A Quasi-optical mode converter for a w-band gyrotron traveling wave amplifier

P. McElhinney, C.R. Donaldson, and L. Zhang

Department of Physics, SUPA, University of Strathclyde, Glasgow, Scotland, UK, G4 0NG paul.mcelhinney@strath.ac.uk

Abstract:

A W-band corrugated horn has been designed, manufactured, and experimentally measured at the University of Strathclyde, for integration into a gyro-device as a quasi-optical launcher. This horn converts a cylindrical TE_{11} mode into a free space TEM_{00} mode in a frequency band of 84–104 GHz with a reflection better than -30 dB and a Gaussian coupling efficiency of ~98% and directivity of 26.6 dB at 95 GHz. The small beam waist makes such a horn ideal for use with a depressed collector system. The measured results are in excellent agreement with the numerical simulations.

Introduction:

Gyro-devices [1-3] are well suited to application in plasma physics, remote sensing and imaging and for electron spin resonance spectroscopy, due to the fast-wave cyclotron resonance maser instability, which is capable of producing high power coherent microwave radiation at frequencies(mm and sub-mm), that prove challenging for other sources. A W-band gyrotron traveling wave amplifier (gyro-TWA) and gyrotron backward wave oscillator (gyro-BWO) [4] based on a cusp electron beam source [5-7] and a helically corrugated interaction region (HCIR) [8] have been developed to provide a continuously tuneable source with a continuous wave (CW) power output of ~5 kW and ~10 kW respectively. The gyro-TWA was simulated to have a 3 dB frequency bandwidth of 90–100 GHz while the gyro-BWO demonstrated a tuning range of 88-102.5 GHz and has achieved an output power of 12 kW [9]

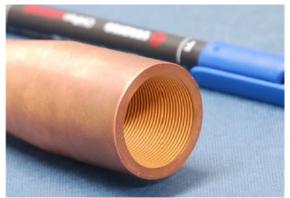


Fig. 1 W-band corrugated horn.

Corrugated output:

An energy recovery system can further increase the overall system efficiency of the gyro-devices by converting the kinetic energy of the spent electron beam into electric energy. However, energy recovery systems would cause undesired microwave reflection into the beam-wave interaction region which necessitates that the wave should be coupled out before the collector system. This decoupling of the beam and radiation may be achieved by means of a quasi-optical mode converting horn (fig. 1). This alters the fundamental operating mode within the gyro-TWA (TE₁₁) to a hybrid mode that is generally accepted to consist of 85% TE₁₁ and 15% TM₁₁ (by power) and is closely coupled to the fundamental free space Gaussian mode (TEM₀₀) [10]. This Gaussian radiation beam may then pass through the collector system and vacuum window [11] unperturbed while the electrons are collected at the energy recovery system that is predicted to increase overall efficiency to 40% by recovering the energy of the spent electron beam [12-14]. This type of corrugated mode converting horn was chosen over more conventional beam-wave decoupling methods due to the perceived performance advantages that it makes possible; both greater bandwidth and the capability to provide a source that is continuously tuneable over this bandwidth. From an initial the primary consideration was the reduction of the reflection, which was best achieved using a sin² profile as described by Clarricoats and Olver [15]. From this baseline design a prototype model was constructed with corrugations linearly tapered in depth from $\lambda/2$ at the throat of the horn to $\lambda/4$ at the aperture, to produce optimum conditions for impedance matching between the horn and the gyro-TWA and minimize the reflection. The corrugation and vane length were initially set to $\lambda/10$ and $\lambda/30$. This prototype design was

then numerically optimized over the operating frequency band. This was done using a mode matching technique, which allows for fast implementation and optimization of various designs and geometries and a high degree of freedom when selecting the design parameters.

Experimental Results:

This prototype horn was constructed by the electroforming of copper onto an aluminium substrate, which was constructed in-house at the University of Strathclyde. Once the aluminium had been dissolved the finalized device was then tested on a W-band Anritsu 3738A VNA. The reflection from the horn was determined by one port measurement where microwaves were radiated into free space. Fig 2 shows the measured performance of the horn compared with the simulations.

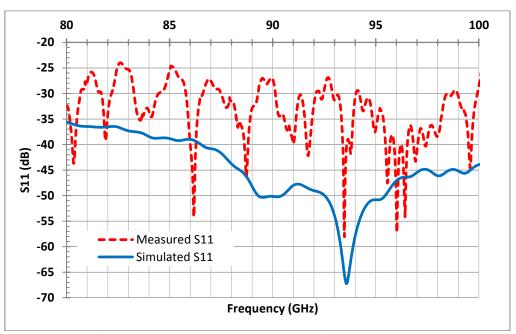


Fig. 2 Simulated and measured S_{11} performance of horn.

Fig. 3 shows the measured far-field pattern at 95 GHz compared with numerical simulation. The -30 dB edge is within a half angle of 15.2 degrees and the pattern shows more than 99% of the output power is within 30 degrees. The simulated results showed a Gaussian couple efficiency of ~98% and measured results show a reflection of better than -30 dB, as shown in Fig. 2, over 88-102 GHz, and directivity of 26.6 GHz at 95 GHz.

