

1 Comparison of different models for high damping rubber bearings in 2 seismically isolated bridges

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10 11 SUMMARY

12 Steel-reinforced high damping natural rubber (HDNR) bearings are widely employed in seismic
13 isolation applications to protect structures from earthquake excitations. In multi-span simply
14 supported bridges, the HDNR bearings are typically placed in two lines of support, eccentric with
15 respect to the pier axis. This configuration induces a coupled horizontal-vertical response of the
16 bearings, mainly due to the rotation of the pier caps. Although simplified and computationally
17 efficient models are available, which neglect the coupling between the horizontal and vertical
18 response, their accuracy has not been investigated to date.

19 In this paper, the dynamic behaviour and seismic response of a benchmark three-span bridge are
20 analysed by using an advanced HDNR bearing model recently developed and capable of accounting
21 for the coupled horizontal and vertical responses, as well as for significant features of the hysteretic
22 shear response of these isolation devices. The results of the analyses shed light on the importance of
23 the bearing vertical stiffness and how it modifies the seismic performance of isolated bridges.
24 Successively, the seismic response estimates obtained by using simplified bearing models, whose
25 use is well established and also suggested by design codes, are compared against the corresponding
26 estimates obtained by using the advanced bearing model, to evaluate their accuracy for the current
27 design practice.

28 **Keywords:** Seismic isolation; Bridges; Multi-span simply-supported (MSSS) deck; High
29 damping natural rubber (HDNR) bearings; Vertical behaviour; Simplified models.

30 INTRODUCTION

31 Steel-reinforced high damping natural rubber (HDNR) bearings are widely used in bridges to
32 protect them against earthquakes. These bearings consist of alternating layers of filled natural
33 rubber that provide period elongation and energy dissipation and reinforcing steel shims, which
34 enhance the vertical bearing capacity. HDNR bearings have been proven to be efficient isolation
35 devices based on their satisfactory performance during major earthquakes [1]-[5] and by the
36 numerous experiments carried out on the rubber material [6]-[8] and the bearings (see e.g. [9]-[11]).
37 In isolated bridges and buildings, HDNR bearings are designed to sustain compressive loads due to
38 the self-weight and the live loads acting on the superstructure, and also horizontal loads imposed by
39 earthquakes and/or wind. In the recent years analytical models have been developed to accurately
40 describe the behaviour under shear for constant vertical loads [8]-[11]. However, under certain
41 design situations, the bearings may be subjected to uplift, i.e. tensile forces. This condition has been
42 documented and investigated by Ryan and Chopra [12] for isolated buildings. Bearing may also
43 experience uplift in bridges (e.g., in [13]), and particularly in those with simply supported deck
44 spans [14]-[15]. In the latter case, the bearings are typically placed eccentrically with respect to the
45 vertical axis of the piers and the longitudinal seismic motion of the deck induces rotation of the pier
46 cap about the transverse axis, which in turn causes either tensile (uplift) or compressive
47 deformations to the bearings. Vertical axial forces may also be increased by the motion of the deck,
48 which can be excited in the vertical direction, even if the vertical seismic component is neglected.
49 The vertical forces on the bearings could reach a critical level, as HDNR bearings may undergo
50 cavitation for relatively low values of the tensile stresses [16]. The post-cavitation behaviour of the
51 bearings is characterised by very low stiffness and by potential local damage of the isolator [17]-
52 [18]. Also, compressive forces imposed on the isolators by the pier rotations, may cause buckling,
53 especially when they are coupled with large shear deformations [19].
54 Despite the importance of the aforementioned axial loading of the isolators, the vertical behaviour
55 of the bearings is usually ignored. For example, in Siqueira et al. [20] the isolators were assumed to

56 be rigid in the vertical direction. Cardone et al. [21] and Jara et al. [22] did not describe the
57 modelling of the vertical behaviour of the bearings, whereas in Zanardo et al. [23] and in Matsagar
58 and Jangid [24] a linear elastic spring was used for the vertical direction of the isolator, which is
59 uncoupled from the other springs describing the response in shear. Furthermore, although current
60 design guidelines require the bearings to be checked against uplift and buckling [25]-[29], no
61 guidance is provided for modelling the vertical behaviour of isolators, whereas the use of the
62 equivalent visco-elastic or bilinear hysteretic models is prescribed for simulating the shear response
63 of bearings only.

64 The uplift effect of the HDNR bearings of a benchmark isolated bridge with prestressed I-beam
65 girders, typical of bridge types met in Southern Europe, was recently studied by Mitoulis [14], yet
66 the bearings were described with linear elastic models, which did not consider the coupling of the
67 vertical and horizontal response. In Tubaldi et al. [15], a parametric study was carried out to
68 identify under which design situations unfavourable limit states related to the bearing performance
69 may occur in multi-span simply-supported isolated (MSSS) bridges with HDNR bearings placed
70 eccentrically with respect to the pier axis. Based on the use of advanced bearing models, the study
71 showed that excessive tensile stresses or buckling of the isolators are strongly dependent on the
72 bearing design and in particular on the bearing shape factor. However, the latter paper considered
73 only the horizontal component of the earthquake input, and did not investigate the importance of
74 employing simplified modelling approaches, recurrent in design practice [30], on the estimate of the
75 bridge performance.

76 In this study, the modelling of HDNR isolation bearings is studied by evaluating the dynamic
77 behaviour and seismic response of a benchmark MSSS bridge, with the bearing modelled by means
78 of both advanced and simplified models. The advanced bearing model, recently developed by
79 Kumar et al. [18], has appropriate features, required for this investigation, such as the nonlinear
80 amplitude-dependent behaviour in shear that fits accurately with characterisation test results, the
81 coupling of vertical and horizontal motion and the variation of the critical buckling load capacity,

82 due to the lateral displacement and the cavitation and global post-cavitation behaviour in tension
83 with stiffness degradation in cyclic tensile loading due to cavitation. The simplified bearing models
84 on the other hand use elasto-plastic or visco-elastic springs to describe the shear response, and
85 linear elastic springs whose response is uncoupled from the shear response to describe the axial
86 behaviour. It is noteworthy that the accuracy of these simplified models for describing the response
87 of isolation bearing in shear has been investigated in the literature [31]-[33], but by considering
88 single bearings subjected to displacement-controlled tests [32], or simplified single degree of
89 freedom (SDOF) systems [31], or bridge typologies other than that considered in this study [33].
90 Moreover, in studies considering MSSS isolated bridges (e.g., [34]), the accuracy of linearization
91 procedures is evaluated by looking only at the estimate of the displacement response of the pier and
92 the deck. Thus, to the authors' best knowledge, the vertical bearing response in isolated bridges, the
93 coupling with the horizontal response, and its modelling, have not received sufficient attention to
94 date. Hence, one of the aim of this study is to assess whether simplified approaches for modelling
95 the behaviour of rubber bearings under combined shear and vertical actions are accurate or not for
96 evaluating the performance of the bridge components and not only the displacement demand of the
97 deck. The bridge typology considered herein is appropriate for this purpose because it is
98 characterized by a significant coupling between horizontal and vertical response.

99 In order to provide insight into the relevance of the problem, in the first part of the paper the
100 dynamic and seismic behaviour of the case study is analyzed in depth by employing the advanced
101 bearing model. The bearing model parameters are calibrated to fit the data of an experimental
102 campaign carried out at the laboratories of Tun Abdul Razak Research Centre in the UK (TARRC)
103 on double shear test pieces with the aim of characterising the HDNR response in shear. Soil
104 structure interaction (SSI) effects are also taken into account, since they have proven to have an
105 important effect on the structural response of isolated bridges in general as well as MSSS bridges
106 [35]- [37]. A wide set of response parameters of importance for the performance assessment of the
107 bridge components are monitored, for different values of the shape factor S_r , controlling the vertical

108 bearing stiffness. Subsequently, the bridge seismic response estimates obtained by using the
109 advanced and the simplified HDNR bearing models are compared against each other and the
110 significance of detailed modelling of the bearings to evaluate the performance of the bridge critical
111 components, i.e. the piers, the foundations, the bearings and the deck, is highlighted.
112 A set of 7 spectrum-compatible ground motion records is considered for the seismic analyses.
113 While the assessment of the relative accuracy of the bearing models is carried out by considering
114 both the horizontal and the vertical component of the seismic input, some results obtained by
115 considering only the longitudinal component are also presented to highlight the fact that a
116 significant vertical response may arise even if the vertical ground acceleration is disregarded.

117 **DESCRIPTION OF THE BENCHMARK BRIDGE**

118 The benchmark bridge is a reinforced concrete regular bridge with three spans of equal lengths and
119 solid circular homogeneous bridge piers. This bridge, whose geometrical and mechanical properties
120 are representative of many bridges in Europe with simply-supported pre-cast and pre-stressed
121 concrete I-beams supported on steel-laminated HDNR bearings. Fig. 1 illustrates the bridge
122 elevation and the deck section at the midspan. Each precast beam is seated on the intermediate
123 reinforced concrete piers and on the seat-type abutments through HDNR bearings. A total of five
124 bearings per line of support are considered, hence five bearings are placed on the abutments and 10
125 bearings on the piers. The two lines of isolators at mid-supports are placed eccentrically with
126 respect to the pier axis. The simply-supported deck spans are connected by a cast-in-situ continuity
127 slab, which is reinforced with ordinary reinforcement. This connection enables a continuous deck
128 surface to be achieved, thus avoiding the use of expansion joints over the piers. However, despite
129 this connection, the bridge still behaves as if it is consisted of a series of simply-supported beams
130 under the vertical loads, provided that the slab is sufficiently flexible to accommodate the rotations
131 of the adjacent deck segments. Expansion joints allow for thermal contraction and expansion of the
132 deck at the abutments.

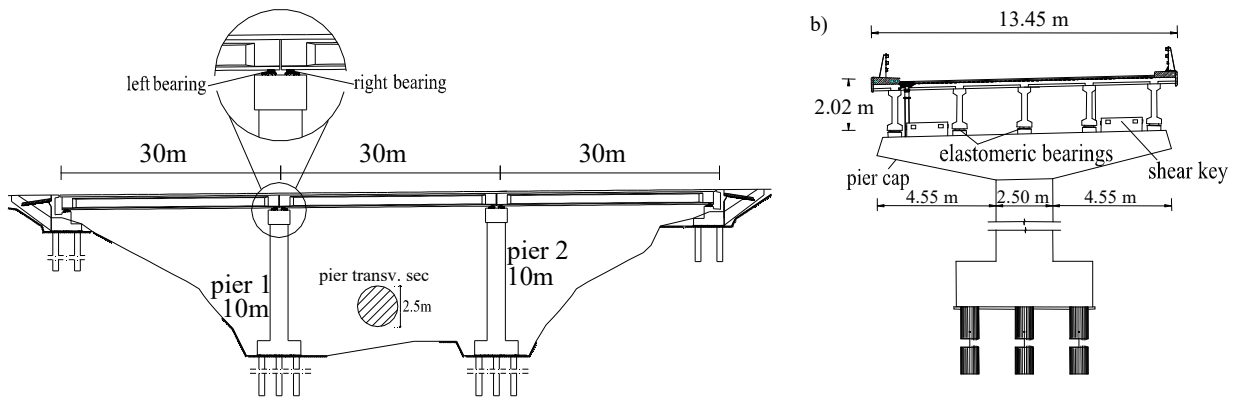


Fig. 1. a) Bridge elevation and b) deck section at midspan.

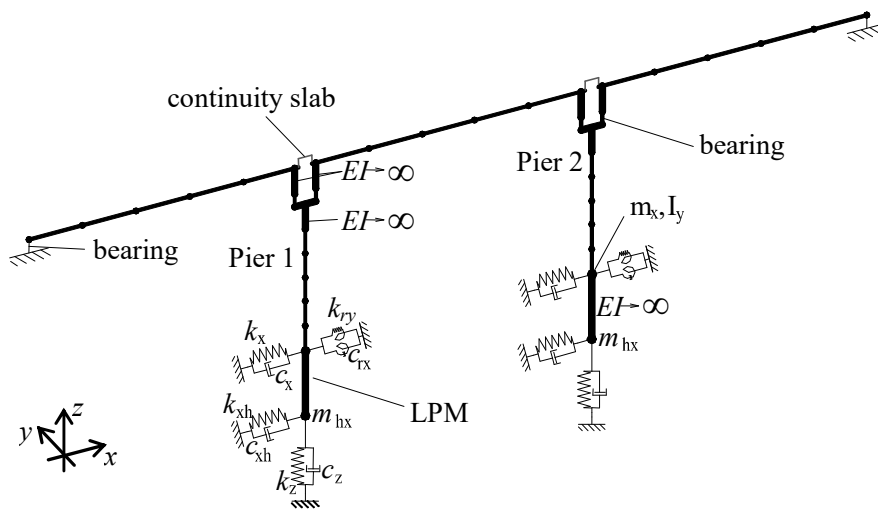
133
 134 The deck span length is $L_{sp}=30$ m, the transverse section width is 13.5m, whereas the carriageway
 135 width is assumed 11.5 m, corresponding to three nominal lanes according to Eurocode 1 [38]. The
 136 length of the continuity slab is $L_{cs} = 0.5$ m. The mass per unit length, accounting for 20% of the
 137 traffic loads, is equal to 25.35 t/m. The piers have a circular cross section with diameter of 2.5 m
 138 and height of 10 m. The longitudinal reinforcement consists of 93 bars of 26 mm, whereas the
 139 transverse reinforcement of $\varnothing 14$ mm and spacing of 100 mm. The class of concrete is C30/40,
 140 whereas the class of steel is S355. The cap beam has height of 1.35 m, the transverse section has
 141 width along the longitudinal and transverse direction equal respectively to 3.0 m and 12.5 m.
 142 The foundations of the bridge piers consist of a 3×3 group of piles, whereas the soil profile consists
 143 of a deformable soil layer overlying a very dense sand deposit. The deformable deposit has a depth
 144 of 15 m, shear wave velocity $V_{s1} = 200$ m/s and density $\rho_{s1} = 1.7$ t/m³. The dense sand deposit is
 145 characterised by shear wave velocity $V_{s2}=800$ m/s and density $\rho_{s2}=2.5$ t/m³. Poisson's ratio is
 146 considered to be $\nu_s = 0.4$ and material hysteretic damping $\xi_s = 10\%$, which is compatible with the
 147 design level of strain in the soil. The concrete piles have a Young's modulus of $3 \cdot 10^7$ kN/m² and
 148 density of 2.5t/m³. The piles are 18.0 m long, have a circular cross sections of 0.8 m diameter and a
 149 spacing of three diameters, i.e. 2.4 m centre to centre distance. The average shear wave velocity of
 150 the most superficial 30 m layers of soil equal to 320 m/s. Thus, the soil type is classified as C
 151 according to EC8-Part 1 [25], corresponding to a soil factor $S = 1.15$. The peak ground acceleration
 152 expected at the site is assumed equal to $PGA = 0.4Sg$.

