

1 **MESO-SCALE MIXED-MODE FRACTURE MODELLING OF REINFORCED**
2 **CONCRETE STRUCTURES SUBJECTED TO NON-UNIFORM CORROSION**

3
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12 **ABSTRACT**

13 Corrosion-induced concrete cracking is a significant problem affecting the durability of
14 reinforced concrete structures. Considerable research has been carried out in addressing this
15 problem but few have considered the cracking process of concrete as a mixed-mode fracture
16 and the concrete as a multi-phase material. This paper develops a meso-scale mixed-mode
17 fracture model for the cracking of concrete structures under non-uniform corrosion of
18 reinforcement. Concrete is treated as a three-phase heterogeneous material, consisting of
19 aggregates, mortar and interfaces. An example is worked out to demonstrate the application
20 of the derived model and is then partially verified against previously published experimental
21 results. In agreement with experimental results, the new model reproduces the observation
22 that microcracks tend to form first at the interfaces before they connect to generate a discrete
23 crack. Toughening mechanisms, e.g., microcrack shielding, crack deflection, aggregate
24 bridging and crack overlap, have been captured in the model. Further, effects of aggregate
25 randomness on the crack width development of concrete structures, differences between
26 uniform and non-uniform corrosion and a comprehensive parametric study have been
27 investigated and presented.

28 **Keywords:** non-uniform corrosion, cohesive crack model, meso-scale, reinforced concrete
29 structures, finite element method.

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31 1 INTRODUCTION

32

33 Reinforced concrete (RC) structures are widely used in civil engineering buildings, bridges,
34 retaining walls, tunnels, piers, etc. However, in environments rich in chloride (Cl) and
35 carbon dioxide (CO_2), the reinforcement in concrete is prone to corrosion. Deterioration
36 induced by corrosion of reinforcement is the greatest threat to the durability and service life
37 of civil engineering structures. Worldwide, the maintenance and repair costs for corrosion-
38 affected concrete infrastructure are estimated around \$100 billion per annum [1]. In the
39 context of climate change, the corrosion problem for RC structures and infrastructures is
40 likely to be exacerbated. Therefore, there is a well justified need for a thorough investigation
41 of the corrosion-induced cracking process, not least bearing in mind that the determination of
42 crack width is essential to the prediction of the serviceability of corrosion-affected RC
43 structures and to decision making regarding the repairs for the structures [2].

44

45 To model the corrosion-induced cracking of concrete, analytical methods have been often
46 employed to predict the time to surface cracking [3-6], crack patterns [7, 8] and crack width
47 development [2, 9]. Analytical models are rigorous in terms of mathematical formulation and
48 can be easily applied in engineering applications. However, the boundary conditions that can
49 be applied are limited and it is nearly impossible to predict the development of fractures
50 through multi-phase materials, which retards the widespread application of the analytical
51 method. Instead, researchers have resorted to numerical method for the problem of concrete
52 cracking induced by reinforcement corrosion [10-18]. For example, Chen and Leung
53 simulated concrete cover cracking by non-uniform corrosion of rebars of different locations
54 within a concrete structure by inserting cohesive elements into predefined crack paths;
55 different displacement boundary conditions were applied on two sides of geometries [19]. Xi
56 and Yang modelled concrete cracking caused by non-uniform corrosion of multiple rebars

57 and found that short spacing between rebars could cause delamination of the whole cover
58 [20]. In most of these models, concrete is considered as a homogenous quasi-brittle material.
59 The assumption of heterogeneity is flawed: concrete is a heterogeneous material at the meso-
60 scale, consisting of aggregate particles, mortar and interfaces (usually known as ITZ). Šavija
61 et al. [21] and Chen et al. [22] used a 2D heterogeneous lattice model to simulate the crack
62 propagation and crack width development of concrete induced by linear decrease non-
63 uniform corrosion model, and found when pitting corrosion occurs, significantly less total
64 pressure is needed to crack the concrete cover compared to uniform corrosion. Du et al. [23]
65 employed the damage plastic model to simulate failure of side-located and corner-located
66 reinforcing bars under non-uniform corrosion by assuming the concrete as a three-phase
67 material but only failure patterns and pressure are discussed.

68

69 Almost all numerical models have considered corrosion-induced cracking of concrete as a
70 problem of tensile cracking [19, 21, 24] (i.e., Mode I) or combined tensile cracking and
71 compression damage [12, 13, 23]. The shear fracture (Mode II) of concrete has seldom been
72 considered. Acoustic emission experiments on corrosion-induced concrete cracking have
73 revealed that both Mode I and Mode II fracture mechanisms existed during the crack
74 propagation, and the contribution of individual modes into the global fracture behaviour
75 varies [25]. This is because, for the heterogeneous concrete, mixed-mode fracture will occur
76 when cracks roughly propagating. In particular, under non-uniform corrosion as illustrated in
77 Figure 1, both normal and shear stresses develop in around the corroded part of the
78 reinforcement. It therefore becomes necessary to consider the corrosion-induced concrete
79 cracking as a mixed-mode fracture process, rather than Mode I fracture only. Given very
80 limited literature on mixed-mode fracture simulation of concrete caused by corrosion of
81 reinforcement, we conducted a review on mixed-mode fracture simulation for other concrete

82 structures. Gálvez et al. [26] investigated the influence of shear properties on mixed-mode
83 fracture of concrete based on experimental results and macro-scale numerical analysis. They
84 have found that cracking of concrete beams under bending tests is initiated under mixed-
85 mode but propagates under Mode I. Luciani et al. [27] discussed the constitutive model of
86 cohesive element for mixed-mode fracture [28] and investigated the effects of Mode II
87 fracture parameters on mixed-mode fracture of concrete at macro-scale; it has been found that
88 Mode II parameters can change in a large range without noticeable change in results. Yang
89 and Xu [29] built a heterogeneous cohesive model for four-point single-edge notched shear
90 beam based on meso-scale random fields of fracture properties and found that simulations
91 considering the shear fracture resistance showed a noticeable increase in the structural
92 ductility or toughness over homogeneous models. The existing literature on mixed-mode
93 fracture of concrete has not considered concrete as a multi-phase material in which case the
94 toughening behaviour of aggregates and the weakening effect of interfaces cannot be
95 simulated. Further, for corrosion-induced (non-uniform) cracking of concrete at meso-scale,
96 the stress state is more complicated but so far there is no model which can fully address the
97 mixed-mode fracture of heterogeneous concrete under (non-uniform) corrosion of
98 reinforcement.

99

100 This paper attempts to develop a meso-scale mixed-mode fracture model for reinforced
101 concrete structures subjected to non-uniform corrosion. Concrete is treated as a three-phase
102 heterogeneous material, consisting of aggregates, mortar and interfaces. In order to model
103 arbitrary discrete cracking of concrete, cohesive crack elements are inserted into the mesh for
104 all the phases, through an in-house script written in Python. A constitutive model for mixed-
105 mode fracture is introduced and discussed. An example is presented to demonstrate the
106 application of the derived model and the model is partially verified through comparison with

107 experimental results from the literature. We show that our model captures different
108 toughening mechanisms in concrete at the meso-scale. Repeatability of results and effects of
109 randomness are also discussed. Further, the contribution of shear in the fracture behaviour of
110 concrete under non-uniform corrosion expansion is examined. Finally, a parametric study is
111 presented, investigating the effects of some underlying parameters, e.g., shear fracture
112 energy, interface strength, cover thickness and uniform corrosion, on the crack pattern and
113 the development of crack width.

114

115 **2 NON-UNIFORM CORROSION MODEL**

116 When the reinforcement in concrete is corroded, the higher-volume corrosion products will
117 accumulate and push the surrounding concrete outwards which can cause cracking and
118 delamination of RC structures. As shown in Figure 1, ϕ is the diameter of the reinforcing bar
119 and d_0 is the thickness of the annular layer of concrete pores at the interface between the bar
120 and concrete, often referred to the “porous zone” [3] or “corrosion accommodation zone”
121 [30]. Usually d_0 is constant once concrete has hardened. In this study, d_0 is assumed 12.5 μm
122 [31]. Depending on the level of corrosion, the products of corrosion may occupy up to several
123 times more volume than the original steel [21]. It is assumed that no stress is produced and
124 exerted on the concrete until the “porous zone” around the reinforcement is fully filled by the
125 corrosion products. As the corrosion products proceed further in concrete, a band of corrosion
126 products forms, as shown in Figure 1. It has been found that the front of corrosion products
127 for the half of the rebar facing concrete cover is in a semi-elliptical shape, while corrosion of
128 the inward-facing half of rebar is negligibly small and can be neglected [32].

129

130 As illustrated in Figure 1, there may be three bands accommodating the corrosion products:

131 the semi-elliptical band of corroded steel with maximum thickness d_{co-st} , the semi-elliptical

132 rust band with maximum thickness d_m (also referred to as corrosion expansion displacement
 133 in this paper) and the circular band of porous concrete, d_0 . The front of the corrosion is in a
 134 semi-elliptical shape with the semi-major axis equal to $\phi/2 + d_0 + d_m$ and the semi-minor
 135 axis equal to $\phi/2 + d_0$.

136
 137 By considering the original location of inner boundary of the concrete, i.e., $\phi/2 + d_0$, the
 138 displacement boundary condition of the concrete structure $r(\theta)$ can be derived as follows,

139
 140
$$r(\theta) = \frac{(\phi + 2d_0 + 2d_m)(\phi + 2d_0)}{\sqrt{(2\phi + 4d_0)^2 + 16d_m(\phi + 2d_0 + d_m)\cos^2\theta}} - \frac{\phi}{2} - d_0 \quad (1)$$

141 where $0 \leq \theta \leq \pi$.

