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# Ferrihydrite Formation: The Role of Fe<sub>13</sub> Keggin Clusters

Joshua S. Weatherill,<sup>†</sup> Katherine Morris,<sup>†</sup> Pieter Bots,<sup>†, $\nabla$ </sup> Tomasz M. Stawski,<sup>‡,§</sup> Arne Janssen,<sup>||</sup> Liam Abrahamsen,<sup>⊥</sup> Richard Blackham,<sup>#</sup> and Samuel Shaw<sup>\*,†</sup>

<sup>†</sup>Williamson Research Centre, School of Earth and Environmental Sciences and <sup>||</sup>School of Materials, University of Manchester, Oxford Road, Manchester M13 9PL, U.K.

<sup>‡</sup>School of Earth and Environment, University of Leeds, Leeds LS2 9JT, U.K.

<sup>§</sup>German Research Centre for Geosciences, GFZ, 14473 Potsdam, Germany

<sup>1</sup>National Nuclear Laboratory, Chadwick House, Warrington Road, Birchwood Park, Warrington WA3 6AE, U.K.

<sup>#</sup>Sellafield Ltd., Hinton House, Birchwood Park Avenue, Risley, Warrington, Cheshire WA3 6GR, U.K.

**Supporting Information** 

**ABSTRACT:** Ferrihydrite is the most common iron oxyhydroxide found in soil and is a key sequester of contaminants in the environment. Ferrihydrite formation is also a common component of many treatment processes for cleanup of industrial effluents. Here we characterize ferrihydrite formation during the titration of an acidic ferric nitrate solution with NaOH. *In situ* SAXS measurements supported by *ex situ* TEM indicate that initially Fe<sub>13</sub> Keggin clusters (radius ~ 0.45 nm) form in solution at pH 0.12–1.5 and are persistent for at least 18 days. The Fe<sub>13</sub> clusters begin to aggregate above ~ pH 1, initially forming highly linear structures. Above pH ~ 2 densification of the aggregates occurs in conjunction with precipitation of low molecular weight Fe(III) species (e.g.,



monomers, dimers) to form mass fractal aggregates of ferrihydrite nanoparticles ( $\sim$ 3 nm) in which the Fe<sub>13</sub> Keggin motif is preserved. SAXS analysis indicates the ferrihydrite particles have a core–shell structure consisting of a Keggin center surrounded by a Fe-depleted shell, supporting the surface depleted model of ferrihydrite. Overall, we present the first direct evidence for the role of Fe<sub>13</sub> clusters in the pathway of ferrihydrite formation during base hydrolysis, showing clear structural continuity from isolated Fe<sub>13</sub> Keggins to the ferrihydrite particle structure. The results have direct relevance to the fundamental understanding of ferrihydrite formation in environmental, engineered, and industrial processes.

# ■ INTRODUCTION

Ferrihydrite is a nanoparticulate iron oxyhydroxide which is ubiquitous in the natural near-surface environment. It is also present in the core of the protein ferritin and has numerous industrial applications.<sup>1</sup> Due to its high adsorptive capacity and ability to coprecipitate aqueous ions, ferrihydrite is a crucial sequester of contaminants in both natural<sup>2–4</sup> and industrial systems.<sup>5</sup> The properties of ferrihydrite which control its reactivity are sensitive to the aqueous conditions and mechanisms of formation;<sup>6,7</sup> therefore, it is critical to have an understanding of ferrihydrite formation processes from solution at the molecular scale to underpin its environmental behavior and technological applications.

Ferrihydrite is the first product of induced ferric hydrolysis and the phase that typically initially forms in natural aqueous environments.<sup>1</sup> Hydrolysis of ferric iron and subsequent precipitation of ferrihydrite from solution have been studied extensively e.g. refs 8–13. The classic model indicates formation proceeds by successive polymerization steps: solvated Fe(III) ions undergo hydrolysis to form low molecular weight hydrated Fe(III) species (dimers, trimers), which go on to interact via olation and oxolation to form ferric species of higher nuclearity, leading to nucleation (i.e., formation) of ferrihydrite nanoparticles from solution. However, details of the ferric hydrolysis mechanisms are complex, and the extensive literature does not provide a unified view of ferrihydrite formation. Due to the high charge density of Fe(III), the hydrolysis reactions occur rapidly, rendering the isolation and characterization of intermediate hydrolysis products challenging. As such, the pathways from monomer to ferrihydrite and the structure of any intermediate species are unclear.

