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Title: The effectiveness of interventions to improve the public's antimicrobial resistance awareness and behaviours associated with prudent use of antimicrobials: a systematic review

Running title: Effectiveness of AMR interventions: systematic review

Authors: Lesley PRICE^{1*}, Lucyna GOZDZIELEWSKA¹, Mairi YOUNG¹, Fraser SMITH¹, Jennifer MACDONALD¹, Joanna MCPARLAND¹, Lynn WILLIAMS², Darren LANGDRIDGE³, Mark DAVIS⁴, Paul FLOWERS¹.

¹ Safeguarding Health through Infection Prevention Research Group, Glasgow Caledonian University, Cowcaddens Road, Glasgow, G4 0BA, UK

² School of Psychological Sciences and Health, University of Strathclyde, 40 George Street, Glasgow, G1 1QE

³ Faculty of Arts & Social Sciences, Open University, Walton Hall, Milton Keynes, MK7 6AA

⁴ School of Social Sciences, Monash University, Chancellors Walk, Melbourne, Victoria, Australia, 3800

Corresponding author details: Dr Lesley PRICE, Institute for Applied Health Research, Glasgow Caledonian University, Cowcaddens Road, Glasgow, G4 0BA, UK. L.Price@gcu.ac.uk, Phone +44 (0)141 331 3431. Fax +44 (0)141 331 3005.

1 **Synopsis**

2 **Background:** A global antimicrobial resistance (AMR) awareness intervention targeting the
3 general public has been prioritised.

4 **Objectives:** To evaluate the effectiveness of interventions which aim to change AMR awareness
5 and subsequent stewardship behaviours amongst the public.

6 **Methods:** Five databases were searched between 2000 and 2016 for interventions to change
7 the public's AMR awareness and/or antimicrobial stewardship behaviours. Study designs
8 meeting the EPOC criteria: non-controlled before and after studies and prospective cohort
9 studies were considered eligible. Participants recruited from healthcare settings and studies
10 measuring stewardship behaviours of healthcare professionals were excluded. Quality of studies
11 was assessed using EPOC risk of bias criteria. Data were extracted and synthesised narratively.

12 **Results:** Twenty studies were included in the review with nine meeting the EPOC criteria. The
13 overall risk of bias was high. Nineteen studies were conducted in high-income countries. Mass
14 media interventions were most common (n = 7), followed by school-based (n = 6) and printed
15 materials interventions (n = 6). Seventeen studies demonstrated a significant effect on changing
16 knowledge, attitudes, or the public's antimicrobial stewardship behaviours. Analysis showed
17 that interventions targeting schoolchildren and parents have a notable potential but for the
18 general public the picture is less clear.

19 **Conclusions:** Our work provides an in-depth examination of the effectiveness of AMR
20 interventions for the public. However, the studies were heterogeneous and the quality of
21 evidence was poor. Well-designed, experimental studies on behavioural outcomes of such
22 interventions are required.

23 **Registration:** PROSPERO International prospective register of systematic reviews (PROSPERO

24 2016:CRD42016050343).

25

26 **Introduction**

27 The rise of antimicrobial resistance (AMR) is a rapidly developing global threat that greatly affects our
28 ability to deliver effective healthcare and results in a financial burden.¹ AMR refers to the ability of a
29 microorganism to adapt and grow despite the presence of antimicrobials. AMR threatens effective
30 treatment of an ever-increasing range of infections.¹ Therefore, increasing AMR is becoming a major
31 public health concern. Although AMR is a naturally occurring phenomenon, inappropriate use of
32 antimicrobials is the main driver of AMR.¹ The demands for the use of antimicrobials are increasing
33 worldwide and because of suboptimal management of these demands, huge quantities of
34 antimicrobials are being misused.² Together these highlight the need for effective strategies
35 encouraging prudent use of antimicrobials.

36 The O'Neil report emphasises the need for AMR awareness interventions directed towards the public
37 and development of a uniform, globally consistent set of AMR messages that could be then tailored
38 to meet the specific demands of local settings.² However, the report does not provide
39 recommendations on components of such interventions.²

40 Previous evidence syntheses shows that the overall levels of knowledge and understanding of AMR
41 amongst the public is generally low and members of the public often lack an understanding of their
42 potential contribution to the development of AMR.³⁻⁵ Although high-level evidence demonstrating the
43 effectiveness of interventions in increasing public understanding of AMR exists,⁵⁻⁷ these evaluations
44 are methodologically diverse. It is therefore challenging to identify what interventions work, why and
45 for whom in order to inform future interventions.

46 Thus, the aim of this systematic review is to provide the best quality evidence regarding the
47 effectiveness of AMR interventions that change public awareness and their subsequent antimicrobial
48 stewardship behaviours. Although, antimicrobial stewardship is most commonly thought of in medical
49 settings, the word "stewardship" means "taking care of" particularly on behalf of others. Furthermore,

50 a One Health perspective requires the *collaborative* effort of all stakeholders to take the responsibility
51 for the prudent use of antimicrobials. Therefore, within this work, we use the term “antimicrobial
52 stewardship” to explore the public’s behaviours related to their prudent use of antimicrobials (such
53 as, but not limited to: adhering to prescribers’ directions, not taking or demanding antimicrobial
54 prescription for colds and flu and safe disposal of leftover antimicrobials). We believe an
55 understanding of the public’s antimicrobial stewardship is central to engaging them with their part to
56 play in reducing the drivers of AMR on behalf of future generations, other key stakeholders such as
57 prescribers, and the global community.

58 **Methods**

59 This review was prospectively registered on the PROSPERO International prospective register of
60 systematic reviews (PROSPERO 2016:CRD42016050343 Available from:
61 http://www.crd.york.ac.uk/PROSPERO/display_record.asp?ID=CRD42016050343), and is reported in
62 accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)
63 statement.⁸

64 ***Search strategy***

65 CINAHL, Cochrane Library, EMBASE, MEDLINE and PsycINFO databases were searched for articles
66 published between 2000 and 2016 using keywords associated with the following four concept areas:
67 (1) population - general public; (2) intervention - interventions designed to increase antimicrobial
68 awareness and/or to improve antimicrobial stewardship behaviour amongst the general public; (3)
69 context - AMR or the public’s antimicrobial stewardship; outcomes - all relevant short, medium or
70 long-term outcomes related to the public’s antimicrobial resistance and/or antimicrobial stewardship
71 behaviours (knowledge/awareness, learning, public behavioural and cognition outcomes). The search
72 strategy incorporated controlled vocabulary thesaurus terms and free text words contained in titles
73 and abstracts. No restrictions were applied to language and publication status. The search strategy

74 was amended according to the functionality of each of the databases. An example of the search
75 strategy applied to MEDLINE is presented in Table S1 (available at JAC Online).

