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A Black-Box Dynamic Equivalent Model for Microgrids Using Measurement Data

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Abstract

A dynamic equivalent black-box model, based on Prony analysis is presented in this paper. The proposed model is suitable for dynamic studies of microgrids, considering changes in the active and reactive power, bus voltages, currents and frequency. The developed model is evaluated using simulation results obtained from a medium voltage microgrid and test measurements recorded in a low voltage microgrid laboratory test facility. Results from the proposed model are in good agreement with the corresponding responses obtained from both simulations and laboratory tests. The examined microgrid configurations include rotating machines and inverter interfaced units implementing different control strategies, thus verifying the robustness of the proposed model.

Keywords

Black-box modelling, dynamic equivalent, measurements, microgrid, Prony analysis
1. Introduction

In recent years the number of Distributed Generation (DG) units has increased rapidly, influencing the operation and the performance of power systems and especially distribution networks. To facilitate the analysis of such distribution grids the concept of microgrid (MG) has been introduced, containing different types of DG units, either renewable or conventional with or without energy storage devices [1][2]. Due to the stochastic nature of renewable sources and the effect of the complex control strategies of the DG units, frequent changes in the network topology and the operating conditions of the DG units occur, influencing significantly the dynamic behaviour of the system [3]. Therefore the development of accurate and adaptive dynamic simulation models of MGs is essential, in order to enhance the DG utilization and investigate more efficient ways of operation [4].

There are several cases in the literature using detailed models to investigate the dynamic behaviour of MGs [5][7]. This approach however demands significant computational power and large simulation times for extended MG systems with large number of DG units and complex control schemes. There are also several other drawbacks, such as the lack of detailed information about the design and control parameters of DG units as well as the difficulties to incorporate efficiently the constant change of the generation mix and the network topology.

To overcome the computational effort difficulties, reduced order models for MGs have been proposed, based on the same techniques previously used for the simulation of large-scale power systems. In [8] reduced order models are presented, based on eigenanalysis and Prony analysis and in [9] the Hankel-norm approximation is adopted. Similarly, a coherency identification based equivalent model is proposed in [10] whereas in [11] the same method has been implemented in the dynamic reduction program DYNRED. Dynamic equivalencing techniques are also widely used to implement reduced order simulation models, specifically for the case of wind farms [12][13]. However, the identification of parameters in reduced order models also requires network information, thus the previous restrictions and drawbacks apply to this category as well.

Dynamic equivalent models using grey- [14][16] and black-box [17][21] approaches can overcome the lack of detailed information of the DG unit parameters
and the network topology, and improve the numerical performance of the simulation. In both models the parameters are extracted mainly from measurements, using system identification techniques. However, in the black-box approach no prior knowledge of the model structure is required, while in the grey-box some initial information is necessary. Furthermore, modelling restrictions due to the network complexity, generation mix and control strategies are overridden by the black-box approach, ensuring the flexibility and generalized form of the developed models. The black-box parameters are derived using system identification techniques such as sub-space methods [17], [18] and Prony analysis [19], [20]. In most cases simulation results are used for the model parameter identification and validation, whereas in some cases field measurements from conventional, extended transmission networks [19].

In this paper a black-box dynamic model, suitable for the simulation and analysis of MG systems is presented. It is based on Prony analysis and nonlinear least square optimization, as in [20]. The model represents the dynamic performance at a reference point of the MG, when the MG is subjected to small disturbances and thus can be used for small signal stability analysis. The reference point can be the Point of Common Coupling (PCC) or a DG unit point of coupling within the MG. The model outputs are the dynamic responses of the active and reactive power, the bus voltage, the current and the frequency in a modular structure with decoupled system variables. Measurement data are used to extract the model parameters, which can be updated online, providing an accurate representation of the changing system structure. The model can be combined with power system analysis software packages and can be implemented as a portable network feeder element, representing the MG system [14], [18]. The proposed model is used in several MG topologies including both rotating and inverter interfaced DG units with different control strategies. The influence of the above parameters on the calculated Prony terms, the model response and the dynamic behaviour of the MG system are investigated systematically. The model is also validated using measurement test data recorded in a laboratory scale MG system at the University of Strathclyde, UK [22].

2. Modelling Methodology
A. Black-Box modelling fundamentals

Traditionally, Prony analysis has been used in large power transmission system studies as in [17] and [19], where slow transients up to a few decades of seconds have been simulated. The proposed model focuses on the representation of the dynamic behaviour of microgrids, where transients involved are generally faster and with larger damping, due to the small inertias of the rotating DG units and the resistive behaviour of the microgrids [5], [6], [14], [16].

The model is based on Prony analysis and nonlinear least square optimization in order to identify the system eigenvalues from recorded dynamic responses at a reference point of the MG and represent the MG dynamic behaviour. The model outputs can be the active (P) and reactive power (Q), both of which can be incorporated in power system analysis software as a feeder element suitable for small signal stability analysis, as well as the bus voltage (V), system frequency (f) and current (I), which can be used for voltage/frequency stability analysis and dynamic studies.

Prony analysis provides an efficient way to fit a dynamic response with a sum of damped sinusoids [19], [20], [23]. However, for each individual disturbance in a MG configuration (different disturbance amplitude or pre-disturbance steady state condition of the MG) a new set of Prony terms must be extracted, resulting in a huge amount of data and considerable computational burden. The proposed model, in contrary to [20], overcomes this inherent restriction by implementing additional correction factors, limiting the required data for the estimation of the model parameters.

The proposed model is suitable for the analysis of small signal dynamics of MGs, when subjected to small internal disturbances, e.g. changes in the load power and the operational conditions of DG units. Therefore, the investigated dynamic disturbances are considered large enough to influence the system dynamics and small enough to ignore nonlinearities in the system [24].

