



Ilioudis, Christos V. and Clemente, Carmine and Proudler, Ian and Soraghan, John (2016) Ambiguity function for distributed MIMO radar systems. In: IEEE Radar Conference 2016, 2016-05-02 - 2016-05-06. (In Press) ,

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Ambiguity Function for Distributed MIMO Radar Systems

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Abstract—In this paper a multi-static ambiguity function (AF) based on the Kullback directed divergence (KDD) and a distributed multiple-input and multiple-output radar system (DMRS) framework is introduced. Additionally a mathematical analysis is used to derive the AF in terms of signal-to-noise ratios (SNRs) and matched filter outputs. This method manages to extract an upper bound and properly define an AF bounded from 0 to 1. Moreover, this method leads in avoidance of large matrices inversions allowing less complex and more accurate computations. Finally the performance of the proposed method in localisation problems is assessed by comparing the proposed AF with the squared summation of the matched filter outputs at each receiver at different SNR scenarios.

Keywords—Multi-static Ambiguity Function (AF), Distributed Multiple-Input and Multiple-Output Radar System (DMRS), Kullback Directed Divergence (KDD).

I. INTRODUCTION

In the recent years multiple-input and multiple-output (MIMO) radar systems have attracted the interest of the research community due to their capability to increase significantly their performance compared to traditional phased array radars. Generally, MIMO radar systems are classified into two categories: distributed and collocated, depending on how the antennas of each system are spatially allocated [1]–[5]. The collocated configuration is similar to phased array systems with all the antennas placed in a close proximity. This orientation offers superior parameter identification, direct applicability of adaptive non-parametric techniques for parameter estimation, enhanced performance of parametric algorithms and flexibility of transmitted beam pattern designs [6]. In the distributed or statistical structure the antennas are widely scattered in a large area. This configuration allows spatial diversity in terms of independent target observations while providing enhanced target localization and detection performance [7].

The ambiguity function (AF) is one of most common tools used to evaluate the performance of a radar system providing information regarding the resolution, estimation accuracy, probability of detection and false alarm etc. In the case of mono-static radar systems the AF is defined as the response of a filter matched to the transmitted signal for different time delays and Doppler shifts in the received signal [1]. However, the application of the same concept is not sufficient to evaluate a MIMO radar system since parameters such as the geometry of the system and the degree of orthogonality (cross-correlation) between the operating waveforms play significant role in the overall performance of the system. In recent years

various formulations of AF for multi-static radars based on optimal detectors have been proposed [8]–[11]. In [8] and [9] the optimum detector concept is used and the AF is obtained by summing the matched filtered result from each receiver. A different approach is explored in [11] and [10] where the suggested AF definition is based on the log-likelihood function and the concept of information theory. In this work a probabilistic definition of the multistatic AF is presented based on the maximum likelihood (ML) and Kullback directed divergence (KDD). This approach was firstly introduced in [12] for a monostatic system configuration. Using a widely distributed MIMO sensor framework the AF described in [12] is expanded for a multistatic system. Additionally a mathematical analysis is used to extract the AF in terms of signal-to-noise ratios (SNRs) and matched filter outputs. This helps in avoiding inversions of large matrices, as encountered in [11] and [10], leading to faster and more accurate computations. A computer-simulation-based performance analysis of the proposed AF for various scenarios is also given.

The remainder of the paper is organised as follows. Section II introduces the DMRS framework that will be examined. The proposed multi-static AF is derived in Section III. Performance analysis of the proposed AF is presented in Section IV. Finally Section V concludes the paper.

Comments on notation: Vectors and matrices are denoted by bold letters. The transpose and conjugate transpose operators are denoted by $(\cdot)^T$ and $(\cdot)^H$ respectively. Finally, for convenience and without loss of generality, in the rest of the paper a 2-D plane is assumed instead of a 3-D space, with the general format of coordinates and velocity being expressed as $\mathbf{x} = [x, y]^T$ and $\mathbf{v} = [v_x, v_y]^T$ respectively.

II. DMRS FRAMEWORK FORMULATION

A MIMO Radar system with N_T transmitters and N_R receivers is examined. We assume all the sensors to have an isotropic radiation pattern. The location of the i -th transmitter and the j -th receiver are denoted by Cartesian coordinates given by the column vectors $\mathbf{x}_{i,T}$, $i = 1, \dots, N_T$ and $\mathbf{x}_{j,R}$, $j = 1, \dots, N_R$ respectively. Additionally a target is located within the surveillance area. The target is assumed to be distributed and consisted of a large number, N_Q , of independent isotropic scatterers located at $\mathbf{x}_{q,Q}$, $q = 1, \dots, N_Q$. The reflectivity of the scatterer is modelled by an independent and identically distributed (i.i.d) complex random variable ζ_q with zero mean and variance $E[|\zeta_q|^2] = \sigma_0^2/N_Q$ where σ_0^2 is the average radar cross section (RCS) of the target. Additionally the target follows the classic Swerling I model while its RCS

centre of gravity is located at \mathbf{x}_0 and its velocity is \mathbf{v}_0 .