76 In addition to the database search, the reference lists of included papers and previous systematic
77 reviews were searched manually and citation searches were conducted through Web of Science in
78 order to identify additional records.

79 ***Study selection***

80 Cochrane's Effective Practice and Organization of Care (EPOC) recommendations⁹ were used to
81 initially select studies for inclusion in the review. Although, EPOC guidelines suggest the inclusion of
82 Randomised controlled trials (RCT), Non-randomised controlled trials(NRT), controlled before and
83 after studies (CBA), Interrupted time series studies (ITS) and repeated measures studies exclusively,⁹
84 because of the limited number of eligible studies meeting the EPOC criteria, non-controlled before
85 and after studies and prospective cohort studies were also deemed eligible to the review.
86 Interventions targeting the general public population and designed to increase public antimicrobial
87 awareness and/or to improve the public's antimicrobial stewardship were deemed eligible to the
88 review. Furthermore, time bound geographical controls or no exposure comparators and all relevant
89 short, medium or long-term outcomes related to antimicrobial resistance and/or the public's
90 antimicrobial stewardship behaviours were included, while those related to antimicrobial prescribing
91 were excluded as this was regarded as the behaviour of healthcare professionals rather than of the
92 members of the general public. Eligibility criteria applied in this study are presented in detail in Table
93 1.

94 Titles and abstracts of identified records were screened against the eligibility criteria (Table 1) by one
95 of three reviewers (MY, LG, FS) with a 30% sub-set of excluded studies independently checked by
96 another reviewer (MY, LG or FS). The level of agreement on this sub-set was 99%. Disagreements were
97 resolved with the involvement of another, experienced reviewer (LP). Full-texts of papers which
98 appeared to meet the inclusion criteria, or where there was insufficient information within the title

99 and abstract were screened by two out of three independent reviewers (LG, MY, FS), with a fourth
100 reviewer (LP) checking all decisions and resolving any discrepancies. Whenever possible, foreign-
101 language papers were translated by members of the team who have a command in foreign languages
102 (LG, JP), or were translated using Google Translate.

103 ***Data extraction and quality assessment***

104 Two out of three reviewers (LG, MY, FS) independently extracted data from eligible studies using
105 standardised tool, designed for the purpose of the study (Table S2, available at JAC online).

106 For studies that met the EPOC study design criteria (RT, NRT, CBA, ITS),⁹ risk of bias was assessed
107 across domains by one reviewer (MY, LG or FS) and checked by a second reviewer (MY, LG or FS) using
108 standard EPOC risk of bias criteria.⁹ Disagreements were resolved through consensus or, if necessary
109 consulted with fourth reviewer (LP). Risk of bias assessments were not conducted for non-controlled
110 before and after studies as it was assumed that the risk of bias of these studies was high. No studies
111 were excluded based on quality assessment.

112 ***Data analysis***

113 Given the heterogeneity of the study designs, populations, interventions and outcome measures, it
114 was not possible to pool the results in a meta-analysis. Therefore, we applied an alternative,
115 systematic approach to assessing complex interventions and carried out a narrative synthesis of
116 evidence following the Cochrane Consumers and Communication Review Group's guidelines.¹⁰
117 Individual study characteristics and findings were summarised and similarities, differences and
118 patterns identified. Studies were grouped to those meeting or not meeting the EPOC criteria and
119 categorised according to the target population. To identify discernible patterns of effectiveness,
120 identified studies were mapped across five categories of intervention effectiveness. These categories
121 were based upon both the strength of the evidence and the position of the primary outcome within
122 the casual chain linking antecedents of behaviour to actual behaviour change. For example, knowledge

123 is understood to be a necessary but insufficient predictor of behaviour as people can develop good
124 awareness and understanding of AMR yet still fail to implement the public's AMR stewardship. The
125 five categories of a relative measure of effectiveness included (1) interventions indicative of clear
126 positive behaviour change in the desired direction, (2) interventions indicative of some positive
127 behaviour change in the desired direction, (3) interventions indicative of positive effect on the
128 antecedent of behaviour, such as knowledge or awareness in the desired direction, (4) interventions
129 indicative of no effect on behaviour or antecedents of behaviour, and (5) interventions indicative of
130 negative effect on behaviour or antecedents of behaviour in a non-desired direction.

131 **Results**

132 Electronic search resulted in the total of 17,312 records. An additional 31 records were identified
133 through reference and citation searching of the included papers. A total number of 60 studies that did
134 not meet eligibility criteria were excluded during the full text reviewing stage. Articles were excluded
135 for not meeting study design criteria, study participants being recruited from healthcare settings,
136 context other than AMR, study outcomes not related to the public's AMR awareness or antimicrobial
137 stewardship, full text record being unavailable, and other reasons such as record being a study
138 protocol, conference abstract of already identified study, short report of already identified study,
139 inability to translate non-English paper, or majority of participants recruited for the study being
140 healthcare workers. A detailed list of excluded papers is presented in Table S3 (available at JAC Online).
141 Following screening, 20 studies that matched the eligibility criteria were included in the review. A
142 detailed process of study selection is presented in Figure 1.

143 ***Study characteristics***

144 As shown in Table 2, study designs of the 20 reviewed studies included randomised controlled trials
145 (n = 2),^{11, 12} non-randomised controlled trials (n = 3),¹³⁻¹⁵ controlled before-after studies (n = 4),¹⁶⁻¹⁹
146 non-controlled before-after studies (n = 10)²⁰⁻²⁹ and a prospective cohort study (n = 1).³⁰

147 Apart from one study conducted in Moldova,¹⁶ all studies were conducted in high-income countries,
148 with the majority conducted in the United States (US) (n = 8),^{11, 12, 14, 15, 19, 23, 28, 30} or in the United
149 Kingdom (UK) (n = 5).^{18, 22, 24, 26, 27} The remaining studies were conducted in Italy,¹³ Portugal,²⁰ Poland,²⁵
150 New Zealand,²¹ and Australia,²⁹ while one study was a multisite study conducted in the UK, Czech
151 Republic and France¹⁷ (Table 2).

152 As shown in Table 2, the most common types of interventions were mass media interventions.^{13, 14, 18,}
153 ^{24, 25, 29, 30} Apart from Madle *et al.* (2008)²⁴ who used website only, all mass media interventions were
154 multimodal and used a variety of outlets, such as billboards, radio, television, newspapers, magazines,
155 websites, and printed resources such as, posters, brochures, pamphlets, leaflets, stickers or badges
156 distributed to community sites or healthcare settings. Six studies used printed materials interventions,
157 either alone,^{12, 15, 21, 23} or in combination with educational presentations,^{11, 19} while Stockwell *et al.*
158 (2010)²⁸ delivered taught modules to Latino community parents. Other interventions were school
159 based, and included a student peer-taught program,¹⁶ e-bug web game,²² interactive workshops,²⁷
160 school lessons delivered using the “Bug Investigators” pack²⁶ and presentation followed by
161 discussion,²⁰ while the intervention delivered in the study by Lecky *et al.* (2010)¹⁷ involved the delivery
162 of a lesson, printed materials, interactive activities and question & answer session.

