

1                                   **DERIVATION OF ELASTIC FRACTURE TOUGHNESS**  
2                                   **FOR DUCTILE METAL PIPES WITH CIRCUMFERENTIAL EXTERNAL CRACKS**  
3                                   **UNDER COMBINED TENSION AND BENDING**

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11   **ABSTRACT**

12   Linear elastic fracture mechanics has been widely employed for fracture analysis of cracked  
13   pipes. For ductile metal pipes, the existence of plasticity eases the stress concentration at the  
14   crack front, which increases the fracture toughness of the pipe. Therefore, when using linear  
15   elastic fracture mechanics to predict the fracture failure of ductile pipes, the plastic portion of the  
16   fracture toughness should be excluded. This paper intends to derive an analytical model of elastic  
17   fracture toughness for ductile metal pipes with circumferential external surface cracks under  
18   combined axial tension and bending. The derived elastic fracture toughness is a function of crack  
19   geometry, material properties and loading conditions of the cracked pipe. The significance of the  
20   derived model is that the well established linear elastic fracture mechanics can be used for ductile  
21   materials in predicting the fracture failure. It is found in the paper that, the elastic fracture  
22   toughness increases with the increase of the internal pressure of the pipe and that an increase in  
23   fracture toughness and yield strength of the pipe materials will result in a more ductile and brittle  
24   pipe failure respectively. The derived analytical model enables more accurate prediction of  
25   fracture failure of ductile metal pipes with circumferential external cracks.

26   **KEYWORDS**

27   Fracture Toughness; Ductile material; Circumferential Crack; Plasticity; Stress Intensity Factor.

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## 30 INTRODUCTION

31 Pipelines are essential infrastructure that play a pivotal role in a nation's economy, prosperity,  
32 health, environment, social well-being and quality of life. Various materials have been used to  
33 make pipes, a significant portion of which are ductile metals, e.g. steel. Due to their long term  
34 service and exposure to corrosive environment, aging and deterioration of metal pipes have  
35 resulted in failures well before the end of intended design life.

36 Through investigation, it has been found that most metal pipe failures are of fracture type, caused  
37 by the propagation of a surface crack or defect (Cabral and Kimber 1997; Rajeev et al. 2014). For  
38 cracked ductile metal pipes under applied loading, the crack front yields before the stress  
39 intensity factor reaches its critical value. This yielding eases the stress concentration at the crack  
40 front, and as a result, the fracture resistance, known as fracture toughness, increases. It follows  
41 that for ductile materials, fracture toughness of ductile materials should consist of elastic and  
42 plastic portions, with the latter contributed by the plasticity of the material. As is well known,  
43 most of fracture analysis is based on linear elastic fracture mechanics (LEFM), in which the  
44 materials do not reach the plastic stage. In order to make use of LEFM for ductile materials, the  
45 plastic portion of fracture toughness should be excluded from the overall or total fracture  
46 toughness. Otherwise the value of fracture toughness will be overestimated, resulting in an  
47 underestimated probability of pipe failure.

48 Extensive research has been conducted on calculating the stress intensity factors for surface  
49 cracks in pipes (e.g. Raju and Newman 1982; 1986; Mettu et al. 1992; Kou and Burdekin 2006;  
50 Li and Yang 2012). However, stress intensity factors thus obtained are only applicable to elastic  
51 materials and plastic materials under small scale yielding conditions (Anderson 1991). For ductile  
52 materials with large scale yielding, elastic-plastic parameters such as  $J$  integral (Rice 1968) and  
53 Crack Tip Opening Displacement (Burdekin and Stone 1966) have to be used. As such, non-  
54 linear finite element fracture analyses are often resorted to for investigating the behaviours of

55 cracked pipes made of ductile materials (e.g. Jayadevan 2004; Zhang et al. 2015), which requires  
56 more effort compared with elastic analyses. Further developments of the fracture mechanics  
57 based assessment led to the failure assessment diagrams, which are the most widely used  
58 methodology for elastic-plastic fracture analysis of structural components (Ainsworth 2003).  
59 Dowling and Townley (1975) identified two principal failure criteria, i.e., brittle fracture and  
60 plastic collapse, which is an essential step towards the development of failure assessment  
61 diagrams. These two criteria were then employed by Harrison et al. (1976) to define failure  
62 assessment diagram based on a modified strip yield model. Milne et al. (1988) presented detailed  
63 procedures for structural integrity assessment of ductile metal pipes with cracks, in which, failure  
64 assessment curves can be created based on the reference stress approach or elastic-plastic  $J$   
65 integral analysis. Using their assessment method, the conditions of cracked pipes can be assessed  
66 by checking against the failure curves, with the consideration of both fracture and plasticity of  
67 pipe materials.

68 For fracture analysis of pipes made of ductile materials, if the portion of the fracture resistance  
69 within the elastic range can be determined, the widely available results based on linear elastic  
70 fracture mechanics can be readily applied. In this way, the simple criterion of stress intensity  
71 factor used in LEFM still applies. Literature review (see references) suggests that very little  
72 research has been carried out on distinguishing the elastic and plastic portions of fracture  
73 toughness for ductile materials. Yang et al. (2016) can be one of the first researchers that  
74 proposed an analytical model of elastic fracture toughness for steel pipes with internal cracks but  
75 that study is limited to internal longitudinal cracks under a single loading, i.e., internal pressure.

76 As is well known, the stress intensity factors are geometry and stress (loading) dependent.  
77 Although fracture toughness is a material property, it is also affected by geometry and loading  
78 once the material reaches plastic stage. Since pipes are frequently subjected to axial tension and  
79 bending in service, circumferential cracks often occur in pipes. As different cracks and loading

80 conditions may incur different failure modes, different analyses are required in deriving the  
81 elastic fracture toughness. This gives rise to the need for the present paper.

82 This paper aims to derive an analytical model of elastic fracture toughness for circumferentially  
83 cracked ductile pipes under combined axial tension and bending loads. The elastic fracture  
84 toughness in the derived model is a function of the geometry and material properties of the  
85 cracked pipe and applied loading. After verification of the derived model, parametric studies are  
86 conducted to investigate the effect of some key parameters on the elastic fracture toughness. The  
87 merit of the derived model is that it allows the use of extensive results based on linear elastic  
88 fracture toughness by engineers and asset managers for both design and assessment of ductile  
89 metal pipes, which can prevent future failures of pipes.

