LESSONS FROM HILDA: A LARGE-SCALE EXPERIMENTAL INVESTIGATION OF STEEL FRICTION STIR WELDING FOR SHIPBUILDING

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SYNOPSIS

Friction stir welding of steel presents an array of advantages across many industrial sectors compared to conventional fusion welding techniques. Preliminary studies have identified many positive effects on the properties of welded steel components. However, the fundamental knowledge of the process in relation to structural steel remains relatively limited, hence industrial uptake has been essentially non-existent to this date. Wider introduction of friction stir welding of steel in industry will require that the process becomes economically and technically competitive to traditional fusion welding methods, a condition primarily expressed as high speed welding of acceptable quality within specifications. The European-funded research project HILDA (High Integrity Low Distortion Assembly), the first of its kind in terms of breadth and depth, is concerned with enhancing the understanding of the process on low alloy steel and establishing its limits in terms of the two more significant parameters which can be directly controlled, tool traverse and rotational speed.

For this purpose, a large-scale microstructure and property evaluation of friction stir welded low alloy steel grade DH36 plates commonly used in shipbuilding and marine applications has been undertaken. In this comprehensive study, steel plates of 2000 x 200 x 6 mm were butt welded together at gradually increasing tool traverse and rotational speeds trialling the outer boundaries of the process envelope and generating an extensive data set to account for a wide range of typical and atypical process parameters. A detailed microstructural characterisation study has investigated the effect of varying process parameters on the formed microstructure, and assessed the quality of each weld. In parallel, transverse tensile tests were performed on samples from each set of weld parameters to determine their tensile properties. This work was complemented by Charpy impact testing and micro-hardness testing in various weld regions. An in-depth fatigue performance assessment of steel joints has been implemented by employing a novel set of experimental procedures specific to friction stir welding drafted in collaboration with classification societies. The relevant study correlated the weldments' fatigue behaviour to microstructural observations, hardness measurements and fracture surface analysis.

The testing programme has examined a wide range of welding parameters and developed a preliminary process parameter envelope based on the outcomes of the microstructural evaluation and mechanical testing. Initial process parameter sets have been identified which may produce fast (in the region of 400-500 mm/min) welds of acceptable quality; this is a step change improvement to the currently employed welding traverse speeds for this process, thus promoting its technical competitiveness to conventional welding methods. Moreover, this step change in the technical viability of steel friction stir welding is seen to improve the impact toughness of the weld without compromising strength and hardness, as

demonstrated by the Charpy impact testing results and micro-hardness measurements. The typical fatigue performance of friction stir welded steel plates has been established, exhibiting fatigue lives well above the weld detail class of the International Institute of Welding for fusion welding even for tests at 90% of yield strength, irrespective of minor instances of surface breaking flaws which have been identified. Analysis of the manner in which these flaws impact on the fatigue performance has concluded that surface breaking irregularities such as these produced by the tool shoulder's features on the weld top surface can be the dominant factor for crack initiation under fatigue loading.

Keywords: Friction stir welding; Low alloy steel; Optimisation; Microstructure; Mechanical testing; Fatigue

INTRODUCTION

Friction stir welding (FSW) was originally introduced for welding low melting point materials such as aluminium and magnesium alloys and has now reached a sufficient level of maturity and industrial acceptance as these materials are concerned. However, the technology transfer to high melting point alloys and mainly steel is particularly appealing for many industries [1,2], e.g. the transportation sector, marine, shipbuilding and oil and gas industry. Research has compared the process to submerged arc welding (SAW) of steel grade DH36 in the context of shipbuilding operations and has reached highly positive conclusions on its technical viability [3]. Separate work has exhibited the feasibility of FSW on numerous steel grades [1,4] and highlighted improvements in the metallurgy (e.g. pronounced grain refinement [2]) and mechanical properties (such as overmatching of the parent metal [4]) of friction stir welds. In contrast to conventional fusion welding processes, the temperature of the welded steel is restricted in the solid phase. By avoiding the fusion and subsequent solidification of the alloy, FSW can deliver welds free of typical arc welding defects like porosity, solidification cracking and embrittlement [5].