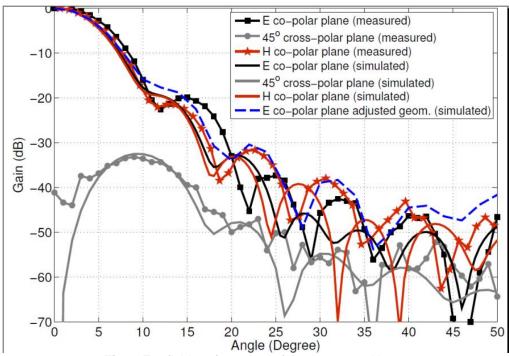


Fig. 3 Far-field performance of the corrugated horn.

References:

- [1] A.W. Cross, W. He, A.D.R. Phelps, K. Ronald, C.G. Whyte, A.R. Young, C.W. Robertson, E.G. Rafferty, J, Thomson, "Helically corrugated waveguide gyrotron traveling wave amplifier using a thermionic cathode electron gun," *Appl. Phys. Lett.*, **90**, 253501, 2007.
- [2] W. He, K. Ronald, A.R. Young, A.W. Cross, A.D.R. Phelps, C.G. Whyte, E.G. Rafferty, J. Thomson, C.W. Robertson, D.C. Speirs, S.V. Samsonov, V.L. Bratman, and G.G. Denisov, "Gyro-BWO experiments using a helical interaction waveguide," *IEEE Trans. Electron. Dev.* **53**, pp. 839-844, 2005.
- [3] W. He, A. W. Cross, A. D. R. Phelps, K. Ronald, C. G. Whyte, S. V. Samsonov, V. L. Bratman, and G. G. Denisov, Theory and simulations of a gyrotron backward wave oscillator using a helical interaction waveguide *Appl. Phys. Lett.* **89**, 091504 (2006).
- [4] W. He, C. R. Donaldson, F. Li, L. Zhang, A. W. Cross, A. D. R. Phelps, K. Ronald, C. W. Robertson, C. G. Whyte, and A. R. Young, "W-band gyro-devices using helically corrugated waveguide and cusp gun: Design, simulation and experiment," *TST*, **4**, pp. 9–19, 2011.
- [5] W. He, C.G. Whyte, E.G. Rafferty, A.W. Cross, A.D.R. Phelps, K. Ronald, A.R. Young, C.W. Robertson, D.C. Speirs, and D.H. Rowlands, "Axis-encircling electron beam generation using a smooth magnetic cusp for gyrodevices," *Appl. Phys. Lett.* **93**, 121501, 2008
- [6] C.R. Donaldson, W. He, A.W. Cross, A.D.R. Phelps, F. Li, K. Ronald, C.W. Robertson, C.G Whyte, A.R. Young, Liang Zhang, "Design and numerical optimization of a cusp-based electron beam for millimetre-wave gyro-devices," IEEE Trans. Plasma Sci., 37, pp. 2153-2157, 2009.
- [7] C. R. Donaldson, W. He, A.W. Cross, F. Li, A. D. R. Phelps, L. Zhang, K. Ronald, C. W. Robertson, C. G. Whyte, A. R. Young, "A cusp electron gun for millimeter wave gyro-devices", *Appl. Phys. Lett.*, **96**, 141501, 2010.
- [8] L. Zhang, W. He, K. Ronald, A. D. R. Phelps, C. G. Whyte, C. W. Robertson, A. R. Young, C. R. Donaldson, and A. W. Cross, "Multi-mode coupling wave theory for helically corrugated waveguide," *IEEE Trans. Microw. Theory Tech.*, **60**, pp. 1–7, 2012
- [9] W. He, C. R. Donaldson, L. Zhang, K. Ronald, P. McElhinney, A. W. Cross, "High power wideband gyrotron backward wave oscillator operating towards the terahertz region," *Phys. Rev. Lett.* **110**, 165101, 2013.
- [10] P. McElhinney, C.R. Donaldson, L. Zhang, and W. He, "A high directivity broadband corrugated horn for Wband gyro-devices," *IEEE Trans. Antennas Propag.* **61**, pp. 1453-1456, 2013.
- [11] C.R. Donaldson, W. He, L. Zhang, and A.W. Cross, "A W-band multi-layer microwave window for pulsed operation of gyro-devices," *IEEE Microw. Wireless Compon. Lett.*, **23**, pp. 237-239, 2013.
- [12] Liang Zhang, Wenlong He, A.W. Cross, A. Phelps, K. Ronald, C.G. Whyte, "Design of an Energy Recovery System for a Gyrotron Backward-Wave Oscillator", *IEEE Trans. Plasma Sci.*, **37**, pp. 390-394, 2009.
- [13] Liang Zhang, Wenlong He, A.W. Cross, A. Phelps, K. Ronald, C.G. Whyte, "Numerical Optimization of a Multistage Depressed Collector With Secondary Electron Emission for an X-band Gyro-BWO", *IEEE Trans. Plasma Sci.*, **37**, pp. 2328-2334, 2009.
- [14] Liang Zhang, Wenlong He, A.W. Cross, A. Phelps, C. Donaldson, K. Ronald, "W-band gyro-BWO with a four stage depressed collector," *TST*, **4**, pp. 76-84, 2011.
- [15] P. J. B. Clarricoats and A. D. Olver, Corrugated Horns for Microwave Antennas. Peregrinus, London, UK, 1984