153 The isolation system consists of a total of 30 identical HDNR bearings supporting a total of 15
 154 prefabricated beams of the deck. The bearing eccentricity, measured from centre of the bearings to
 155 pier axis, is $e_b=0.8$ m in the longitudinal direction of the bridge. The HDNR compound is a medium
 156 compound developed at TARRC, which passes all the characterisation tests of EN15129 [27]. The
 157 bearing properties have been chosen by employing a displacement-based design approach, similar
 158 to that proposed in [21]. The flexibility and mass of the piers have been neglected, given their
 159 limited contribution to the isolated system response, and the target values of the displacement
 160 demand for the bearings and relevant shear deformation of the rubber have been assumed equal
 161 respectively to 0.264 m and $\gamma_{Ed}=1.5$. At this deformation level, the equivalent properties of the
 162 rubber are: $\xi_{is}=10.8\%$ the damping ratio, and $G_{eff}=700$ kPa the effective shear modulus. Using the
 163 EC8-2 Type 1 response spectrum [25], reduced to account for the added isolators damping ratio, a
 164 value of the target vibration period of $T_{is}=2.0$ s is obtained. Given the total mass of the
 165 superstructure of $M_{tot}=2281.6$ t, the horizontal stiffness to be provided by each of the 30 isolators
 166 is $k_h = \frac{4\pi^2 M_{tot}}{30T^2} = 750.6$ kN/m. Having assumed $\gamma_{Ed}=1.5$, the total rubber height must be $T_r =$
 167 $0.27/1.5 = 0.18$ m, and the rubber area required to achieve the target stiffness is $A_r = k_h T_r / G_{eff} =$
 168 0.193 m, corresponding to a diameter $D_r = 0.50$ m. The assumed value of the bearing shape factor is
 169 $S_r = D_r / 4t_r = 15$, leading to a thickness of the single rubber layer of $t_r = 8$ mm, and a total number of
 170 rubber layers $n_r = 22$. The assumed value of the shim plate thickness is $t_s = 5$ mm.
 171 The bearing design ensures that all the safety verifications required by EC8-2 [26] as well as
 172 EN15129 [27] and EN1337-3 [28] are satisfied. These checks concern the performance of the
 173 bearings under both the seismic and the non-seismic loading conditions. Further information about
 174 the limit states considered for the design can be found in [15].

175 **MODELLING OF THE ISOLATED BRIDGE**

176 The dynamic behaviour and seismic response of the bridge in the longitudinal direction are

177 analyzed with a finite element model (Fig. 2) built in OpenSees [39] by following the guidelines of
 178 Kappos et al. [40]. Both the superstructure and the substructures are modelled by a spine of linear
 179 beam-column elements with lumped nodal masses, spanning between successive nodes along the
 180 elements length. The spine follows the centre of gravity of the cross section along the length of the
 181 element it represents. All the components of the bridge are discretised into a sufficient number of
 182 elements in order to accurately describe the actual mass distribution and the influence of higher
 183 vibration modes.



184
 185 Fig. 2. FE model of the isolated bridge.

186 The deck is modelled by linear elastic frame elements considering uncracked stiffness, as it is
 187 prestressed and, thus, it is not expected to undergo flexural cracking. However, for the continuity
 188 slab an effective stiffness, equal to 40% of the gross stiffness, is used to simulate the expected
 189 cracking. The piers are modelled by linear elastic frame elements. The assumption of linear elastic
 190 behaviour is based on the fact that the bridge is isolated and, thus, the piers are designed to respond
 191 in an elastic or essentially-elastic manner. Furthermore, although the piers are subjected to
 192 compressive actions due to the vertical loads, they are expected to undergo some level of cracking.
 193 Thus, a cracked effective stiffness is employed to describe their flexural behaviour. During the
 194 analysis, a check is performed to make sure that the yield strength of the piers is not exceeded.
 195 Based on moment-curvature analysis of the pier base section, the effective cracked stiffness, secant
 196 to the yield point, is assumed equal to approximately $0.5EI_g$, where EI_g is the gross stiffness.

197 The inelastic deformations and the relevant hysteretic dissipation of energy is concentrated within
198 the isolators. The viscous damping of the system, representing energy dissipation sources other than
199 that of the isolators, is taken into account by assigning a Rayleigh damping to the piers nodes only,
200 i.e., the viscous damping matrix has non-null terms only in correspondence of these nodes. Hence, a
201 damping ratio of approximately 5% is provided to the higher modes related to the vibration of the
202 pier only.

203 The effects of soil-structure interaction on the structural response are usually divided into two
204 phenomena: a) kinematic interaction and b) inertial interaction [41]-[43]. This study does not
205 account for the kinematic effects on the foundation input motion and thus the free-field motion, as
206 described by a set of natural ground motion, is directly used as input motion. This choice is based
207 on the fact that isolated bridges are excited by relatively low frequencies, and thus the error
208 resulting from neglecting the kinematic SSI effects is expected to be negligible [35]-[37]. However,
209 it should be noted that the neglected rocking motion generated by kinematic interaction may
210 contribute to the coupled horizontal-vertical response of the bearings, and this is something that is
211 not analysed herein because it would require also a more complicated model of the soil-foundation
212 system, which is considered to be out of the scope of the paper.

213 The inertial effects of SSI are accounted for by employing Lumped Parameter Models (LPMs)
214 approach. These LPMs are a set of translational and rotational springs, dampers and masses that
215 permit to reproduce, in the time domain, the frequency-dependent compliance of the soil-foundation
216 system (Fig. 2). The properties of the LPMs are derived by employing the approach outlined in Dezi
217 et al. [44], based on simplified formulas calibrated from results of an extensive non-dimensional
218 parametric analysis considering head-bearing pile groups. The proposed approach allows to
219 accurately simulate the compliance of pile foundations and important features of the soil-foundation
220 system behaviour such as the coupled rotational-translational response. The piles are fully
221 embedded in the soil, socketed into the sand deposit and connected at the heads by a cap.

222 Having considered a seismic input along the longitudinal direction, the bridge exhibits a non-null

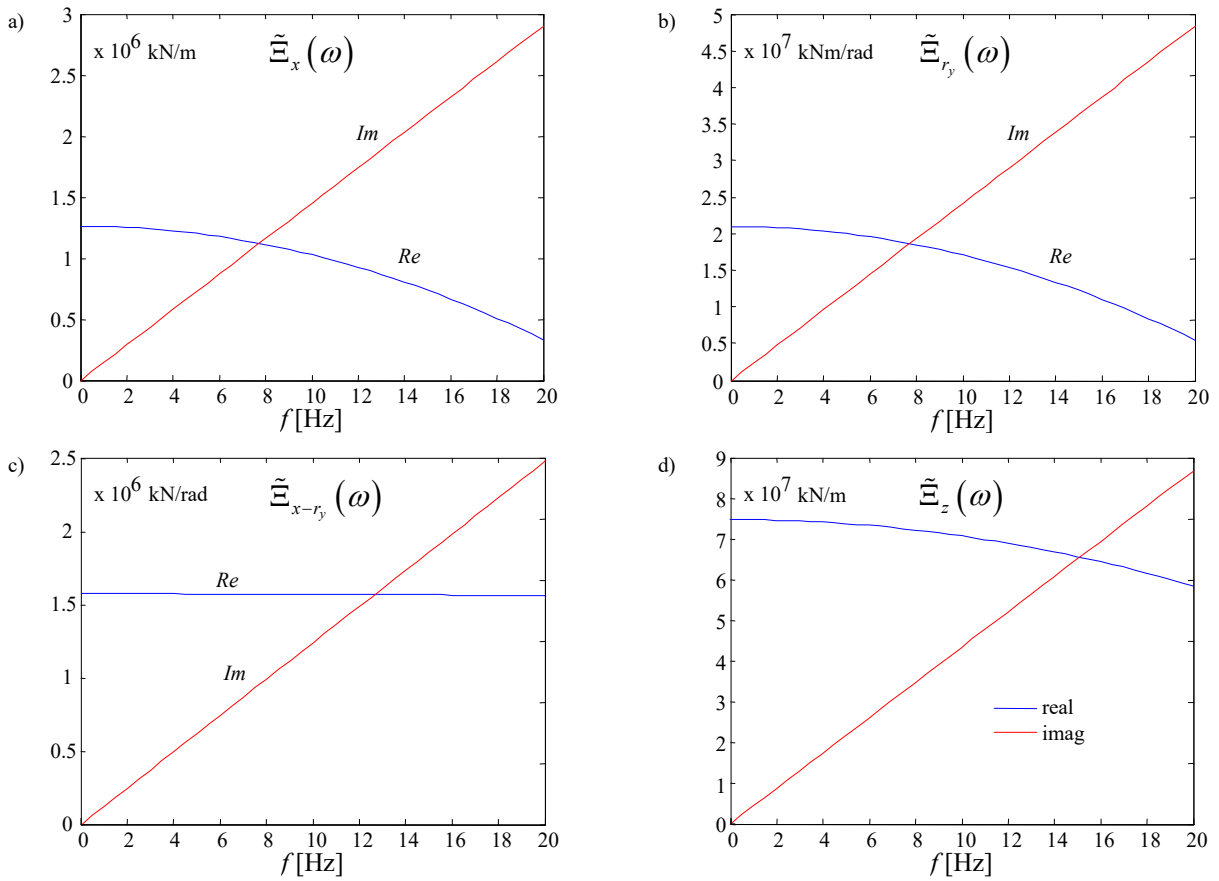
223 response only in the longitudinal plane (i.e., plane xz of Fig. 2) and thus few components of the
 224 impedance matrix $\tilde{\mathfrak{Z}}(\omega)$ of the LPM are significant for the problem studied. Table 1 reports the
 225 values of the properties of the LPM illustrated in Fig. 2b and Fig. 3 illustrates the variation with the
 226 frequency of the real and imaginary part of the significant components of $\tilde{\mathfrak{Z}}(\omega)$.

227

Table 1. Values of the LPM.

k_x	c_x	m_x	k_{ry}	c_{ry}	I_y	k_z	c_z	m_z	k_{zh}	c_{zh}	m_{zh}
kN/m	kNs/m	kNs ² /m	kNm/rad	kNms/rad	kNms ² /rad	kN/m	kNs/m	kNs ² /m	kN/m	kNs/m	kNs ² /m
1.32E+05	8.95E+03	58.16	4.62E+07	9.97E+04	649.71	7.48E+06	6.91E+04	102.69	7.48E+06	6.91E+04	102.69

228



229 Fig. 3. Variation with frequency f of most relevant components of the impedance matrix: a) translation along x , b)
 230 rotation with respect to y , c) interaction between translation along x and rotation with respect to y , d) translation along z .

231 It is noteworthy that the LPMs comprise masses which are directly excited by the earthquake
 232 acceleration. These masses alter the dynamic response of the system, and the response of the soil
 233 foundation obtained by using the proposed approach is neither the free field motion nor the actual
 234 foundation input motion. A more rigorous approach would consider the foundation input motion
 235 derived from kinematic interaction analysis. However, this paper focuses on the structural response
 236 rather than on the SSI effects, which are indeed more complicated than those presented herein.

237 *Advanced and simplified models for HDNR isolators and parameter calibration*

238 The mechanical behaviour of the isolators is described by three different types of models, which are
239 described in the following sections. The first model is an advanced one, recently developed and
240 implemented in OpenSees [39], whilst the other two are described by fewer parameters and thus are
241 considered as simplified models. The latter two models are available in commercial finite-element
242 software and are often employed for the design and analyses of isolated bridges as their use is
243 allowed by current design guidelines under certain design situations (see e.g. [26]).

244 *Advanced HDNR model*

245 The advanced isolator model, denoted hereinafter as HDNR model, has been recently developed
246 and implemented in OpenSees [39] by Kumar et al. [18]. This model consists of a two-node, twelve
247 degrees-of-freedom discrete element. The two nodes are connected by six springs that represent the
248 mechanical behaviour in the six basic directions of the bearing. The HDNR element describes
249 accurately both the nonlinear amplitude-dependent behaviour in shear of the isolator and the
250 vertical behaviour under tensile or compressive loads, as well as the coupling between the responses
251 in different directions. The model input properties are the mechanical parameters required to
252 describe the material behaviour and the geometrical parameters that describe the bearing
253 dimensions.