B. Model formulation

The generic equation, describing the proposed model is given in (1). The model handles individually each of the MG system variables (P, Q, V, I, f), resulting in a modular structure of five distinct, computationally decoupled subsystems. The eigenvalues of each distinct system variable are calculated individually by the corresponding measurements of this variable only, which practically include any
interaction of the other system variables caused by the actual system control loops.

\[ \hat{\mathbf{Y}} = \mathbf{AY} \cdot \mathbf{U} + \hat{\mathbf{Y}}_{tr} \cdot \mathbf{U} + \mathbf{Y}_0 \]  

(1)

where

\[ \hat{\mathbf{Y}} = [\hat{y}_1, \hat{y}_2, \hat{y}_3, \hat{y}_4, \hat{y}_5]^T = \begin{bmatrix} \hat{P} & \hat{Q} & \hat{V} & \hat{I} & \hat{f} \end{bmatrix}^T \]  

(2a)

\[ \mathbf{Y}_0 = [y_{01}, y_{02}, y_{03}, y_{04}, y_{05}]^T = \begin{bmatrix} P_0 & Q_0 & V_0 & I_0 & f_0 \end{bmatrix}^T \]  

(2b)

\[ \mathbf{AY} = \text{diag} [\Delta y_1, \Delta y_2, \Delta y_3, \Delta y_4, \Delta y_5] = \text{diag} [\Delta P, \Delta Q, \Delta V, \Delta I, \Delta f] \]  

(2c)

\[ \hat{\mathbf{Y}}_{tr} = \text{diag} [\hat{y}_{tr-1}, \hat{y}_{tr-2}, \hat{y}_{tr-3}, \hat{y}_{tr-4}, \hat{y}_{tr-5}] = \text{diag} [\hat{P}_{tr}, \hat{Q}_{tr}, \hat{V}_{tr}, \hat{I}_{tr}, \hat{f}_{tr}] \]  

(2d)

\[ \mathbf{U} = \begin{bmatrix} u(t) & u(t) & u(t) & u(t) & u(t) \end{bmatrix}^T \]  

(2e)

Function \( u(t) \) is the unit step, \( \hat{\mathbf{Y}} \) is the output vector, \( \mathbf{Y}_0 \) represents the MG pre-disturbance steady state condition and \( \mathbf{AY} \) is the input vector and includes the step changes \( \Delta y_m \). All vectors are of order \( M \), which is the total number of the system variables. Function \( \hat{y}_{tr-m}(t) \), where \( m = 1, 2, ..., M \), represents the disturbance of the \( m \)-th system variable and is fitted by a sum of \( N_m \) Prony terms as given in (3).

\[ y_{tr-m}(t) = \sum_{n=1}^{N_m} \frac{1}{2} A_{mn} e^{j\varphi_{mn}} e^{j\omega_{mn} t} = \sum_{n=1}^{N_m} A_{mn} e^{j\sigma_{mn} t} \cos(\omega_{mn} t + \varphi_{mn}), \]  

(3)

Parameters \( A_{mn} \), \( \varphi_{mn} \) and \( \lambda_{mn} = \sigma_{mn} \pm j\omega_{mn} \) are the amplitude, phase and model eigenvalue, respectively and \( \omega_{mn}, \sigma_{mn} \) are the corresponding angular frequency and the damping coefficient \( \text{[24]} \). Each Prony term is the result of the sum of complex conjugates and represents a damping oscillation. The proposed model has a generalized form, since by selecting properly the order \( N_m \) of the corresponding model subsystem, it can include all types of generation units, control schemes and load types. A change in the topology of the MG is represented by introducing or subtracting the corresponding Prony terms in (3).

C. Parameter identification

The model parameter identification process is presented in Fig. 1 by means of a flowchart. In order to calculate all model parameters, three different recorded responses are required, assuming that each dataset contains measurements of all system variables.

In real world applications measurement data can be obtained by Phasor Measurement Units (PMUs) in smart grids or alternatively from offline simulations, using commercial
software packages.

First, the model initialization is based on a random system disturbance and its preceding steady-state condition. This set of events defines a *base scenario* for the model. The dynamic response of the MG at the reference point is recorded for the base scenario, as well as for two additional disturbances; one of different amplitude and one of different pre-disturbance steady state condition than the base scenario.

[Fig. 1]

Next, the model parameters $A_{mn}$, $\omega_{mn}$, $\sigma_{mn}$, and $\varphi_{mn}$ of (3) are extracted for each of the three datasets, using Prony analysis to get an initial estimation while the initial operating condition $y_{0m}$ is acquired directly from the corresponding measurement data. The appropriate number of Prony terms for each system variable, and thus the order of each subsystem can be identified using the subsystem identification method N4SID available in MATLAB. In certain cases, especially for non-oscillating responses, the proposed system order can be further reduced by trial and error methods. In all cases presented in this paper, two Prony terms proved enough to lead to satisfactory performance. Since the accuracy of Prony analysis is usually not very high, the nonlinear least square optimization technique is also applied to improve the initial parameter estimation as in The curve fitting tool of MATLAB is adopted for this purpose, using the trust-region algorithm. Similar results are also obtained, using the Levenberg-Marquardt and Gauss-Newton methods available also in MATLAB. For the nonlinear least square optimization procedure an initial value as well as a lower and upper boundary for each of the estimated parameters must be specified. The initial values are derived from Prony analysis, while proposed empirical guidelines are used to initialize and adjust the boundary values, in order to reach the predefined error criteria. The estimation procedure target is to minimize the root mean square error ($RMSE$) and also maximize the coefficient of determination ($R^2$) defined in (4) and (5), respectively. According to the results from numerous simulations, the maximum and minimum targets for the $RMSE$ and $R^2$ are selected empirically as 0.003 and 0.9, respectively. The target error values can be either tightened to increase the accuracy of the identification process or loosened to reduce the...
number of iterations and thus the required execution time of the proposed method.