163 Comparators were similar across the ten controlled studies.^{11-19, 30} With an exception of Lecky *et al.*
164 (2010)¹⁷ who compared the educational intervention to the usual school curriculum, control groups
165 were not exposed to the interventions.

166 Table 2 shows that the most common outcome measure was change in knowledge, attitudes or
167 beliefs, measured alone (n = 10)^{11-13, 15, 17, 20, 22, 24, 26, 27} or in combination with change in the public’s
168 antimicrobial stewardship behaviour (n = 8).^{16, 18, 19, 21, 23, 25, 28, 29} Two studies measured the public’s
169 antimicrobial stewardship behaviour outcomes exclusively (n = 2).^{14, 30}

170 **Quality of studies**

171 Amongst the included studies, nine met the EPOC study design criteria.⁹ As shown in Table 3, the
172 overall risk of bias of the included studies was generally high. Apart from one study,¹¹ all had at least
173 one item assessed as high risk with the number of high risk items ranging from one¹⁵ to five.¹⁸ High
174 risk of bias was most commonly associated with generation of sequence allocation, risk of
175 contamination and other risks.

176 For all studies that met the EPOC criteria, insufficient information was provided for at least 2 of the
177 items which were regarded as unclear risk. None of the studies provided information regarding
178 blinding, and in all studies reporting secondary outcomes, the risk of bias for incomplete secondary
179 outcome data could not be assessed.¹³⁻¹⁶ The number of low risk items ranged from one^{17, 18} to five¹⁶
180 with the “selective outcome reporting” item being most commonly assessed as low risk. None of the
181 studies had a low risk score for any of the following items: “allocation concealment”, “addressing
182 incomplete secondary outcome data” and “blinding primary outcome data”.

183 Risk of bias was not assessed for the non-controlled before-after studies²⁰⁻²⁹ and a prospective cohort
184 study.³⁰ These study designs did not meet the EPOC criteria;⁹ therefore, it was assumed that the risk
185 of bias of these studies was high.

186 ***Relative effectiveness of interventions***

187 Reviewed interventions were grouped into five categories of relative measure of effectiveness. As
188 shown in Table 4, six studies demonstrated a clear desired behaviour change following the
189 intervention, while two studies resulted in some desired behaviour change. Desired effect on the
190 antecedent of behaviour was reported in nine papers. One study showed no effect, while two studies
191 demonstrated an increase in drivers of AMR following the intervention.

192 ***Effectiveness of interventions delivered to populations through the lifecycle***

193 In 17 of the studies, the intervention had a significant effect on the outcome of interest amongst the
194 populations through the lifecycle. These included schoolchildren, university students, parents and the
195 general public.

196 ***Schoolchildren***

197 All six school-based educational interventions that targeted schoolchildren aged between 9-15 years
198 ^{16, 17, 20, 22, 26, 27} found a significant increase in knowledge following the educational intervention (Table
199 2). However, Farrell *et al.* (2011)²² found a significant knowledge change in only 3 out of 21 questions
200 ($p \leq 0.02$), and no overall change in knowledge. The three questions for which significant improvement
201 was reported related to the valuableness of “good microbes”, the presence of microbes despite
202 inability to see them, and handwashing being an effective method of removing microbes from the
203 hands. Only one study¹⁶ measured behavioural outcome in addition to beliefs, and found that children
204 in the intervention group were 3.2 times more likely than other students to report that they had not
205 taken an antibiotic for a cold or flu ($p < 0.001$).

206 None of the studies measured long term outcomes of school-based interventions. Post-intervention
207 outcomes were measured immediately following the intervention,²² or between 1-8 weeks after the
208 intervention. In addition, one study¹⁷ found that the increase in knowledge was maintained at 6 week
209 post intervention in junior but not for senior school students.

210 *University Students*

211 University students were targeted in one experimental study that aimed to investigate whether an
212 educational intervention (information booklet) resulted in an increase of young adult consumers'
213 preference for physicians who do not unnecessarily prescribe antibiotics for simple acute upper
214 respiratory tract infections.¹⁵ This study demonstrated, that exposure to the intervention significantly
215 increased the mean preferred start date for antibiotics after the onset of an infection from 2.3.-3.9
216 days ($p < 0.1$) and preference for a physician who would not prescribe antibiotics at day 3 of an
217 infection ($p < 0.1$). However, this was still well before recommended time of 10-14 days.

218 *Parents*

219 The effect of educational interventions delivered to parents on change in their AMR knowledge,
220 attitudes or beliefs alone,^{11, 12} or in combination with parents' antimicrobial stewardship behaviour
221 outcomes^{16, 19, 23, 28} was measured in six studies. The majority of these interventions were directed to
222 parents or caregivers of children under the age of 6.^{11, 12, 19, 28} In the remaining two studies, intervention
223 was delivered to households with at least one children over 5 years old²³ and parents of children aged
224 12-13.¹⁶

225 As shown in Table 2, all studies showed a significant increase in knowledge following the interventions.
226 In addition, four of the reviewed interventions also had a positive effect on changing parents'
227 antimicrobial stewardship behaviour. Cebotarenco & Bush (2008)¹⁶ found, that parents in the
228 intervention group were 5.2 times more likely than other parents to indicate they had not taken an
229 antibiotic for colds or flu ($p < 0.001$). In Trepka *et al.* (2001)¹⁹, the proportion of parents who expected
230 an antibiotic for their child and did not receive one declined in the intervention area from 14% to 9%,
231 while it increased from 7% to 10% in the control area ($p = 0.003$) and the percentage of parents
232 reporting that they brought their child to another physician because they did not receive an antibiotic
233 decreased from 5% to 2% in the intervention area and increased from 2% to 4% in the control area (p
234 = 0.02). Larson *et al.* (2009)²³ found that the percentage of participants reporting using alcohol hand

235 sanitizers has increased from 1.4% to 66.8% following the intervention ($p = 0.001$) while the
236 percentage of those reporting that at least one member of their household had been vaccinated
237 against influenza has increased from 63.7% to 73.9% ($p = 0.001$). Stockwell *et al.* (2010)²⁸ on the other
238 hand, demonstrated that the number of parents reporting that they sought antibiotics without a
239 prescription when their child was sick has decreased from 6 to 1 ($p = 0.06$).

