

1 **Evolution, epidemiology and diversity of *Corynebacterium diphtheriae*: new perspectives**  
2 **on an old foe**

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23 **Keywords:** *Corynebacterium diphtheriae*; biovar; evolution; pathogenesis; MLST; secreted  
24 proteins.

25 **ABSTRACT**

26 Diphtheria is a debilitating disease caused by toxigenic *Corynebacterium diphtheriae*  
27 strains and has been effectively controlled by the toxoid vaccine, yet several recent outbreaks  
28 have been reported across the globe. Moreover, non-toxigenic *C. diphtheriae* strains are  
29 emerging as a major global health concern by causing severe pharyngitis and tonsillitis,  
30 endocarditis, septic arthritis and osteomyelitis. Molecular epidemiological investigations  
31 suggest the existence of outbreak-associated clones with multiple genotypes circulating  
32 around the world. Evolution and pathogenesis appears to be driven by recombination as  
33 major virulence factors, including the *tox* gene and pilus gene clusters, are found within  
34 genomic islands that appear to be mobile between strains. The number of pilus gene clusters  
35 and variation introduced by gain or loss of gene function correlate with the variable adhesive  
36 and invasive properties of *C. diphtheriae* strains. Genomic variation does not support the  
37 separation of *C. diphtheriae* strains into biovars which correlates well with findings of studies  
38 based on multilocus sequence typing. Genomic analyses of a relatively small number of  
39 strains also revealed a recombination driven diversification of strains within a sequence type  
40 and indicate a wider diversity among *C. diphtheriae* strains than previously appreciated. This  
41 suggests that there is a need for increased effort from the scientific community to study *C.*  
42 *diphtheriae* to help understand the genomic diversity and pathogenicity within the population  
43 of this important human pathogen.

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45 **1. Introduction**

46 Toxigenic *Corynebacterium diphtheriae* are responsible for diphtheria in humans, a  
47 toxin-mediated disease of the upper respiratory tract which is generally characterized by the  
48 presence of an inflammatory pseudomembrane on the tonsils, oropharynx and pharynx  
49 causing sore throat, high temperature and potentially death (Hadfield et al., 2000). The toxin

50 is encoded by the *tox* gene within the lysogenised  $\beta$ -corynephage (Sangal and Hoskisson,  
51 2014a) and can be effectively controlled by the diphtheria toxoid vaccine (Baxter, 2007). The  
52 cases of diphtheria were significantly reduced following the global immunization initiative  
53 (Galazka, 2000). Yet in the 1990s, the Newly Independent States (largely Former Soviet  
54 Union) observed the largest outbreaks of Diphtheria since the introduction of mass  
55 vaccination (Vitek & Wharton, 1998). In addition, there is still considerable morbidity and  
56 mortality around the world caused by this organism ([www.WHO.int](http://www.WHO.int)) and we need to remain  
57 vigilant.

58 Non-toxigenic *C. diphtheriae* strains (those that lack the *tox* gene) are now emerging  
59 as the cause of significant disease, especially invasive infections such as endocarditis, septic  
60 arthritis and osteomyelitis (Barakett et al., 1993; Belko et al., 2000; Edwards et al., 2011;  
61 Farfour et al., 2012; Patey et al., 1997; Poilane et al., 1995; Romney et al., 2006; Tiley et al.,  
62 1993). There is also the potential for *C. diphtheriae* to cause skin infections which result in  
63 cutaneous diphtheria across the globe in patients with varying vaccination status and travel  
64 histories (Gordon et al., 2011; Romney et al., 2006; Huhulescu et al., 2014; Cassir et al.,  
65 2015; Nelson et al., 2016). These infections are often associated with travel to *C. diphtheriae*  
66 prevalent endemic areas (FitzGerald et al., 2015; Lindhusen-Lindhe et al., 2012; May et al.,  
67 2014). More recently, non-toxigenic *tox* gene-bearing strains (NTTB) have also been reported  
68 from Europe (Zakikhany et al., 2014). These NTTB strains possess the *tox* gene, however  
69 mutation (a nucleotide deletion or disruption by an insertion sequence) in the A-subunit of the  
70 gene prevents expression (Zakikhany et al., 2014). These strains pose a potential threat to  
71 public through genetic reversion resulting in toxin production. Moreover, carriage of non-  
72 toxigenic strains in healthy individuals, as part of the normal upper respiratory tract flora is  
73 poorly understood, but has the potential to act as a reservoir of bacteria that can undergo  
74 phage-conversion and dissemination.

