

23 **ABSTRACT:**

24 Exposure to narrowband violet-blue light around 405 nm wavelength can induce lethal
25 oxidative damage to bacteria and fungi, however effects on viruses are unknown. As
26 photosensitive porphyrin molecules are involved in the microbicidal inactivation mechanism,
27 and since porphyrins are absent in viruses, then any damaging effects of 405 nm light on
28 viruses might appear unlikely. This study used the bacteriophage ϕ C31, as a surrogate for
29 non-enveloped double-stranded DNA viruses, to establish whether 405 nm light can induce
30 virucidal effects. Exposure of ϕ C31 suspended in minimal media, nutrient-rich media, and
31 porphyrin solution, demonstrated differing sensitivity of the phage. Significant reductions in
32 phage titre occurred when exposed in nutrient-rich media, with ~3, 5 and 7- \log_{10} reductions
33 achieved after exposure to doses of 0.3, 0.5 and 1.4 kJ/cm^2 , respectively. When suspended
34 in minimal media a 0.3 \log_{10} reduction ($P=0.012$) occurred after exposure to 306 J/cm^2 :
35 much lower than the 2.7 and $>2.5 \log_{10}$ reductions achieved with the same dose in nutrient-
36 rich, and porphyrin-supplemented media, suggesting inactivation is accelerated by the
37 photo-activation of light-sensitive components in the media. This study provides the first
38 evidence of the interaction of narrowband 405 nm light with viruses, and demonstrates that
39 viral susceptibility to 405 nm light can be significantly enhanced by involvement of
40 exogenous photosensitive components. The reduced susceptibility of viruses in minimal
41 media, compared to that of other microorganisms, provides further evidence that the
42 antimicrobial action of 405 nm light is predominantly due to the photo-excitation of
43 endogenous photosensitive molecules such as porphyrins within susceptible
44 microorganisms.

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49 INTRODUCTION

50 Visible violet-blue light in the region of 405 nm has antimicrobial effects, with germicidal
51 activity recorded against a range of Gram-positive and Gram-negative bacteria, yeast,
52 filamentous fungi, and even bacterial and fungal spores.¹⁻⁹

53 Traditional methods of visible light microbial inactivation are associated with photodynamic
54 inactivation (PDI) using exogenous photosensitizer molecules. PDI involves the addition of a
55 photosensitizer *in vitro* which becomes excited by specific wavelengths of visible light, in the
56 presence of oxygen, and reacts to produce reactive oxygen species (ROS), ultimately
57 causing cell damage.¹⁰ This was demonstrated by Clifton¹¹ who established the necessary
58 requirement of light and air in conjunction with photosensitive dyes such as methylene blue
59 for the inactivation of *Staphylococcus* bacteriophages.

60 More recent studies have been carried out to identify alternative photosensitizers for viral
61 PDI. Schagen et al.¹² demonstrated a range of photosensitizers that can be used for
62 inactivation of adenovirus including methylene blue, rose bengal, uroporphyrin or aluminum
63 phthalocynine tetrasulphonate (AlPcS4), and advances have also been made on the
64 production of new photosensitizers such as synthetic tetraaryl-porphyrins.¹³ An up-to-date
65 summary of the many different photosensitizers used for photodynamic inactivation of
66 mammalian viruses and bacteriophages has been detailed by Costa et al.¹⁴ Importantly, the
67 efficacy of photodynamic inactivation of bacteriophages is not only dependent on the
68 photosensitizer and its concentration, but also the dose, fluence rate and light source.¹⁵

69 The use of violet-blue light for microbial inactivation eliminates the necessity for exogenous
70 photosensitizers. This narrow band of visible light between 400-420 nm, peaking at 405 nm,
71 inactivates microorganisms without the need for exogenous photosensitizers and instead
72 utilises photosensitive porphyrin molecules present within the microbial cells.³ Similar to
73 exogenous photosensitizers, when excited by absorption of photons, there is an energy
74 transfer resulting in the production of the non-specific oxidising agent, singlet oxygen and

