



Dundee, 28th Sep 2017



Innovative workflows around APC management

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APC management...

... may be seen as a dry, bureaucratic exercise. It needn't be

There are opportunities to apply some creativity (plus saving some public funding and increasing the range of potentially fundable authors)

1	Date of initial application by author	Acceptance Date	PURE ID	Submitted by	University Department	PubMed Central (PMC) ID	PubMed ID	DOI
196	31/08/2017	31/08/2017	67908195	Shuzo Sakata	Strathclyde Institute of Pharmacy and Biomedical Sciences			10.3389/fncir.2017.00064
197	06/09/2017	06/09/2017	68013291	Robert Martin	Physics			
198	07/09/2017	31/08/2017	67909956	Valerie Ferro	Strathclyde Institute of Pharmacy and Biomedical Sciences			
199	08/09/2017	08/09/2017	68120832	John Murphy	Chemistry, Pure and Applied Chemistry			10.1002/anie.201707914R2
200	12/09/2017	11/09/2017	64962022	Andrew Wodehouse	Design, Manufacture and Engineering Management			
201	12/09/2017	07/09/2017	68119599	Luke Chamberlain	Strathclyde Institute of Pharmacy and Biomedical Sciences			
202	18/09/2017	14/09/2017	66397293	David Speirs/Bengt Eliasson	Physics			10.1002/2017JA024665
203	12/09/2017	09/09/2017	68124171	Daniele Marini	Design, Manufacture and Engineering Management			10.1080/21693277.2017.1374888
204	13/09/2017	11/09/2017	68141332	David Lindsay	Chemistry, Pure and Applied Chemistry			
205	20/09/2017	25/08/2017	68168263	Stuart Robertson	Chemistry, Pure and Applied Chemistry			

APC funding at Strathclyde

- Funding available just for RCUK- and COAF-funded manuscripts (default scenario at UK Unis)
- No institutional OA fund – exploring the feasibility of having one
- Plenty of unfunded authors turned away (Springer Compact only free-of-charge choice for them)

Page 12: The RCUK and COAF open access policies

Q26. Please provide the following:

	RCUK only	COAF only	Both RCUK and COAF
Number of gold compliant publications (X)	180	5	12
Number of green compliant publications (Y)	110	1	3
Number of outputs not compliant with funders' requirements (Z)	47	2	0
Total number of in-scope outputs (X+Y+Z)	337	8	15

What we do

- Explore opportunities for *expanding the eligibility scope* for authors who come to the Library asking for APC funding
- Try to benefit from *any chance to pay lower APC fees*
- A few case studies:
 - Discounts for members of learned societies
 - FP7 Post-Grant OA Pilot reimbursements
 - Best practice in collaboration w/ publishers: MDPI OAIP

APC discount for members of learned societies: ACS



Publication: Journal of Medicinal Chemistry
Publication ID: 0022-2623
Manuscript DOI: 10.1021/acs.jmedchem.7b00484
Manuscript ID: 10.1021/acs.jmedchem.7b00484
Publication Date: 15-Aug-2017
[View more](#)

Publisher: American Chemical Society
Author: Simon Mackay
ORCID® ID: 0000-0001-8000-6557
Institution: University of Strathclyde
Institution ID:

CHARGES ESTIMATE

The American Chemical Society has partnered with Copyright Clearance Center's RightsLink service to offer a convenient way to pay for Open Access costs. Please note Open Access choices are optional and not required for publication, except in the case of ACS Omega, although they may be required by your funder. [Click here](#) for a guide on the different Open Access options available.

<input checked="" type="checkbox"/> <input type="checkbox"/> Open Access charge:	5,000.00 USD	This article will be made Open Access after all charges are paid in full.
ACS AuthorChoice:		
<input type="text" value="Immediate Open Access"/>	4,000.00 USD	
Add Creative Commons License:		
<input type="text" value="CC-BY"/>	1,000.00 USD	
TOTAL:	5,000.00 USD*	View details

* Does not include tax/VAT. Tax/VAT is applied in the Payment Options step during checkout.

Default APC fee is \$5,000, including a \$1,000 surcharge for a CC-BY licence

APC discount for members of learned societies: ACS

APPLY DISCOUNTS ✕

ACS members (join [here](#)) qualify for discounts. [Affiliation](#) and [Country](#) discounts are also available for select authors and journals. [Terms](#) and conditions apply. [ACS Author Rewards](#) are also accepted.