254 The shear behaviour of the rubber compound in the horizontal plane is described by the model
255 proposed by Grant et al. [11] for the post-scragged condition. In particular, the relation between the
256 shear force $\mathbf{F}(\mathbf{U})$ and the shear displacement \mathbf{U} is given by the sum of a hyperelastic component
257 $\mathbf{F}_1(\mathbf{U})$ and a hysteretic one $\mathbf{F}_2(\mathbf{U}, \mathbf{n})$, depending also on the current direction of the velocity vector
258 \mathbf{n} . The hyperelastic response $\mathbf{F}_1(\mathbf{U})$ is given by:

259
$$\mathbf{F}_1(\mathbf{U}) = \left(a_1 + a_2 \|\mathbf{U}\|^2 + a_3 \|\mathbf{U}\|^4 \right) \mathbf{U} \quad (1)$$

260 whereas the expression for the hysteretic response $\mathbf{F}_2(\mathbf{U}, \mathbf{n})$ is controlled by a bounding surface:

261

$$R(\mathbf{U}) = (b_1 + b_2 \|\mathbf{U}\|^2) \quad (2)$$

262

and the rate at which $\mathbf{F}_2(\mathbf{U}, \mathbf{n})$ advances towards the bounding surface upon loading depends on the parameter b_3 [11].

263

264

The other values of the material parameters (i.e., c_1, c_2, c_3, c_4) are relevant to the scragging behaviour of the rubber, which is not considered here. Further information regarding the Grant model can be found in [11].

265

266

In order to calibrate the parameters for shear behaviour, laboratory tests were carried out at TARRC on double-shear test pieces of HDNR previously scragged at the shear strain amplitude 2.5. In

267

268

particular, displacement-controlled tests were performed by imposing 20 cycles at increasing strain amplitudes and constant strain rate of 2/s. This rate corresponds to a vibration period between 2 s-3

269

270

s for strain amplitudes in the range between 1.0 and 2.0. Fig. 4 compares the experimental hysteretic

271

272

stable response with the response evaluated by using the HDNR model, at the design strain

273

amplitude of 1.5 (Fig. 4a), and at different amplitudes between 0 and 2.5 (Fig. 4b). The values of

274

the parameters of the Grant et al. [11] model reported in Table 2 have been calibrated to provide the

275

best fit to the experimental results in the range of shear strains between 1 and 1.5. First, the

276

parameters related to the elastic contribution (parameters a_1, a_2 and a_3 of Eqn.(1)) have been fitted

277

by considering the mean relaxed stress–strain line between loading and unloading test results of Fig.

278

4b. Subsequently, the parameters related to the hysteretic contribution (b_1 and b_2 of Eqn.(2)) have

279

been determined on the basis of Fig. 4a,b. In particular, b_1 has been taken equal to the intercept of

280

the stress-strain curve whereas b_2 has been deducted from the response at large deformations.

281

Fig. 5 compares the values of the equivalent shear modulus G_{eff} and of the effective damping ratio

282

ξ_{eff} for different values of the shear deformation, according to the test results and to the fitted

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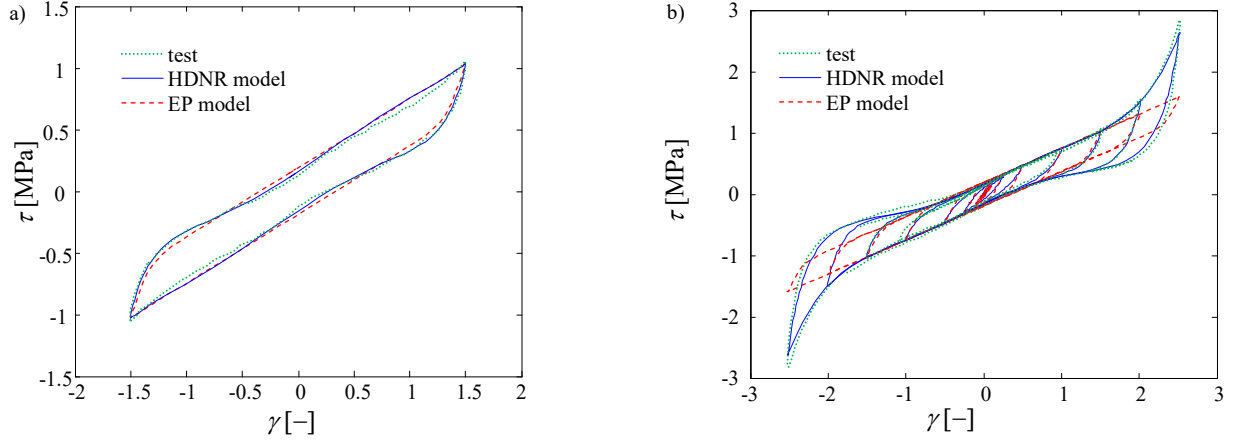
HDNR model. It can be seen that the HDNR model provides good estimates of both G_{eff} and ξ_{eff} , for

284

$\gamma > 0.5$, whereas for lower shear strains both the shear modulus and the damping are underestimated.

285

This lack of fit is not expected to affect the results, since the design shear deformation is $\gamma_{Ed} = 1.5$.

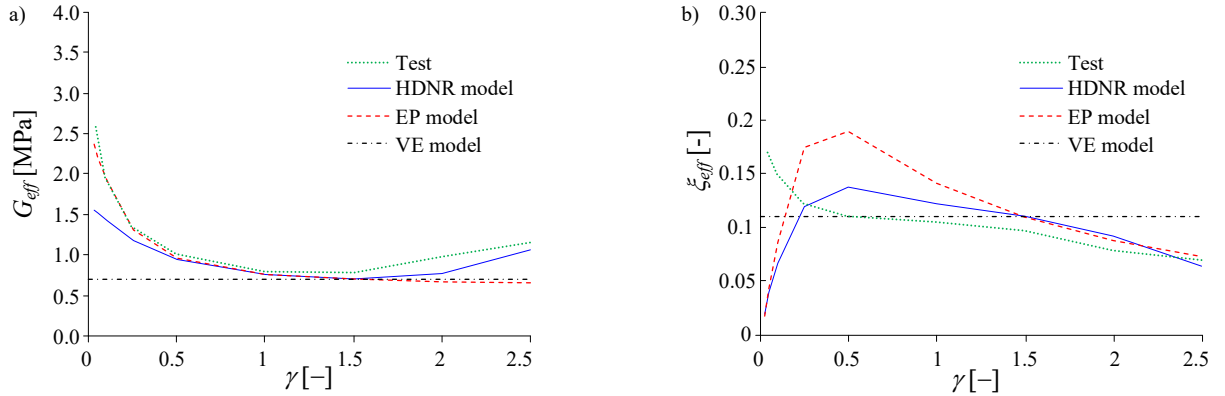


286 Fig. 4. Stable (20th cycle) hysteretic response according to test results, the HDNR model and to bilinear EP model at (a)
 287 the design shear strain amplitude of 1.5, and (b) at different strain amplitudes.

288 Table 2. Values of Grant et al. model employed for the behaviour in shear.

a_1	a_2	a_3	b_1	b_2	b_3	c_1	c_2	c_3	c_4
kN/m	kN/m ³	kN/m ⁵	kN	kN/m ²	m ⁻¹	m ⁻³	m ⁻³	-	m ⁻³
646.48	-3586.1	24015.7	30.69	402.96	32.65	0	0	1	0

289



290 Fig. 5. Variation with maximum shear deformation of equivalent shear modulus (a) and of effective damping ratio (b)
 291 of the stable loops.

292 The horizontal and vertical behaviour of the bearing are coupled due to the following contributions:

- 293 (1) the dependence of axial stiffness on the magnitude of the lateral displacement, and (2) the
 294 variation of shear stiffness with axial load [18]. The axial (i.e. vertical) stiffness K_v of the HDNR
 295 model is obtained from the two-spring model of Koh and Kelly [45], which was validated
 296 experimentally by Warn et al. [46], and depends strongly on the bearing shape factor S_r through the
 297 expression:

298

$$K_v = K_{v0} \left[1 + \frac{3}{\pi^2} \left(\frac{2\|\mathbf{U}\|}{D_r} \right)^2 \right]^{-1} \quad (3)$$

299 where $K_{v,0}$ is the initial stiffness at zero displacement, related to S_r .

300 The shear stiffness decreases for larger values of the compressive axial load that approach the
301 critical buckling load according to the following expression:

$$302 \quad K_H = K_{H0} \left[1 - \left(\frac{P}{P'_{cr}} \right)^2 \right] \quad (4)$$

303 where K_{H0} is initial horizontal stiffness at zero axial load [47][48], P is the axial load, and P'_{cr} is
304 the reduced critical buckling load [18].

305 The critical buckling load reduces by increasing the shear deformation and its value depends on the
306 effective area of the bearing, i.e., the overlapping area between the top and bottom anchor/bearing
307 plates. Also, the vertical stiffness reduces by increasing the horizontal displacement. It is worth
308 pointing out that the large lateral displacements experienced by the isolators, which correspond to
309 shear strains in the range of 100% - 200%, might lead to substantial reductions in the vertical load-
310 carrying capacity and vertical stiffness of the HDNR bearing [18] [45].

311 With regard to behaviour of the bearings under tension, prior to the cavitation of the elastomer the
312 vertical stiffness of the steel-laminated rubber bearing is the same as that in compression and it is
313 equal to $K_{v,0} = 6.22 \times 10^5$ kN/m for a single bearing. The onset of cavitation occurs for tensile stresses
314 of the order of $3G$, where G is the shear modulus, and results in a significant stiffness reduction and
315 strength degradation under cyclic loading. The rotational behaviour of the isolators is described by a
316 linear elastic model with rotational stiffness $K_r = 5.04 \times 10^3$ kN·m/rad for each bearing.

317 *Bilinear hysteretic model*

318 This model, denoted to as elasto-plastic (EP), is a rate-independent hysteretic model, which consists
319 of a nonlinear spring with bi-linear force-displacement relationship describing the behaviour in
320 shear and linear springs simulating the behaviour along the axial and rotational degrees of freedom.

321 The material properties of the bilinear model in shear are evaluated based on the procedure of
322 ASCE 41-13 [29], i.e. by characterising the material properties of the elastomer. In particular, the

323 data of the 20-th cycle of the characterisation test carried out at the isolator design deformation γ_{is}
 324 are used to estimate the equivalent (secant) shear modulus G_{eff} and damping ratio ξ_{eff} . The
 325 characteristic strength, i.e., the shear strength at zero strain, τ_0 , is obtained as:

$$326 \quad \tau_0 = \frac{\pi \xi_{eff} G_{eff} \gamma_{is}^2}{(2 - \pi \xi_{eff}) \gamma_{is} - \gamma_y} \quad (2)$$

327 where γ_y is the yield strain of the equivalent bilinear model. The value of the post-yielding tangent
 328 modulus G_p changes only slightly with the deformation amplitude, and it is assumed such that the
 329 maximum stress at γ_{is} is equal to $G_{eff} \gamma_{is}$. The parameters of the EP models considered in the
 330 analysis are $\tau_0 = 0.19$ MPa, $\gamma_y = 0.1$, $G_p = 0.56$ MPa. A smooth transition between the elastic and
 331 post-elastic ranges is assumed. The force-displacement relationship of the spring representing the
 332 shear behaviour is then derived by multiplying the stresses by the total rubber area A_r , and the
 333 strains by the total rubber height T_r .

334 The hysteretic stationary response according to the equivalent EP model is plotted also in Fig. 4,
 335 together with the responses obtained with the characterisation tests and with the HDNR model. The
 336 agreement of the EP an HDNR models at the design strain (Fig. 4a) and at lower strains is very
 337 good. However, the EP model cannot describe accurately the increase of stiffness and hysteresis of
 338 the rubber for shear strains higher than 1.5 as its post-elastic stiffness is constant.

339 Fig. 5 shows the variation with the dynamic shear strain amplitude γ_{max} of the equivalent dynamic
 340 shear modulus G_{eff} (Fig. 5a) and of the equivalent damping ratio ξ_{eff} (Fig. 5b) according to the
 341 HDNR model and to the bilinear model. The agreement is good for the equivalent modulus, with
 342 the exception of high amplitudes, for which the EP model is not able to simulate the increase of
 343 stiffness, which is mainly due to the strain crystallisation of the rubber. The equivalent damping
 344 ratio for the EP model is generally higher than the corresponding ratio for the HDNR model at low
 345 amplitudes and very similar at higher amplitudes. The axial and rotational springs of the EP bearing
 346 model are assigned a value of the stiffness equal to that of the initial stiffness of the HDNR model.

347 *Visco-elastic model*

348 The visco-elastic (VE) model of the isolators uses linear springs that have the same properties of
349 those of the EP model to simulate the behaviour along the axial and rotational degrees of freedom,
350 and a spring with visco-elastic behaviour to simulate the shear response. The stiffness of the latter
351 spring is evaluated as per Eqn. 3:

352
$$k_{h,VE} = \frac{G_{eff} A_r}{T_r} \quad (3)$$

353 where A_r and T_r are the previously defined rubber area and total thickness, and G_{eff} is the secant
354 modulus at the design strain. The value of $k_{h,VE}$ for a single bearing is 751.0 kN/m.