75 other ROS. These toxic species induce an accumulation of oxidative damage and ultimately
76 cause cell death.^{8,16,17}

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78 Growing evidence of the antimicrobial activity of violet-blue light has led to the development
79 of this technology towards a range of decontamination applications. Numerous studies have
80 suggested the potential of this antimicrobial light for wound decontamination, and the
81 increased sensitivity of bacterial cells compared to mammalian cells should permit selective
82 inactivation of wound contaminants.¹⁸⁻²⁰ The use of 405 nm light for environmental
83 decontamination has also been demonstrated. Trials in hospital burns and intensive care
84 units demonstrated that levels of bacterial contamination on environmental surfaces around
85 occupied isolation rooms could be reduced by up to 86% over and above reductions
86 achieved by traditional cleaning alone.²¹⁻²³

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88 Although 405 nm light has anti-bacterial and anti-fungal efficacy, antiviral activity has yet to
89 be determined. As 405 nm light inactivation is thought to rely on the photo-excitation of
90 endogenous porphyrins, that are absent from virions²⁴, inactivation of viruses by this method,
91 when suspended in a simple buffer solution, is thought to be unlikely. To investigate this, the
92 bacteriophage ϕ C31, a non-enveloped double stranded DNA phage, was used as a
93 surrogate to study the effect of 405 nm light on viruses. This study provides the first
94 evidence of the interaction of narrowband 405 nm light with viruses, and demonstrates the
95 influence of the suspending media on phage susceptibility. As such, this study provides
96 further evidence of the antimicrobial mechanism of action of 405 nm light.

97

98 **MATERIALS AND METHODS**

99 **Microorganisms**

100 The bacteriophage and bacterium used in this study were ϕ C31c Δ 25 and *Streptomyces*
101 *coelicolor* A3(2) Δ pglW.²⁵⁻²⁶ To cultivate *S. coelicolor* spores, the bacterium was spread onto
102 soya flour mannitol agar plates (20 g/l soya flour [Holland & Barrett, UK]; 20 g/l mannitol
103 [Fisher Scientific, UK]; 20g/l agar bacteriological [Oxoid, UK]) and incubated at 30°C for 7-
104 days. Spores were harvested by adding 10 ml sterile water to the plates and scraping with
105 an L-shaped spreader. This suspension was centrifuged at 3939 \times g and the resultant pellet
106 was re-suspended in 20% (w/v) glycerol (Fisher Scientific, UK). The suspension was stored
107 at -20°C, and defrosted when required.

108 To cultivate a stock population of bacteriophage ϕ C31, the phage was diluted in nutrient
109 broth (NB [Oxoid, UK]), and 100 μ l of each dilution was pipetted onto enriched nutrient agar
110 (28 g/l nutrient agar [Oxoid, UK]; 0.5% glucose, 10 mM magnesium sulphate (MgSO₄), 8 mM
111 calcium nitrate (Ca(NO₃)₂) [Fisher Scientific, UK]). A thin layer of molten soft agar (13 g/l NB;
112 0.3% agar bacteriological; 0.5% glucose; 10 mM MgSO₄; 8 mM Ca(NO₃)₂) containing 0.1%
113 *S. coelicolor* spores was poured onto the plates and swirled to ensure even distribution of
114 ϕ C31 across the plate. Plates were incubated at 28°C overnight and the resultant plaques
115 enumerated. To create a high-titre bacteriophage stock suspension, 10 ml NB was added to
116 the plates belonging to the first dilution to cause complete bacterial clearance and was left
117 for 3 hours. The 10 ml liquid was then removed and filtered using a 0.45 μ m filter and the
118 resultant phage suspension was stored at 4°C for experimental use according to the method
119 by Kieser et al.²⁷

120

121 **Experimental Arrangement**

122 A 99-DIE 405 nm light-emitting diode (LED) array (OptoDiode Corp, USA) was used for
123 bacteriophage exposure. The LED array had maximal output at approximately 405 nm, and
124 a bandwidth of approximately 14 nm (Figure 1). The LED array was bonded to a heatsink
125 and fan for thermal management, ensuring samples were not overheated. The LED array
126 system was mounted on a polyvinylchloride housing designed to fit onto a 12-well microplate

127 with the lid removed, with the array positioned directly above a single sample well. The array
128 was powered by a DC supply (1.5 ± 0.05 A and 13.1 ± 0.1 V).