Membership discount: (2,500.00 USD)

ACS Member ID

Affiliation discount: (500.00 USD)

Valid for select [institutions](#). Not valid for ACS Omega

University of Strathclyde
[Change](#)

Promotion campaign discount: Not applied

Enter [ACS Author Rewards](#) or other promotion codes below

Total discount amount: (3,000.00 USD)

50% discount applicable if author is an ACS member – member number needs to be provided – and a further discount if institution is also affiliated (as it is the case for Uni Strathclyde)

APC discount for members of learned societies: ACS

✓ **Open Access charge:** 5,000.00 USD

ACS AuthorChoice:
Immediate Open Access 4,000.00 USD

Add Creative Commons License:
CC-BY 1,000.00 USD

DISCOUNTS APPLIED (3,000.00 USD)

TOTAL: 2,000.00 USD*

* Does not include tax/VAT. Tax/VAT is applied in the Payment Options step during checkout.

Aggregated 60% discount on APC fee if author is an ACS member – vs a \$166 ACS annual membership fee

Two cases already (in two months):
\$5,000 savings *for the research funder*

If the Library moves quickly, there's time for author to become members *post acceptance* in time for a discount



American Chemical Society
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Columbus, OH 43210 - 0337

RECEIPT OF PAYMENT STATEMENT

Thank you for your payment. This is your receipt. Listed below are the details of your payment. We appreciate your continued interest in The American Chemical Society.

Customer #: 2404440

Dr Simon P MacKay
University of Strathclyde
Institute of Pharmacy and Biomedical Sciences
161 Cahedral St
G4 Ore Glasgow
United Kingdom

Receipt Print Date: 08/11/2017
Invoice #: 16796854
Payment Date: 08/11/2017
Purchase Order #:

Code	Description	Period	Copies	Price	Shipping	Tax	Amount
RME	Regular Member Dues C&EN- Electronic	08/11/2017 - 08/10/2018	1	\$166.00	\$0.00	\$0.00	\$166.00
6300	Chemical and Engineering News- Digital	08/11/2017 - 08/10/2018	1	\$0.00	\$0.00	\$0.00	\$0.00

APC discount for members of learned societies: ACS

So could we invite institutional co-authors who frequently publish in ACS titles to become members *and offer them to cover their individual fee from the RCUK Block Grant?*



Mon 07/08/2017 10:46

Alexandra Vincent (RCUK, Executive Directorate) <Alexandra.Vincent@rcuk.ac.uk>

RE: Covering membership fees for specific learned societies via the RCUK Block Grant

To Laura Carney (RCUK); Pablo De Castro

Dear Pablo

Apologies fro the delay in responding - I am just catching up on email post leave.

We leave what the block grant is spent on to the discretion of the individual institution as long as it is used to support the spirit of the policy. If you can show that these fees will directly support the policy and, for example, give discounted APCs, then it should be fine.

I hope that this is helpful.

Best wishes

Alex

Alexandra Vincent

The research funder is (unsurprisingly) ok with the practice... but will the institution agree as well?

APC discount for members of learned societies

- ACS particularly relevant for Strathclyde but far from being the only case: RSC, Design Society ('Design Science')
- Opportunities for collaboratively improving workflows: the member co-author could well be at other Uni, we'd need to get the member number
- The toughest barrier lies with the institutional bureaucracy not the publishers (learned societies happy to increase their membership)

