1	Mechanical testing and modelling of the Universal 2
2	implant
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19	Abstract
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21	Understanding the load mechanics of orthopaedic implants is important to be able to predict
22	their behaviour in-vivo. Much research, both mechanical and clinical, has been carried out on
23	hip and knee implants, but less has been written about the mechanics of wrist implants. In this
24	paper, the load mechanics of the Universal 2 wrist implant have been measured using two
25	types of measuring techniques, strain gauges and Fibre Bragg Grating measurements to
26	measure strains. The results were compared to a finite element model of the implant. The
27	results showed that the computational results were in good agreement with the experimental
28	results. Better understanding of the load mechanics of wrist implants, using models and
29	experimental results can catalyse the development of future generation implants.
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31	Keywords: Wrist implants, finite element modelling, strain measurements, mechanical testing

#### 33 Introduction

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35 The design of wrist implants has varied greatly in the last decades. The Swanson wrist implant was one of the first implants to gain a commercial success in the US and was based 36 37 on the concept of a silicone spacer aimed to increase stability in the radiocarpal joint [1]. Fixation was achieved through a proximal radial stem and a distal stem passing through the 38 39 capitate and into the third metacarpal. With time, a number of fractures on the distal stem were reported [2], leading to a revision of the mechanical design. The next generation implant 40 41 designs were the Volz [3], and the Meuli [4] implants which demonstrated considerable 42 changes in the overall design compared to the Swanson and used a metal stem made from 43 CoCr and a ball in socket articulations. Other designs followed such as the semi-constrained 44 Trispherical, the Guépar and the biaxial prosthesis which all then were eventually removed 45 from the market [5].

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47 In 2005 Shepherd and Johnston [6] evaluated the design criteria for a total wrist prosthesis in terms of loading conditions, contact stresses, wear rate amongst others. The challenges that 48 49 engineers face in terms of the overall design of a wrist implant are mainly the small area to 50 fixate the implant components to the bone, in particular in the distal attachment, and the 51 variability of the loads and range of motion. In lower limb implants, such as the knee and the hip, the loading conditions are well defined in terms of gait, ascending, descending stairs etc. 52 The load cases on the upper limb are more ambiguous where gripping, lifting and pushing 53 with the wrist in multiple different positions can occur during activities of daily living. It has 54 been shown that during a key turn action in rheumatoid arthritic patients, the average 55 resultant load on the index finger is 13.9 N [7]. Using a biomechanical model, Fowler and 56

57 Nicol [7], also calculated the joint contact forces on an MCP implant to find that during the same key turn action, the contact force was on average 182.5 N, which represents the load of 58 59 a single digit, namely the index finger. Kanellopoulos [8] measured external forces on all 60 fingers during gripping with the wrist in various different positions for young healthy subjects of both sexes and reported that the resultant force on the index finger was on average 61 62 23.1 N. It has also been reported [9] that the load distribution between the fingers was in the ratios 35:30:21:14 between the index, long, ring and little finger respectively. Internal loads 63 acting over all five digits were calculated [9] with the wrist in neutral gripping position, using 64 65 the biomechanical model presented by Fowler and Nicol [7] to find an average resultant force of 1472 N (standard deviation of 320 N) acting on the MCP joints. Chadwick and Nicol [10] 66 67 reported overall wrist joint reaction forces exceeding 2000 N, during the horizontal power 68 grip in healthy young subjects. Fok and Chou [11] concluded that the joint reaction forces on the MCP joint could be up to 30 times higher than the external forces applied to the fingers. 69 70 Although a few studies of the biomechanical modelling of the hand exist, there are large 71 variations in the load application to the hand, but all indicate that during gripping the forces 72 through the wrist can be on the order of 1-2 times bodyweight which is a considerably high 73 load given the small size of the joints in the hand and wrist.