75 *C. diphtheriae* strains have historically been subdivided into the four biovars - gravis,  
76 intermedius, mitis and belfanti (Funke et al., 1997; Goodfellow et al., 2012). However, this  
77 biochemical differentiation appears to be dependent on technical capabilities of the laboratory  
78 and is unsupported by genomic analysis (Sangal et al., 2014a). This view is also supported by  
79 the quality assurance (Elek) tests for diphtheria diagnostics by the European diphtheria  
80 surveillance network (EDSN) where several participating laboratories could not correctly  
81 identify these biovars, particularly biovars intermedius and belfanti (Both et al., 2014; Neal  
82 and Efstratiou, 2009).

83 Related pathogenic corynebacteria including *Corynebacterium ulcerans* and  
84 *Corynebacterium pseudotuberculosis* generally cause zoonotic infection in humans (Peel et  
85 al., 1997; Taylor et al., 2010; Wagner et al., 2011; Sangal et al., 2014b) whereas *C.*  
86 *diphtheriae* appears to be largely human specific. Recent reports highlight potential host  
87 jump of *C. diphtheriae* to and from domesticated and wild animals (Sing et al., 2015;  
88 Zakikhany et al., 2014). This is particularly important as the *tox* gene carrying  $\beta$ -corynephage  
89 is able to lysogenize all three species – *C. diphtheriae*, *C. ulcerans* and *C. pseudotuberculosis*  
90 and the promiscuous nature of the corynephage may result in human outbreaks of diphtheria  
91 and diphtheria-like diseases caused by non-*C. diphtheriae* strains.

92 Here we aim to provide an overview of global epidemiology and evolutionary  
93 dynamics of *C. diphtheriae* in the light of recent work in the field, with particular emphasis  
94 on the impact of whole genome sequencing in understanding the evolution and pathogenicity  
95 of different *C. diphtheriae* strains.

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## 97 **2. *C. diphtheriae* is genetically diverse**

98 Despite an estimated 86% global coverage of the vaccine, 7,321 cases of diphtheria  
99 were reported in 2014, mainly from the developing countries ([www.WHO.int](http://www.WHO.int)). A diphtheria

100 epidemic in the former Soviet Union in the 1990s resulted in >157,000 cases claiming ~5000  
101 lives (Dittmann et al., 2000). Yet, this pathogen is not under control, and there have been  
102 multiple outbreaks in different countries since 2000 including Colombia (Landazabal et al.,  
103 2001), India (Parande et al., 2014; Saikia et al., 2010), Norway (Rasmussen et al., 2011),  
104 Nigeria (Besa et al., 2014), Thailand (Wanlapakorn et al., 2014), and more recently in Brazil  
105 (Santos et al., 2015), Laos (Nanthavong et al., 2015) and Indonesia (Hughes et al., 2015).