129 For light exposure, phage were diluted to the appropriate starting population in NB. One-ml
130 samples were held in the well of a 12-well microplate, with a depth of 4 mm, and the LED
131 housing placed above. The plate was placed on a 1 cm high stand to allow adequate air
132 flow below the sample plate during light exposure. The distance between the sample surface
133 and LED array was approximately 2 cm, and at this distance, a constant irradiance of
134 56.7 mW/cm^2 was maintained.

135 ϕ C31 populations of 10^3 , 10^5 and 10^7 PFU/ml were exposed to increasing doses of 405 nm
136 light. Control samples were also held under identical conditions but exposed to normal
137 laboratory lighting conditions. Post exposure, the number of active phage particles was
138 quantified using the double-agar layer method²⁸, with samples (100, 200 and 500 μ l
139 volumes) pipetted onto nutrient agar plates, and soft agar containing 0.1% *S. coelicolor*
140 spores thinly poured on top. The plates were left to set and then co-incubated overnight at
141 28°C . Post-incubation, the surviving ϕ C31 were enumerated and results expressed as
142 plaque-forming units per millilitre (PFU/ml). Exposures of 10^3 PFU/ml phage populations
143 were also repeated with ϕ C31 suspended in phosphate buffer saline (PBS [Oxoid, UK]), and
144 PBS supplemented with 5 ppm meso-Tetra (N-methyl-4-pyridyl) porphine tetra tosylate
145 (Frontier Science, USA). For this, stock bacteriophage was serially diluted to the desired
146 concentration in PBS, with the final dilution being into either PBS or porphyrin-supplemented
147 PBS, respectively.

148 Inactivation results are reported as bacteriophage population (\log_{10} PFU/ml) as a function of
149 dose, J/cm^2 (irradiance \times exposure time), and are presented as mean values from a
150 minimum of triplicate samples \pm standard deviations. Significant differences in phage
151 population were calculated at the 95% confidence interval using analysis of variance (one-
152 way) with Minitab, version 16, statistical software.

153

154 **RESULTS AND DISCUSSION**

155 In order to determine the effect of 405 nm light on ϕ C31, bacteriophages were suspended in
156 NB and exposed to 405 nm light at an irradiance of 56.7 mW/cm² (Figure 2). Successful
157 inactivation was achieved, with the general trend showing relatively linear kinetics, with an
158 increasing dose resulting in decreasing bacteriophage population. In the case of the 10³
159 PFU/ml population, significant inactivation was achieved after a dose of 153.1 J/cm²
160 (P=0.016) and 2.7-log₁₀ reduction achieved after exposure to 306.2 J/cm² compared to the
161 equivalent controls.

162 More densely populated ϕ C31 suspensions of 10⁵ and 10⁷ PFU/ml were also successfully
163 inactivated by exposure to 405 nm light, with 5.4-log₁₀ and 7.1-log₁₀ reductions observed
164 with applied doses of 510.3 J/cm² and 1.43 kJ/cm², respectively. No significant decrease
165 was observed in the non-exposed control populations: P = 0.28, 0.65 and 0.31 for 10³, 10⁵
166 and 10⁷ PFU/ml titres, respectively.

