

1 **Validation and calibration of the *activPAL*TM for estimating METs and physical activity in 4-6**
2 **year olds.**
3

Abstract

Objectives. Examine the predictive validity of the *activPAL*TM metabolic equivalents (MET) equation, develop *activPAL*TM threshold values to define moderate-to vigorous-intensity physical activities (MVPA), and examine the classification accuracy and concurrent validity of the developed MVPA threshold values in 4- to 6-year-old children.

Design. A sample of forty 4- to 6-year-old children from the Illawarra region in New South Wales, Australia were included in data analysis.

Methods. Participants completed a ~150-min room calorimeter protocol involving age-appropriate sedentary behaviors (SB), light-intensity physical activities (LPA) and MVPA. *activPAL*TM accelerometer counts were collected over 15 s epochs. Energy expenditure measured by room calorimetry and direct observation were used as the criterion measure. Predicted METs were calculated using the *activPAL*TM MET equation. Predictive validity was evaluated using dependent-samples *t*-tests. Participants were randomly allocated into two groups to develop and cross-validate an intensity threshold for MVPA. Receiver operating characteristic (ROC) curve analysis was used to determine MVPA thresholds. Developed thresholds classification accuracy was cross-validated using sensitivity, specificity, and area under the ROC-curve (ROC-AUC).

Results. The *activPAL*TM METs equation significantly overestimated METs during SB and significantly underestimated METs for LPA, MVPA and total METs compared to measured METs (all $P < 0.001$). The developed threshold of ≥ 1418 counts per 15 s resulted in good classification accuracy for MVPA.

Conclusion. The current *activPAL*TM METs equation requires further development before it can be used to accurately estimate METs in preschoolers. The developed threshold exhibited acceptable classification accuracy for MVPA; however studies cross-validating this MVPA threshold in free-living preschool-aged children are recommended.

Keywords: accelerometry; room calorimetry; young children; MVPA; inclinometer; activity monitor

30 **Background**

31 Accelerometry has become the method of choice for measuring free-living physical activity (PA)
32 behaviors in children^{1,2}. However, sedentary behavior (SB), defined as any waking behavior
33 characterized by an energy expenditure ≤ 1.5 metabolic equivalents (METs) while in a sitting or
34 reclining posture³, has been shown to be adversely associated to cardiometabolic outcomes in
35 adulthood, independent of moderate-to-vigorous physical activity (MVPA)⁴. In addition, some
36 evidence suggests that SB and MVPA might have independent associations with health outcomes in
37 children and adolescents⁵. As such, there is an increasing need for an accurate objective measure of
38 both SB and PA, ideally using a single device to minimize participant burden.

39

40 Currently, hip-mounted accelerometers are the most common objective monitoring tool used to
41 measure PA and SB in children. However, the placement of accelerometers on the hip and the use of
42 threshold values makes it difficult to distinguish sitting from standing still⁶, which in turn may
43 increase measurement error when assessing SB and light intensity physical activity (LPA). Newer
44 accelerometer-based devices use sensors which are sensitive to both static and dynamic accelerations
45 and therefore make it possible to differentiate between postures⁶.

46

47 One of the devices using this new technology is the *activPAL*TM (PAL Technologies Ltd., Glasgow,
48 UK). The *activPAL*TM is a uni-axial accelerometer which is positioned on the anterior upper thigh.
49 The positioning on the thigh enhances the ability to classify periods of time in different postures,
50 categorized as lying/sitting, standing or walking. In addition, the *activPAL*TM output reports
51 accelerometer counts and estimates of METs based on step rate using an equation embedded in the
52 *activPAL*TM software⁷. The *activPAL*TM has shown promising results for measurement of SB among
53 children aged 3-12 years⁸⁻¹⁰. However, only one study has examined the predictive validity of the
54 METs equation provided in the *activPAL*TM software against a criterion measure in 15-25 year old
55 females¹¹. Moreover, only one study has developed a MVPA threshold for the *activPAL*TM, and that
56 study examined only adolescent girls¹². No studies have examined the validity of the *activPAL*TM for
57 predicting METs among preschool-aged children, nor developed a threshold to classify MVPA from