Notwithstanding the above discussed advantages of FSW, the uptake of the process on steel for commercial applications is practically non-existent. Reynolds *et al.* [6] explain that steels do not typically suffer from weldability issues, therefore slightly reducing the stimulus for introduction of new processes other than the established fusion welding techniques. In addition, the process on steel is more complicated than on aluminium due to the extreme conditions experienced by the FSW tool for steel and the significantly higher flow stress of steels at elevated temperatures coupled with their reduced thermal diffusion coefficient [6].

The major obstacle which has emerged is the current tool technology and the high requirements on the tool material in particular [7]. The tool material to be used for FSW of high melting point metals is required to exhibit sufficient fracture toughness, wear resistance and chemical inertness with respect to the alloy to be welded, all at high temperatures [2,7]. Recent developments in tool technology have delivered hybrid tools consisting of polycrystalline boron nitride (pcBN) particles in a refractory metal (WRe) binder. Still, pcBN tools are presently expensive and demonstrate cracking and reduced (with respect to WRebased tools) yet considerable wear, thus are known to produce overall weld lengths that are too short (max. 40 m per tool) to be economically viable for any industry which may be assessing the introduction of the process [8,9]. The FSW tool is required to generate appreciable thermal energy and substantial mechanical stirring while operating in extreme

conditions. The present restrictions in tool design and material allow for only two steel joint configurations to be welded, i.e. butt and lap joints. Still, a fundamental requirement for many industries such as shipbuilding is the capability to produce fillet welds (T-joints).

Research studies have identified the current limitations of steel FSW and conclude that new developments in tool design and material, and the identification of relevant niche applications which will decisively benefit from the introduction of FSW are the two critical factors for wider industrial uptake of the process on steel [2,5,6,10]. Presently, the process remains overly immature and expensive for use outside experimental laboratories; it is far from becoming economically competitive to fusion welding methods, predominantly because of the issues associated with the FSW tool for steel [8], apart from very few cases that are insensitive to the high cost of the process when compared to the benefits involved, such as specific applications in the oil and gas industry.

Earlier studies on steel FSW were primarily concerned with establishing the feasibility of the process on diverse steel grades. More recently, the relevant research is mostly involved in investigating the relationship between varying welding parameters and resultant weld properties. Fraser *et al.* [11] reason that many articles report on the FSW process optimisation in terms of specific mechanical properties such as tensile strength or fatigue performance for niche applications. However, optimal properties are not the primary requirement for many industrial applications, particularly for large-scale manufacturing; the costs related to achieving properties above the minimum acceptable are not justifiable in these applications. In contrast, FSW needs to be optimised with regard to high welding speed of defect-free and acceptable quality welds, within specifications or classification society (class) rules in the case of shipbuilding and marine applications, rather than best possible mechanical properties for wider industrial introduction. This optimisation for high traverse speeds will be of interest to many industrial sectors where the highest possible welding speed is desirable in order to reduce production costs.

Few pertinent studies report on the effect of traverse speeds in the range of 200-300 mm/min on the evolved microstructure and resultant mechanical properties of structural steels, much less on process optimisation at higher speeds. Yet, their extent or thoroughness appears fairly restricted. One representative publication [12] employs 10 parameter sets, with a maximum traverse speed of 200 mm/min, in an optimisation study of the FSW of an advanced high strength steel (AHSS). Although the tensile shear test samples are seen to fracture in the heat affected zone, this research work [12] does not seek to enhance the tensile properties of this region through the evaluation of refined parameter sets. There are diverse optimisation studies for FSW of aluminium alloys including modelling, statistical analysis or experimental work, but most seem rather small scale projects when compared to the present work and therefore cannot be transferred into the FSW of steel.

Within the European research project HILDA, a comprehensive spread of low alloy steel grade DH36 friction stir butt welds of slow, intermediate and fast process parameters are thoroughly examined in order to determine a step change improvement to the currently accepted welding speeds and enhance the process's techno-economic potential for introduction in the shipbuilding industry. Since FSW of steel should become competitive to conventional fusion welding methods in terms of high traverse speeds (mm/min) of high integrity welds, this research work has implemented an optimisation study concerned with establishing the limits of the process with respect to the tool traverse speed and in parallel, the weld integrity is assessed by microstructural characterisation and mechanical property

evaluation. This extensive work reported herein will assist in developing the fundamental knowledge on FSW of steel.