\[
\min \left[ RMSE (y_m) \right] = \min \left[ \frac{1}{K_m} \sum_{k=1}^{K_m} (\hat{y}_m(k) - y_m(k))^2 \right] \tag{4}
\]

\[
\max \left[ R^2 (y_m) \right] = \max \left[ 1 - \frac{SSE (y_m)}{SST (y_m)} \right] \tag{5a}
\]

where

\[
SSE (y_m) = \sum_{k=1}^{K_m} (\hat{y}_m(k) - y_m(k))^2 \tag{5b}
\]

\[
SST (y_m) = \sum_{k=1}^{K_m} (y_m(k) - \bar{y}_m)^2 \tag{5c}
\]

where \( y_m \) and \( \hat{y}_m \) are the original and estimated values of the system variables, respectively, \( \bar{y}_m \) is the mean value and \( K_m \) the corresponding number of samples. The adopted empirical guidelines are summarized below:

- The initial values for the nonlinear least square optimization are provided by Prony analysis. The boundaries of the Prony terms are set according to the corresponding initial value as ± 10% for the \( \omega_{mn} \) term, ± 100% for the \( A_{mn} \) term, ± 50% for the \( \sigma_{mn} \) term and -2π up to 2π for the \( \phi_{mn} \) term.

- Fourier analysis can be additionally applied for the initial estimation of the \( \omega_{mn} \) parameter in case Prony analysis results are not accurate.

- If the \( RMSE \) and \( R^2 \) targets are not met, the initial parameter estimation value and the corresponding boundaries are increased until the error targets are met. If the errors become worse the initial values are decreased.

- For a given MG the recurrent application of the parameter estimation procedure can provide enough historical data to manually set the boundaries to expected values.

The model parameter estimation procedure can be repeated arbitrarily when crucial changes in the MG structure occur, e.g. connection/disconnection of a DG unit. Furthermore, the repetition of the algorithm can be executed in fixed time intervals following a good practice guide in different MGs, as in the case of dynamic load models [27]. However, as the estimation procedure is computationally efficient, the algorithm can be repeated each time the three required input datasets are available from measurements and thus any tracked changes in the MG will be reflected in the model.
D. Model correction factors

Next, the model correction factors are calculated. The eigenvalues $\lambda_{mn}$ and phase $\varphi_{mn}$ of all system variables can be considered constant as calculated from the base scenario, since the system is assumed linear. However, parameter $A_{mn}$ strongly depends on the disturbance amplitude and the pre-disturbance steady state condition of the MG as depicted in Fig. 2, showing in general a linear behaviour.

Therefore, the behaviour of parameter $A_{mn}$ against the disturbance amplitude and the pre-disturbance steady-state condition of each Prony term is approximated by the linear correction factors $CF_{1mn}$ and $CF_{2mn}$, as shown in (6)-(8).

$$\hat{A}_{mn} = CF_{1mn} \cdot CF_{2mn} \cdot A_{base-mn}$$  \hspace{1cm} (6)

$$CF_{1mn} = a_{1mn} x_1 + b_{1mn}$$  \hspace{1cm} (7)

$$CF_{2mn} = a_{2mn} x_2 + b_{2mn}$$  \hspace{1cm} (8)

where $A_{base-mn}$ is the corresponding parameter of the base scenario. $CF_{1mn}$ approximates linearly the variation of $A_{mn}$ against the disturbance amplitude, while similarly the pre-disturbance steady state condition variation is represented by $CF_{2mn}$. Variable $x_1$ indicates the percentage change of the disturbance amplitude from the nominal operating condition, as an absolute value. On the other hand, variable $x_2$ indicates the percent change from the pre-disturbance operating condition of the base scenario. Therefore $x_2$ is always zero for the base scenario while $x_1$ can take any value depending on the available measurement set. Since correction factors are linear functions, two points are required in order to determine their values. For each correction factor one point is acquired from the base scenario, while the other from the additional dataset, thus the resulting total number of the minimum required measurement datasets is three. Parameters $a_{1mn}$ and $a_{2mn}$ express the slope of $CF_{1mn}$ and $CF_{2mn}$ curves, therefore their sign and magnitude show the monotony and sensitivity of the corresponding $A_{mn}$ parameter against the step amplitude and the pre-disturbance steady state condition. This is an indication of the degree of influence of the specific eigenvalue on the overall MG dynamic behaviour as the step magnitude and the pre-disturbance steady state.
Finally, taking into account the correction factors for each Prony term the proposed black-box model described by (1) is rewritten in (9).

\[
\begin{bmatrix}
Y_1 \\
Y_2 \\
Y_3 \\
Y_4 \\
Y_5
\end{bmatrix} = \begin{bmatrix}
\Delta Y_1 & 0 & 0 & 0 & 0 \\
0 & \Delta Y_2 & 0 & 0 & 0 \\
0 & 0 & \Delta Y_3 & 0 & 0 \\
0 & 0 & 0 & \Delta Y_4 & 0 \\
0 & 0 & 0 & 0 & \Delta Y_5
\end{bmatrix}
\begin{bmatrix}
Y_{n-1} \\
Y_{n-2} \\
Y_{n-3} \\
Y_{n-4} \\
Y_{n-5}
\end{bmatrix} + \begin{bmatrix}
u(t) \\
u(t) \\
u(t) \\
u(t) \\
u(t)
\end{bmatrix} + \begin{bmatrix}
0 \\
0 \\
0 \\
0 \\
f(t)
\end{bmatrix} \quad (9a)
\]

where

\[
y_{n-m}(t) = \sum_{n=1}^{N} \hat{A}_{nn} e^{s_{n}t} \cos(\omega_{nn} t + \phi_{nn}), \quad (9b)
\]

and \( \hat{A}_{nn} \) are calculated using (6)-(8).