240 *General public*

241 The general public were the population of interest in eight of the included studies.^{13, 14, 18, 21, 24, 25, 29, 30}
242 Apart from Curry *et al.* (2006)²¹ who used printed materials in the form of posters and leaflets, all
243 studies were mass media campaigns, including four studies that measured the effects of the national
244 campaign intervention.^{18, 21, 25, 29}

245 Five studies demonstrated a significant effect on the general public's knowledge and attitudes^{14, 21, 24,}
246 ^{25, 29} (Table 2). With respect to antimicrobial stewardship behaviour amongst the public, four studies
247 report a significant effect following the intervention.^{14, 21, 25, 29}

248 Gonzales *et al.* (2008)¹⁴ found that visits to paediatricians declined in the intervention group for all
249 conditions but mostly for acute respiratory infections ($p = 0.01$). Similarly, Curry (2006)²¹
250 demonstrated a significant decrease in the numbers of respondents who reported consulting a doctor
251 for the common cold ($p = 0.026$). The results of Wutzke, (2006)²⁹ showed that significantly less
252 participants reported using antibiotics for cough, cold or flu following the intervention (7.4%) in
253 comparison to baseline data (10.8%; percentage point change = 3.4; 95% CI: 1.3–5.5). Mazinska &
254 Hryniewicz, (2010)²⁵ on the other hand, demonstrated a significant increase in the percentage of
255 respondents who have limited the use of antibiotics (from 27% at baseline to 43% post intervention),
256 have become more disciplined and cautious in their use (from 3% to 24%), and who paid attention to
257 the correct dosage (from 6% to 18%; no p values given).

258 The remaining three studies did not show a significant positive effect on outcomes of interest.^{13, 18, 30}
259 In Mainous *et al.* (2009),³⁰ intervention designed to decrease self-medication with antibiotics
260 surprisingly resulted in significantly greater percentage of the intervention Latino community group
261 using antibiotics without a prescription in comparison with the control group (OR = 1.81; 95% CI, 1.02-
262 3.22). McNulty *et al.* (2010)¹⁸ on the other hand, found no positive effect on participants' knowledge
263 or antimicrobial stewardship behaviour following a national campaign, and there was a significant
264 increase in the percentage of respondents from the intervention area who reported retaining leftover
265 antibiotics ($p < 0.001$). Formoso *et al.* (2013)¹³ reported that knowledge consistency with the national
266 campaign messages either worsened ($p < 0.05$) or did not improve in both the intervention and control
267 groups after the intervention.

268 **Discussion**

269 ***Main findings of this study***

270 This systematic review provides an in-depth examination of the effectiveness of interventions that
271 target the public to increase their knowledge, understanding of AMR and engagement with
272 antimicrobial stewardship behaviours. We have also identified patterns between target populations
273 and relative intervention effectiveness. The findings present a complex picture reflecting the
274 heterogeneity of the studies.

275 Our analysis has shown that interventions targeting schoolchildren and parents have notable
276 potential. All interventions that targeted schoolchildren or parents showed a significant effect on the
277 outcome of interest. However, effective school-based interventions tended to only have effects of
278 increasing knowledge. In addition, these studies measured only short-term outcomes. In contrast,
279 interventions targeting parents demonstrated changes in behaviour in addition to knowledge, with
280 the follow up period ranging from 2 weeks²⁸ to 3 years.¹²

281 With regards to the interventions targeting the general public, the picture is less clear. Although the
282 majority (n = 5) of these studies demonstrated effectiveness of interventions in improving the public's
283 AMR knowledge or their antimicrobial stewardship behaviour, three studied did not, with two
284 showing a decrease in AMR knowledge¹³ and in antimicrobial stewardship behaviour.³⁰ These findings
285 highlight the need to examine differences in the content between these interventions targeting the
286 general public.

287 Patterning of the effectiveness across the type of target population also suggests that different target
288 populations should receive different interventions with different primary outcomes. Nevertheless,
289 targeting children alone is unlikely to make a major contribution to AMR because attitudes and the
290 public's antimicrobial stewardship behaviours may be passed down through generations. Thus, using
291 the power of familial social influence and parental duty where children's AMR education within school
292 is reinforced and boosted by parental interventions in the home, might be a more appropriate
293 approach for the achievement of desired cultural change. This indicates the potential of a multimodal
294 intervention or programmatic approach to AMR related interventions.

295 An ideal approach would be to address the entire population simultaneously, yet segmenting it to
296 target sub-populations. Through such segmentation, or stratification of the general public, diverse
297 tailored interventions addressing different sub-population, would be a strategic way to begin the
298 process of cultural change required to reduce the drivers of AMR.

299 The nature of the increase in knowledge that is needed can also be specified by drawing on other
300 evidence syntheses that has shown that the public's' AMR knowledge and understanding of their
301 contribution to AMR is generally poor.³ Therefore, in addition to changing the public's understanding
302 of appropriate antimicrobial use, interventions should also target the public's understanding of AMR
303 to enable the public to understand their central role in tackling AMR, and the risks for the intervention
304 recipient, their loved ones and the wider population.

305 ***Findings in relation to other research***

306 In their recent paper, Wells & Piddock (2017)³¹ argued that amongst other actions, an urgent review
307 of educational campaigns is required in order to fulfil UK and European AMR action plans. Our review
308 addresses this need. Furthermore, to our knowledge this is the first systematic review that provides
309 such an in-depth examination of the effectiveness of AMR related interventions that target the public
310 specifically.

311 Previous literature focused on the level of the public's AMR knowledge and beliefs,^{3,4} communication
312 interventions or interventions that target both, the public and healthcare professionals.⁶ The latter
313 found that multi-component interventions improve the public's knowledge of appropriate
314 antimicrobial use, specifically in relation to antibiotics and that interventions including both, physician
315 and public education appear to be effective in reducing antibiotic use.⁶ Similarly, Cross *et al.* (2016)³²
316 reported that multi-modal communication interventions targeting both the public and clinicians can
317 reduce antibiotic prescribing in high-income countries. Although, our review focused on the general
318 public population specifically, the potential of multi-faceted interventions was also highlighted in our
319 work.

320 Another previous systematic review by King *et al.* (2015)⁷ reviewed the evidence of effectiveness and
321 cost-effectiveness of interventions changing the public' risk related behaviours in relation to
322 antimicrobial use. The review showed that direct contact education interventions were consistently
323 more effective than mass media interventions.⁷ This appears to explain our findings on the varying
324 effectiveness of interventions targeting the general public, as majority of these studies used mass
325 media interventions.

