavioural change		Baseline		Behavioural change	
		Tonnes of CO ₂	*(%)	Tonnes of CO ₂	*(%)	Tonnes of CO ₂	*(%)	Tonnes of CO ₂	*(%)
Space heating	94.47	53.19	-43.70	43.76	-53.68	32.46	-65.64	24.35	-74.22
Hot Water	44.15	32.09	-27.32	25.64	-41.93	25.71	-41.77	21.03	-52.37
Cooking	7.93	4.21	-46.91	4.75	-40.10	4.16	-47.54	4.81	-39.34
Lighting	6.04	5.50	-8.94	4.64	-23.18	4.61	-23.68	3.84	-36.42
Appliances	18.43	26.29	+42.65	22.19	20.40	20.35	+10.42	16.99	-7.81
Total	171.01	121.28	-29.08	100.98	-40.95	87.28	-48.96	71.02	-58.47

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**Relative to 1990 base as enshrined in Climate Change Act of 2008*

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864 **Table 4:** Brief details about experts participated in model evaluation

Category	Classification	Number of experts
Organisation Type	Public	6
	Private	9
Academic Qualification	Bachelor's degree	4
	Master's degree	9
	PhD	2
Years of Experience in Household Energy related issues	6-10	2
	11-15	3
	16-20	6
	21-25	1
Years of Experience in System Dynamics Modelling	11-15	1
	16-20	2

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Table 5: Evaluation scores

	'excellent'	'above average'	'average'	'below average'	'poor'
Score	5	4	3	2	1

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Table 6: Evaluation results

Criteria	Score					Mean Score*
	5	4	3	2	1	
Logical structure	4	8	3	0	0	4.07
Clarity	5	8	2	0	0	4.20
Comprehensiveness	3	9	3	0	0	4.00
Practical relevance	4	10	1	0	0	4.20
Applicability	2	9	4	0	0	3.87
Intelligibility	2	7	6	0	0	3.73

879 *Mean Score = $(5*n_5 + 4*n_4 + 3*n_3 + 2*n_2 + 1*n_1)/(5+4+3+2+1)$ where n_5, n_4, \dots correspond responses
880 relating to each score of 5, 4, respectively.

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