142
 143 Further, the relationship between maximum corrosion displacement d_m , as shown in Figure
 144 1(b), and corrosion degree η can be derived as follows:

145
$$d_m = \frac{\eta\rho_{st}\phi}{\alpha_{rust}} \left(\frac{1}{\rho_{rust}} - \frac{\alpha_{rust}}{\rho_{st}} \right) - 2d_0 \quad (2)$$

146 ρ_{rust} is the density of corrosion products, ρ_{st} is the density of steel bar and α_{rust} is the
 147 molecular weight of steel divided by the molecular weight of corrosion products. Details of
 148 the derived non-uniform corrosion model can be found in the authors' previous studies [8, 20]
 149 while it is not repeated herein. It should be mentioned that, the non-uniform corrosion model
 150 is derived from accelerated corrosion experiments exposed to chloride-rich environment [32].

151

152 **3 CONCRETE CRACK MODEL**

153 **3.1 Meso-scale discrete crack model of concrete**

154 In this paper, concrete is modelled as a three-phase material (i.e., consisting of aggregates,
155 mortar and ITZ). In our model the shape of aggregate particles is simplified to a randomly
156 sized and oriented polygon with 3 to 7 sides. The aggregate size distribution can be
157 represented by a grading curve, which is usually expressed in terms of cumulative percentage
158 passing through a series of sieves with different opening sizes. A typical gradation of
159 aggregate size distribution is listed in Table 1 [33]. For simplicity, only coarse aggregates
160 larger than 2.4 mm are modelled in this study, while fine aggregates and cement are treated as
161 mortar phase. Coarse aggregates generally occupy 40% of the whole volume of concrete. The
162 basic process of generating the multi-phase structure meso-scale is to produce and place
163 different aggregate particles in a repeated and random manner in the target area until it
164 reaches 40% of the area of the grid. First, an aggregate is generated with 3-7 sides and a
165 random size in specified grading segments. Then the aggregate is placed in the target area
166 with a random position. There is a minimum distance between aggregates and boundary.
167 More aggregates are generated and placed one by one until the total fraction of aggregates in
168 the grading segments reaches the specified value. There is no overlapping of aggregates in
169 the generating process. The remaining area becomes the target area for next smaller grading
170 segments and the procedures are repeated until the last aggregate of smallest size is generated
171 and placed. The 3-phase structure is generated from a Python script that populates drawings
172 in AutoCAD; the structure is then imported into ABAQUS FE software for analysis.

173

174 To model arbitrary cracking in concrete, cohesive elements are embedded at the interfaces
175 throughout the mesh. A very fine mesh is produced to ensure random crack paths. The
176 insertion process of cohesive elements is shown in Figure 2. First, all individual nodes are
177 replaced by certain number of new nodes at the same location. The number of newly created
178 nodes depends on the number of elements connecting to the original node. Second, the newly

179 created nodes at the interface between two triangle elements are identified and linked to form
180 a cohesive element. The cohesive elements are shown in red in Figure 2. The cohesive
181 elements are generated by an in-house Python script. Figure 3 shows the inserted cohesive
182 elements at the interfaces of aggregates and mortar, as well as the aggregates and the mortar
183 themselves. The developed model is capable of simulating crack propagation and opening
184 both at the interface and in the bulk mortar and aggregates, depending on their mechanical
185 properties.

186

187 **3.2 Mixed-mode fracture model**

188 The cohesive crack model was first proposed by Hillerborg et al in 1976 [34] to model
189 discrete cracks and energy dissipation in the fracture process zone (FPZ) of concrete.
190 Normally, the cohesive element is of zero thickness to simulate the cracking of concrete;
191 therefore, a traction-separation law is usually employed to constitutively control the cohesive
192 elements. The traction of cohesive elements is a function of the corresponding relative
193 displacements of the crack surface. In general, the traction-separation laws for mode I and
194 mode II fracture can be expressed respectively as follows:

$$\begin{aligned} \sigma_n &= f_n(\delta_n) \\ \sigma_t &= f_s(\delta_s) \end{aligned} \quad (3)$$

196 where σ_n and σ_t are the normal traction and tangential traction, respectively; δ_n and δ_s are
197 the crack opening displacement and crack sliding displacement; f_n and f_s are the non-linear
198 functions defining the relationships between traction and separation for mode I and mode II
199 fracture, respectively.

200

201 However, due to complex loading condition, material heterogeneity and aggregate
202 interlocking etc., in most cases, cracks propagate in concrete under mixed-mode condition

203 rather than in the single mode. Before the damage initiation, the normal stress σ_n and shear
204 stress σ_t have a linear relationship with the crack opening displacement δ_n and crack sliding
205 displacement δ_s , which can be expressed as follows:

$$\begin{aligned} \sigma_n &= K_p \langle \delta_n \rangle \\ \sigma_s &= K_p \delta_s \end{aligned} \quad (4)$$

207 where $\langle \rangle$ is the Macaulay bracket and the normal stress equals to zero for compression
208 condition. K_p is the penalty stiffness of the cohesive element. The concept of penalty
209 stiffness evolves from the elastic stiffness which is obtained by dividing the elastic modulus
210 of the concrete by its thickness. Since cohesive interface is normally very thin or of zero
211 thickness, the elastic stiffness of the cohesive interface approaches infinitesimally large. This
212 makes sense as the interface should be stiff enough prior to the initiation of crack to hold the
213 two surfaces of the bulk concrete together, leading to the same performance as if of no
214 interface existed. Therefore, the cohesive stiffness becomes a “penalty” parameter (K_p),
215 which controls how easily the cohesive interface deforms elastically. As such this stiffness is
216 large enough to provide the same or close response of intact concrete prior to cracking, but
217 not so large as to cause numerical problems [24, 35, 36].

218

219 A quadratic stress damage criterion is employed to determine the beginning of damage. The
220 damage initiation law is expressed as follows:

$$\left(\frac{\langle \sigma_n \rangle}{\sigma_n^0} \right)^2 + \left(\frac{\sigma_s}{\sigma_s^0} \right)^2 = 1 \quad (5)$$

222 where σ_n^0 and σ_s^0 are the tensile and shear cohesive strength of concrete, respectively.

223

224 By using the same value of penalty stiffness K_p , the damage criterion can also be expressed
 225 as follows:

$$226 \quad \left(\frac{\langle \delta_n \rangle}{\delta_n^0} \right)^2 + \left(\frac{\delta_s}{\delta_s^0} \right)^2 = 1 \quad (6)$$

227 where δ_n^0 and δ_s^0 are the critical displacement for mode I and mode II fracture, respectively.

228 δ_n^0 and δ_s^0 can be calculated by dividing the corresponding cohesive strength to the penalty
 229 stiffness K_p . If the tensile strength is the same as shear strength, the function becomes a
 230 circle with radius δ_n^0 or δ_s^0 .

231

232 After the stress state meets the damage initiation criterion, the normal and shear stress
 233 decrease gradually while the responding displacement continues to increase. Such stiffness
 234 degradation behaviour is known as strain softening. For mixed-mode fracture, the damage is
 235 characterised by one scalar variable D representing the overall damage of the crack. For 2D
 236 plane problem, the total mixed-mode effective relative displacement δ_m is introduced as:

$$237 \quad \delta_m = \sqrt{\langle \delta_n \rangle^2 + \delta_s^2} \quad (7)$$

238 For linear softening, the expression for the damage model is given as follows:

$$239 \quad D = \frac{\delta_{m,f} (\delta_{m,\max} - \delta_{m,0})}{\delta_{m,\max} (\delta_{m,f} - \delta_{m,0})} \quad (8)$$

240 where $\delta_{m,\max}$ is the maximum effective relative displacement during the loading history. $\delta_{m,0}$
 241 is the critical effective relative displacement when the damage starts (i.e., $D=0$). $\delta_{m,f}$ is the
 242 effective relative displacement when complete failure occurs (i.e., $D=1$). The B-K failure
 243 criterion proposed by Benzeggagh and Kenane [37] is used to capture the mixed-mode

244 fracture for different composite and quasi-brittle materials [28]. According to the B-K failure
 245 criterion, $\delta_{m,f}$ can be expressed as follows:

$$246 \quad \delta_{m,f} = \frac{2}{K_p \delta_{m,0}} \left[G_I + (G_{II} - G_I) \left(\frac{G_s}{G_n + G_s} \right)^\eta \right] \quad (9)$$

247 where G_I and G_{II} are the fracture energy of mode I and mode II, respectively. G_n and G_s are
 248 the work done by the tractions and their conjugate relative displacements in the normal, shear
 249 directions, respectively. η is a characteristic parameter of materials, which can be set as 2 for
 250 composite quasi-brittle materials [28].

251

252 The mixed-mode fracture energy G_C can be expressed as follows:

$$253 \quad G_C = \left[G_I + (G_{II} - G_I) \left(\frac{G_s}{G_n + G_s} \right)^\eta \right] \quad (10)$$

254

255 Clearly, for mode I fracture, $G_s = 0$ and the contribution of shear on fracture (i.e.,
 256 $G_s / (G_n + G_s)$) is zero. For mode II fracture, $G_n = 0$ and the contribution of shear on fracture
 257 (i.e., $G_s / (G_n + G_s)$) is 1.

258

259 Once the damage variable D is determined, the residual normal and shear stresses can be
 260 obtained by:

$$261 \quad \begin{aligned} \sigma_n &= (1-D)K_p \delta_n \\ \sigma_s &= (1-D)K_p \delta_s \end{aligned} \quad (11)$$

262 If the overall damage variable D reaches 1, the normal and shear stresses are zero and the
 263 cohesive element completely fails.

264

265 Figure 4(a) illustrates the response model for mixed-mode fracture. It can be seen that, before
266 damage initiation, the normal, shear and effective stresses increase linearly by a slope
267 equivalent to the penalty stiffness. When the effective displacement (i.e., Equation 7) reaches
268 the inner ellipse shown in the $\delta_s - \delta_n$ plane in Figure 4(a) with semi-major axis δ_s^0 and
269 semi-minor axis δ_n^0 , the damage is initiated and the stresses begin to decrease. For mixed-
270 mode fractures the fracture energy varies depending on the relative contributions of Mode I
271 and Mode II. Figure 4(b) shows a special case for mixed-mode fracture when the shear and
272 tensile strengths are same and fracture energies for mode I and mode II are the same. In this
273 case, the damage initiation ellipse becomes a circle with the radius equal to the strength.
274 Moreover, the mixed-mode fracture energy is equal to that of single mode fracture and the
275 effective relative displacement to complete failure will not change with the mixed-mode
276 ratio.