Recent studies have indicated that the  $\mu$ -oxo Fe(III) dimer is the dominant species in partially hydrolyzed ferric solutions,<sup>14–16</sup> with no larger Fe(III) oligomers detected. Other studies have similarly concluded that no polycations larger than

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DOI: 10.1021/acs.est.6b02481 Environ. Sci. Technol. 2016, 50, 9333–9342 the Fe(III) dimer are detectable,<sup>17</sup> while some have reported formation of an Fe(III) trimer,<sup>18,19</sup> tetramer,<sup>20</sup> and larger polycations.<sup>21</sup> However, there is little consistency on the composition of the larger Fe(III) polymers, and some of them may already be considered a ferrihydrite phase.<sup>15</sup>

Larger metal polycations have been identified in other hydrolyzable trivalent element systems such as Cr(III),<sup>22,23</sup> Ga(III),<sup>24,25</sup> and Al(III).<sup>26–28</sup> The most studied of these polycations is the Al<sub>13</sub> Keggin, consisting of a central tetrahedral Al unit surrounded by octahedral Al units.<sup>29</sup> Al<sub>13</sub> is an important intermediate in the pathway from Al monomers to solid Al hydroxides.<sup>28,30</sup> Due to similar aqueous chemistries of Fe(III) and Al(III), it has been postulated that an analogous Fe<sub>13</sub> Keggin cluster may exist.<sup>25</sup> Indeed, recently Sadeghi et al.<sup>31</sup> successfully synthesized and characterized a Fe13 Keggin cluster using stabilization with Bi<sup>3+</sup> ions. Although the details of the ferrihydrite structure remain unclear, the single phase model proposed by Michel et al.<sup>32</sup> and subsequently adopted in the wider literature<sup>33–36</sup> is based on linked Keggin units. This raises the question of whether  $Fe_{13}$  is a precursor (i.e., prenucleation cluster<sup>37</sup>) to ferrihydrite formation, but as yet, a pathway from monomers to Fe13 and then ferrihydrite during base hydrolysis has yet to be demonstrated.

After the nucleation and growth of individual ferrihydrite nanoparticles aggregation may occur, even several pH units away from the point of zero charge (PZC).<sup>38</sup> Aggregates of ferrihydrite nanoparticles can possess low mass fractal dimensions (<1.1), enabling formation of large ( $\mu$ m scale), low-density structures that exist as stable colloidal suspensions.<sup>39,40</sup> These structures may undergo collapse to form denser, settleable aggregates upon a change in solution conditions, such as increased ionic strength.<sup>40</sup> These nanoparticle aggregation processes are important because the resulting ferrihydrite aggregate structure impacts colloidal stability,<sup>39,41</sup> reactivity,<sup>42–44</sup> transport behavior,<sup>40</sup> and also filterability in wastewater treatment processes.<sup>45</sup>

In order to observe intermediate species during rapid Fe(III) hydrolysis, the majority of experiments to date has been conducted in quasi-equilibrated solution at constant pH or a given OH/Fe ratio.<sup>46</sup> The conclusions from these studies will have limited relevance to ferrihydrite formation in dynamic processes relevant to effluent treatment and environmental systems. The Enhanced Actinide Removal Plant (EARP)<sup>47,48</sup> (Sellafield, UK) is an example of an effluent treatment process where this is relevant. Here, a ferric oxyhydroxide floc formed via base hydrolysis is used to treat highly radioactive effluents generated from the reprocessing of spent nuclear fuel.<sup>47,48</sup> During the EARP process, radionuclides become associated with the floc which is subsequently separated by ultrafiltration and encapsulated in a cement wasteform. The EARP effluent compositions are expected to significantly change when the site transitions from reprocessing to postoperational clean-out and decommissioning activities over the next few years. Therefore, to enable better control of the EARP process and similar industrial treatments, an enhanced understanding of the ferrihydrite formation mechanisms under process relevant conditions is essential and provides fundamental knowledge relating to ferrihydrite formation.

In this study we determine the mechanisms by which ferrihydrite nanoparticles form, and the role of  $Fe_{13}$  clusters, during the controlled hydrolysis of an acidic ferric nitrate solution in an experimental protocol designed to mimic ferric oxyhydroxide effluent treatment systems (e.g., EARP). To

achieve this, *in situ* time-resolved small-angle X-ray scattering (SAXS) experiments followed ferrihydrite formation. These were augmented with *ex situ* X-ray diffraction (XRD) and transmission electron microscopy (TEM) techniques. Results show that during base hydrolysis, ferrihydrite nanoparticles form via Fe<sub>13</sub> Keggin clusters. This is key to understanding the pathway of ferrihydrite formation in environmental, engineered, and industrial processes.

# EXPERIMENTAL SECTION

Ferrihydrite was synthesized in an automated computercontrolled reactor (Applikon MiniBio) with temperature control (Peltier heater/cooler) via NaOH-induced hydrolysis of ferric nitrate solutions. Stirred batch experiments were conducted at 35 °C (representative of EARP conditions), with the pH and temperature monitored throughout. Starting solutions consisted of 400 mL of Fe(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O in 1 M HNO<sub>3</sub> (7.16 mM Fe(III)). In the standard base addition experiments 7 M NaOH was initially added at a rate of 1.5 mL min<sup>-1</sup> until pH 2.3, then 0.3 mL min<sup>-1</sup> until pH 3, and finally, after pH 3, 0.2 M NaOH was added at 1.5 mL min<sup>-1</sup> to pH 9 (Video S1). To test the effect of the NaOH addition rate, further slow NaOH addition experiments were performed with 7 M NaOH additions at 0.3 mL min<sup>-1</sup> up to pH 1.2. To provide an indication of the reaction progress, Figure S10 shows a change in pH with time in both standard and slow addition experiments. Solid products were isolated by centrifuging and washing three times with deionized water before drying at 40 °C overnight. Powder XRD (Bruker D8 Advance), BET surface area analysis (Micromeritics Gemini), and TEM images of the dried products were obtained (SI).