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Given the success of the hip and knee implants over the years, patient expectations have grown, to have a pain free and a stable joint after total joint arthroplasty. The design and manufacturing process of a joint implant is subjected to vigorous standards from regulating authorities [12]. Development of wrist implants is ongoing and since the introduction of the Swanson implant, many designs have been marketed and some with limited success. Third generation implants like the Universal, the ReMotion and the Maestro are now popular in clinical practice. They all are designed based on using an elliptical contact area between the

82 proximal and the distal aspect which has been shown by Grosland et al [13] to have an improved property compared to a toroidal shape in terms of stability. All are constructed with 83 84 a metal stem, a polyethylene spacer and a distal carpal metal plate with screws. Different 85 design aspects can be seen between various implants currently available. This difference can, in particular, be seen between the Universal 2 and the ReMotion on one side and the Maestro 86 87 on the other. The Universal 2 and the ReMotion have the polyethylene component attached to the distal component in a convex configuration, whereas the Maestro implant has the 88 89 polyethylene component attached to the proximal stem in a concave configuration, thus 90 resembling more the geometrical features seen in the hip and the knee.

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92 The finite element method is a powerful tool to calculate in vivo stresses on the structural 93 aspect of the human body and has been used with much success to predict loading behaviour on hip and knee implants [14, 15]. It is widely used during design processes of various 94 95 components and can be of great importance for orthopaedic implants where experimental 96 work can be difficult to carry out [16]. Little has been written about modelling of the wrist 97 implants. McCullough [17,18] studied the contact area of various wrist implants under simulated muscle loading from the 3 extensor muscles (extensor carpi radialis longus/radialis 98 99 brevis/ulnaris) and the 2 flexor muscles (flextor carpi radialis/ulnaris) and demonstrated that 100 the Universal 2 implant had greater contact area than the Biax and the Universal total wrist 101 implant as well as lower maximum stress. Bajuri et al [19] published one of the first finite 102 element model of the implanted wrist, focussing on the ReMotion implant. Otherwise little 103 has been published on the mechanical properties of the total wrist implants where many 104 studies have looked at the mechanics of total hip and total knee implants.

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The presented study, demonstrates validation work carried out on the Universal 2 wrist implant and compares with a finite element model created of the implant. Two different types of strain measurements were carried out, firstly using strain gauges and secondly using Fibre Bragg Grating to measure the strain inside the prosthesis. Fibre Bragg grating is an established technique in determining strains in various application, given its light weight, flexibility and resistance to corrosion to name a few [20] and has previously been used to measure the strains in bone tissue [21] and contact pressure in total knee arthroplasty [22].

114 Validation work is difficult to carry out on the wrist joint, as the joint is small and applying 115 measuring devices requires a high degree of joint exposure which will destroy the stabilizing 116 effects of the soft tissue around the joint, thus making it prone to buckling during a uniaxial 117 compression test. In vivo, the load cases on the total wrist implant are more complex than 118 simple uniaxial compression, but by carrying out measurements using a simplified loading 119 scenario and compare to FE model predictions, it will give indications about the mechanical 120 behaviour under more complex load cases. That would be the first step in validating the finite 121 element models. In the presented study, the Universal 2 implant from Integra was used, as it 122 is one of the leading implant used in the UK and the US.

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#### 124 Methods

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### 126 Finite element model

A Universal 2 wrist implant in size large was obtained. It consisted of 3 components: a radial
component, a carpal component and a polyethylene component. All three components were
scanned using an industrial scanner at the Advanced Forming Research Centre at the
University of Strathclyde in Glasgow where the geometry was reversed engineered into an

131 STL model. The STL geometry was imported into Mimics (Materialise) where the three 132 components manually aligned with each other and virtually inserted into the radius bone. The 133 components were surface meshed using a semi-automated mesher and imported into Abaqus (v.6.11). There the surface meshes were converted into 10 node tetrahedral elements, of type 134 135 C3D10M. The total number of elements was 246.888 for the full model. The total volume was 10616 mm<sup>3</sup>, resulting in element density of 23.3 elements/mm<sup>3</sup>. Interaction between the 136 137 components was defined either using a surface to surface contact formulation or tie 138 constraints. The connections between the components are listed in Table 1.