EXPERIMENTAL PROCEDURES

Material and welding details

More than 200 single sided friction stir butt welds in 6 mm thick DH36 steel plate with original dimensions of 2000 mm x 200 mm were produced during the lifetime of the project. The plates were securely clamped to the machine bed and welded in the as received condition without any prior surface preparation. The details of the PowerStir FSW machine and the WRe-pcBN tools consistently employed for FSW are introduced in a previous work [13]. The low alloy steel grade DH36 nominal chemical composition is provided in Table 1. Out of this large-scale steel FSW project, 25 welds were fully characterised by microstructural examination and mechanical property testing as outlined below.

Table 1. Chemical composition of 6 mm thick DH36 steel (wt.%)

С	Si	Mn	Р	S	Al	Nb	Ν
0.11	0.37	1.48	0.014	0.004	0.02	0.02	0.002

Process parameter development programme

A broad parameter development programme was undertaken by varying the tool traverse and rotational speed. All other process parameters, e.g. tool tilt of 0°, and processing conditions (welding machine, backing plate, etc.) were maintained constant. The examined process parameters are spread into 3 traverse speed groups which will subsequently be referred to as "slow", "intermediate" and "fast". Initial trials were performed using the slow traverse speed of 100 mm/min; further welding was carried out by gradually increasing the traverse speed up to 500 mm/min, thereby substantially enhancing the economic competitiveness of steel FSW to traditional fusion welding methods for industrial applications.

Microstructural examination

Microstructural observations were correlated with the resultant mechanical properties of each weld and revealed minor process-related flaws which could impact on the welds' performance. The employed nomenclature regarding the microstructural regions within a typical friction stir weld has been discussed previously [13]; the advancing side of each friction stir weld is seen on the left side of all images.

Transverse tensile testing

Transverse tensile testing of 3 samples per weld was conducted according to ISO Standards [14] to identify the yield strength, ultimate tensile strength and position of fracture (parent material or weld metal) for each friction stir weld.

Hardness and impact toughness measurements

Weld zone micro-hardness measurements were recorded using an applied load of 200 gf at 1 mm x-y grid spacing and associated with microstructural observations and mechanical properties of each weld.

Standard V-notch Charpy impact testing [15] generated novel data on the impact toughness behaviour of friction stir welded low alloy steel. The notch axis of symmetry was manufactured perpendicular to the weld centreline. Three sets of 7 transversely sectioned (5 mm width reduced-section) samples furnished impact toughness data for the entire thermomechanically affected zone (TMAZ) width, commencing from the weld centreline and extending outwards in 1.5 mm increments [13]. The impact toughness data have been normalised to a 10 x 10 mm equivalent by a scaling factor of 3/2 in line with class rules [16].

Fatigue testing

Since the fatigue performance of welded components is of paramount importance in marine applications, 3 additional DH36 steel welds were manufactured using process parameters characteristic of the 3 welding traverse speed groups, i.e. slow, intermediate and fast (Table 2). An extensive set of fatigue assessment guidelines, specific to FSW, was drafted and executed due to the lack of pertinent testing standards. Within this all-inclusive examination, the weldments' yield strength and metallurgical features were recorded in support of the fatigue testing. Transverse fatigue sample sectioning and machining was performed with gradually decreasing depths of removed material to diminish any work hardening on the surfaces [17]. The samples' sides were polished longitudinally up to 0.2 μ m Ra surface finish [17] to minimised the effects of possible transverse machining marks, but the top and bottom surfaces were tested "as-received".

Weld reference	Traverse speed Rotational speed (mm/min) (rpm)		Stress range (% of YS)	Number of tested samples	
			90	10	
Intermediate	250	300	80	10	
		-	70	5	
Slow	100	200	80	8	
Fast	500	700	80	8	

Table 2. Fatigue samples per welding speed and stress range

As the primary consideration of the fatigue testing programme, the intermediate weld's high cycle fatigue performance (i.e. loading cycles to fracture above 10^5) was evaluated by testing samples in 3 stress ranges. Fatigue testing of the slow and fast welds in one stress range defined the impact of process parameters on the fatigue behaviour of steel FSW (Table 2). The nominal stresses applied during fatigue testing have been reported in a separate publication [18]; the consistent stress ratio and stress frequency used throughout this programme were 0.1 and 10 Hz respectively.

