

## **Review of High Power Ultrasound – Industrial Applications and Measurement Methods**

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### **Abstract**

Applications involving high power ultrasound are expanding rapidly as ultrasonic intensification opportunities are identified in new fields. This is facilitated through new technological developments and an evolution of current systems to tackle challenging problems. It is therefore important to continually update both the scientific and commercial communities on the current system performance and limitations. In order to achieve this aim, this paper addresses two key aspects of high power ultrasonic systems. In the first part, the review of high power application focusses on industrial applications and documents the developing technology from its early cleaning applications through to the advanced sonochemistry, cutting and water treatment applications used today. The second part provides a comprehensive overview of measurement techniques used in conjunction with high power ultrasonic systems. This is an important and evolving field which enables design and process engineers to optimise the behaviour and/or operation of key metrics of system performance, such as field distribution or cavitation intensity.

## 1. Introduction

Since the pioneering work published by Lord Rayleigh on the theory of sound in 1896 [1], ultrasound has been utilised in a wide variety of applications. The prolificacy of ultrasound in fields such as SONAR [2], Non-Destructive Testing (NDT) [3] and biomedical imaging [4] has produced an abundance of literature since the beginning of the First World War, where its potential as a viable means to detect submerged objects became apparent. The innovative work conducted during wartime by scientists such as Langevin, continued unabated in post war years with attention turning away from large scale inspection of the oceans to small scale probing of specific regions of interest. The concept of ultrasonic metal flaw detection was first suggested by Sokolov in 1928 although the absence of suitable equipment to generate and receive short pulses until the early 1940's resulted in very poor resolution. By 1948 researchers in the United States and Japan were independently investigating the potential of ultrasound as a medical diagnostic tool. Previously, the use of ultrasound in medicine had initially begun with rudimentary applications in therapy, e.g. tissue breakdown, rather than imaging. This destructive ability of high intensity ultrasound was observed by Langevin when he noted the death of schools of fish in the sea and pain induced in the hand when placed in a water tank insonated with high intensity ultrasound. It was around this time that development work into the use of high intensity ultrasound for industrial processes began.

In this paper, the definition of 'high power' or 'high intensity' is considered as the establishment of an ultrasonic field that directly influences a process, i.e. to induce a physical change in a target object or region through exposure to vibrational energy. Quite often, this involves the utilisation of cavitation, discussed in Section 2, to produce some desired effect. In addition, the ultrasonic frequency of operation has a direct impact on the characteristics and acoustic performance of a high intensity field. In this review, we consider high power applications operate using low frequencies (10 kHz to 500 kHz), as this is more conducive to efficient generation of cavitation. There are, of course, exceptions to this categorisation, such as SONAR, where intense power levels are involved in conveying information, proximity sensing using low frequency 'air-coupled' transducers and High Intensity Focussed Ultrasound (HIFU) applications, where high frequency fields are used to destroy tissue.

Measurement methods for these high power fields are important for safety and process efficiency reasons yet, currently, there are very few well-documented and reliable measurement methods available. Initially, due to the harmful tissue damaging effects experienced in the early use of ultrasound in medicine, the development of standard measurement techniques required to quantify medical equipment output levels was quick and comprehensive [5, 6]. Moreover, measurement of

the output levels from medical devices is made easier by the fact that many systems operate in pulsed or tone burst modes, providing the valid assumption that free-field conditions exist. This is generally not the case for high power systems, with the exception of lithotripsy, which normally operate in continuous wave (CW) mode and within environments that are extremely reverberant. This paper will review some of the more common applications of power ultrasonics; provide an introduction to other high intensity applications such as sonochemistry; and discuss the merits of current field measurement techniques for application in this interesting field.

## **2. Applications**

### **A. Overview of Cavitation**

For those unfamiliar with cavitation, its generation and mechanisms, a quick review is provided. For a more extensive analysis of cavitation; the causes, effects and applications, the reader is referred to Leighton's impressive text [7]. A very useful tutorial on cavitation by Apfel is also recommended [8].

Acoustic cavitation is basically acoustically induced bubble activity. The activity of the bubble itself is not the source of many of the advantageous effects (or disadvantageous effects, depending on the application) of cavitation; bubble activity can be merely the oscillation in radius size caused by an incident sound wave. It is the subsequent collapse of these bubbles into a volume considerably less than their original size that generates the dramatic effects associated with acoustic cavitation.

An ultrasonic field in a liquid may cause the expansion of microscopic bubbles present during the negative cycle of the propagating wave. If the amplitude of the acoustic waves is sufficient, these bubbles will undergo several rapid expansions before reaching a critical radius,  $R_{MAX}$ , at which point the bubble suffers a violent collapse [8], where  $R_{MAX}$  is defined as shown in Equation 1. This is referred to as the Cavitation Threshold [7], which defines the acoustic intensity which must be exceeded in order for cavitation to be supported and is a function of the operational frequency for the acoustic source, the hydrostatic pressure and the viscosity of the medium. Equation 2, describing bubble behaviour prior to collapse is known as the Rayleigh Plesset equation. This equation and its variations can be used to estimate the cavitation threshold for dynamic transient cavitation.

$$R_{MAX} = 2.3R_0 \quad (1)$$

where,  $R_0$  = Bubble equilibrium radius.

$$R\ddot{R} + \frac{3\dot{R}^2}{2} = \frac{1}{\rho} \left[ \left( p_0 + \frac{2\sigma}{R_0} - p_v \right) \left( \frac{R_0}{R} \right)^{3\kappa} + p_v - \frac{2\sigma}{R} - \frac{4\eta\dot{R}}{R} - p_0 - P(t) \right] \quad (2)$$

Where,  $R$  = instantaneous bubble radius,  $P(t)$  = dynamic pressure,  $\sigma$  = surface tension,  $\rho$  = medium density,  $p_v$  = vapour pressure,  $p_0$  = hydrostatic pressure,  $\kappa$  = polytropic index and  $\eta$  = shear viscosity

Within the region of collapse, several spectacular effects are likely to occur, including an internal bubble temperature of 3000°K and pressure shockwave emission reaching 6 GPa [9]. Bubble motion of this nature is called transient cavitation or, more recently, inertial cavitation and the entire cycle can occur to the seed bubble several times before it fragments. When the amplitude of the acoustic wave is below the threshold to induce inertial cavitation, the alternative motion of the bubble is referred to as stable or non-inertial cavitation. Compared to transient or inertial cavitation, non-inertial cavitation is stable, non-destructive and long lived. Despite exhibiting none of the impressive effects of inertial cavitation, non-inertial cavitation can provide valuable information on the properties of the liquid in which it exists, in addition to revealing some of the subtleties associated with bubble motion if suitably studied. Figure 1 shows the possible outcomes for a bubble excited by an ultrasonic field.