## 90 **FORMULATION OF ELASTIC FAILURE TOUGHNESS**

91 It is known that a cracked brittle pipe fails when the stress intensity factor  $K_I$  at the crack front  
92 exceeds the fracture toughness  $K_{IC}$ . For pipes made of ductile materials, plasticity develops at the  
93 crack front, which eases the stress concentration at the crack front. As a result, the fracture  
94 toughness increases. Consequently, the total fracture toughness should consist of two parts as  
95 follows

$$96 \quad K_{IC} = K_{IC}^e + K_{IC}^p \quad (1)$$

97 where  $K_{IC}^e$  is the elastic portion of the total fracture toughness  $K_{IC}$ , termed elastic fracture  
98 toughness, whereas  $K_{IC}^p$  is the plastic portion, referred to as plastic fracture toughness in this  
99 paper. To enable the use of LEFM for fracture analysis of ductile materials,  $K_{IC}^e$  can be extracted  
100 from the total fracture toughness and denoted as follows

$$101 \quad K_{IC}^e = \alpha K_{IC} \quad (2)$$

102 where  $\alpha$  is defined as the ratio of the elastic fracture toughness to the total fracture toughness. By  
103 subtracting the elastic fracture toughness from Eq. (1), the plastic fracture toughness  $K_{IC}^p$  can be  
104 determined as follows

$$105 \quad K_{IC}^p = (1 - \alpha)K_{IC} \quad (3)$$

106 With this separation, the following failure criteria in LEFM can still be used to assess the fracture  
107 conditions of ductile metal pipes

$$108 \quad K_I \leq K_{IC}^e \quad (4)$$

109 Therefore, the key to the application of LEFM for ductile materials is to determine  $\alpha$ .

110 For ductile metal pipes, failures often occur due to the interaction between two principal failure  
111 modes, i.e., brittle fracture and plastic collapse. Two parameters have been employed to quantify  
112 the two failure modes separately in the structural integrity assessment of cracked pipes as follows  
113 (Milne et al. 1988)

$$114 \quad \begin{aligned} K_r &= K_I/K_{IC} \\ L_r &= P/P_L \end{aligned} \quad (5)$$

115 where  $P$  is the applied loading and  $P_L$  is the corresponding plastic load limit of the cracked pipes.

116 Based on experimental results, a relationship between  $K_r$  and  $L_r$  has been developed as follows  
117 (SINTAP 1999)

$$118 \quad K_r = (1 + 0.5L_r^2)^{-0.5} [0.3 + 0.7 \exp(-0.65L_r^6)] \quad (6)$$

119 where the maximum value of  $L_r$  is defined as  $\bar{\sigma}/\sigma_y$ ,  $\sigma_y$  is the yield stress and  $\bar{\sigma}$  is the uniaxial  
120 flow stress, calculated as the average of the yield and ultimate tensile strengths. Equation (6) has  
121 been widely used by researchers and practitioners as the failure assessment of cracked structures.

122 It needs to be noted that Equation (6) is the only relation between  $K_r$  and  $L_r$  as shown in literature  
123 (SINTAP 1999). Thus Equation (6) is employed as the basis for the derivation of the elastic  
124 fracture toughness for circumferentially cracked ductile metal pipes.

## 125 **DERIVATION OF ELASTIC FRACTURE TOUGHNESS**

126 Equation (6) establishes the relation among stress intensity factors  $K_I$ , fracture toughness  $K_{IC}$ ,  
127 applied external loading  $P$  and plastic load limits  $P_L$  for cracked pipes. The Mode I fracture  
128 toughness of a certain metal  $K_{IC}$  can be determined using ASTM standard testing method (ASTM  
129 E1820-01). In this section, the formula for stress intensity factor  $K_I$  assuming linear elastic  
130 material are first derived by regression analyses based on finite element results. Then the  
131 analytical solution to plastic limit load  $P_L$  developed by Kim et al. (2003) is employed for  
132 circumferentially cracked pipes under combined axial tension and bending. Finally, the analytical  
133 model of elastic fracture toughness is developed with the consideration of different combinations  
134 of axial tension and bending.

135 **Formula for Stress Intensity Factor (SIF).** As it is known, the axial tension and bending forces  
136 only result in the opening mode (Mode I) fracture with a circumferential external surface crack in  
137 pipes (Figure 1). The Mode I SIFs for any point along the crack front can be expressed as follows  
138 (Raju and Newman 1982)

$$139 \quad K_I = \sigma \sqrt{\pi a/Q} F_I(a/d, a/c, d/R_i, \varphi) \quad (7)$$

140 where  $\sigma$  is the applied stress, which can be  $\sigma_a$  induced by axial tension  $N$  or  $\sigma_b$  induced by  
141 bending  $M$ ,  $a$  is the crack depth,  $Q$  is the shape factor for an ellipse,  $c$  is half crack length,  $d$  and  
142  $R_i$  are the thickness and internal radius of the pipe respectively,  $\varphi$  is used to define the position  
143 along the semi-elliptical crack and  $F_I$  is the influence coefficient for Mode I fracture, as a  
144 function of the above parameters.

145 In order to obtain a general formula for pipes with circumferential surface cracks, three-  
 146 dimensional finite element analyses are performed using ABAQUS (2011) to obtain SIFs for a  
 147 wide range of crack and pipe geometries. The energy based  $J$ -integral method, which is path-  
 148 independent and can produce accurate results with relatively coarse meshes, is employed. In  
 149 addition, the meshing technique with mixed quadratic hexahedron and tetrahedron elements in Li  
 150 et al. (2016) is adopted. Due to the free surface effect (Pook 1993), stress intensity factors at the  
 151 surface points are estimated by extrapolation from the results close to the surface. As the critical  
 152 values of SIFs occur either at the surface or deepest point along the crack front, only the results  
 153 from these two locations are studied. Based on the finite element results, formulae of the  
 154 influence coefficients of SIFs for circumferential cracks in pipes under axial tension and bending  
 155 respectively are obtained by performing non-linear regression (MathWorks 2013) as follows

$$156 \quad F_I(\cdot) = \{h_1 + h_2(a/c) + [h_3 + h_4(a/c) + h_5(a/c)^2](a/d)^2 + [h_6 + h_7(a/c) + h_8(a/c)^2]$$

$$157 \quad (a/d)^4\} \exp(h_9(d/R_i)) \quad (8)$$

158 where the values of coefficient  $h_i$  ( $i = 1, 2, \dots, 9$ ) are listed in Table 1 for different loadings and  
 159 geometries ( $a/c$  ranges from 0.4-1.5,  $a/d$  ranges from 0.2-0.8, and  $d/R_i$  ranges from 0.1-1).  
 160 Equation (8) is within  $\mp 6\%$  of the finite element results, which is sufficiently accurate for  
 161 deriving  $K_{IC}^e$ .