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Components	Type of contact
Distal part – polyethylene	Tie
Polyethylene – radial part	Surface to surface contact
Radial part – radius bone	Tie

Table 1: Interaction between the components

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141 Loading was applied as uniaxial compressive load to the distal component, simulating

142 compressive forces ranging between 0 and 2000 N [10], which can be expected during

143 gripping motion. Figure 1 shows the finite element models.





Figure 1a – Finite element model of theFigure 1b – Prosthesis inserted into the radiusUniversal 2 implantbone

The loading was applied as a pressure over the distal surface of the carpal component. Matlab procedure was written to estimate the surface area by summing up individual areas from each element located at the surface. The overall area was calculated as 349.6 mm<sup>2</sup> and a pressure of 5.72 MPa would represent a total load of 2000 N. No slip boundary conditions were applied to the proximal end of the implant.

- 152 The materials were obtained from the manufacturer. The radial component was made from a
- 153 cast CoCr alloy (ASTM standard F75, ISO standard 5832-4), the carpal plate component was
- made from titanium alloy (Ti-6AI-4V ELI, ASTM standard F136, ISO 5832-3) and the
- polyethylene was made from UHMWPe (ASTM Standard 648, ISO Standard 5834-1 +2).
- 156 The material properties can be seen in Table 1.

Material	Modulus	Yield	Tensile strength	Elongation [%]	Poisson's ratio

	[GPa]	[MPa]	[MPa]		
CoCr	207 (220-234)	450	655	8	0.31
Titanium	113.8	970	1450	14	0.30
Cortical bone	20				0.2
Cancellous bone	0.1				0.25

Table 1: Material properties

158

159 The polyethylene was modelled using the Bergstöm-Boyce model [23] and the material

160 model was obtained from MCalibration (Veryst Engineering) and the model parameters

161 fitted. The reported parameters for the polyethylene model can be seen in Table 2.

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μ	λ	D
24.45	1.486	0.004

 Table 2: Polyethylene material coefficients

164 The finite element model was solved using the implicit solver in Abaqus

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# 166 Mechanical testing

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168 The Universal2 implant was mechanical tested in two different ways. Firstly the external

169 strains on the implant were measured using strain gauges and secondly the internal strain of

170 the implant was measured using a Fiber Bragg Grating sensor.

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172 Strain gauges

Strain gauges were placed on the radial stem of the implant and the polyethylene component.
The polyethylene component and the carpal component were glued together so that no
relative motion was allowed between those two components. The implant was placed in
uniaxial compression. The experimental setup and location of strain gauges can be seen in
Figures 2a and 2b.

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Figure 2a: Experimental setup of the mechanical testing.



Figure 2b: Strain gauges applied onto the polyethylene component.

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181 Strain data were collected at 100 N intervals with the total force ranging from 0 to 2000 N.
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# 183 Fiber Bragg Grating

- 185 The internal strain in the tested implant was additionally monitored using a Fiber Bragg
- 186 Grating (FBG) sensor. An FBG being a periodic modulation of the refractive index within a
- 187 section of an optical fibre, when illuminated by a broadband light, reflects a narrow set of
- 188 wavelengths interfering with each other. The reflected spectrum can be approximated with a

189 Gaussian, and the wavelength at the maximum reflection is denoted as Bragg wavelength,  $\lambda_B$ . 190 An FBG responds to both strain and temperature, and the resultant Bragg wavelength shift 191 can be described by the following equation:

192 
$$\frac{\Delta\lambda_B}{\lambda_B} = (1-p)\Delta\varepsilon + (\alpha+\xi)\Delta T$$

where *p* is the photo-elastic coefficient,  $\alpha$  and  $\xi$  are the thermal expansion and thermo-optic coefficients, respectively. For a standard FBG having the Bragg wavelength at 1550 nm the strain and temperature response coefficients are approximately 1.2 pm/µ $\epsilon$  and 10 pm/°C, respectively.