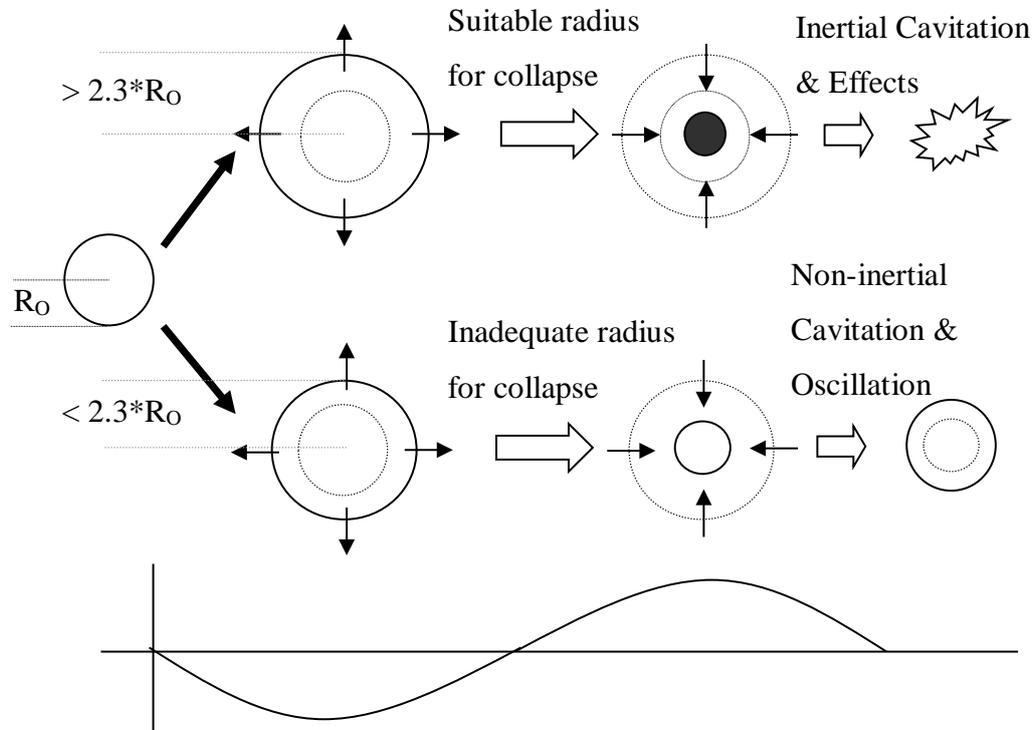
## **B. Power Ultrasound**

A broad range of industrial applications of high power ultrasound, often referred to as power ultrasound, have been in use for over 50 years. The commercial development of ultrasonic technology in this manner has created a multi-million pound market in a variety of industries ranging from automotives to the textiles. Some of the more common applications are outlined in this section with emphasis placed on measurement. Other notable applications of high power ultrasound that will not be expanded upon include: sterilisation of medical instruments and ultrasonic machining of brittle materials [10].

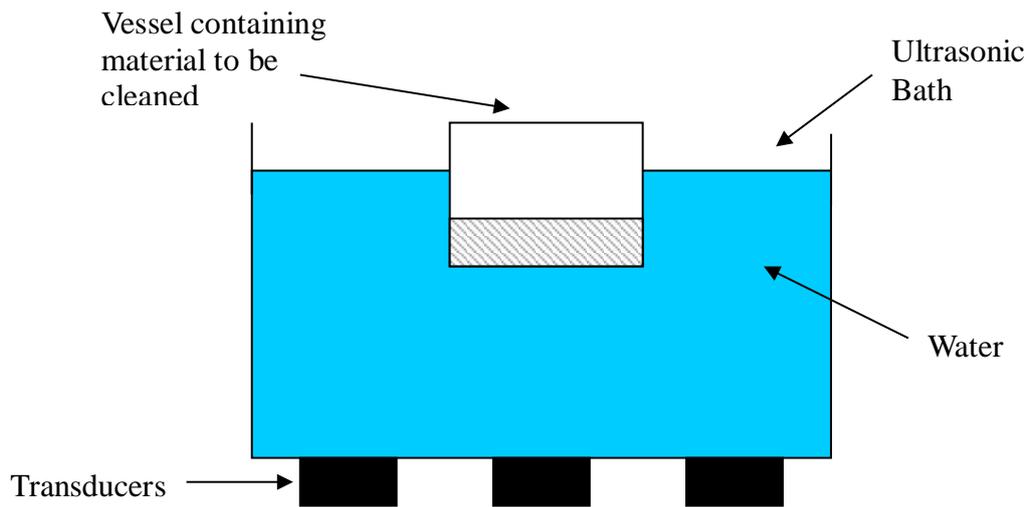
### ***Cleaning***

Ultrasonic cleaning is perhaps the oldest industrial application of power ultrasound. It continues to be used in numerous industries ranging from semiconductors to engine parts due to its low cost and efficient results [11]. The main advantage of ultrasonic cleaning over traditional methods is the absence of brushes in the process, with the effects of cavitation in the load medium being the main mechanism of the cleaning procedure. This ‘brushless scrubbing’ allows ultrasonic cleaners to reach

normally inaccessible places in objects with complex internal cavities that would be otherwise troublesome to clean. Furthermore, this advantage is heightened somewhat if the cleaning tank is suitably designed to generate cavitation bubbles uniformly throughout the liquid, or specifically in targeted regions [12]. A typical cleaning bath arrangement is shown in Figure 2.



**Figure 1.** A typical seed bubble being excited by an acoustic wave. The bottom path demonstrates bubble behaviour when acoustic amplitude is relatively low: non-inertial cavitation. The top path when acoustic amplitude is great enough to cause the bubble to expand past  $R_{MAX}$ : inertial cavitation.



**Figure 2.** Typical ultrasonic cleaning bath arrangement featuring 3 transducers fixed to the bottom of a container.

Ultrasonic cleaning is more effective on hard materials such as metals, glass, ceramics and plastics, which have a much higher acoustic impedance compared to water so that ultrasound is reflected in a manner that reinforces the pressure at the surface. Typical power densities utilised in most cleaning applications are relatively low compared to other high intensity operations e.g. welding. Counter-intuitively, attempts to transmit more energy into the load medium can hinder many of the beneficial aspects of the cavitation effects. Increased cavitation will be produced at the active faces causing disruption to the acoustic energy flow into the system and dramatically reducing the uniformity of the bubble density in the load, not to mention the increased damage to the transducers due to locality of collapsing bubbles. This presents an obvious need for well designed vessels to ensure the solution to inefficient cleaning operations is not to simply increase the drive power [13].

The majority of high intensity applications function at relatively low frequencies and ultrasonic cleaning is no exception. Operational frequencies generally range from 20 to 50 kHz depending on the task. For example, a 25 kHz cleaner will have more cleaning prowess than a 50 kHz cleaner since the likelihood of cavitation effects is higher at lower frequencies. However, lower frequencies can prove damaging to delicate parts hence 50 kHz and above may be preferable for some applications, i.e. the semiconductor industry. In terms of health and safety, higher frequency cleaners are also quieter due to the lack of energy in the audible range [14].

Ultrasonic cleaning has also been applied to non-conventional materials with porous structure. In particular, it was reported that ultrasonic cleaning might have significant advantages for textile

processing as it is a wet process, with significant benefits apparent when measured with reflectance values for merit [15]. The method deployed uses a plate transducer very near or in contact with the wetted textile to ensure cavitation within the textile structure [16]. Moreover, it has been shown that by making certain preparations to the process, ultrasonic cleaning of textile materials in a domestic setting provided significant performance advantage to conventional washing [16, 17].

Ultrasound has recently been shown to be beneficial in cleaning boats that normally require the expensive annual procedure of dry docking. This is an essential operation to be completed regularly, as the layer of contaminant becomes adhered to the surface of the boat and would have to undergo a further cleaning operation involving sanding of the boat surface. Therefore, ultrasound may be considered an effective method of maintaining a boat, relatively cheaply [18]. It was demonstrated that the transducer had to be very close to the surface of the boat for the cleaning to be effective, and thus the system required good position/orientation control of the active device.

Ultrasonic cleaning has also found application in the treating of oak barrels for wine production which make up the largest cost expenditure after grapes. Cleaning with ultrasound was shown to be superior to an industry standard method of high pressure, hot water, mechanical cleaning; achieving full removal of tartaric deposits and an above 95% kill of spoilage yeast compared to the corresponding 30% and 20% results for the conventional method. Moreover, it was observed that the high power ultrasound had no effect on the internal structure of the oak wood therefore maintaining oak integrity. The uniform cleaning afforded by this method thereby extends the barrel life considerably, which resulted in the greatest amount of oak flavour compounds being available [19].

Importantly, high power ultrasound continues to find new applications as an effective cleaning tool in diverse industrial processes [20,21].