The propagation of a signal from a transmitter to a receiver consists of three sequential steps: 1) the propagation from a transmitter to the scatterers of the target, 2) the reflection from the scatterers and 3) the propagation from the target to a receiver. We make the reasonable assumption that the range resolution of the transmitted waveforms is not high enough to distinguish individual scatters. The delay and Doppler shift of a signal emitted by i -th transmitter and received by j -th receiver can therefore be written as:

$$\tau_{j,i} = \frac{|\mathbf{D}_{i,\mathcal{T}}| + |\mathbf{D}_{j,\mathcal{R}}|}{c} \quad (1)$$

$$f_{j,i}^d = \frac{f_c}{c} \left(\mathbf{v}_0^T \frac{\mathbf{D}_{i,\mathcal{T}}}{|\mathbf{D}_{i,\mathcal{T}}|} - \mathbf{v}_0^T \frac{\mathbf{D}_{j,\mathcal{R}}}{|\mathbf{D}_{j,\mathcal{R}}|} \right) \quad (2)$$

where $\mathbf{D}_{i,\mathcal{T}} = \mathbf{x}_0 - \mathbf{x}_{i,\mathcal{T}}$ and $\mathbf{D}_{j,\mathcal{R}} = \mathbf{x}_0 - \mathbf{x}_{j,\mathcal{R}}$ are the distance vectors from the RCS gravity centre of the target to i -th transmitter and to the j -th receiver respectively, and f_c is the carrier frequency. Furthermore the phase shift applied to signal transmitted by i -th transmitter and received in j -th receiver is calculated as:

$$e^{\phi_{j,i}} = e^{-j2\pi f_c \tau_{j,i}} \quad (3)$$

Accounting the two-way radar equation and substituting the RCS with 1 m^2 , the energy propagated from i -th transmitter to the j -th receiver is calculated as:

$$E_{j,i} = \frac{E_{i,\mathcal{T}} G_{i,\mathcal{T}} G_{j,\mathcal{R}} \lambda^2}{(4\pi)^3 |D_{i,\mathcal{T}}|^2 |D_{j,\mathcal{R}}|^2 L_{j,i}} \quad (4)$$

where $E_{i,\mathcal{T}}$ and $G_{i,\mathcal{T}}$ are the energy and gain at the i -th transmitter respectively, $G_{j,\mathcal{R}}$ is the gain at the j -th receiver, λ is the wavelength of the carrier, and $L_{j,i}$ denotes other non free-space losses in the i -th transmitter j -th receiver path. The received signal at the j -th receiver due to the i -th transmitter can be therefore expressed as:

$$r_{j,i}(t) = \sqrt{E_{j,i}} \sum_{q=1}^{N_Q} \zeta_q e^{\phi_{j,i}} s_i(t - \tau_{j,i}) e^{j2\pi f_{j,i}^d t} + n_j(t) \quad (5)$$

where $s_i(t)$ is the complex normalised signal emitted from i -th transmitter, $\int_T |s_i(t)|^2 dt = 1$ and $n_j(t)$ is a complex additive Gaussian noise with distribution $\mathcal{CN}(0, \sigma_n^2)$. To simplify (5) two factorizations are considered:

$$a_{i,j}(\theta) = \sqrt{E_{j,i}} \sum_{q=1}^{N_Q} \zeta_q e^{\phi_{j,i}} \quad (6)$$

$$y_i(t, \theta, j) = s_i(t - \tau_{j,i}) e^{j2\pi f_{j,i}^d t} \quad (7)$$

were $\theta = [\mathbf{x}_0, \mathbf{v}_0]^T$ and therefore (5) is expressed as:

$$r_{j,i}(t) = y_i(t, \theta, j) a_{j,i}(\theta) + n_j(t) \quad (8)$$

Moreover as the received signal is sampled it is more practical to define it using a $M \times 1$ column vector:

$$\mathbf{r}_{j,i} = \mathbf{y}_i(\theta, j) a_{j,i}(\theta) + \mathbf{n}_j \quad (9)$$

