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Friction stir welding of thin DH36 steel plate

N. A. McPherson¹, A. M. Galloway², S. R. Cater³ and S. J. Hambling⁴

A series of 4, 6 and 8 mm DH36 steel welds were produced using optimum conditions for friction stir welding (FSW). Comparator welds in the same thickness from the same plates were produced using a single sided single pass process submerged arc welds (SAW). This work was carried out to evaluate the mechanical properties of FSW material with a view to its possible application in a shipbuilding production process route.

Overall, the performance of the FSW material was superior to the SAW comparators. Areas such as distortion and fatigue were particularly positive in the FSW material. An 8 mm thick plate was also produced using two FSW passes, one from either side, and it was found to have superior toughness and fatigue performance when compared to the single sided 8 mm FSW material. Some of these benefits are thought to have originated from the internal overlap zone between the two passes.

Keywords: Friction stir welding, DH36 steel, Toughness, Fatigue, Distortion

Introduction

As the friction stir welding (FSW) process was progressively developed towards carbon steels such as those used in shipbuilding and linepipe,² a number of studies³–⁵ were carried out to evaluate the mechanical properties of the welded product. In addition, some work has been reported on the FSW of high carbon tool steel.⁶ Much of this work gave a very mixed and inconsistent impression of the typical properties of FSW carbon steel. In addition, there appeared to be no data related to the fatigue properties of FSW carbon steel.

The initial work⁷ was based on a 0.18C–0.82Mn steel, which was 6–35 mm thick. The microstructure of this base material consisted of 20–30 µm ferrite grains with smaller regions of pearlite. There was a stir zone and a heat affected zone (HAZ). The stir zone was characterised by a coarse ferrite lath and grain boundary ferrite structure in the mid thickness region, but at the surface in the region in contact with the tool, this was considerably finer. The HAZ displayed a progressive change in microstructure on moving from the stir zone to the outer HAZ. The change was from a coarse structure typical of the stir zone to a region showing progressively less pearlite degeneration. Although the hardness was higher in the stir zone and dropped off progressively through the HAZ, it was not at a level that would have caused any concern at all. Transverse tensile testing fractures were in the parent plate, demonstrating the superior tensile properties of the complete weld region. However, no toughness testing was carried out on this material. A further study⁵ based on 6–4 mm thick DH36 steel only considered hardness and strength too. It is quoted that this steel had a similar composition to that in the previous study.² However, the parent plate microstructures were quite different with coarse, non-banded structure in the work of Lienert et al.² and much finer and quite heavily banded structure in the study of Reynolds et al.³ The ferrite grain sizes were 25 and 4 µm respectively, which is a significant difference. Microstructures were classed as containing bainite, martensite, untempered martensite and granular bainite, all of which would normally indicate a potentially high hardness structure. Compared to the previous work,² the hardenesses were significantly higher and strongly influenced by travel speed. The higher the travel speed, the higher the hardness, with peaks at the stir zone edges. As in the previous work,² the transverse tensile tests all failed in the parent plate. Longitudinal tensile tests showed that, compared to the base material, the weld metal had very significant increases (∼40%) in yield and tensile strength, and that travel speed was influencing those properties, too. At this stage of the development, there was a significant lack of toughness and fatigue data. The issue about travel speed increase leading to higher strength and poorer elongation was confirmed in a subsequent publication⁶ where toughness results were presented. These data showed that DH36 steel was achieving <27 J at −30°C, but that high strength low alloy (HSLA) 65 had the potential to develop higher toughness. However, it appears that the HLSA 65 welds were double sided FSW, whereas the DH36 was a single sided FSW. It is therefore difficult to compare and contrast these data⁶ with other published data due to the lack of process detail. The work on HSLA 65⁶ was extended to include a simulation of the FSW process⁶ and its thermomechanical history, primarily using Gleeble testing. However, the work appeared to be of

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limited value at that stage. Further, double sided FSW were produced at thicknesses of 16–19 mm for a linepipe evaluation. Hardness could be as high as 310 HV, and this tended to be coincident with areas containing martensite or bainite. As in the previous work, there were much higher strengths in the longitudinal tensile test results. However, there tended to be low strength in the stir zone, but there was an opinion that the parent plate material was a contributor to the poor stir zone toughness.