106         The molecular epidemiology and diversity of *C. diphtheriae* has been investigated  
107 using a number of genotyping approaches including ribotyping, amplified fragment length  
108 polymorphism (AFLP), pulse-field gel electrophoresis (PFGE), random amplified  
109 polymorphic DNA (RAPD), clustered regularly interspaced short palindromic repeat  
110 (CRISPR) based spoligotyping and multilocus sequence typing (MLST) (Bolt et al., 2010;  
111 Damian et al., 2002; De Zoysa et al., 2008; Grimont et al., 2004; Kolodkina et al., 2006;  
112 Mokrousov et al., 2007; Mokrousov et al., 2005; Mokrousov et al., 2009; Titov et al., 2003).  
113 Most of the typing approaches exhibited some degree of correspondence (Damian et al.,  
114 2002; De Zoysa et al., 2008; Kolodkina et al., 2006; Titov et al., 2003). Ribotyping was  
115 found to be more discriminatory than PFGE and AFLP (De Zoysa et al., 2008) and was the  
116 gold standard for genotyping *C. diphtheriae* prior to the introduction of a robust MLST  
117 approach (Bolt et al., 2010; Grimont et al., 2004). The main Ribotyping scheme adhered to is  
118 that of Grimont et al., (2004) with each ribotype being allocated a geographical name based  
119 on the location of isolation; however, some previous studies followed an arbitrary  
120 nomenclature to represent different ribotypes. Ribotyping identified 34 ribotypes among 167  
121 *C. diphtheriae* strains from Romania, the Russian Federation and the Republic of Moldova  
122 (Damian et al., 2002). The strains belonging to two ribotypes, C1 and C5 were predominant  
123 in Russia and Moldova whereas ribotypes C3 and C7 were isolated more frequently in  
124 Romania (Damian et al., 2002). The majority of *C. diphtheriae* strains were found to belong

125 to ribotypes D1 and D4 in Belarus (Titov et al., 2003). Remarkably, the distribution of  
126 ribotypes was found to alter between 1996 and 2005 (Kolodkina et al., 2006). Interestingly,  
127 this may be the result of increased vaccination in these areas following the outbreaks, perhaps  
128 indicating some level of vaccine-driven population selection in *C. diphtheriae*. Overall, all  
129 these studies identified prevalent clones associated with different outbreaks, but also found  
130 that multiple genotypes were circulating within different continents, suggesting great  
131 diversity of *C. diphtheriae* strains within the human population (Damian et al., 2002; De  
132 Zoysa et al., 2008; Kolodkina et al., 2006; von Hunolstein et al., 2003).

133 CRISPR based spoligotyping offered additional resolution within these ribotypes and  
134 was successfully used to characterize outbreak-associated strains from countries of former  
135 Soviet Union (Mokrousov, 2013; Mokrousov et al., 2005; Mokrousov et al., 2009). The  
136 epidemic strains from Russia that belonged to two ribotypes (Sankt-Peterburg and Rossija)  
137 were subdivided into 45 spoligotypes (Mokrousov, 2013; Mokrousov et al., 2007;  
138 Mokrousov et al., 2005). Due to the higher diversity within ribotype Sankt-Peterburg, it was  
139 proposed to have evolved prior to the emergence ribotype Rossija, indicating that new strains  
140 are emerging regularly within this species (Mokrousov, 2013).

141 While most genotypic approaches are focused on outbreak characterization and high  
142 resolution strain discrimination, MLST is more appropriate to investigate long-term  
143 evolutionary dynamics and has been applied to a number of microorganisms prior to the  
144 emergence of cost effective genome sequencing (Maiden, 2006). A robust MLST scheme was  
145 developed for *C. diphtheriae* in 2010 and sequence types (STs) were shown to be consistent  
146 with the previously determined *C. diphtheriae* ribotypes and offered higher resolution in most  
147 cases (Bolt et al., 2010). One important feature of the MLST studies was that they revealed a  
148 lack of correlation between the STs and the widely used biovar system and also showed no  
149 correlation with the severity of the disease caused by different strains (Bolt et al., 2010;

150 Farfour et al., 2012). While some eBURST groups, the so called clonal complexes, were  
151 found to be associated with certain countries, others were reported from multiple continents,  
152 indicating wide dissemination of strains (Bolt et al., 2010). MLST diversity has grown since  
153 2010 and the data for 384 reference STs is available from the MLST website  
154 (<http://pubmlst.org/cdiphtheriae/>; accessed in November 2015). A total of 115 of these STs  
155 formed 11 major eBURST groups where the predicted founder had three or more single locus  
156 variants (Fig. 1). However, some of these data belong to *C. ulcerans* strains and may also  
157 contain some erroneous submissions to the database by the public.