197

A 1 mm diameter hole and 37 mm long was drilled into the proximal aspect of the stem of the 198 199 radial component using an Electrical Discharge Machining (EDM) where the removal of the 200 metal was carried out by using electrical discharge between an electrode and the implant. 201 This allowed for a long thin hole to be created having minimal effects on the structural 202 integrity of the implant. The optical fibre was placed 37 mm into the stem from the proximal side. The fibre was cleaved so that the grating was located 2 mm away from the end, in order 203 204 to obtain measurements as close as possible towards the end of the hole. The fibre was fixed 205 using epoxy resin glue.

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207 The prosthesis was implanted into a saw bone which was done by an orthopaedic surgeon208 allowing the optic fibre to run through the proximal aspect of the bone.

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The experimental setup can be seen in Figure 3a and 3b. The implant was tested in a uniaxialcompression with load increments of 100 N ranging from 0 to 800 N.

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Figure 3a: Overview of the experimental setup

Figure 3b: Experimental setup

214	Results
<b>2</b> 17	Itcsuits

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216	The finite element model	was run under	the given	loading con	ditions.	The stress	distribution
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217 was analysed and strain results were compared to the findings from the mechanical tests.

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# 219 Finite element model

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- Figures 4a and 4b show the stress distribution on the whole implant under compressive
- loading of 2000N and the strain distribution on the polyethylene component respectively.



Figure 4a. Von Mises stressFigure 4b. Strain distribution on the polyethylenedistribution on the whole implantcomponent under compressive loading of 2000 Nunder compressive loading of 2000 N

From Figure 4a and 4b, it can be seen how the load applied on the carpal component is

transmitted through the radial component. The strains on the polyethylene component are

227 highest around the surface of the holes in which the carpal component articulates.

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# 229 Strain measurements on implant using strain gauges.

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231 Strain gauge values were read as a function of the applied load on the prosthesis. The load

was applied slowly and held for some time at each load interval. The results from the strain

- 233 gauges were compared with the finite element model. The results from the strain
- 234 measurements on the polyethylene and the computational predictions can be seen in Figure 5.



Figure 5: Strain as a function of load on the polyethylene component

237 Strain measurements were additionally obtained from the radial stem, with one strain gauge

applied towards the distal aspect of both dorsal and volar aspect of the radial component. The

results can be seen in Figure 6.



Figure 6: Strain measurements on the distal aspect of the radial component

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# 242 Strain measurements on implant and saw bone using fibre Bragg grating

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A node point was identified on the finite element part of the radial stem, corresponding to the

position of the fibre Bragg sensor within the implant. The results of the fibre Bragg grating

246 measurements were compared with the findings of the finite element model as can be seen in

**247** Figure 7.



Comparison between experiments and FE modelling

Figure 7: Comparison between Fiber Bragg Grating measurements and finite element model

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### 251 Discussion

Measuring the load transfer through a wrist implant in vivo is a difficult task. Bergmann et al [24] demonstrated that by placing force transducers inside a prosthesis, it is possible to measure the joint contact forces in larger joints such as the hip and the knee. Such implementation is difficult for the wrist given its small nature. Apart from biomechanical models, few studies have looked at the wrist loading of cadaveric specimens [25.26], but none at the loading of the implanted wrist as it is prone to buckling under uniaxial load in cadaveric specimens.