RESULTS AND DISCUSSION

Microstructural characterisation

The steel grade DH36 equiaxed ferrite / pearlite banded microstructure is shown in Fig. 1a. The slow traverse speeds (100-200 mm/min) generate a homogeneous and defect-free microstructure of highly refined ferrite grains (Fig. 1b), thus providing confidence in the mechanical integrity of such welds. Fine acicular shaped bainitic ferrites have formed in the

120 mm/min traverse speed weld (at 200 rpm tool rotational speed, Fig. 1c). This bainite content is seen to increase with higher welding traverse speeds. A separate study [19] observes an acicular bainitic ferrite microstructure in the TMAZ of friction stir welded API X100 steel for corresponding traverse speed and comments that bainitic ferrites form on the austenite grain boundaries. Moreover, the 200 mm/min traverse speed weld reveals an acicular ferrite rich microstructure (Fig. 1d).



Fig. 1. Microstructure of (a) DH36 parent material [x1000, Etched] and mid-TMAZ of (b) 100 mm/min [x1000, Etched]; (c) 120 mm/min [x1000, Etched]; (d) 200 mm/min [x1000, Etched]

The intermediate traverse speeds (250-400 mm/min) create an acicular bainitic ferrite-rich microstructure (Fig. 2a) with varying degrees of heterogeneity; the latter is illustrated in Fig. 2b. The relation of enhanced bainite constituent due to higher cooling rates developed by increasing traverse speed is well identified in Fig. 2a & b, both at 400 rpm rotational speed. The bainite rich regions reveal evidence of prior austenite grain boundaries (Fig. 2a).

The fast traverse speeds (450-500 mm/min) give rise to heterogeneous microstructures of



Fig. 2. Microstructure of mid-TMAZ [x1000, Etched] (a) 375 mm/min; (b) 275 mm/min



Fig. 3. Microstructure of mid-TMAZ at 500 mm/min [x1000, Etched] (a) 700 rpm; (b) 600 rpm

acicular ferrites and appreciably increased acicular bainitic ferrites, as higher cooling rates have been generated; the microstructural heterogeneity of two characteristic welds at 500 mm/min is displayed in Fig. 3. The bainite rich regions clearly disclose prior austenite grain

boundaries (Fig. 3b). Comparable fully acicular microstructure in the TMAZ of friction stir welded DH36 steel at 450 mm/min is observed in a prior study [4], where it is attributed to the phase transformation of austenite in high cooling rates.

The employed process parameters have not developed embedded flaws within the TMAZ which can adversely affect the welds' quality or mechanical performance, thus establishing that high speed FSW of steel is achievable at a wide range of traverse speeds. However, several intermediate and fast weld parameters have generated surface breaking flaws, either as incomplete fusion paths (also described as laps) on the top surface or minor unwelded sections of the original butted plate interface (hence flaws) at the weld root; both types have been thoroughly discussed in prior publications [13,18,20].

Transverse tensile properties

The slow and intermediate friction stir welds exhibit consistent fracture in the parent material (18 out of the 25 welds) during transverse tensile testing, thereby denoting higher strength than the DH36 base material, as typically reported in the relevant technical literature [2–4]. The associated tensile property data and the corresponding fracture surface analysis have been provided in a previous work [13]. The fatigue testing parameters were consistently computed using an average YS of 382 MPa.

The process is less tolerant to parameter variations at the high welding traverse speeds of 450 mm/min and 500 mm/min, where only one in 7 welds exhibits the above discussed ductile fracture behaviour. In contrast, most tensile samples fractured in the TMAZ through the top surface laps on the advancing side, or displayed unstable behaviour (fracture either inside the weld or the parent material), i.e. no steady state conditions have been attained.