During the standard NaOH addition experiment (1.5 mL min<sup>-1</sup>), dissolved iron concentrations were determined following filtration (0.22  $\mu$ m polyethersulfone) and then analysis for Fe using the ferrozine method.<sup>49</sup> A separate experiment using ultrafilters (10 and 3 kDa) was also undertaken on selected samples (SI).

To enable comparison with iron behavior at thermodynamic equilibrium, the ferrihydrite synthesis procedure was modeled in PHREEQC<sup>50</sup> by mimicking the NaOH-induced hydrolysis of  $Fe(NO_3)_3$  undertaken in the experimental method (SI).

**Time-Resolved** *in Situ* SAXS Experiments. Ferrihydrite synthesis experiments were performed *in situ* on beamline I22 at the Diamond Light Source with time-resolved SAXS data collected throughout base addition. A peristaltic pump in closed loop configuration continuously circulated the reacting suspension from the continuously stirred reaction vessel through a quartz capillary in-line with the X-ray beam.<sup>51</sup> Flow time betweeen the reaction vessel and the quartz capillary was kept short (<2 s). A monochromatic X-ray beam at 12.4 keV and a camera length of 3.8 m was used in the time-resolved experiments, and the SAXS patterns were collected using a pixel-array PILATUS 2 M detector. SAXS patterns were collected throughout the reaction and for 30 min after base addition had finished, with a time resolution of 15 s/frame.

SAXS experiments were also undertaken on aged, static samples. For these samples, the ferrihydrite synthesis reaction was stopped at pH 0.5 or pH 1.5, and the resulting solutions aged at 35  $^{\circ}$ C for 6 and 18 days prior to SAXS analysis. Here, samples were directly injected into a quartz capillary, and SAXS data were collected using a camera length of 1.9 m.

**SAXS Data Analysis.** The scattering curves were fit using a model that describes the scattering as arising from a population

of spherical primary particles which may cluster to form aggregates with both mass fractal-like structure (mass fractal structure factor) and nonfractal aggregates (hard sphere structure factor)<sup>52</sup> (SI).

# RESULTS AND DISCUSSION

Solid Phase Characterization and Solution Analysis. XRD characterization (Figure S1) of solid product isolated at pH 9 indicates that two-line ferrihydrite<sup>53</sup> was the reaction product formed with a BET surface area of  $290 \pm 15 \text{ m}^2 \text{ g}^{-1}$ . TEM on samples collected at pH 1, 3, and 9 (Figures 4 and S13) show that the composition, stucture, and morphology of the particles were consistent with 2-line ferrihydrite, with no other phases detected.

In the standard base addition experiment, the "dissolved Fe" (<0.22  $\mu$ m, Fe(III)<sub>aq</sub>) concentration decreased slightly (~5%) between pH 0.1 and 1, followed by a more significant decrease after pH 1 (Figures 1 and S3). The experimental pH at which



Figure 1. Fe(III)<sub>aq</sub> concentration with pH for the standard NaOH addition experiment, with simulated concentration and saturation index for ferrihydrite obtained using PHREEQC. Both the experimental and theoretical Fe concentrations are corrected for dilution caused by NaOH addition. Error bars show  $\pm$  one standard deviation based on three repeat measurements.

Fe(III)<sub>aq</sub> removal occurred (>pH 0.1) was lower than that predicted by thermodynamic calculation, which indicated Fe(III)<sub>aq</sub> would be constant until pH 2.5 (Figure 1). The thermodynamic calculations are based on the principles of classical nucleation theory (CNT), and as such  $Fe(III)_{a\sigma}$  is predicted to remain constant until the solution becomes saturated with respect to ferrihydrite  $(Fe(OH)_3)$ , at which point instantaneous precipitation is predicted to occur. However, it should be noted that filtration analysis and thermodynamic modeling can not determine the presence of large Fe(III) polycations (e.g., Keggins) which may be present within the partially hydrolyzed solution. The experimental data instead showed an  $\sim$ 35% decrease in Fe(III)<sub>aq</sub> below pH 2.5. Above pH 2.5 experimental data showed a rapid decease in Fe(III)<sub>aq</sub> consistent with the thermodynamic predictions for ferrihydrite formation. Above pH 4 there was no detectable Fe(III)<sub>aq</sub> in solution (<0.01 ppm), indicating ferrihydrite formation was complete. A separate experiment, performed under identical experimental conditions but with the additional use of ultrafilters, showed a similar result and is detailed in the SI (Figure S3).