158 More recently, whole genome sequences of 20 *C. diphtheriae* strains have been  
159 analysed (Cerdeno-Tarraga et al., 2003; Sangal et al., 2015; Sangal et al., 2014; Sangal et al.,  
160 2012a, b; Trost et al., 2012), revealing the genetic diversity amongst and within the major  
161 STs. Approximately 60% of the genome appears to be functionally conserved within *C.*  
162 *diphtheriae* strains with 1,625 genes belonging to the core genome (Sangal et al., 2015).  
163 However, enough diversity has accumulated within the core genes to allow discrimination of  
164 most *C. diphtheriae* strains from each other. Strains within STs appear to show close  
165 relationships indicating the robust nature of the MLST approach (Fig. 2; Bolt et al., 2010;  
166 Sangal et al., 2015). Similar groupings were also obtained from the genome-wide single  
167 nucleotide polymorphism analysis (SNPs; Sangal et al., 2014). The accessory genome varied  
168 greatly among *C. diphtheriae* strains (Sangal et al., 2015) even when a relatively small  
169 number of genomes was considered (14 known STs; Fig. 1). This indicates that most of the  
170 *C. diphtheriae* diversity remains to be discovered and will be crucial in our understanding of  
171 the molecular epidemiology, global transmission and carriage of this pathogen.

172

### 173 **3. Evolutionary dynamics**

174 Despite the global emergence of non-toxigenic strains and multiple recent outbreaks  
175 caused by *C. diphtheriae*, little is known about the evolutionary dynamics of this pathogen  
176 and most of the current understanding comes from the genomic analyses. MLST analyses  
177 indicated that there is significant recombination within *C. diphtheriae* populations (Bolt et al.,  
178 2010). Recombination plays an important role in bacterial evolution and is often linked to the  
179 increased virulence in some strains (Joseph et al., 2011; Suarez et al., 2004; Wirth et al.,  
180 2006). Indeed, the primary niche of *C. diphtheriae* in humans is the upper respiratory tract  
181 which is a hot-bed of horizontal gene transfer between bacterial strains (Marks et al., 2012).

182 A total of 57 genomic islands have been reported in *C. diphtheriae* and the  
183 distribution was found to vary significantly between strains (Trost et al., 2012). The genomic  
184 islands can be horizontally acquired from other bacteria, suggesting that recombination is  
185 shaping the current genetic diversity in *C. diphtheriae*. Some of the genomic islands carried  
186 phage associated genes while others harboured the genes that encode proteins for different  
187 cellular activities including siderophore biosynthesis and transport, degradation of  
188 polysaccharides and hydrocarbon derivatives such as 3-hydroxyphenylpropionic acid,  
189 antibiotic and heavy metal resistance (Trost et al., 2012). The major virulence factor of *C.*  
190 *diphtheriae*, the *tox* gene, is carried on a bacteriophage that can also move between strains,  
191 resulting in phage conversion (Barksdale and Pappenheimer, 1954; Freeman, 1951; Sangal  
192 and Hoskisson, 2014). Genomic islands carrying different *spa* operons introduced the  
193 variation in the ability of *C. diphtheriae* strains to form pili and interact with the host. These  
194 *spa* operons harbour genes encoding subunits of different types of pili and the gain or loss of  
195 the function of these genes correlate to the number and expression of pili on the cell surface  
196 (Ott et al., 2010; Chang et al., 2011; Trost et al., 2012).

197 Approximately one-third of the *C. diphtheriae* genome encodes accessory genes that  
198 vary widely between strains (Sangal et al., 2015). The strains within individual STs differed



199 from each other by the presence or absence of up to 290 genes, many of which are present on  
200 the genomic islands (Sangal et al., 2015). These observations indicate likely differences in  
201 recombination frequencies between *C. diphtheriae* strains. The frequencies of recombination  
202 may vary widely between different strains within a species (Sangal et al., 2010), and may  
203 reflect the difference in strain propensities for acquiring foreign DNA, which may result in  
204 variation in pathogenicity of strains. Restriction-modification systems, bacteriophage defence  
205 systems and CRISPR-Cas systems are major barriers to recombination that have been  
206 reported in the genomes of *C. diphtheriae* strains (Hoskisson & Smith, 2007; Sangal et al.,  
207 2013).