277

278 **4 WORKED EXAMPLE**

279 We demonstrate the application of the developed numerical method and techniques in solving
280 non-uniform corrosion induced concrete cracking through a worked example. The values for
281 all parameters are shown in Table 2, together with their sources. As in previous studies [26,
282 38, 39], the shear fracture properties are hard to identify due to the lack of experimental data.
283 Some researchers assume the shear properties are the same as the normal ones (i.e., $\sigma_n^0 = \sigma_s^0$
284 and $G_I = G_{II}$) [38, 40, 41]. Some researchers postulated that shear properties have little effect
285 on corrosion induced concrete cracking and only mode I fracture is considered in their model
286 [12, 19, 42]. However, for a heterogeneous model with rough fracture surfaces, it is necessary
287 to consider the effect of shear properties on concrete cracking. Experimental results on
288 concrete samples indicated that the shear strength is greater than or equal to the tensile

289 strength and the fracture energy for mode II is greater than that of mode I [26, 27]. Thus, in
290 our model the shear strength is assumed the same as the tensile strength and the fracture
291 energy for model II is twice that for mode I. Another important aspect for meso-scale fracture
292 modelling of concrete is the fracture properties of ITZ. Experimental results indicated that the
293 tensile strength of ITZ is about 1/16th to 3/4 of the strength of the mortar matrix [42-46].
294 Accordingly the tensile strength of ITZ is set to half the tensile strength of the mortar matrix
295 in this example. The average ratio of fracture energy G_f to tensile strength (i.e., average
296 failure displacement) is about 0.013 mm in the experimental results from Rao and Prasad
297 [44]. We therefore assume in our case study that the value of fracture energy G_f for ITZ is
298 0.013 times the tensile strength value of ITZ. The shear strength of ITZ is assumed the same
299 as tensile strength of ITZ and the fracture energy for mode II is twice that of mode I. The
300 mechanical properties of aggregates are normally considerably stronger than mortar and the
301 ITZ; thus it is very rare to have a crack breaking through an aggregate. In this paper, fracture
302 properties are only assigned in the ITZ and mortar, to reduce computational cost.

303

304 Figure 5 shows the typical mesh of the meso-scale RC cover structure with a middle rebar.
305 The size of the RC structure is set 150×150 mm and the thickness of concrete cover is 40 mm.
306 The average size of elements in the mesh is about 1.6 mm, which is fine enough to simulate
307 arbitrary cracks while keeping computational time reasonable. The non-uniform corrosion
308 model developed in Section 2 is used to define the displacement boundary condition at the
309 interface between the corroded rebar and the concrete.

310

311 Figure 6 shows the crack propagation process induced by non-uniform corrosion of
312 reinforcement. Cohesive elements with damage variable D equal to 1 (i.e. complete failure)
313 are plotted in red. In order to more clearly illustrate the crack geometries and opening, the

314 size of elements deformation is exaggerated by 10. It can be found that a few micro cracks
315 are first initiated at the aggregate-mortar interfaces near the upper surface of the concrete
316 cover. As corrosion continues, the micro cracks are then connected to form a dominant crack
317 propagating from the concrete surface to the rebar. The phenomenon of the crack propagating
318 from concrete surface towards the reinforcement is in good agreement with experiments [47].
319 As corrosion continues, two further cracks appear on the lateral margins of the corroded rebar,
320 as shown in Figure 6. Unlike macro-scale fracture modelling which normally considers
321 concrete as a homogeneous material, the meso-scale model can simulate the micro cracks
322 which always form first at the ITZ before they are connected to become a macro-scale
323 discrete crack. This is because the strength and fracture energy of ITZ cohesive elements are
324 significantly lower than those of mortar. Figure 7 illustrates the microcrack shielding, crack
325 deflection and aggregate bridging phenomena that have previously been very challenging to
326 simulate [20]. There are many microcracks appearing near the area of stress concentration
327 where the corrosion has developed furthest. Some of the microcracks will propagate, connect
328 and form a through-going crack. Crack deflection occurs when the potential crack path of
329 least resistance is around an aggregate or a weak interface. Aggregate bridging occurs when
330 the crack propagates along two sides of an aggregate and advances beyond the aggregate. As
331 such, the developed meso-scale fracture model is advantageous compared with most existing
332 concrete fracture models [19, 29] in terms of capturing toughening mechanisms and crack
333 propagation.

334

335 As introduced in the constitutive model for mixed-mode fracture and mechanical parameters
336 in this example, the shear strength is the same as tensile strength, and fracture energy for
337 mode II is greater than that for mode I. Thus, the effective displacement to damage initiation
338 for mixed-mode fracture is equal to that for the single mode I and mode II fracture. The

339 higher the component of shear in a mixed-mode fracture, the larger the fracture energy is.
340 Figure 8 illustrates the relative contribution of shear fracture energy for the different cracked
341 elements which form the discrete cracks. In these models, the contribution of shear on the
342 fractures varies from about 0 to 0.9 during crack propagation (0 means Mode I fracture while
343 1.0 means Mode II fracture). Most values for the contributions of shear are less than 0.5,
344 which indicates that the crack propagation is mixed-mode but mode I is dominant. It is
345 interesting to note that the higher contributions of shear occur where cracks have deflected
346 round aggregates, which indicated that more energy is required for the cracks bypassing the
347 aggregates.

348

349 For the heterogeneous concrete model, the aggregates are randomly generated but following
350 the same volume fraction, grading, size, etc. The effect of meso-scale randomness on crack
351 pattern and crack width should be investigated. Figure 9 shows the corrosion induced crack
352 patterns induced under four typical random meso-scale models. It can be seen that, three
353 discrete cracks, i.e., one top crack and two side cracks, always form around the reinforcing
354 bar. The crack patterns are quite similar in the four models, however, different shapes, size
355 and location of aggregates lead to cracks with different morphologies. For example, the
356 cracked elements at the upper discrete crack in model 2 are deformed with more tangential
357 displacement because of the presence of the large aggregate particle. It is interesting to find
358 that crack overlap occurs when two approximately parallel cracks propagate from weak
359 interfaces and interact with a variable overlap distance.

360

361 By measuring the distance between the two nodes of the surface cohesive element, the crack
362 width development on the concrete surface under the maximum corrosion displacement d_m
363 can be schematically illustrated. It should be noted that this distance obtained initially

364 represents the displacement of the surface cohesive element (often referred to as
365 cohesive/fictitious crack width); after the complete failure of this element, the distance
366 measured represents the true surface crack width. Figure 10 illustrates the crack width
367 development with maximum corrosion displacement d_m . It can be found that the crack width
368 initiates when d_m increases to about 0.015 mm followed by a roughly linear increase of
369 crack width. The surface crack initiation occurs in a similar location above the corroded rebar
370 irrespective of meso-scale randomness, but the final crack width depends on the random
371 arrangement of the aggregate particles. This is reasonable because the aggregate distribution
372 will affect the mixed-mode fracture energy and the crack morphology has effect on crack
373 width. For example model 2 has the smallest crack width in Figure 9 because the contribution
374 of shear on the upper crack is greater. In general, the meso-scale fracture model in this study
375 has a good repeatability.

376

377 **5 VERIFICATION**

378 To verify the proposed numerical method, the results are compared with experimental results
379 from Andrade et al [48]. According to the literature, most experimental test data are based on
380 uniform corrosion of reinforcement generated by electric current method for producing
381 accelerated corrosion. It would be ideal to compare directly the results between the developed
382 model and experiments under non-uniform corrosion; however, the data on non-uniform
383 corrosion is rather scarce. Additionally, almost all the experiments use an electric current to
384 generate corrosion which, while producing results quickly, only produces generally uniform
385 corrosion. In this paper, a uniform corrosion case is conducted by the derived model and the
386 results are compared with those in [48] for partial verification. The values for basic
387 parameters are presented in Table 3. It should be noted that the thickness of the “porous
388 zone” between concrete and rebar is considered as 12.5 μm in the numerical simulation. The

389 experimental results of crack width were expressed as a function of the radius loss of rebar.
390 For the sake of comparison with the numerical results, the uniform corrosion displacement is
391 transformed to radius loss of rebar. The relationship between uniform corrosion expansion
392 displacement $d_{m,u}$ and radius loss of rebar ΔR can be expressed as follows:

$$393 \quad d_{m,u} = \left(\frac{\rho_{st}}{\rho_{rust} \times \alpha_{rust}} - 1 \right) \times \Delta R - d_0 \quad (12)$$

394

395 The comparisons of the crack width from the developed numerical model and the
396 experimental data of Andrade et al [48] are illustrated in Figure 11. The progress of the
397 simulated crack width is in reasonably good agreement with the experimental results. It
398 should be mentioned that the crack width from the experiments was measured by strain
399 gauges; how the measured strains were transformed by Andrade et al [48] to crack width was
400 not quite clear. However, the crack width from the numerical model was obtained by
401 calculating the displacement of the surface cohesive element. The different method used for
402 attaining the crack width might cause some minor difference in the results between the
403 experiment and the numerical model. Moreover, it has been found that the crack width from
404 the numerical model is slightly larger than that from the experiment. It is perhaps caused by
405 the 2D plain strain assumption in the model since constraint effect in the third dimension is
406 ignored and more complicated toughening mechanism exists in the real case.