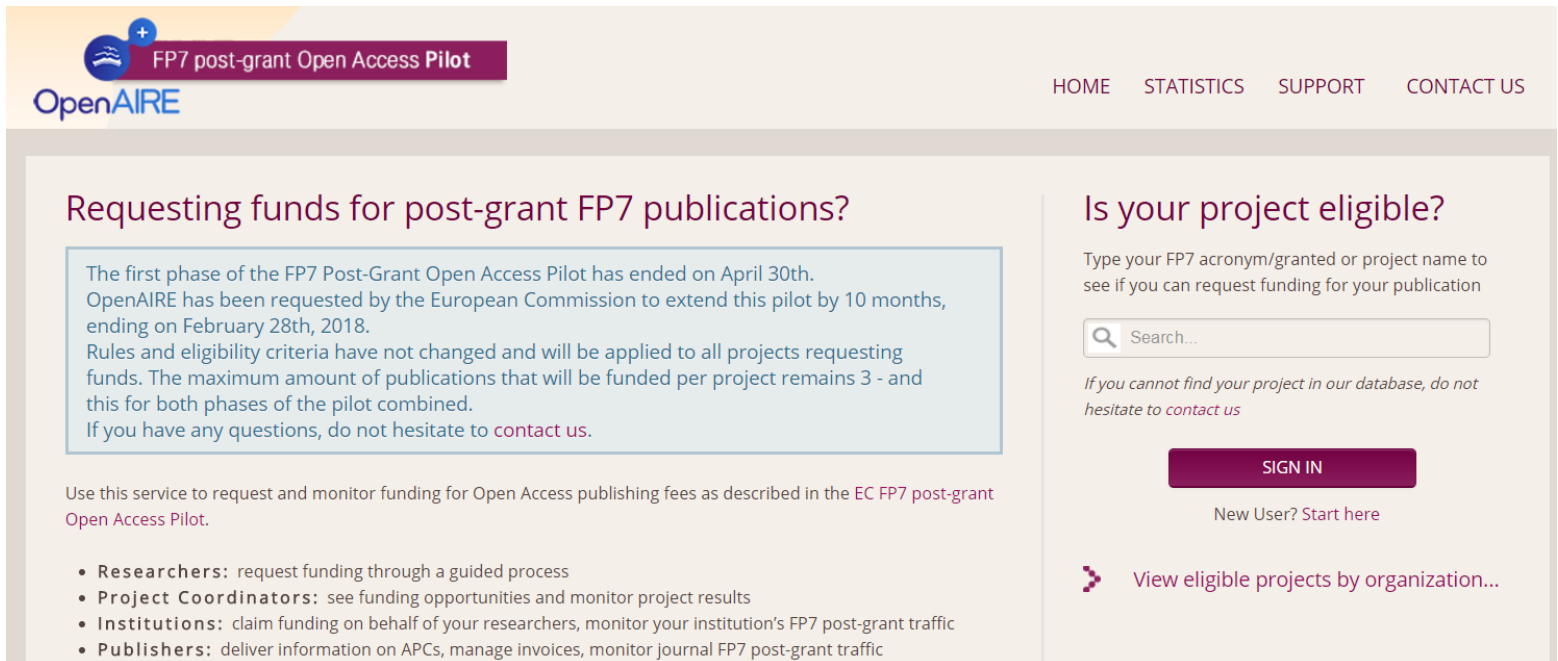
Article Processing Charges

The costs of publication in Design Science are covered by an article publishing charge (APC) levied upon the corresponding author, or his/her funding body or institution.

The APC for Design Science is \$1575, with a discounted rate of \$525 for members of the Design Society.

FP7 Post-Grant OA Pilot reimbursements

- An opportunity to expand eligibility for APC funding beyond RCUK- and COAF-funded manuscripts



The screenshot shows the website for the FP7 post-grant Open Access Pilot. The header includes the OpenAIRE logo and a navigation menu with links for HOME, STATISTICS, SUPPORT, and CONTACT US. The main content area is divided into two columns. The left column features a heading "Requesting funds for post-grant FP7 publications?" followed by a text box containing information about the pilot's end date (April 30th), extension (10 months to February 28th, 2018), and eligibility rules. Below this is a paragraph about the service and a list of roles: Researchers, Project Coordinators, Institutions, and Publishers. The right column has a heading "Is your project eligible?" with a search box and a "SIGN IN" button. A link to "View eligible projects by organization..." is also present.

FP7 post-grant Open Access Pilot

OpenAIRE

HOME STATISTICS SUPPORT CONTACT US

Requesting funds for post-grant FP7 publications?

The first phase of the FP7 Post-Grant Open Access Pilot has ended on April 30th. OpenAIRE has been requested by the European Commission to extend this pilot by 10 months, ending on February 28th, 2018. Rules and eligibility criteria have not changed and will be applied to all projects requesting funds. The maximum amount of publications that will be funded per project remains 3 - and this for both phases of the pilot combined. If you have any questions, do not hesitate to [contact us](#).

Use this service to request and monitor funding for Open Access publishing fees as described in the [EC FP7 post-grant Open Access Pilot](#).

- **Researchers:** request funding through a guided process
- **Project Coordinators:** see funding opportunities and monitor project results
- **Institutions:** claim funding on behalf of your researchers, monitor your institution's FP7 post-grant traffic
- **Publishers:** deliver information on APCs, manage invoices, monitor journal FP7 post-grant traffic

Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

Search...