208 Genomic analyses of *C. diphtheriae* strains revealed the presence of two types of  
209 CRISPR-Cas systems in three different configurations (Sangal et al., 2013). These systems  
210 are comprised of CRISPR-associated proteins (Cas proteins encoded by *cas* genes) and  
211 CRISPR arrays of short spacer sequences acquired from invading bacteriophages or plasmids  
212 that are separated by repeat sequences. These arrays are transcribed into crRNA that  
213 recognizes the invasion by the same nucleic acids and activate their cleavage by Cas  
214 ribonucleoprotein complex (Marraffini, 2015). The acquisition of each spacer sequence  
215 represents a unique evolutionary event, an encounter of the bacterial cell with the  
216 bacteriophage or plasmid that may be unique to particular environment.

217 The majority of *C. diphtheriae* strains carried a type II-C CRISPR-Cas system,  
218 however this was replaced by a type I-E-a in some strains or *vice versa* (Sangal et al., 2013).  
219 A few strains with a type II-C system possessed an additional CRISPR-Cas system, type I-E-  
220 b, at a different location in the genome. The variation in the G+C content and the  
221 phylogenetic analyses of *casI* gene, along with the direct repeat sequences in the CRISPR  
222 arrays suggest three independent horizontal acquisitions of these CRISPR-Cas systems by *C.*  
223 *diphtheriae*. Most of the spacer sequences are unique to CRISPR arrays in different strains,

224 suggesting that these strains evolved in different environments and encountered a range of  
225 different bacteriophages or plasmids (Sangal et al., 2013). Some strains were found to share  
226 spacer sequences at the distal end of the array, which may represent common strain ancestry  
227 or abundance of a particular foreign DNA type (bacteriophages/plasmids). The type of  
228 CRISPR-Cas systems and most of the spacer sequences in the arrays were shared between  
229 individuals of the same ST, which is consistent with their evolution from a recent common  
230 ancestor. These results also support CRISPR loci as useful molecular markers for strain  
231 identification and epidemiological studies (Mokrousov, 2013; Mokrousov et al., 2007).

232 Overall, the genomic and spacer diversities found in *C. diphtheriae* strains indicate  
233 unique evolutionary trajectories for different *C. diphtheriae* strains after they separated from  
234 their last common ancestor. However, no clear geographic or temporal association of *C.*  
235 *diphtheriae* strains has been reported. Interestingly, this may simply reflect a sampling bias,  
236 as available genomes reflect <10% of the current *C. diphtheriae* diversity observed from  
237 MLST analysis (Fig. 1). These data highlight the need to expand the genome sequencing  
238 effort for this species to fully understand the evolutionary dynamics of this pathogen.

239

#### 240 **4. Genetic basis of biochemical differentiation**

241 The biochemical differentiation of *C. diphtheriae* strains into biovars is complex and  
242 unreliable, however for historical reasons it is still routinely followed by reference laboratories  
243 (Both et al., 2014; Neal and Efstratiou, 2009; Sangal et al., 2014). The key characteristics  
244 include lipophilism of biovar intermedius strains - the need lipids for optimal growth and the  
245 formation of small gray or translucent colonies on agar plates (Funke et al., 1997). The strains  
246 of other biovars generally form large white or opaque colonies. The strains of biovar belfanti  
247 can not reduce nitrate and only biovar gravis strains seem to definitely utilize glycogen and

248 starch as carbon sources (Efstratiou et al., 2000; Efstratiou and George, 1999; Goodfellow et  
249 al., 2012).