407

408 **6 PARAMETRIC STUDY AND DISCUSSION**

409 As demonstrated in Figure 8, the Mode II fracture energy has a varying contribution to the
410 mixed-mode fracture. The effect of mode II fracture energy on concrete cracking induced by
411 non-uniform corrosion is investigated. Figure 12 shows the crack patterns of concrete cover

412 under different shear fracture energy scenarios. The same fracture energy for mode I fracture
413 is used in the simulations. It can be seen that crack patterns are similar when the mode II
414 fracture energy varies from 2 times mode I fracture energy to 20 times (Figure 12 b,c,d).
415 However, the crack patterns have significant changes when the mode II fracture energy is the
416 same or 100 times of the mode I fracture energy (Figure 12 a and e). For $G_{II} = G_I$, the
417 cracked elements in the three main cracks have larger tangential (i.e., shear) displacement
418 than in the other cases, and the discrete crack path tends to form along ITZs. In such a failure,
419 mode II shear mechanism plays an important role. For a relatively large value, i.e.,
420 $G_{II} = 100G_I$, the failed elements (in red) in the discrete cracks are all in mode I fracture;
421 some elements with larger tangential displacement (in yellow box) are damaged but not
422 completely failed because of the large mode II fracture energy. The greater the contribution
423 of shear on a mixed-mode fracture, the more energy is required to form a discrete crack and
424 the shear displacement becomes ductile after damage initiation. Figure 13 illustrates the crack
425 width development under different fracture energies for mode II fracture. It can be found that
426 the smaller the mode II fracture energy, the faster the crack width increases. The drop lines
427 show complete failure of the first cracked cohesive element at surface of concrete. For
428 mixed-mode fracture, the surface cracking time and complete failure displacement of
429 cohesive element vary with the fracture energy of Mode I and Mode II and their contributions
430 on fracture. It can be seen in Figure 13, the larger the G_{II} is, the later the complete failure
431 occurs (i.e., surface cracking). However, the value of G_{II} in the range of 2-20 times of G_I
432 has little effect on the crack width development. Therefore, for mixed-mode fracture of
433 concrete, the mode II fracture energy has little influence on crack width development when it
434 is a relatively reasonable larger value than mode I fracture energy; but the shear fracture
435 parameters should not be ignored or regarded as the same as mode I fracture energy,
436 especially for meso-scale fracture modelling of concrete.

437

438 The effects of strength of ITZ on concrete cover cracking are investigated using different
439 ratios of tensile and shear strengths of ITZ to these of mortar. The ratios of mode I fracture
440 energy to tensile strength and mode II fracture energy to shear strength are kept constant.
441 Figure 14 shows the crack patterns affected by the strength of ITZ. It can be seen that, the
442 lower the strength of ITZ, the more cracks form along the ITZs. When the strength of ITZ is
443 $1/8^{\text{th}}$ of the mortar, there are more intermittent discrete cracks appearing at the weak zones of
444 ITZ than in the simulations where the ITZ are stronger. Figure 15 illustrates the crack width
445 development under different values of ITZ strength. It can be found that, the lower the ITZ
446 strength, the larger the crack width. For example, when d_m reaches 0.2 mm (corrosion
447 degree of steel bar is 0.64%), the crack width for strength of ITZ $1/8^{\text{th}}$ that of mortar is 0.3
448 mm which is 30% larger than the crack width for strength of ITZ $3/4$ of that of mortar.
449 Therefore, it is important to improve the strength of ITZ for durability of RC structures.

450

451 Figure 16 shows the crack patterns of concrete cover affected by cover thickness. It can be
452 seen that, for thinner cover, the side cracks have a tendency to propagate towards the surface
453 and thus the volume of cover that may spall from the concrete surface smaller. The concrete
454 thickness has little effect on the position of the upper crack. Figure 17 illustrates the crack
455 width development for different cover thicknesses. As expected, the thicker the cover is, the
456 later the cohesive crack width increases. It is interesting to find that once the surface is
457 cracked, the crack width becomes larger when the cover thickness is larger. It is probably
458 because the corresponding strain at the surface for larger cover thickness induced by non-
459 uniform corrosion products expansion is larger. However, it should be mentioned that, a
460 thicker cover will delay the time to corrosion initiation which is not considered in the model.

461 Thus, a thicker cover should still delay the time to surface cracking; however, after the
462 surface of concrete cover is cracked, the crack width will increase faster for thicker cover.

463

464 Most previous studies about corrosion-induced concrete cracking have been based on
465 uniform corrosion. It is necessary to compare the difference of concrete cracking between
466 uniform corrosion and non-uniform corrosion. Figure 18 shows the uniform corrosion
467 induced crack patterns for cover thickness 20 mm and 40 mm. For uniform corrosion, two
468 discrete cracks form vertically and the top crack has a larger crack width, which is consistent
469 with experimental results from [48]. Figure 19 illustrates the crack width affected by
470 corrosion model and cover thickness. It can be found that, the crack widths for non-uniform
471 corrosion begin increasing earlier than those for uniform corrosion. This is mainly because
472 for uniform corrosion, more corrosion products are required to fill in the “porous zone”
473 between concrete and reinforcement. Moreover, the crack width induced by non-uniform
474 corrosion is larger than that by uniform corrosion. The thicker the cover is, the larger the
475 difference of crack width between non-uniform and uniform corrosion. Therefore, the models
476 based on uniform corrosion of reinforcement overestimate the time to surface cracking and
477 crack width, especially for thicker cover cases.

478

479 **7 CONCLUSIONS**

480 In this paper, a meso-scale mixed-mode model has been developed to simulate discrete crack
481 propagation in concrete and to predict the crack width induced by corrosion of reinforcement.

482 A non-uniform corrosion model was first described based on previous experimental results. A
483 three phase (i.e., aggregates, mortar and interfaces) heterogeneous concrete model was
484 considered and a mixed-mode fracture model was formulated. It has been found that
485 microcracks tend to form first at the ITZ before they connect to generate a discrete crack.

486 Some toughening mechanisms were also captured, e.g., microcrack shielding, crack
487 deflection, aggregate bridging and crack overlap. Further, the effect of aggregate randomness
488 on crack width development of concrete was investigated. The developed model was partially
489 verified by comparing the results with those from experiments. A parametric study was
490 carried out to examine the effects of some underlying parameters, e.g., shear fracture energy,
491 ITZ strength, cover thickness and uniform corrosion, on the crack pattern and the
492 development of crack width. Moreover, the models based on uniform corrosion of
493 reinforcement overestimated the time to surface cracking and underestimated the crack width,
494 especially for thicker cover. It can be concluded that the numerical model presented in the
495 paper can be used to simulate the meso-scale fracture of concrete structures which is
496 subjected to non-uniform corrosion of reinforcement.

497

498 **ACKNOWLEDGEMENTS**

499 Financial support from European Commission via the Marie Skłodowska-Curie H2020 RISE
500 scheme under 645696 and National Key R&D Plan of China (Grant No: 2016YFC0600803)
501 are gratefully acknowledged. Part computational results were obtained by using ARCHIE-
502 WeSt High Performance Computer. The first author would also like to thank China
503 Scholarship Council for supporting his PhD research at the University of Strathclyde, UK.

504

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618 2. Values for geometric and mechanical parameters for different phases

619 3. Values used for comparison and validation

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Table 1 Three-segment gradation of aggregate size distribution [33]

Aggregate size (mm)	Fraction (%)
2.40-4.76	20.2 %
4.76-9.52	39.9%
9.52-19.05	39.9%

622

623

Table 2 Values for geometric and mechanical parameters for different phases

Description	Symbol	Values
Cover thickness	C	40 mm
Diameter of steel bars	D	16 mm
Young's modulus of aggregate	E_{Agg}	70 GPa [38]
Young's modulus of mortar	E_{Mor}	25 GPa [38]
Poisson's ratio of aggregate	ν_{Agg}	0.2 [38]
Poisson's ratio of mortar	ν_{Mor}	0.2 [38]
Tensile strength of mortar	$\sigma_{n,Mor}$	2.6 MPa [43, 44]
Tensile strength of ITZ	$\sigma_{n,ITZ}$	1.3 MPa [43, 44]
Shear strength of mortar	$\sigma_{s,Mor}$	2.6 MPa [43, 44]
Shear strength of ITZ	$\sigma_{s,ITZ}$	1.3 MPa [43, 44]
Mode I fracture energy of mortar	$G_{I,Mor}$	40 N/m [43, 44]
Mode I fracture energy of ITZ	$G_{I,ITZ}$	17 N/m [43, 44]
Mode II fracture energy of mortar	$G_{II,Mor}$	80 N/m [43, 44]
Mode II fracture energy of ITZ	$G_{II,ITZ}$	34 N/m [43, 44]

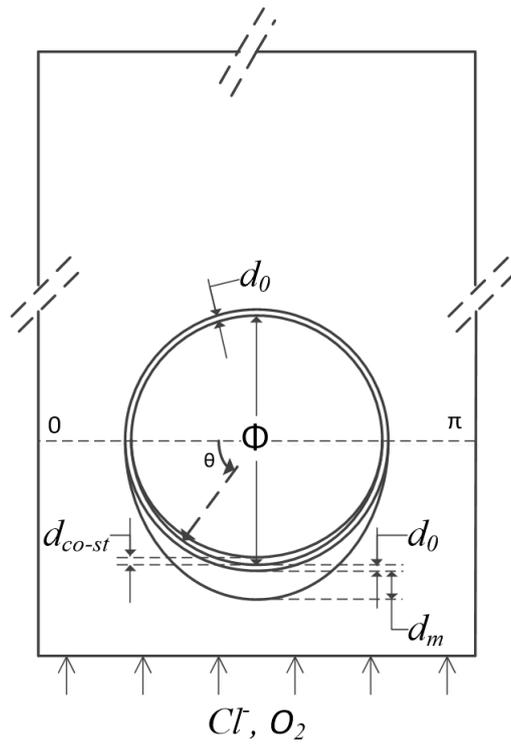
Table 3 Values used for comparison and validation

Description	Values
Cover thickness	20 mm [48]
Diameter of steel bars	16 mm [48]
Tensile strength of concrete	3.5 MPa [48]
Young's modulus of aggregate	70 GPa [38]
Young's modulus of mortar	25 GPa [38]
Poisson's ratio of aggregate	0.2 [38]
Poisson's ratio of mortar	0.2 [38]
Cohesive strength of mortar	6 MPa [38]
Cohesive strength of ITZ	2 MPa [38]
Fracture energy of mortar	60 N/m [38]
Fracture energy of ITZ	30 N/m [38]