355 The value of the damper viscous constant c_{VE} is obtained such that the VE model dissipates the
356 same amount of energy of the HDNR model during a closed cycle at the amplitude γ_{is} and at the
357 isolation frequency $\omega_{is} = 2\pi / T_{is}$. This is achieved by assuming:

358
$$c_{h,VE} = \frac{2G_{eff} \xi_{eff} A_r}{\omega_{is}} \quad (4)$$

359 The value of $c_{h,VE}$ assumed in the analyses is 51.63 kN·s/m. Since the VE model is linear, it exhibits
360 no variation of its dynamic properties with the amplitude, as shown in Fig. 5a and Fig. 5b. On the
361 other hand, it should be pointed out that while the behaviour of the HDNR and the EP model is not
362 influenced by the frequency of vibration of motion, the VE model exhibits a significant strain-rate
363 dependency. In fact, the damping ratio $\xi_{VE}(\omega)$ for vibration frequencies ω other than the isolation
364 frequency ω_{is} can be expressed as follows:

365
$$\xi_{VE}(\omega) = \frac{\xi_{eff} \omega}{\omega_{is}} \quad (5)$$

366 Thus, the VE model is expected to provide more energy dissipation than the other models for the
367 higher modes of vibration, having frequencies higher than ω_{is} and notably influencing the shear and
368 moment demand of the piers [49].

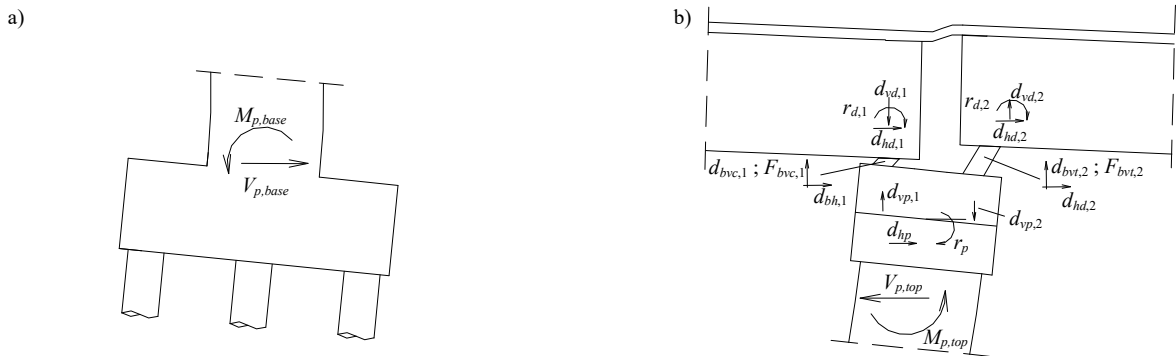
369

370 **RESULTS**

371 Following are the results of the analyses investigating in detail the dynamic and seismic behaviour
372 of the benchmark bridge. Emphasis is placed on the effects of the vertical bearing stiffness and on
373 SSI effects on the bridge response. These two effects were found to influence significantly the
374 boundary conditions of the piers. In fact, the eccentrically-placed bearings exert, through their
375 vertical stiffness, a rotational constraint at the pier top, which also depends on the flexibility of the
376 deck and of the continuity slab. A similar effect is observed in the transverse direction for bridges
377 with multiple bearings, also along a single line, and torsionally stiff decks [50]. On the other hand,
378 SSI effects generally involve rocking of the pier base, which may result in an increase of the axial
379 deformation of the bearings.

380 Fig. 6 illustrates the mechanism involving the coupled horizontal-vertical response of the bearings
381 and the parameters monitored during the seismic analysis that they are used for the assessment of
382 the seismic performance of the isolated bridge. These parameters are:

- 383 - the isolator displacements along the horizontal direction ($d_{bh,i}$);
- 384 - the isolator compressive and tensile displacements ($d_{bvc,i}$ and $d_{bvt,i}$) and forces ($F_{bvc,i}$ and $F_{bvt,i}$) along the
385 vertical direction;
- 386 - the shear and bending moment at the base ($V_{p,base}$ and $M_{p,base}$) and top of the pier ($V_{p,top}$ and $M_{p,top}$);
- 387 - the displacements and rotations at the pier top (d_p and r_p);
- 388 - the horizontal and vertical displacements of the deck (d_{dh} and d_{dv}).

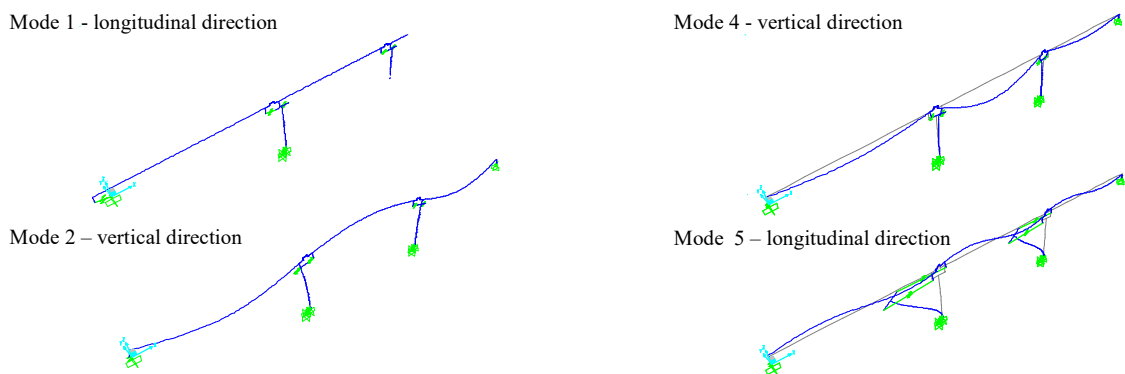


389 Fig. 6. Mechanism involving the bearing coupled horizontal-vertical response and illustration of monitored response
390 parameters at the pier base (a) and top (b)

391 ***Eigenvalue analysis***

392 The eigenvalue analysis of the bridge model is carried out by assuming effective (i.e. secant to the
 393 design shear strain) dynamic stiffness properties for the bearing response in shear and the initial
 394 stiffness properties (at zero displacement) for the axial behaviour.

395 Fig. 7 reports the shapes of the most significant vibration modes of the system and Table 3 reports
 396 the corresponding modal properties obtained by accounting for SSI effects and by assuming fixed
 397 based conditions.



398
 399 Fig. 7. Modal shapes of the most significant modes of vibration.

400 Table 3. Periods of vibration and mass participation factors of the most important modes of vibration obtained by
 401 considering fixed based conditions and by accounting for SSI effects.

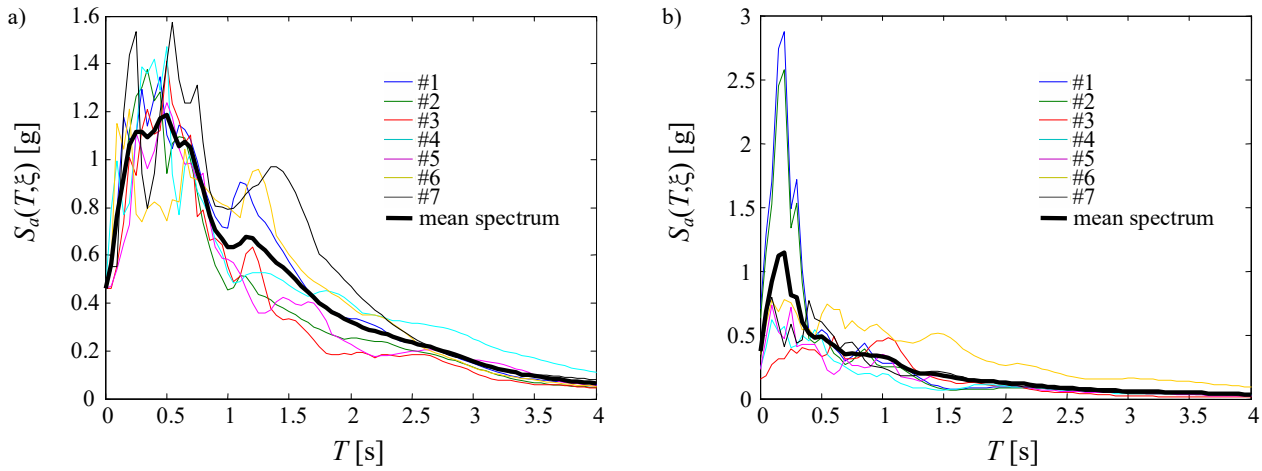
MODE	<i>T</i> [s]		<i>MPF</i> [%]	
	Fixed base	SSI	Fixed base	SSI
1	2.023	2.043	78.6	79.5
2	0.281	0.283	17.7	17.4
4	0.252	0.257	47.8	46.6
5	0.125	0.162	10.4	15.2

402
 403 The first mode of vibration has a period which is very close to the target period of 2.0 s considered
 404 for the design. The modal shape is characterised by a significant motion of the deck along the
 405 longitudinal direction, whereas the piers do not exhibit significant displacements, since they are
 406 isolated. The mode of vibration involving a significant motion of the piers is the 5th one, and its
 407 vibration period is relatively small ($T = 0.125$ s for the fixed-base condition). It is interesting to
 408 observe that the horizontal motion of the pier induces a motion of the deck in the vertical direction.
 409 This is the result of the pier rotation and of the presence of two eccentric lines of support of
 410 bearings over the pier. Modes 2 and 4 characterise the motion of the deck in the vertical direction.

411 By accounting for SSI effects, the values assumed by the vibration periods remain almost the same,
 412 with the exception of mode 5, which increases to 0.162 s. This remarkable increase of vibration
 413 period precludes the importance of SSI effects on the response of the piers.

414 ***Seismic analysis under horizontal earthquake input***

415 The seismic assessment of the bridge is performed by carrying out non-linear time history analysis
 416 of the structure under a set of seven natural records describing the record-to-record variability
 417 effects. This ground motion records are selected from the European strong-motion database by
 418 using the software Rexel v3.5 [51] and they are compatible with the EC8-1 [25] soil type C
 419 spectrum for a PGA of 0.4g. Fig. 8 illustrates the pseudo-acceleration response spectrum of the
 420 horizontal and vertical component of the records, obtained for a 5% damping ratio. Further
 421 information regarding the records are given in Table 4.



422 Fig. 8. 5% Pseudo-acceleration spectra of ground motion records and mean spectrum for soil type C spectrum
 423 (PGA=0.4g) and 5% damping ratio for the: a) horizontal and the b) vertical component.

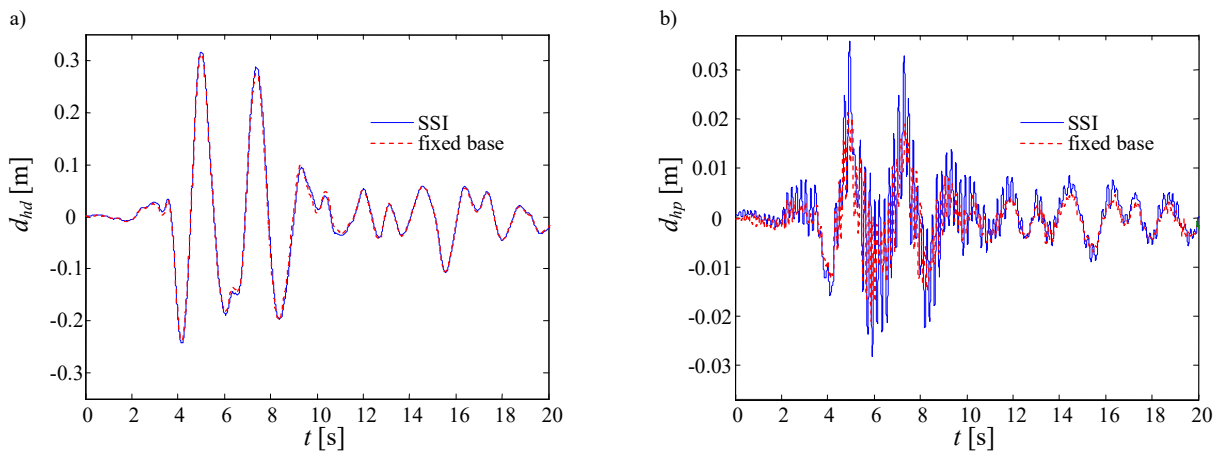
424 Table 4. Characteristics of records employed for the seismic analyses.

#	Name	Station	Earthq. ID	Waveform	Fault	Site class	M _w	R [km]	PGA x [m/s ²]	PGA z [m/s ²]	Scale factor
1	Kalamata	ST164	192	413x	normal	B	5.9	10	2.108	3.250	2.141
2	Kalamata	ST163	192	414x	normal	B	5.9	11	2.354	3.250	1.917
3	Adana	ST549	561	1726y	strike slip	C	6.3	30	2.158	0.866	1.707
4	South Iceland	ST2496	2142	6326y	strike slip	A	6.4	14	1.748	0.610	3.951
5	Alkion	ST121	157	333x	normal	C	6.6	20	2.257	1.138	2.000
6	Campano Lucano	ST93	146	287x	normal	A	6.9	23	1.363	1.017	3.310
7	Montenegro	ST67	93	199y	thrust	B	6.9	16	3.680	2.485	1.269

425

426 In order to show the effects of the coupling between the horizontal and vertical response in the

427 bridge due to the eccentricity of the bearings and SSI effects, the analyses of the reference bridge
 428 model are carried out first by considering the horizontal earthquake component only. The time-
 429 histories of different response quantities of interest are shown for record #6 of the set of ground
 430 motions considered in this study. Fig. 9 shows the time history of the deck displacements and the
 431 pier displacements, obtained by accounting for or neglecting the SSI effects, i.e. by assuming a
 432 fixed-end foundation.



433 Fig. 9. Time history of the displacement with respect to the ground of (a) the deck and (b) the pier cap obtained by
 434 accounting for or disregarding SSI effects for record #6.