Konkol and Mruczek compared FSW and SAW (submerged arc weld) of X65 grade steel. The overall conclusions were that the friction stir material had more uniform hardness than the SAW; the distortion was less in the FSW than in the SAW on 6 mm thick plate; although the stir zone toughness in the HAZ was superior in the FSW, there was overall not much difference in toughness in the HAZ areas. There was one bend test failure that was attributed to tool material becoming embedded into the weld region. Based on these data, there was scope for the FSW process to be viable for X65. Some work was carried out on a rolled, quenched and tempered 701 grade steel, which has a broadly similar chemistry to DH36 but a completely different structure. The outcomes were that there was very little difference in the residual stresses generated between single pass and double pass FSW welding and that there was a slight tendency to produce higher hardness regions in the area of stir zone associated with the edge of the tool position.

Work carried out on high carbon tool steel yielded some useful data. When welding was carried out below the A_t transformation temperature, no martensite was formed and there was a better combination of strength/toughness when welding was carried out below the A_1 temperature compared to that welded above it.

An in-depth microstructural investigation was carried out by Ozekchin et al. on X80 steel, which has a chemical composition not too dissimilar from DH36, apart from the addition of molybdenum (0.17%) and vanadium (0.062%) instead of niobium. A peak hardness of 350 was found in the stir zone towards one side, which was the advancing side of the tool. The macro sample showed that there was a different etching response in that area. In the HAZ area, there was some evidence of a feature termed reverted austenite, but the extent of its presence was not discussed. The stir zone consisted of granular bainite, upper bainite and lath martensite. Perhaps, the most significant comment made in this work was the absence of contiguous coarse grains at the fusion line in the FSW compared to SAW. Continuation work was reported, which again highlighted toughness issues. In addition, modelling work showed that there was an asymmetric strain rate distribution related to the advancing side of the weld, and this in turn seemed to be related to an asymmetric model prediction of the peak temperature. Some of the actual hardness measurements also showed an asymmetric effect, again towards the advancing side. A reasonable number of the hardness measurements were in the range 290–350.

In summary, the mechanical properties were strongly controlled by the FSW process parameters, and that properties such as toughness and elongation were variable in most cases. The double sided process appeared to improve properties, but at present, this is a relatively tenuous comment.

### Experimental

The plate material studied in this work was shipbuilding grade DH36 steel plate, and the specific plates used were selected at random. Thickness was 4, 6 and 8 mm, and the chemical analysis of each plate is shown in Table 1. The 4 mm thick plate was sourced from a different mill to the 6 and 8 mm and, as a result, developed the plate properties in a different manner. Based on the sample used, it has a less banded structure than the 6 and 8 mm thick plate. The plates were chosen on the basis of material that potentially would be used in actual construction. In the past, a thick plate has been used and milled down to different thicknesses, but this resulted in non-optimum chemistry being used plus the inability to be fully confident that the distortion produced would be representative of the rolled product and not a rolled and milled product.

Each plate had been shot blasted and primed before being cut, from a mother plate, using a laser cutting process. All welding took place in a direction parallel to the main rolling direction. Plate mechanical properties have been shown in Table 2. These are based on the plate mill certificates.

All the welding was carried out from one side, but an additional 8 mm thick plate was also welded from two sides for limited comparative purposes, and also to act as an aid to indicate possible directions of future research.

As a comparison, conventional double sided SAWs were produced to demonstrate the current base case against which FSW would be compared.