162 For pipes under a combination of axial tension and bending, the SIFs can be calculated based on  
 163 the principle of superposition (Anderson 1991)

$$164 \quad K_I = \sqrt{\pi a/Q} [\sigma_a F_I(N) + \sigma_b F_I(M)] \quad (9)$$

165 where  $F_I(N)$  and  $F_I(M)$  are the influence coefficients for pipes under tension and bending  
 166 respectively.

167 For pipes with a circumferential crack under axial tension  $N$  and bending  $M$ , the uniform axial  
 168 stress  $\sigma_a$  and maximum bending stress  $\sigma_b$  can be represented as follows

$$169 \quad \begin{aligned} \sigma_a &= \frac{N}{\pi(R_o^2 - R_i^2)} \\ \sigma_b &= \frac{4MR_o}{\pi(R_o^4 - R_i^4)} \end{aligned} \quad (10)$$

170 Substituting Equation (10) into Equation (9) yields the following

$$171 \quad K_I = \sqrt{\pi a/Q} \left[ \frac{N}{\pi(R_o^2 - R_i^2)} F_I(N) + \frac{4MR_o}{\pi(R_o^4 - R_i^4)} F_I(M) \right] \quad (11)$$

172 **Plastic Limit Load.** The majority of existing plastic load limits for pipes have been proposed  
 173 either empirically based on piping testing data or analytically based on a simple yield criterion.  
 174 These solutions tend to underestimate the actual limit load with unknown conservatism (Kim et  
 175 al. 2002). For engineering assessment of cracked pipes, it is important to accurately determine the  
 176 plastic limit loads. In this study, the analytical solution to the plastic load limit for pipe under  
 177 combined axial tension and bending is employed. The solution was derived by Kim et al. (2003)  
 178 based on stress fields equilibrium and improved by finite element limit analyses as follows

$$179 \quad \frac{N}{2\pi\sigma_y R_m d} \frac{N'_L}{N_L} = 1 - \frac{a\theta}{d^4} - \frac{2\sin^{-1}\left(\frac{M}{4\sigma_y R_m^2 d} \frac{M'_L}{M_L} + \frac{af(\theta)}{d^2\theta}\right)}{\pi} \quad (12)$$

180 where

$$N'_L = 2\pi\sigma_y R_m d \left[ 1 - \frac{a\theta}{d^4} - \frac{2\sin^{-1}\left(\frac{af(\theta)}{d^2\theta}\right)}{\pi} \right]$$

$$181 \quad M'_L = 4\sigma_y R_m^2 d \left[ \cos\left(\frac{\pi a}{8d}\theta\right) - \frac{af(\theta)}{d^2\theta} \right]$$

$$182 \quad f(\theta) = 0.7854\theta^2 - 0.0982\theta^4 + 0.0041\theta^6 - 0.000085\theta^8$$

$$183 \quad \theta = \frac{c}{R_m}$$



184  $N_L$  is the plastic limit load for axial tension and given by

$$185 \quad N_L = 2\pi\sigma_y R_i d \left[ 1 + A_1 \left(\frac{a}{d}\right) + A_2 \left(\frac{a}{d}\right)^2 \right] \quad (13)$$

$$186 \quad \text{where} \quad A_1 = 0.066 - 0.038 \left(\frac{\theta}{\pi}\right) - 0.960 \left(\frac{\theta}{\pi}\right)^2$$

$$187 \quad A_2 = -0.060 - 1.525 \left(\frac{\theta}{\pi}\right) + 1.427 \left(\frac{\theta}{\pi}\right)^2$$

188  $M_L$  is the plastic limit load for bending and given by

$$189 \quad M_L = 4\sigma_y R_i^2 d \left[ 1 + B_1 \left(\frac{a}{d}\right) + B_2 \left(\frac{a}{d}\right)^2 \right] \quad (14)$$

$$190 \quad \text{where} \quad B_1 = 0.074 - 0.169 \left(\frac{\theta}{\pi}\right)$$

$$191 \quad B_2 = -0.086 - 1.013 \left(\frac{\theta}{\pi}\right)$$

192 When the axial tension is constant, the plastic limit bending moment can be expressed as follows

$$193 \quad M_L^N = 4\sigma_y R_m^2 d \frac{M_L}{M_L'} \left\{ \sin \left[ \frac{\pi}{2} \left( 1 - \frac{a}{d} \frac{\theta}{4} - \frac{N}{2\pi\sigma_y R_m d} \frac{N_L'}{N_L} \right) \right] - \frac{a}{d} \frac{f(\theta)}{2\theta} \right\} \quad (15)$$

194 When the axial tension increases linearly with the bending moment, let the ratio of axial tension  
195 to bending moment be defined as follows

$$196 \quad \xi = \frac{\sigma_a}{\sigma_b} = \frac{N(R_o^2 + R_i^2)}{4MR_o} \quad (16)$$

197 Substituting Equation (16) into Equation (12), the plastic limit bending moment can be obtained  
198 as follows

$$199 \quad M_L^N(\xi) = 4\sigma_y R_m^2 d \frac{M_L}{M_L'} \left\{ \sin \left[ \frac{\pi}{2} \left( 1 - \frac{a}{d} \frac{\theta}{4} - \frac{4M_L^N R_o \xi}{2\pi\sigma_y R_m d (R_o^2 + R_i^2)} \frac{N_L'}{N_L} \right) \right] - \frac{a}{d} \frac{f(\theta)}{2\theta} \right\} \quad (17)$$

200 whereas the corresponding plastic limit tension is as follows

$$201 \quad N_L^M(\xi) = \frac{4M_L^N R_o \xi}{R_o^2 + R_i^2} \quad (18)$$

202 It should be noted that  $M_L^N$  in Equation (17) cannot be solved analytically and iterative methods  
203 such as Newton' method are needed.