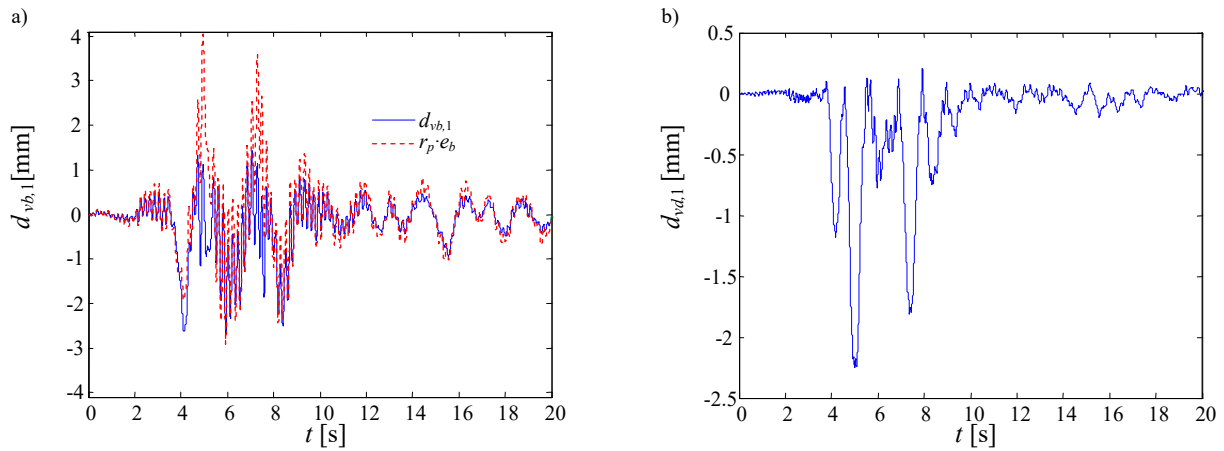
435 The pier displacement response is significantly lower than the deck displacement response, as
 436 expected for an isolated bridge. The maximum absolute value of the deck displacement observed
 437 for record #6 is about 0.310 m, which is 17% higher than the design value of 0.264 m. Even though
 438 the system is characterized by a complex nonlinear behaviour, this difference may be explained by
 439 observing in Fig. 8 that record #6 is characterized by a spectral ordinate at the design period which
 440 is about 17% higher than the mean spectral ordinate. The scatter between the observed maximum
 441 response under the different seismic records and the design record reflects the effect of the so called
 442 record-to-record variability, i.e., the differences in the frequency content and duration of the set of
 443 records considered for the design, also resulting in the different spectral ordinates of Fig. 8. It is
 444 noteworthy that the mean value of the deck displacement, obtained by averaging the results for the
 445 seven records, is 0.279 m, i.e. only 6% higher than the design value. In general, the observed
 446 deviation from the design value is mainly due to the effects of: the pier flexibility, the nonlinear

447 behaviour of HDNR, the eccentricity of the bearings, and the use of a reduced response spectrum
448 for the design rather than the mean spectrum for the given added damping ratio. The time history of
449 the deck displacement is characterised by a fundamental period of approximately 2.0 s, i.e. the
450 design period, whereas the time history of the pier displacement is characterised by a higher
451 frequency content, since it is influenced by vibration modes of order higher than 1.

452 The SSI effects do not seem to affect significantly the deck displacement demand, whereas they
453 influence significantly the pier demand, as per Fig. 9a and b. In fact, the maximum pier top
454 displacement obtained by accounting for SSI effect (about 0.032 m for record #6) is significantly
455 higher than the corresponding value obtained for the fixed-based condition (about 0.021m). On the
456 other hand, the values of the maximum deck displacement demand, when accounting for or
457 neglecting the SSI effects, are very close to each other i.e. 0.30 m and 0.31 m respectively. For all
458 the cases studied herein, SSI effects cause an increase of the mean pier displacement demand and
459 top rotation. The difference between the pier mean displacement demand obtained by accounting
460 for or disregarding SSI effects, divided by the mean demand obtained by disregarding SSI effects, is
461 about 48%. The corresponding percentage for the pier rotation is 23% and thus the bearing vertical
462 response, which is influenced by the pier rotation, is also dependent on the SSI effects.

463 Fig. 10a shows the time history of the vertical net displacement of the bearings placed on the second
464 line of support, i.e. on the right side of the pier cap. It is remarked that this displacement is the result
465 of the horizontal earthquake excitation, as no vertical input motion has been considered in the
466 analysis. In the same figure, the time history of the product $r_p \cdot e_b$ of the rotation of the pier cap r_p
467 with respect to the y axis times the bearing eccentricity e_b is shown. The two motions are quite
468 different and it is worth to note that the bearing vertical motion depends not only on the pier cap
469 rotation, but also on the vertical displacement of the deck, which is plotted in Fig. 10b. It is also
470 interesting to observe in Fig. 10b that the amplitude of the upwards vertical displacement of the
471 deck induced by the horizontal seismic motion is significantly inferior to the amplitude of the
472 vertical displacement downwards, which increases the compression due to vertical loads. This is the

473 consequence of the reduction of the vertical stiffness of the bearings under the combination of
474 compression and shear displacements, which is discuss next.

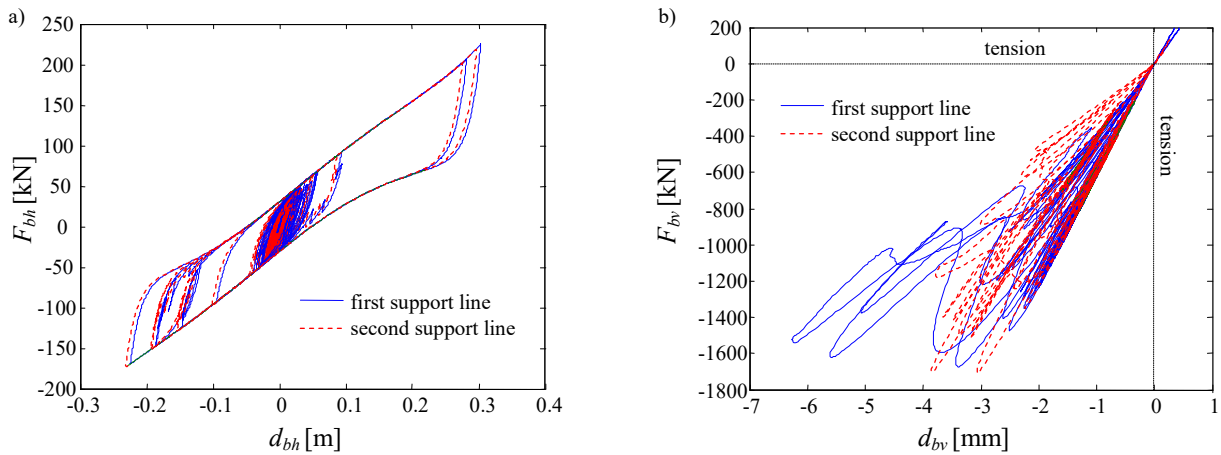


475 Fig. 10. Time history of (a) the axial deflection of the bearings $d_{vb,1}$ and of the pier rotation times the bearing
476 eccentricity $r_p \cdot e_b$, (b) the deck vertical displacement in correspondence of the second line of bearings over the left pier
477 (record #6).

478 Fig. 11a and b show the hysteretic response of two bearings placed on the first and second line of
479 support on the pier cap correspondingly, for record #6. The two bearings exhibit similar behaviour
480 in shear (Fig. 11a), with a notable increase of hysteresis for large displacements, i.e. beyond the
481 design one of 0.264 m. Based on Fig. 11b, the vertical response is characterised by a fluctuation of
482 the vertical stiffness, due to the coupling between the horizontal and the vertical response of the
483 isolator. The reduction of the vertical stiffness due to nonlinear geometric effects becomes more
484 significant for large horizontal displacements corresponding to strains larger than 1.5. It is
485 noteworthy that the initial static load on the bearings, due to the self-weight and the additional
486 variable (non-seismic) loads, is 820 kN for the left bearing, and 715 kN for the right bearing,
487 whereas the critical buckling load at zero displacement, calculated based on [47][48], is 4858.7 kN.
488 The critical load reduces to 2757.8 kN at the maximum design displacement of 0.265 m as per the
489 equations provided by Warn et al. [46]. Thus, the values of the vertical loading on the bearings
490 during the time history of the seismic motion are significantly lower than the critical loading value.
491 Moreover, although tensile forces develop within the isolators, the cavitation load of 405.4 kN is
492 not reached in any case examined here. This is due to the fact that the initial static compressive load
493 on the bearings is relatively high and this prevents the development of significant tension and

494 cavitation of the HDNR bearings.

495 By calculating the mean value for the seven records considered, SSI effects are found to induce a
496 normalised increase of the bearing vertical displacement demand of about 13% with respect to the
497 fixed-foundation case. This value is significantly inferior to the increase of the pier horizontal
498 deflection demand due to SSI effects (about 48%).

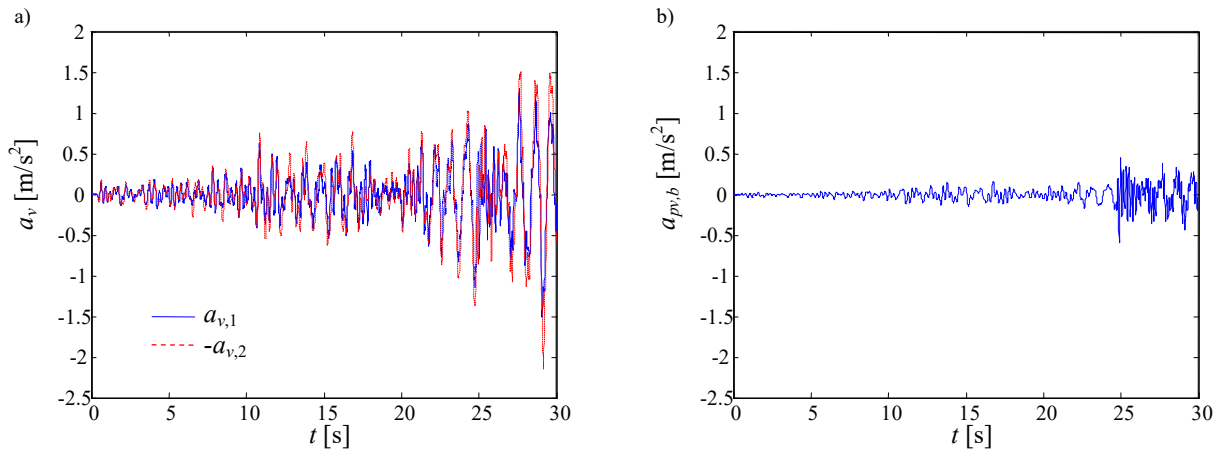


499 Fig. 11. Response of the bearings in (a) shear and (b) along vertical direction (record #6).

500 In order to provide further evidence on the fluctuation of the bearing vertical response and the
501 coupling between the horizontal and vertical response of the bridge bearings, the time history of the
502 vertical acceleration of the nodes located on the pier cap below the two lines of bearings is provided
503 in Fig. 12a. These response quantities are denoted respectively as $a_{v,1}$ and $a_{v,2}$, for the first and the
504 second line of support. It can be observed that the time histories of the vertical accelerations
505 measured at the two support lines are in opposition of phase, as they derive from the pier top
506 angular acceleration, which in turn is related to the pier flexure. Thus, their sum, which corresponds
507 to the vertical acceleration at the pier top, is very low. However, the maximum absolute value of $a_{v,2}$,
508 2.15 m/s^2 , is quite high, considering that it is induced solely by the horizontal seismic input and that
509 the vertical seismic component (whose peak value is 3.36 m/s^2) has not been considered in this
510 analysis.

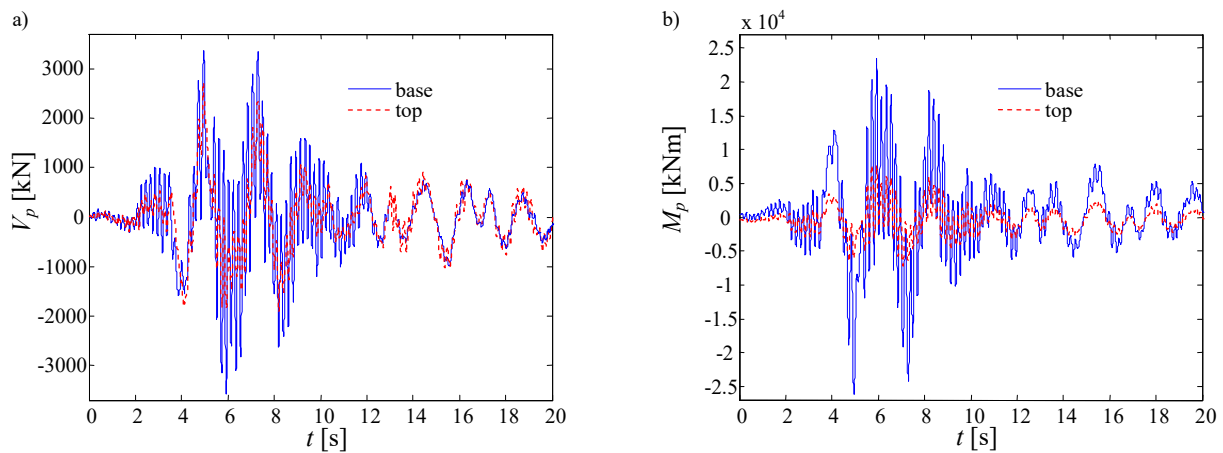
511 Fig. 12b shows the time history of the vertical acceleration at the base of the pier $a_{pv,base}$. The
512 maximum acceleration value is non zero because SSI effects have been taken into account.
513 However, it is lower than the value observed at the pier top. Again, this demonstrates that the pier

514 flexibility and relevant angular rotation increases significantly the vertical motion of the bearings.