<table>
<thead>
<tr>
<th>Plate thickness/mm</th>
<th>C</th>
<th>Si</th>
<th>Mn</th>
<th>P</th>
<th>S</th>
<th>Al</th>
<th>Nb</th>
<th>N</th>
<th>Heat input/kJ mm^-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>0.14</td>
<td>0.37</td>
<td>3.34</td>
<td>0.017</td>
<td>0.008</td>
<td>0.01</td>
<td>0.03</td>
<td>0.003</td>
<td></td>
</tr>
<tr>
<td>FSW weld area</td>
<td>0.15</td>
<td>0.38</td>
<td>1.35</td>
<td>0.016</td>
<td>0.008</td>
<td>0.01</td>
<td>0.03</td>
<td>0.003</td>
<td>3.23</td>
</tr>
<tr>
<td>SAW weld area</td>
<td>0.11</td>
<td>0.46</td>
<td>1.5</td>
<td>0.018</td>
<td>0.008</td>
<td>0.01 &lt; 0.01</td>
<td>0.002</td>
<td>0.004</td>
<td>4.38</td>
</tr>
<tr>
<td>Parent plate</td>
<td>0.11</td>
<td>0.37</td>
<td>1.48</td>
<td>0.014</td>
<td>0.004</td>
<td>0.02</td>
<td>0.02</td>
<td>0.002</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>0.12</td>
<td>0.37</td>
<td>1.49</td>
<td>0.014</td>
<td>0.004</td>
<td>0.02</td>
<td>0.02</td>
<td>0.003</td>
<td>3.08</td>
</tr>
<tr>
<td>FSW weld area</td>
<td>0.09</td>
<td>0.52</td>
<td>1.62</td>
<td>0.017</td>
<td>0.006 &lt; 0.01</td>
<td>0.01</td>
<td>0.03</td>
<td>3.79</td>
<td></td>
</tr>
<tr>
<td>SAW weld area</td>
<td>0.09</td>
<td>0.21</td>
<td>1.35</td>
<td>0.021</td>
<td>0.01</td>
<td>0.02 &lt; 0.01</td>
<td>0.002</td>
<td>2.68</td>
<td></td>
</tr>
<tr>
<td>Parent plate</td>
<td>0.09</td>
<td>0.21</td>
<td>1.35</td>
<td>0.021</td>
<td>0.01</td>
<td>0.02 &lt; 0.01</td>
<td>0.002</td>
<td>2.68</td>
<td></td>
</tr>
</tbody>
</table>

*FSW heat input = \( \frac{2\pi r T}{1000v} \) (kJ mm\(^{-1}\)), \( r \) dimensionless factor indicative of process efficiency, \( T \) rotation speed (rev min\(^{-1}\)), \( r \) average steady state spindle torque (N m), \( v \) traverse speed (mm min\(^{-1}\)), SAW heat input = \( V\alpha 60/1000v \) (kJ mm\(^{-1}\)), \( V \) voltage (V), \( A \) current (A), \( v \) traverse speed (mm min\(^{-1}\)).
Processing details and outcomes

Submerged arc welding was carried out using a 3-2 mm diameter solid wire. The heat inputs were calculated on the basis of the equations shown in Table 1. No allowance has been made for arc efficiency. If anything, the FSW arc efficiency should be lower than the SAW, as the FSW is exposed to the atmosphere and subject to an inert gas being used to cool the tool.

Each of the welded plates was assumed to be flat before welding, and visual inspection of them showed that they were all relatively flat. After welding, the welded plates were laser scanned to produce the welded plate profile. The profiles are shown in Fig. 1b, d and f for the FSW and Fig. 1a, c and e for the SAW.

It is quite clear that the FSW process induced significantly less distortion than did the SAW process. In both cases, the extent of distortion decreased as the thickness increased, as expected. A summary of the distortion data is shown in Table 3 using the difference between the minimum and maximum distortion as the assessment. While the 4 mm thick FSW had the lowest calculated heat input in the data shown in Table 1, it also had the greatest distortion of the FSW plates. If the calculated heat input is divided by the plate thickness, then the 4 mm plate has, in theory, the highest heat input/mm². The figures are shown below.

Each plate was X-rayed and all the material, SAW and FSW, was defect free.

Macrosamples of each weld were taken, and in the case of the FSW material, the identities of the advancing and retreating edges of the stir tool were maintained.

All the macros are shown in Fig. 2a–d for FSW and Fig. 2e–g for SAW. In the FSW welds, there were no glaringly obvious swirl patterns that have been reported elsewhere and are apparently associated with variations on stir zone hardness. There was a slight swirl on the retreating side of the 8 mm single sided of the FSW plate. In addition, full penetration welding has been achieved for each thickness. There was a progressive increase in the top surface width of the stir zone with increasing plate thickness. Each of the parent plates and weld metals were chemically analysed to assess differences in the chemical compositions. This is shown in Table 1. As would be expected, the SAW weld metal was significantly different to the parent plate due to the composition of the filler wire and the dilution effects from the parent plate. The most prominent differences were in the carbon, silicon and manganese contents. In the case of the FSW material, there were only minor differences in the chemical composition of the stir zone compared to the parent plate, which would be expected.