326 There is also a body of evidence on large-scale antibiotic campaigns that although were not eligible
327 for inclusion in our review as the participants were both members of the public and healthcare
328 professions. A literature review showed that there have been numerous multifaceted antibiotic
329 awareness campaigns launched in high-income countries,³³ however, there was substantial

330 heterogeneity in outcomes, including knowledge and awareness, use of antibiotics and antimicrobial
331 resistance, and the interventions themselves often lack a robust grounding in behavioural and social
332 science theory. The majority of campaigns included in the review targeted both the general public and
333 healthcare professionals simultaneously and they appeared to result in a reduction of antibiotic use.³³
334 It therefore appears that targeting different populations at the same time might result in desired
335 outcomes as healthcare professional's prescribing decisions might also be influenced by the patient,
336 while patient's behaviour might be affected by the prescriber's advice. One such campaign, conducted
337 in the UK in 2014 simultaneously targeted members of the public and healthcare professionals who
338 pledged as Antibiotic Guardians, and showed an increase in AMR knowledge and commitment to
339 pledge behaviour in both surveyed sub-populations.³⁴ Another antibiotic awareness campaign
340 conducted in Hong Kong, targeted the general public, patients and healthcare professionals in a
341 segmented fashion, and resulted in a significant improvement ($p \leq 0.002$) in respondents' knowledge
342 on prudent use of antibiotics following the campaign.³⁵ Yet another successful large-scale antibiotic
343 awareness campaign segmented to target the general public and healthcare professionals was
344 conducted in France.³⁶ The effectiveness of this campaign in reducing the number of antibiotic
345 prescriptions was evaluated and showed a 26.5% (95% CI 33.5–19.6) decrease in the total number of
346 antibiotic prescriptions following the campaign, with the greatest decrease of 35.8% (95% CI -48.3% to
347 -23.2%) in prescriptions issued for children and young adults in the 21-25 years age group (24.1%
348 decrease; CI not provided).³⁶ These findings further emphasise the potential of programmatic
349 approach to AMR related interventions segmented to different target sub-populations, as suggested
350 previously in our main findings section.

351 ***Strengths and limitations***

352 We have conducted a rigorous search and systematic review accompanied by a narrative synthesis.
353 Although, similar work concerning the effectiveness of interventions aimed to improve antibiotic use
354 has been conducted previously,³² our work focused on interventions targeting the general public

355 population exclusively and did not include outcomes related to healthcare professionals' AMR
356 awareness or antimicrobial stewardship, such as antibiotic prescribing. Our analysis provides a sense
357 of what is normative within this field, what has been attempted before and what could be repeated.
358 It also provides a unique and valuable contribution to the available literature. However, the study also
359 has limitations.

360 First, because the UK Antimicrobial Resistance Strategy and Action Plan was launched by the
361 Department of Health (DH) in 2000³⁷, followed by the publication of the WHO Global Strategy for
362 Containment of Antimicrobial Resistance in 2001¹, we limited our search to publications from 2000
363 onwards. This could result in omission of important, older papers. Second, the studies from low- and
364 middle-income countries were underrepresented in our review. Thus, relevance and applicability of
365 our findings to different geographical areas or resource contexts is limited. Third, the risk of bias was
366 assessed only for studies that met the EPOC study design criteria. However, a suitable, validated tool
367 for assessing the risk of bias of non-controlled before and after studies could not be identified.
368 Furthermore, using different instrument could result in ambiguities in relation to the quality of
369 stronger designs. The overall quality of the evidence was rather low. Major problems were associated
370 with randomisation in experimental designs and the evaluation of mass media and other population
371 level interventions. As these kinds of interventions aim for maximum population reach, it is difficult
372 to attain adequate controls or indeed randomise at this population level. Therefore, good quality study
373 designs are systematically less likely to be identified within this kind of population level intervention
374 literature. Notwithstanding this, there was a considerable heterogeneity in outcomes. There are no
375 standardised ways of measuring the public's AMR related knowledge or associated stewardship
376 behaviours. Furthermore, change in knowledge, awareness or beliefs, which were the most common
377 outcome measures across the included studies, might not necessary lead to desired behaviour change.
378 As a result, it is particularly challenging to build cumulative knowledge regarding the effectiveness of
379 interventions to increase the public's engagement with antimicrobial stewardship. Another limitation
380 is that given the problems with the quality of primary research, our measure of relative effectiveness

381 should be treated with caution as this was based on our relative measure and are not equivalent of a
382 strong evidence base within typical evidence based guidance. Finally, we did not conduct an analysis
383 of the cost-effectiveness of reviewed interventions; however, for the majority of studies included in
384 our review, cost effectiveness data was not reported.

385 ***Recommendations for future research***

386 Although, our work demonstrated the potential of intervention, that targets particular sub-
387 populations of the general public, taking into account the low quality of reviewed evidence, lack of
388 cost-effectiveness evaluation and underrepresentation of studies from low- and middle-income
389 countries, these findings must be treated with caution. There is a need for well-designed, randomised
390 experimental studies focusing on behavioural outcomes of the interventions. Furthermore, measures
391 of AMR knowledge and stewardship behaviours need to be standardised and there is a need for
392 improvement of the reporting standards to ensure detailed and transparent reporting of intervention
393 components. Finally, considering the underrepresentation of studies from low- and middle-income
394 countries, there is a need for the development and evaluation of similar interventions within such
395 settings.

396 **Conclusions**

397 Although some evidence on the effectiveness of interventions that target the general public to engage
398 with the problem of AMR exists, the public's understanding of AMR and their role in combating this
399 problem remains poor. Thus, there is a need for a cultural change and effective engagement of the
400 public in addition to other key stakeholders. This need could be addressed through development of
401 well-designed AMR related interventions robustly grounding within behavioural and social science
402 theory. Our work provided an in-depth examination of the effectiveness of AMR related interventions
403 targeting the members of the public specifically. We suggests that future policy makers should
404 consider multimodal segmented population level intervention that tailors its core messages to

405 children, parents and the wider general public alike, particularly in high-income geographical areas.
406 Future interventions should convey messages that elicit the public's motivation to make their own
407 efforts to address AMR as a growing problem for all and a problem for the present as much as for the
408 future.

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414 **Transparency declarations**