630

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- 651 19. The crack width developments with corrosion degree

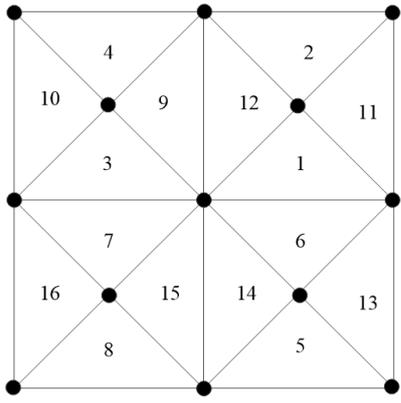


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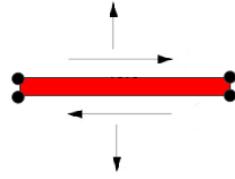
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Figure 1 Non-uniform corrosion model

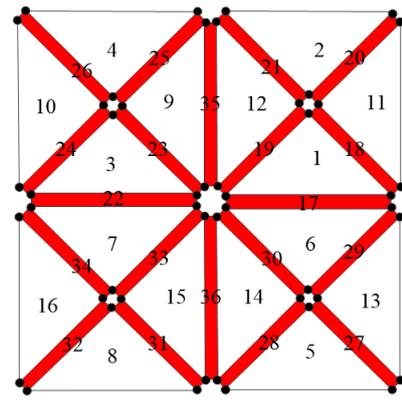
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(a)



(b)



(c)

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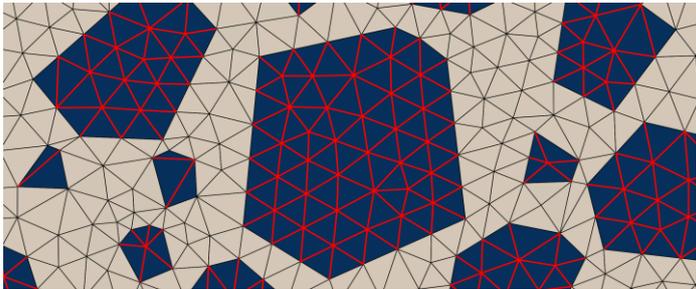
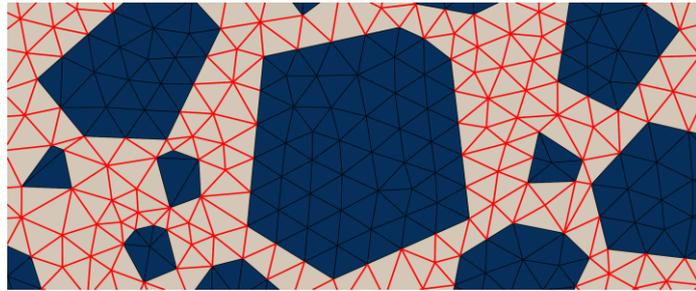
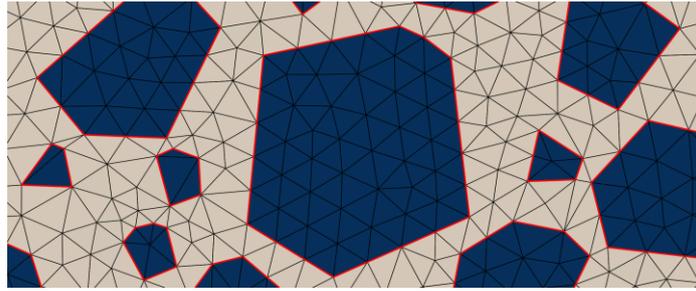
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Figure 2 Insertion process of cohesive elements: (a) initial mesh; (b) inserted cohesive

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element based on newly created nodes; and (c) mesh after insertion of cohesive elements

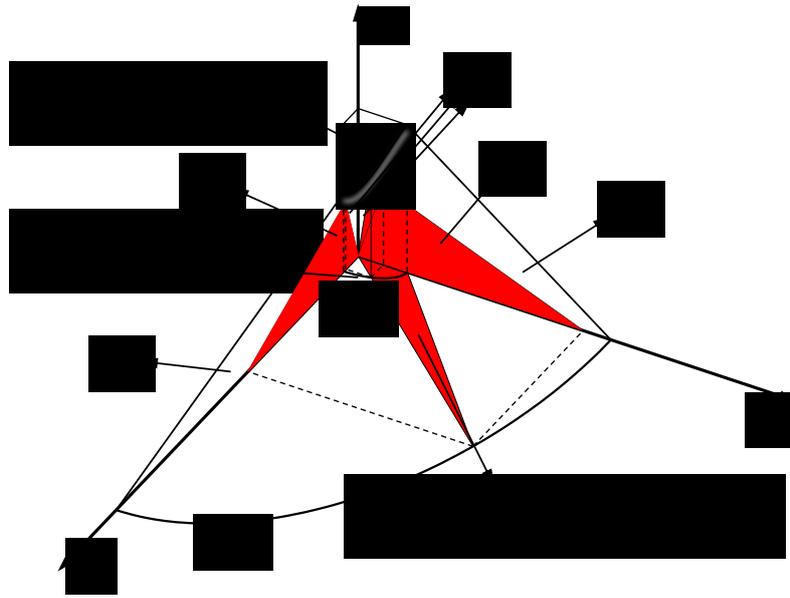
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Figure 3 Inserted cohesive elements in the FE mesh

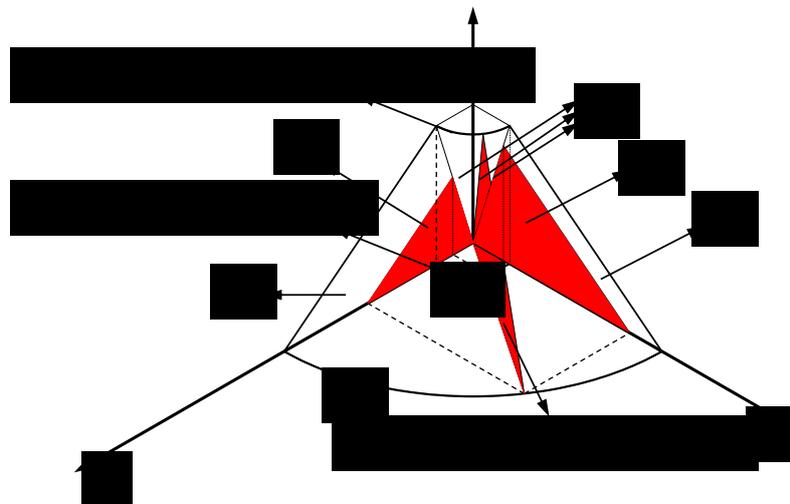
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(a) Mixed-mode response model



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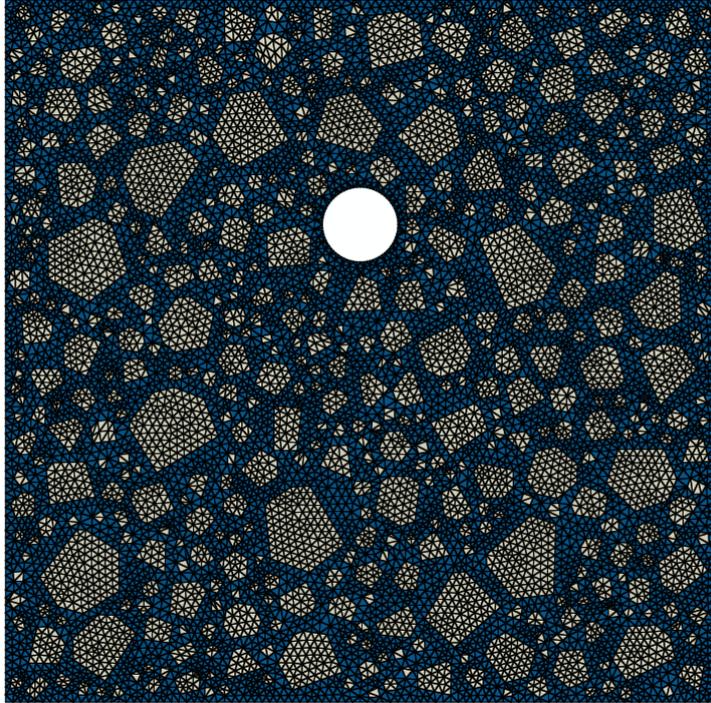
(b) A special case for $\sigma_n^0 = \sigma_s^0$ and $G_I = G_{II}$

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Figure 4 Constitutive model for mixed-mode fracture

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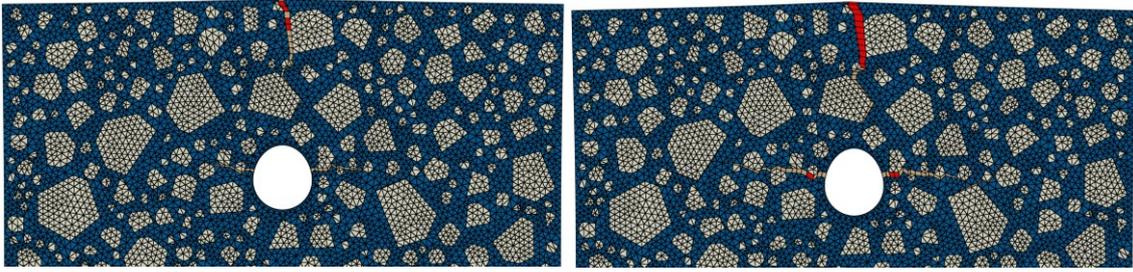
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Figure 5 Typical Mesh for the worked example

