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Patient Expectation of a Voice Clinic Consultation:
Development of the ACaPELα Questionnaire by Assessment of Four Hundred and Fifty Five Patients.

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Key Points

1. We need to understand our patients’ expectations to be able to improve subjective outcomes, whilst delivering the best evidence-based care possible.

2. We believe we are the first to present data reporting patients’ expectations in a Voice Clinic patient cohort.

3. There is a lack of evidence and understanding of patient expectation and its relationship with patient care.

4. It is envisaged that our ACaPELa questionnaire will be used in routine clinical practice to not only establish patients’ expectation from a laryngology consultation, both clinical and therapy based, but will also be used post consultation to assess whether these expectations have been achieved, or not.
**Introduction**

The many forms of dysphonia present a significant management challenge. Not only may it have a negative effect on a patients’ quality of life\(^1\), but in a proportion of patients it may be the presenting symptom of a head and neck malignancy. As a result, General Practitioners (GPs) are advised to refer any patient with persistent dysphonia for longer than three weeks for ORL assessment\(^2,3\).

Although patients presenting with dysphonia may have been referred by their GPs to exclude a malignancy, patients themselves may have multiple expectations ranging from exclusion of serious disease to complete resolution of every facet of their vocal issues. Equally, ORL clinicians may pursue management on what they perceive are the most relevant clinical issues. Given these uncertainties there is likely to be a mismatch of referral, expectation and subsequent management.

If it is possible to identify the patients’ expectations of the outpatient consultation then it may be possible to not only tailor their consultation more effectively but also ensure that their management is optimised. The aim of the study was to assess patients’ expectations of the consultation at a specialist voice clinic and identify a series of questions which would reflect these expectations.

**Patients and Methods**

All patients attending the adult Voice Clinic at Glasgow Royal Infirmary between January 2012 and July 2014 were included. A total of 521 patients were asked to participate in the study, 71 patients had to be excluded due to incomplete datasets, resulting in 455 for analysis. The mean age at the clinic visit was 52.3 years (SD 18.8, range 14-100 years). There were 288 females and 167 males in the study population (ratio 1.7:1). All participants were invited to complete two questionnaires prior to their outpatient consultation. The first was a specifically designed questionnaire which included fourteen questions assessing patient expectations (PEQ) from the clinic, with a final question asking for 'anything else' (Figure 1). The fourteen questions had been derived from a previous pilot study, where a similar group of patients had been given an open structure questionnaire and were asked to list all the questions they wished addressed from the consultation. To determine the degree of dysphonia, patients were asked to complete the Voice Symptom Score (VoiSS)\(^4\), which is a validated self-assessment questionnaire of vocal quality.
Each patient was assessed in the Voice Clinic by our lead author (KMK), thus reducing inter-
observer variability, with the diagnosis and subsequent management recorded. The anonymised
data were analysed using password-protected NHS computers. The project was accepted by the
local Clinical Effectiveness Department as a clinical audit, therefore did not require specific ethical
approval.

**Results**

The most common diagnoses were functional dysphonia and laryngitis. Other conditions included;
chronic rhinitis, neck lumps, tonsillar pathology, subglottic stenosis, connective tissue disorders,
neurological disease or pharyngeal pouch. Of note, there were 21 (4.6%) cases of possible head and
neck malignancy in the study group; 5 (1.1%) of which were subsequently confirmed histologically.

The mean total VoiSS score was 36.86 (SD 21.63, median 33, range 0-106); mean impairment
subscale was 21.61 (SD 13.06, median 20, range 0-57), mean emotion subscale was 6.44 (SD 7.56,
median 4, range 0-32) and mean physical subscale was 9.02 (SD 4.9, median 9, range 0-25). This
compares to a 'normal' population, where the total VoiSS score is between 0 and 12.

The PEQ results, shown in Table 1, demonstrate that the most common expectations were for
Question 13 (GP/other doctor wanted me checked out), Question 2 (I want to know what is wrong
with my throat), Question 3 (I want to know what is wrong/ I want a diagnosis), Question 1 (I want
to know what is wrong with my voice) and Question 9 (Tell me what I can do to make my throat
problem better). Of particular interest in the other expectations, we found that 49.7% of patients
reported wanting to know if they have cancer (Question 4); although only 44% of the patient cohort
were referred on the “urgent suspicion of cancer” pathway. There were 19 responses (4.2%) to
Question 15 (Anything else); all were covered by the other questions or related to non-
laryngological symptoms the patients were experiencing. The mean number of responses to
questions for the PEQ was 7.6 (SD 3.3, range 0-14). Internal consistency of the questionnaire was
high with Cronbach’s Alpha of 0.81.