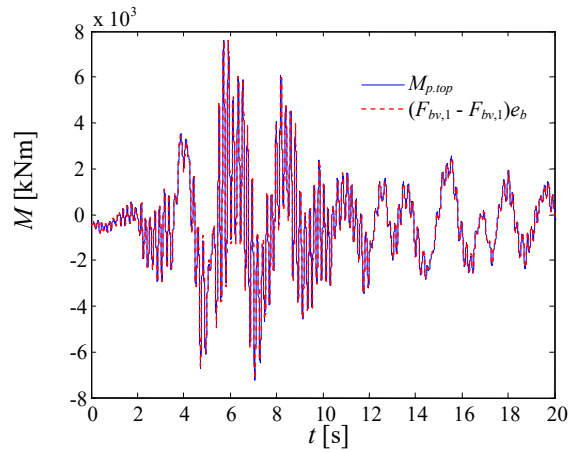
515 Fig. 12. Time history of the vertical accelerations at the pier top (a) and at the pier base (b) for record #6.

516 Fig. 13 shows the time history of the bending moment and of the shear force at the base of the pier
 517 and at the pier top observed under record #6.



518 Fig. 13. Time history of the shear force (a) and bending moment (b) at the pier's base and top under record #6.

519 Unlike the displacement response of the deck, the pier internal actions are significantly influenced
 520 by the higher modes of vibrations, which are related to the pier inertia. The ratios between the
 521 maximum absolute values of the shear and moment at the top and at the base of the pier are
 522 respectively equal to $V_{p,top}/V_{p,base} = 0.76$ and $M_{p,top}/M_{p,base} = 0.35$. It is noteworthy that the moment
 523 at the pier top is found to be quite significant and it is generated mainly by the couple formed by the
 524 axial forces developed within the eccentrically-placed bearings. This is also demonstrated in Fig. 14,
 525 showing that the time histories of the moment at the pier top and of the couple formed by the axial
 526 forces in the bearings, i.e., $(F_{bv,1} - F_{bv,1})e_b$, practically coincide.



527

528

Fig. 14. Time history of the moment at the pier top and of the couple formed by the bearing axial forces.

529

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531

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533

534

In the case of a single line of bearings, the pier would behave practically as a cantilever and the moment ratio would be close to zero, as the bending moment at the pier top would be developed only due to the rotational stiffness of the isolators. The moment observed at the pier top in the bridge typology considered is as important as the moment generated at the top of a pier with multiple bearings and torsionally stiff deck in the transverse direction [50], since both these moments could potentially affect the design of the reinforcements and the pier safety evaluation.

535

Effect the vertical component of the seismic motion

536

537

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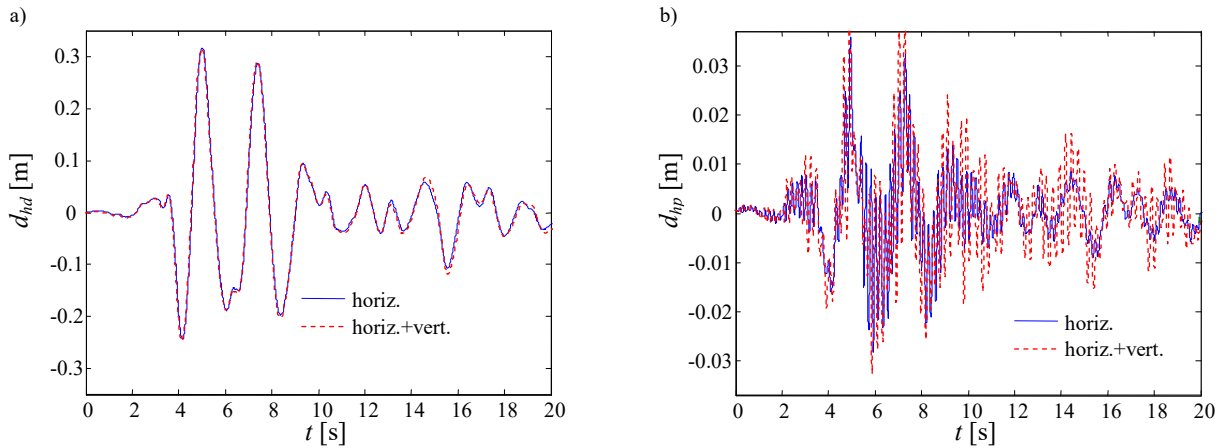
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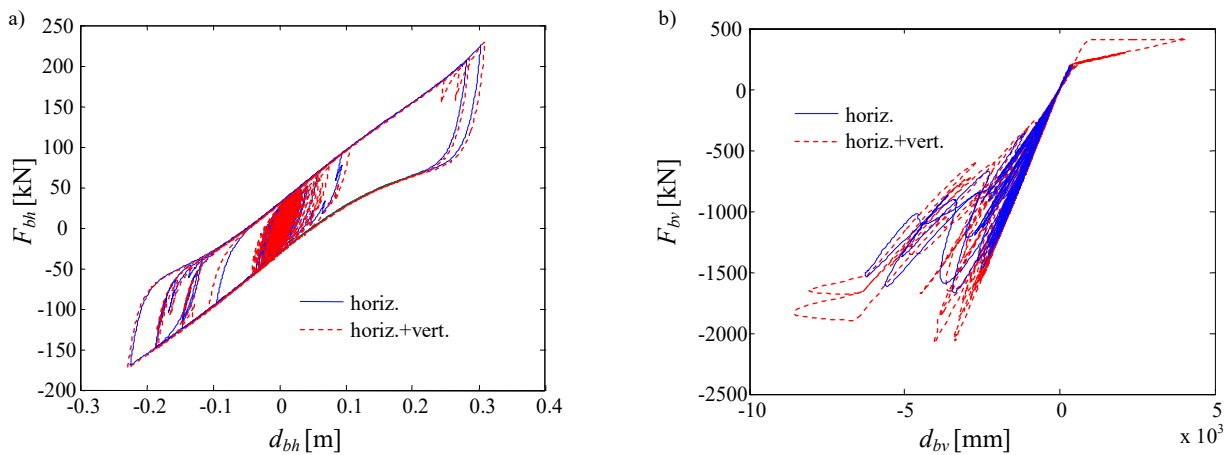
542

The seismic analyses are repeated by considering the vertical component of the seismic input motion, and the results are compared with the ones previously obtained by considering solely the horizontal component. Fig. 15 shows the time history of the displacement of the deck and of the pier cap obtained by considering the horizontal component of record #6 only and the ones obtained by considering both the horizontal and vertical component. The vertical component of the seismic excitation affects only the time-history of the response of the pier cap d_{hp} (Fig. 15b). However, the maximum values obtained for the two loading cases are approximately the same.



543 Fig. 15. Time history of the displacement with respect to the ground of (a) the deck and (b) the pier cap obtained by
 544 accounting for or disregarding the vertical component of the seismic input (record #6).

545 Fig. 16 shows and compares the response of the bearing along the first support line under seismic
 546 record #6, obtained by accounting for or disregarding the vertical earthquake component. It can be
 547 seen that only the vertical response of the bearings (Fig. 16a) is affected significantly by the vertical
 548 excitation. Accounting for it results in higher compressive forces and displacements, inducing
 549 significant non-linear geometrical effects, as well as in a higher tensile loads and displacements,
 550 beyond the cavitation limit.



551 Fig. 16. Response of bearing along the first support line in (a) shear and (b) along vertical direction (record #6) obtained
 552 by accounting for and by disregarding the vertical component of the seismic input.

553 The maximum values of critical response parameters of the bridge obtained by taking into account
 554 or disregarding the vertical excitation of the seismic input are reported Table 5, showing the
 555 averaged values for the 7 ground motion records considered.

556

557
558

Table 5. Values of the response parameters of interest obtained by considering and by disregarding the vertical component of the seismic input.

Component	horiz	horiz+vert
d_d [m]	0.280	0.278
$d_{bh,1}$ [m]	0.262	0.262
$d_{bh,2}$ [m]	0.258	0.260
d_p [m]	0.031	0.034
r_p [-]	3.60E-03	4.02E-03
$d_{bvt,1}$ [m]	7.79E-05	7.26E-03
$d_{bvt,2}$ [m]	8.34E-05	6.09E-03
$d_{bvc,1}$ [m]	-6.62E-03	-1.07E-02
$d_{bvc,2}$ [m]	-3.98E-03	-8.10E-03
$f_{bvt,1}$ [kN]	33.9	313.1
$f_{bvt,2}$ [kN]	39.6	286.5
$f_{bvc,1}$ [kN]	-1512.3	-2350.8
$f_{bvc,2}$ [kN]	-1440.3	-2134.1
$V_{p,top}$ [kN]	2362.6	2393.3
$V_{p,base}$ [kN]	3003.7	3109.6
$M_{p,top}$ [kNm]	5887.2	6407.8
$M_{p,base}$ [kNm]	22972.5	24582.4

559

560 It can be observed that the vertical component of the earthquake input does not affect significantly
561 the horizontal response of the isolators. However, it affects significantly both the maximum
562 compressive and tensile axial loads in the bearings. In particular, the average tensile forces in the
563 bearings increase significantly, even though they do not attain the cavitation limit of 405 kN.
564 Accounting for the vertical component of the seismic input also yields slightly higher values of the
565 internal actions on the pier. In particular, the average bending moment at the pier top increases by
566 10% due to the vertical component of the seismic input. Generally, it can be said that the vertical
567 component is not expected to affect the design of the piers, but it could influence significantly the
568 dimensioning of the isolators.

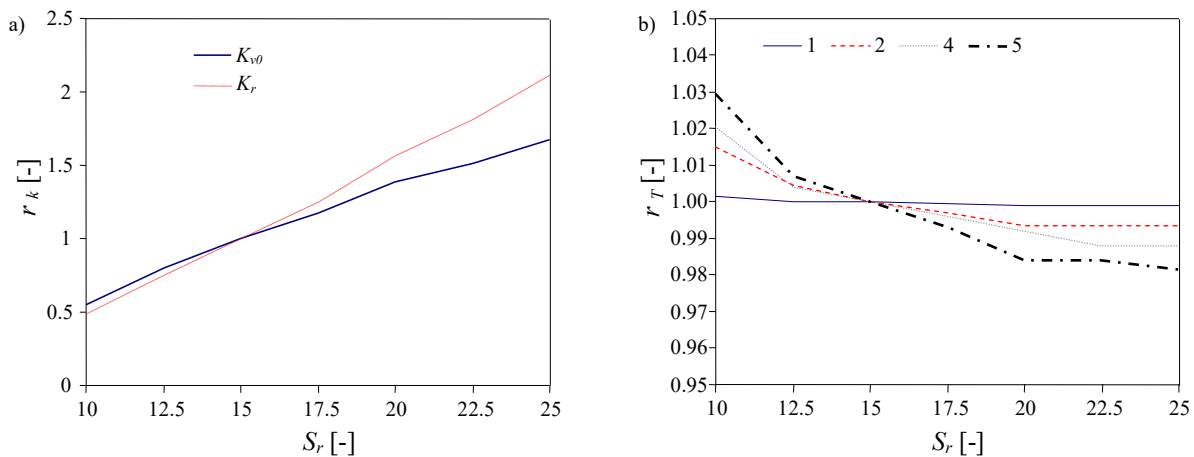
569 ***Sensitivity of the bridge dynamic response to the vertical isolator stiffness***

570 In order to evaluate the sensitivity of the response of the bridge components to the vertical isolator
571 stiffness, different sets of HDNR isolators are defined that have the same horizontal stiffness, but
572 different values of the shape factor S_r with respect to the reference case discussed in the previous
573 paragraph ($S_r = 15$). This geometrical parameter denotes the ratio between the loaded area and the
574 force-free area and for a circular bearing of diameter D and thickness of the rubber layer t_r is
575 defined as $S_r = D / 4t_r$. Among other geometrical parameters of the isolators, the shape factor is the
576 parameter that predominantly controls their vertical stiffness. Higher values of the shape factor
577 correspond to greater bearing vertical stiffness and also to larger capacity against buckling. The

578 number of rubber layers and the single layer thickness are adjusted, whilst keeping unchanged the
579 total rubber thickness and bearing diameter, to maintain the same horizontal stiffness of the
580 bearings of the reference bridge for the different S_r values considered.

581 The comparison between the design solutions is carried out by assuming the design vibration period
582 $T_{is}=2.0$ s and the design bearing shear strain of $\gamma_{Ed}=1.5$ for all the sets of isolators corresponding to
583 different S_r values, in the range of 10 to 25. This range of shape factors covers the most typical
584 cases of steel-reinforced rubber bearings used in bridge engineering applications. However, it
585 should be noted that for some values of S_r the requirements of design standards may not be satisfied.

586 Fig. 17a shows the variation of the value of the initial vertical stiffness K_{v0} and rotational stiffness
587 K_r of the bearings when the S_r ranges between 10 and 25. These values are normalised with respect
588 to the values corresponding to the reference configuration (corresponding to $S_r =15$). From this
589 figure it is evident that the increase of K_{v0} and K_r with S_r is significant and follows an almost linear
590 trend. Fig. 17b shows the variation with S_r of the periods of vibration modes 1, 2, 4 and 5, evaluated
591 by accounting for SSI effects, and normalised with respect to the periods corresponding to the
592 reference configuration, i.e. where $S_r = 15$. The vibration periods reduce only slightly by increasing
593 the shape factor. Higher modes are more affected by the increase of S_r with respect to lower modes.
594 The variation of the modal mass participation factor with S_r , not shown herein due to space
595 constraints, is also not significant.



596 Fig. 17. Variation with S_r of (a) the normalised bearing vertical and rotational stiffness and of (b) the normalised
597 vibration period of the most significant modes.