Hardness distribution

The hardness of the weld region, irrespective of position, has been shown to increase with rising traverse speed [13,18]. This is an expected finding considering that higher traverse speeds develop higher cooling rates thus harder phases; the increasing bainite content with faster traverse speeds has been demonstrated in the microstructural characterisation above. Overall, the welds' hardness is higher than the base material with peaks either in the central TMAZ or towards the advancing side. The slow and intermediate welds exhibit moderately even spread of hardness values across the weld zone and this is reflected in their transverse tensile testing performance. Class rules for fusion welding require that the maximum hardness does not exceed 350 HV for steel grades such as DH36 [16]. All slow and intermediate welds apart from one would be deemed acceptable should they were assessed by fusion welding rules [16]. High hardness but still within class requirements [16] is recorded for only one weld of the fast group, i.e. the weld at 700 rpm which performed satisfactorily in the tensile testing programme.

Impact toughness investigation

Previous work [13] discussed the impact toughness distribution of 6 representative welds, most of which disclosed impact toughness lower than the parent material and the intermediate and fast welds demonstrated gradual increase of impact toughness from the outer TMAZ towards the weld centreline with a peak in the inner advancing side. The study [13] concluded that the welds' impact toughness increases with higher traverse speeds, thus

supporting the argument for high speed steel FSW, but this favourable effect was identified solely on the advancing side.

Further work concentrating on the high traverse speed welds of 500 mm/min (Table 3) reveals an impact toughness pattern which is in agreement with their tensile test results. The 5 welds are essentially separated into two distinct categories; the impact toughness of 3 welds is seen to be reduced with respect to the parent material, with a peak on the mid or inner advancing TMAZ. The same 3 welds exhibited consistent brittle fracture in the weld zone in the transverse tensile tests [13] and this decline in ductility, from originally ductile parent plate to brittle weld, has been detrimental to their toughness. In contrast, the two welds of this group (675 & 700 rpm) which showed higher strength than the parent plate or mixed tensile results demonstrate better impact toughness and analogous distribution, which matches the parent material on the inner advancing TMAZ (Table 3).

Weld rotational	A	dvancing side	Centreline	Retreating side			
speed (rpm)	4.5	3	1.5	0	-1.5	-3	-4.5
575	32.8	44.8	63.3	79.3	57.0	47.3	44.8
600	27.8	44.3	72.5	61.0	71.3	66.5	57.0
650	51.3	60.8	78.0	58.8	68.5	51.8	54.8
675	75.0	82.5	119.0	96.0	92.0	64.0	66.5
700	80.5	84.5	127.0	106.0	94.5	66.8	66.0
Parent material	123						

Table 3. Impact toughness distribution in the weld region for the 500 mm/min traverse speed welds (J at 20°C)

Fatigue performance assessment

The fatigue testing details, i.e. nominal stresses and plots of the experimental *S-N* data are introduced elsewhere [18]. The *S-N* data of the 3 welding speed samples at the 80% of YS stress range (Table 2) are provided in Fig. 4. Since no standards exist for the fatigue assessment of welds, this analysis compares these newly generated fatigue testing data for friction stir welded low alloy steel to the International Institute of Welding (IIW) recommendations for fusion welding in the shipbuilding and marine sectors [21]. The relevant IIW FAT 80 weld detail class for single sided butt welding defines that fracture should not occur within 2×10^6 cycles for a cyclic stress range of 80 MPa at 97.7% probability [21]. The FAT 80 class is also plotted in Fig. 4 (slope is 3 as per IIW) along with the lines for probability of survival at 97.7%, 50.0% (mean) and 2.3% of the present 3 friction stir welds.

Although there is a degree of scatter in the *S-N* data (Fig. 4) due to the diverse fatigue performance of the slow and fast welds, the three DH36 steel welds present 43% higher fatigue strength (114.5 MPa at 2×10^6 cycles for 97.7% probability of survival) than the FAT 80 class. Therefore, the fatigue performance of friction stir welded steel grade DH36 would be viewed as remarkably satisfactory should it be assessed by fusion welding specifications.



Fig. 4. S-N curve of the present work's FSW against the FAT 80 class (double logarithmic scale)

Fracture surface analysis

Table 4 summarises the fatigue samples' fracture location and crack initiation sites; the remaining tests were terminated on or above $2.5*10^6$ cycles without fracture. The intermediate weld's unique (24 of 25 samples) fracture location is identified as the outer retreating side, where fracture initiated from the top surface laps [18]. It has been discussed [18] that although discerned throughout the intermediate weld, these lap defects vary in terms of length and therefore significance, hence the relatively large scatter of the fatigue data (Fig. 4).