250 Comparative genomic analyses identified that four genes involved in carbohydrate  
251 metabolism are absent or are pseudogenes in the intermedius strain (Sangal et al., 2014),  
252 potentially suggesting that this biovar may have compromised abilities to effectively use  
253 carbohydrates as the energy source and require alternate carbon source such as lipids, for  
254 optimal growth in the host. We have previously highlighted an insertion at the 3' end of *narJ*  
255 gene in the only sequenced belfanti genome, that results in an extended coding sequence in  
256 comparison to its homolog DIP0498 in NCTC 13129 (Sangal et al., 2014). However, the  
257 annotation of strain NCTC 13129 has recently been revised (GenBank accession number:  
258 NC\_002935.2; new locus tag for DIP0498: DIP\_RS13825) and the protein sequence of *narJ*  
259 is of the same length as observed in belfanti. Therefore, genetic basis of the belfanti strains  
260 not being able to reduce nitrate remains unclear. The phylogenomic analyses of core genome,  
261 accessory genome and genome-wide SNPs revealed an absence of a biovar specific grouping.  
262 Therefore, the biochemical separation of *C. diphtheriae* into the traditional biovars is not  
263 supported by genomic diversity and is unsuitable for modern epidemiological studies (Sangal  
264 et al., 2015; Sangal et al., 2014; Trost et al., 2012). Genome sequencing results are consistent  
265 with the MLST phylogeny where the major *C. diphtheriae* lineage included strains from all  
266 four biovars (Bolt et al., 2010). However, a smaller second belfanti-specific lineage can be  
267 observed from the MLST analyses which is not detected in the genomic study, potentially  
268 because the genome sequence of only one strain for each of the biovars belfanti and  
269 intermedius is available that highlights a clear need for more strains of these biovars to be  
270 sequenced.

271

## 272 **5. Variation in pathogenicity and invasive strains**

273 *C. diphtheriae* is considered a paradigm of mucosal pathogenicity, with much of the  
274 research focused on toxin production and pseudomembrane formation, almost to the neglect  
275 of studying other virulence mechanisms, such that the discovery of invasive strains of *C.*  
276 *diphtheriae* was a surprise to researchers. The *tox* gene, encoding the diphtheria toxin, is  
277 harboured on the genome of the  $\beta$ -corynephage, which integrates into *C. diphtheriae* genome  
278 between duplicated arginine tRNA genes (Sangal and Hoskisson, 2014; Trost et al., 2012).  
279 Only one prophage is present in most toxigenic strains, with the exception of strain PW8  
280 where two copies of corynephage  $\omega^{\text{tox+}}$  is found (Sangal and Hoskisson, 2014; Trost et al.,  
281 2012). While the nucleotide sequence of different corynephages show high levels of  
282 diversity, the sequence of the *tox* gene is highly conserved and also reflects the efficacy of the  
283 toxoid vaccine. The transcription of *tox* gene is controlled by the DtxR regulon, which is a  
284 key determinant for iron homeostasis (De Zoysa et al., 2005; Fourel et al., 1989). Iron is  
285 involved in a number of cellular activities and the induction of toxin in low iron availability  
286 might help pathogens to compete with the host for iron (Ganz and Nemeth, 2015; Trost et al.,  
287 2012) or liberate iron through killing of host cells. The gene composition of DtxR regulons in  
288 different *C. diphtheriae* strains may vary due to gain or loss of the genes that may affect the  
289 iron supply to the bacterial cell and hence, the expression of the *tox* gene (Litwin and  
290 Calderwood, 1993; Trost et al., 2012).

291 Non-toxigenic *C. diphtheriae* strains by definition do not contain the *tox* carrying  $\beta$ -  
292 corynephage, but do vary in their abilities to adhere to host cells, intracellular viability and  
293 their ability to stimulate cytokine production by the host immune system which may  
294 influence the severity of the disease due to infection (Bertuccini et al., 2004; Hirata et al.,  
295 2002; Peixoto et al., 2014; Puliti et al., 2006). These strains differ from each other in the  
296 presence and organisation of different pilus gene clusters, *spaA*, *spaD* and *spaH* (Sangal et  
297 al., 2015; Trost et al., 2012). Two pilus gene clusters, *spaD* and *spaH*, were present in four *C.*