Furthermore since the received signal $\mathbf{r}_{j,i}$ at the j -th sensor is a linear combination of all the $N_{\mathcal{T}}$ reflected signals (9) can be expressed as:

$$\begin{aligned} \mathbf{r}_j &= \sum_{i=1}^{N_{\mathcal{T}}} \mathbf{r}_{j,i} \\ &= \sum_{i=1}^{N_{\mathcal{T}}} \mathbf{y}_i(\theta, j) a_{j,i}(\theta) + \mathbf{n}_j \\ &= \mathbf{Y}(\theta, j) \mathbf{a}_j(\theta) + \mathbf{n}_j \end{aligned} \quad (10)$$

where $\mathbf{Y}(\theta, j)$ is a $M \times N_{\mathcal{T}}$ matrix and $\mathbf{a}_j(\theta)$ is a $N_{\mathcal{T}} \times 1$ column vector defined as:

$$\mathbf{Y}(\theta, j) = [\mathbf{y}_1(\theta, j), \mathbf{y}_2(\theta, j), \dots, \mathbf{y}_{N_{\mathcal{T}}}(\theta, j)] \quad (11)$$

$$\mathbf{a}_j(\theta) = [a_{j,1}(\theta), a_{j,2}(\theta), \dots, a_{j,N_{\mathcal{T}}}(\theta)]^T \quad (12)$$

To examine the complete MIMO system $\mathbf{Y}(\theta)$ is defined as the $MN_{\mathcal{R}} \times N_{\mathcal{T}}N_{\mathcal{R}}$ block matrix given by:

$$\mathbf{Y}(\theta) = \begin{bmatrix} \mathbf{Y}(\theta, 1) & 0 & \dots & 0 \\ 0 & \mathbf{Y}(\theta, 2) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{Y}(\theta, N_{\mathcal{R}}) \end{bmatrix} \quad (13)$$

and $\mathbf{a}(\theta)$ the $N_{\mathcal{T}}N_{\mathcal{R}} \times N_{\mathcal{R}}$ block matrix given as:

$$\mathbf{a}(\theta) = \begin{bmatrix} \mathbf{a}_1(\theta) & 0 & \dots & 0 \\ 0 & \mathbf{a}_2(\theta) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{a}_{N_{\mathcal{R}}}(\theta) \end{bmatrix} \quad (14)$$

Using (10)-(14) the overall received signal \mathbf{r} can be defined as a $MN_{\mathcal{R}} \times N_{\mathcal{R}}$ block matrix given by:

$$\mathbf{r} = \begin{bmatrix} \mathbf{r}_1 & 0 & \dots & 0 \\ 0 & \mathbf{r}_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{r}_{N_{\mathcal{R}}} \end{bmatrix} \quad (15)$$

or

$$\mathbf{r} = \mathbf{Y}(\theta) \mathbf{a}(\theta) + \mathbf{n} \quad (16)$$

where \mathbf{n} is a $MN_{\mathcal{R}} \times N_{\mathcal{R}}$ block matrix stated as:

$$\mathbf{n} = \begin{bmatrix} \mathbf{n}_1 & 0 & \dots & 0 \\ 0 & \mathbf{n}_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{n}_{N_{\mathcal{R}}} \end{bmatrix} \quad (17)$$

It is assumed that the antennas are sufficiently spatially separated so that at least one of the following four conditions are met [5]:

$$\begin{aligned} x_{j,\mathcal{R}} - x_{j',\mathcal{R}} &> |\mathbf{D}_{j,\mathcal{R}}| \lambda_c / \Delta_x, & j \neq j' \\ x_{i,\mathcal{T}} - x_{i',\mathcal{T}} &> |\mathbf{D}_{i,\mathcal{T}}| \lambda_c / \Delta_x, & i \neq i' \\ y_{j,\mathcal{R}} - y_{j',\mathcal{R}} &> |\mathbf{D}_{j,\mathcal{R}}| \lambda_c / \Delta_y, & j \neq j' \\ y_{i,\mathcal{T}} - y_{i',\mathcal{T}} &> |\mathbf{D}_{i,\mathcal{T}}| \lambda_c / \Delta_y, & i \neq i' \end{aligned} \quad (18)$$

where Δ_x and Δ_y are the dimensions of the target in the respective axes. In this case the different scatterers are considered uncorrelated [5] and thus:

$$\mathcal{E}\{a(\theta)a(\theta)^H\} = \mathcal{E}\left\{\sum_{q=1}^{N_Q} |\zeta_q|^2\right\} \mathbf{E}(\theta) = \sigma_0^2 \mathbf{E}(\theta) \quad (19)$$

where $\mathcal{E}\{\cdot\}$ denotes the expected value, $\mathbf{E}(\theta)$ is a $N_{\mathcal{T}}N_{\mathcal{R}} \times N_{\mathcal{T}}N_{\mathcal{R}}$ block diagonal matrix defined as:

$$\mathbf{E}(\theta) = \begin{bmatrix} E_{1,1} & 0 & \cdots & 0 \\ 0 & E_{1,2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & E_{N_{\mathcal{T}},N_{\mathcal{R}}} \end{bmatrix} \quad (20)$$

For convenience the energy parameter associated with the signal amplitude alterations is defined as $\mathbf{C}(\theta) = \sigma_0^2 \mathbf{E}(\theta)$. The above framework will now be used to specify the multi-static AF in the next section.

