

1 **Monitoring of an esterification reaction by on-line direct liquid sampling**
2 **mass spectrometry and in-line mid infrared spectrometry with an**
3 **attenuated total reflectance probe**

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20

21 **Abstract**

22 A specially designed thermal vaporiser was used with a process mass spectrometer designed
23 for gas analysis to monitor the esterification of butan-1-ol and acetic anhydride. The reaction
24 was conducted at two scales: in a 150 mL flask and a 1 L jacketed batch reactor, with liquid
25 delivery flow rates to the vaporiser of 0.1 and 1.0 mL min⁻¹, respectively. Mass spectrometry
26 measurements were made at selected ion masses, and classical least squares multivariate
27 linear regression was used to produce concentration profiles for the reactants, products and
28 catalyst. The extent of reaction was obtained from the butyl acetate profile and found to be
29 83% and 76% at 40 °C and 20 °C, respectively, at the 1 L scale. Reactions in the 1 L reactor
30 were also monitored by in-line mid-infrared (MIR) spectrometry; off-line gas
31 chromatography (GC) was used as a reference technique when building partial least squares
32 (PLS) multivariate calibration models for prediction of butyl acetate concentrations from the
33 MIR spectra. In validation experiments, good agreement was achieved between the
34 concentration of butyl acetate obtained from in-line MIR spectra and off-line GC. In the
35 initial few minutes of the reaction the profiles for butyl acetate derived from on-line direct
36 liquid sampling mass spectrometry (DLSMS) differed from those of in-line MIR
37 spectrometry owing to the 2 min transfer time between the reactor and mass spectrometer. As
38 the reaction proceeded, however, the difference between the concentration profiles became
39 less noticeable. DLSMS had advantages over in-line MIR spectrometry as it was easier to
40 generate concentration profiles for all the components in the reaction. Also, it was possible to
41 detect the presence of a simulated impurity of ethanol (at levels of 2.6 and 9.1% mol/mol) in
42 butan-1-ol, and the resulting production of ethyl acetate, by DLSMS, but not by in-line MIR
43 spectrometry.

44

45 **Keywords**

46 On-line direct liquid sampling mass spectrometry; Thermal vaporiser; Quantitative reaction
47 monitoring; Trace analysis; Process analysis; In-line mid infrared spectrometry.

48

49 **1. Introduction**

50 Process mass spectrometry (PMS) [1, 2] has been applied to the analysis of gaseous systems
51 in a wide range of industries. Applications include on-line monitoring of gases in the iron and
52 steel industries [3-6] and petrochemical processes [4, 6, 7], reaction monitoring [8] and the
53 analysis of high purity gases in the electronics industry [9, 10], the determination of trace
54 components in complex biological systems [11], measurement of O₂, CO₂, and Ar in
55 fermentation gases [12], and control of ethylene oxide production [4]. In contrast, on-line
56 monitoring of liquid phase chemical reactions by PMS is less common owing to challenges in
57 interfacing the analyser with the process stream.

58 Membrane inlet mass spectrometry (MIMS) [13] can allow direct analysis of volatile
59 molecules in gases and liquids or even solid matrices [2, 14, 15]. The majority of MIMS
60 techniques involve use of a polymer membrane to transfer the analyte from the sample into a
61 gaseous acceptor phase (e.g. helium carrier gas or the high vacuum environment of the
62 spectrometer) for introduction to the ion source of the spectrometer. The detection limits for
63 MIMS can be as low as parts-per-trillion [16, 17] or even parts-per-quadrillion [18]. Such low
64 detection limits are possible due to the preferential permeability of the analyte compounds
65 through the membrane material relative to the matrix. MIMS has been used for on-line
66 monitoring of various analytes such as ethanol, acetic acid and lactic acid in fermentation
67 broths [19], nitrogen-containing compounds in a bioreactor [20], methanol and ethanol in
68 chloroform [21], and aromatic halides in ethanol-water [22]. For samples where the analytes
69 are chemically similar to the matrix, e.g. small polar molecules in polar matrices, MIMS is
70 not a viable option for sample introduction.

71 Over recent years, there has been increasing interest in the use of atmospheric
72 pressure ionisation (API) techniques such as electrospray ionisation (ESI) and atmospheric
73 pressure chemical ionisation (APCI) for on-line analysis of liquids. Dell'Orco *et al.* [23]