298 *diphtheriae* strains that exhibited different adhesive and invasive properties. Interestingly, the  
299 *spaA* operon was only present in the two strains with higher adhesion to pharyngeal D562  
300 cell lines (Ott et al., 2010; Sangal et al., 2015). SpaA pili have been shown to interact with  
301 the pharyngeal epithelial cells and SpaD and SpaH with the laryngeal and lung epithelial cell  
302 types (Mandlik et al., 2007; Reardon-Robinson and Ton-That, 2014) suggesting niche  
303 specialised roles for specific pilus types. However, some genes were found to be pseudogenes  
304 in these clusters (Sangal et al., 2015), for example, *srtB* gene that encodes sortase for  
305 incorporation of SpaE into the SpaD subunit of SpaD-type pili, *spaG* encoding a subunit of  
306 SpaH-type pili and *spaB* encoding pilus base subunit of SpaA-type pili were pseudogenes in  
307 strains ISS 4060, ISS 3319 and ISS 4746, respectively (Reardon-Robinson and Ton-That,  
308 2014; Sangal et al., 2015). In addition, a gene *spaF* that encodes surface anchored fimbrial  
309 subunit of *spaD*-type pili was pseudogenitised both in ISS 4746 and ISS 4749. Strain ISS  
310 4749 with two intact gene clusters (SpaA and SpaH) exhibited highest number of pili at the  
311 cell surface and highest adhesion to the cell lines when compared to ISS 3319 (SpaD gene  
312 cluster) and ISS 4746 (SpaH gene cluster) with only one intact gene cluster (Bertuccini et al.,  
313 2004; Ott et al., 2010; Sangal et al., 2015). Although SpaH gene cluster appears to be fully  
314 functional in ISS 4060 strain, no surface pili were observed, suggesting there may be  
315 variation in the levels of gene expression. However, adhesive properties of this strain were  
316 comparable to ISS 3319 (Bertuccini et al., 2004; Ott et al., 2010; Sangal et al., 2015).  
317 Therefore, the macromolecular surface structure and cell adhesion properties generally  
318 correlate to the presence of pilus gene clusters in *C. diphtheriae* and expression of these  
319 genes may be subject to unknown gene regulation mechanisms.

320 ISS 4746 and ISS 4749 were also shown to induce higher cytokine (IL-1 and IL-6)  
321 production and caused higher incidences and severity of arthritis in mice in comparison to  
322 ISS 3319 (Puliti et al., 2006). In addition to the membrane associated proteins, comparative

323 genomic analyses revealed a variation in predicted secreted proteins including lipoproteins  
324 and non-classical secreted proteins among these strains, which may be associated with the  
325 variation in the degree of pathogenesis (Sangal et al., 2015). Most of these proteins are  
326 hypothetical and a molecular characterization of these proteins might further improve  
327 understanding of the mechanisms of adhesion, invasion and immune induction in *C.*  
328 *diphtheriae*.

329

## 330 **6. Conclusions**

331 *C. diphtheriae* is still a major human pathogen, with multiple contemporary outbreaks  
332 around the world. Moreover, non-toxigenic strains are beginning to cause significant invasive  
333 disease in patients. Genomic analyses not only identified potential genes involved in  
334 adhesive, invasive and virulence characteristics of *C. diphtheriae* strains but also highlighted  
335 the impact of horizontal gene transfer in acquisition of these genes. These analyses also raise  
336 concerns about the use of biochemical separation of *C. diphtheriae* strains into biovars in  
337 clinics as a biovar encompasses genetically distinct strains. The evolutionary dynamics and  
338 the global diversity in *C. diphtheriae* are poorly characterized, clearly emphasizing the need  
339 of a community-based genome sequencing program that will improve the understanding of  
340 global transmission and local adaptation and will facilitate the development of effective  
341 surveillance policies and preventive strategies, amid multiple ongoing outbreaks. It will also  
342 inform on future vaccine development, perhaps to augment existing toxoid-based vaccines  
343 with universal surface proteins from *C. diphtheriae* which may be more effective in reducing  
344 carriage and the invasive diseases caused by non-toxigenic strains.

345

## 346 **Acknowledgements**

347 VS is supported by Anniversary Research Fellowship of the Northumbria University,  
348 Newcastle upon Tyne. *C. diphtheriae* work in the PAH laboratory is supported by Medical  
349 Research Scotland (Grant 422 FRG), the University of Strathclyde and the Microbiology  
350 Society.

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604 **Figure Legends**

605 **Fig. 1.** An eBURST diagram from the MLST profiles of reference STs from the MLST  
606 website (<http://pubmlst.org/cdiphtheriae/>). The predicted founder STs are shown in blue and  
607 co-founder STs are shown in yellow. Single locus variants (SLVs) are connected to each  
608 other and major groups where predicted founder has three or more SLVs are labelled. The  
609 known STs for *C. ulcerans* are shown in cyan. ST with some genome sequenced strains are  
610 encircled in red.