If you cannot find your project in our database, do not hesitate to contact us

SIGN IN

New User? Start here

➤ [View eligible projects by organization...](#)

FP7 Post-Grant OA Pilot reimbursements



- Eligibility criteria are fairly simple:
 - Acknowledgement for a finished FP7 project (no longer than 2-yrs ago)
 - Manuscript accepted in a fully OA journal: no-hybrid policy

Publication state

Publication statuses and dates *

Accepted/In press 7 Jun 2017

Published 1 Jul 2017 **Current**

Journals

Journal *

Optical Materials Express

2159-3930

Scopus rating (2016): CiteScore 2.74 SJR 1.082 SNIP 1.287



NEWS & EVENTS

PROJECTS & RESULTS

RESEARCH*EU MAGAZINES

PARTNERS



DiaL

Project ID: 278389

Funded under: [FP7-IDEAS-ERC](#)

Diamond Lasers: Revolutionising Laser Engineering

From 2011-10-01 to **2016-09-30**, closed project

Project details

8. Funding

Funding is gratefully acknowledged from the European Research Council (grant number 278389), Fraunhofer UK Research Ltd., and the Royal Academy of Engineering. All data created during this research are openly available from the University of Strathclyde Pure/ KnowledgeBase at <http://dx.doi.org/10.15129/9ee6178b-4ce9-4907-8d18-2c40085da6b0>

Other APC reimbursements: CSO



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CSO Open Access Policy

The CSO Standard Conditions of Grant (for project and all personal awards) requires researchers to make the papers published as a result of CSO funded research publicly available within 6 months of the date of publication. In practice the requirement means either publication in a journal that allows open access or one that allows the author to deposit the paper in PMC/EuropePMC

1. Journal allows Open Access

Since the end of 2012, it has been CSO policy that researchers can apply to CSO for up to £2000 towards the costs of Open Access publishing of a paper arising from CSO funded research project. At the beginning of 2016 this was increased to £6000, the support is limited to papers presenting the methods and/or findings of the study, and which are accepted for publication within 18 months of completion of the project. Applications should be made on [Form 6a](#).

Collaboration with OA-friendly publishers



MDPI Contact

MDPI AG
St. Alban-Anlage 66,
4052 Basel, Switzerland
Support contact 
Tel. +41 61 683 77 34
Fax: +41 61 302 89 18

Institutional Open Access Program (IOAP)

Transparency

- All institutional participants receive free access to our online submission system "Susy", providing a wide range of meta-data on articles under processing, as well as articles published from associated authors since 2010.
- Data from Susy, including information on invoices, can be exported to Excel with a single click.
- Institutions can choose to be notified about new submissions, and can receive alerts when a paper is accepted or published. Notifications can be enabled or disabled directly from Susy.

List of Participants (256)

If your institute is not yet included on our participants list below, please feel free to recommend our program to your library.

Recommend

Institute	Country	Discount	Type	Commenced
<input type="text"/>	<input type="text" value="UK (13)"/>			
University of St Andrews	UK	10%	Central: Invoiced to institute	1 Mar 2015
University of Strathclyde	UK	10%	Central: Invoiced to institute	1 Sep 2017