There was no significant variation in the mean age of the patients answering each question within
the PEQ; shown in Table 2. There were however a slightly higher mean age of patients wanting to
know if they had cancer, find out why they had lots of phlegm in their throats and those who did not
know why they were at the clinic; 55.7, 55 and 58.4 years respectively. Equally, there was a slightly lower mean age of patients wanting their singing voice improved (48.5 years).

Pearson’s correlation revealed a significant but weak positive correlation between the PEQ as a whole (all questions) with each of the VoiSS subscales along with the total VoiSS scores. Impairment scale (I) \( (r = 0.32, p < 0.001, \text{one-tailed}) \) explaining only 10% of the variance; emotional scale (E) \( (r = 0.25, p <0.001, \text{one-tailed}) \) with only 6% of the variance; physical scale (P) \( (r = 0.26, p <0.001, \text{one-tailed}) \); total score \( (r = 0.36, p<0.001, \text{one-tailed}) \) with 13% of the variance.

To improve the internal reliability of the full questionnaire (Figure 1), Cronbach’s alpha was used to identify dispensable variables – that is variables which, if removed, would result in an increase in the baseline Cronbach’s alpha score. The systematic process indicated that removal of six questions (Questions 6-8 and Questions 12-14) would increase the internal reliability of the questionnaire from 0.81 to 0.83. The subsequent questionnaire was termed “Assessing and Caring for Patient Expectations in Laryngology” (ACaPELa) shown in Figure 2.

Discussion

Managing patients’ expectations can be challenging. It has been suggested by psychologists that the difference between what is expected to be received and what is received, determines overall satisfaction\(^5\). This is important within the Healthcare service, as it highlights the link between the preconceived ideas a patient has for the clinical encounter, how they are managed and their subsequent degree of satisfaction.

Assessing patient satisfaction, or subjective outcomes, in ENT has been extensively developed during recent years, in particular for dysphonic patients; the widespread use of the Voice Handicap Index (VHI)\(^6\), Voice Handicap Index-10 (VHI-10)\(^7\) and Voice-Related Quality of Life (V-RQOL)\(^8\) tools in assessing disease-specific quality of life is supporting evidence. Equally, the development and increasing use of the Glasgow Benefit Inventory (GBI) tool, as a post-intervention assessment of patient satisfaction, is a good example\(^9\). To develop this phenomenon further we need to understand our patients’ expectations to be able to improve subjective outcomes, whilst delivering the best evidence-based care possible.
We believe we are the first to present data reporting patients’ expectations in a Voice Clinic patient cohort. There have been other studies recently in ENT exploring patient expectations in relation to day-surgery experience and general outpatient service\textsuperscript{10,11}. These papers highlight the lack of evidence and understanding of patient expectation and its relationship with patient care.

The open-structure design of the pre-study initial pilot questionnaire allowed the creation of the PEQ incorporating a variety of expectations, which covered all the aspects our patient group were keen to explore during the clinic visit, with the subsequent 14 questions being introduced to a large Glasgow patient cohort. A potential limitation of the study is that the PEQ, and subsequent ACaPELa questionnaire, have been derived using expectations of a patient cohort within Glasgow, and therefore may not represent other general populations’ expectations. We are of the opinion, however, that the questions are presented in a general form, so that application should not be hindered by geography or other patient factors. To confirm applicability, we would aim to use the ACaPELa questionnaire in a further large audit of clinical practice within our own region, and ideally with colleagues throughout other countries.

It is envisaged that ACaPELa will be used in routine clinical practice to not only establish patients’ expectation from a laryngology consultation, both clinical and therapy based, but will also be used post consultation to assess whether these expectations have been achieved, or not.