167 In contrast to the linear inactivation of ϕ C31 in NB, very little inactivation occurred when
168 ϕ C31 was suspended in PBS. Data in Figure 3 demonstrates that when in PBS, only 0.3-
169 log₁₀ reduction of ϕ C31 was achieved after a dose of 306.2 J/cm². Although this inactivation
170 was statistically significant compared to the non-exposed control population (P=0.012), it is
171 considerably lower than the 2.7-log₁₀ reduction achieved when ϕ C31 was suspended in NB
172 after the same dose of 405 nm light.

173 The difference in inactivation of ϕ C31 when suspended in NB nutrient broth versus PBS is
174 likely to reflect the complex protein and amino acid rich composition of NB in comparison
175 with the simple salts composition of PBS. It is likely that certain components of NB are
176 photosensitive and can act as exogenous photosensitizers which, when exposed to 405 nm
177 light in the presence of oxygen, will produce ROS or other toxic photoproducts that can
178 impart oxidative damage to the phage. This has been observed in other studies in which

179 media has been irradiated with light and inhibited the growth of bacteria due to presence of
180 ROS such as H₂O₂.²⁹ This effect was not seen in the PBS solution; presumably due to the
181 lack of photosensitive components, and because of the absence of porphyrin molecules
182 within the phage virion.

183 This inactivation mechanism is quite distinct from ultraviolet (UV) light mediated damage,
184 which directly targets the DNA/RNA of illuminated phage and virions.³⁰⁻³¹ Nucleic acid
185 mutations which result from absorption of UV wavelengths can however be overcome by
186 some bacteriophages, including phage T4, which have been found to carry their own repair
187 genes, including *denV* for DNA excision repair.³²⁻³⁴ With regards to the present study,
188 further evaluation of the survivors of the 405 nm light-exposed phage population was out-
189 with the scope of the study, however PDI and 405 nm light inactivation of viruses is thought
190 to be due to Type I and Type II photoreactions, resulting in non-specific oxidative damage to
191 structures such as the capsid³⁵, therefore the potential for resistance development in
192 exposed viruses, or other microorganisms, is unlikely.^{20,36} However further research in this
193 area is required.

194 Comparison of the inactivation kinetics for bacteriophage suspended in PBS with those of
195 bacteria and fungi highlight the greater susceptibility of bacteria and fungi compared to the
196 phage. Previous studies detailing the antimicrobial efficacy of 405 nm light against yeast and
197 bacteria including *Saccharomyces cerevisiae*, *Staphylococcus aureus*, *Escherichia coli*,
198 *Shigella sonnei* and *Listeria monocytogenes*, demonstrated 5-log₁₀ CFU/ml reductions of
199 PBS-suspended populations with doses ranging from 36 to 300 J/cm² respectively.^{5,7,9}
200 Conversely, exposure of φC31 suspended in PBS at doses as high as 300 J/cm² resulted in
201 only a 0.3 log₁₀ reduction in phage titre, highlighting the relative resilience of the phage to
202 405 nm light. This comparison further demonstrates that without porphyrins, or other
203 photosensitive molecules, little inactivation occurs, indicating they are a necessary
204 requirement for increasing susceptibility of microorganisms to 405nm light.

205 Although 405 nm light had a lesser effect on the phage in comparison with other
206 microorganisms it is interesting that some, albeit a low level, of phage inactivation was
207 achieved in exposure experiments. It is possible that this decrease in population is due to
208 general oxidative damage resulting from exposure to the LED emission spectrum. From
209 Figure 1 it is evident that the tail of the spectral output includes a very small amount of UV-A
210 photons (380-390 nm), and over extended exposure periods these wavelengths could have
211 caused slight oxidative damage to proteins, such as those in the phage capsid, thus
212 contributing to the slight inactivation observed at these dose levels.³⁷

213 To further investigate if photosensitive molecules play a role in the 405 nm light induced
214 ϕ C31 inactivation mechanism, porphyrins were added to the PBS bacteriophage
215 suspension, immediately before exposure to 405 nm light. The results in Figure 4 show that
216 the addition of porphyrins increased the susceptibility of ϕ C31 suspended in PBS, with a 3-
217 \log_{10} reduction observed after exposure to a dose of 612.4 J/cm². Results also demonstrate
218 that an equivalent 3- \log_{10} reduction occurred with samples which were incubated for the
219 same period of time in laboratory light, albeit at a significantly slower rate (P=0.003 at
220 204.1 J/cm²; P=0.01 at 408.2 J/cm²), highlighting that broadband laboratory lighting can also
221 induce photo-excitation of porphyrins for phage inactivation; although less efficiently than
222 that found with high irradiance 405 nm light.