204 **Elastic Fracture Toughness for Circumferential External Cracks.** For circumferentially  
205 cracked pipes under constant axial tension and varying bending moment, substitute Equations  
206 (11) and (15) into Equation (5) and it becomes

$$207 \quad \frac{K_r K_{IC} \sqrt{\pi Q} (R_o^4 - R_i^4)}{\sqrt{a}} - N(R_o^2 + R_i^2) F_I(N) = 4M R_o F_I(M)$$

$$L_r = \frac{M}{M_L^N} = \frac{M}{4\sigma_y R_m^2 d \frac{M_L}{M_L'} \left\{ \sin \left[ \frac{\pi}{2} \left( 1 - \frac{a\theta}{d^4} - \frac{N}{2\pi\sigma_y R_m d N_L} \right) \right] - \frac{af(\theta)}{d} \frac{d\theta}{2\theta} \right\}}$$

$$(19)$$

208 In Equation (19), by dividing the first equation about  $K_r$  by the second equation about  $L_r$ ,  $L_r$  can  
209 be expressed as follows

$$210 \quad L_r = \frac{K_r K_{IC} \sqrt{\pi Q} (R_o^4 - R_i^4) - \sqrt{a} N (R_o^2 + R_i^2) F_I(N)}{4R_o \sqrt{a} F_I(M) \left\{ 4\sigma_y R_m^2 d \frac{M_L}{M_L'} \left\{ \sin \left[ \frac{\pi}{2} \left( 1 - \frac{a\theta}{d^4} - \frac{N}{2\pi\sigma_y R_m d N_L} \right) \right] - \frac{af(\theta)}{d} \frac{d\theta}{2\theta} \right\} \right\}}$$

$$(20)$$

211 By solving Equations (6) and (20) simultaneously, the critical value of  $K_r$  at the fracture, denoted  
212 as  $K_{rc}$ , can be derived as follows

$$213 \quad K_{rc} = \left( 1 + 0.5 \left( \frac{K_{rc} K_{IC} \sqrt{\pi Q} (R_o^4 - R_i^4) - \sqrt{a} N (R_o^2 + R_i^2) F_I(N)}{4R_o \sqrt{a} F_I(M) \left\{ 4\sigma_y R_m^2 d \frac{M_L}{M_L'} \left\{ \sin \left[ \frac{\pi}{2} \left( 1 - \frac{a\theta}{d^4} - \frac{N}{2\pi\sigma_y R_m d N_L} \right) \right] - \frac{af(\theta)}{d} \frac{d\theta}{2\theta} \right\} \right\}} \right)^2 \right)^{-0.5}$$

$$214 \quad \left[ 0.3 + 0.7 \exp \left( -0.65 \left( \frac{K_{rc} K_{IC} \sqrt{\pi Q} (R_o^4 - R_i^4) - \sqrt{a} N (R_o^2 + R_i^2) F_I(N)}{4R_o \sqrt{a} F_I(M) \left\{ 4\sigma_y R_m^2 d \frac{M_L}{M_L'} \left\{ \sin \left[ \frac{\pi}{2} \left( 1 - \frac{a\theta}{d^4} - \frac{N}{2\pi\sigma_y R_m d N_L} \right) \right] - \frac{af(\theta)}{d} \frac{d\theta}{2\theta} \right\} \right\}} \right)^6 \right) \right] \quad (21)$$

215 Similarly, for circumferentially cracked pipes under combined axial tension and bending with a  
 216 constant ratio, the relationship between  $K_r$  and  $L_r$  can be expressed as follows

$$217 \quad L_r = \frac{\sqrt{\pi Q} K_r K_{IC} (R_o^4 - R_i^4)}{4\sqrt{a} R_o [\xi F_I(N) + F_I(M)] M_L^N(\xi)} \quad (22)$$

218 By solving Equations (6) and (22) simultaneously, the critical value  $K_{rc}$  of  $K_r$  for cracked pipes  
 219 under bending at fracture can be derived as follows

$$220 \quad K_{rc} = \left( 1 + 0.5 \left( \frac{\sqrt{\pi Q} K_r K_{IC} (R_o^4 - R_i^4)}{4\sqrt{a} R_o [\xi F_I(N) + F_I(M)] M_L^N(\xi)} \right)^2 \right)^{-0.5} \cdot$$

$$221 \quad \left[ 0.3 + 0.7 \exp \left( -0.65 \left( \frac{\sqrt{\pi Q} K_r K_{IC} (R_o^4 - R_i^4)}{4\sqrt{a} R_o [\xi F_I(N) + F_I(M)] M_L^N(\xi)} \right)^6 \right) \right] \quad (23)$$

$$222 \quad \text{where} \left\{ \left( 1 + 0.5 \left( \frac{\bar{\sigma}}{\sigma_y} \right)^2 \right)^{-0.5} \left[ 0.3 + 0.7 \exp \left( -0.65 \left( \frac{\bar{\sigma}}{\sigma_y} \right)^6 \right) \right] \right\} \leq K_{rc} \leq 1.$$

223 When the critical state of pipe failure is reached, the stress intensity factor  $K_I$  for the brittle  
 224 fracture will become the elastic critical limit, i.e., elastic fracture toughness  $K_{IC}^e$ , and  $K_{rc}$  becomes  
 225 the ratio  $\alpha$  defined in Equation (2). It follows that  $K_{IC}^e$  can be expressed as follows

$$226 \quad K_{IC}^e = \alpha K_{IC} = K_{rc} K_{IC} \quad (24)$$

227 The plastic portion of the fracture toughness can be determined by subtracting the elastic portion  
 228 in the total fracture toughness

$$229 \quad K_{IC}^p = (1 - \alpha) K_{IC} = (1 - K_{rc}) K_{IC} \quad (25)$$

230 From Equations (21), (23) and (24), it can be seen that  $K_{IC}^e$  is a function of crack geometry,  
 231 material properties and loading conditions of the cracked pipe. It should be noted that for  
 232 engineering assessment elastic fracture toughness  $K_{IC}^e$  is the critical stress intensity factor

233 assuming the ductile metal pipe is brittle since the plastic behaviour of the metal pipes has been  
234 taken into account in deriving  $K_{IC}^e$ .

## 235 **VERIFICATION AND DISCUSSIONS**

236 To verify the derived elastic fracture toughness, ideally experimental results should be employed  
237 for comparison. However, a thorough literature review suggests that this is extremely difficult.  
238 Therefore, the derived model for elastic fracture toughness is verified indirectly by comparing  $K_r$   
239 with data available in literature. Miller (1984) and Staat and Vu (2013) summarized the burst test  
240 results of pipes with circumferential cracks. A close inspection of the published data from  
241 literature reveals that very limited data can be used for verification purposes. Most cracks  
242 considered have very low aspect ratios, which are out of the applicable range of the stress  
243 intensity factor model, and for some tests, no specific material property data were documented.  
244 Schulze et al. (1980) carried out tests on steel pipes with both artificially and fatigue induced  
245 circumferential cracks of various lengths. The  $K_r$  value of the failed cracked pipes is calculated  
246 from the derived model, i.e., Equation (19), and the ASME boiler and Pressure code (1974),  
247 which was often used to interpret the experimental results of cracked pipes (e.g. Brown and  
248 Zybenko 1981). The results are shown in Table 2, from which it can be seen that satisfactory  
249 agreement has been achieved. The difference in the results might be caused by different finite  
250 element models employed and possible variation in determining and formulating the stress  
251 intensity factors  $K_I$  via non-linear regression respectively, as the same  $K_{IC}$  is used.