### ***Welding***

One other major, long-established application of power ultrasonics that has successfully permeated industry is the welding of thermoplastic joints with high intensity ultrasonic devices. The process itself progressed very quickly from the development stage in the 1960's to widespread use in the assembly of toys, appliances, and industrial thermoplastic parts by the early 1970's [11]. It is an ideal technique for modern manufacturing; the process is fast and clean, does not need a skilled operator, requires no consumables and lends itself readily to automation for mass-produced parts where plastics have replaced metals and glass as the main resource.

Plastic welding is primarily a thermal operation; the local temperature around the target join is increased to sufficient levels to allow welding due to the mechanical stresses generated by the high power ultrasonic equipment. However, unlike conventional thermal techniques there is no indiscriminate heating of the surrounding material and hence no unwanted component distortion. This advantage is partly due to the fact that most thermoplastics exhibit favourable characteristics for ultrasonic welding i.e. the ability to transmit and absorb acoustic energy, as well as low thermal conductivity. In addition, since the heat is generated within the materials and transferred via the ultrasonic tool, it is entirely possible to accomplish welds in places that would otherwise be inaccessible to conventional welding methods.

Ultrasonic welding uses comparable frequencies to other power applications described in this Section (~20 kHz), but differs in several ways; the functionality is not reliant of the effects of cavitation, much higher power densities are required, e.g. over ten times that which is used for cleaning, and the application of acoustic energy is delivered through an ultrasonic horn. Optimisation in the development of this technology is primarily achieved through improvement and innovation in horn design as opposed to acoustic field mapping techniques [22].

A similar application has also been found with sheet metal welding, a solid state process in which the materials are held together while applying high power shear ultrasonic waves. This differentiates it from polymer welding in which the direction of ultrasonic oscillation is in the direction perpendicular to the weld surfaces [23]. It results in a true metallurgical bond occurring below the melting points of the work pieces in a process similar to cold welding. It has been shown to be particularly advantageous where the materials to be welded have different chemical and physical characteristics such that conventional methods are not appropriate [24, 25]. It has also shown great potential for application in composite material manufacture [23, 26].

The ultrasonic welding process has been progressed further to introduce new processes within manufacturing: Ultrasonic Compounding or Ultrasonic Additive Manufacturing [27, 28, 29]. In this new process, foil sheets of metal are progressively layered together with associated cut-outs or embedded materials included and ultrasonically welded sequentially until a composite 3-dimensional object has been created. This new method presents certain advantages on conventional manufacturing methods such as increased safety due to absence of sparks, capacity for automation although research is still being undertaken on optimising the technique. The method achieves a bond without inducing melting resulting in a reduction in the error associated with material change.

It has recently been commercialised, albeit with some practical limitations mainly due to tool failure.

### ***Cutting***

The cutting of various materials, from bone to confectionery, using ultrasonic methods has advantages over conventional cutting mechanisms [30]. For example, applying standard non-ultrasonic cutting methods to soft products can result in a great deal of waste produce and imprecise performance. Performing such tasks with an ultrasonically excited blade allows highly precise cuts, very little waste and improved process times. Furthermore, in the medical industry a great deal of interest surrounds the cutting of bone with ultrasonic saws. Conventional cutting causes problems for patients and doctors alike; rough cuts, unwanted heat and bone particles embedding themselves in neighbouring soft tissue are some of the main issues, although in other applications this generated heat can prove useful [31, 32].

Typically ultrasonic blades are designed to resonate in the longitudinal mode of vibration in the range of 20-40kHz. However, problems with blade durability and inefficient coupling of energy in the system are present in many operations of this technology. Nonlinear modal coupling with other less desirable modes of operation and high stress conditions at specific regions of the structure compared to others are some of the main causes for blade failures [33]. Indeed, these efficiency problems are similar to those encountered in other high power ultrasound applications.

For this reason, recent research has focussed on optimising the design of these components through extensive FE modelling and accurate vibrational and stress measurement tools [34, 35]. These are used to create virtual prototypes of blade designs which are then modified to reduce spurious mode excitation and limit regions of adversely high stress. Novel multiple blade designs have been constructed that demonstrate this premise [36], and this work has been further extended into the medical field to help develop a new generation of bone saw that will reduce large vibrations and minimise temperature increases [37, 38]. Ultrasonic cutting has been suggested for application in the drilling of hard surfaces where conventional rotary drills might have disadvantages due to operational temperature, preload requirement or power consumption as found in space exploration missions [39].

### **C. Sonochemistry**

Sonochemistry is a burgeoning discipline within the ultrasonics community, although it would be

inaccurate to describe it as a product of ultrasound research alone as its roots are more firmly planted in the sciences of chemistry and metallurgy. Indeed, the effects of power ultrasound in cleaning baths were the first exposure that scientists had to the possible benefits of acoustic energy in chemistry, when the influence on glass submersibles within these baths was noted. Given this, the term sonochemistry is often used to describe the effect of ultrasonic sound waves on chemical reactions. Polymer chemistry and synthesis were initially the prime focus of power ultrasound techniques in chemistry [40]. However, more recent uses have been found that are distinct from synthesis and polymer chemistry, such as; material science [41] (new catalytic materials, improved extraction of metals), biotechnology [42] (modification of enzyme and cell activities, used in the food industry) and environmental protection [43] (both biological and chemical, e.g. water and sewage treatment).

It has been established that power ultrasound derives many of its benefits via cavitation bubbles, with the production and intensity of the cavitational effects decreasing as frequency increases. As the mechanical and chemical effects of cavitation are considered important for the success of sonochemistry, it is advantageous to maximise these effects by limiting the frequency range to below 50 kHz.

Despite the use of higher frequencies (approximately 1 MHz) in many aspects of sonochemistry, for example oxidation processes in food processing [44], this paper will limit the discussion to techniques involving high power, low frequency ultrasound within sonochemistry. In particular it will focus on the areas of food technology and water treatment. For an extensive review of all aspects of sonochemistry, the reader is directed to [10] and to [45, 46, 47] for its future potential as a viable technology on an industrial scale.

### ***Food***

There has been increasing interest in the use of ultrasound in the food industry for many years with applications including: particle size control, process tomography, determination of material properties, monitoring of shelf life and preservation enhancement becoming common. However, many of these applications do not rely on power ultrasound as the main antagonist and are considered to be more in the diagnostic spectrum of ultrasonic applications. Nonetheless, high power ultrasound is fast becoming a useful tool when attempting to favourably alter the characteristics of a variety of foods in a 'clean' manner as they undergo processing. Increased demand from consumers for methods of food processing that have a reduced impact on nutritional content, has stimulated the use of ultrasound coupled with standard sterilisation and pasteurisation

methods for microbe inactivation. Power ultrasound in conjunction with thermal and chemical techniques has been shown to reduce the numbers of many bacteria such as Salmonella and E. coli [48]. Other beneficial uses of power ultrasound in the food industry include; sterilisation, extraction of tea solids from leaves, tenderising of meat products, assisted crystallisation (freezing), degassing through numerous bubble collapses induced by cavitation. Excellent reviews of all aspects of ultrasound in food processing are provided in [49,50].

Since the observation of the advantages ultrasound assisted crystallisation offers in terms of grain consistency and reduced size interest has been shown in using this property towards food processing. Freezing is an effective means of preserving food as, in many cases water, is a key constituent material. Subsequently, ultrasound assisted freezing techniques have been investigated and can introduce significant cost savings. Conventionally, freezing consistently results in some form of loss of quality of the food product, particularly muscular tissue. This is minimised depending on what type of crystals are formed in the water with smaller crystals resulting in minimal loss of quality [51]. This has been linked to increasing ultrasonic power to produce smaller crystals in the freezing process [52, 53].

Recent research has looked at altering the properties of food. Viscosity of starchy foods has been decreased significantly after gelatinisation by the application of ultrasound through depolymerisation of starch polysaccharides [54]. Since starches are widely used, this application has significant commercial potential. High power ultrasound has also been found to be an effective processing tool for dairy products compared to conventional methods in terms of quality of the end product. Yoghurt made by sonically heating milk was found to have superior properties to that made by conventional heating [55].