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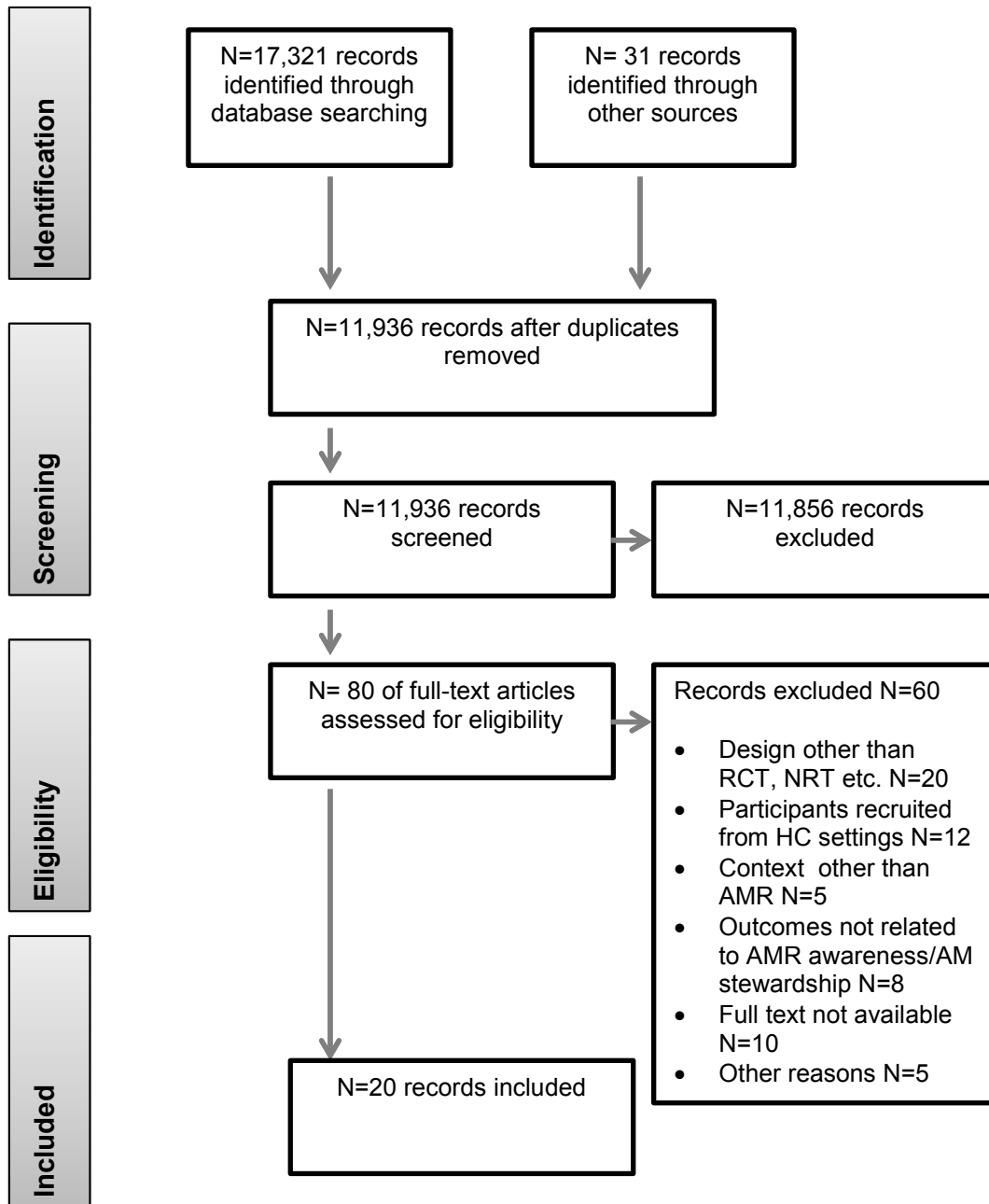
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515 **Figures and Tables**

516 Figure 1. Study selection flowchart



518 Table 1. Review's eligibility criteria

	Inclusion criteria	Exclusion criteria
Design	Randomised controlled trials, non-randomised trial, interrupted time series studies, controlled before and after studies, non-controlled before and after studies and cohort studies.	-
Population	Members of the public	Participants recruited from healthcare settings
Intervention	Intervention designed to increase public antimicrobial awareness and/or to improve antimicrobial stewardship (through mass media, social marketing or printed media campaigns).	-
Comparator	Time bound, geographical controls or no exposure	-
Context	Non-healthcare settings; AMR or the public's antimicrobial stewardship	-
Outcomes	All relevant short, medium or long-term outcomes related to antimicrobial resistance and/or antimicrobial stewardship behaviours (knowledge/awareness, learning, public behavioural and cognition outcomes)	Antimicrobial prescribing
Publication date	Published after January 2000	Published before January 2000

519

520 Table 2. Study characteristics and results of the included studies

Study	Country	Design	Sample	Nature of intervention(s)	Outcome measures	Significant results
Azevedo et al. (2013) ²⁰	Braga, Portugal	NCBA	N = 82 school children	School based presentation followed by discussion.	Knowledge & attitudes	Knowledge of the correct use of antibiotics for bacterial diseases rather than viral diseases rose from 43% to 76% in the post-test ($p < 0.01$). Knowledge of the risk of bacterial resistance to antibiotics from their incorrect use rose from 48% to 74% in the post-test ($p < 0.05$).
Cebotarencu & Bush, (2008) ¹⁶	Chisinau, Moldova	CBA	N = 3586 school children & N = 2716 parents	Educational intervention about the use of antibiotics delivered by student volunteers trained as peer leaders delivered to their classmates & the classmates' parents.	Beliefs & behaviour	Students in both the intervention District & the Post-intervention phase were 3.2 (CI 2.065–4.909) times more likely than other students to indicate they had not taken an antibiotic.
Croft et al. (2007) ¹¹	Wisconsin, USA	RCT	N = 300 parents.	Distribution of printed materials to parents by child care staff; slide presentation delivered to staff.	Knowledge	In parents who were college graduates, the median knowledge scores were 7.0 at intervention centres & 6.5 at control centres ($p < 0.01$).
Curry et al. (2006) ²¹	Auckland, New Zealand	NCBA	N = 400 general public	National campaign "Wise use of antibiotics". Posters & leaflets delivered to the public attending pharmacies.	Knowledge & attitudes and behaviour	Patients who had ever been to the doctor for a common cold significantly decreased (45% vs 62%; $p = 0.0006$). They were significantly less likely to feel positive about antibiotics in 2003 for the treatment of a cold (16% versus 33%, $p = 0.00001$). The perception that antibiotics were beneficial for cold/flu symptoms significantly reduced from 1998 to 2003 ($p < 0.05$); the perceived benefit of antibiotics for tonsillitis increased from 83% to 91% in 2003 ($p = 0.014$) Significantly less people reported ever attending a doctor for a cold in 2003 Vs 1998 (45% vs 62%; $p = 0.0006$); & the number of people who would usually see a doctor for a cold decreased from 24% to 15% ($p = 0.026$).