74 employed nebulizer assisted ESI for on-line reaction monitoring. The experimental set-up
75 employed a series of HPLC pumps to dilute the reaction mixture by a factor of 3000 prior to
76 analysis. Identification of reaction components was successful and kinetic information could
77 be derived. The ion response was, however, affected by the analyte pKa due to proton
78 competition arising from the electrospray process. More recently, use of an autosampling
79 flow injection analysis (FIA) system in conjunction with APCI mass spectrometry was
80 demonstrated for real-time monitoring of a Michael addition reaction [24]. The reaction was
81 carried out in a syringe, in an infusion syringe pump, and quantitative results were obtained at
82 the molar concentration level. It is anticipated that this approach could be applied to a wide
83 range of reaction types and the infusion syringe pump could be replaced to enable sampling
84 from a reaction vessel. MIMS systems with liquid acceptor phases have been used in
85 conjunction with API techniques for the analysis of large, polar molecules [25, 26]. So called
86 condensed-phase MIMS has been used for *in situ* monitoring of the chlorination of phenol in
87 an aqueous solution [26]. Creaser *et al.* connected a membrane interface to the APCI source
88 of a quadrupole mass spectrometer for the off-line monitoring of a Michael addition reaction
89 [25]. A hydrophobic polyvinylidene fluoride membrane was used with an acetonitrile/water
90 acceptor phase to dilute the concentrated reaction mixture to a suitable level for direct
91 analysis. Hence, it was possible to introduce samples, which were manually extracted from
92 the reaction vessel, directly into the membrane interface for analysis without the need for any
93 sample pre-treatment. However, the approach was extremely susceptible to changes in
94 pressure and flow on both sides of the membrane and a feed loop would need to be developed
95 for on-line analysis.

96 A number of studies have reported the use of ambient ionisation techniques for
97 reaction monitoring. Extractive electrospray ionisation (EESI) has been used for on-line
98 analysis of organic reactions [27, 28]. In one example, a stream of nitrogen was used to

99 transfer the gas phase above the reaction mixture in the vessel to the ESI source [28], this
100 assumes that the composition of the headspace is representative of the bulk. In another study,
101 a secondary, grounded nebuliser was used to produce an analyte aerosol, and a Venturi pump
102 was used to transfer a sample of the aerosol to the electrospray source for ionisation [27]. A
103 low-temperature plasma (LTP) probe has been used for *in situ* monitoring of acetylation,
104 esterification and Schiff base formation reactions [29]. The probe was positioned about 1 cm
105 from the surface of the reaction mixture, and the LTP enabled desorption and ionisation of the
106 reaction mixture without the need for any sample pre-treatment. Again, this approach assumes
107 that the surface composition is representative of the bulk reaction mixture. A
108 transesterification reaction was monitored on-line by ultrasonication-assisted spray ionisation
109 mass spectrometry [30]. However, ultrasonication can also affect the reaction, which is not
110 desirable from a monitoring perspective. One of the most simple interfaces employed for on-
111 line analysis was a capillary, which functioned as both a sampling tip and spray emitter for
112 contactless API mass spectrometry [31]. However, variations in the pressure above the sample
113 affected the signal intensity. Recently, use of inductive ESI mass spectrometry was reported
114 for direct and continuous monitoring of organic reaction *in situ* [32]. A pulsed positive
115 potential was used to produce transient strong electric fields in the spray solution; the reaction
116 solution was transferred to the emitter-spray tip by a capillary under positive gas pressure and
117 ionised inductively. Direct analysis in real time (DART) mass spectrometry has been used for
118 analysis of a model batch slurry reaction [33]. Semi-quantitative analysis of the slurry samples
119 was achievable using a combination of manual sample deposition and automatic sample
120 introduction across the helium beam. While ambient ionisation techniques permit direct
121 analysis of liquid samples with minimal or no sample preparation, most currently lack the
122 robustness for use in a process environment although they offer considerable promise for use

123 in discovery and development. In addition, quantitative results have yet to be demonstrated
124 with many of the techniques.

125 Thermal vaporisation of discrete liquid samples into a process mass spectrometer has
126 been achieved using heated auto-injection valves [34-36], a modified GC oven [37], and a
127 programmable temperature vaporizing (PTV) GC injector and syringe pump [38]. However,
128 these methods are not ideal for continuous sampling; when a carrier gas is used to transport
129 the sample vapours to the mass spectrometer variations in the carrier gas flow and inefficient
130 mixing with the sample vapour can cause signal instability. In a previous study, a thermal
131 vaporiser for direct liquid sampling mass spectrometry (DLSMS) was reported that can be
132 used for continuous analysis of liquid streams [39]. Benzene, toluene and o-xylene in the
133 range 0 – 110 mg kg⁻¹ were determined in ethanol and the vaporiser could be used to generate
134 stable mass spectrometric responses for the analytes over several hours. In this report, the
135 suitability of the vaporiser and DLSMS has been assessed for rapid, on-line quantitative
136 monitoring of the reaction of butan-1-ol and acetic anhydride in a 1 L reactor, with pyridine as
137 a catalyst. Off-line gas chromatography was used as the reference technique for determination
138 of butyl acetate. The performance of the DLSMS procedure was also compared to that of in-
139 line mid-IR spectrometry [40] which used an insertion probe that has an attenuated total
140 reflectance (ATR) crystal at one end, coupled by chalcogenide fibres to a miniature mid-IR
141 spectrometer at the other end of the probe.

142

143 2. Experimental

144 2.1. Experimental set-up and procedure

145 Preliminary reactions were conducted in a 150 mL conical flask on a magnetic stirrer
146 hotplate. A volume of 26 mL (0.275 moles) of acetic anhydride (>99%; Sigma-Aldrich,
147 Dorset, UK) was pipetted in to the conical flask and heated to 40 °C. Over 10 minutes, 1 mL
148 was sampled through 50 cm of 0.5 mm i.d. PTFE tubing into the thermal vaporiser using a
149 milliGAT pump (VICI AG Valco International, Switzerland) set at 0.1 mL min⁻¹; 2 mL
150 (0.025 moles) of pyridine (>99%; Sigma-Aldrich) was then added to the flask and the
151 mixture was equilibrated for 5 min before 23 mL (0.251 moles) of butan-1-ol (>99%; Sigma-
152 Aldrich) was added to begin the reaction.