The discrepancy between the experimental and theoretical results reflects the dynamic nature of our experiments. PHREEQC calculations allow thermodynamic equilibrium to be achieved upon each small addition of base, while experimentally, constant base addition means equilibrium is not achieved. Locally high pH at the point of base addition, indicated by bursts of yellow/orange color in the experimental system (Video S1), likely drives Fe(III) cluster/particle formation at lower pH and therefore may explain the discrepancy with the theoretical results. Interestingly, analysis of aged solutions (Figure S2) indicates that the Fe(III)<sub>aq</sub> concentration in pH 1.5 solution remained suppressed after 7 days aging, suggesting the Fe(III) clusters/particles present are thermodynamically (meta)stable. This was noteworthy considering that ferrihydrite is significantly undersaturated (saturation index ~ -2.7) at pH 1.5 (Figure 1) and suggests irreversible formation of Fe(III) clusters/particles is occurring during base addition in this system.

Overview of SAXS Data. Figures 2A and S4 show the evolution in the SAXS patterns during the standard NaOH addition experiment as the pH increases. Below pH 0.12 the scattering patterns had no intensity above background confirming that there were no scattering species present, and therefore Fe(III) was present only as monomers (Figure S5). Above pH 0.12, increased scattering intensity occurred indicating the formation of clusters/particles and allowing fitting of the scattering patterns. Between pH 0.12 and 1, fits were obtained using a single population of clusters/particles, while above pH 1 fits required the addition of the mass fractal/ hard sphere structure factor in order to accommodate the increased scattering intensity seen in the low-q region (eqs S2, S3). From this, and in conjunction with other data discussed below, two characteristic stages of ferrihydrite formation were identified:

Stage (i): pH 0.12 to ~1: formation of primary  $Fe_{13}$  Keggin clusters.

Stage (ii):  $pH \sim 1$  to 9: formation and aggregatation of 2-line ferrihydrite nanopartles.

Stage (i): Formation of Primary Fe<sub>13</sub> Keggin Clusters. Loglog plots of the SAXS patterns (Figures 2A and S4) showed a visible Guinier region in the high-q area  $(q > 1 \text{ nm}^{-1})$  at pH 0.15 indicating the presence of a single population of unaggregated clusters.<sup>54</sup> These initiated at pH 0.12 and within 2 min of starting the NaOH addition (Figure S5). From pH 0.12–1, the clusters grew in number density (n, eq S2) while being relatively stable in size, as evidenced by an increasing scattering intensity (I(q)) throughout the q-range without any change in the overall SAXS pattern shape (Figure 2A). The growing number of clusters can also be seen by the increasing value of the prefactor term  $nV_p^2\Delta\rho^2$  up to pH 1 (Figure 2B). Fits to the scattering patterns below pH 1 were obtained for q >0.5 nm<sup>-1</sup> using a single cluster population with a spherical form factor (eq S2). The fitted cluster radius  $(r_0)$  was initially 0.44 nm at pH 0.15 and decreased slightly to 0.41 nm by pH  $\sim$  1 (Figure 2B), a decrease of 0.03 nm which is within the inherent uncertainty of SAXS and may be due to slight changes in the background scattering.<sup>55</sup> Similarly, Guinier analysis<sup>54</sup> of the scattering patterns up to pH 1 gives a radius of gyration  $R_{\sigma}$  (a shape independent measure of size) of 0.36-0.37 nm (Figure S6), corresponding to a spherical radius of 0.46-0.48 nm. Above pH  $\sim$  1 Guinier analyses was not possible due to development of upward curvature in the low-q Guinier region of the SAXS pattern, indicating cluster aggregation. Overall, these results show strong agreement in the cluster radius and, within the expected limitations imposed by background



**Figure 2.** A) A selection of time-resolved *in situ* SAXS patterns collected during ferrihydrite formation (standard NaOH addition rate) with model fits overlain. B) Evolution of particle radius ( $r_0$ ), prefactor term ( $nV_p^2\Delta\rho^2$ ), aggregate weighting factor ( $\varepsilon$ ), aggregate fractal dimension ( $d_t$ ) and aggregate radius of gyration ( $R_g$  aggregates) during ferrihydrite formation (standard NaOH addition rate). The start of stages i and ii of the reaction are marked (see main text).

subtraction at high-q values, confirm a cluster of  $\sim$ 0.45 nm radius is forming at pH 0.12 -  $\sim$ 1.

Scattering patterns collected during the slow NaOH addition experiment (0.3 mL min<sup>-1</sup> addition rate) show essentially the same results as described above (Figures S6 and S7). Therefore, formation of clusters was independent of addition rates in these experiments where base addition from pH 0.1 to 1 was between 32 and 174 min in the standard and slow addition experiments, respectively.

By ~ pH 1.5 the uniform increase in scattering intensity across the entire q-range was complete; any additional scattering intensity increases were then concentrated in the low-q region and resulted from cluster/particle aggregation (discussed below). This confirms that primary cluster formation was complete by pH ~ 1.5 and is supported by the prefactor  $nV_p^2\Delta\rho^2$  reaching a maximum value by pH ~ 1.5 (Figure 2B).