E. Model characteristics

The most significant advantages of the proposed model are summarized below:

- The black-box approach eliminates the need for information considering the topology and the operating condition of the MG, relying solely on recorded dynamic responses.
- Only the dominating eigenvalues affecting the dynamic response of the system are identified.
- The parameter identification algorithm is computationally efficient, requiring approximately 30 s for each loop of Fig. 1 in a typical personal computer, after the measurement data sets are available. Therefore, the parameters can be updated at a regular basis to obtain a more accurate representation of the current system topology.
- Apart from \( P \) and \( Q \) also \( V, f \) and \( I \) are simulated providing a comprehensive and complete view of the MG system dynamics.
- The introduction of the correction factors minimizes the required measurement datasets and enables the implementation of a generic dynamic model instead of a set of fitted terms as given in [20].
- The proposed empirical guidelines enhance the performance of the method, especially in case of real measurement data.
Historical data of the model parameters and correction factors can be associated with certain operating conditions, providing greater flexibility in the application of the model. Furthermore, statistical analysis of the recorded parameters from different MGs can provide sets of generalized model parameters that can be used in cases where measurements are not available.\[14\]

The model is based on measurements to extract the model parameters and since stable MG system conditions are used in the identification process, only the stable eigenvalues of the system are identified.

The model can be implemented in power system analysis platforms, exchanging active and reactive power with the rest of the grid model, similarly to a dynamic load model.\[14\],\[15\],\[18\]. Multiple black-box equivalents can be integrated as part of a larger network configuration without the need to coordinate their voltages or frequencies, provided that they are connected to a detailed modelled part which imposes them at their connection points.

3. Analysis of the model performance

A simulation model of a medium-voltage (MV) MG and a low-voltage (LV) laboratory-scale MG system, shown in Figs. 3a and 3b, respectively, are used in the analysis. Similar MG configurations are also implemented in\[5\] and\[6\].

Using the simulation model, a parametric study is conducted in order to investigate systematically the influence of the different model parameters on the model performance as well as to evaluate the accuracy of the model in a MV MG topology.

Measurement results from the laboratory-scale MG system are presented in Section 4 and are used to validate the model performance under real-world operating conditions.

A. MV Network topology

The MV MG is connected to the external 20 kV, 50 Hz network, represented by a 250 MVA feeder. Four DG units and a static load are included in the topology. DG1 and DG2 are synchronous generators with rated power 5 MVA and 2 MVA, respectively. The synchronous generators use conventional excitation control systems. The inverter interfaced units DG3 and DG4 with rated power 0.7 MVA and 1.2 MVA represent a Fuel Cell (FC) system and a photovoltaic (PV) unit, respectively. Both DG3 and DG4 implement a \(PQ\) control strategy. All DG units are connected to the PCC via individual
step-up 0.4/20 kV transformers and 1-km long overhead distribution lines. The MG detailed model is simulated using MATLAB/Simulink [26] whereas further information on the modelling procedure and MG properties can be found in [28] [29].

B. Simulation Case Studies

Different case studies considering the MG pre-disturbance steady state conditions as well as the disturbance types and amplitude are investigated. The examined test cases (TCs) include generator torque changes of DG1 and DG2 (TC1) from 5% to 30%, step changes of DG3 and DG4 power output (TC2) and step changes in the static load power (TC3). Additionally, in each test case a 5% to 30% increase in the pre-disturbance steady state operating point of the MG real and reactive power is considered. The model inputs and outputs are given in per-unit (pu), for a base voltage of 20 kV with the base power 10 MVA, a typical value for the installed power of MV MGs.

In all examined cases the MG is operating in grid-connected mode via a strong interconnection with the external grid. Therefore the frequency at the PCC is practically unaffected by the disturbances applied to the MG.

C. Prony term analysis

The modelling procedure described in Section 2 is followed for TC3. Assuming the base scenario all DG units operate at nominal power and the static load is 7.48 MW, 3 MVAr. The disturbance examined is a 30% step increase in the active and reactive power of the load. From the dynamic responses calculated at the PCC, the corresponding Prony term parameters of the black-box model are presented in Table 1. Index $m$ takes values 1, 2, 3 and 4, corresponding to the state variables $P$, $Q$, $V$ and $I$.

Two eigenvalues are related to the active power response with frequencies equal to 4.3 Hz and 7.7 Hz, corresponding to the oscillatory frequencies of synchronous generators DG1 and DG2, respectively. One eigenvalue is involved in the reactive power response with a frequency of 0.8 Hz, caused mainly by the DG1 and DG2 excitation systems. The bus voltage has a similar response to the reactive power, while for the current, two eigenvalues are required; one associated with the oscillations of the active power of the larger DG1 and one associated with the changes in the reactive power.
Table 1 Prony terms for the base scenario

<table>
<thead>
<tr>
<th>Prony terms</th>
<th>$P$ ($m=1$)</th>
<th>$Q$ ($m=2$)</th>
<th>$V$ ($m=3$)</th>
<th>$I$ ($m=4$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$A_{m1}$ (pu)</td>
<td>$1.31 \times 10^{-2}$</td>
<td>$1.07 \times 10^{-3}$</td>
<td>$2.99 \times 10^{-2}$</td>
<td>$1.64 \times 10^{-2}$</td>
</tr>
<tr>
<td>$A_{m2}$ (pu)</td>
<td>$1.42 \times 10^{-2}$</td>
<td>-</td>
<td>-</td>
<td>$1.50 \times 10^{-2}$</td>
</tr>
<tr>
<td>$\sigma_{m1}$ (1/s)</td>
<td>$-4.173$</td>
<td>$-3.07$</td>
<td>$-3.005$</td>
<td>$-2.98$</td>
</tr>
<tr>
<td>$\sigma_{m2}$ (1/s)</td>
<td>$-11.77$</td>
<td>-</td>
<td>-</td>
<td>$-5.60$</td>
</tr>
<tr>
<td>$\omega_{m1}$ (rad/s)</td>
<td>$26.96$</td>
<td>$5.02$</td>
<td>$5.073$</td>
<td>$4.995$</td>
</tr>
<tr>
<td>$\omega_{m2}$ (rad/s)</td>
<td>$48.59$</td>
<td>-</td>
<td>-</td>
<td>$23.69$</td>
</tr>
<tr>
<td>$\varphi_{m1}$ (rad)</td>
<td>$1.22$</td>
<td>$-1.79$</td>
<td>$-1.858$</td>
<td>$1.26$</td>
</tr>
<tr>
<td>$\varphi_{m2}$ (rad)</td>
<td>$1.28$</td>
<td>-</td>
<td>-</td>
<td>$5.33$</td>
</tr>
</tbody>
</table>