153 Most of the experiments involved reactions in a 1 L oil jacketed glass reactor (VWR
154 International, Dorset, UK) connected to a Haake C25 heater/chiller with F6 circulator. A
155 schematic diagram of the experimental apparatus is given in Figure 1. A stainless steel PT100
156 temperature probe was connected to the F6 circulator and was used to measure the
157 temperature of the reaction liquid every 5 s; the F6 controller used the temperature readings
158 to control the reactor temperature. The reactor lid was fitted with a condenser and an IKA
159 Eurostar digital stirrer (VWR International) with a glass stirrer rod and paddle operated at a
160 stir rate of 150 rpm. A CM4000 HPLC pump (Milton Roy, Ivyland, Pennsylvania, USA) was
161 used to continuously transfer liquid at 1 mL min⁻¹ from the reactor to the vaporiser for
162 analysis by mass spectrometry. The reactor and pump were connected by PTFE tubing
163 (30 cm length, 0.5 mm i.d.) and the pump and the vaporiser by stainless steel 316 HPLC
164 tubing (30 cm length, 0.5 mm i.d.). The tubing was flushed with acetic anhydride to prime the
165 pump and remove traces of the cleaning solvent (water/methanol). The reactor was loaded
166 with 500 mL (5.289 moles) of acetic anhydride over approximately 1 minute using a

167 dropping funnel and the temperature allowed to equilibrate to the set temperature (20 or
168 40 °C). The HPLC pump was then started. After 5 minutes, 40 mL (0.49 moles) of pyridine
169 was added as the catalyst and the system equilibrated for a further 5 minutes before addition
170 of 484 mL (5.289 moles) of butan-1-ol. For some experiments, ethanol (>99%; Sigma-
171 Aldrich) was added to butan-1-ol (2.6 or 9.1% mol/mol) to simulate the presence of an
172 impurity in one of the reagents. The contents of the reactor were analysed continuously by
173 on-line mass spectrometry and in-line mid-infrared spectrometry from the initial addition of
174 acetic anhydride. The transfer time from the reactor to the mass spectrometer was found
175 experimentally to be 2 min. For off-line analysis by GC, 1 mL aliquots of the reaction
176 mixture were drawn through Teflon tubing using a glass syringe. Usually, 15 samples were
177 collected for GC analysis.

178 **2.2. On-line mass spectrometry with thermal vaporiser**

179 The process mass spectrometer was a Thermo Electron Prima 600S (Thermo Fisher Scientific
180 Cheshire, UK). This is a magnetic sector instrument that has two detectors: an electron
181 multiplier detector for low intensity ions and a Faraday cup for high intensity and matrix ions.
182 The analyte gas was transported to the ion source via a molecular leak and bypass through a
183 capillary inlet heated to 180 °C. The ion dwell time was set to 1 s ion⁻¹. The custom designed
184 thermal vaporiser is shown in Figure 2 and has been described previously [39]. Glass lined
185 tubing (SGE Analytical Science, UK) was wrapped around a metal block that was heated by a
186 350 W cartridge heater. A thermocouple was inserted into the heater block and connected to a
187 temperature control unit which controlled the power supply to the cartridge heater. The
188 temperature controller was set to 180.0 ± 0.2 °C. The heated transfer capillary of the mass
189 spectrometer was connected to one end of the tubing via a tee which allowed excess vapour to
190 vent. The advantage of this approach is that stable analysis was achieved because any
191 fluctuations in fluid flow did not affect the composition of the gas. Furthermore, as the

192 composition of the gas entering the mass spectrometer was 100% vaporised sample without
193 dilution by a carrier gas, the maximum possible sensitivity was achieved. However, use of the
194 thermal vaporiser is limited to volatile samples that are thermally stable at the operating
195 temperature of the vaporiser and transfer capillary.

196 Data were acquired from the mass spectrometer using GasWorks (Build 217, Thermo
197 Fisher Scientific) with ion intensities saved as comma separated variable files.

198 **2.2.1. Ion selection**

199 Process magnetic sector instruments with flat-topped peaks are more stable to ion overlap by
200 analytes compared to laboratory quadrupole instruments [6]. This means that noise on the ions
201 signals did not have to be taken into account when selecting the best ions to use, as has been
202 done elsewhere [41, 42]. Mass spectra for each of the reaction components were downloaded
203 from the NIST spectral library [43]. For reactions conducted in the 150 mL conical flask, the
204 m/z values of the ions selected (from visual inspection of the overlaid spectra) and their
205 percent abundance are shown in Table 1. For reactions conducted on the 1 L scale, ions were
206 selected based upon their multivariate leverage. The m/z values for the ions selected and their
207 percentage abundance are listed in Table 2.