**Conflicts of Interest**

None declared.
References


### Table 1 – Number of responses to individual factors within PEQ

<table>
<thead>
<tr>
<th>Question</th>
<th>Number of responses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. I want to know what is wrong with my voice</td>
<td>342 (75.2%)</td>
</tr>
<tr>
<td>2. I want to know what is wrong with my throat</td>
<td>359 (78.9%)</td>
</tr>
<tr>
<td>3. I want to know what is wrong/ I want a diagnosis</td>
<td>345 (75.8%)</td>
</tr>
<tr>
<td>4. I want to know if I have cancer</td>
<td>226 (49.7%)</td>
</tr>
<tr>
<td>5. I want to know if I have something seriously wrong</td>
<td>288 (63.3%)</td>
</tr>
<tr>
<td>6. I want to know why I have pain in my throat</td>
<td>157 (34.5%)</td>
</tr>
<tr>
<td>7. I want to know why I have problems with swallowing</td>
<td>134 (29.5%)</td>
</tr>
<tr>
<td>8. I want to know why I have lots of phlegm or catarrh or mucous in my throat</td>
<td>204 (44.8%)</td>
</tr>
<tr>
<td>9. Tell me what I can do to make my throat problem better</td>
<td>341 (74.9%)</td>
</tr>
<tr>
<td>10. Tell me how I can improve my voice/ I want my voice improved</td>
<td>252 (55.4%)</td>
</tr>
<tr>
<td>11. I want to know what the ENT team can do to make me better</td>
<td>310 (68.1%)</td>
</tr>
<tr>
<td>12. I want my singing voice better</td>
<td>109 (24%)</td>
</tr>
<tr>
<td>13. GP/other doctor wanted me checked out</td>
<td>364 (80%)</td>
</tr>
<tr>
<td>14. None/ I don’t know why I am here</td>
<td>16 (3.5%)</td>
</tr>
<tr>
<td>Question</td>
<td>Mean age in years (SD)</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td>1. I want to know what is wrong with my voice</td>
<td>52 (18.4)</td>
</tr>
<tr>
<td>2. I want to know what is wrong with my throat</td>
<td>52 (19)</td>
</tr>
<tr>
<td>3. I want to know what is wrong/ I want a diagnosis</td>
<td>52.7 (18.9)</td>
</tr>
<tr>
<td>4. I want to know if I have cancer</td>
<td>55.7 (18)</td>
</tr>
<tr>
<td>5. I want to know if I have something seriously wrong</td>
<td>52.4 (18.8)</td>
</tr>
<tr>
<td>6. I want to know why I have pain in my throat</td>
<td>50.1 (18)</td>
</tr>
<tr>
<td>7. I want to know why I have problems with swallowing</td>
<td>53.8 (18.1)</td>
</tr>
<tr>
<td>8. I want to know why I have lots of phlegm or catarrh or mucous in my throat</td>
<td>55 (19.2)</td>
</tr>
<tr>
<td>9. Tell me what I can do to make my throat problem better</td>
<td>52.7 (19)</td>
</tr>
<tr>
<td>10. Tell me how I can improve my voice/ I want my voice improved</td>
<td>51.3 (19.1)</td>
</tr>
<tr>
<td>11. I want to know what the ENT team can do to make me better</td>
<td>52.5 (19.1)</td>
</tr>
<tr>
<td>12. I want my singing voice better</td>
<td>48.5 (19.6)</td>
</tr>
<tr>
<td>13. GP/other doctor wanted me checked out</td>
<td>53.3 (18.5)</td>
</tr>
<tr>
<td>14. None/ I don’t know why I am here</td>
<td>58.4 (20)</td>
</tr>
</tbody>
</table>

Table 2 – Mean age of patients per individual factors within PEQ
Patient Expectation Questionnaire (PEQ)

Date ....................

We would like to know what you want from your visit to the clinic. Please tick all that apply:-

1. I want to know what is wrong with my voice [ ]
2. I want to know what is wrong with my throat [ ]
3. I want to know what is wrong/ I want a diagnosis [ ]
4. I want to know if I have cancer [ ]
5. I want to know if I have something seriously wrong [ ]
6. I want to know why I have pain in my throat [ ]
7. I want to know why I have problems with swallowing [ ]
8. I want to know why I have lots of phlegm or catarrh or mucus in my throat [ ]
9. Tell me what I can do to make my throat problem better [ ]
10. Tell me how I can improve my voice/ I want my voice improved [ ]
11. I want to know what the ENT team can do to make me better [ ]
12. I want my singing voice better [ ]
13. GP/other doctor wanted me checked out [ ]
14. None/ I don’t know why I am here [ ]
15. Anything else [ ]

Thank you. Please hand back to a member of staff in clinic.
We would like to know what you want from your visit to the clinic. Please rank all questions below that apply with 1-8 (1 being most important and 8 being least important – you do not need to rank all questions)

1. I want to know what is wrong with my voice
2. I want to know what is wrong with my throat
3. I want to know what is wrong / I want a diagnosis
4. I want to know if I have cancer
5. I want to know if I have something seriously wrong
6. Tell me what I can do to make my throat problem better
7. Tell me how I can improve my voice / I want my voice improved
8. I want to know what the ENT team can do to make me better

Thank you. Please hand back to a member of staff in clinic.