Farrell et al. (2011) ²²	Glasgow, Gloucester and London, UK	NCBA	N = 1736 children	E-Bug web game	Knowledge & attitudes	No overall change in knowledge. Significant knowledge change in 3 out of 21 questions ($p \leq 0.02$).
Formoso et al. (2013) ¹³	Emilia-Romagna, Italy	NRT	N = 1200 general public	Local mass media campaign (posters, brochures & advertisements on local media) delivered to general population to raise awareness of inappropriate use of antibiotics.	Knowledge	After the intervention, consistency with campaign messages worsened (or did not improve) similarly in both intervention and control areas, the only exception being knowledge on the presumptive antiviral activity of antibiotics, worsening in the intervention area more than control area
Gonzales et al. (2008) ¹⁴	Colorado, USA	NRT	N = 1503 general public	Mass media intervention (outdoor & radio advertisements) delivered to general public about use of antibiotics.	Behaviour	Linear regression analysis showed a significant Net differences in monthly paediatric office visit rates between mass media & comparison communities before & after the campaign ($p = 0.01$).
Huang et al. (2007) ¹²	Massachusetts, USA	RCT	N = 3142 parents	Community based educational intervention occurred through 3 successive cold & flu seasons. Printed materials: (mailed newsletters, posters, pamphlets, & fact sheets in the waiting rooms of local paediatric providers, pharmacies, & child care centres).	Knowledge & attitudes	The proportion of parents who answered 7 of 10 knowledge questions correctly increased significantly in both intervention (from 52% to 64%; $p < 0.001$) & control (from 54% to 61%; $p < 0.01$) communities. Substantial improvements in percentage correct answers were seen for items on middle ear fluid (41% in 2000; 50% in 2003, $p < 0.001$) & the general question of whether antibiotics were needed for colds & flu (66% in 2000; 77% in 2003, $p < 0.001$)
Larson et al. (2009) ²³	Upper Manhattan, USA	NCBA	N = 422 households	Targeted Latino households. Educational materials (colouring book, pamphlets) based on knowledge, attitudes, & practices regarding prevention & treatment of upper respiratory tract infections. Program was delivered during home visits every 2 months.	Knowledge & attitudes and behaviour	After the intervention, the mean composite knowledge scores at baseline & end of study were 5.19 (SD = 1.60) & 5.91 (SD = 1.71) ($p < 0.001$), respectively. With regard to reported practices, significantly more participants after the intervention reported using alcohol hand sanitizers (1.4% baseline & 66.8% post-intervention, $p = 0.001$). Significantly more also reported that one or more members in their household had received the influenza vaccination after the intervention (63.7% at baseline & 73.9% post-intervention, $p = 0.001$).

Lecky et al. (2010) ¹⁷	Gloucestershire and London, England; Nice and Bordeaux, France; Prague and Ostrava, Czech Republic	CBA	N = 2724 school students	School based educational intervention (e-bug) regarding inappropriate antibiotic use delivered to classes of 9-11-year-old (junior) & 12-15 year old (senior) students in state schools Included 45 min lesson hand-outs, worksheets, factsheets, interactive activity 7 a follow-up plenary question &-answer session.	Knowledge	Junior school: Significant change in knowledge, & significant change in retention 6 weeks post-intervention, across countries. Little significant difference in knowledge change between intervention & control, with exception of Czech Republic. Senior school: Significant improvement in knowledge 6 weeks post intervention in Czech Republic. Significant - improvement in knowledge, & knowledge retention between control & intervention in England & Czech Republic
Madle et al. (2004) ²⁴	London, UK	NCBA	N = 177 general public	Open access to the National electronic Library of Infection Antimicrobial Resistance website on the use of antibiotics & antibiotic resistance. The site comprises frequently asked questions & links to evidence based resources.	Knowledge & attitudes	Significant improvements in knowledge about the use of antibiotics & antibiotic resistance in 2 out of 3 statements: (1) "people cannot become resistant to antibiotics" (p <0.001, X ² = 60.357, 95% CI of change: 27.47 to 44.53); (2) "antibiotics do not cure most sore throats" (p <0.001, X ² = 19.22, 95% CI of change: 8.62 to 27.38). Significant changes in the scores assigned by users for 3 out of 4 statements designed to test users' attitudes to the information on the site (p ≤0.003). Expectations that antibiotics should be prescribed were significantly reduced after using the website (p <0.001). Non-HCWs continued to have higher expectations of antibiotics being prescribed than HCW (p = 0.0046 before and p = 0.0098 after using the website).
Mainous III et al. (2009) ³⁰	South Carolina, USA	Prospective cohort study	N = 691 Self-identified Latinos	Mass media educational intervention (pamphlets, radio, newspapers) delivered to local Latino communities about use of antibiotics.	Behaviour	Numbers in the intervention group reporting that they had bought antibiotics without a prescription increased following the intervention compared to baseline (Chi sq test reported as significant but p value not given. The regression analysis showed the strongest predictor of purchase of antibiotics without a prescription in the previous 12 months was past purchase of antibiotics without a prescription outside the United States (OR = 5.72; 95% CI, 3.12-10.48). The regression analysis also showed the strongest predictor of likelihood of importing antibiotics into the United States was past purchase of antibiotics without a prescription outside the United States (OR = 3.01; 95% CI, 1.95-4.65).
Mazinska & Hryniewicz, (2010) ²⁵	Poland	NCBA	N = 1000 general public	Mass media educational intervention (posters, leaflets, billboards, TV, cinemas, radio, press, magazines, thematic exhibitions, internet) implemented across the country.	Knowledge, attitudes & behaviour	Significant increase in the percentage of people who have limited the use of antibiotics 27-43%, have become more disciplined and cautious in their use from 3-24%, as well as pay attention to the correct dosage 6-18% (no p values given).

McNulty et al. (2001) ²⁷	Gloucester, UK	NCBA	N = 38 year 5 school children.	School-based intervention to children aged 9-10years at a state school. Included two 90 minute interactive workshops entitled "Antibiotics and your good bugs".	Knowledge	Before the workshops 23% & 26% knew antibiotics do not kill viruses but kill good bacteria, compared with 47% & 69% afterwards ($p = 0.03$ & 0.0001). 45% before & 73% after the workshops correctly answered all the questions ($p < 0.0001$). Children thought antibiotics helped hay fever, this improved significantly after the workshop (correct answer 28% before, 77% after ($p < 0.0001$). Overall score for 7 questions in the "where are bugs found" section was increased significantly from an average of 80.5% success to 93.2% success ($p = 0.0002$). The overall score improvement in the "How do bugs spread" section was significant ($p = 0.00001$).
McNulty et al. (2007) ²⁶	Gloucestershire, UK	NCBA	N = 198 year 5 and 6 school children	School based intervention. "Bug Investigators" pack about micro-organisms, hygiene & antibiotics The pack included 11 activity sheets, teachers' guide, poster & website.	Knowledge	Children's knowledge improved in all topic areas & was significant in 6 out of the 7 topic areas ($p < 0.005$). Improved knowledge was most significant for what antibiotics do & how to use them (percent improvement 27 (CI 22.8, 31.1) & 31 (CI 23.4, 37.7), respectively; & the value of our own good bugs (16 percent improvement).
McNulty et al. (2010) ¹⁸	England and Scotland, UK	CBA	N = 3718 general public	Mass media campaign about antibiotic use involving posters displayed in magazines & newspapers.	Knowledge & attitudes and behaviour	No positive effect of the campaigns.
Pontes & Pontes, (2005) ¹⁵	Mid-Atlantic region, USA	NRT	N = 105 university students	University based educational intervention (information booklet) to increase young adult consumers' preference for physicians who do not unnecessarily prescribe antibiotics for simple acute upper respiratory tract infections.	Attitudes	Exposure to the intervention significantly increased the mean preferred start date for antibiotics after initiation of an infection from 2.3-3.9 days ($p < 0.1$). Respondents' preferences were significantly greater for the physician who indicated he would not prescribe antibiotics in the intervention ($M = 4.84$) compared to control ($M = 3.91$, ($p < 0.01$).
Stockwell et al. (2010) ²⁸	New York City, USA	NCBA	N = 10 parents	Health literacy intervention regarding upper respiratory tract infection delivered to parents on a "Early Head start" programme. Involved 3x 1.5 hour interactive sessions & provision of kit for care of a child with such an infection.	Knowledge & attitudes and behaviour	The mean composite knowledge/attitude score increased from 4.1 (total possible: 10) to 6.6 ($p < 0.05$). Number of parents reporting that the last time their child was sick they sought antibiotics without a prescription instead of, or in addition to, seeing their health care provider has decreased from 6 to 1 ($p = 0.06$).

