
This version is available at https://strathprints.strath.ac.uk/61868/

Strathprints is designed to allow users to access the research output of the University of Strathclyde. Unless otherwise explicitly stated on the manuscript, Copyright © and Moral Rights for the papers on this site are retained by the individual authors and/or other copyright owners. Please check the manuscript for details of any other licences that may have been applied. You may not engage in further distribution of the material for any profitmaking activities or any commercial gain. You may freely distribute both the url (https://strathprints.strath.ac.uk/) and the content of this paper for research or private study, educational, or not-for-profit purposes without prior permission or charge.

Any correspondence concerning this service should be sent to the Strathprints administrator: strathprints@strath.ac.uk
Innovative workflows around APC management

Pablo de Castro
Open Access Advocacy Librarian
University of Strathclyde Glasgow
pablo.de-castro@strath.ac.uk
http://orcid.org/0000-0001-6300-1033
APC management…

… may be seen as a dry, bureaucratic exercise. It needn’t be

There are opportunities to apply some creativity (plus saving some public funding and increasing the range of potentially fundable authors)
APC funding at Strathclyde

- Funding available just for RCUK- and COAF-funded manuscripts (default scenario at UK Unis)
- No institutional OA fund – exploring the feasibility of having one
- Plenty of unfunded authors turned away (Springer Compact only free-of-charge choice for them)
What we do

• Explore opportunities for *expanding the eligibility scope* for authors who come to the Library asking for APC funding

• Try to benefit from *any chance to pay lower APC fees*

• A few case studies:
  - Discounts for members of learned societies
  - FP7 Post-Grant OA Pilot reimbursements
  - Best practice in collaboration w/ publishers: MDPI OAIP
APC discount for members of learned societies: ACS

Default APC fee is $5,000, including a $1,000 surcharge for a CC-BY licence
APC discount for members of learned societies: ACS

50% discount applicable if author is an ACS member – member number needs to be provided – and a further discount if institution is also affiliated (as it is the case for Uni Strathclyde)
APC discount for members of learned societies: ACS

Aggregated 60% discount on APC fee if author is an ACS member – vs a $166 ACS annual membership fee

Two cases already (in two months): $5,000 savings for the research funder

If the Library moves quickly, there’s time for author to become members post acceptance in time for a discount
So could we invite institutional co-authors who frequently publish in ACS titles to become members and offer them to cover their individual fee from the RCUK Block Grant?

Dear Pablo

Apologies for the delay in responding - I am just catching up on email post leave.

We leave what the block grant is spent on to the discretion of the individual institution as long as it is used to support the spirit of the policy. If you can show that these fees will directly support the policy and, for example, give discounted APCs, then it should be fine.

I hope that this is helpful.

Best wishes

Alex

Alexandra Vincent

The research funder is (unsurprisingly) ok with the practice… but will the institution agree as well?
APC discount for members of learned societies

- ACS particularly relevant for Strathclyde but far from being the only case: RSC, Design Society (‘Design Science’)

- Opportunities for collaboratively improving workflows: the member co-author could well be at other Uni, we’d need to get the member number

- The toughest barrier lies with the institutional bureaucracy not the publishers (learned societies happy to increase their membership)

---

Article Processing Charges

The costs of publication in Design Science are covered by an article publishing charge (APC) levied upon the corresponding author, or his/her funding body or institution.

The APC for Design Science is $1575, with a discounted rate of $525 for members of the Design Society.
FP7 Post-Grant OA Pilot reimbursements

• An opportunity to expand eligibility for APC funding beyond RCUK- and COAF-funded manuscripts
FP7 Post-Grant OA Pilot reimbursements

- Eligibility criteria are fairly simple:
  - Acknowledgement for a finished FP7 project (no longer than 2-yrs ago)
  - Manuscript accepted in a fully OA journal: no-hybrid policy

---

8. Funding

Funding is gratefully acknowledged from the European Research Council (grant number 278389), Fraunhofer UK Research Ltd., and the Royal Academy of Engineering. All data created during this research are openly available from the University of Strathclyde Pure/ KnowledgeBase at http://dx.doi.org/10.15129/9ee6178b-4ce9-4907-8d18-2e40085da6b0
Other APC reimbursements: CSO

CSO Open Access Policy

The CSO Standard Conditions of Grant (for project and all personal awards) requires researchers to make the papers published as a result of CSO funded research publicly available within 6 months of the date of publication. In practice the requirement means either publication in a journal that allows open access or one that allows the author to deposit the paper in PMC/EuropePMC.

1. Journal allows Open Access

Since the end of 2012, it has been CSO policy that researchers can apply to CSO for up to £2000 towards the costs of Open Access publishing of a paper arising from CSO funded research project. At the beginning of 2016 this was increased to £6000, the support is limited to papers presenting the methods and/or findings of the study, and which are accepted for publication within 18 months of completion of the project. Applications should be made on Form 6a.

http://www.cso.scot.nhs.uk/outputs/cso-open-access-policy/
Collaboration with OA-friendly publishers

Institutional Open Access Program (IOAP)

Transparency

- All institutional participants receive free access to our online submission system “Susy”, providing a wide range of meta-data on articles under processing, as well as articles published from associated authors since 2010.
- Data from Susy, including information on invoices, can be exported to Excel with a single click.
- Institutions can choose to be notified about new submissions, and can receive alerts when a paper is accepted or published. Notifications can be enabled or disabled directly from Susy.