Formation of these (meta)stable clusters at low pH values was not predicted. Based on thermodynamic modeling<sup>56</sup> and previous studies,<sup>9,57-59</sup> it was expected that iron would be present as unfilterable monomers and small hydrolysis products (dimers,  $\sim 0.33$  nm spherical radius<sup>60</sup>) below pH 1 which are too small to be the ~0.45 nm radius clusters we observed (Figure S11).<sup>60</sup> The subnm size of the primary clusters indicates that they are best described as clusters of ions rather than a phase with extended structure such as a ferrihydrite particle. Additionally, their size is significantly smaller than reported ferrihydrite particle radii of 0.8–5 nm.<sup>1,61,62</sup> For these reasons it appears that the primary clusters are most likely precursors to ferrihydrite formation rather than already formed ferrihydrite particles. Sadeghi et al. (2015)<sup>31</sup> recently synthesized and isolated a Fe113 oxo-iron cluster with the  $\alpha$ -Keggin structure. Because the ferrihydrite structure in the Michel et al. model<sup>32</sup> is considered to be linked Fe<sub>13</sub> Keggin units, this Fe<sub>13</sub> cluster has been identified as a potential prenucleation cluster to ferrihydrite formation. This is analogous to the  $Al_{13}$  cluster being a precursor to aluminum hydroxide formation.<sup>28</sup> The Fe<sub>13</sub> cluster has an  $R_g$  of 0.36–0.38 nm (ref 31 and Figure 3), corresponding to a spherical radius of



**Figure 3.** Simulated scattering curve for a Fe<sub>13</sub> Keggin cluster compared to the experimental scattering curve collected at pH 0.3. Inset is an atomic structure model of a Fe<sub>13</sub> cluster<sup>31</sup> with radii of gyration ( $R_g$ ) calculated by Crysol based on both the atomic structure model and the Guinier fit of the simulated curve.

0.46–0.49 nm. This closely matches the cluster size measured in the current study with a Guinier radius of gyration of 0.36 nm and a corresponding spherical cluster radius of 0.46 nm. In addition, an excellent match is observed between simulated scattering from Fe<sub>13</sub> Keggin clusters<sup>31</sup> (using Crysol (SI)) and the measured scattering patterns (Figure 3). In contrast, simulated scattering patterns of the Fe oxo-dimer described by Zhu et al.<sup>14,15</sup> and an Fe trimer do not match to the experimental data and their  $R_g$ 's are significantly smaller (0.24– 0.26 nm, Figure S11). Taken as a whole, this indicates that Fe<sub>13</sub> clusters form at low pH as a precursor/prenucleation cluster to ferrihydrite formation. However, it is likely that not all the Fe is present as Fe<sub>13</sub> keggins, with a significant proportion likely to be monomers and smaller hydrolysis products (e..g dimer) which produce a minimal scattering contribution.



**Figure 4.** A) TEM images of particles from pH 1 experimental solution showing aggregates of 2-5 nm sized ferrihydrite particles. Inset high angle angular dark field (HAADF) image of an aggregate. B) TEM images of particles from pH 9 experimental solution showing ferrihydrite aggregates with mass fractal structure. Top left inset showing individual particle sizes of 3-4 nm. Bottom right inset electron diffraction pattern inset showing characteristic 2-line ferrihydrite diffraction.

SAXS patterns collected on aged pH 0.5 samples (6 and 18 days at 35 °C) also show scattering indicative of a single population of clusters (Figure S8). Due to the use of a shorter camera length in these experiments, the q-range is shifted to higher values than in the main time-resolved experiments. Using Guinier analysis, the  $R_g$  of clusters in the pH 0.5 solutions (for 6 and 18 days) was 0.38 nm, identical to the Fe<sub>13</sub> Keggin cluster.<sup>31</sup> Again, strong agreement is seen between simulated Fe<sub>13</sub> scattering patterns and the pH 0.5 aged samples (Figure S9). Therefore, the  $Fe_{13}$  clusters we observe forming in the dynamic experiments persist in solution for at least 18 days, consistent with the analogous Al13 cluster which is also persistent in solution.<sup>63-65</sup> Interestingly, the aged pH 1.5 samples also showed scattering indicative of a single population of clusters (Figure S8). Since Fe<sub>13</sub> clusters begin aggregating above  $\sim$  pH 1 in the main dynamic experiment (see below), there may also be aggregates present at pH 1.5 which were unseen in the experimental q-range. Alternatively, disaggregation over time may have occurred. The  $R_{\sigma}$  of clusters in the pH 1.5 solutions is 0.35 nm. This modest change in size from pH 0.5 may be an artifact of small variation in the background solvent scattering as pH increases or may reflect slight changes to the shape/polydispersity of the primary clusters; Keggin clusters are not perfect spheres and can assume different isomeric forms, as has been observed for Al<sub>13</sub> clusters.<sup>29</sup> Additionally, lacunary Keggin structures with missing fragment(s) are known.<sup>66</sup> Nevertheless, good agreement is seen between simulated Fe13 scattering patterns and the pH 1.5 aged samples (Figure S9). Only a slight difference in the scattering intensity  $(nV_p^2\Delta\rho^2)$  was observed between 6 and 18 day samples at pH 0.5 and pH 1.5, suggesting there is no significant difference in the number density of scattering particles (n, eq)S2) and thus no net formation or dissolution of clusters between these time periods. Additionally, the pH of the solutions were essentially stable during the aging period (Table S2), indicating no further hydrolysis/condensation which would release H<sup>+</sup>.<sup>67</sup>