III. AMBIGUITY FUNCTION FORMULATION

In this section the Kullback directed divergence and how it can be used to define the ambiguity in the context of a radar system is described. It should be noted that this ambiguity definition was firstly proposed for the mono-static radar case in [12]. As this work is mainly focus on examining how this definition can be applied to derive a multi-static AF, the ambiguity definition will not be analytically derived here, see [12] for details.

A. Kullback directed divergence (KDD)

The KDD is a measure of similarity between probability densities [13]. In [12] it was shown that in a localization system where the location parameter θ is to be estimated from the received signal \mathbf{r} , the estimation problem can be completely defined by the family of probability density functions (PDFs) or manifold:

$$\mathcal{G}_\theta = \{p(\mathbf{r}|\theta), \theta \in \Theta\} \quad (21)$$

where $p(\mathbf{r}|\theta)$ is the PDF of the observed data indexed by the vector θ . Additionally by the definition given in [12] the ambiguity provides an index on the ability to discriminate between different values of θ in the model manifold \mathcal{G}_θ and is solely dependent on the geometric properties of \mathcal{G}_θ .

To evaluate the problem of estimating the real value θ_0 of an unknown parameter θ , the binary decision test can be employed:

$$\begin{aligned} H_0 : \mathbf{r} &\rightarrow p_{\theta_0} = \{p(\mathbf{r}|\theta_0)\} \\ H_1 : \mathbf{r} &\rightarrow p_\theta = \{p(\mathbf{r}|\theta)\} \end{aligned} \quad (22)$$

where hypotheses H_0 and H_1 are defined as events corresponding to the presence and absence of the target, respectively. The estimation of θ_0 can therefore be derived as the problem of distinguishing between the two PDFs $p(\mathbf{r}|\theta_0)$ and $p(\mathbf{r}|\theta)$ in the family \mathcal{G}_θ .

In the model the received signal \mathbf{r} is a linear combination of independent Gaussian variables \mathbf{a} and therefore it also follows

a Gaussian distribution $\mathbf{r} \sim \mathcal{CN}(0, \mathbf{R}_\theta)$. The covariance matrix \mathbf{R}_θ of the received signal can be calculated as:

$$\begin{aligned} \mathbf{R}_\theta &= E\{\mathbf{r}(\theta)\mathbf{r}(\theta)^H\} \\ &= E\{(\mathbf{Y}(\theta)\mathbf{a}(\theta) + \mathbf{n})(\mathbf{Y}(\theta)\mathbf{a}(\theta) + \mathbf{n})^H\} \\ &= \mathbf{Y}(\theta)E\{\mathbf{a}(\theta)\mathbf{a}(\theta)^H\}\mathbf{Y}(\theta)^H + \sigma_n^2 \mathbf{I}_{MN_{\mathcal{R}}} \\ &= \mathbf{Y}(\theta)\mathbf{C}(\theta)\mathbf{Y}(\theta)^H + \sigma_n^2 \mathbf{I}_{MN_{\mathcal{R}}} \end{aligned} \quad (23)$$

The Kullback-directed divergence between two $MN_{\mathcal{R}}$ normal densities with zero mean and covariance matrices R_{θ_0} and R_θ is [12]:

$$I(\theta_0 : \theta) = \frac{1}{2} [\text{tr}[\mathbf{R}_\theta^{-1} \mathbf{R}_{\theta_0}] - MN_{\mathcal{R}} - \ln|\mathbf{R}_\theta^{-1} \mathbf{R}_{\theta_0}|] \quad (24)$$

In this case the two normal densities are those described by the return from the target being at the delay/Doppler location θ_0 and the expected location θ respectively. Using (23) and applying linear algebra it can be shown that:

$$\mathbf{R}_\theta^{-1} = \frac{1}{\sigma_n^2} [\mathbf{I}_{MN_{\mathcal{R}}} - \mathbf{Y}(\theta)\mathbf{C}(\theta)[\mathbf{K}(\theta)\mathbf{C}(\theta) + \sigma_n^2 \mathbf{I}_{N_{\mathcal{T}}N_{\mathcal{R}}}]^{-1} \mathbf{Y}(\theta)^H] \quad (25)$$

$$|\mathbf{R}_\theta| = \sigma_n^{2MN_{\mathcal{R}}} |\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}}N_{\mathcal{R}}}| \quad (26)$$