Properties

Before testing, the flash at the outer edge of the friction stir weld zone was lightly ground to remove it. In each of the welds produced, this flash was classified as being light.

Weld region hardness was evaluated using a Zwick/Roell ZHV-Indentec automated hardness scanner (ST2000 Stage Controller) with a load of 1 kgf and an indenter grid spacing of ~300 μm. Pictorial representations of the weld region hardness surveys are shown in Fig. 3a for FSW and Fig. 3b for SAW.

All the transverse tensile testpieces fractured in the parent plate, which is in line with the previous findings. To assess stir zone toughness, a series of Charpy tests were carried out across the welds. From the weld centreline, three additional tests were carried out on each side at 2 mm intervals on the FSW, while identifying, advancing and retreating edges of the specimen. The 2 mm increments meant that 4 and 6 mm thick weld samples were composed entirely of stirred material, but the 8 mm thick weld contained a very small proportion of parent plate, which was almost equivalent on either side of the weld centreline. For comparison purposes, the same was carried out for the SAW welds, although no side to side variations were anticipated. The specimens were different sizes dependent on plate thickness. The data shown in Table 4 have normalised all the Charpy data to a 10 x 10 mm equivalent using the conventions adopted in Lloyd’s Naval Rules. There is, however, no factor for the 2-5 samples used for the 4 mm thick plates, but a factor of 2 has been adopted, as being broadly in line. All tests were carried out at ~20°C, in line with the current requirements in Lloyd’s Naval Rules. For commercial vessels, this requirement would be 0°C with a minimum impact requirement of 34 J. Clearly, there is not an issue with the toughness of the weld zones in either the SAW or the FSW. Overall, there is very little difference between the single sided FSW and SAW, but the double sided 8 mm thick FSW has significantly better toughness than any of the other samples, a fact that was discussed earlier.

Root and face bend tests were all satisfactory, but some subsequent uncompleted work has shown that small tears can occur, which coincide with the tool stir marks on the surface of the weld. There will require a definition of a surface preparation and finishing standard for FSW bend testpieces.

Microstructure

Each sample was examined at mid-thickness in the weld metal, HAZ and parent plate as shown in Fig. 4. All work was carried out at a magnification of x 500 to be sure of the detail of the microstructure. The centre weld metal of the FSW samples was relatively similar, with a uniform acicular ferrite microstructure. It did appear that as the thickness decreased, then a finer structure was produced. A higher magnification scanning electron microscopy (SEM) image in Fig. 5a shows the regularity of the structure, which appears to be finer than that reported by Lienert et al. The exact nature of this structure has not been determined and will be the subject of future work.

Table 2 Mechanical properties of plates used for SAW and FSW welding trials

<table>
<thead>
<tr>
<th>Plate thickness/mm</th>
<th>Ultimate tensile strength/N mm⁻²</th>
<th>Yield strength/N mm⁻²</th>
<th>Elongation/%</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>558</td>
<td>395</td>
<td>26.5</td>
</tr>
<tr>
<td>6</td>
<td>541</td>
<td>470</td>
<td>27</td>
</tr>
<tr>
<td>4</td>
<td>490</td>
<td>415</td>
<td>29</td>
</tr>
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</table>
of another investigation. An initial evaluation of the overlap region of a double sided FSW sample was carried out. This showed a finer structure in the overlap region, and the grain structure was generally less acicular in nature, as shown in Fig. 5b. Swirl patterns in this area had similar microstructures, but again, there is significant scope for more work in characterising these regions. Initial grain size measurements showed the
Table 3 Distortion as function of plate thickness and weld process

<table>
<thead>
<tr>
<th>Plate thickness/mm</th>
<th>Distortion over 2000 mm double sided SAW plates/mm</th>
<th>Distortion over 2000 mm single sided FSW plates/mm</th>
<th>Distortion over 2000 mm double sided FSW plates/mm</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>80</td>
<td>15</td>
<td>10</td>
</tr>
<tr>
<td>6</td>
<td>110</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>120</td>
<td>60</td>
<td></td>
</tr>
</tbody>
</table>