TEM images obtained for the pH 1 sample (Figures 4A and S13A) showed loosely aggregated nanoparticles that vary in size

from  $\sim 2-5$  nm diameter (Figure 4A). This aggregated phase could be identified as 2-line ferrihydrite based on the lattice images and diffraction patterns observed (Figure S13A). Solution data showed a small decrease (~5%) in  $Fe(III)_{(aq)}$ by pH 1 (Figure 1), consistent with particle formation. The high angle annular dark field (HAADF) images provide additional evidence for smaller  $\sim 1-2$  nm diameter aggregating units, putative evidence for Keggin clusters forming the particles (Figure 4A). Given the SAXS patterns at pH 1 are dominated by scattering from the Fe13 clusters, these data suggest that at this pH a small proportion of Fe13 clusters, and potentially other hydrolysis products, coalesce to form filterable ferrihydrite nanoparticles. However, TEM images likely overrepresent the amount of ferrihydrite nanoparticles present in solution at pH 1, as the individual clusters themselves (as solution polycations) will not be observed via TEM.

Overall, these data confirm  $Fe_{13}$  Keggin clusters form rapidly upon NaOH addition and are stable in solution for weeks at low pH. With increasing pH ferrihydrite nanoparticles form and aggregation occurred.

Stage (ii): Formation and Aggregation of 2-Line Ferrihydrite Nanoparticles. Increasing scattering intensity in the low-q region of the SAXS patterns was observed above pH 1, indicating that aggregation of primary Fe<sub>13</sub> clusters occurred. Atom-by-atom growth of the clusters can be ruled out as no increase in the slope of Guinier region was observed (Figure S12). The low-q scattering intensity increase was fitted by introducing a mass fractal structure factor, permitting determination of the aggregate weighting factor ( $\varepsilon_{i}$ , smaller value = more aggregation), aggregate size parameter ( $\xi$ ), and aggregate fractal dimension ( $d_{\rm f}$ ) (eq S2).  $\varepsilon$  initially decreased slowly above pH 1 before decreasing rapidly from pH 2-3 and reaching a minimum at pH 4.1, indicating that aggregation is complete. This occurred concurrently with continual growth in the scattering intensity of the low-q region and confirms aggregation increases with increasing pH. This is consistent with solution data whereby the rapid decrease in  $Fe(III)_{aq}$ between pH 2-3 was concurrent with most rapid aggregate formation. Above pH 4.1,  $\varepsilon$  shows a small increase until pH 5

and then stabilizes, presumably an artifact of the aggregate size increasing to beyond the experimentally measured q-range. This can be seen in Kratky plots ( $I(q)*q^2$  vs q, Figure S14B) where the low-q scattering intensity peak, indicative of average aggregate size, moves to values outside the measured q-range above pH 4.1 and results in reduced scattering intensity within the low-q area of the measured range.

Aggregation from as low as pH 1 is notable given that ferrihydrite has a PZC of ~ pH 8<sup>1</sup> and may be due to a number of factors. First, the high ionic strength<sup>40,42,44</sup> of our experiments, as coagulation of hematite particles has also been reported at low (<1.5) and high (>12) pH due to the elevated ionic strengths.<sup>68</sup> Second, our experiments were performed at elevated temperature (35 °C) relevant to EARP processes, which gives particles additional thermal energy (kT) to overcome the electrostatic barrier to aggregation. Finally, aggregate formation may be induced by an increase in the concentration of Fe<sub>13</sub> cluster beyond a certain critical level.

The aggregate fractal dimension,  $d_{tr}$  increases from ~1.1 at pH 1 to ~2.3 at pH 3.1 and then stabilizes. A  $d_{\rm f}$  of 1.1 is indicative of highly linear structures<sup>39</sup> and indicates the Fe<sub>13</sub> clusters are linking into linear aggregates, potentially with some polymerization. This interpretation is supported by the shape of the SAXS patterns between ~ pH 1 and 2, which show an increase in the low-q slope gradient without significant changes to the high-q region (Figure S12). At pH 3.1, a  $d_f$  of 2.3 indicates more densely packed aggregates with mass fractal structure<sup>39</sup> and therefore shows the aggregates undergo densification with increasing pH, consistent with previous studies which showed densification of iron oxyhydroxide nanoparticles with changing chemical conditions.<sup>69,70</sup> This is concurrent with the rapid decrease in  $Fe(III)_{aq}$  and TEM observation of mass fractal aggregates of ~3 nm ferrihydrite nanoparticles at pH 3 (Figure S13). This highlights that during this stage of the reaction (pH up to 3.1) all dissolved  $Fe(III)_{aq}$ i.e. Fe<sub>13</sub> Keggins and smaller hydrolysis products (e.g., monomers and dimers) precipitate to form aggregates of ferrihydrite nanoparticles. We propose that Fe<sub>13</sub> clusters are preserved within the structure of the ferrihydrite nanoparticles composing these mass fractal aggregates, further details of which are discussed below. Observations show that solutions with pH < 2.0 remain colloidal homogeneous suspensions after >1 month storage, while solutions with pH > 2.0 floc within weeks (Figure S17), consistent with formation of a more densely structured aggregate at increasing pH.<sup>40</sup>