List of Participants (256)

If your institute is not yet included on our participants list below, please feel free to recommend our program to your library.

<table>
<thead>
<tr>
<th>Institute</th>
<th>Country</th>
<th>Discount</th>
<th>Type</th>
<th>Commenced</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of St Andrews</td>
<td>UK</td>
<td>10%</td>
<td>Central: Invoiced to Institute</td>
<td>1 Mar 2015</td>
</tr>
<tr>
<td>University of Strathclyde</td>
<td>UK</td>
<td>10%</td>
<td>Central: Invoiced to Institute</td>
<td>1 Sep 2017</td>
</tr>
</tbody>
</table>

http://www.mdpi.com/about/ioap
Collaboration with OA-friendly publishers
Collaboration with OA-friendly publishers

Article

A Chain, a Bath, a Sink, and a Wall

Stefano Iubini 1,2,*, Stefano Lepri 2,3, Roberto Livi 1,2,3, Gian-Luca Oppo 4 and Antonio Politi 5

1 Dipartimento di Fisica e Astronomia, Università di Firenze, via G. Sansone 1, I-50019 Sesto Fiorentino, Italy; livi@fi.infn.it
2 Istituto Nazionale di Fisica Nucleare, Sezione di Firenze, via G. Sansone 1, I-50019 Sesto Fiorentino, Italy.
3 Consiglio Nazionale delle Ricerche, Istituto dei Sistemi Complessi, via Madonna del Piano 10, I-50019 Sesto Fiorentino, Italy; stefano.lepri@isc.cnr.it
4 SUPA and Department of Physics, University of Strathclyde, Glasgow G4 0NG, UK; g.l.oppo@strath.ac.uk
5 Institute for Complex Systems and Mathematical Biology & SUPA University of Aberdeen, Aberdeen AB24 3UE, UK; a.politi@abdn.ac.uk
* Correspondence: stefano.iubini@unifi.it

Received: 22 June 2017; Accepted: 24 August 2017; Published: date

• Further opportunities for cross-institutional collaboration
An even drier topic: overlength page charges

- Further opportunities for cross-institutional collaboration
A couple of comments

• Even in the absence of an institutional OA fund there are opportunities for expanding APC funding beyond RCUK- and COAF-funded manuscripts

• There could be interesting opportunities for collaboration within the OA Scotland WG in this area: suggestion for using an [APC mgt] subject hash when sending messages to the list

• Some discussion could also be useful on how to accurately report APC expenses to funders – the reporting could be based on date of acceptance or date of payment, and these can be quite distant from each other

<table>
<thead>
<tr>
<th>Date of APC payment</th>
<th>APC fee paid in £ (incl VAT)</th>
<th>Additional publication costs</th>
<th>Amount charged to RCUK block grant (£)</th>
<th>Amount charged to COAF block grant (£)</th>
<th>Notes</th>
<th>Acceptance date</th>
</tr>
</thead>
<tbody>
<tr>
<td>16/08/2017</td>
<td>1129.44</td>
<td></td>
<td>1355.33</td>
<td></td>
<td></td>
<td>07/08/2017</td>
</tr>
<tr>
<td>15/08/2017</td>
<td>1603.42</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>24/07/2017</td>
</tr>
<tr>
<td>17/08/2017</td>
<td>1200.00</td>
<td></td>
<td>1200.00</td>
<td></td>
<td></td>
<td>07/08/2017</td>
</tr>
<tr>
<td>05/09/2017</td>
<td>536.40</td>
<td></td>
<td>536.40</td>
<td></td>
<td></td>
<td>11/08/2017</td>
</tr>
<tr>
<td>22/08/2017</td>
<td>536.40</td>
<td></td>
<td>536.40</td>
<td></td>
<td></td>
<td>20/07/2017</td>
</tr>
<tr>
<td>24/08/2017</td>
<td>2040.00</td>
<td></td>
<td>2040.00</td>
<td></td>
<td></td>
<td>02/08/2017</td>
</tr>
<tr>
<td>24/08/2017</td>
<td>1332.00</td>
<td></td>
<td>1332.00</td>
<td></td>
<td></td>
<td>16/08/2017</td>
</tr>
<tr>
<td>31/08/2017</td>
<td>1363.64</td>
<td></td>
<td>1363.64</td>
<td></td>
<td></td>
<td>05/08/2017</td>
</tr>
<tr>
<td></td>
<td>1137.00</td>
<td></td>
<td></td>
<td>Author only applied for payment of Overlength page charges and not Gold OA</td>
<td></td>
<td>04/06/2017</td>
</tr>
</tbody>
</table>

Discounts, memberships & pre-payment agreements
Thanks!

Pablo de Castro
Open Access Advocacy Librarian
University of Strathclyde Glasgow
pablo.de-castro@strath.ac.uk
http://orcid.org/0000-0001-6300-1033