Collaboration with OA-friendly publishers



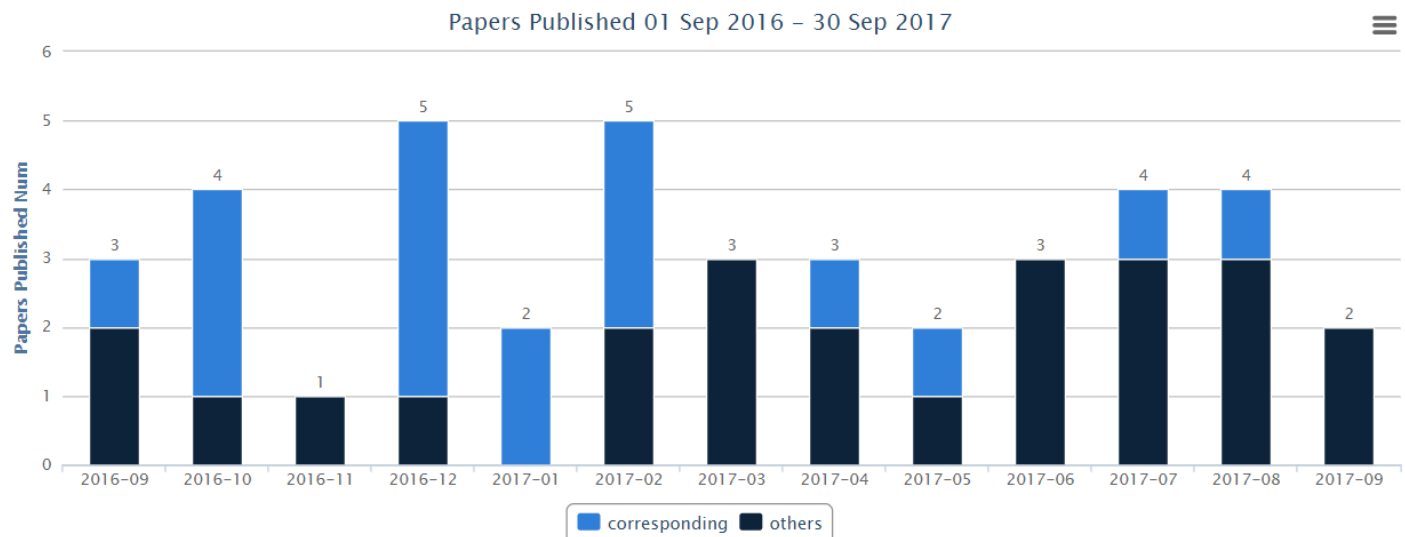
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Articles Published



Papers published (Data as of 2010) Export Report

98 manuscripts found.
 All (98) | Under Processing (7) | Published (91) |
 1 · 2 · 3 · 4 · 5

Manuscript-ID / Authors ↑	Journal	Title	Status	Sub. Date	Notes	Central: Invoiced to institute?
ijms-229979 Fan Chen , Yitao Xu , Dandan Chen , Yubin Zhang More..	International Journal of Molecular Sciences	Interleukin-6 Deficiency Promotes Metabolic Inflammation, Steatosis and Fibrosis in the Liver During High-Fat Diet-Induced Obesity	Pending review	16/9/17		<input type="checkbox"/>

Collaboration with OA-friendly publishers



Article

A Chain, a Bath, a Sink, and a Wall

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- Further opportunities for cross-institutional collaboration

An even drier topic: overlength page charges



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Invoice Date	Invoice #	Customer #	Purchase Order #	DOI #
21-Sep-2017	1-10416286981	90608366		10.1109/TAES.2017.2672018

Product #	Product Description	Qty	Unit Price	No of Units	Total
OPC-0067400	IEEE Transactions on Aerospace and Electronic Systems - Overlength Page Charges	2	\$200.00		\$400.00
Comments: MultiModel CFAR Detection in FOLIage PENetrating SAR Images		Discount		\$0.00	
		Subtotal		\$400.00	
		Tax		\$0.00	
		Shipping and Handling		\$0.00	
		Total		\$400.00	

- Further opportunities for cross-institutional collaboration

A couple of comments

- Even in the absence of an institutional OA fund there are opportunities for expanding APC funding beyond RCUK- and COAF-funded manuscripts
- There could be interesting opportunities for collaboration within the OA Scotland WG in this area: suggestion for using an [APC mgt] subject hash when sending messages to the list
- Some discussion could also be useful on how to accurately report APC expenses to funders – the reporting could be based on date of acceptance or date of payment, and these can be quite distant from each other

Date of APC payment	APC fee paid in £ (incl VAT)	Additional publication costs	Amount charged to RCUK block grant (£)	Amount charged to COAF block grant (£)	Notes	Discounts, memberships & pre-payment agreements	Acceptance date
16/08/2017	1129.44		1355.33				07/08/2017
15/08/2017	1603.42			1924.10			24/07/2017
17/08/2017	1200.00		1200.00				07/08/2017
05/09/2017	536.40		536.40				11/08/2017
22/08/2017	536.40		536.40				20/07/2017
24/08/2017	2040.00		2040.00				02/08/2017
24/08/2017	1332.00		1332.00				16/08/2017
31/08/2017	1363.64		1363.64			10% Elsevier discount	05/08/2017
		1137.00			Author only applied for payment of Overlength page charges and not Gold OA		04/06/2017



Dundee, 28th Sep 2017



Thanks!

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