223 As previously mentioned, the combined use of photosensitive molecules and light to
224 inactivate bacteriophage was established by Clifton¹¹ who described the inactivation of
225 *Staphylococcus* bacteriophage using methylene blue and sunlight. More recent studies have
226 demonstrated the use of porphyrins and broadband visible light for viral inactivation. Egyeki
227 et al.³⁵ demonstrated that the addition of a tetraphenyl porphyrin derivative (TPFP), to
228 suspensions of the *Escherichia coli* bacteriophage T7, caused phage inactivation with
229 exposure to broadband visible light between 400-650nm. As with the current study, the T7
230 phage used was a non-enveloped double-stranded DNA virus, however there are
231 considerable differences between the structure of these phage, with *Siphoviridae* ϕ C31

232 having a polyhedral capsid, and long (100 nm) tail, compared to the icosahedral capsid and
233 short (29 nm) tail of *Podoviridae* T7.³⁸⁻⁴⁰ These differences aside, successful inactivation
234 was achieved in both studies. Use of TFPF and broadband visible light achieved up to an
235 approximate 2.6-log_{10} ($-6 \ln(N/N_0)$) reduction in T7 phage population with a dose of
236 200 J/cm^2 .³⁵ The efficacy of this PDI treatment was similar to that observed in the current
237 study with ϕ C31 exposed to 405 nm light when suspended in both NB and porphyrin solution
238 (2.7-log_{10} reduction with 306.2 J/cm^2 , and 2.4-log_{10} reduction with 204.1 J/cm^2 , respectively).
239 This data taken with our study suggest that PDI and 405 nm light inactivation of
240 bacteriophages is a universal feature, given the phylogenetic differences between ϕ C31 and
241 T7, suggesting that 405 nm light has broad application as an antiviral treatment.

242

243 **CONCLUSION**

244 The focus of the present study was to establish whether 405 nm light can induce virucidal
245 effects, with the bacteriophage ϕ C31 being used as a model virus. The results provide the
246 first evidence of the susceptibility of a bacteriophage to inactivation by narrowband 405 nm
247 light and the influence that the suspending media has on phage susceptibility. These
248 findings are of interest as they highlight that bacteriophage and possibly other viruses can be
249 inactivated by 405 nm light if they are suspended in liquids or substrates that contain
250 appropriate photosensitive components. Further studies are needed to elucidate the nature
251 of the photosensitive components in the nutrient media (NB) that are activated by high-
252 intensity 405 nm light. Additional information of this kind could help to elucidate the
253 environmental and chemical conditions that would be most conducive to viral inactivation
254 when exposed to high intensity 405 nm light.