58 *activPAL*TM count output in preschoolers. As compliance with objective monitoring is often lower
59 than desirable among children, and might decrease further if participants are required to wear multiple
60 monitors, it is preferable to use one monitor when assessing children's habitual SB and PA levels.
61 Therefore, the aim of this study was to examine the predictive validity of the *activPAL*TM METs
62 equation in 4-6 year old children. If the *activPAL*TM METs equation was found to provide biased
63 estimates of energy expenditure, our secondary aims were to develop and validate an *activPAL*TM
64 intensity threshold for classifying MVPA in 4-6 year old children.

65

66 **Methods**

67 Forty healthy 4-6 year old children were recruited from childcare centers in the Illawarra region of
68 New South Wales, Australia. The study was approved by the University of Wollongong Human
69 Research Ethics Committee. Parents of participants provided informed written consent, and their
70 children provided their verbal assent to participate in the study.

71

72 Children followed a 150-min activity protocol including age-appropriate SB, LPA and MVPA such
73 as, watching a movie on TV, playing with toys and shooting hoops, within the room calorimeter.
74 Children ate a light standardized breakfast 1.5 h before entering the room calorimeter, which had a
75 minimal impact on their energy expenditure¹³. Children performed all activities in an identical order
76 over a pre-determined duration under the guidance of a trained research assistant (Online supplement
77 1).

78

79 The *activPAL*TM (PAL Technologies Ltd., Glasgow, UK) is a uni-axial accelerometer which classifies
80 periods of time in different postures, categorized as lying/sitting, standing or walking. In addition, the
81 *activPAL*TM output reports accelerometer counts and estimates of METs based on step rate using an
82 equation embedded in the *activPAL*TM software⁷. Before each experiment the *activPAL*TM was
83 initialized and time synchronized with the video camera—used for direct observation purposes—and
84 the room calorimeter. Children were fitted with an *activPAL*TM which was worn on the front of the

85 right thigh using a double sided hydrogel adhesive pad and an elastic bandage to provide additional
86 security.

87

88 To assess the validity of the *activPAL*TM for predicting METs, EE measured by the room calorimeter
89 was used as the criterion measure. Oxygen consumption and carbon dioxide production were
90 measured continuously (paramagnetic O₂ and infrared CO₂ analysers, Sable System Inc, Las Vegas
91 USA) and corrected to standard temperature, pressure and humidity in the room calorimeter (3 m x
92 2.1 m x 2.1 m). Technical procedures related to the room calorimeter are described in more detail
93 elsewhere¹³. Chamber air was sampled every two min and rates of oxygen consumption and carbon
94 dioxide production were then averaged over 10-min blocks to produce stable measures of EE¹⁴.

95

96 During their time in the room calorimeter participants were digitally recorded, and activity start and
97 end times and breaks between activities were recorded. To define a MVPA intensity threshold for the
98 *activPAL*TM and examine the validity of the *activPAL*TM METs equation, children's movement was
99 coded using the Children's Activity Rating Scale (CARS)¹⁵. CARS is based on a 1 to 5 coding
100 scheme, identifying five levels defining the following intensities: level 1 and 2 = SB, Level 3 = LPA
101 and Level 4 and 5 = MVPA. It has been shown to be a reliable and valid tool to assess PA levels in
102 young children¹⁶ and has been used in accelerometer validation studies in these age groups^{17, 18}. Video
103 footage was coded with the help of Vitessa 0.1 (Version 0.1, University of Leuven, Belgium) which
104 generated a time stamp every time a change in intensity was coded by the observer. Data were coded
105 by one observer who undertook two days of specific CARS training. During training, data from pilot
106 trials were used. After coding, weighted average CARS scores were calculated for each 15s epoch
107 corresponding to the *activPAL*TM output. In this study averaged 15-s epochs were classified into
108 intensity as follows: SB \leq level 2; LPA $>$ level 2.0 and \leq 3.0; MVPA $>$ level 3.0¹⁵.