The initial fractal dimension of 1.1 is significantly lower than that predicted by traditional models of colloid aggregation. Diffusion limited aggregation (DCA) would be expected to give fractal dimensions of 1.6-1.9,<sup>71</sup> while reaction limited aggregation (RCA) is expected to give fractal dimensions >2.0.<sup>71</sup> Significantly lower fractal dimensions have previously been reported for iron oxyhydroxide suspensions<sup>39,40</sup> and may possibly be the result of an orientated attachment process whereby aggregation proceeds by preferential attachment at opposite sides of the cluster.<sup>39</sup>

Below pH 2, a Guinier region is not observed in the low-q range of the data (Figures 2A and S12), indicating that the aggregates are significantly larger than the maximum size that can be resolved by the experimental q-range (52 nm radius based on the relation, radius =  $\pi/q$ ). Correspondingly, the value for  $\xi$  (aggregate size parameter) obtained from fitting the scattering patterns between pH 1 and 2 tends to infinity. Above pH 2, the development of curvature in the low-q region (Figure 2A) results in fitting giving considerably smaller values for  $\xi$ . This decrease in  $\xi$ , concurrent with continually increasing fractal dimension, supports that aggregates undergo collapse to form more compact structures. Following DVLO theory,<sup>7</sup> collapse due to pH increase may be initiated by the decrease in repulsive surface charge, enabling attractive van der Waal forces to dominate. Aggregate densification may further be promoted by condensation of remaining smaller hydrolysis products (e.g., monomers, dimers) with/onto Fe<sub>13</sub> clusters to form ferrihydrite nanoparticle aggregates. Using eq S1 to convert  $\xi$  to a radius of gyration  $(R_g)$ , aggregate  $R_g$  subsequently increases from ~35 nm at pH 2.3 to ~52 nm at pH 4.8. This increase in size is consistent with observation of the low-q Kratky plot peak moving to lower q values (Figure S14A). Above pH 4.8, the aggregates have grown to a size larger than the measured qrange, and the  $R_{g}$  value obtained from the model stabilizes. Formation of large aggregates is confirmed by the experiments visibly floccing above pH 4.5 and by TEM images obtained at pH 9 (Figure 4B). Finally, no significant changes occur in the scattering patterns during the 30 min period data continued to be collected at pH 9.

It is noteworthy that the scattering signature from Fe<sub>13</sub> is seen throughout the experiment ( $r_0$ , Figure 2B). This shows structural continuity between isolated Fe<sub>13</sub> (pH < 1), Fe<sub>13</sub> aggregates with low fractal dimension (pH 1 – ~ 2), and the ferrihydrite nanoparticle aggregates in which the Fe<sub>13</sub> motif is preserved (pH > ~ 2). This has also been shown for the Keggin-Al<sub>13</sub> and Al(OH)<sub>3</sub> gel<sup>73,74</sup> system with Al<sub>13</sub> Keggin units similarly aggregating to form linear clusters with some polymerization, followed by rapid formation of dense, less open clusters.<sup>28</sup>