D. Calculation of the correction factors

Next, the two additional measurement sets are used to calculate the correction factors $CF_{1_{mn}}$ and $CF_{2_{mn}}$. The first point for both $CF_{1_{mn}}$ and $CF_{2_{mn}}$ curves is the base scenario, while the case of a 5% step increase in the load power and of a 5% increase in the pre-disturbance steady state MG condition of the active and reactive power are considered as additional points for $CF_{1_{mn}}$ and $CF_{2_{mn}}$, respectively. The calculated correction factors are presented in Table 2.

According to the proposed modelling methodology, the model eigenvalues and phase parameters are considered constant and equal to the corresponding of the base scenario. The validity of the above assumptions is verified calculating the model parameters in detail for all examined disturbances. The mean value of the $\sigma_{mn}$ parameters, normalized with the corresponding base scenario value, is between 0.946 and 1.0117 with variance between $1.11 \times 10^{-3}$ and $7.21 \times 10^{-5}$. Considering the variance of the normalized $\omega_{mn}$ and $\varphi_{mn}$, the values are significantly low in the order of $10^{-4}$ to $10^{-6}$ with mean values close to 1.

Table 2 Correction factor parameters

<table>
<thead>
<tr>
<th>Correction factor parameters</th>
<th>$P$ ($m=1$)</th>
<th>$Q$ ($m=2$)</th>
<th>$V$ ($m=3$)</th>
<th>$I$ ($m=4$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$CF_{1_{m1}}$</td>
<td>$a_{1_{m1}}$</td>
<td>$3.31$</td>
<td>$3.334$</td>
<td>$3.313$</td>
</tr>
<tr>
<td>$b_{1_{m1}}$</td>
<td>$8.08 \times 10^{-3}$</td>
<td>$8.10^{-3}$</td>
<td>$6.04 \times 10^{-3}$</td>
<td>$0.17$</td>
</tr>
<tr>
<td>$CF_{2_{m1}}$</td>
<td>$a_{2_{m1}}$</td>
<td>$-0.412$</td>
<td>$-4.6 \times 10^{-2}$</td>
<td>$-0.15$</td>
</tr>
<tr>
<td>$b_{2_{m1}}$</td>
<td>$1$</td>
<td>$1$</td>
<td>$1$</td>
<td>$1$</td>
</tr>
<tr>
<td>$CF_{1_{m2}}$</td>
<td>$a_{1_{m2}}$</td>
<td>$3.383$</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$b_{1_{m2}}$</td>
<td>$-1.48 \times 10^{-2}$</td>
<td>-</td>
<td>-</td>
<td>$-0.124$</td>
</tr>
<tr>
<td>$CF_{2_{m2}}$</td>
<td>$a_{2_{m2}}$</td>
<td>$0.578$</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$b_{2_{m2}}$</td>
<td>$1$</td>
<td>-</td>
<td>-</td>
<td>$1$</td>
</tr>
</tbody>
</table>
E. Sensitivity analysis

Sensitivity analysis is applied to investigate the influence of each black-box model parameter on the MG dynamic responses and clarify the degree of accuracy required during the identification process. The normalized sensitivity functions are calculated using (10) with respect to the model parameter $p$ under study ($A_{mn}$, $\omega_{mn}$, $\sigma_{mn}$ and $\varphi_{mn}$).

$$S_p^{Y_m(s)} = \left| \frac{p}{Y_m(s)} \cdot \frac{\partial Y_m(s)}{\partial p} \right|$$

(10)

where $Y_m(s)$ is the Laplace Transform of $y_m(t)$ of (9). The normalized sensitivity in (10) is a function of frequency, thus the suprema of all parameters in the frequency range of 0 Hz to 100 Hz are used in the comparisons. In all examined cases the suprema are located at a frequency close to the eigenfrequency of the corresponding Prony term. According to the calculated results, parameter $\varphi_{mn}$ presents the highest sensitivity, with $\omega_{mn}$, $A_{mn}$ and $\sigma_{mn}$ following in order. Therefore, the accuracy of this parameter is of main importance and stricter limits need to be specified as presented in Section 2. For this purpose, the initial estimation of $\omega_{mn}$ using Prony analysis can be improved by applying Fourier analysis.

<table>
<thead>
<tr>
<th>Prony terms</th>
<th>Model Parameter Increase</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\omega_{11}$</td>
<td>+10%</td>
</tr>
<tr>
<td>$\omega_{12}$</td>
<td>+10%</td>
</tr>
<tr>
<td>$\omega_{21}$</td>
<td>+10%</td>
</tr>
<tr>
<td>$\omega_{22}$</td>
<td>+10%</td>
</tr>
<tr>
<td>$A_{11}$</td>
<td>+20%</td>
</tr>
<tr>
<td>$A_{12}$</td>
<td>+20%</td>
</tr>
<tr>
<td>$A_{21}$</td>
<td>+20%</td>
</tr>
<tr>
<td>$A_{22}$</td>
<td>+20%</td>
</tr>
</tbody>
</table>

The above analysis is also verified by increasing 10% and 20% each model parameter from the original value and calculating the $R^2$, assuming the corresponding dynamic responses data sets. The lower the $R^2$ value, the higher is the influence of the model parameter. Results shown in Table 3 are for the active power response and are in total agreement with the above remarks, since variations in both angular frequency parameters introduce the highest errors in the calculation of the dynamic responses.
F. Model validation

Dynamic responses for the case of 15% step increase in the active and reactive power of the load and 15% increase in the pre-disturbance steady state MG condition of the active and reactive power are simulated, in order to validate the model accuracy. Dynamic responses are calculated using:

- detailed simulations without system reduction,
- the proposed model and its correction factors,
- Prony terms directly extracted from detailed results as in [20],
- parameters calculated by the base scenario (static load: 7.48 MW, 3 MVAr – 30% increase in the load).