$$\mathbf{SNR}_\theta \triangleq \frac{\mathbf{K}(\theta)\mathbf{C}(\theta)}{\sigma_n^2} \quad (27)$$

where $\mathbf{K}(\theta) = \mathbf{Y}(\theta)^H \mathbf{Y}(\theta)$ is a $N_{\mathcal{T}}N_{\mathcal{R}} \times N_{\mathcal{T}}N_{\mathcal{R}}$ block diagonal matrix having in its main diagonal the auto-correlations of the transmitted signals while the rest of the elements are populated by the cross-correlations of different transmitted signals with delays and Doppler shifts determined by the parameter θ . Using (25) and (26) the trace and logarithmic determinant term of the KDD in (24) are examined in (28) and (29) respectively. The KDD can be rewritten as in (30), which is derived after substituting (28) and (29) in (24). Here the KDD is expressed in terms of the matched filter output $\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0)$, and the SNR at the location of the target \mathbf{SNR}_{θ_0} and the expected location \mathbf{SNR}_θ .

B. Multi-static Ambiguity Function

Applying the analysis in [12] and bearing in mind that it is desirable for the AF to take values between 0 and 1, the multi-static AF is defined as:

$$\mathcal{A}(\theta_0, \theta) \triangleq 1 - \frac{I(\theta_0 : \theta)}{I_{\text{ub}}(\theta_0)} \quad (31)$$

where $I_{\text{ub}}(\theta_0)$ is the upper-bound of $I(\theta_0 : \theta)$. Examining the different terms in (30) it can be easily shown that all the traces and the logarithms are positive values. Therefore the upper-bound can be calculated by minimising the terms with negative sign. Considering the first trace with negative sign in (30) and assuming that there is at least one θ for which $\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0) = 0$, it results to the minimum of this term is also zero. Furthermore, by applying eigenvalue decomposition on \mathbf{SNR}_θ and using the arithmetic mean - geometric mean (AM-GM) inequality the minimum value of

$$\begin{aligned}
\text{tr} [\mathbf{R}_\theta^{-1} \mathbf{R}_{\theta_0}] &= \text{tr} \left[\frac{1}{\sigma_n^2} [\mathbf{I}_{MN_{\mathcal{R}}} - \mathbf{Y}(\theta) \mathbf{C}(\theta) [\mathbf{K}(\theta) \mathbf{C}(\theta) + \sigma_n^2 \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1} \mathbf{Y}(\theta)^H] \cdot [\mathbf{Y}(\theta_0) \mathbf{C}(\theta_0) \mathbf{Y}(\theta_0)^H + \sigma_n^2 \mathbf{I}_{MN_{\mathcal{R}}}] \right] \\
&= -\text{tr} \left[\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0) \frac{\mathbf{C}(\theta_0)}{\sigma_n^2} [\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0)]^H \frac{\mathbf{C}(\theta)}{\sigma_n^2} [\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1} \right] \\
&\quad + \text{tr} [\mathbf{SNR}_{\theta_0}] + MN_{\mathcal{R}} - \text{tr} [\mathbf{SNR}_\theta [\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1}]
\end{aligned} \tag{28}$$

$$\begin{aligned}
\ln |\mathbf{R}_\theta^{-1} \mathbf{R}_{\theta_0}| &= \ln \left[\sigma_n^{-2MN_{\mathcal{R}}} |\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}|^{-1} \sigma_n^{2MN_{\mathcal{R}}} |\mathbf{SNR}_{\theta_0} + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| \right] \\
&= -\ln |\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| + \ln |\mathbf{SNR}_{\theta_0} + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}|
\end{aligned} \tag{29}$$

$$\begin{aligned}
I(\theta_0 : \theta) &= \frac{1}{2} \left[\text{tr} [\mathbf{R}_\theta^{-1} \mathbf{R}_{\theta_0}] - MN_{\mathcal{R}} - \ln |\mathbf{R}_\theta^{-1} \mathbf{R}_{\theta_0}| \right] \\
&= \frac{1}{2} \left[-\text{tr} \left[\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0) \frac{\mathbf{C}(\theta_0)}{\sigma_n^2} [\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0)]^H \frac{\mathbf{C}(\theta)}{\sigma_n^2} [\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1} \right] \right. \\
&\quad \left. + \text{tr} [\mathbf{SNR}_{\theta_0}] - \text{tr} [\mathbf{SNR}_\theta [\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1}] - \ln |\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| + \ln |\mathbf{SNR}_{\theta_0} + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| \right]
\end{aligned} \tag{30}$$

the second negative trace can be calculated from the following relation:

$$\text{tr} \left[\frac{\mathbf{SNR}_\theta}{\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}} \right] \geq \frac{\text{tr} [\mathbf{SNR}_\theta]}{\frac{\text{tr} [\mathbf{SNR}_\theta]}{\text{rank}[\mathbf{SNR}_\theta]} + 1} \tag{32}$$

where $\text{rank}[\cdot]$ denotes the rank of a matrix. Using the same procedure the minimum value of the negative logarithm is written as:

$$\ln |\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| \geq \text{rank}[\mathbf{SNR}_\theta] \ln \left(\frac{\text{tr} [\mathbf{SNR}_\theta]}{\text{rank}[\mathbf{SNR}_\theta]} + 1 \right) \tag{33}$$