598 Table 6 reports the maximum values of critical response parameters of the bridge for the different
599 isolation bearings corresponding to shape factors ranging between 10 and 25, obtained by
600 considering both the horizontal and the vertical seismic excitation. It is worth noting that for the
601 seven different analyses shown in Table 1, the bearings have been re-designed, whilst the geometry
602 of the bridge is kept constant, i.e., the pier dimensions and, similarly, the deck section, span length
603 and weight, the continuity slab properties, and the isolator eccentricity are the same as the one of
604 the reference bridge. Additionally, the target design period of the bridge has been kept constant and
605 equal to 2.0 s for all the design cases presented. Hence, the shape factor S_r of the bearings is the
606 only design property that essentially alters the values of the response parameters shown in Table 6.
607 It is observed in Table 6 that the kinematic response quantities related to the pier and deck response
608 are very similar for the different values of S_r . The peak horizontal displacements of the pier and of
609 the deck reduce slightly by increasing S_r . This can be explained by observing that the axial stiffness
610 of the bearings increases when S_r increases. Thus, the rotational restraint at the pier top that is
611 provided by the eccentrically-placed bearings increases for larger S_r values and this reduces the
612 seismic demand at the piers top.

613 On the other hand, the absolute values of the compressive and tensile forces in the bearings alter
614 significantly with S_r . They first decrease, then they increase again, the minimum values
615 corresponding to $S_r=12.5$. This trend is the result of the complex dynamic behaviour of the system,
616 which is characterised by a contribution to the bearing forces due to the vertical static loads acting
617 on the deck, the pier rotation, and the motion of the deck in the vertical direction. It is noteworthy
618 that the peak tensile forces values for all the S_r values are always inferior to the force that causes
619 cavitation, i.e. 405 kN.

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Table 6. Values of the response parameters of interest corresponding to different values of the shape factor (S_r)*

S_r	10	12.5	15	17.5	20	22.5	25
d_d [m]	0.279	0.278	0.278	0.277	0.277	0.277	0.276
$d_{bh,1}$ [m]	0.260	0.261	0.262	0.262	0.263	0.263	0.264
$d_{bh,2}$ [m]	0.257	0.259	0.260	0.262	0.263	0.263	0.264
d_p [m]	0.035	0.034	0.034	0.034	0.033	0.033	0.033
r_p [rad]	4.27E-03	4.11E-03	4.02E-03	3.94E-03	3.74E-03	3.66E-03	3.65E-03
$d_{bvt,1}$ [m]	2.36E-02	6.01E-03	7.26E-03	7.56E-03	7.93E-03	8.25E-03	8.47E-03
$d_{bvt,2}$ [m]	2.36E-02	4.96E-03	6.09E-03	6.51E-03	7.42E-03	7.61E-03	7.92E-03
$d_{bvc,1}$ [m]	-3.56E-02	-1.36E-02	-1.07E-02	-8.95E-03	-6.71E-03	-5.80E-03	-4.93E-03
$d_{bvc,2}$ [m]	-3.42E-02	-1.07E-02	-8.10E-03	-6.49E-03	-4.76E-03	-4.14E-03	-3.66E-03
$f_{bvt,1}$ [kN]	287.1	260.4	313.1	327.2	336.5	340.7	344.5
$f_{bvt,2}$ [kN]	315.4	237.6	286.5	320.4	347.9	362.2	377.3
$f_{bvc,1}$ [kN]	-2317.9	-1986.9	-2350.8	-2457.2	-2580.3	-2638.7	-2739.4
$f_{bvc,2}$ [kN]	-2079.8	-1901.0	-2134.1	-2177.4	-2345.1	-2422.6	-2429.5
$V_{p,top}$ [kN]	2332.0	2338.5	2393.3	2471.1	2483.3	2467.1	2498.5
$V_{p,base}$ [kN]	2912.4	3038.6	3109.6	3355.9	3465.5	3447.6	3416.2
$M_{p,top}$ [kNm]	5490.2	5628.8	6407.8	6815.2	7310.1	7565.8	7875.5
$M_{p,base}$ [kNm]	24864.2	24426.7	24582.4	24991.8	25034.6	24764.9	24540.8

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626 With regard to pier internal actions, the shear demand along the pier is not significantly affected by
627 the bearing shape factor, since it mainly depends on the force transmitted by the bearings (which
628 does not alter significantly when varying the value of S_r), and to a minor extent on the higher modes
629 of vibration related to the pier inertia. On the other hand, the moment demand at the pier top
630 increases significantly by increasing S_r , as a result of the increase of the rotational restraint at the
631 pier top. In fact, for $S_r = 10$, which represents bearings that are relatively flexible axially, the ratio
632 between the pier top and base bending moment is equal to 0.22, whereas for $S_r = 25$, corresponding
633 to stiffer bearings, this ratio is 0.32. This indicates that the stiffer the bearing axially, the more the
634 pier top is fixed, i.e. the more the pier response deviates from that of a cantilever. This result is
635 potentially important because in design practice lower amount of reinforcement is usually provided
636 at the pier top than at the pier base, assuming that the pier behaves as a cantilever, which is not
637 accurate either.

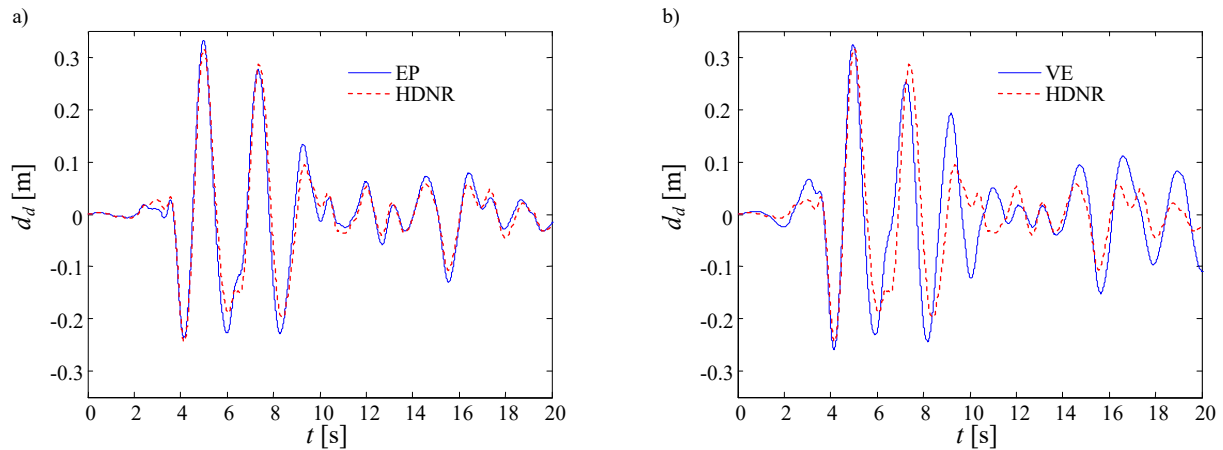
638 ***Evaluation of the accuracy of the simplified bearing models***

639 The results of the analyses of the benchmark bridge discussed above show that the accurate
640 modelling of the bearings and in particular of their vertical stiffness influences significantly the
641 dynamic response of the system. Hence, it is important to define the level of accuracy that is

642 achieved when employing simplified bearing models for the design and assessment of bridges. For
643 this purpose, the analysis of the reference bridge model considered above is repeated by replacing
644 the advanced bearing model with the simplified elasto-plastic (EP) and visco-elastic (VE) models,
645 which have uncoupled axial and shear behaviour. It is noted that these models considering an EP
646 and VE behaviour for the bearings are still characterized by the bearings placed eccentrically, i.e. as
647 in the case of the HDNR model. A comparison is made between the response estimates obtained by
648 using the different bearing models, and the corresponding estimates obtained by using the more
649 advanced and accurate HDNR bearing model. Along with the bridge models with eccentrically
650 placed bearings, a simplified and practice-oriented SDOF model of the bridge is considered, the
651 properties of which are derived by assuming that the pier behaves as a cantilever, and that the
652 bearings, described by the VE model, are simply placed at the pier top with no eccentricity. Under
653 these assumptions, the stiffness of the SDOF system can be found based on a series arrangement of
654 two visco-elastic systems, representing respectively the pier and the bearing [49]. In particular, the
655 stiffness of the spring related to the pier is taken equal to $3EI_p / h_p^3$, where EI_p is the cracked pier
656 flexural rigidity, and h_p is the pier height. The mass of the system on the other hand can be taken as
657 the deck tributary mass. The corresponding bridge model, hereafter referred to as VE-SDOF model,
658 is widely employed in design practice for a preliminary evaluation of the isolated bridge response
659 based on simple calculations involving the use of a response spectrum and a damping reduction
660 factor (see e.g.[26]).

661 The results reported in Fig. 18 to Fig. 21, showing the time history of the most important response
662 parameter of interest according to the different bridge and bearing models, have been obtained by
663 considering the horizontal earthquake input only. However, in the final part of the section the
664 evaluation of the accuracy of the simplified isolator models is carried out by considering also the
665 vertical component. Fig. 18 shows the time history of the horizontal displacements of the deck
666 according to the two simplified models. The corresponding time histories obtained using the HDNR

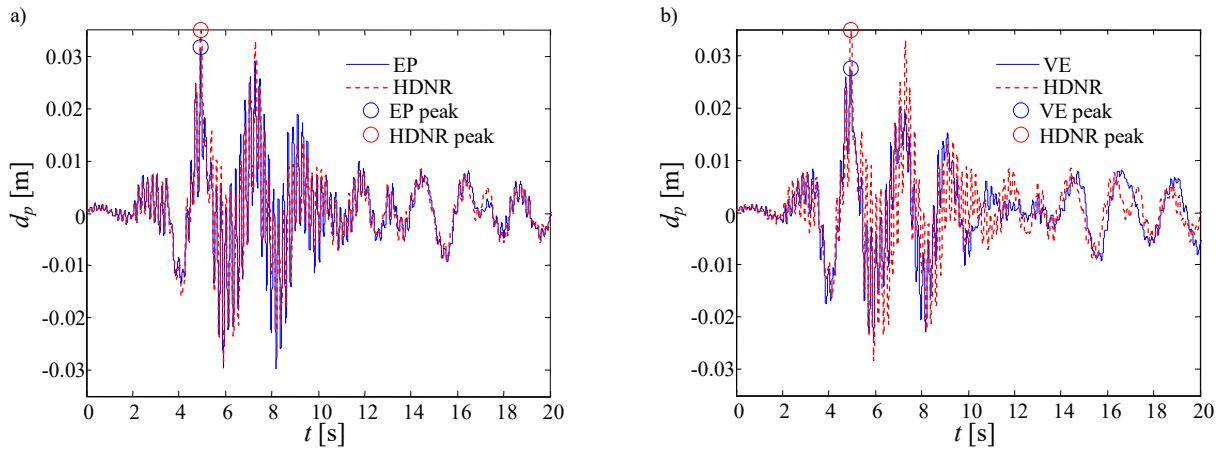
667 model are also shown for comparison.



668 Fig. 18. Time history of the displacement of the deck obtained by considering the EP bearing model (a) and the VE
669 bearing model (b) under record #6.

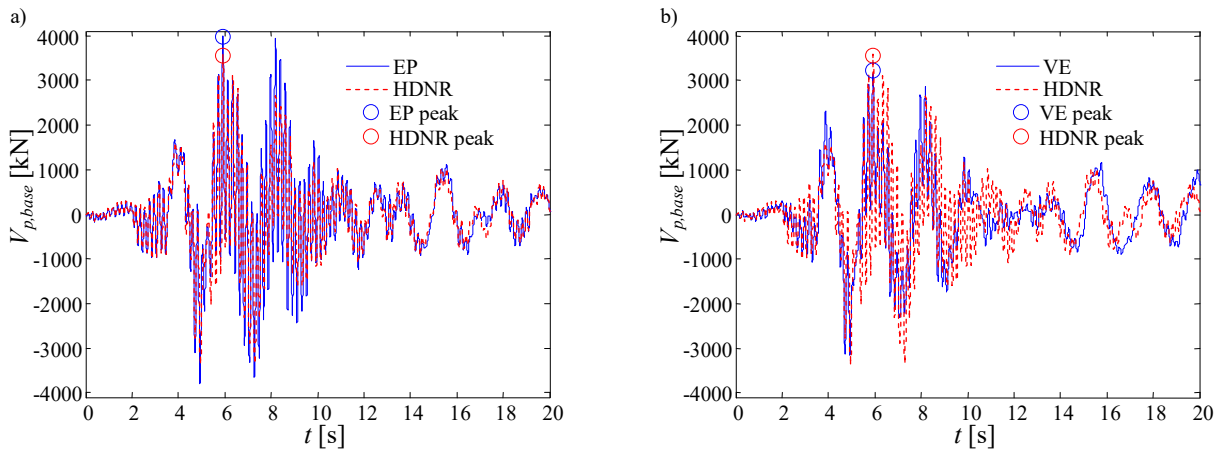
670 The two simplified models provide estimates of the peak deck displacements similar to the ones
671 obtained by using the HDNR bearing model. This can be explained by observing that these response
672 quantities are mainly governed by the first mode of vibration of the system and at the design
673 isolation frequency and displacement amplitude the HDNR, the EP, VE and HDNR bearing models
674 are characterised by similar equivalent stiffness and damping properties, as shown in Fig. 5. It is
675 also worth noting that during the time interval 4s to 8s, where the maximum displacements are
676 attained, the oscillation period of the motion is very close to the design period of 2.0 s.