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259

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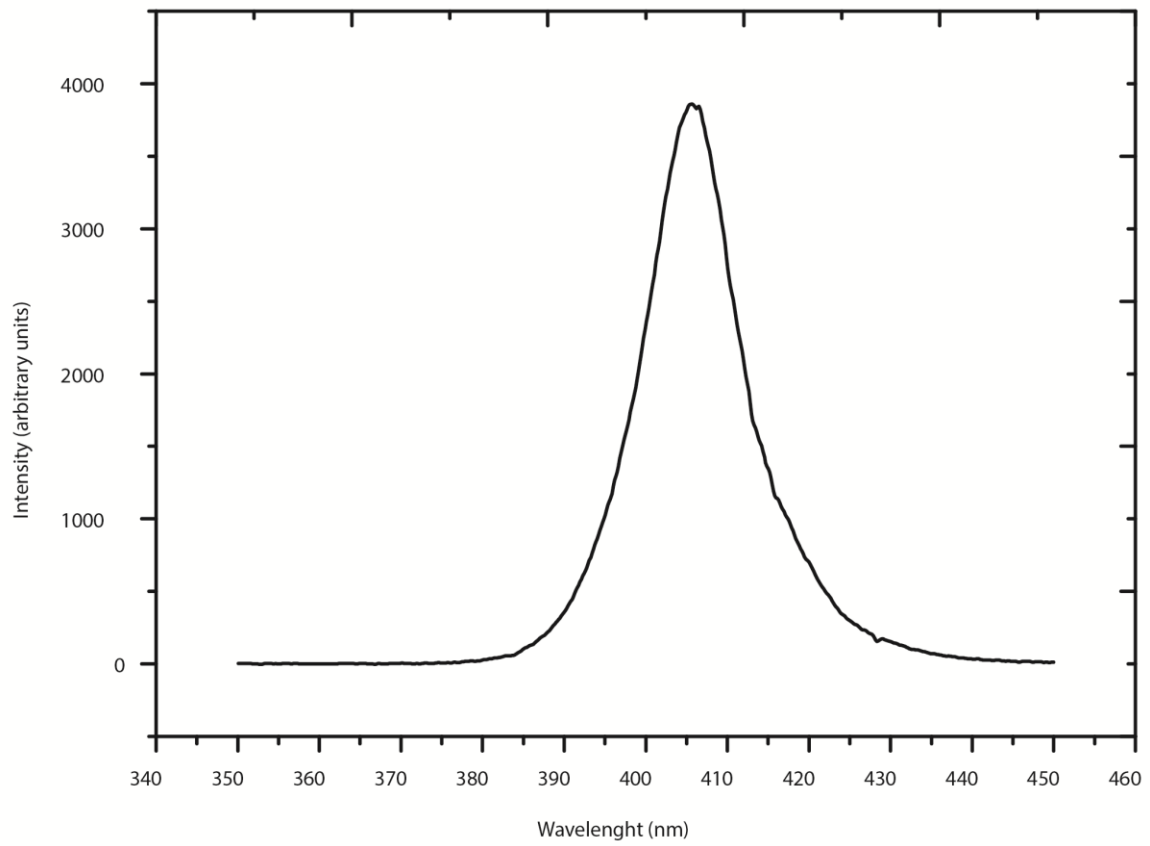
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388 **FIGURES**

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391 **Figure 1.** Emission spectrum of the 405 nm LED array, measured using a high resolution
392 spectrometer (Ocean Optics, USA)