Using (32) and (33) the upper bound of the KDD in (30) can be calculated as:

$$\begin{aligned}
I_{\text{ub}}(\theta_0) &= \frac{1}{2} \left[\text{tr} [\mathbf{SNR}_{\theta_0}] - \min_{\theta} \frac{\text{tr} [\mathbf{SNR}_\theta]}{\frac{\text{tr} [\mathbf{SNR}_\theta]}{\text{rank}[\mathbf{SNR}_\theta]} + 1} \right. \\
&\quad \left. - \min_{\theta} \text{rank}[\mathbf{SNR}_\theta] \ln \left(\frac{\text{tr} [\mathbf{SNR}_\theta]}{\text{rank}[\mathbf{SNR}_\theta]} + 1 \right) \right. \\
&\quad \left. + \ln |\mathbf{SNR}_{\theta_0} + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| \right]
\end{aligned} \tag{34}$$

It is observed that the KDD has a maximum value at the resolution bin θ where the $\text{tr} [\mathbf{SNR}_\theta]$ and $\text{rank}[\mathbf{SNR}_\theta]$ are minimum. Therefore it can be deduced that the AF is highly depended on the geometry of the system and the design of the applied waveforms. Having defined a tight upper bound we can ensure that $A \geq 0$. Additionally, it can be shown that [13]:

$$I(\theta_0 : \theta) \geq 0 \quad \forall \theta_0, \theta \tag{35}$$

where it can be seen in (30) the equality holds for $\theta = \theta_0 \rightarrow I(\theta_0 : \theta) = 0$ and therefore from (31) $A \leq 1$.

C. Simplified Multi-static Ambiguity Function

In the next paragraphs two important special cases of the multi-static AF are discussed. These are more simplified cases than the general one presented previously, satisfying specific constrains under constant energy and SNR conditions.

1) *Constant Energy Parameter*: In the first case the energy parameter $E_{j,i}$ is assumed to be constant. The parameter $\mathbf{C}(\theta)$ can therefore be replaced by a constant \mathcal{C} . This simplifies the KDD in (30) which can now be rewritten as in (36). Additionally the upper bound can also be simplified as:

$$\begin{aligned}
I_{\text{ub}}(\theta_0) &= \frac{1}{2} \left[\frac{\mathcal{C}}{\sigma_n^2} N_{\mathcal{T}} N_{\mathcal{R}} \left[1 - \frac{1}{\frac{\mathcal{C} N_{\mathcal{T}} N_{\mathcal{R}}}{\sigma_n^2 \text{rank}[\mathbf{SNR}_\theta]} + 1} \right] \right. \\
&\quad \left. - \text{rank}[\mathbf{SNR}_\theta] \ln \left(\frac{\mathcal{C} N_{\mathcal{T}} N_{\mathcal{R}}}{\sigma_n^2 \text{rank}[\mathbf{SNR}_\theta]} + 1 \right) \right. \\
&\quad \left. + \ln |\mathbf{SNR}_{\theta_0} + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| \right]
\end{aligned} \tag{37}$$

Examining (37) it follows that under constant energy condition the upper bound is not that highly depended on the geometry of the system as in the more general case.

2) *Constant SNR*: Considering a more simplified, and closer to the traditional, case of the multi-static AF, the energy parameter $E_{j,i}$ is assumed to be constant and the waveforms to be fully orthogonal. In this case both $\mathbf{C}(\theta)$ and $\mathbf{K}(\theta)$ can

$$\begin{aligned}
I(\theta_0 : \theta) &= \frac{1}{2} \left[-\text{tr} \left[\left(\frac{\mathcal{C}}{\sigma_n^2} \right)^2 |\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0)|^2 [\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1} \right] + \frac{\mathcal{C}}{\sigma_n^2} N_{\mathcal{T}} N_{\mathcal{R}} \right. \\
&\quad \left. - \text{tr} [\mathbf{SNR}_\theta [\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}]^{-1}] - \ln |\mathbf{SNR}_\theta + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| + \ln |\mathbf{SNR}_{\theta_0} + \mathbf{I}_{N_{\mathcal{T}} N_{\mathcal{R}}}| \right]
\end{aligned} \tag{36}$$

be replaced by a constant \mathcal{C} and an identity matrix $I_{N_{\mathcal{T}}N_{\mathcal{R}}}$ respectively. This also results to a constant SNR $= \mathcal{C}/\sigma_n^2$ and consequently the KDD in (30) can be expressed as:

$$I(\theta_0 : \theta) = \frac{\text{SNR}^2}{2(\text{SNR} + 1)} \text{tr} [\mathbf{I}_{N_{\mathcal{T}}N_{\mathcal{R}}} - |\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0)|^2] \quad (38)$$