Trepka et al. (2001) ¹⁹	Northern Wisconsin, USA	CBA	N = 365 parents	Nurse educators delivered parent-oriented presentations in community organisations, distributed information pamphlets & displayed posters. Topics covered included antibiotic resistance & use.	Knowledge & attitudes and behaviour	From baseline to post intervention the percentage of parents with high antibiotic resistance awareness significantly increased in the intervention (change: 14.3%; 95% confidence interval [CI]: 6.6, 22.0) but not in the control group (change: 4.3%; 95% CI: -4.1, 12.7; p = 0.015). The proportion of parents who expected an antibiotic for their child & did not receive one, declined in the intervention area (14% to 9%), while it increased in the control area (7% to 10%). The difference between the 2 area changes was -8.4% (95% CI: -13.9,-2.8; p = 0.003). The percentage of parents in the intervention area who brought their child to another physician because they did not receive an antibiotic decreased (5% to 2%), while it increased in the control area (2% to 4%). The difference between the 2 area changes was -4.5% (95% CI: -8.0,-.9; p = 0.02).
Wutzke et al. (2006) ²⁹	Australia	NCBA	N = 6217 general public	National mass media intervention for consumers delivered during winter months in 2001, 2002, 2003, & 2004. About the inappropriate use of antibiotics for upper respiratory tract infection. Strategies included newsletters & brochures, mass media activity using billboards, television, radio & magazines & small grants to promote local community education.	Knowledge & attitudes and behaviour	There was a significant decline in those who believe taking antibiotics for cold & flu is appropriate, from 28.7% pre-programme in 2002 to 21.7% in 2004 (percentage point change = 7.0; 95% CI: 3.5–10.5). Significant decrease in self-reported use of antibiotics to treat cough, cold or flu, from 10.8% in 1999 down to 7.4% in 2004 (percentage point change = 3.4; 95% CI: 1.3–5.5).

522 Table 3. Risk of bias of studies meeting the EPOC criteria.

Study ID	Allocation - sequence generation	Allocation - concealment	Baseline primary outcome	Baseline secondary outcome	Baseline characteristics	Incomplete primary outcome data	Incomplete secondary outcome data	Blinding primary outcome data	Blinding secondary outcome	Contamination	Selective reporting primary outcome	Selective reporting secondary outcome	Other risks of bias
Cebotarenco & Bush	H	H	U	U	L	U	U	U	U	L	L	L	L
Croft <i>et al.</i> (2007) ¹¹	L	U	U	n/a	U	L	n/a	U	n/a	U	L	n/a	L
Formoso <i>et al.</i> (2013) ¹³	H	U	U	U	L	U	U	U	U	U	L	L	H
Gonzales <i>et al.</i> (2008) ¹⁴	H	U	U	L	U	U	U	U	L	H	L	L	H
Huang <i>et al.</i> (2007) ¹²	L	U	H	n/a	L	L	n/a	U	n/a	H	H	n/a	H
Lecky <i>et al.</i> (2010) ¹⁷	H	U	U	n/a	U	H	n/a	U	n/a	H	L	n/a	H
McNulty <i>et al.</i> (2010) ¹⁸	H	H	H	n/a	U	U	n/a	U	n/a	H	L	n/a	H
Pontes & Pontes	U	U	U	U	U	U	U	U	U	U	L	L	H
Trepka <i>et al.</i> (2001) ¹⁹	H	U	L	n/a	U	L	n/a	U	n/a	H	L	n/a	H

524 Table 4. Patterning of the effectiveness across the type of target population

Study	Interventions indicative of clear positive behaviour change in the desired direction	Interventions indicative of some positive behaviour change in the desired direction	Interventions indicative of positive effect on the antecedent of behavior in the desired direction	Interventions indicative of no effect on behaviour or antecedents of behaviour	Interventions indicative of negative effect on behaviour or antecedents of behaviour in a non-desired direction
Azevedo <i>et al.</i> (2013) ²⁰			School Children		
Cebotarenco & Bush, (2008) ¹⁶		School Children			
Croft <i>et al.</i> (2007) ¹¹			Parents; Child Care Facilities		
Curry <i>et al.</i> (2006) ²¹	General Public				
Farrell <i>et al.</i> (2011) ²²			School Children		
Formoso <i>et al.</i> (2013) ¹³					General Public
Gonzales <i>et al.</i> (2008) ¹⁴	General Public				
Huang <i>et al.</i> (2007) ¹²			Parents		
Larson <i>et al.</i> (2009) ²³	Parents				
Lecky <i>et al.</i> (2010) ¹⁷			School Children		
Madle <i>et al.</i> (2004) ²⁴			General Public		
Mainous <i>et al.</i> (2009) ³⁰					Latino Community, USA
Mazinska & Hryniewicz, (2010) ²⁵		General Public			
McNulty <i>et al.</i> (2001) ²⁷			School Children		
McNulty <i>et al.</i> (2007) ²⁶			School Children		
McNulty <i>et al.</i> (2010) ¹⁸				General Public	
Pontes <i>et al.</i> (2005) ¹⁵			Young Adults		
Stockwell <i>et al.</i> (2010) ²⁸	Latino Community Parents, USA				
Trepka <i>et al.</i> (2001) ¹⁹	Parents				
Wutzke <i>et al.</i> (2006) ²⁹	General Public				

526 **Supplementary data**

- 527 1. Supplementary Table S1. An example of search strategy applied for MEDLINE database
- 528 2. Supplementary Table S2. Data extraction tool designed for the purpose of the study
- 529 3. Supplementary Table S3. Excluded studies with rationale

530