*a 4 mm thick single sided FSW and double sided SAW; b 6 mm thick single sided FSW and double sided SAW; c 8 mm thick single sided FSW and double sided SAW; d 8 mm thick double sided FSW

2 Macrosamples for all welded plates
3. a hardness profiles for all FSW welds produced and b hardness profiles for all SAW welds produced

Table 4 Toughness data at −20°C for all welds*

<table>
<thead>
<tr>
<th>Plate thickness/mm</th>
<th>Weld metal</th>
<th>Single/double sided weld</th>
<th>CL</th>
<th>+2 mmA</th>
<th>+4 mmA</th>
<th>+6 mmA</th>
<th>+2 mmR</th>
<th>+4 mmR</th>
<th>+6 mmR</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>FSW weld area</td>
<td>Double</td>
<td>157</td>
<td>103</td>
<td>167</td>
<td>125</td>
<td>161</td>
<td>156</td>
<td>170</td>
</tr>
<tr>
<td>8</td>
<td>FSW weld area</td>
<td>Single</td>
<td>73.2</td>
<td>70.8</td>
<td>48</td>
<td>52.8</td>
<td>68.4</td>
<td>46.8</td>
<td>50.4</td>
</tr>
<tr>
<td>6</td>
<td>SAW weld region</td>
<td>Double</td>
<td>64.8</td>
<td>74.4</td>
<td>54</td>
<td>41</td>
<td>132</td>
<td>48</td>
<td>36</td>
</tr>
<tr>
<td>6</td>
<td>FSW weld area</td>
<td>Single</td>
<td>115.5</td>
<td>79.5</td>
<td>51</td>
<td>111</td>
<td>88.5</td>
<td>72</td>
<td>132</td>
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<tr>
<td>4</td>
<td>SAW weld region</td>
<td>Double</td>
<td>93</td>
<td>94.5</td>
<td>94.5</td>
<td>114</td>
<td>46.5</td>
<td>69</td>
<td>118.5</td>
</tr>
<tr>
<td>4</td>
<td>FSW weld area</td>
<td>Single</td>
<td>61</td>
<td>66</td>
<td>62</td>
<td>58</td>
<td>64</td>
<td>58</td>
<td>56</td>
</tr>
<tr>
<td>4</td>
<td>SAW weld region</td>
<td>Double</td>
<td>46</td>
<td>60</td>
<td>56</td>
<td>72</td>
<td>62</td>
<td>60</td>
<td>60</td>
</tr>
</tbody>
</table>

*8 mm testpieces were 7.5 mm and converted to 10 mm equivalent using a factor of 6/5; 6 mm testpieces were 5.0 mm and converted to 10 mm equivalent using a factor of 3/2; 4 mm testpieces were 2.5 mm and converted to 10 mm equivalent using a factor of 2; A, advancing side for FSW; R, retreating side for FSW.
a 8 mm single sided FSW, b 6 mm single sided FSW, c 4 mm single sided FSW, d 8 mm double sided FSW, e 4 mm thick double sided SAW, f 6 mm thick double sided SAW and g 8 mm thick double sided SAW
overlap area to have an average grain size of 2.35 μm, while the stirred regions above and below it were 2.7 and 2.93 μm respectively. However, it is really the grain morphology and not the size that is the key issue here. The weld metal of the SAW samples showed the normal acicular ferrite structure outlined by boundaries of proeutectoid ferrite. This might be expected to produce poorer toughness than the FSW microstructure, but the effects of enhanced manganese in solid solution and reduced carbon content would counteract some of the microstructural effects.

The HAZ structure of the FSW is characterised by a breakdown of the pearlite colonies into colonies of small pearlite granules. This is irrespective of whether it is a banded structure or otherwise that is being looked at or the more regular structure. In the SAW welds, there is less breakdown of the pearlite, although it appears to have decreased in size.

The grain sizes established in this work is very similar to that reported by Cho et al., although the starting point in that study was a much lower carbon steel, which had a ferrite-bainite structure. This specific work did highlight the need to more fully understand the processes of recrystallisation and recovery within the overall stirring process.