109

110 EE for every 10-min block was calculated using the Weir equation¹⁹. MET values were calculated by
111 dividing measured EE by estimated basal metabolic rate (BMR) using the Schofield equation for

112 children aged 4-10 years²⁰. The 10-min blocks of EE were classified based on their equivalent MET
113 values, into PA intensities as follows; SB \leq 1.5 METs, LPA $>$ 1.5 and $<$ 3.0 METs and MVPA \geq 3.0
114 METs.

115

116 *Predictive validity of the activPAL™ METs equation.* ActivPAL™ MET values were collected in 15-s
117 epochs and then averaged over 10-min blocks that aligned with 10-min MET values defined using EE
118 measured by the room calorimeter. Participants' MET values were averaged per intensity and over the
119 duration of the protocol. Predicted MET values were then compared to measured MET values by the
120 room calorimeter.

121

122 *Development of an activPAL™ MVPA intensity threshold.* To ensure the development and validation
123 group were relatively similar, participants were stratified by sex and randomly allocated into either the
124 development or validation group. To define an MVPA intensity threshold, data from the development
125 group was used. activPAL™ 15-s epoch acceleration counts were used as provided by the activPAL™
126 software and aligned with direct observation data.

127

128 *Classification accuracy of the activPAL™ MVPA intensity threshold using direct observation.* Data
129 from participants allocated to the validation group were used to cross-validate the developed intensity
130 threshold. ActivPAL™ data were classified as MVPA using the developed intensity threshold.

131 ActivPAL™ data were then compared to direct observation data.

132

133 *Classification accuracy of the activPAL™ MVPA intensity threshold using direct observation and*
134 *EE.* The required EE for a given activity varies between individual children²¹. Because direct
135 observation systems such as CARS rely on subjective classification and use general category
136 descriptions to assign levels to activities based on the apparent intensity of the activity, it is possible
137 that misclassification may occur for some individuals. To overcome this potential limitation and
138 confirm findings for PA intensity classification based on direct observation, we developed an
139 additional criterion measure that included both direct observation and EE measured by the room

140 calorimeter. Ten min average EE values were divided by predicted BMR to define intensity levels. All
141 epochs within the 10-min period immediately prior to the measured average EE value (i.e. forty 15 s
142 epochs) were classified as SB, LPA, or MVPA. To prevent potential false misclassification (e.g. when
143 all criterion epochs in a 10-min block were classified as MVPA but two min during these 10 min were
144 LPA, these two min would be falsely classified as MVPA) direct observation data and EE expenditure
145 data were compared for every 15-s epoch. Thereafter, criterion epochs were excluded if PA intensity
146 defined using EE measured by the room calorimeter did not agree with the intensity levels derived
147 from direct observation. In addition, epochs within the last min of a 10-min EE data block were
148 excluded ensuring that any small time lag in the calorimeter readings would not lead to mismatching
149 criterion data with *activPAL*TM data. Likewise, criterion epochs which occurred during breaks
150 between activities were excluded.

151

152 Demographic differences between the development group (n = 18) and cross-validation group (n =
153 18) were examined using independent samples t-tests and Fisher exact tests for weight status.
154 Dependent *t*-tests were used to compare the differences between measured MET values and predicted
155 MET values. Systematic bias was examined using the Bland-Altman method²². ROC analyses were
156 used to define an *activPAL*TM MVPA intensity threshold. In addition, the developed intensity
157 threshold was cross-validated using sensitivity, specificity, and area under the receiver operating
158 curve (ROC-AUC). ROC-AUC values of ≥ 0.90 are considered excellent, ≥ 0.80 and < 0.90 good, \geq
159 0.70 and < 0.80 fair, and < 0.7 poor²³. All statistical analyses were performed using MedCalc Version
160 12.3.0 software (Medcalc Software, Mariakerke, Belgium).