The presented work is the one of the first attempt to create a simplified finite element modelof the implanted total wrist prosthesis in conjunction with experimental validation. The

261 experimental work was carried out using a simplified uniaxial loading and compared with the 262 findings from a finite element model. In-vivo loading conditions during gripping have been 263 shown [9] to be a combination of proximally, dorsally and ulnarly (radially for the thumb) 264 directed joint contact forces and therefore much more complex than the loads presented in this paper. However the overall magnitude of in-vivo joint contact force acting on the wrist is 265 266 high and the mechanical trials aimed to simulate extreme loading conditions which are unlikely that patients with total wrist arthroplasty are able to generate. It is however 267 268 important to understand how the implants behave under a simplified mechanical loading to 269 further understand how they are going to behave under the more complex in-vivo loading and 270 boundary conditions. For the stand alone experiment, the prosthesis was fixed on the 271 proximal aspect of the radial component, whereas for the fibre Bragg experiment the implant 272 was placed into a saw bone to try to mimic in-vivo fixation. From the experimental results it 273 can be seen that the dorsal aspect of the prosthesis experiences higher loading than the volar 274 aspect which partially can be explained by the volar offset of the geometry of the stem. From 275 Figure 6, it can be seen that the results from the finite element model are in good agreement 276 with the volar strain gauge, although the model over predicts the strain on the dorsal side. The 277 measured strain values from the polyethylene component also demonstrated a good 278 agreement with the experimental results. It can be argued that using the Bergström-Boyce 279 material model will give accurate results, when used to model polyethylene orthopaedic 280 materials.

From the strain gauge and the fibre Bragg grating measurements on the radial component, it can be seen, by comparing the strain levels in Figure 6 to the ones in Figure 7, that the strain decreases towards the centre of the radial stem. The total load for the implanted prosthesis was 800 N compared to the 2000 N for the stand alone prosthesis, which was due to the fragility of the optical fibre and the presence of bending loads in the experimental setup.

From the Fibre Bragg data, hysteresis in conjunction with a non-linear strain curve was seen in the experimental results which can be explained by the interaction between the optic fibre, the epoxy resin to which it was attached and the metal implant. However the overall trend is well in agreement with the results of the finite element model. Using the Fibre Bragg method gives experimental results in locations that otherwise would have been impossible to reach using strain gauges and therefore can give more in depth analysis of the three-dimensional strain field within the prosthesis.

293 From table 1, it can be estimated that the proportionality of the strain for the cobalt chrome is 294 around 3100 µɛ but the maximum strain around the surface was measured and calculated to be around 380 µɛ under 2000 N compressive load. This demonstrates that the strains on the 295 296 radial stem are substantially lower than the yield limit and that the stem would be unlikely to 297 fracture in vivo, even though subjected to more complex multi-axial loading scenario. The 298 model does though not take into account poor bone material quality as can be seen in some 299 patients, making proximal and distal fixation a greater challenge. However more research is 300 needed to understand the load mechanics of the prosthesis in-vivo and to quantify the overall 301 loading the whole implant is exposed to during activities of daily living of total wrist implant 302 patients. Future work will incorporate a full three dimensional modelling of the implanted 303 wrist to obtain further information about the load transfer characteristics of the Universal 2 304 wrist implant subjected to multi-axial loading.

305

### 306 Limitations

There are many limitations to the presented study, in particular how the loading is applied as
well as other boundary conditions. The modelling and testing does not incorporate the
implant interaction with human bone. The bone quality will vary with each patient and poor

310 bone quality will difficulty in fixation which were not incorporated into the model. The 311 model only looks at the loading in a steady state but doesn't incorporate any time dependent 312 loading behaviour. A full detailed convergence study was not performed on the number of elements, due to the fact that orphan meshes were used to construct the model and changing 313 314 the element density would require a new model for each case. However a small comparison between a finer mesh model and a coarser mesh model was made and based on the results it 315 was assumed that the element density presented in the paper was sufficient to obtain accurate 316 317 results.

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### 319 Acknowledgements

The authors would like to thank Mr. Juan Fuente Gonzalez and the staff at the University of
Strathclyde's Advanced Forming Research Centre for the help of scanning and creating three
dimensional models from the Universal 2 implant.

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