### ***Water Processing***

Perhaps one of the most beneficial applications of ultrasonic processing for society is the potential for its use in the water and sewage treatment. The destruction or transformation of organic pollutants and the removal of biological contaminants are the fundamental objectives of investigations involving ultrasound. Until recently, it was thought power ultrasound would be too expensive as a viable technology to use for water treatment on an industrial scale. This was based on the direct scale up of power consumption in small-scale laboratory experiments. However, recent research has suggested that the decontamination of water through ultrasonic techniques in conjunction with other treatments may be feasible when applied to flowing systems [56, 57].

Regarding water treatment, two examples of removing biological contamination from the water have been implemented on a large scale basis; inactivation of plankton clogging filters in water distribution systems; and the destruction of algal blooms [58]. The former demonstrated satisfactory results in plankton inactivation using economic power levels and a flow through system, while the latter demonstrated that ultrasonic treatment offers the potential to not only kill the micro-organism but also severely restrict its reproductive ability. In sewage sludge treatment, ultrasound is often applied as pre-treatment to enhance the time-consuming and inefficient conventional processes, without the requirement for relatively large amounts of power to be transmitted [47, 59]. The benefits of ultrasonic pre-treatment with application to contaminant removal has also been considered for other areas, such as distillery wastewater [60], although a conventional ultrasonic bath was used in the experimental analysis. Furthermore, in cases where a filtration membrane forms an integral component of the treatment process, ultrasound can be used to control membrane fouling [61, 62].

### **3. Measurement Methods**

High power ultrasonic fields can be extremely difficult to characterise, often due to the cavitation activities themselves. Not only can cavitation effects cause damage to any measurement instrumentation being used, but regions of dense bubble populations can also scatter the source acoustical signal under investigation. This often facilitates measurements being obtained under non-cavitation conditions. Nevertheless, conducting measurements in non-cavitating fields may not yield true pressure distribution experienced during a high power application, but it can identify locations where cavitation sites are likely to occur when sufficient power levels are reached.

Traditionally, hydrophones are the principal device for field characterisation in many applications with use in medical ultrasound for exposure quantification widely reported. There are a number of important factors to consider in hydrophone design whether it is piezoelectric ceramic based or, more recently, piezoelectric polyvinylidene fluoride (PVDF) membrane. The device itself should be non-perturbing to the acoustic field in order to minimise any detrimental effect on the field profile, although the physical nature of the probe makes complete non-invasive measurement impossible in reverberant environments. Furthermore, many hydrophones suffer from a lack of uniform response over a wide range of frequencies while still maintaining sensitivity, particularly below 200 kHz where the majority of high power applications operate. In addition, any measurement probe must be robust enough to withstand the hostile fields associated with high power ultrasound measurement,

where PVDF membrane devices in particular are very susceptible to damage. Notwithstanding, hydrophone devices by their very nature generally can be quite delicate and fragile as designs strive to attain increased levels of sensitivity, spatial resolution and non-invasiveness, making damage to the active element is the main concern. This may explain the lack of literature available on the use of hydrophones for the measurement of acoustic fields generated by high power applications, with the exception of lithotripsy in the medical field where apprehensions over safety has facilitated the development of robust probes, at the expense of sensitivity [63]. This has led to the development of the more durable optical fibre hydrophone that demonstrates marked improvements in both spatial resolution and sensitivity over conventional counterparts [64]. Nonetheless, these devices still require insertion into the load medium causing a direct impact on the pressure fields present and on subsequent measurements. Manipulation of probe position within a sealed container is also very problematic and is not conducive to obtaining accurate field profiles. This difficulty in taking precise measurements leads to subsequent problems in validating any simulation data that may be available for comparison.

Distinct from measuring the ultrasonic/acoustic field distribution, is the ability to measure cavitation intensity. Cavitating bubbles behave as acoustic sources when collapsing emitting harmonics and sub-harmonics of the acoustic drive frequency, in addition to high frequency acoustic emission signals. Hydrophones positioned inside, or fixed on the outside, of the container are able to pick up the acoustic signatures of the bubble dynamics [65, 66]. The amplitude, phase and frequency information of these signals can provide data on the scale and nature of bubble activity. However, in many applications it is important to be able to obtain spatial knowledge of the cavitation field and a cavitation monitoring sensor has been developed for this purpose [67, 68].

It should be noted that other, less popular techniques will not be covered in this article. Some of the more common ones are listed for the readers' interest: radiation pressure balances [4], holography [69], chemical detection [7], and thermal measurements [14]. Of these, thermal methods have been used with some success in high power field measurements to provide a simple means of evaluating the power in an ultrasonic cleaning bath.

### **A. Piezoelectric Ceramic Hydrophones**

Piezoelectric hydrophones are detectors based on transducers which respond directly to pressure variations in a load according to the direct piezoelectric effect [70]. The Curies discovered that a mechanical deformation applied to a quartz crystal resulted in an electric charge being produced on

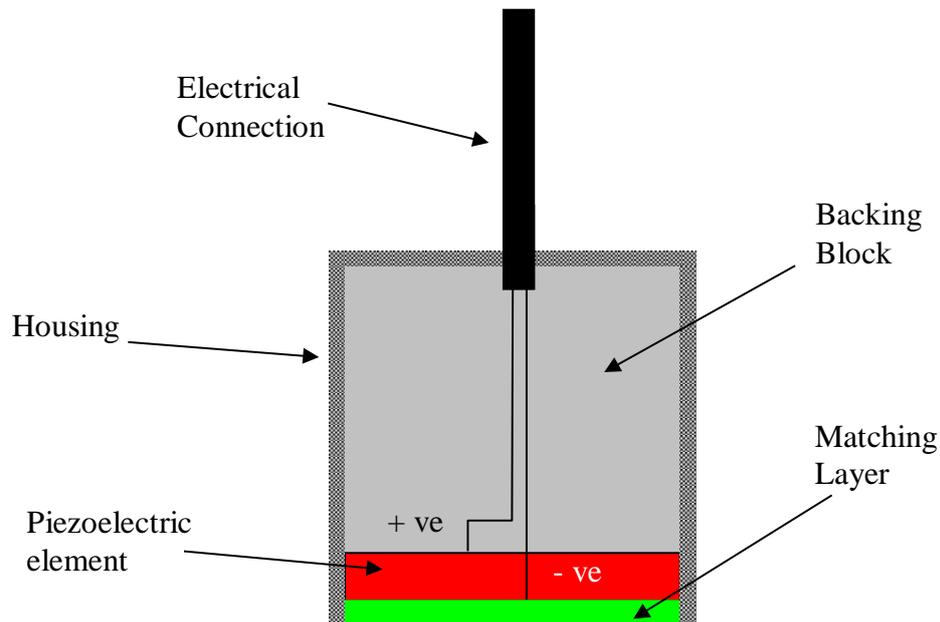
the surface, where, in terms of acoustic measurement, the mechanical deformation is the acoustic disturbance. Later, the inverse piezoelectric effect was discovered [71], in which if a piece of quartz is subjected to an electric field across it then a mechanical deformation will occur. Since the 1950's, modern piezoelectric materials exhibiting more advantageous characteristics for the transmission and reception of acoustic signals have superseded quartz as the active material.

A conventional piezoelectric ceramic hydrophone schematic is shown in Figure 3. It is comprised of an active piezoelectric element, a backing block for damping and a matching layer. The matching layer and the backing block serve to optimise the characteristics of the probe by both widening bandwidth and increasing sensitivity [72], although there exists a permanent trade-off between the two. There are a number of important factors to consider in hydrophone design, particularly the piezoelectric ceramic variant. Firstly, the device should be relatively non-perturbing; this becomes more of an issue at higher frequencies due to the corresponding decrease in acoustic wavelength. Nevertheless, for some lower frequency applications it would not be desirable to disrupt the standing wave patterns integral to the process through the introduction of a measurement probe. Secondly, the device should also have a uniform frequency response over the bandwidth under scrutiny, while maintaining reasonable sensitivity. Again, this can prove more of a problem in characterising high frequency ( $> 3$  MHz) medical diagnostic equipment, or in the measurement of very short transient signals associated with the pulsed operation of such devices. This will not be the case in the realm of power ultrasonics. However, sensitivity at frequencies in the region of 20kHz is often well outside the normal 3dB range of many typical hydrophone probes [73] and custom designed probes must be considered in these instances.