Therefore the upper-bound of the KDD in (38) is now given by:

$$I_{\text{ub}}(\theta_0) = N_{\mathcal{T}}N_{\mathcal{R}} \frac{\text{SNR}^2}{2(\text{SNR} + 1)} \quad (39)$$

Lastly the ambiguity in (31) can be expressed as:

$$\mathcal{A}(\theta_0, \theta) = \frac{1}{N_{\mathcal{T}}N_{\mathcal{R}}} \text{tr} [|\mathbf{Y}(\theta)^H \mathbf{Y}(\theta_0)|^2] \quad (40)$$

In this case the multi-static AF is calculated as the mean of the AF corresponding to every transmitter/receiver pair in the system.

It is noted that the mathematical analysis developed above was mainly motivated by the need to form an upper bounded and properly defined AF that lies between 0 and 1. In addition a formulation similar to the monostatic case based on the concept of SNR at the matched filter output was sought to allow better understanding. The proposed formulation also avoids the inversion of large matrices which are computationally demanding and can be prone to numerical issues. The impact of using the formulation in (25) instead of applying a matrix inversion algorithm to calculate \mathbf{R}_{θ}^{-1} can be easily demonstrated when a one receiver system is considered. In this case, when a matrix inversion is performed the time complexity varies between $\mathcal{O}(M^3)$ and $\mathcal{O}(M^{2.37})$ depending on the applied algorithm [14]. On the other hand using (25) results in a complexity of $\mathcal{O}(M^2N_{\mathcal{T}})$. The computation time can be therefore significantly improved when sequences with large length M are used.

IV. PERFORMANCE ANALYSIS

In this section the performance of the proposed multi-static AF is presented and compared with the AF occurred by squaring the matched filter outputs at each receiver. For this purpose a 4×4 MIMO sensor system is examined for two different scenarios. In the first scenario the energy parameter is varying, while in the second it remains constant. For both cases the carrier frequency is set at $f_c = 10$ GHz and a 600×600 m² surveillance area is examined. Additionally all the antennas are considered omnidirectional with gain $G_{\mathcal{T}} = G_{\mathcal{R}} = 20$ dB and the non-free space losses are set to $L = 0$ dB. Furthermore the mean RCS is set to $\sigma_0 = 1$.

The sequences used in the system are linear frequency modulated (LFM) waveforms described as:

$$\begin{aligned} s_1(t) &= e^{j\pi \frac{\text{BW}}{T} t^2}, & s_3(t) &= \begin{cases} e^{j\pi \frac{\text{BW}}{T} t^2} & t < 2T/3 \\ e^{j\pi \frac{\text{BW}}{T} (T-t)^2} & t > 2T/3 \end{cases} \\ s_2(t) &= e^{j\pi \frac{\text{BW}}{T} (T-t)^2}, & s_4(t) &= \begin{cases} e^{j\pi \frac{\text{BW}}{T} t^2} & t < T/3 \\ e^{j\pi \frac{\text{BW}}{T} (T-t)^2} & t > T/3 \end{cases} \end{aligned} \quad (41)$$

where $\text{BW} = 300$ MHz and $T = 10$ μs are the bandwidth and the period of the waveforms respectively. As it can be seen in (41) the first and second waveforms are two typical

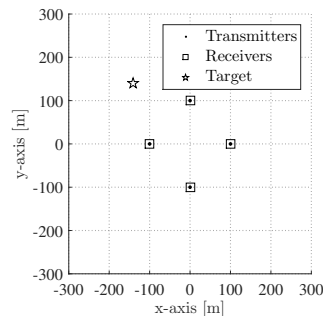


Figure 1. Sensor and target geometry in the surveillance area.

full up and full down chirps respectively. The third waveform is a two-third up and one-thirds down chirp while the fourth is a one-third up and two-thirds down chirp. The reason of choosing this specific design is to achieve low cross-correlation between all the waveforms while occupying all the available bandwidth. In this case the lower and higher cross-correlation maxima between the waveforms are -34.6 and -27 dB.