208 **2.2.2. Calibration**

209 Before any reactions were carried out the pure component spectra, S , of acetic anhydride,
210 butan-1-ol, acetic acid, butyl acetate, pyridine and the simulated impurities, ethanol and ethyl
211 acetate (all reagents >99%; Sigma-Aldrich), were obtained by pumping liquid straight from
212 vials, which contained the pure component being analysed or a binary mixture of the pure
213 component in butan-1-ol. The pure component spectra were the average of 5 scans at the
214 selected m/z values.

215 The concentration profiles (C) of the analytes during the reaction were calculated from
216 the reaction data (X) using a classical least squares multivariate linear regression model:

217
$$\mathbf{C} = \mathbf{X} \mathbf{S}^+$$

218 where \mathbf{S}^+ denotes the pseudo-inverse of the pure component spectra, \mathbf{S} , of the reactants
219 (butan-1-ol and acetic anhydride), products (butyl acetate and acetic acid) and catalyst
220 (pyridine). For experiments where ethanol was added to butan-1-ol to simulate the presence
221 of an impurity, \mathbf{S} also contained the pure component spectra for ethanol and ethyl acetate.
222 The concentration profiles, \mathbf{C} , were then normalised to their sum giving relative
223 concentrations to correct for flow fluctuations and sampling variations due to stirring. The
224 mean of the concentrations determined for the last 10 scans for each reaction component were
225 used to obtain the extent of reaction. This simple calibration procedure is only possible due to
226 the linearity of the system, the unbiased response of the Faraday detector and the fact that the
227 identity of all reaction components is known.

228 **2.3. In-line mid-infrared spectrometry**

229 A SpectraProbe Linx 5-10 (SpectraProbe, Hayes, UK) instrument was used [40]. The
230 spectrometer (105 mm × 120 mm × 195 mm) was connected to an in-line hastelloy probe
231 (375 mm long, 12 mm outside diameter). Chalcogenide fibres in the hastelloy probe
232 transmitted the light from the spectrometer source to the ATR crystal and back. The crystal
233 was made of amorphous material that transmits in the infrared region. A fixed diffraction
234 grating dispersed the signal onto the 128-element pyroelectric array detector covering the
235 range 1000 – 2000 cm^{-1} .

236 Data were acquired using a computer with the SpectraProbe user interface that outputs
237 the data to Microsoft Excel (Microsoft Corporation, Redmond, USA). An air background was
238 collected initially, and the instrument set to collect data for 50 s every minute during the
239 reaction.

240 A multivariate partial least squares (PLS) calibration model was built based on the
241 MIR spectra and concentrations of butyl acetate obtained from analysis of samples by GC as

242 a reference technique. The optimum calibration model was chosen using a design of
243 experiments approach [44], which revealed that a PLS model with 2 latent variables was the
244 best, and the optimal pre-processing conditions were Savitzky-Golay derivatisation (1st
245 derivative calculated using a 5 point filter-width and a 2nd order polynomial) and mean
246 centring. The model was assessed using the root mean square error of calibration (RMSEC)
247 and root mean square error of prediction (RMSEP).

248 **2.4. Off-line gas chromatography**

249 The gas chromatograph was an HP 5890 Series II, equipped with a polydimethylsiloxane
250 stationary phase capillary column, CP-SIL 19, 25 m × 0.22 mm internal diameter
251 (Chrompack, London, UK). The column temperature was set at 50 °C, and the flame
252 ionisation detector and injector port temperature were maintained at 250 °C. The flow rate of
253 the nitrogen carrier gas was 5.82 mL min⁻¹ and a split ratio of 40:1 was used; the analysis
254 time was about 2 min.

255 Approximately 1 mL of sample was removed from the reactor into a glass vial and a
256 200 µL aliquot was then transferred into a 10 mL flask and the reaction quenched by addition
257 of 8 mL methanol (HPLC grade; >99.9%; Sigma-Aldrich) and 200 µL 4-methyl-2-pentanone
258 (MIBK) (HPLC grade; >99.5%; Sigma-Aldrich) as the internal standard; the volume was
259 then made up with methanol. The calibration standards were also prepared in methanol in
260 10 mL flasks and contained 0, 1.86×10^{-2} , 3.73×10^{-2} , 5.60×10^{-2} , 7.46×10^{-2} and
261 9.33×10^{-2} mol L⁻¹ butyl acetate and 200 µL of MIBK. 1 µL of each calibration or sample
262 solution was injected into the column to produce a chromatogram. For experiments where
263 ethanol was added as a simulated impurity to butan-1-ol, a standard was prepared containing
264 both butyl acetate and ethyl acetate (>99%; Sigma-Aldrich) to confirm the presence of ethyl
265 acetate in the sample solution. The retention times for ethyl acetate and butyl acetate were 0.5
266 and 1.1 min, respectively.

267 **2.5. Data analysis**

268 All data analysis was performed in the Matlab environment (Version 6.5; Mathworks, Natick,
269 USA) using PLS_Toolbox 3.0 (Eigenvector Research Inc., Washington, USA).