Piezoelectric ceramic composites (commonly known as piezocomposites) address many of the disadvantages associated with monolithic piezoelectric ceramics i.e. high acoustic impedance, limited bandwidth, spurious modes dependent on physical geometry, while retaining the advantages of high electromechanical coupling and high permittivity. These benefits are achieved via dicing the ceramic in two orthogonal directions and filling with a passive polymer phase to give a configuration known as a 1-3 connectivity configuration [74]. This is a common choice for low frequency measurement probes, in particular for SONAR applications [75].

Nevertheless, it should be noted that piezocomposite hydrophones will be subject to the same shortcomings as monolithic probes when used for the characterisation of high power fields, i.e. perturbation of the field and potential damage due to cavitation. The advent of piezoelectric polymers offered an alternative, and potentially superior, technology for quantifying acoustic

pressures.



*Figure 3. Arrangement for a typical piezoelectric probe.*

## **B. PVDF Devices**

While ceramic and piezocomposite hydrophones are adequate for characterising CW or narrow band tone burst fields used in therapeutic applications, the dimensions of the active piezoelectric element and probe housing make them intrinsically multi-modal and unsuitable for measuring broadband diagnostic pulses. Nevertheless, the discovery of piezoelectricity in the polymer PVDF by the Japanese in 1969 provided the potential for pressure sensors without the problems associated with the ceramic devices. The main advantages of using PVDF as a sensor over ceramic are; an improved acoustic impedance match to water and tissue, its availability in thin flexible sheets and a linearly broadband, flat frequency off-resonance response. Admittedly, PVDF devices are primarily geared for use in the medical field and this has indeed been the cause of their emergence over the years with PVDF now the established 'gold-standard' sensing material for hydrophone based measurements in the biomedical industry. There is potential to use such devices in a high power environment under suitable circumstances i.e. avoiding contact with regions of cavitation. PVDF hydrophones are typically categorised as membrane devices, however, PVDF can also feature as the active element in needle-type devices, in addition to piezoelectric ceramic with the former now

being more common. An excellent review of PVDF's influence on medical ultrasound field techniques and standards can be found in Harris' comprehensive article [76].

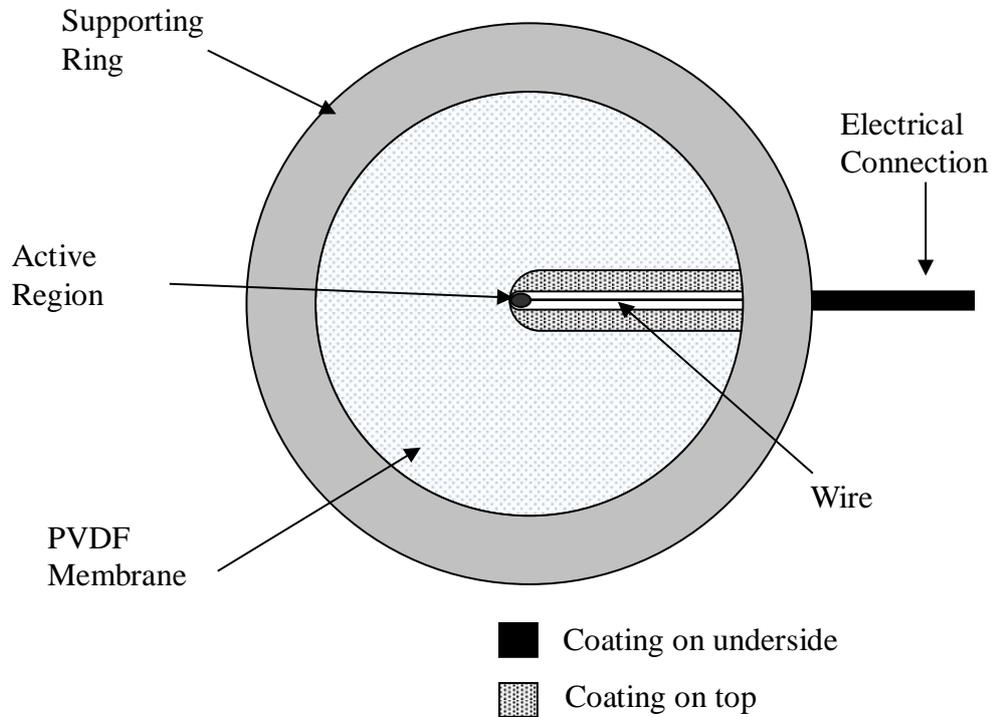
### *Membrane Devices*

As PVDF film is available in large, thin (typically 5 to 110 $\mu$ m thick), flexible sheets of similar acoustic impedance to water, it is a natural choice for designing hydrophones for acoustic field measurement in biomedicine. The most common design for such a device is comprised of a large sheet of PVDF with gold or chromium electrodes vacuum deposited on the surface, stretched across an annular frame, also known as the hoop-supported membrane approach. Metal film leads are evaporated onto both sides of the membrane and the small overlap formed determines the active area of the device. Using this technique a relatively small active area (down to 40 $\mu$ m diameter) can be produced on such a membrane, shown in Figure 4. A detailed description of PVDF membrane hydrophone manufacture, calibration, operation and simulation is available in [77]. Although the techniques described in [77] are used in the characterisation of air coupled devices, they are based on established methods for a water load.

Given that characteristic acoustic impedance of PVDF is well matched to that of water and assuming the membrane is thin compared to the acoustic wavelength, which will nearly always be the case, membrane hydrophones have the advantage of causing minimal disturbance to the acoustic field under investigation. The ultrasonic beam does not 'see' the PVDF although the complete device diameter may be greater than the acoustic wavelength, contrary to ceramic probes dimensions that are often required to be less than the acoustic wavelength. Hence, membrane hydrophones negate some of the complications associated with their ceramic counterparts, such as the presence of frequency dependent modes due to the active element dimensions and probe structure.

Membrane hydrophones find their use almost exclusively in the characterisation of medical fields in water below the cavitation threshold, with only a few exceptions [78], and are often used in degassed water to reduce the risk of cavitation occurring. When operating in cavitating fields the device may suffer from localised damage to the PVDF membrane due to bubble collapse and degradation of the electrodes [13]. This can adversely affect sensor sensitivity and signal reproducibility with continued use. Attempts to prevent this from occurring typically result in devices that no longer accurately represent the acoustic waveforms under investigation. Moreover, the fundamental radial mode frequency of the PVDF film is related to the diameter of the device membrane rather than the active area alone and therefore, at lower frequencies the response of the

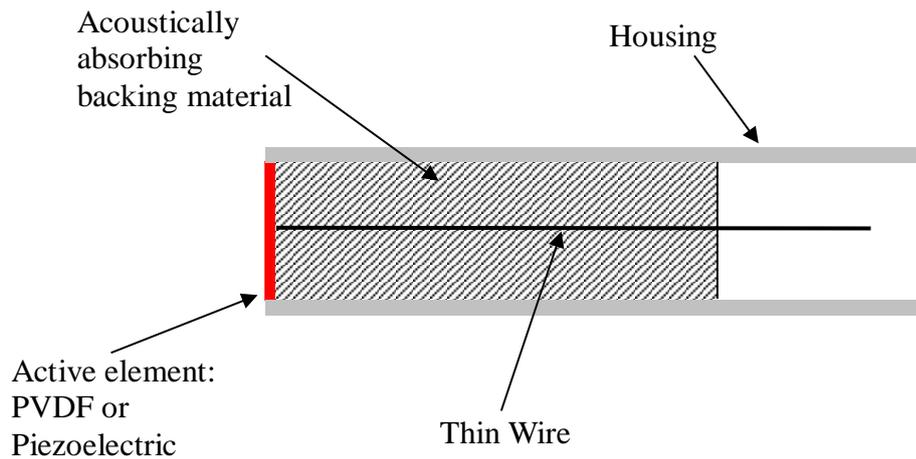
device is no longer flat [79]. This may cause difficulties when attempting to characterise the low frequency fields generated in the majority of high power applications, even under the assumption that cavitation within the load has been minimised.