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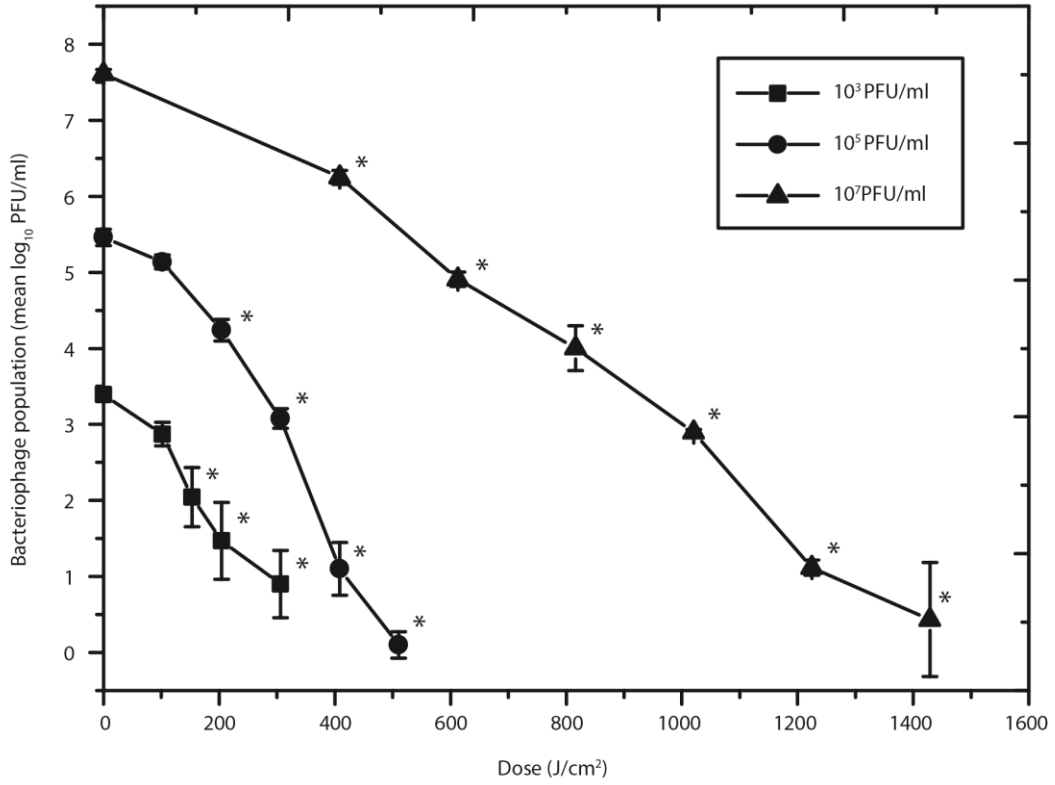
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401 **Figure 2:** 405 nm light inactivation of bacteriophage ϕ C31 suspended in nutrient broth at a
402 range of population densities. The light irradiance used was 56.7 mW/cm². * Indicates light-
403 exposed samples that were significantly different to the equivalent non-exposed control
404 samples ($P \leq 0.05$). No significant decrease was observed in the final control populations (P
405 ≥ 0.05).

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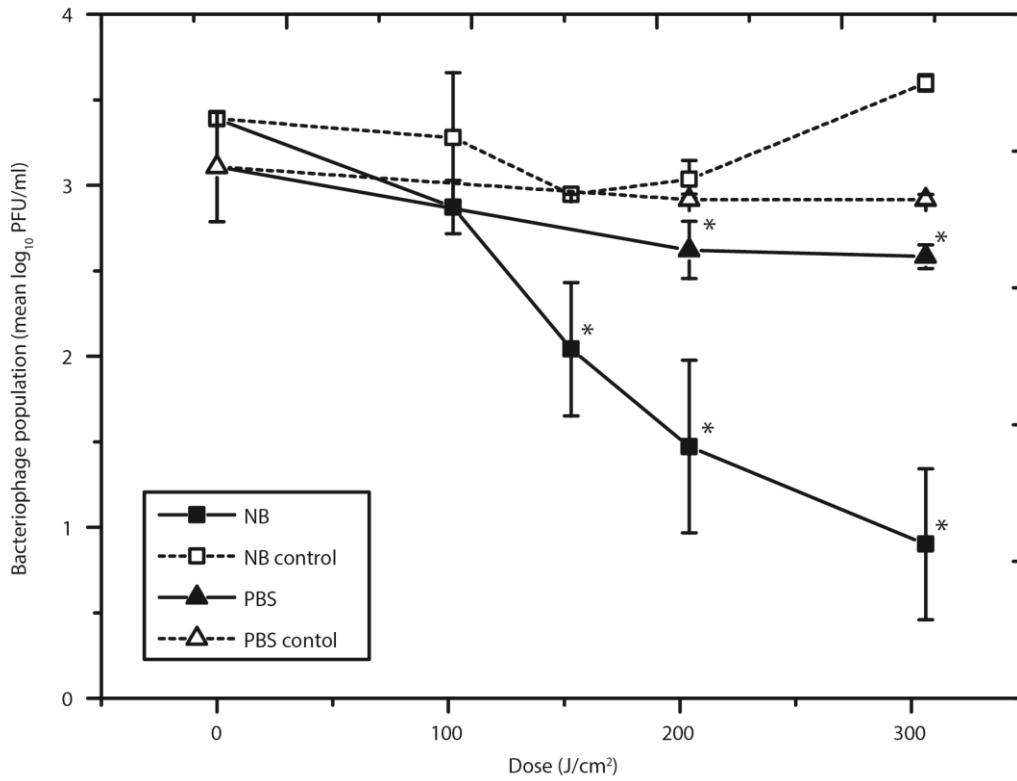
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413 **Figure 3:** Comparison of inactivation of bacteriophage ϕ C31 when suspended in either
414 nutrient broth or phosphate buffer saline, upon exposure to 405 nm light at an irradiance of
415 56.7mW/cm², * Indicates light -exposed samples that were significantly different to
416 equivalent controls ($P \leq 0.05$).