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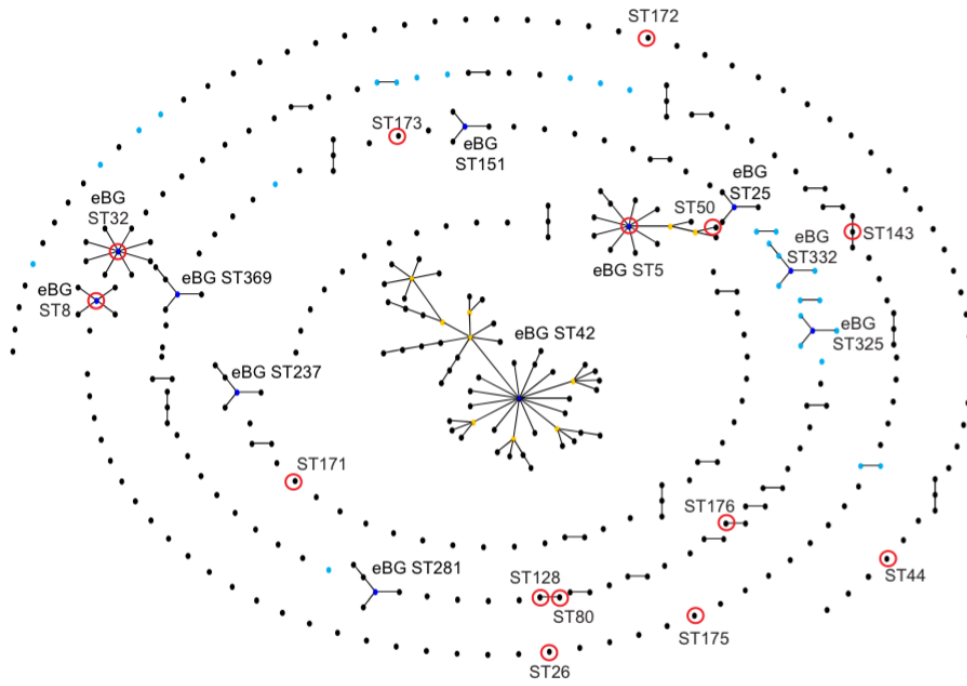
612 **Fig. 2.** A phylogenetic tree from the core genome of *C. diphtheriae* (adapted from Sangal et  
613 al., 2015). ST designations are mapped on the tree in parentheses, if known. The strains  
614 biovars *gravis*, *mitis*, *belfanti* and *intermedius* are labelled in red, green, purple and blue,  
615 respectively.

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618 Fig 1.

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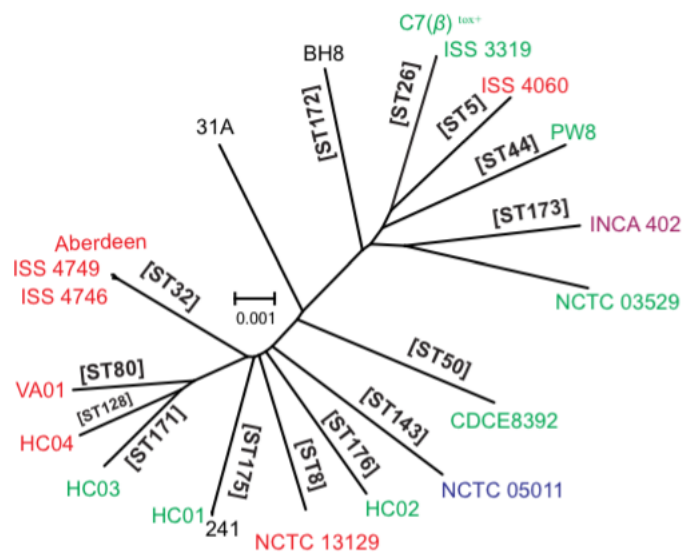
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630 Fig. 2.

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