**Figure 4.** Membrane hydrophone arrangement

### ***Needle Devices***

Needle-type hydrophones generally consist of an active element approximately 0.5 mm in diameter, more commonly PVDF film but occasionally piezoelectric ceramic based, mounted onto the end of a hollow cylindrical tube with an outside diameter close to that of the active element. The cylinder is filled with an acoustically absorbing material (backing) with an acoustic impedance much greater than that of the membrane. The outer surface of the cylinder is connected electrically to the film surface and the inner surface is attached to an insulated wire placed inside the tubing. Figure 5 depicts the arrangement of a typical needle hydrophone.



**Figure 5.** Arrangement for a typical needle hydrophone where the active element can be PVDF or ceramic based.

The design of needle-type hydrophones incorporating PVDF as the active element is very similar to the ceramic based designs, but with exceptionally contrasting frequency responses. Indeed, ceramic needle hydrophones can experience unpredictable structure in both their directional and frequency responses due to radial resonance modes, reflections and mode conversions in the active element and backing material. The geometry of the needle-type probe has afforded it some unique advantages over its membrane and ceramic counterparts; it is easily adaptable for measurements in confined spaces such as *in-vivo*; in situations involving measurements near the source or under CW excitation, where membrane probes may generate unwanted reverberations, the needle hydrophone provides a cleaner signal with less perturbation of the field, and for certain transducer geometries within an enclosed environment, the needle hydrophone has better access than other types of devices. The difference between a membrane and needle hydrophone placed in a CW field is investigated in [80] with the needle probe demonstrating less disturbance to the harmonic field. Another notable advantage of the needle hydrophone is that a well designed probe can exhibit a directivity pattern that is close to an ideal piston. These devices are also fairly robust, making them viable suitors for the transition from medical applications to the characterisation of high power fields in a lower frequency regime. However, the presence of radial modes at lower frequencies due to membrane/ceramic geometry; deterioration of the contact between the wire and active element over time and a roll-off in the low frequency response caused by diffraction at the tip are concerns for low frequency measurements.

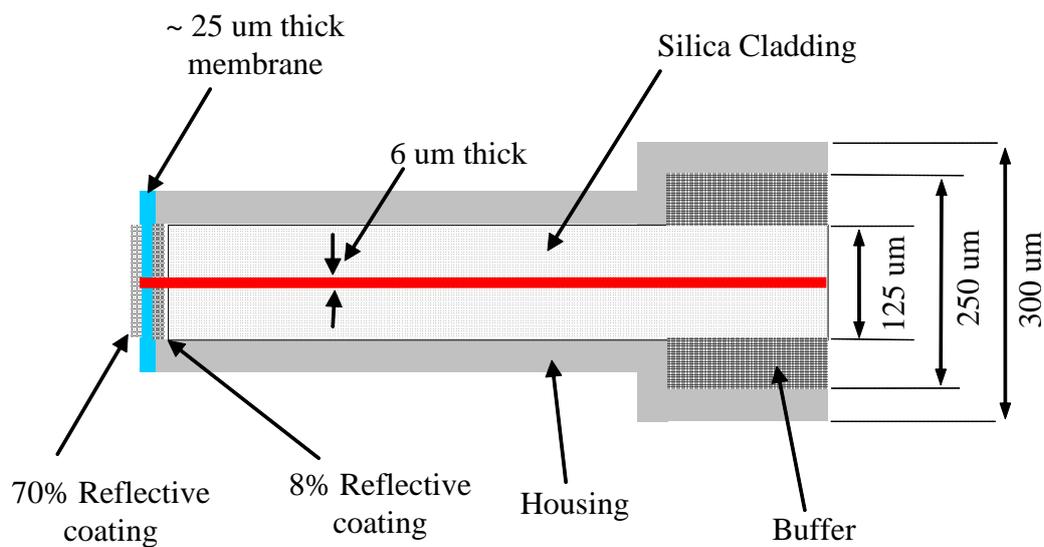
### C. Fibre Optic Devices

The proposition of using light to detect and quantify an acoustic field is not a new one. Extensive literature exists dating back to the 1930's with the initial observations of Debye and Sears, and Lucas and Biquard on the diffraction of light by an ultrasonic field [81] lead to the start of a field known as acousto-optics. It could even be said that the first interaction between sound and light predates the previously mentioned publication as flames were used in the 19<sup>th</sup> century as a qualitative measurement of an acoustic wave [82]. This paper is focussed on the use of probes incorporating optics for the characterisation of ultrasonic fields for a range of applications, while emphasising their potential for high power measurement.

Field measurements by fibre-optic devices have the potential to overcome some of the problems associated with conventional piezoelectric or PVDF hydrophones. Optical methods can offer the following: minimal intrusion of the field, reduced element size of several microns limited only by the diameter of the optical fibre, near omni-directional response, linear broadband frequency response, relative manufacturing ease and a degree of ruggedness not often associated with the conventional probes. Furthermore, an optical sensor known as a laseroptic hydrophone [83] has been shown to provide information on cavitation occurring near the active element. This device merely consists of light from a laser diode coupled into a glass optical fibre, of 50 $\mu$ m diameter, with the end placed into the load medium. A photodiode is utilised to detect the light reflected back along the core from the glass/water boundary. Assuming an acoustic wave is incident upon the end of the fibre, the density and hence refractive index of the water at the fibre will be modified in proportion to the compression phase of the wave, therefore modulating the amount of light reflected at the boundary. The sharp discontinuity in refractive index caused by the presence of an air bubble created by cavitation is easily detected by the sensor. A similar device for use in the measurement of shockwaves displays a similar resistance to bubble collapse near the active element [84].

There are two types of optical sensor that are generally used for ultrasonic field characterisation; probe based systems that rely on a physical change to detect pressure, i.e. deformation of a surface; and non-invasive systems that are based on the diffraction of light by an acoustic field. The former variety is known as a fibreoptic hydrophone and demonstrates potential in both conventional and high power field characterisation [64, 85]. Of this variety there are there are two designs of merit; the laseroptic probe discussed previously, and a more subtle design featuring a polymer film at the end of an optical fibre, shown in Figure 6. The device itself consists of 25 $\mu$ m thick polymer film deposited onto the end of a single mode optical fibre, diameter 6 $\mu$ m. Two aluminium mirrors are evaporated onto the fibre end and the polymer with reflective coefficients of 8% and 70%,