270

271 3. Results and discussion

272 An example of the concentration profiles of the reactants and products of the esterification
273 reaction in the 150 mL flask is shown in Figure 3, derived from the mass spectrometry
274 measurements. The time when butan-1-ol was added was set as 0 min, as this was the start of
275 the reaction. Initially, only acetic anhydride was pumped to the vaporiser; at approximately
276 -5 min the catalyst was added and the concentration of acetic anhydride decreased as a result.
277 A small signal for acetic acid was detected at this point, which was due to an impurity of
278 about 1% in the acetic anhydride used for this experiment. The profile of butan-1-ol initially
279 increased when the alcohol was added to the flask, but as it reacted rapidly with the acetic
280 anhydride (causing an increase in the temperature to 80 °C) the concentration decreased after
281 about 2 min. The profiles of the products, butyl acetate and acetic acid, confirmed that the rate
282 of reaction was rapid in the first 2 min after addition of butan-1-ol, but then slowed reaching
283 completion at around 40 min (concentrations of about 43% mol/mol).

284 Concentration profiles similar to those in Figure 3 were obtained by DLSMS for the
285 reactions in the 1 L jacketed reactor. The larger vessel enabled simultaneous *in situ*
286 monitoring of the reaction by MIR spectrometry using an ATR probe. Also, small samples of
287 the reactor contents were removed periodically for analysis by gas chromatography (GC) as
288 an off-line reference technique. As mentioned in the experimental section, the concentrations
289 of butyl acetate obtained by GC were used along with corresponding MIR spectra to build a
290 PLS calibration model with 2 latent variables (LV). The results of the MIR modelling are
291 shown in Figure 4. Two reactions were carried out at 20 and 40 °C to provide a different
292 reaction profile; the GC derived concentrations and MIR spectra for the first reaction were
293 used to generate the calibration model and the data from the second reaction were used to
294 validate the model. Figure 4 shows that there was good agreement between the concentrations
295 of butyl acetate predicted from MIR measurements and analysis by GC. Although the

296 reactions used for calibration and validation were performed at different temperatures, the
297 calibration data set contained spectra acquired over a range of temperatures as the reaction is
298 exothermic. Hence, the model gave accurate predictions of butyl acetate concentration for
299 reactions conducted at both 20 and 40 °C. Figure 5 shows a comparison of the concentration
300 profiles obtained for butyl acetate when a reaction initiated at 20 °C in the 1 L reactor was
301 monitored by all three techniques. The exothermic nature of the reaction causes the
302 temperature to rise to 65 °C and the set temperature is not re-established until about 40 min,
303 by which time the reaction is almost complete. In the initial period of the reaction, the
304 concentration profile obtained by DLSMS is less than those obtained from MIR spectrometry
305 and GC; as the reaction nears completion, there is a much better agreement between the
306 profiles. A number of factors contributed to the differences in the profiles obtained between 0
307 and 10 min. When the reaction was initiated by addition of butan-1-ol, although butyl acetate
308 was generated in the reactor (as detected by *in situ* MIR measurements), there was no butyl
309 acetate in the liquid delivered to the vaporiser until about 2 min after the start of the reaction
310 (the transfer time between reactor and mass spectrometer). Comparison of results in the initial
311 period was also complicated by the fact that the reaction was still continuing during transfer
312 of liquid to the vaporiser, albeit at a lower rate as the transfer line was not heated. The net
313 effect is that the concentration profile obtained by DLSMS is offset and increases more
314 quickly at the start of the reaction compared to the profiles obtained by GC and MIR
315 spectrometry. As the reaction proceeded, however, the difference in the concentration of
316 butyl acetate in the reactor at a certain time and the concentration delivered to the mass
317 spectrometer decreased, as exemplified by the better comparison between the butyl acetate
318 profiles after 10 min. When the percentage conversion of reactions at 20 and 40 °C were
319 calculated from the results obtained by GC, DLSMS and MIR, respectively, the values
320 obtained were 77, 76 and 77% for 20 °C and 84, 83 and 85% for 40 °C.

321 Two reactions were carried out at 20 °C in the 1 L reactor with addition of 2.6 or
322 9.1% mol/mol ethanol as an impurity in butan-1-ol. It was not possible to detect the presence
323 of ethanol and ethyl acetate by MIR spectrometry owing to the similarity of their spectra to
324 those of butan-1-ol and butyl acetate, respectively. However, as indicated by the profiles in
325 Figure 6, it was possible to detect ethanol and production of ethyl acetate by DLSMS with a
326 corresponding reduction in the amount of butyl acetate formed.

327

328

329 **4. Conclusions**

330 The thermal vaporiser used in this study was shown to be an effective device for continuous
331 vaporisation of the liquid stream from an esterification reaction at flow rates of 0.1 or
332 1.0 mL min⁻¹. When operated with a process mass spectrometer normally configured for
333 gaseous process stream analysis it was possible to generate concentration profiles for the
334 reactants and products of the esterification of butan-1-ol and acetic anhydride. An integrated
335 MIR spectrometer and insertion probe with ATR crystal was found to give good estimations
336 of butyl acetate concentrations throughout the reaction when compared to the results of a
337 reference off-line GC procedure. The transfer time of about 2 min required to pump liquid
338 from the 1 L reactor to mass spectrometer caused a mismatch in the concentrations obtained
339 by DLSMS and the other two techniques in the initial period of the reaction, but as the
340 reaction proceeded to completion these differences became less significant. The
341 concentrations of butyl acetate at the end of the reaction and the percentage conversion rates
342 derived from each of the techniques were similar.