Weld reference	Tested samples	Fractured samples	Fracture position	Fracture initiation sites
Intermediate	25	24	Weld, RT side	Incomplete fusion paths, top surface
Slow	8	2	Weld, AD side	Incomplete fusion paths in this segment of the weld only (top surface)
Fast	8	5	Weld root	Weld root flaw
	0	3	Weld, AD side	Incomplete fusion paths, top surface

Table 4.	Overview	of fatique	fracture	locations	(RT:	retreating:	AD: a	advancing)
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In the slow weld, six tests were considered as run-outs, i.e. reaching beyond 2.5×10^6 cycles, therefore terminated without fracture. Two samples fractured before this point (at 4.2×10^5 and 1.1×10^6 cycles); the fracture initiation sites are established as the laps on the advancing side of the weld's top surface [18]. The fracture path is seen to have propagated from the fine-grained TMAZ towards the coarser-grained parent plate in a plane nearly perpendicular to

the top surface (Fig. 5); two more pronounced of the laps from which cracks did not propagate are marked in Fig. 5.



Fig. 5. Macrograph of slow weld sample's fracture path (side view) [Etched]



Fig. 6. Fast weld sample tested at 80% of YS (731,208 cycles to fracture)

The 8 fast weld samples present diverse fracture locations based on the dominant flaw having developed in each segment of the weld length. The fracture initiation site for 5 samples is seen at the weld root flaw and their fracture surfaces are analogous to the intermediate and slow fractured samples (Fig. 6). The fracture path has propagated transversely through the butted plates [18]. Cracks initiated for 3 more samples at the laps which had been formed on the advancing side of the weld's top surface and propagated through rarely seen minor embedded flaws on the same side; the relevant fracture surfaces have been scrutinised previously [18].

CONCLUSIONS

FSW is seen to deliver substantial merits in welding of steel, but the process requires suitable optimisation in terms of high speed welding of acceptable quality joints within application-specific requirements in order to achieve wider industrial acceptance. This work

has reported on a comprehensive investigation of steel FSW with a primary focus on marine and shipbuilding applications through a number of in-depth experimental testing programmes within the large-scale European research project HILDA. The process parameter development programme has produced numerous DH36 steel friction stir butt welds by employing varying tool rotational and traverse speeds up to 500 mm/min, thus concluding that high speed FSW of steel is feasible and moreover, can deliver significant improvements in the techno-economic competitiveness of the process relative to fusion welding methods.

Since high traverse speed FSW is expected to affect the weld quality, 25 of the produced welds have been assessed through microstructural examination and mechanical testing to confirm any enhancements to the techno-economic competitiveness of the process. Metallographic examination has established that significant grain refinement of the original DH36 parent material microstructure is promoted during welding. FSW of steel develops a complex metallurgical system which is highly dependent on the employed process parameters, chiefly tool traverse speed. Although the assessed welding parameters have produced no defects in the main body of the weld zone hence increasing the confidence in the quality of high speed FSW, a weld root flaw and top surface breaking flaws have been detected in most welds.

Transverse tensile testing has consistently demonstrated that all slow and intermediate welds have higher yield strength compared to the parent material, whilst most fast weld samples fractured in the weld zone suggesting reduced tolerance to parameter variations. The weld hardness is seen to increase with increasing traverse speed and this is attributed to the evolution of harder phases in the microstructure, but most examined welds show hardness distribution within class rules. Improvement in impact toughness with increasing traverse speed has been recorded and this provides additional weight to the potential of high speed steel FSW.

Since the fatigue performance of welded components is of paramount importance in marine applications and due to the lack of pertinent testing specifications, a new fatigue testing procedure was implemented therefore generating the first *S-N* curve on friction stir welded DH36 steel. All examined friction stir welds exhibit excellent fatigue performance, better than relevant international recommendations for fusion welding. Moreover, investigation of the relation between weld flaws and fatigue performance has revealed that minor embedded flaws do not initiate cracks whilst surface breaking flaws, i.e. lack of penetration or the indentations on the weld top surface by the tool shoulder are recognised as the critical factor for crack propagation. The welds' fatigue life is expected to increase dramatically when the latter is addressed, as the FSW technology continues to improve.

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