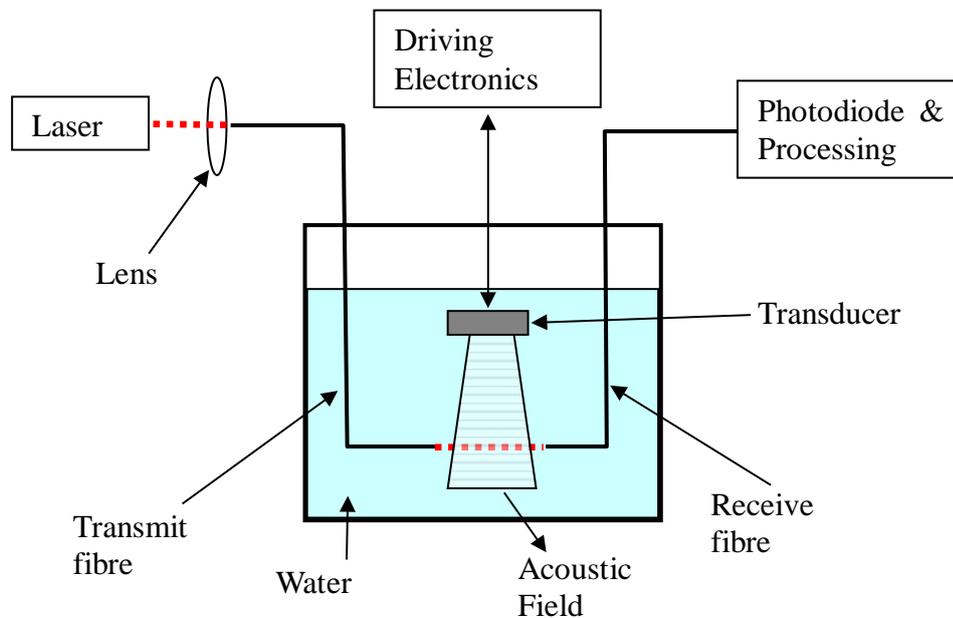
respectively. The active area of the probe is approximately equal to the optical fibre diameter and all other important dimensions can be found in Figure 6. The detection mechanism is based upon the acoustically induced changes in the optical thickness of the polymer film acting as an interferometer. Significantly, this device demonstrates sensitivity levels comparable to a much larger PVDF membrane device ( $0.2\text{mm}^2$  active area), while offering lower directional sensitivity than that of a PVDF needle device ( $0.075\text{mm}^2$  active area). Due to the ease of manufacture for such a probe, disposable sensor heads could be developed to make characterising high power fields economically viable in terms of potential damage to the sensors inserted into these hostile environments.



**Figure 6.** Arrangement for a fibre optic polymer film hydrophone.

The other variety of fibre optic sensor is based on the diffraction of light by ultrasound. These probes are essentially non-invasive as they do not interact with the acoustic beam, unless used in a reverberant environment. Functionality of these sensors is based on Raman-Nath light diffraction [86], which states that when a beam of light passes through an acoustic field, diffraction of the light beam takes place and by measuring the amplitude and frequency of the detected beam, information about the acoustic field can be extracted. In essence, the acoustic field acts as a diffraction grating. In contrast to other ultrasonic hydrophones that require to be placed in the acoustic field, this technique requires no physical interaction with the acoustic beam, and hence, does not perturb the field [87]. The transmitting optical fibre is placed in a water tank perpendicular to the acoustic beam axis and on the same plane as the focal region of the transducer. The receiving fibre is placed directly opposite with a gap of approximately 15 mm separating the two. The detected diffraction patterns are coupled into an avalanche photodiode and the electrical signal displayed on an oscilloscope, as shown in a simple schematic in Figure 7. Sensitivity for this technique is reported

as being lower than traditional PVDF methods; however, this is offset by the potential advantages gained from a more uniform directional response and increased spatial resolution. Nevertheless, this technique may not be applicable to reverberating high power fields as both optical fibres may incur damage due to bubble collapse and erroneous measurements may result as the rest of the optical fibre in the system interacts with the ultrasonic field.



*Figure 7. Typical experimental arrangement for Raman-Nath fibre optic sensor.*

#### **D. Non-invasive Techniques**

The potential to characterise an acoustic field without the insertion of a sensor is an attractive proposition. Removing the perturbation caused by a hydrophone, regardless of how minimal, can provide data presenting a more accurate representation of the pressure profile. Several methods exist that are able to provide information about the ultrasonic field without physical interaction. Generally, they can be split into qualitative and quantitative techniques and, intuitively, the most effective ones in both categories are optical based technologies.

#### ***Optical Diffraction Tomography (ODT)***

Optical diffraction tomography (ODT) combines the diffraction of light by an acoustic beam and tomographic routines to form images of pressure in a chosen plane perpendicular to the acoustic axis. An ultrasonic transducer with four degrees of freedom (x, y, z and rotational) is set up in a water filled tank, through which a beam of monochromatic laser light, typically from a Helium-

Neon source, is transmitted. The diffracted light signal exiting the tank is received by a photodetector, where the demodulated intensity is proportional to the average pressure through the width of the field. By taking a series of parallel measurements as described and then rotating the transducer in monotonic angles through 180 degrees, simple tomographic reconstruction algorithms can then be implemented to create an image of pressure at an arbitrary distance from the source [88, 89, 90, 91, 92]. This technique is completely non-invasive and has the potential for obtaining greater spatial resolution than hydrophone methods. Moreover, the absence of a detector in the field enables accurate measurement of the acoustic nearfield without the presence of unwanted reflections.

### ***Interferometry***

Laser interferometry has been employed in two distinct ways for ultrasonic field characterisation. The first manner is similar to ODT as tomographic scanning routines are used to generate images of pressure, but different in that the phase modulation due to the light traversing the acoustic disturbance twice is used to evaluate pressure. This method can also be extended for non-invasive measurement of ultrasonic fields in sealed cylindrical vessels through an augmentation of the tomographic scanning routines. The main difficulty in employing tomography in this manner is the breakdown of the parallel shots required for the reconstruction algorithm due to refraction of laser light at the vessel wall boundaries (assuming a non-opaque material such as glass or perspex is used). However, it is possible to correct for such refraction if the incident angle of the laser light is altered to ensure the path through the field results the re-establishment of the necessary parallel shots. Given this, conventional tomographic reconstruction algorithms can then be utilised to form 3D pressure maps of the internal field. Such a technique is described in more detail in [93]. The other method is based on quantifying the displacement of a membrane caused by an acoustic field, and will also be described here.

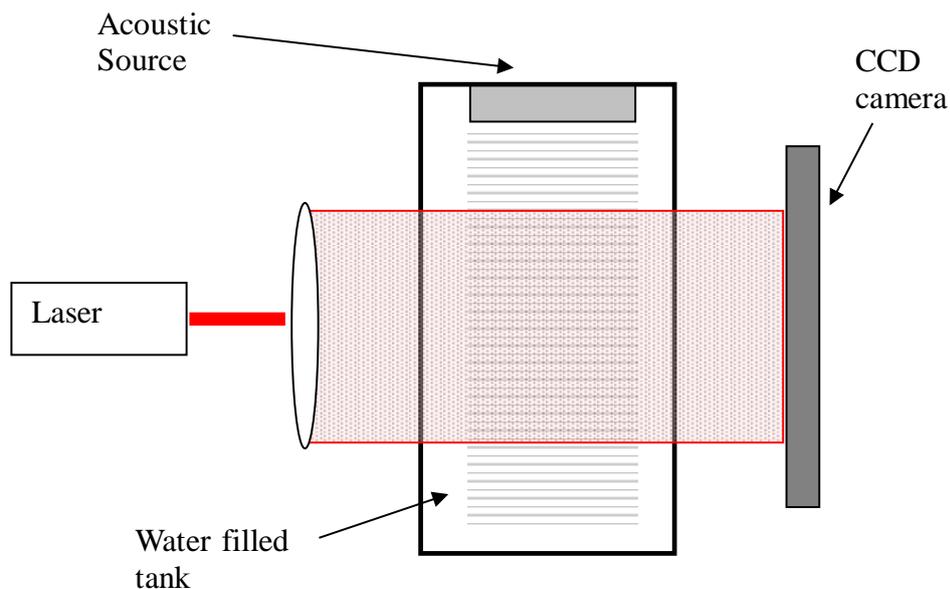
It would be inaccurate to describe this second arrangement as a pure non-invasive field characterisation technique as a thin plastic reflective membrane (known as a pellicle) is placed in the path of the acoustic beam. One surface of the pellicle reflects the optical beam of a laser interferometer, which is used to determine the absolute displacement of the membrane and hence of the acoustic field. From this displacement measurement, the absolute acoustic pressure can be calculated. As the influence of the pellicle (of similar dimensions to a PVDF hydrophone) on the field is minimal and, as such, this method is categorised with other non-invasive techniques for the purposes of this review. Primarily utilised as a reliable means of calibrating hydrophones [94], this

technique was later adapted for pulsed field characterisation [95], and then expanded upon by incorporating a 3D mechanical scanning system for transducer movement to facilitate complete field mapping, if desired [96]. With regard to high power field measurement, damaging the fragile membrane would pose the most significant problem.