252 The results from Raju and Newman (1986) are also used for comparison of the stress intensity  
253 factors and good agreement has been achieved with a maximum difference of 5.8%. In addition,  
254 the plastic load limit has been verified by comparison with results from finite element fracture  
255 analyses (Kim et al. 2003) while Equation (6) has been derived as a lower bound of the failure  
256 assessment diagrams obtained based on the reference stress approach (SINTAP 1999), which will  
257 provide some safety margin for assessment.

258 Using the derived model, the structural failure of pipes with circumferential external surface  
259 cracks under combined tension and bending can be assessed by Equation (4), in which  $K_I$  and  $K_{IC}^e$   
260 are calculated by Equations (11) and (24) respectively.

261 To demonstrate the application of the derived model for elastic fracture toughness, a pressurized  
262 pipe with closed ends under bending is taken as an example. In this case, the axial tension  
263 induced by internal pressure  $p$  is constant for given internal pressure. Consider a steel pipe with a  
264 circumferential external crack of  $a/c = 0.4$ ,  $a/d = 0.75$ ,  $d/R_i = 0.1$  and  $a = 3$ . When the  
265 internal pressure  $p=10\text{MPa}$ ,  $K_{rc}$  is 0.839 at the deepest point after solving Equation (21). From  
266 Equation (21), it can be seen that the constant axial tension affects the critical limit of  $K_r$  at  
267 fracture. Figure 2 shows the effect of the internal pressure induced tension force on the elastic  
268 fracture toughness. It can be seen that the elastic fracture toughness increases with the increase of  
269 the internal pressure of the pipe, which indicates that a higher internal pressure results in a  
270 smaller portion of fracture resistance offered by plastic deformation.

271 Equation (6) can be employed to plot the  $K_r$ - $L_r$  curve for parametric study. For given cracked  
272 pipes under combined loading,  $K_r$  and  $L_r$  can be determined by Equations (20) or (22) for the  
273 deepest and surface points. In the following analyses, only the deepest points are considered.  
274 Similar analyses can be carried out for surface points, which are omitted here. From Equations  
275 (20) and (22), it can be seen that  $K_r$  and  $L_r$  exhibit a linear relationship for given combined  
276 loadings. For the case with constant axial tension and varying bending, the amount of axial force  
277 controls the slope of the  $K_r$ - $L_r$  line and the intersection point between the  $K_r$ - $L_r$  line and the  $K_r$   
278 axis. Take the internal pressure induced constant axial tension for example, as shown in Figure 3  
279 a), increasing the internal pressure will decrease the slope of  $K_r$ - $L_r$  line but will increase the  $K_r$   
280 value at the intersection. When there is no internal pressure in the pipe, the  $K_r$ - $L_r$  line starts from  
281 the origin. However, for the case with a constant ratio of axial tension and bending, the  $K_r$ - $L_r$  line

282 is similar to the case under single loading, in which the line starting from origin as shown in  
283 Figure 3 b).

284 Figures 4 and 5 demonstrate the effect of material properties on failure mode at the deepest point  
285 for a given cracked pipe under bending and constant axial tension. The fracture toughness varies  
286 from  $50 \text{ MPa}/\sqrt{\text{m}}$  to  $110 \text{ MPa}/\sqrt{\text{m}}$  as shown in Figure 4 while the yield strength changes from  
287  $250 \text{ MPa}$  to  $650 \text{ MPa}$  as shown in Figure 5. It can be observed that for given pipe and crack  
288 geometry, the larger the fracture toughness of the pipe material is, the higher portion of plastic  
289 deformation the pipe will endure, which means the pipe will fail in a more plastic manner. This  
290 makes sense from both the theoretical analysis and practical observation. Similarly, the larger the  
291 yield strength of the pipe material is, the higher portion of the brittle fracture the pipe will offer,  
292 which indicates that the pipe will fail in a more brittle way. Again, this is consistent with practical  
293 experience.

294 Since the relative crack depth  $a/d$  is a critical factor that affects the pipe failure its effect on  
295 failure mode has also been investigated. As shown in Figure 6, when the relative depth increases  
296 from 0.2-0.8, the pipe material exhibits more brittleness. This can be explained by the amount of  
297 materials ahead of the crack front. Larger relative depth means less material to develop plastic  
298 deformation. Therefore, the pipe failure will tend to be at a lower level of plasticity.

## 299 **CONCLUSIONS**

300 An analytical model of elastic fracture toughness for ductile metal pipes with circumferential  
301 external surface cracks under combined axial tension and bending has been derived in this paper.  
302 The derived elastic fracture toughness is a function of crack geometry, material properties and  
303 loading conditions of the cracked pipe. One of the benefits for deriving elastic fracture toughness  
304 is that the well established linear elastic fracture mechanics can be used for ductile materials in  
305 predicting the fracture failure. It has been found in the paper that the elastic fracture toughness

306 increases with the increase of the internal pressure of the pipe, which indicates that a higher  
307 internal pressure results in a smaller portion of fracture resistance offered by plastic deformation.  
308 It has also been found that an increase in fracture toughness and yield strength of the pipe  
309 materials will result in a more ductile and brittle pipe failure respectively and that an increase in  
310 the relative crack depth will lead to less plastic deformation development in the pipe before  
311 failure. It can be concluded that the derived analytical model enables more accurate prediction of  
312 fracture failure of ductile metal pipes with circumferential external cracks.

### 313 **ACKNOWLEDGMENTS**

314 Financial support from Australian Research Council under DP140101547, LP150100413 and  
315 DP170102211 is gratefully acknowledged.

316 **NOMENCLATURE**

317	$a$	depth of a semi-elliptical surface crack
318	$A_1, A_2$	coefficient functions for plastic limit load for axial tension
319	$B_1, B_2$	coefficient functions for plastic limit load for bending
320	$c$	half-length of surface semi-elliptical crack
321	$d$	wall thickness of a pipe
322	$f$	coefficient functions for plastic limit load
323	$F_I$	influence coefficient functions for Mode I
324	$h_i$	coefficients of influence coefficient functions
325	$K_I$	stress intensity factor for Mode I
326	$K_{IC}$	fracture toughness for Mode I
327	$K_{IC}^e, K_{IC}^p$	elastic and plastic fracture toughness for Mode I
328	$K_r$	ratio for measuring brittle fracture
329	$K_{rc}$	critical limit of $K_r$
330	$L_r$	ratio for measuring plastic collapse
331	$M$	bending moment
332	$M_L, M'_L$	plastic limit load for bending under Mises and Tresca conditions respectively
333	$N$	axial tension
334	$N_L, N'_L$	plastic limit load for axial tension under Mises and Tresca conditions respectively
335	$p$	internal pressure
336	$P$	applied external loading
337	$P_L$	plastic limit load of cracked pipes
338	$Q$	elliptical integral of the second kind
339	$R_i, R_o, R_m$	internal, external and mean radii of a pipe
340	$\alpha$	ratio of the elastic fracture toughness to the total fracture toughness