343 DLSMS had advantages over ATR-MIR spectrometry as the calibration procedure
344 was simpler, it was easier to track the concentration changes of all the components during the
345 reaction and the presence of a simulated by-product (ethyl acetate) formed through the
346 presence of small amounts of ethanol impurity in butan-1-ol could be detected. However, it
347 was only possible to use classical least squares multivariate linear regression here as the
348 identity of all reaction components was known. In situations where this is not the case,
349 methods such as multivariate curve resolution or partial least squares could be used to
350 quantify the components of interest. The main disadvantage of DLSMS compared to the in
351 situ MIR method was the sample transfer time, an issue faced in all on-line extractive
352 procedures.

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482 **Tables**

483 Table 1. The percent abundance (ions normalised to the most intense peak of the pure
484 component spectra) for the reaction components monitored at the 150 mL scale.

485

m/z	Butanol	Acetic anhydride	Acetic acid	Butyl acetate	Pyridine
15	10.4	5.5	17.0	7.5	0.0
43	68.4	99.9	99.9	99.9	0.0
56	99.9	0.0	0.0	37.1	0.0
60	0.0	0.2	74.7	0.4	0.0
61	0.0	0.0	1.9	14.6	0.0
73	1.5	0.0	0.0	18.1	0.0
79	0.0	0.0	0.0	0.0	99.9
115	0.0	0.0	0.0	0.1	0.0

486

487

488 Table 2. The percent abundance (ions normalised to the most intense peak of the pure
489 component spectra) for the reaction components monitored at the 1 L scale.

490

m/z	Butanol	Acetic anhydride	Acetic acid	Butyl acetate	Pyridine	Ethyl acetate	Ethanol
31	98.1	0.1	2.5	2.0	0.0	0.9	99.9
41	87.6	2.1	3.5	18.9	0.0	0.3	1.3
43	68.4	99.9	99.9	99.9	0.0	99.9	11.4
45	7.7	2.0	90.3	1.2	0.0	14.6	51.4
56	99.9	0.0	0.0	37.1	0.0	0.1	0.0
60	0.0	0.2	74.7	0.4	0.0	0.9	0.0
70	0.1	0.0	0.0	0.1	0.0	11.8	0.0
73	1.5	0.0	0.0	18.1	0.0	4.9	0.0
79	0.0	0.0	0.0	0.0	99.9	0.0	0.0

491

492 **Figure captions**

493 Figure 1. Schematic diagram of experiment set-up for 1 L reactor.

494 Figure 2. The vaporising device. Reproduced from Ref. [39] by permission of The Royal
495 Society of Chemistry.

496 Figure 3. The concentration profiles of butan-1-ol, acetic anhydride, acetic acid, butyl acetate
497 and pyridine derived from direct liquid sampling mass spectrometry; reaction at 40 °C in a
498 150 mL flask.

499 Figure 4. Concentrations of butyl acetate predicted from in-line MIR spectrometry (solid line)
500 compared to the concentrations derived by GC (X) for a) calibration and b) validation
501 reactions, conducted at 20 and 40 °C, respectively.

502 Figure 5. Concentration profile of butyl acetate obtained by DLSMS (green solid line) for the
503 esterification reaction in a 1 L jacketed reactor at 20 °C. Also shown are the butyl acetate
504 concentrations obtained by *in situ* MIR spectrometry (blue diamonds) and off-line gas
505 chromatography (red crosses), and the temperature of reactor contents (black solid line).

506 Figure 6. Concentration profiles of acetic acid, butyl acetate, ethyl acetate and ethanol
507 obtained by DLSMS when butan-1-ol contained 9.1% mol/mol ethanol (left) and
508 2.6% mol/mol ethanol (right) as a simulated impurity.

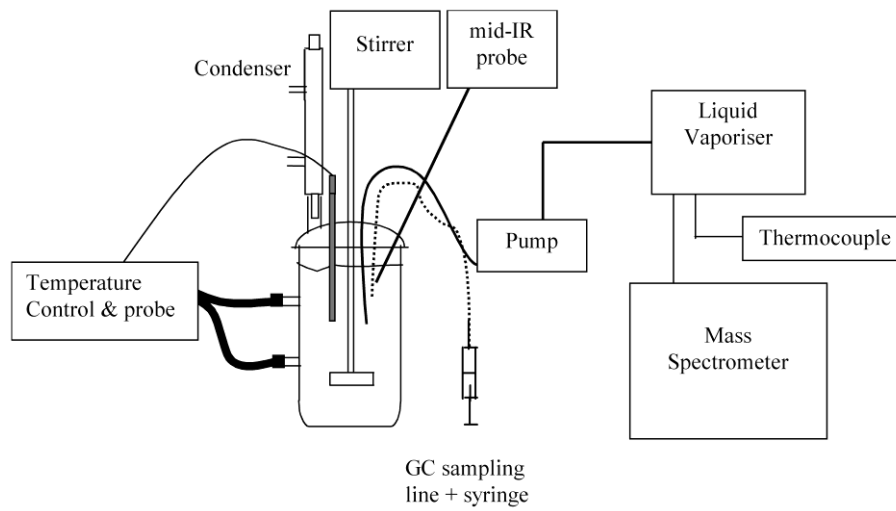
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510 **Figures**