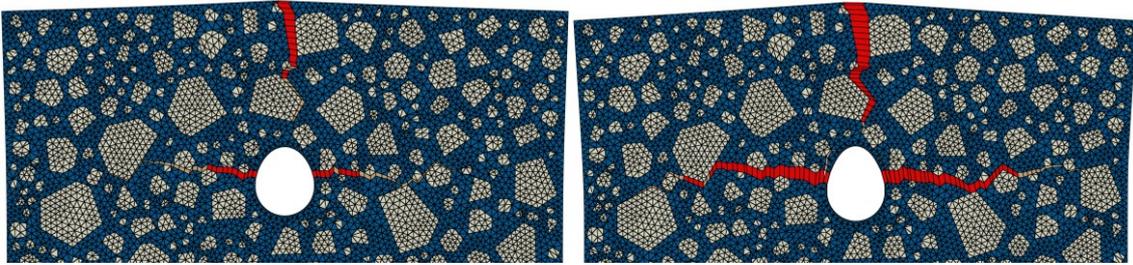
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(a) $d_m = 31.50 \mu\text{m}$

(b) $d_m = 46.82 \mu\text{m}$



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(c) $d_m = 84.34 \mu\text{m}$

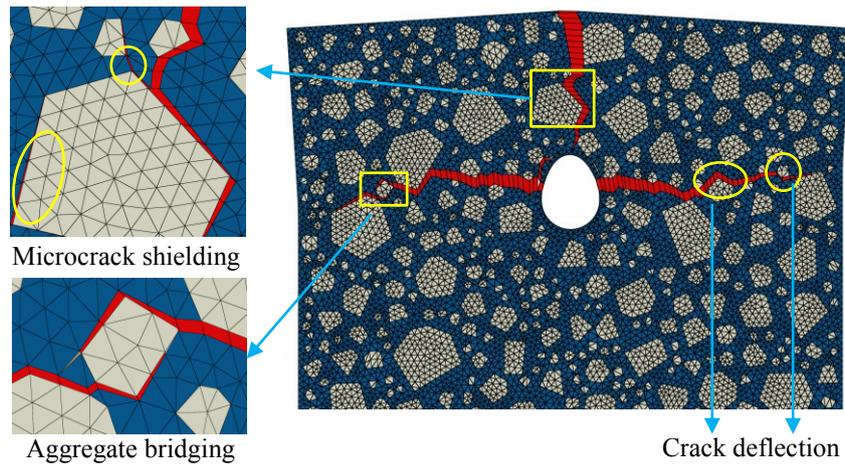
(d) $d_m = 96.21 \mu\text{m}$

681 Figure 6 Crack propagation in concrete induced by non-uniform corrosion of reinforcement

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(Deformation scale 10)

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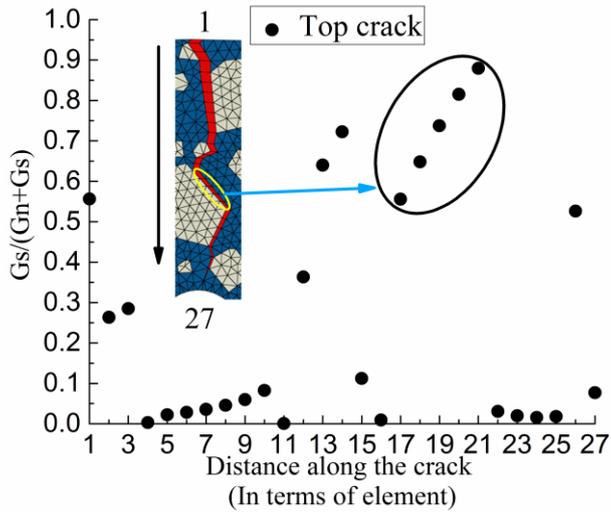


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Figure 7 Toughening mechanisms captured in the meso-scale model

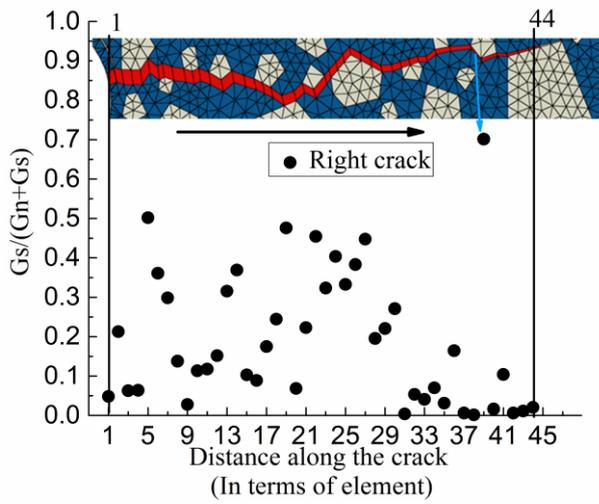
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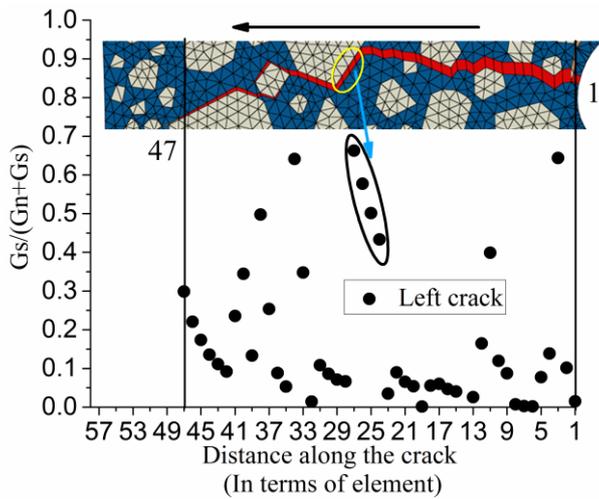
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(a)



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(b)

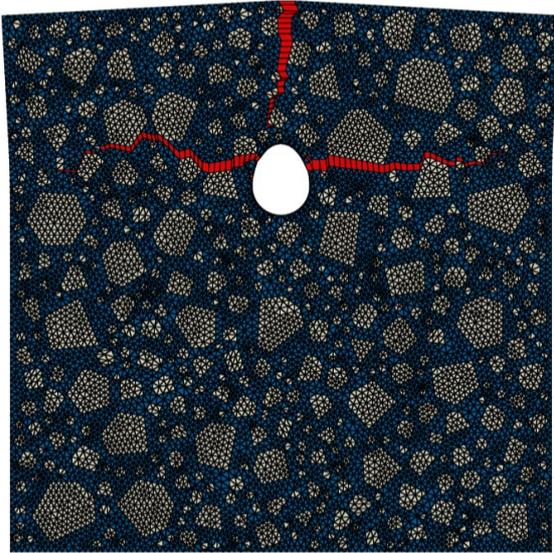


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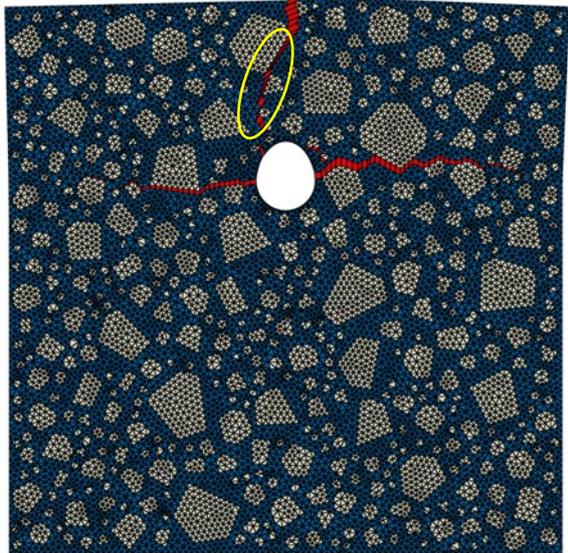
(c)

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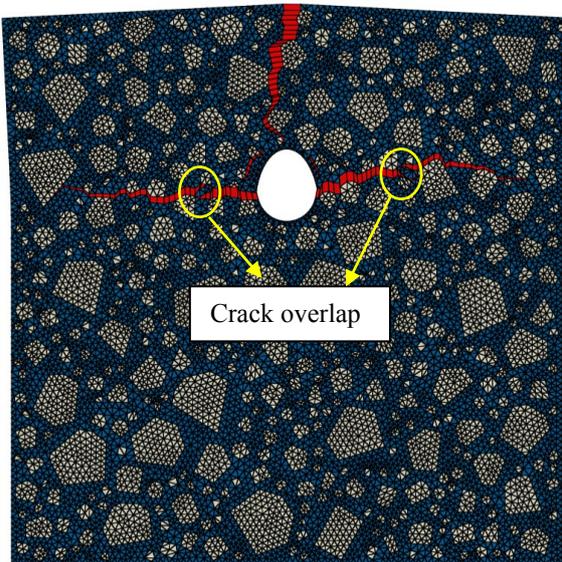
Figure 8 Contributions of shear on the mixed-mode fracture



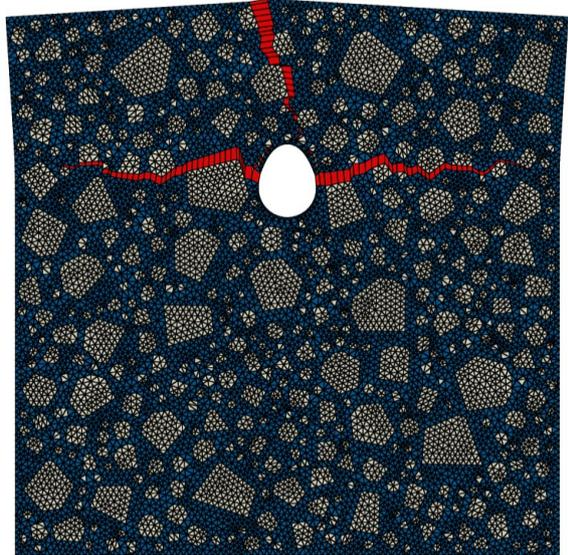
Random model 1



Random model 2



Random model 3



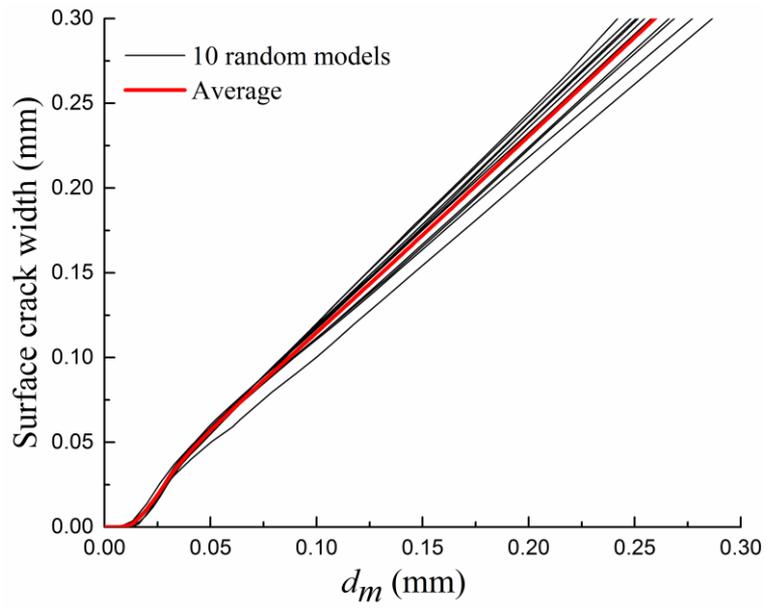
Random model 4

Figure 9 Crack patterns of 4 random meso-scale models

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Figure 10 The crack width as a function of corrosion expansion displacement for 10 random meso-scale models