### ***Schlieren***

Schlieren imaging of ultrasonic waves is traditionally a qualitative technique that has proven useful for the visualisation of acoustic beams incident upon and reflected from various surfaces. The basic theory behind a Schlieren system is from Raman and Nath's treatment on the diffraction of light by sound [86], in that a propagating sound wave induces a change in the refractive index of the transmission medium, causing the sound wave to behave like a diffraction grating. The intensity of the subsequent diffraction pattern is proportional to the integral of pressure along the light path. Therefore, the intense pressures in the beam are represented as greater intensities in the optical signal received as the light passes through the field. A simple Schlieren system is shown in Figure 8. This can be utilised to produce striking images of acoustic fields under free field conditions and reflecting from a surface.

Since Schlieren visualisation requires a transparent medium for operation the obvious limitation is it must be used in conjunction with a non-opaque load fluid. Furthermore, it does not accurately represent the pressure field as it forms a 2D measurement from a 3D sample.



**Figure 8.** *Experimental arrangement for a conventional Schlieren system.*

## ***Laser Vibrometry***

This method is a novel utilisation of laser scanning vibrometry, based on interferometric principles, that has been applied with great success in the measurement of the vibrational displacement in a variety of transducers [97,79]. By placing the transducer in a tank with the acoustic axis perpendicular to the laser light and securing retro-reflective material to the other side of the tank, it is possible to measure the average change in refractive index through the width of the beam. In this manner, a complete scan of the average intensity of the acoustic beam can be generated [98]. Providing gated excitation is used and reverberations minimised, this technique can provide a reasonably accurate spatial representation of the acoustic intensity distribution from an ultrasonic device. However, the refraction of the incident laser light by the tank walls may introduce some measurement errors if not accounted for. This methodology also suffers from the same difficulties as conventional Schlieren imaging as a 2D image is formed from a 3D data set, though tomographic techniques could be employed to remedy this.

## **E. Cavitation Monitoring techniques**

Until recently, the measurement of cavitation has been a particularly troublesome problem. Some of the difficulties in measuring cavitation have been highlighted throughout this paper, difficulties such as; damage to sensing equipment, large transient signals, hostile environmental conditions, unpredictability, and difficulty distinguishing between the two types of cavitation. Common measurement methods include [67,68]; broadband acoustic emission, aluminium foil erosion, chemical effect monitoring (chemiluminescence) and sonoluminescence. Despite the attractiveness of these two luminescence techniques and their potential for high spatial resolution, the requirement for blackout conditions in optically transparent media renders them complex to implement in practice. Conversely, passive acoustic methods incur none of the complications associated with the optical techniques and, consequently, are more widely applicable.

Potassium iodide dosimetry has been another method used to measure the intensity through the oxidation of iodide ions to iodine where they then form a chemical complex with excess iodine to form tri-iodide [99]. While chemical experiments have their limitations, they have been shown to give results which correlate closely with those obtained from acoustic emission measurements [100].

Cavitating bubbles behave as acoustic sources when stimulated by an external acoustic field via modes generated by the bubble's non-linear motion, emitting harmonics and sub-harmonics of the

acoustic drive frequency. Hydrophones positioned inside, or fixed on the outside, of the container are able to pick up the acoustic signatures of the bubble dynamics. The amplitude, phase and frequency information of these signals can provide data on the scale and nature of bubble activity. However, obtaining spatial knowledge of the cavitating bubbles for a particular volume of liquid is difficult with conventional acoustic monitoring. This prompted Zeqiri *et al* [101, 102] to identify the attributes desired for a novel cavitation monitoring sensor and develop the device accordingly. The sensor consists of a thin layer of piezoelectric polymer film attached to the inner surface of a hollow, open-ended cylinder, providing measurement bandwidth from 0 to 10 MHz. The outer surface of the cylinder is coated with a specially developed cavitation shield material that is highly attenuating to acoustic signals at megahertz frequencies. This provides the sensor with a degree of spatial resolution as any acoustic signals characteristic of acoustic cavitation arise from events occurring within the cylinder volume. Moreover, the coating material has an acoustic impedance similar to water therefore ensuring the sensor is minimally perturbing to the field under investigation. Furthermore, it is possible to increase spatial resolution by reducing the internal diameter of the sensor, although the current design is limited to measuring cavitation from ultrasonic transducers operating below 50kHz. A reference cell was developed for which various cavitation measurement methods could be tested [103] and compared with the results showing correspondence with the cavitation monitoring sensor.

The effectiveness and utility of the acoustic emission method was demonstrated when it was shown that acoustic emission levels were related to cytotoxicity levels observed in tissue and as a result a system that directly controls cavitation through its measurement and a feedback mechanism was developed with the regulated system showing much improved repeatability as compared to the unregulated system [104, 66, 105].

#### **4. Discussion**

This review paper draws upon a significant body of scientific and engineering research spanning one hundred years. It complements other high power review publications [7, 13, 40, 45, 50, 106] by coupling measurement techniques alongside a review of high power applications. As high power applications develop further, it is clear that calibration and characterisation of the sonification field will become increasingly important to understand with sufficient accuracy. Moreover, non-invasive techniques will be sought to ensure no contamination of the load medium and minimal influence on the generated field by the measurement probe/sensor.

It is clear that there are a diverse range of applications in which high power ultrasound plays a

pivotal role. Interestingly, there are a number of common features between these systems. The majority of high power ultrasonic systems, for industrial applications, can be categorized as operating below 100kHz in order to enhance the potential of the system to induce a cavitating field. Moreover, these systems offer versatility in the deployment of the high power field and hence can accommodate operation on systems/components with complex geometry. One particular issue is the scale up from laboratory systems to large volume industrial systems [46, 102], where there can be degradation in performance especially for systems developed using resonance based design techniques. Alternative geometries are continually being explored [107] to enhance the industrial uptake of this 'green' technology, which offers an energy efficient process in which the requirement for additive chemicals can be minimised.

As high power ultrasound finds new applications, the requirement to reliably measure the system response will increase. Albeit it is important to understand what system parameter requires to be measured. Field distribution can be determined through a wide range of techniques and is important in terms of identifying 'hot-spots' in the load which offer maximum potential for maintaining a cavitating field. This is typically measured under low-intensity conditions. Whereas, measuring the intensity of the cavitating field will relate directly to the influence the ultrasonic system will exert on a reaction. This is obviously critical from the industrial perspective. Combining these two measurement quantities provides the design engineer further system optimisation opportunities [104], although this is not achievable in real-time during the reaction process with current technology.

To conclude, it is important to mention the health and safety risks associated with high power ultrasonic systems. It is considered that for each application from small scale, laboratory based reactors through to large industrial scale systems, due care will be given to the individual set of hazards associated with each high power system implementation. Primarily, accidental contact with a cavitating field in a liquid medium or a high power ultrasonic tool head will be considered as the main risk. Interestingly, there is a substantial body of work which has considered the effects of high sound pressure levels in the ultrasonic domain. A consultancy document, authored through the University of Southampton, provides an excellent summary of the exposure limits associated with the high power ultrasonic systems described in this paper [108], where the maximum permissible level (>20kHz operating frequency) is between 105-110dB, for exposure durations exceeding 4 hours. Importantly, this report states that the dose-response relation is still not fully defined. Therefore, the authors consider that this topic will become more pertinent as high power ultrasound developments produce larger, industrial scale systems in the near future.

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