The parent plates in the present study were typical of most rolled product with an element of banding being seen on them. The 4 mm thick plate appeared to have a slightly different distribution of ferrite pearlite, but the processing mill has a relatively unique mill configuration for plate steels of this thickness.

**Hardness**

The three SAW specimens all had very acceptable levels of hardness, which were not out with the norm expected from this process. In addition, the weld hardness was reasonably symmetrical in the transverse direction. The single sided FSW welds all had an asymmetric hardness distribution, which appeared to be associated with the advancing edge of the tool. This is particularly evident on the 6 mm thick sample in Fig. 3a. In turn, this could be associated with the difference in relative material movement direction and thus localised strain rates from one side of the tool to the other, which will influence heat generation from adiabatic shear. The modelling
work of Fairchild et al.\textsuperscript{1} identified such asymmetric effects as being related to the strain rate and maximum temperature within the weld. There was also evidence to show that differences in hardness could be delineating some of the swirl patterns. This is particularly obvious in the double sided FSW in Fig. 3a. However, it is essential to recognise that although differences are being debated, these differences are not major and do not constitute a potential problem in the FSW welds. In addition, the maximum hardness range in the current welds was not as high as that reported by Fairchild et al.\textsuperscript{1} This could be related to a number of factors or their combinations. For example, the DH36 steels used in this study had much lower carbon equivalent (0.32–0.38\%) compared to that reported as 0.44\% for the X80 steel.\textsuperscript{9} This would have the effect of increasing the steel hardenability, which could account for the difference in hardness.

Fatigue testing

Fatigue testing was carried out on both the FSW material and the SAW material.

The samples for fatigue testing were prepared on the outer cut edges only, leaving the weld surfaces unmachine. Two testing regimes were used: low cycle fatigue (LCF) and high cycle fatigue (HCF). Based on the yield stress of the material, the LCF tests were conducted at 95\% of the yield stress, and the HCF tests at 80\% of the yield stress. The stress frequency was constant at 10 Hz, and the stress ranges were 5–10 KN (mean stress of 7.5 KN) for LCF and 5–15 KN (mean stress of 12.5 KN) for HCF. All testing was carried out on an Instron 8802 fatigue testing machine, which had a 500 KN axial force capacity.

Figure 6 compares the FSW single sided welds and the SAW welds at all thicknesses for the high stress range...
testing condition. The data for the low stress testing range show a similar trend. Statistically, these data have been shown to be robust enough to back up the statement that the FSW welds had better fatigue resistance performance than the SAW samples. These data also showed that the 8 mm thick single sided FSW had slightly inferior fatigue properties to the combined 4 and 6 mm data in the low stress range, as shown in Fig. 7.

In addition, a comparison has been made between all the single sided FSW data and the 8 mm double sided data. Figure 8 shows that fatigue performance of the double sided welds is statistically superior to that of all the single sided data. The reasons for this are not immediately obvious but could be related to residual stress distributions between single and double sided material. Some surface residual stress measurements were carried out but were inconclusive for the three process routes used.

Examination of the fracture faces showed that the SAW fracture initiation point was the transition point on the weld cap to the parent plate, as shown in Fig. 9. The fracture initiation points on the FSW samples were confined to the machining marks left by the tool on the weld nugget surface, as shown in Fig. 10b and in the main fracture face in Fig. 10. There is evidence here to show relatively uniform crack growth from each of the tool marks. Figure 10c shows a higher magnification SEM image of the root of the tool mark and the crack propagation below it. Figure 10d shows evidence of ductile fracture in the main fracture face. This part of the work does, however, indicate the need to carefully control the marking left by the tool on the weld surface.

Similar results have been reported on the fatigue testing of FSW aluminium.

**Conclusions**

Friction stir welding of shipbuilding quality steel is a viable proposition that will achieve the required mechanical properties of the joint.

Friction stir welding is superior to the current SAW in that it produces less distortion, is not detrimental to toughness and does not approach concerning levels of hardness.

In addition, FSW has been shown to produce superior fatigue properties to SAW material. It appears that double sided friction stir welded DH36 was shown to be superior in all aspects to single sided FSW. This could be related to the smaller grain size effect of the overlap area between the two passes, which is also softer.

**Acknowledgement**

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**References**