677 Fig. 19 shows the time history of the displacement of the pier top for the simplified models
678 subjected to record #6. While the EP model provides values of the displacements similar to the ones
679 obtained by the HDNR model, the VE model yields smaller values. This is due to the fact that both
680 the HDNR and the EP model are rate-independent models, whereas the VE exhibits a significant
681 rate-dependency which results in an overestimation of the damping for vibration frequencies higher
682 than the design one (see Eqn. (5)). Thus, the contribution of higher modes of vibration, which are
683 known to affect significantly the pier response ([49]), is damped out by using the VE model.

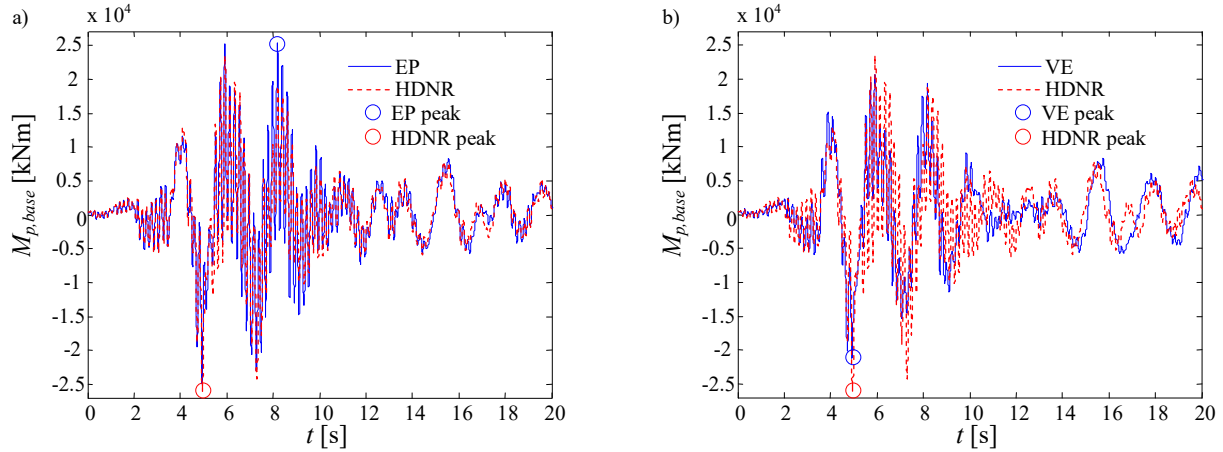


684 Fig. 19. Time history of the displacement of the pier top obtained by considering the EP bearing model (a) and the VE
 685 bearing model (b) under record #6.

686 Fig. 20 and Fig. 21 show the time history of respectively the base shear and bending moment of the
 687 piers when to the two simplified models were employed. These time histories are compared with the
 688 ones obtained by using the HDNR model, which are reported in Fig. 13. The results show that the
 689 VE bearing model underestimates the values of V_p and of M_p with respect to the HDNR bearing
 690 model, as higher modes contribution are damped out.



691 Fig. 20. Shear force at the base of the pier obtained by employing the EP bearing model (a) and the VE
 692 bearing model (b) under record #6.



693 Fig. 21. Bending moment at the base of the pier obtained by employing the EP bearing model (a) and the VE bearing
 694 model (b) under record #6.

695 Table 7 reports the mean peak values of the responses of the bridge components for the different
 696 models employed in the analysis, obtained by considering either the horizontal component only, or
 697 both the horizontal and vertical component.

698 Table 7. Comparison of the estimates of the peak response according to the different bridge and isolators models.

	Horizontal component only				Horizontal + vertical component				
	HDNR	EP	VE	VE -SDOF	HDNR	EP	VE	VE -SDOF	
d_d [m]	0.280	0.298	0.275	0.263	d_d [m]	0.278	0.295	0.275	0.263
$d_{bh,1}$ [m]	0.262	0.288	0.262	0.237	$d_{bh,1}$ [m]	0.262	0.289	0.265	0.237
$d_{bh,2}$ [m]	0.258	0.284	0.259	0.237	$d_{bh,2}$ [m]	0.260	0.290	0.265	0.237
d_p [m]	0.031	0.026	0.022	0.026	d_p [m]	0.034	0.032	0.027	0.026
r_p [rad]	3.60E-03	2.58E-03	2.25E-03	3.48E-03	r_p [rad]	4.02E-03	3.40E-03	2.80E-03	3.48E-03
$d_{bvt,1}$ [m]	0.779E-04	3.31E-04	1.65E-04	3.94E-04	$d_{bvt,1}$ [m]	7.26E-03	1.30E-03	1.10E-03	3.94E-04
$d_{bvt,2}$ [m]	8.34E-05	6.45E-04	4.46E-04	3.94E-04	$d_{bvt,2}$ [m]	6.09E-03	1.10E-03	9.16E-04	3.94E-04
$d_{bvc,1}$ [m]	-6.62E-03	-3.11E-03	-2.87E-03	-2.39E-03	$d_{bvc,1}$ [m]	-1.07E-02	-3.80E-03	-3.50E-03	-2.39E-03
$d_{bvc,2}$ [m]	-3.98E-03	-2.65E-03	-2.46E-03	-2.39E-03	$d_{bvc,2}$ [m]	-8.10E-03	-3.30E-03	-3.00E-03	-2.39E-03
$F_{bvt,1}$ [kN]	33.9	205.6	102.4	244.8	$F_{bvt,1}$ [kN]	313.1	808.9	686.7	244.8
$F_{bvt,2}$ [kN]	39.6	401.3	277.5	244.8	$F_{bvt,2}$ [kN]	286.5	699.6	569.8	244.8
$F_{bvc,1}$ [kN]	-1512.3	-1936.2	-1786.2	-1488.3	$F_{bvc,1}$ [kN]	-2350.8	-2357.7	-2194.7	-1488.3
$F_{bvc,2}$ [kN]	-1440.3	-1648.9	-1529.8	-1488.3	$F_{bvc,2}$ [kN]	-2134.1	-2051.2	-1874.0	-1488.3
$V_{p,top}$ [kN]	2362.6	2421.5	2293.1	2137.3	$V_{p,top}$ [kN]	2393.3	2535.0	2418.3	2137.3
$V_{p,base}$ [kN]	3003.7	3166.2	2679.8	2137.3	$V_{p,base}$ [kN]	3109.6	3599.5	2999.9	2137.3
$M_{p,top}$ [kNm]	5887.2	9103.2	7885.0	0	$M_{p,top}$ [kNm]	6407.8	9057.5	8358.2	0
$M_{p,base}$ [kNm]	22972.5	20364.1	17570.6	21372.8	$M_{p,base}$ [kNm]	24582.4	24485.0	20554.0	21372.8

699
 700 Similar conclusions can be drawn for the two different loading scenarios. With regard to

701 displacement demand of the deck, the piers and the isolators all the models provide similar
702 estimates. The EP slightly overestimates these demands, whereas the VE model provides values
703 closer to the ones obtained by using the HDNR model. The VE-SDOF model, despite being a
704 simplified one, provides a reasonably good estimate of the deck displacement demand, but
705 underestimates the displacement demand of the bearings. This is due to the fact that this model
706 neglects the contribution of higher modes of vibration and employs a reduced response spectrum to
707 obtain an estimate of the seismic displacement, while accounting for the isolators damping.

708 The values of the peak pier top rotation estimated using the simplified EP and VE models are
709 significantly lower than the values obtained based on the advanced bearing model. This is the
710 consequence of the fact that the simplified models do not account for the reduction of bearing axial
711 stiffness and thus neglect the rotational restraint at the pier head, during the earthquake (Fig. 11b).

712 For the same reason, the estimates of the bearing axial forces based on the simplified models are not
713 accurate. This aspect is critical as the simplified models overestimate the tensile forces within the
714 isolator and this may lead to the incorrect conclusion that the bearings might experience cavitation.

715 However, it should be pointed out that simplified models provide conservative estimates of the
716 tensile forces, whereas the absolute value of the compressive forces is on the other hand
717 underestimated as nonlinear geometrical effects are disregarded.

718 The rotation of the top of the piers according to the simplified VE-SDOF model is higher since the
719 piers are assumed to behave as cantilevers, i.e. no rotational restraints are assumed at their head.

720 The shear demand estimates based on the EP model are higher than the corresponding ones
721 evaluated by using the HDNR model, whereas that estimates according to the VE model are not
722 conservative, because of the underestimation of the contribution of higher modes. Similar
723 observations hold for the bending moment demand at the pier base, whereas with reference to the
724 bending moment demand at the pier top, both the EP and VE provide conservative estimates as
725 compared to the estimates obtained with the advanced model. This is the result of the fact that this
726 response quantity is influenced by the rotational restraint at the pier top, which is controlled by the

727 axial bearing stiffness. This stiffness is assumed constant in the case of the simplified models and it
728 is always greater or equal to the corresponding stiffness of the advanced bearing model, which
729 accounts for the coupling between the horizontal and vertical response. As expected, the estimates
730 of the pier internal actions obtained by considering the VE-SDOF model of the bridge are in general
731 quite inaccurate, with the exception of the pier base moment. The peak value of this response
732 quantity is very similar to that of the reference model, even though it is evaluated under the
733 assumption that the pier behaves as a cantilever.

734 **CONCLUSIONS**

735 This paper investigated the accuracy of simplified bearing models for the analysis of the seismic
736 response of multi-span simply supported (MSSS) bridges isolated with steel-reinforced HDNR
737 bearings. MSSS bridges are characterised by bearings placed eccentrically with respect to the pier
738 axis, which introduces a strong coupling of the horizontal and the vertical response of the system.
739 Recently, advanced models were made available for describing the bearing behaviour and this has
740 offered the opportunity to better understand the response of MSSS bridges and to evaluate the
741 accuracy of simplified bearing models for the seismic response assessment.

742 A benchmark three-span bridge, representative of many isolated bridges erected in Europe, was
743 considered for this study and the following conclusions were drawn by employing the advanced
744 bearing model in the evaluation of the response to a set of ground motion records:

745 - Significant fluctuations of the axial forces of the bearing were observed, even when only the
746 horizontal component of the earthquake excitation is considered. In particular, the pier top rotations
747 contribute toward significant axial deformations of the bearings, which may induce tension or
748 buckling under certain design situations.

749 - The isolated piers do not behave as cantilevers under horizontal seismic excitations due to the fact
750 that the isolators partially restrain the rotation of the pier top. As a result, the pier response may be
751 similar to that of a column clamped at the base with partial restraint at its top.

752 -The SSI effects, inducing rotations at the pier base, influence significantly the horizontal
753 displacement and rotation demand at the pier top and thus should be considered in the analysis of
754 MSSS bridges. For the analysed benchmark bridge, the mean pier horizontal deflection demand
755 under the longitudinal earthquake input increases by 48% due to SSI effects, whereas the bearing
756 vertical displacement demand by about 13% with respected to the fixed-foundation case.

757 - The vertical component of the earthquake input motion does not affect significantly the horizontal
758 response of the isolators and the horizontal displacements of the deck. However, it affects
759 significantly both the maximum compressive and tensile axial loads within the bearings, and to a
760 lesser extent the internal actions in the piers.

761 - The sensitivity study carried out to evaluate the influence of the vertical stiffness of the bearings
762 on the dynamic response of the bridge showed that the periods of the most significant modes of
763 vibration are slightly affected by the variations of the shape factor S_r . However, the bending
764 moment at the pier top, the shear forces in the pier, and the axial forces of the bearings are strongly
765 dependent on the shape factor S_r . In particular, the bending moment and the shear demands increase
766 for larger values of S_r due to the stronger rotational restraint at the pier top, which in turn is
767 controlled by the axial stiffness of the eccentrically placed bearings. With regard to bearing
768 compressive and tensile forces, their absolute value first decreases, when S_r ranges between 10 and
769 12.5, and then increases again, when S_r has values larger than 12.5. This is the result of two
770 counteracting effects, i.e., the increase of axial stiffness and the reduction of rotational demand for
771 increasing S_r values.

772 The evaluation of the accuracy and reliability of two simplified bearing models, which are widely
773 used in design practice, was also examined. The simplified models were assumed to have either
774 elasto-plastic (EP) and visco-elastic (VE) behaviour in shear, but with a constant axial bearing
775 stiffness throughout the analyses. The estimates of the pier and deck displacement demand obtained
776 by the simplified models are quite accurate and similar to the estimates obtained by using the
777 advanced bearing model. This is mainly due to the fact that the simplified models provide the same

778 level of damping and stiffness of the advanced model for the design vibration frequency and
779 amplitude. However, the estimates of other parameters relevant to the bridge performance, such as
780 the pier bending moments and shear forces, were found to be not accurate. In particular, the EP and
781 the VE model provide respectively conservative and generally non-conservative estimates of these
782 quantities compared to the accurate HDNR bearing model. This is the consequence of the
783 contribution of the higher modes of vibration to the response and the different behaviour of the
784 simplified models with respect to the advanced models both in terms of horizontal stiffness and
785 damping and of axial stiffness. In particular, it was found that the estimates of the internal actions
786 according to the VE model are not conservative because the contribution of higher modes of
787 vibration is damped excessively.

788 With reference to the estimates of the bearing response, both the simplified models yield absolute
789 values of the compressive and tensile forces higher than the corresponding values obtained with the
790 advanced bearing model.

791 Future studies should address the influence of the transverse horizontal component of the seismic
792 excitation on the bridge and bearing response prediction and the accuracy of the equivalent models
793 for seismic intensities other than the design one and for earthquake inputs of different
794 characteristics and/or including pulses. It is noteworthy that the results presented in the paper have
795 been obtained by considering a simplified approach for the soil-structure interaction analysis. Thus,
796 a more detailed analysis of the bridge-soil-foundation system accounting for the seismic input
797 according to kinematic analysis and including the rocking motion at the foundation level will also
798 be considered in future studies.

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