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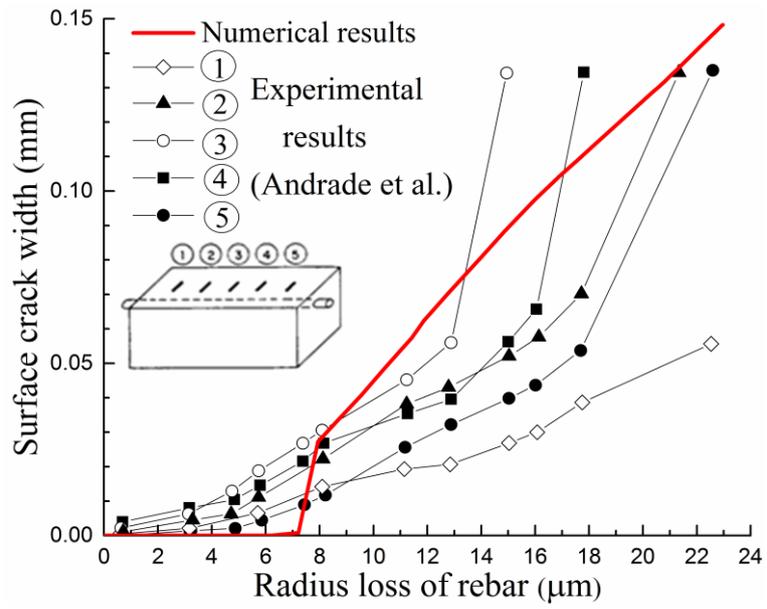
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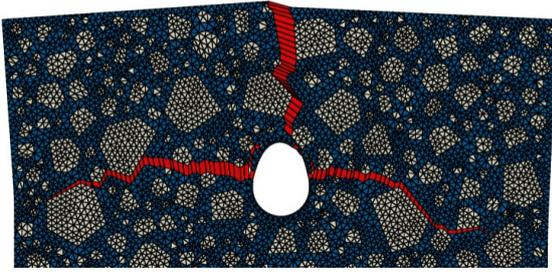
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Figure 11 Experimental verification of the crack width development

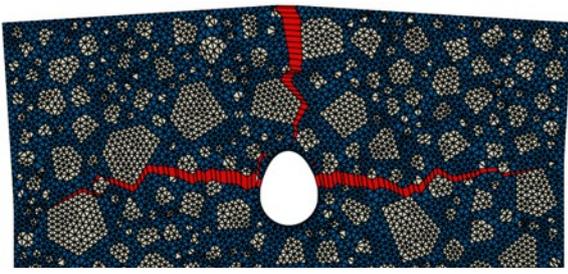
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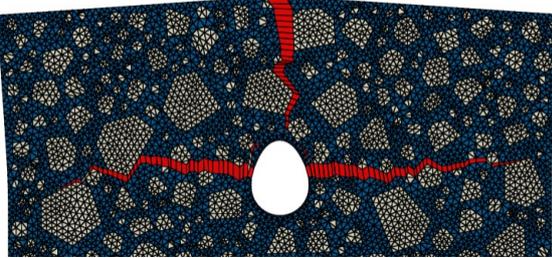


(a) $G_{II}=G_I$

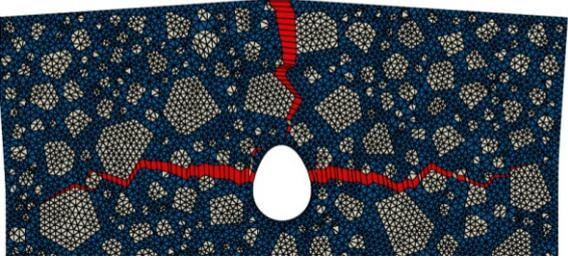


(b) $G_{II}=2G_I$

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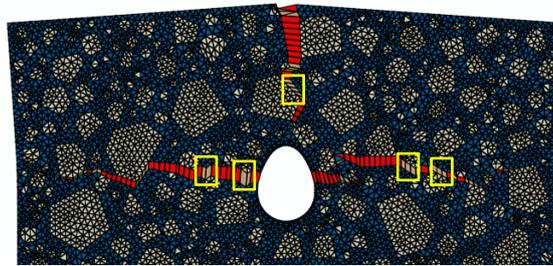


(c) $G_{II}=10G_I$



(d) $G_{II}=20G_I$

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(e) $G_{II}=100G_I$

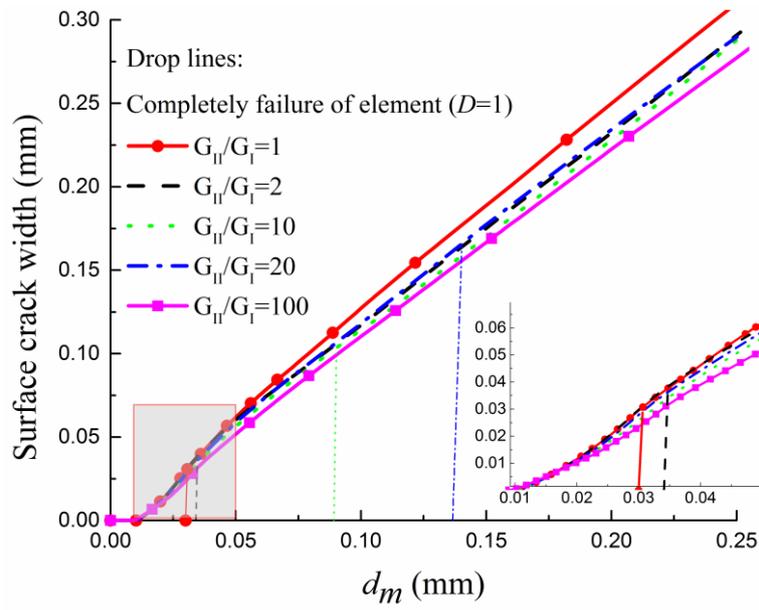
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Figure 12 Crack patterns under different fracture energies of Mode II

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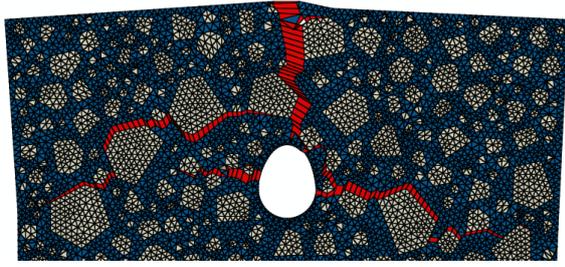
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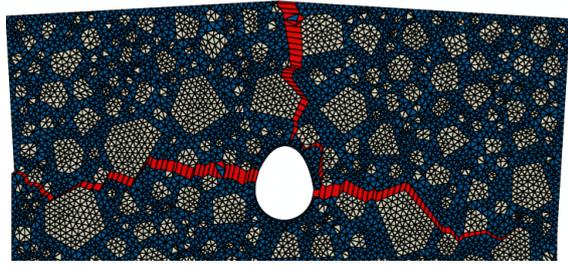
Figure 13 The crack width affected by fracture energies of Mode II

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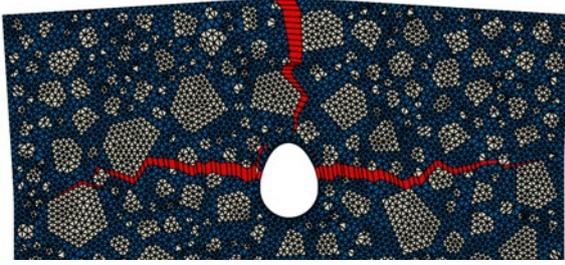


(a) $\sigma_{t,ITZ} / \sigma_{t,Mor} = 1/8; \sigma_{s,ITZ} / \sigma_{s,Mor} = 1/8$

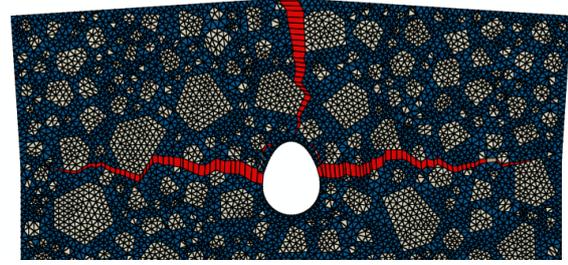


(b) $\sigma_{t,ITZ} / \sigma_{t,Mor} = 1/4; \sigma_{s,ITZ} / \sigma_{s,Mor} = 1/4$

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(c) $\sigma_{t,ITZ} / \sigma_{t,Mor} = 1/2; \sigma_{s,ITZ} / \sigma_{s,Mor} = 1/2$