First, the resulting $\hat{A}_{mn}$ parameters from (6) are compared in Table 4 with the parameters extracted from the simulation data for the examined disturbance. The percentage difference is calculated using (11), where $A_{mn}$ are the extracted parameters and $\hat{A}_{mn}$ the parameters derived from the proposed model.

$$\text{difference} \text{ (\%)} = \left(\frac{A_{mn} - \hat{A}_{mn}}{A_{mn}}\right) \times 100$$ (11)

The dynamic responses of system variables $P$, $Q$, $V$, $I$ are shown in Figs. 4-7, respectively. Results show a very good agreement between the proposed model and the detailed simulations. Differences between the proposed model parameters and the directly extracted, vary from 0.1 % up to 17 % as shown in Table 4, although the corresponding differences in the dynamic responses are generally smaller, as shown in Figs. 4 - 7. The results from the base scenario show the effect of $A_{mn}$ parameters on the dynamic response amplitude. If only the initial measurement from the base scenario is used to extract the model parameters, significant errors may occur in the $A_{mn}$ parameters, as shown in Figs. 4-7, highlighting the importance of the correction factors.

| Table 4 Comparison of extracted and model parameters |
|-----------------|-----------------|-----------------|-----------------|-----------------|
| Prony Terms     | $P \ (m=1)$     | $Q \ (m=2)$     | $V \ (m=3)$     | $I \ (m=4)$     |
| Extracted Parameters | $A_{m1}$       | $6.36 \cdot 10^{-3}$ | $1.486 \cdot 10^{-4}$ | $5.1 \cdot 10^{-5}$ | $7.84 \cdot 10^{-3}$ |
|                  | $A_{m2}$       | $7.28 \cdot 10^{-3}$ | -               | -               | $7.81 \cdot 10^{-3}$ |

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A similar analysis is also conducted for TC1 and TC2. The system dynamics in TC1 are mainly related to the dynamic behaviour of DG1 and DG2, depending on the DG the disturbance is applied to, while in TC2 the inverter power output changes have a similar effect on the MG performance as in TC3.

In Table 5 the minimum and maximum errors are summarized for all system variables of each TC. The $R^2$ values are calculated, assuming as reference the results of the detailed simulation, whereas the estimated responses are calculated using the proposed model. In all cases the results are in very good agreement, verifying the validity of the proposed model for different types of disturbances and MG operating conditions, with the worst $R^2$ observed in TC3.

Table 5 Minimum and maximum $R^2$ values for all examined test cases

<table>
<thead>
<tr>
<th>Test cases</th>
<th>min</th>
<th>max</th>
</tr>
</thead>
<tbody>
<tr>
<td>TC1</td>
<td>0.988</td>
<td>0.996</td>
</tr>
<tr>
<td>TC2</td>
<td>0.988</td>
<td>0.993</td>
</tr>
<tr>
<td>TC3</td>
<td>0.968</td>
<td>0.995</td>
</tr>
</tbody>
</table>

G. Simulation time

Finally, the computational efficiency of the proposed method is investigated, comparing the indicative simulation time, required for the calculation of dynamic responses in different MG configurations.

Both detailed simulation and the proposed model are implemented in
MATLAB/Simulink using an Intel Core 2 Duo E8400 processor at 3 GHz with 4GB of RAM. As shown in Table 6 in all cases the simulation time of the proposed model is less than 0.1 s, directly related to the number of the Prony terms involved in the modeling procedure. As expected, the computational efficiency of the proposed model increases with the number of the DG units involved in the MG configuration.

<table>
<thead>
<tr>
<th>DG units</th>
<th>Number of Prony terms</th>
<th>Proposed model time (s)</th>
<th>Detailed simulation time (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DG1</td>
<td>1</td>
<td>0.088</td>
<td>4.38</td>
</tr>
<tr>
<td>DG1, DG2</td>
<td>2</td>
<td>0.093</td>
<td>7.41</td>
</tr>
<tr>
<td>DG1, DG4</td>
<td>1</td>
<td>0.088</td>
<td>203.74</td>
</tr>
<tr>
<td>DG1, DG3, DG4</td>
<td>1</td>
<td>0.088</td>
<td>977.04</td>
</tr>
<tr>
<td>DG1, DG2, DG3, DG4</td>
<td>2</td>
<td>0.093</td>
<td>1138.21</td>
</tr>
</tbody>
</table>

### 4. Model evaluation with measurements

The analysis in the laboratory-scale MG test facility is mainly focused on disturbances caused by step load power increases in the MG operating in islanded mode to highlight the effect of frequency variations as well. Simulation and measurement responses are also presented for the grid-connected mode of operation, in order to validate the accuracy of the proposed model using measurement data sets under different operating conditions.

#### A. System under study

The examined test facility is a low-voltage LV three-phase, 400 V, 50 Hz, 100 kVA laboratory-scale MG, presented in Fig. 3b. It consists of sub-MGs #1 and #2, which can operate in grid-connected and islanded mode, using the tie switch S1. A 1.21 per-unit (p.u.) inductance (L1) is included in the network to represent a weak network interconnection. The laboratory is equipped with measurement devices to record the active and reactive power, the bus voltage and the frequency at each MG node with a sampling time of 2 ms. The measurement techniques implemented in the laboratory include several stages of hardware filtering, sampling and software algorithms using cascaded Finite Impulse Response filters. Considering the frequency measurements an
efficient hybrid method using the Clarke transformation and three single phase frequency locked loops is used, minimizing the ripple. No additional data processing is applied to the measurement data during the modelling procedure. Detailed information on the measurement algorithms is given in [30].