161

162 **Results**

163 Forty children completed the calorimeter activity protocol. Two children had missing data due to
164 *activPAL*TM failure, and another two had missing data due to calorimeter failure. For the remaining 36
165 children, 31 (85.1%), 34 (94.4%) and 32 (88.9%) had at least one 10-min block of SB, LPA or
166 MVPA, respectively, according to calorimeter measured EE values. Descriptive characteristics for the
167 total sample and the development and cross-validation groups are presented in Table 1. Boys and girls

168 were equally divided between the development (n=18) and cross-validation (n=18) groups and no
169 significant differences were found for age, weight, height, BMI, or weight status ($p > 0.05$).

170

171 *Predictive validity of the activPAL™ METs equation.* The activPAL™ METs equation overestimated
172 METs during SB (+6.0%) and underestimated METs for LPA (-15.3%), MVPA (-32.8%) and total
173 METs (-13.6%) (all $p < 0.001$) (Figure 1). Due to signs of heteroscedasticity, bias and 95% limits of
174 agreement were calculated using log-transformed data and presented as ratios. The bias between
175 measured and predicted EE was -5% (-22% to +11%), +19% (-4% to +42%), +46% (-20% to +112%)
176 and +15% (-19% to +49%) for SB, LPA, MVPA and total METs, respectively. The highest over-
177 estimation and under-estimation were found for the lowest and highest MET values, respectively
178 (Online supplement 2).

179

180 *Development of activPAL™ MVPA intensity thresholds.* Of the possible 10584 15-s epochs available
181 from 18 participants in the development sample, 9844 epochs (93.0%) were included as valid data.
182 Missing data was due to the child being off screen. For classifying MVPA, ROC analysis resulted in
183 an optimal threshold value of ≥ 1418 counts per 15 s (ROC-AUC=0.92). Sensitivity and specificity for
184 this cut point were 82.9% and 90.9%, respectively (Table 2).

185

186 *Classification accuracy and concurrent validity of developed activPAL™ MVPA intensity thresholds*
187 *using direct observation.* Of the possible 10584 15-s epochs available from 18 participants in the
188 cross-validation sample, 9758 epochs (92.2%) were included as valid data. Missing data was due to
189 the child being off screen (826 epochs) and one child's activPAL™ came off the child's leg (171
190 epochs) during the protocol. Sensitivity, specificity and ROC-AUC were analyzed for MVPA using
191 the developed intensity threshold of ≥ 1418 counts per 15 s. Using the activPAL™ intensity threshold
192 resulted in a sensitivity and specificity of 88.3% and 88.2%, respectively. Classification accuracy for
193 MVPA was found to be good (ROC-AUC=0.88).

194

195 *Classification accuracy and concurrent validity of developed activPAL™ MVPA intensity thresholds*
196 *using direct observation and measured EE.* Of the 10584 available 15-s epochs from 18 participants
197 in the cross-validation sample, 6175 epochs (58.3%) were included as valid data. Data exclusion was
198 due to lack of agreement between calorimeter and direct observation data (3412 epochs), the child
199 being off screen (826 epochs) and because one child's *activPAL™* became detached during the
200 protocol (171 epochs). Sensitivity, specificity and ROC-AUC were analyzed for MVPA using the
201 developed intensity thresholds of ≥ 1418 counts per 15 s. Using the *activPAL™* intensity threshold
202 resulted in a sensitivity and specificity of 94.8% and 84.8%, respectively. Classification accuracy for
203 MVPA was found to be excellent (ROC-AUC=0.90).

204

205 **Discussion**

206 Using the MET equation embedded in the *activPAL™* software resulted in a significant over-
207 estimation of METs during SB, and a significant under-estimation of METs during LPA, MVPA and
208 overall. To our knowledge, no study has previously examined the predictive validity of the
209 *activPAL™* METs equation in preschool-aged children. However, the current findings are consistent
210 with a previous study which reported an underestimation of total METs in 15-25 year old females
211 when using the *activPAL™* METs equation¹¹. Reasons for the poor predictive validity might be
212 because only one independent variable, steps per min, is used in the *activPAL™* equation to predict
213 METs¹¹. Variables like age, height and weight might possibly influence the association between steps
214 per min and EE. In addition, Harrington et al.¹¹ reported a stronger relationship between *activPAL™*
215 counts and EE than between steps and EE in 15-25 year old females. However, several studies have
216 reported significant differences between predicted and measured EE when using EE prediction
217 equations based on accelerometer counts from other commercially available hip-mounted
218 accelerometers^{24, 25}. The association between hip-mounted accelerometer counts and predicted METs
219 differs per activity (e.g. walking up a hill might not lead to higher accelerometer counts but will
220 expend more energy than walking on a flat section), and therefore a single equation appears to have
221 problems predicting METs accurately across a broad spectrum of activities²⁶. More complete
222 approaches that go beyond single regression equations, such as multiple regression equations or