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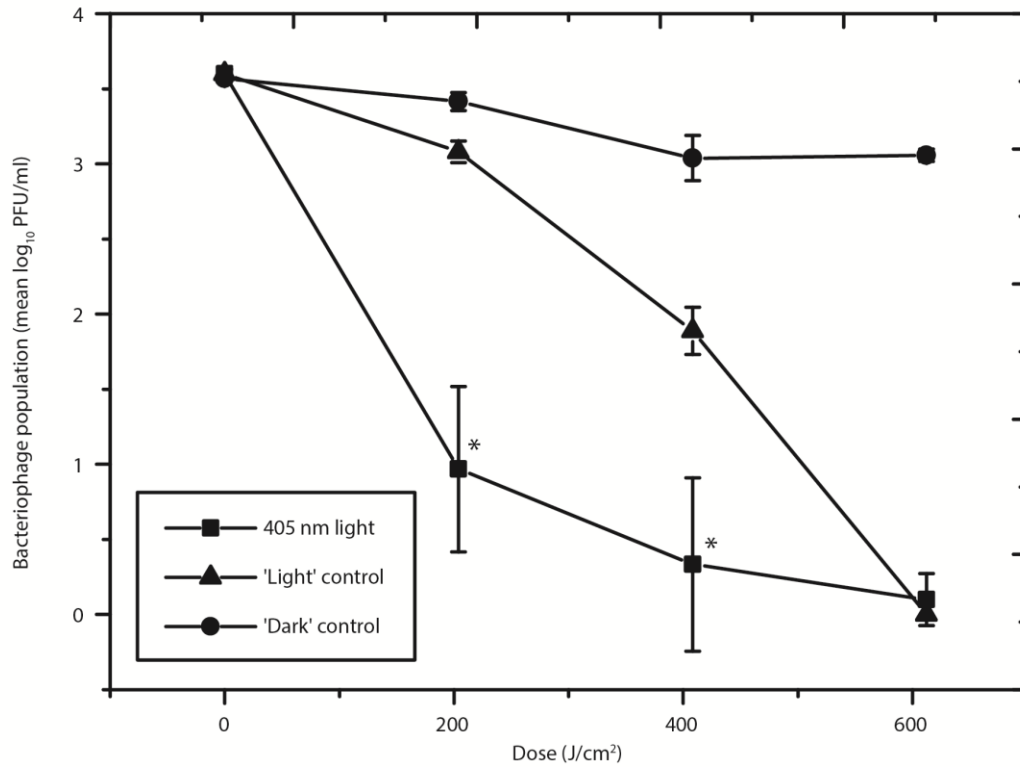
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425 **Figure 4:** Inactivation of bacteriophage ϕ C31 suspended in phosphate buffer saline
426 supplemented with 5 ppm porphyrins upon exposure to 405 nm light, normal laboratory light
427 ('Light' control) or complete darkness ('Dark' control). * Indicates 405nm light-exposed
428 samples that were significantly different to light control samples ($P \leq 0.05$).

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