Sub-MG #1 consists of a 2 kVA synchronous generator (DG1), a 10 kVA inverter (DG2), a 10 kW/7.5 kVAr static load bank and a 2.2 kW, 0.87 lagging asynchronous machine. DG1 is driven by a dc motor emulating a fast-response prime mover. Both DG1 and DG2 follow a frequency-active power ($f-P$), voltage-reactive power ($V-Q$) droop control scheme, providing frequency and voltage support to the grid as ancillary services.

Sub-MG #2 consists of an 80 kVA synchronous machine (DG3) and a 40 kW/30 kVAr static load bank. DG3 is driven by a dc motor representing a slow-response prime mover. The control scheme followed is an $f-P$, $V-Q$ droop control strategy and the generator can only operate in islanded mode, acting as a feeder and providing additional support to the voltage and frequency due to its large rated power.

### B. Model development

The black-box model of Sub-MG #1 is developed from measurements for the islanded mode of operation, following the proposed methodology of Section 2. All system variables are recorded at Bus-3. The dynamic performance of the MG is affected by the droop controlled DG units of sub-MG #1, varying their power output during disturbances according to the corresponding slope.

In the base scenario DG1 is providing 1 kW/0.75 kVar and DG2 5 kW/3.75 kVar. The static load is 3.5 kW with power factor 0.8 lagging and the asynchronous motor is operating at its nominal power. A 40% increase is applied to the active and reactive power of the static load. All system variables are presented in pu with a base voltage of 400 V, base frequency 50 Hz and base power equal to 12 kVA.

In Table 7 the identified parameters for the base scenario are presented. Two Prony terms are required for all system variables apart from the bus voltage. Active power and current dynamic responses present a slow oscillating frequency at 0.2 Hz and a faster one at 2.5 Hz, associated with the greater mass DG3 and the fast inverter interfaced DG2, respectively. The reactive power has a low frequency eigenvalue, due to the synchronous generators excitation systems, and a higher frequency one associated with
DG2. The bus voltage has a similar response to the reactive power but it is affected more by DG2. Finally, the system frequency response is represented by two Prony terms with similar oscillating frequencies but with different damping coefficients. This is due to the effect of DG3 on DG2, according to the corresponding $f$-$P$ droop characteristic.

Table 7 Prony terms for the base scenario

<table>
<thead>
<tr>
<th></th>
<th>$P$</th>
<th>$Q$</th>
<th>$V$</th>
<th>$I$</th>
<th>$f$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>($m=1$)</td>
<td>($m=2$)</td>
<td>($m=3$)</td>
<td>($m=4$)</td>
<td>($m=5$)</td>
</tr>
<tr>
<td>$A_{m1}$ (pu)</td>
<td>0.24</td>
<td>2.56·10⁻²</td>
<td>2.02·10⁻³</td>
<td>0.41</td>
<td>7.65·10⁻²</td>
</tr>
<tr>
<td>$A_{m2}$ (pu)</td>
<td>9.9·10⁻²</td>
<td>0.18</td>
<td>-</td>
<td>0.19</td>
<td>7.19·10⁻²</td>
</tr>
<tr>
<td>$\sigma_{m1}$ (1/s)</td>
<td>-0.97</td>
<td>-0.73</td>
<td>-1.05</td>
<td>-1.03</td>
<td>-1.94</td>
</tr>
<tr>
<td>$\sigma_{m2}$ (1/s)</td>
<td>-20.00</td>
<td>-5.23</td>
<td>-</td>
<td>-20.00</td>
<td>-2.64</td>
</tr>
<tr>
<td>$\omega_{m1}$ (rad/s)</td>
<td>1.39</td>
<td>1.63</td>
<td>3.67</td>
<td>1.41</td>
<td>1.73</td>
</tr>
<tr>
<td>$\omega_{m2}$ (rad/s)</td>
<td>15.79</td>
<td>3.67</td>
<td>-</td>
<td>14.64</td>
<td>1.88</td>
</tr>
<tr>
<td>$\phi_{m1}$ (rad)</td>
<td>-0.11</td>
<td>2.14</td>
<td>-2.04</td>
<td>-0.14</td>
<td>-1.02</td>
</tr>
<tr>
<td>$\phi_{m2}$ (rad)</td>
<td>0.79</td>
<td>-3.10</td>
<td>-</td>
<td>0.81</td>
<td>1.84</td>
</tr>
</tbody>
</table>

The two additional measurements required to calculate the model correction factors correspond to a 30% step increase in the load power and a different pre-disturbance steady state condition at 6.5 kW (30 % increase from the base scenario). Finally, the black-box model is developed, by introducing the calculated correction factors.

In order to evaluate the model performance a case where the Sub-MG #1 is driven to a new pre-disturbance steady state, is investigated with active and reactive power higher than 15% from the base scenario. Additionally, a disturbance caused by a 50% active and reactive load power increase is applied. Prony term parameters extracted directly from measurements and the corresponding using the proposed model are given in Table 8. In Figs. 8 and 9 measurement and simulation dynamic responses are compared, with Prony terms calculated using the proposed model and also directly extracted from the test data.