223 pattern recognition may be required to accurately predict METs from accelerometry data in children²⁶.
224 Considering the results of this study, and previous published research, further development of the
225 *activPAL*TM MET equation is required before it can be used to accurately estimate preschool-aged
226 children's EE.

227

228 Using the developed *activPAL*TM threshold of ≥ 1418 counts per 15 s was found to perform well when
229 classifying MVPA. Cross-validation of this threshold, using direct observation only or direct
230 observation combined with EE, resulted in good and excellent classification accuracy for MVPA,
231 respectively. To our knowledge this is the first study to develop and cross-validate MVPA
232 *activPAL*TM thresholds in 4-6 year old children. Previous studies validating the *activPAL*TM in this age
233 group have focused on sedentary behavior and sitting time^{8, 9}. Only one study has developed and
234 cross-validated an *activPAL*TM MVPA threshold in youth¹². Findings indicated that the threshold of
235 ≥ 2997 counts per 15 s resulted in excellent classification accuracy for MVPA among 15-18 year old
236 adolescent girls. The optimal *activPAL*TM MVPA threshold found in that study was higher than that
237 found in the current study. In addition, a higher sensitivity and specificity was reported¹². The lower
238 threshold value in our study might be due to the younger age of our participants, as physiological and
239 biomechanical differences, such as differences resting energy expenditure and gait patterns, exist
240 between children and adolescents^{27, 28}. These differences are expected to influence accelerometer
241 output and consequently MVPA threshold values². In addition, Dowd et al.¹² implemented a protocol
242 that included structured posture-based activities, while the current study included a range of free-
243 living and lifestyle activities. The use of a more structured protocol may be one reason for the
244 increased sensitivity and specificity compared to the current study.

245

246 Limitations of this study should be noted. The intensity thresholds developed in validation studies are
247 dependent on the included activities and the results will therefore vary between studies. In this study a
248 standardized activity protocol in a controlled setting was used and while the protocol included
249 developmentally appropriate free-living activities, studies cross-validating the developed MVPA
250 intensity thresholds in free living circumstances are needed. In addition, the activities were performed

251 in a pre-specified order which might have led to EE during certain activities being more affected by
252 excitement (at the start) or fatigue (at the end) than others. Further, using room calorimetry limited the
253 ability to measure EE in time blocks shorter than 10 min¹⁴ due to the calorimeter sampling frequency
254 and the time lag which exists when measuring EE in large volumes. Due to the age of the participants
255 it was not feasible to create a protocol that included activities of moderate-to-vigorous intensity that
256 last 10 min. As such, using portable calorimetry may have been better suited to capture the sporadic
257 and intermittent nature of preschoolers MVPA. The proportion of data classified as valid and used in
258 the analyses when combining measured EE and direct observation was low, especially for MVPA.
259 This was due to the strict screening protocol we used in order to reduce potential misclassification
260 error from including, for example, data points in the MVPA category that may have been LPA.
261 However, analyses based on both direct observation and measured EE combined with direct
262 observation were used to overcome the impact of this limitation. Finally, it is possible that threshold
263 methodology might be replaced by pattern recognition techniques in the future²⁹. However, pattern
264 recognition approaches are still in development and until such methodologies are more widely
265 available, the accurate classification of MVPA using threshold values will remain an important issue
266 for researchers.