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Figure 1

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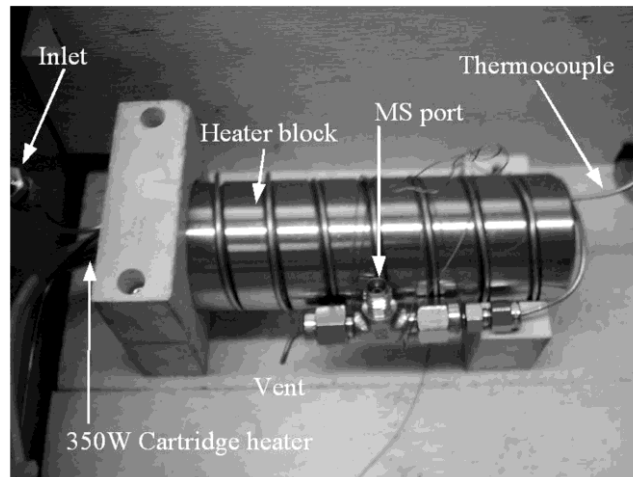
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Figure 2

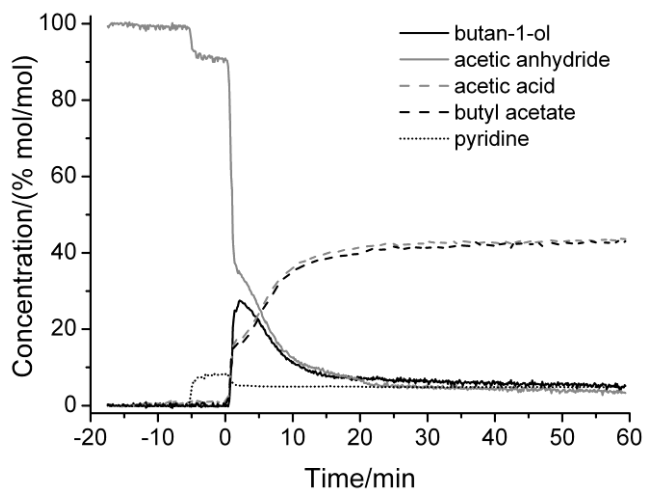
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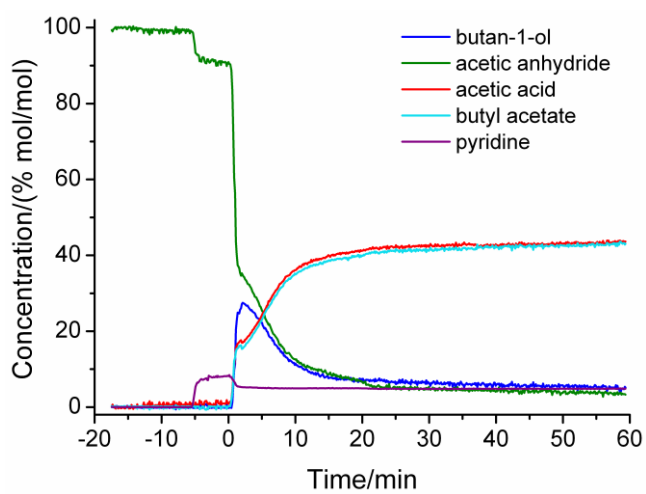


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Figure 3

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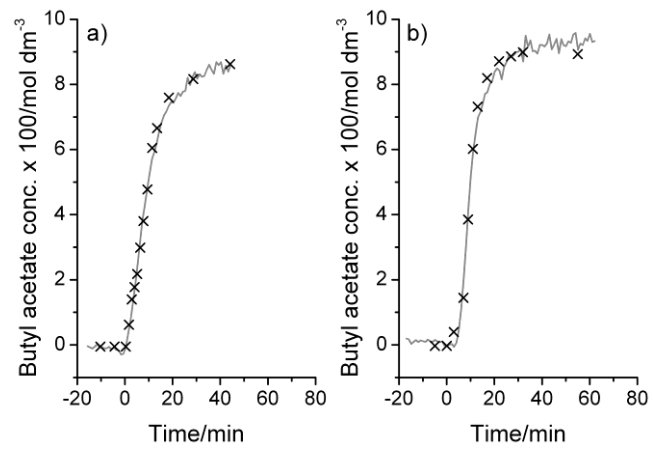
Figure 3 (colour version – web only)