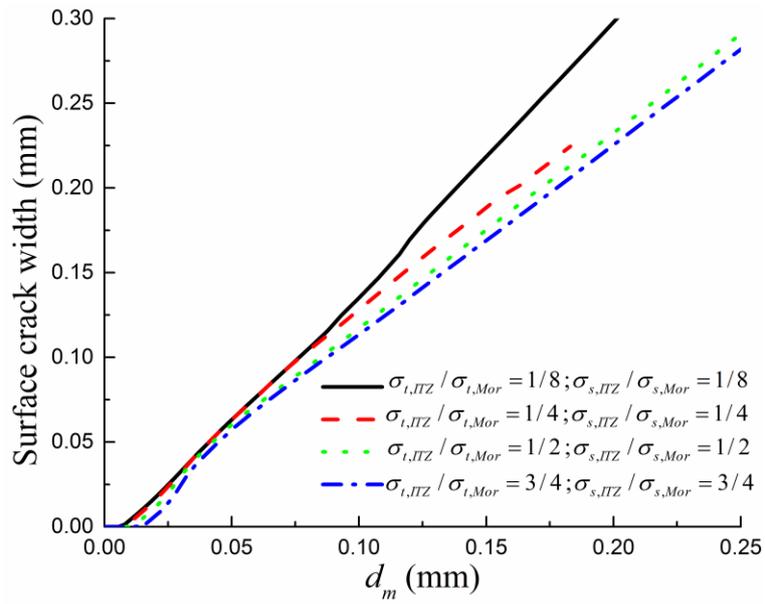
(d) $\sigma_{t,ITZ} / \sigma_{t,Mor} = 3/4; \sigma_{s,ITZ} / \sigma_{s,Mor} = 3/4$

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Figure 14 Crack patterns under different tensile and shear strengths of ITZ

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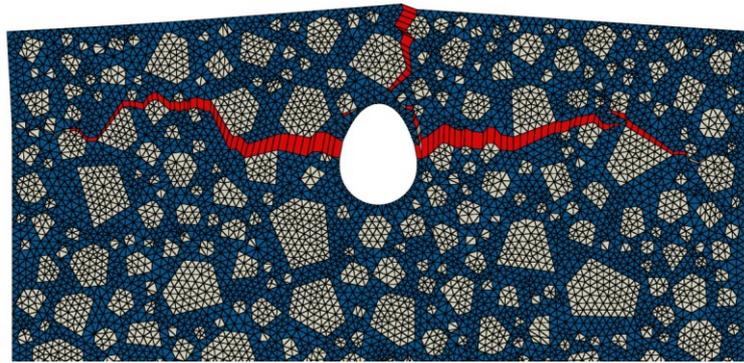


737

738 Figure 15 The crack width developments under different tensile and shear strengths of ITZ

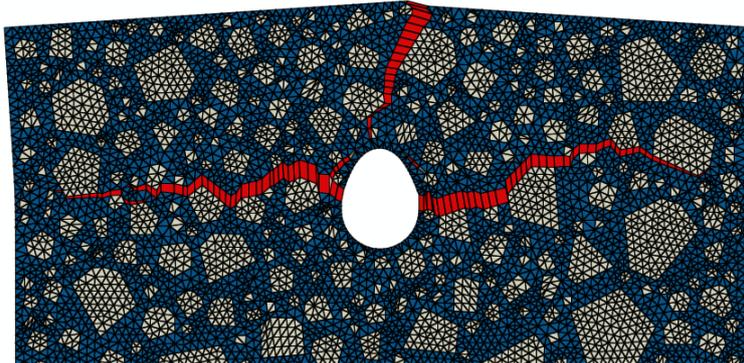
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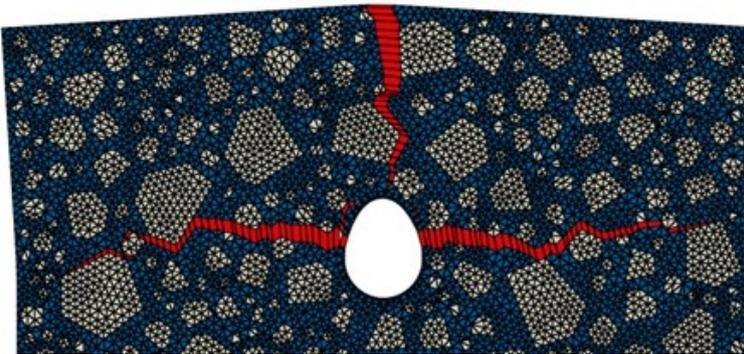
(a) $C=20$ mm

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(b) $C=30$ mm

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(c) $C=40$ mm

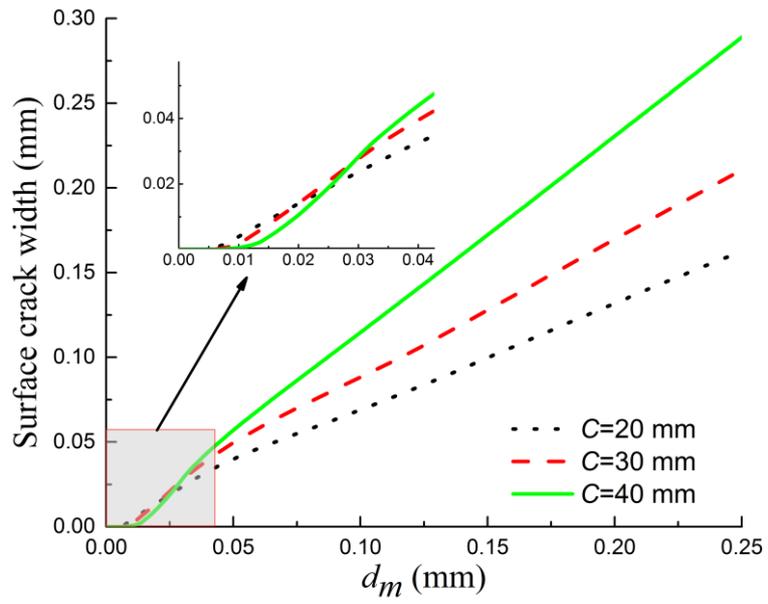
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Figure 16 Crack patterns for different thicknesses of concrete cover

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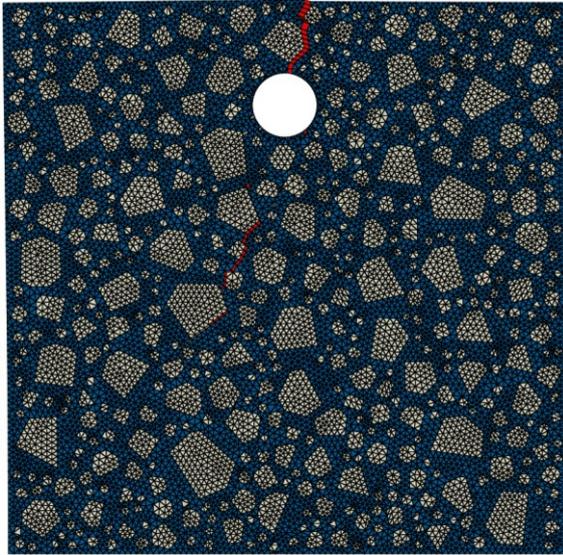


750

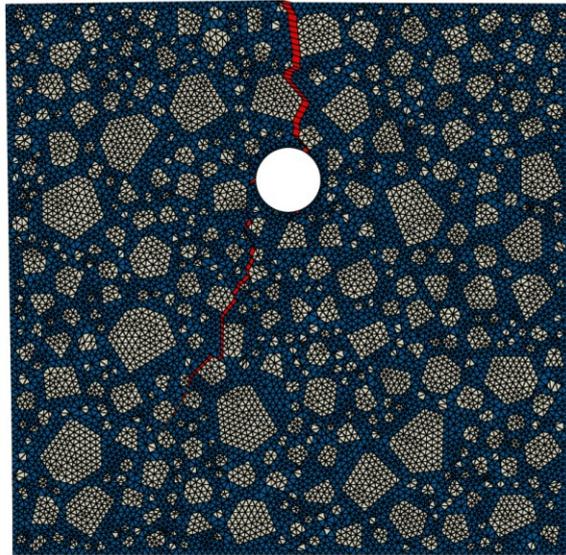
751 Figure 17 The crack width developments for different thicknesses of concrete cover

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755 (a) $C=20$ mm & Uniform corrosion

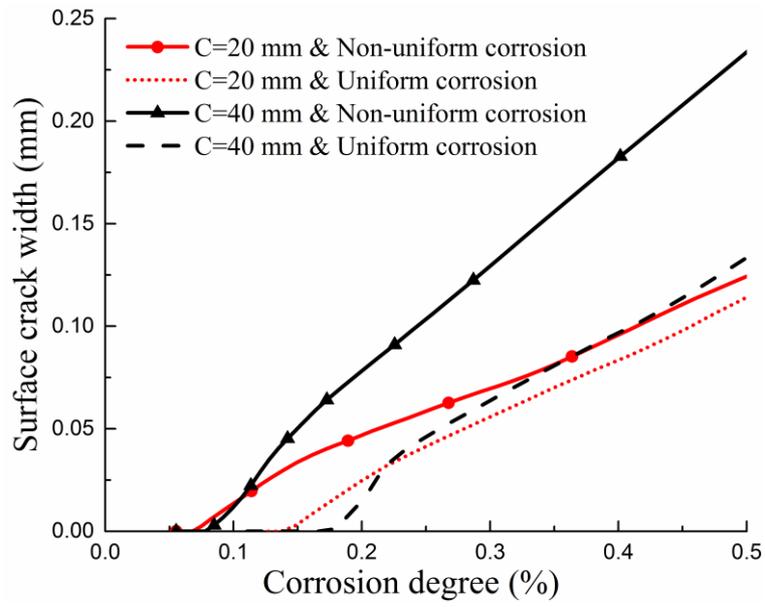


(b) $C=40$ mm & Uniform corrosion

756 Figure 18 Crack patterns of concrete cover induced by uniform corrosion of reinforcement

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Figure 19 The crack width developments with corrosion degree

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