267

268 Despite these limitations, this study had several strengths. The inclusion of a cross-validation group
269 and a protocol which included a variety of child specific and developmentally appropriate activities,
270 ranging in intensity from SB to MVPA is in line with current best practice recommendations for
271 activity monitor validation studies²⁶. Additionally, calorimetry was used which is the gold standard
272 when measuring EE and its use is rare in studies among preschoolers²¹. Specifically, room calorimetry
273 was used as the criterion method instead of a portable calorimetry device. Using room calorimetry
274 eliminates the need to use a facemask, which could impact how a given activity is performed as it may
275 not be tolerated by all preschool children. Finally, as differences in EE per activity between children
276 is not taken into account when using direct observation alone, cross-validation of the developed
277 MVPA threshold was conducted using both direct observation as well as EE in conjunction with

278 direct observation as criterion measures. These analyses provided consistent findings and assisted in
279 overcoming the potential limitations related to each criterion measure.

280

281 **Conclusion**

282 Further development of the integrated *activPAL*TM MET equation is required before it can be used
283 with acceptable accuracy in preschool children. The MVPA intensity threshold of ≥ 1418 counts per
284 15 s resulted in good classification accuracy. However, further studies are required to assess the
285 classification accuracy of the *activPAL*TM MVPA thresholds in free-living conditions.

286

287 **Practical Implications**

- 288 • Further development of the *activPAL*TM MET equation is required before it can be used with
289 acceptable accuracy in preschool children.
- 290 • The developed moderate-to-vigorous physical activity intensity threshold, which
291 demonstrated good classification accuracy, provides a method to estimate physical activity from
292 *activPAL*TM data in young children.
- 293 • Being able to accurately assess moderate-to-vigorous physical activity, in addition to
294 sedentary behavior, enhances the practical utility of the *activPAL*TM in preschool children.

295

296 **Acknowledgements**

297 We thank Harry Battam for his technical support, Melinda Smith for her assistance with recruitment
298 and implementation of the activity protocol and all children and their families for their participation in
299 this study. This study was supported by the National Heart Foundation of Australia (GIA09S4441).

300

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- 375
- 376

377 **Table 1.** Participant characteristics*

	Total Sample (n=36)	Development Group (n=18)	Cross-validation Group (n=18)	P value
% boys	52.8	56.6	50.0	
Age (years)	5.3 (1.0)	5.4 (1.0)	5.2 (1.0)	0.67
Height (cm)	112.7 (8.4)	114.8 (9.2)	110.7 (7.2)	0.15
Weight (kg)	20.5 (3.8)	21.1(3.7)	19.9 (3.9)	0.37
BMI (kg/m ²)	16.0 (1.5)	15.9 (1.2)	16.1 (1.8)	0.64
% overweight [#] (n)	25 (9)	11.1 (2)	38.9 (7)	0.12

378 BMI, body mass index; * data presented as mean (SD) unless otherwise stated; # defined according to the International

379 Obesity Task Force definitions³⁰.

380

381 **Table 2.** ROC analysis for development and cross-validation of *activPAL*TM MVPA intensity thresholds.

	Criterion measure	Intensity thresholds	Se% (95% CI)	Sp% (95% CI)	ROC-AUC (95% CI)
Development	DO	≥1418 counts per 15 s	82.9 (81.3-84.4)	90.9 (90.3-91.5)	0.92 (0.91-0.92)
Cross-validation	DO	≥1418 counts per 15 s	88.3 (86.8-89.7)	88.2 (87.4-88.9)	0.88 (0.88-0.89)
	DO and EE	≥1418 counts per 15 s	94.8 (93.0-96.3)	84.8 (83.8-85.7)	0.90 (0.89-0.91)

382 Confidence Interval (CI), Direct Observation (DO), Energy expenditure (EE), Area under the receiver operating characteristic curve (ROC-

383 AUC), Sensitivity (Se%), Specificity (Sp%).

384

385 **Figure Captions**

386 **Figure 1.** Metabolic equivalent values (METs) measured by the calorimeter versus predicted METs using the *activPAL*TM
387 equation for sedentary behavior (SB), light-intensity physical activity (LPA) and moderate- to vigorous-intensity physical
388 activity (MVPA). * Significant difference between measured and predicted METs ($p < 0.001$).

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