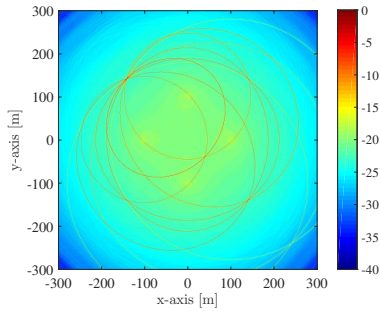
For the geometry of the system, the transmitters and receivers are placed in pairs on a circle with 50 m radius centred at the centre of the surveillance area. Lastly, the received signal is calculated for a target with a centre of mass placed at $\mathbf{x}_0 = [-140, 140]$ m and velocity $\mathbf{v}_0 = [0, 0]$ m/s. The geometry of the described system can be seen in Figure 1.

A. Scenario 1

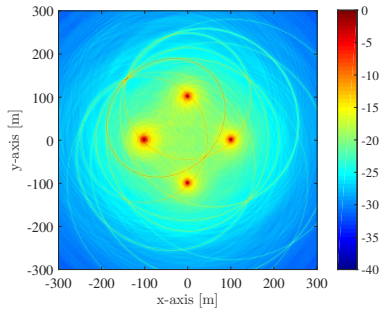
In the first scenario the above system is examined using the generalised multistatic AF. It is also assumed that all transmitters use the same power and the total energy consumed by the system is 10 MW. This places the target at the very high SNR of $\text{tr}[\text{SNR}_{\theta_0}] = 37$ dB. While this might not be realistic for a typical radar localisation problem, the high SNR is useful for better understanding the behavior of the AF. Figure 2 illustrates the resulted multistatic AF in logarithmic scale using the proposed formulation (see sub-figure (a)) and by squaring the summation of the matched filtered outputs at each receiver and dividing by the maximum value (see sub-figure (b)). As it can be seen in Figure 2a the maximum of the proposed AF can be used for target localisation. Additionally, stronger ambiguities can be noticed at the circles and ellipses associated with the target's delay bins for the monostatic and bistatic radars pairs respectively. In Figure 2b on the other hand very strong ambiguities can be observed on areas close to the transceivers.

B. Scenario 2

The second examined scenario follows the same configuration as in the Scenario 1 with however the energy parameter $\mathcal{C}(\theta)$ being constant though the surveillance area. In this case also the SNR of the target remains at the 37 dB. As it can be seen in Figure 3 both the proposed method (see sub-figure (a)) and the conventional squared matched filtered outputs (see sub-figure (b)) perform very similar to each other, with the proposed method however having a lower floor level. While in the given scenario this difference might not be very significant, in cases of lower target SNR lower floor levels can play very significant role in terms of probability of detection



(a) Proposed AF



(b) Matched filter AF

Figure 2. Multi-static AF of the described system for Scenario 1 using (a) the proposed formulation and (b) by squaring the summation of the matched filter outputs at each receiver.

and false alarm. It should also be pointed that the localization performance has been significantly improved in both cases compared to the Scenario 1. Comparing Figures 2a and 3a lower ambiguities and floor levels can be observed for the constant energy parameter case.

V. CONCLUSION

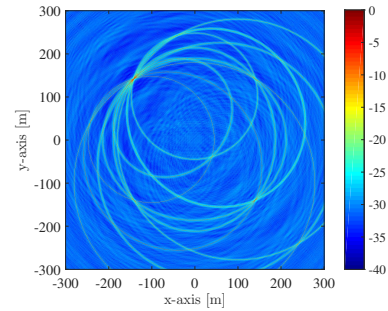
In this work a novel multi-static AF is presented based on the Kullback directed divergence applied in a distributed MIMO radar systems framework. Theoretical analysis showed that the AF is maximally stretched between 0 and 1 while also being flexible for various system assumptions. Additionally a performance analysis of a 4×4 MIMO sensor system was held by comparing the proposed method with the typical approach of adding all the matched filtered outputs at the receivers. The simulation results demonstrated that the proposed method performs significantly better for varying energy parameter and offers lower floor levels when constant energy parameter is assumed.

ACKNOWLEDGMENT

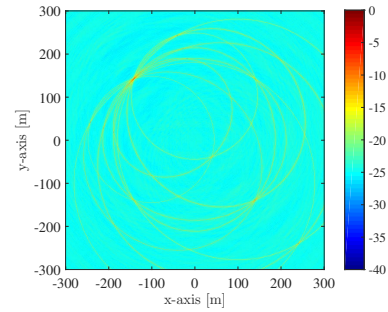
This work was supported by the Engineering and Physical Sciences Research Council (EPSRC) Grant number EP/K014307/1 and the MOD University Defence Research Collaboration in Signal Processing.

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(a) Proposed AF



(b) Matched filter AF

Figure 3. Multi-static AF of the described system for Scenario 2 using (a) the proposed formulation and (b) by squaring the summation of the matched filter outputs at each receiver.

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