341	$\theta$	half of total crack angle for circumferential cracks, $\theta = c/R_m$
342	$\xi$	ratio of axial tension to bending moment
343	$\bar{\sigma}$	uniaxial flow stress
344	$\sigma_a, \sigma_b$	axial stresses induced by axial tension and bending respectively
345	$\sigma_y$	uniaxial lower yield stress
346	$\varphi$	position of a point along the semi-elliptical crack
347		

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420 LIST OF TABLES

421 1. Values of coefficient  $h_i$  in Equation (8)

422 2. Comparison of  $K_r$  for the deepest point between the derived model and those from the ASME  
423 boiler and Pressure code (1974)

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**Table 1** Values of coefficient  $h_i$  in Equation (8)

<i>Coefficients</i>	<i>Under tension</i>		<i>Under bending</i>	
	<i>Deepest</i>	<i>Surface</i>	<i>Deepest</i>	<i>Surface</i>
$h_1$	1.086	0.550	0.999	0.566
$h_2$	-0.052	0.568	-0.036	0.530
$h_3$	2.168	-0.545	2.827	-0.038
$h_4$	-3.898	2.518	-5.938	0.724
$h_5$	1.680	-1.325	2.768	-0.290
$h_6$	-1.778	0.942	-2.460	0.362
$h_7$	3.667	-2.355	5.382	-0.600
$h_8$	-1.708	1.183	-2.615	0.143
$h_9$	0.046	0.021	0.109	0.059

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431 **Table 2** Comparison of  $K_r$  for the deepest point between the derived model and those from the  
 432 ASME Boiler and Pressure code (1974)

$R_i$ (mm)	$a$ (mm)	$\frac{a}{c}$	$\frac{a}{d}$	$K_{IC}$ (MPa/ $\sqrt{m}$ )	N (kN)	M (kNm)	$K_r$ (ASME Boiler and Pressure code 1974)	$K_r$ (Derived model)	Error (%)
33.5	3	0.25	0.75	98	0	9.1	0.84	0.80	5
33.5	3	0.25	0.75	98	35	8.2	0.85	0.78	8

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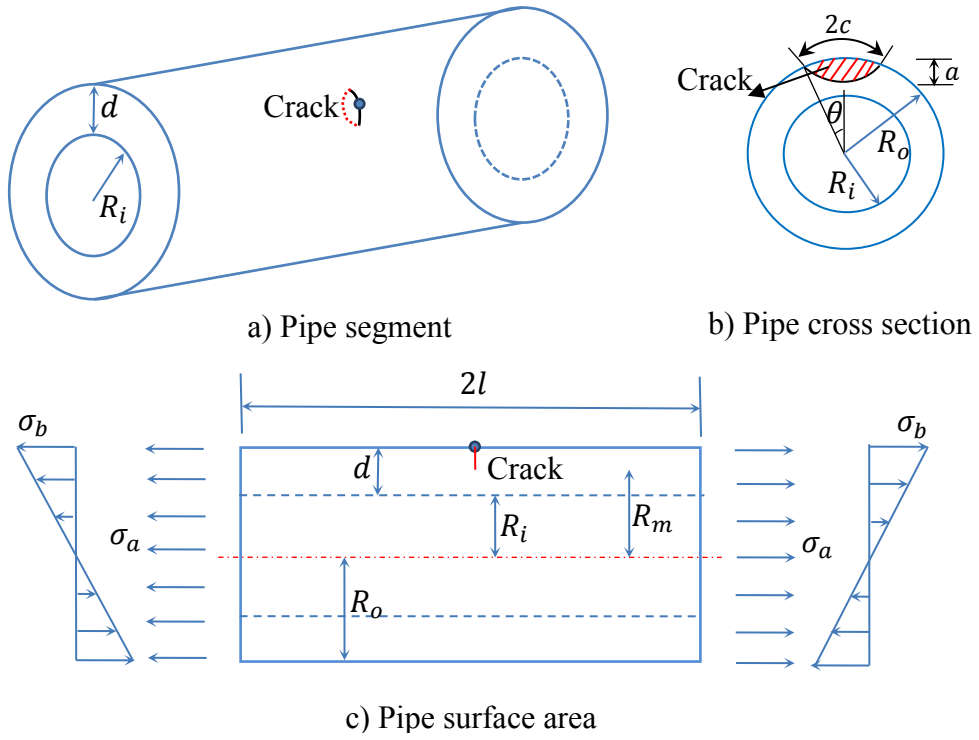
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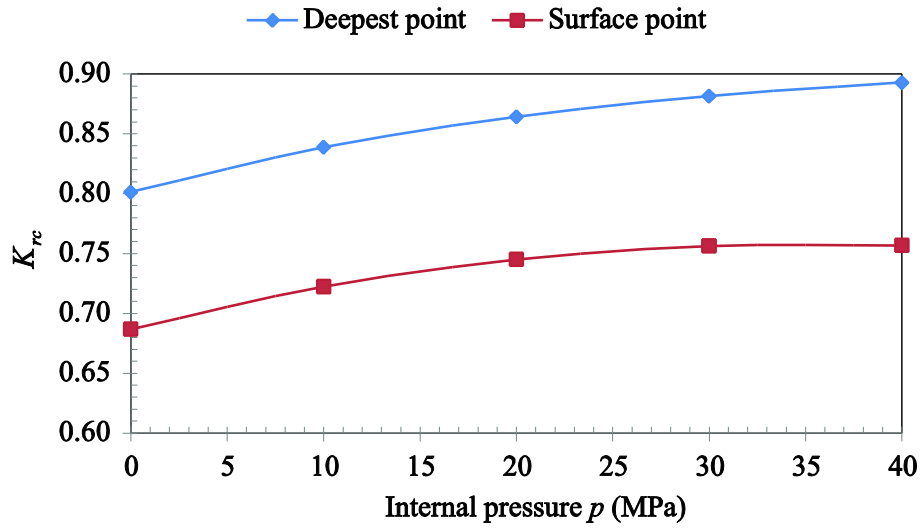
445 **Figure 1** A pipe with a circumferential external surface crack subjected to axial tension and  
446 bending