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Figure 4

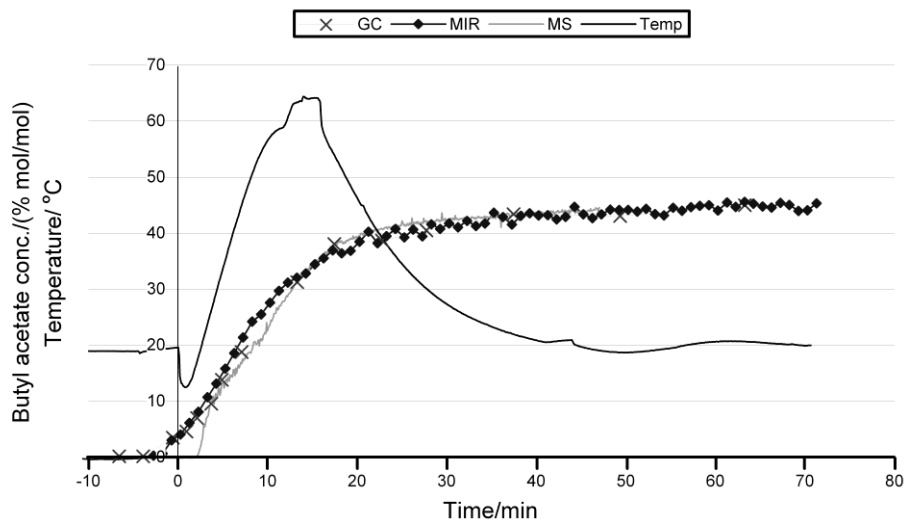
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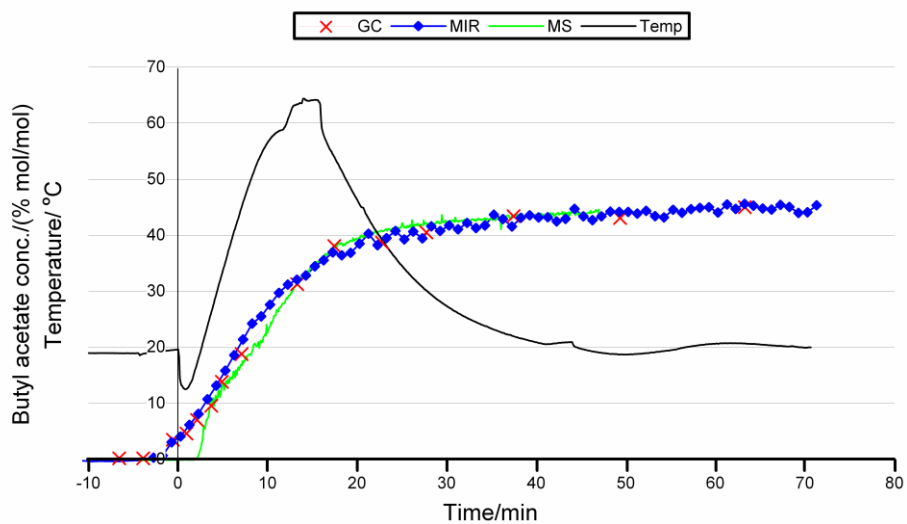


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Figure 5

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Figure 5 (colour version – web only)

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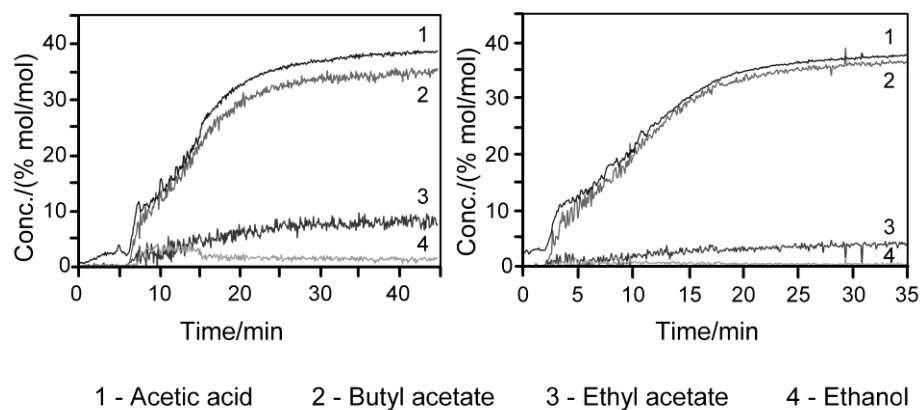
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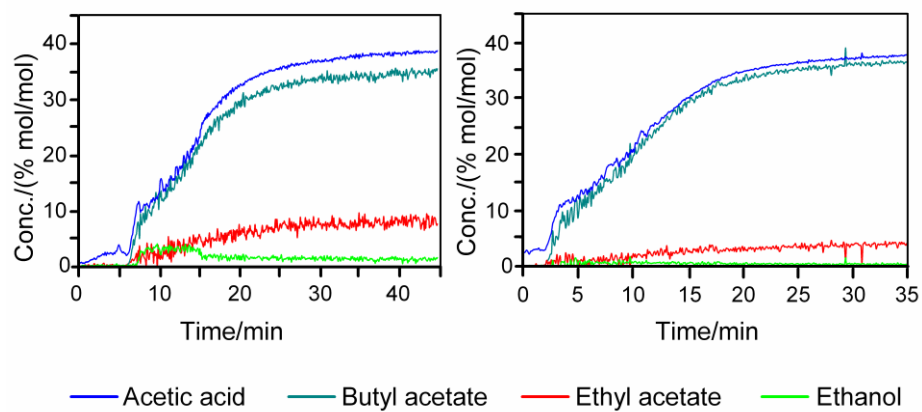


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Figure 6

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Figure 6 (colour version – web only)

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