Table 8 Extracted parameters and parameters from the developed model

<table>
<thead>
<tr>
<th></th>
<th>Prony Terms</th>
<th>$P$ ($m=1$)</th>
<th>$Q$ ($m=2$)</th>
<th>$V$ ($m=3$)</th>
<th>$I$ ($m=4$)</th>
<th>$f$ ($m=5$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Extracted Parameters</td>
<td>$A_{m1}$</td>
<td>0.27</td>
<td>2.4·10⁻²</td>
<td>2.26·10⁻³</td>
<td>0.45</td>
<td>9.05·10⁻²</td>
</tr>
<tr>
<td></td>
<td>$A_{m2}$</td>
<td>0.134</td>
<td>0.215</td>
<td>-</td>
<td>0.24</td>
<td>8.59·10⁻²</td>
</tr>
<tr>
<td>Proposed</td>
<td>$\hat{A}_{m1}$</td>
<td>0.25</td>
<td>3.14·10⁻²</td>
<td>2.06·10⁻³</td>
<td>0.41</td>
<td>8.89·10⁻²</td>
</tr>
</tbody>
</table>
### Table 1

<table>
<thead>
<tr>
<th>Model</th>
<th>$\hat{A}_{m2}$</th>
<th>0.131</th>
<th>0.201</th>
<th>-</th>
<th>0.25</th>
<th>$8.51 \cdot 10^{-2}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Difference</td>
<td>$\frac{A_{m1} - \hat{A}<em>{m1}}{A</em>{m1}}$</td>
<td>7.41</td>
<td>30.5</td>
<td>-8.9</td>
<td>-10.41</td>
<td>1.81</td>
</tr>
<tr>
<td>%</td>
<td>$\frac{A_{m2} - \hat{A}<em>{m2}}{A</em>{m2}}$</td>
<td>1.95</td>
<td>-6.5</td>
<td>-</td>
<td>6.15</td>
<td>0.83</td>
</tr>
</tbody>
</table>

[Fig. 8]

[Fig. 9]

Results show that the overall model performance is in good agreement with measurements. The RMSE and $R^2$ for the different system variables varies from $1.88 \cdot 10^{-4}$ up to $1.68 \cdot 10^{-2}$ and from 0.9873 up to 0.9412, respectively. Moreover, it is observed that higher errors in the $A_{mn}$ parameter estimation by the proposed model does not necessarily lead to higher errors in the dynamic responses, since the corresponding eigenvalue may not be dominant in the MG response. This is the case mainly for the reactive power as shown in Table 8, where there is a -6.5% error in the $A_{22}$ parameter, corresponding to the faster and dominating eigenvalue and a 30.5% error in the $A_{21}$ parameter, corresponding to the slower and less significant eigenvalue.

### C. Application of the model on individual DG units

A black-box model is also implemented for the inverter interfaced DG2 unit of the examined laboratory test case. The proposed methodology is followed as in the case of the whole MG topology, using two Prony terms for both the active and reactive power. DG2 dynamic behaviour is affected by the system frequency and voltage variations, according to the corresponding $f - P$ and $V - Q$ droop characteristics. The active and reactive power dynamic responses are presented in Fig. 10 and are compared with measurements, showing good accuracy, since the RMSE and $R^2$ are equal to $1.57 \cdot 10^{-2}$ and $5.9 \cdot 10^{-3}$, and 0.893 and 0.804 respectively. Therefore, the proposed model provides also the flexibility to implement black-box models of individual DG units, where detailed technical characteristics and parameters are unknown.

[Fig. 10]
D. Application of the model in grid-connected mode of operation

The proposed model is also used for the case where Sub-MG#1 is grid-connected. All DG units and loads of Sub-MG#1 operate at the same conditions as in the islanded case, while Sub-MG#2 is disconnected. The results for the active and reactive power are shown in Fig. 11. The eigenfrequencies involved in the system dynamics are higher for the grid-connected operation compared to the islanded. For the active power only one term is needed and the dominating frequency is 3.3 Hz. Considering the reactive power two terms are required with frequencies 2.5 Hz and 27.8 Hz. The damping oscillations are identified more accurately leading to smaller differences between the proposed model parameters and the directly extracted and the corresponding $R^2$ values range from 0.95 up to 0.98.

5. Conclusions

A black-box dynamic MG model, based on Prony analysis is presented in this paper. The proposed model is suitable for the simulation of the dynamic responses of different MG system variables ($P$, $Q$, $V$, $I$ and $f$), when subjected to internal disturbances. The disturbances include changes in the DG unit operating conditions and in the active and reactive power of the MG.

The model structure has a modular form and can be combined with power system simulation software platforms or used as a standalone analysis tool for power system dynamic studies. It has a generalized form, providing great flexibility in modelling a variety of MG systems with different degrees of complexity by adjusting the required terms without the need of prior knowledge of the MG topology. It can also be used to simulate individual DG units, eliminating the need to access the detailed parameters of the unit. The model incorporates a parameter calculation procedure, based on proper correction factors, which minimizes the need to update the model parameters after each disturbance thus improving significantly its numerical efficiency. Moreover, empirical guidelines are defined to help the parameter identification procedure.

Simulation data from a MV network as well as real measurements from an LV MG test facility in grid-connected and islanded mode of operation are used to evaluate the
performance of the proposed model. Different control strategies are investigated, including PQ and droop control schemes, in order to ensure the validity of the model for a wide range of operating conditions. The obtained results are in good agreement with the corresponding detailed simulations and real measurements, showing that the proposed model can be efficiently used in all types of MG systems.

6. References


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Figure Captions

Fig. 1: Black-box modelling procedure flowchart.
Fig. 2: Amplitude parameter of Prony term against the disturbance amplitude and the MG operating condition.
Fig. 3: MG systems under study for a) simulations and b) measurements.
Fig. 4: Dynamic response of the active power.
Fig. 5: Dynamic response of the reactive power.
Fig. 6: Dynamic response of the bus voltage.
Fig. 7: Dynamic response of the current.
Fig. 8: Responses of the a) active and b) reactive power.
Fig. 9: Responses of the a) bus voltage, b) current and c) frequency.
Fig. 10: Responses of the a) active, b) reactive power of the inverter.
Fig. 11: Responses of the a) active, b) reactive power for grid-connected operation.
Step 1
Record 3 datasets

Step 2
Prony analysis

Step 3
Nonlinear least square optimization

Step 4
Correction factors calculation

Step 5
Black-box model formulation

Repeat

Fig. 1

Empirical guidelines

Update initial values and boundaries

RMSE < target, R-square > target

YES

Fig. 2

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Fig. 3

Fig. 4
Fig. 7

Fig. 8
Fig. 11