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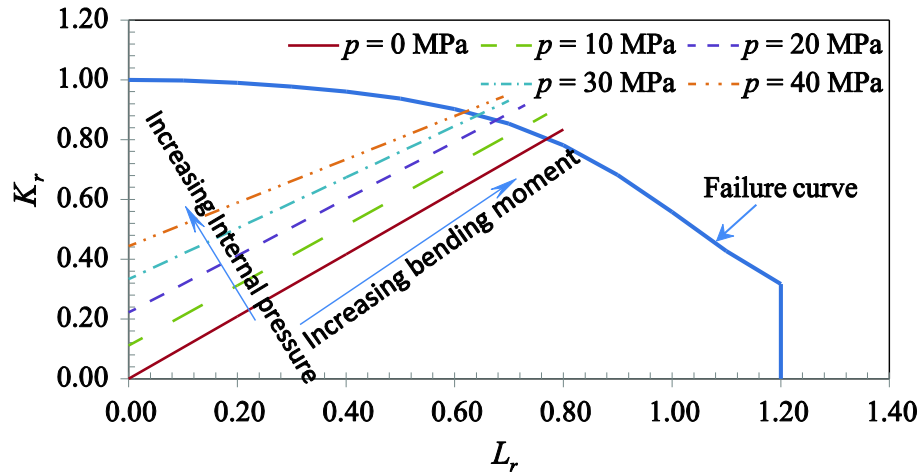
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**Figure 2** Effect of internal pressure induced axial tension  $N$  on the  $K_{rc}$

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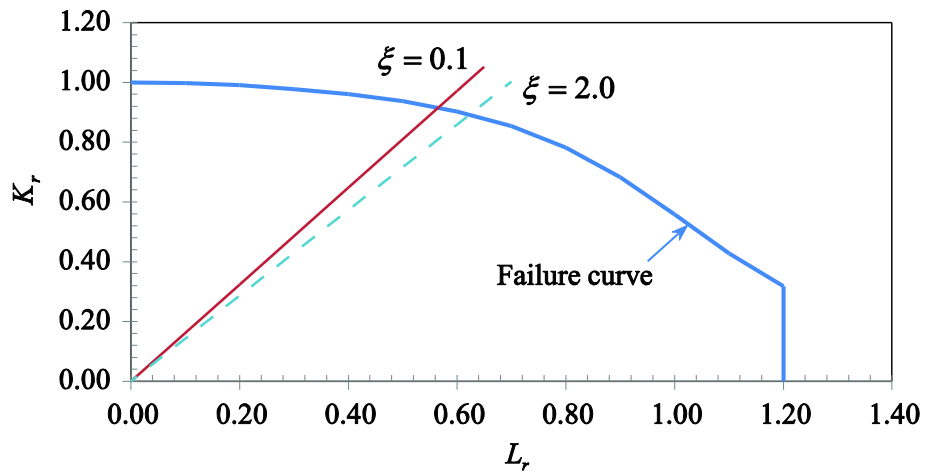
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a) A pressurized pipe under bending



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b) A pipe under combined axial tension and bending with a constant ratio

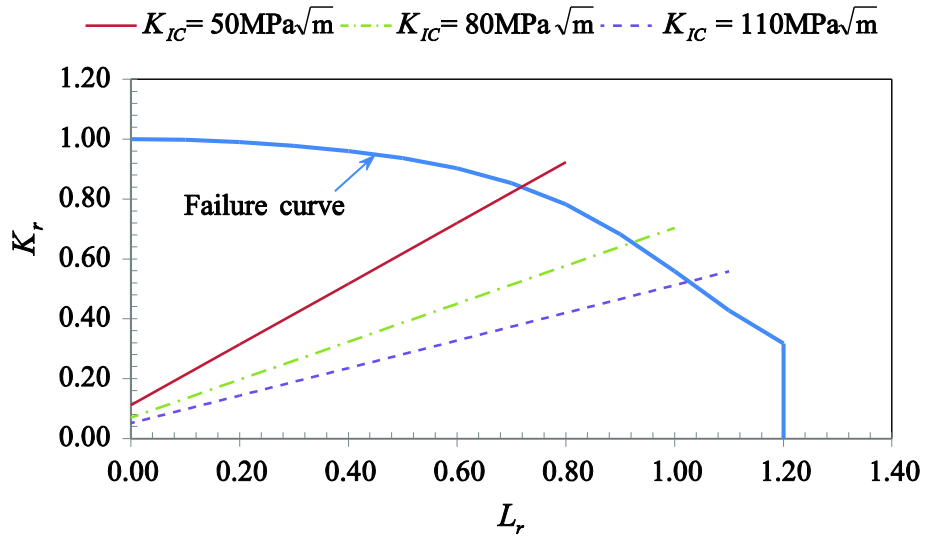
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**Figure 3** Failure assessment diagram for the deepest point

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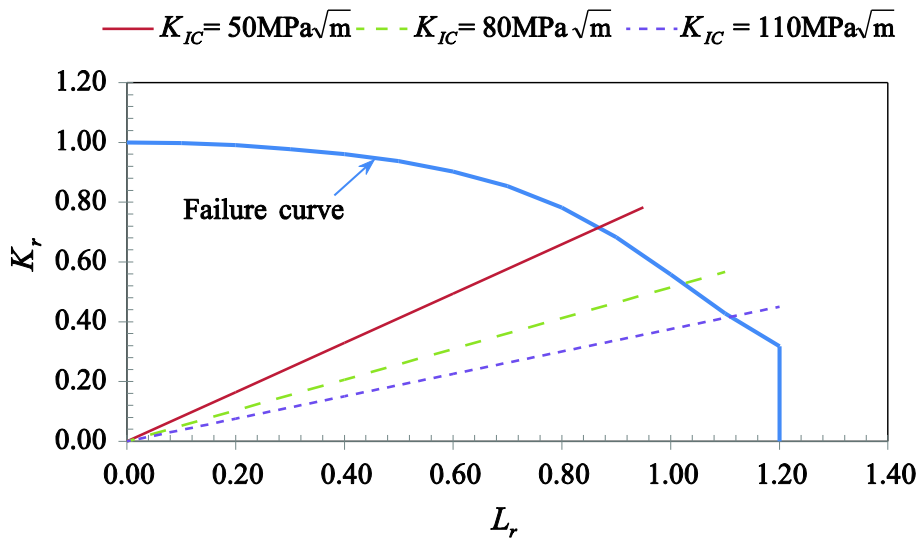
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a) Case with constant axial tension and varying bending



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b) Case with a constant ratio of axial tension to bending

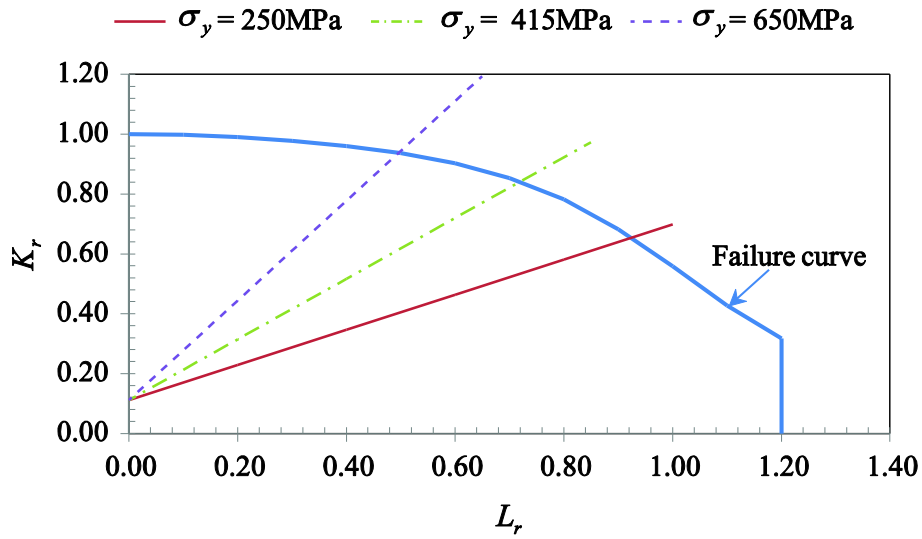
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**Figure 4** Effect of fracture toughness on pipe failure at the deepest point

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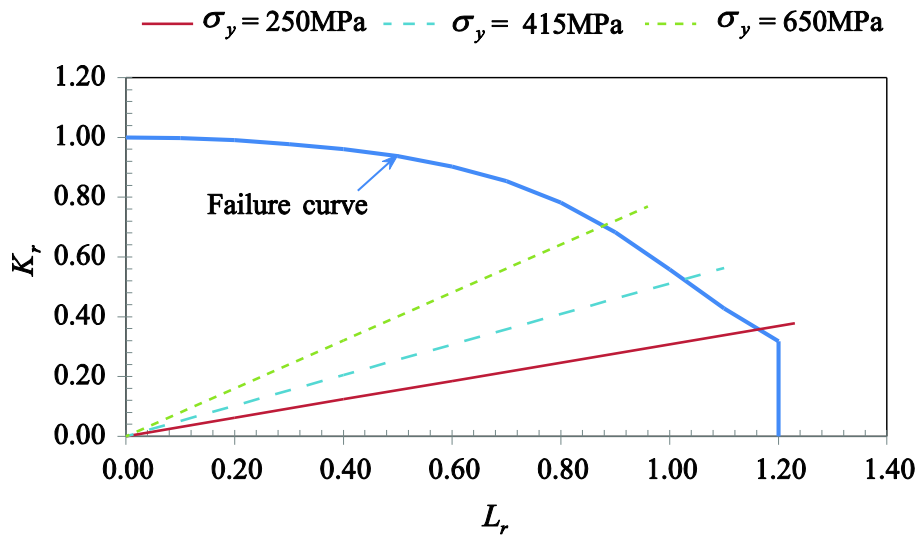
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a) Case with constant axial tension and varying bending



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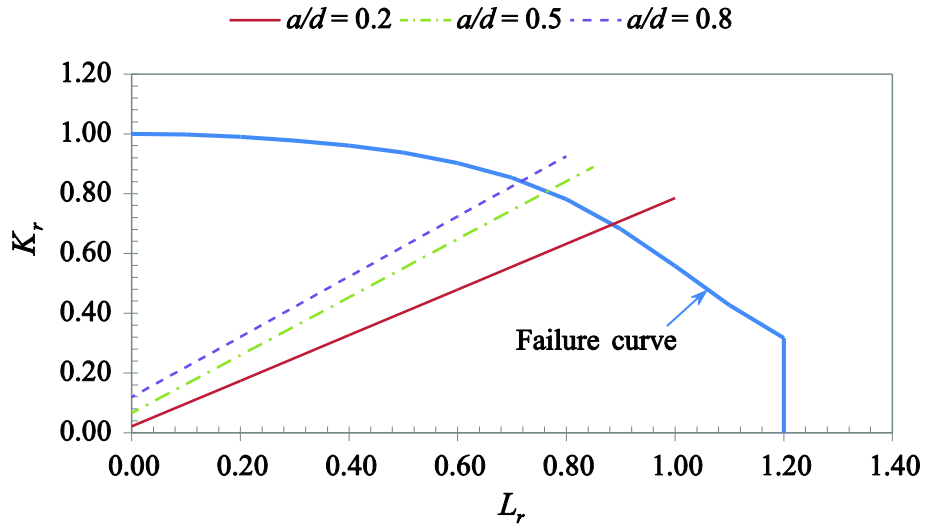
b) Case with a constant ratio of axial tension to bending

475 **Figure 5** Effect of yield strength on pipe failure at the deepest point

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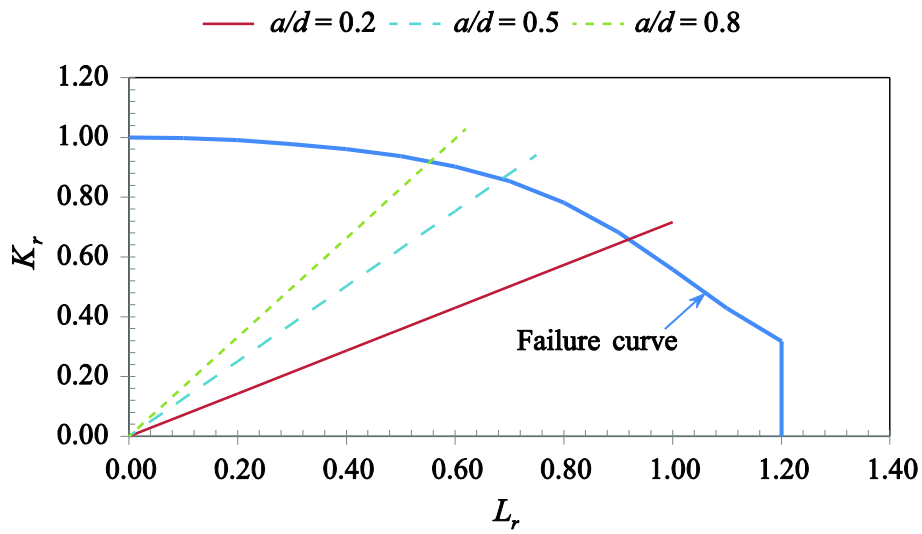
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a) Case with constant axial tension and varying bending



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b) Case with a constant ratio of axial tension to bending

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**Figure 6** Effect of relative crack depth  $a/d$  on pipe failure at the deepest point

$y$