TEM images of the ferrihydrite aggregates at pH 9 (Figure 4B) clearly confirm the expected mass fractal structure from the SAXS analysis. However, higher magnification images show the aggregates are composed of 3-4 nm ferrihydrite particles, rather than aggregated Fe13 clusters. This may present an apparent inconsistency with the SAXS data which does not account for the  $\sim$ 3–4 nm ferrihydrite nanoparticles: neither fixing  $r_0$  to 1.5–2 nm nor a two particle model with both Fe<sub>13</sub> clusters and larger ferrihydrite particles gave acceptable fits. Interestingly, a surface-depleted model recently proposed by Hiemstra<sup>34,35</sup> indicates that ferrihydrite has a nonhomogenous, core-shell structure. We therefore applied fits using the addition of a second structure factor, the hard sphere structure factor, to account for this heterogeneity (eq S3) (Figure S16). These fits indicate agglomerates with volume fraction v = 0.09composed of particles with hard sphere radius  $(r_{\rm HS})$  1.47 nm at pH 9, where  $r_{\rm HS}$  is calculated based on the mutual spacing between the scatterers (SI). This is consistent with the  $\sim$ 3 nm diameter ferrihydrite nanoparticles observed by TEM within aggregates at pH 9 (Figure 4B). Since these hard sphere scatterers are assumed to have the same origin as the  $\sim$ 0.45 nm radii clusters seen in the fractal aggregates, this means that the primary scatterers would have an outer, electron-lean shell (depleted in iron and therefore not directly detected by SAXS measurements) of thickness  $r_{\rm HS} - r_0 \sim 1$  nm. Hiemstra's surface-depleted ferrihydrite model consists of a defect-free core and a water-rich surface layer which is depleted in the Fe2 and Fe3 polyhedra of the Michel model.<sup>32</sup> This model is also supported by Wang et al.,<sup>62</sup> whose results indicate that 1.6 nm ferrihydrite particles have an amorphous surface layer which accounts for ~38% of their total volume, corresponding to a core size of 1.36 nm and surface layer thickness of 0.12 nm. Interestingly, this surface layer thickness is smaller than those calculated for our systems but we note the published work was based on a dried sample, while our work is based on in situ analysis of particle suspended in aqueous solution. Fits obtained with the addition of the hard sphere structure factor are improved compared to regular model fits at pH > 3 (Figure S16 and Table S3), while < pH 2.25 fits give a value of 0 for v, indicating no contribution from this structure factor (Table S3). This is also the critical pH range (pH 2-3) where ferrihydrite nanoparticle formation/aggregation occurs (Figures 1 and 2B). Further, pH 2–3 is when  $Fe(III)_{aq}$  decreases rapidly (Figure 1) which may be related to the condensation of smaller hydrolysis products (e.g., monomers and dimers) onto the Fe<sub>13</sub> cluster leading to the development of the core-shell structure whereby surface bound OH and OH<sub>2</sub> are retained, resulting in a water-rich/Fe-depleted surface layer on a Keggin cluster core in line with the Hiemstra model.<sup>34</sup> We propose this is the dominant pathway of ferrihydrite formation in our system. An alternative pathway via direct nucleation of ferrihydrite particles from small hydrolysis products (monomers, dimers), without the involvement of Fe<sub>13</sub>, can be ruled out because the SAXS data shows no evidence for direct formation of >2 nm particles. Overall, inclusion of the hard spheres structure factor suggests the formation of ~3 nm ferrihydrite particles with a core-shell structure composed of a Fe<sub>13</sub> core (which is what the SAXS directly "sees") and a Fe depleted shell.

Implications for Ferrihydrite Formation. We provide the first direct in situ observations of Fe13 Keggin prenucleation cluster formation during hydrolysis of a ferric iron solution followed by the formation of aggregated ferrihydrite nanoparticles. The ferrihydrite formation process mimicked the EARP industrial process and involved continual addition of a strong base (7 M) to a highly acidic ferric nitrate solution (1 M HNO<sub>3</sub>). Such extremes in pH have rarely been examined with most studies conducted on quasi-equilibrated solutions between pH 1 and 4. In our systems, Fe<sub>13</sub> clusters may form rapidly within localized areas of high pH via the well described successive polymerization Fe hydrolysis steps,<sup>13</sup> and, interestingly, their formation seems to be essentially irreversible with the Keggin apparently stabilized against aggregation at pH <  $\sim$ 1, probably due to the high charge on the clusters. This localized formation model is supported by the absence of Fe<sub>13</sub> clusters in pH 1 ferric nitrate solution which was prepared by directly dissolving  $Fe(NO_3)_3$  in 0.1 M HNO<sub>3</sub> (Figure S15). This sensitivity to the preparation method has been found for Al salts,<sup>26</sup> with formation of the  $Al_{13}$  cluster coinciding with strong base neutralization of Al salt solutions.<sup>26,27</sup> It is thought that the tetrahedral  $Al(OH)_4^-$  ion, formed in the locally high pH region at the point of base injection, is required for Al<sub>13</sub> synthesis.<sup>75,76</sup> An analogous Fe(OH)<sub>4</sub><sup>-</sup> ion exists<sup>25,77</sup> and may similarly promote Fe13 cluster formation. Dependence on solution conditions and experimental protocol may explain why  $Fe_{13}$  was not detected in recent XAS studies.<sup>14–16</sup> These used nonacidified ferric solutions that were neutralized with weak bases, concluding that an  $\mu$ -oxo Fe(III) dimer was the dominant species present. Additionally, EXAFS analysis gives the average local environment of all Fe within a system; therefore, if Fe13 clusters were present at low proportions (<10-20%) this may not be detected by XAS. In contrast, SAXS analysis preferentially detects larger clusters/particles but not smaller molecules (e.g., monomers). However, recent SAXS studies performed at constant pH 3.778 and pH 312 reported rapid formation of 3 nm iron oxyhydroxide nanoparticles which subsequently grew to 7-10 nm. No smaller clusters were reported, highlighting that Fe<sub>13</sub> is either highly transitory or not formed under these higher pH conditions.

In the systems studied,  $Fe_{13}$  clusters were stable in pH 0.5 and 1.5 solution for at least 18 days (Figure S8).  $Al_{13}$  is also stable in aqueous solutions for long periods (>12 years) over a wide pH range.<sup>29,64,65</sup> Sadeghi et al.<sup>31</sup> used Bi<sup>3+</sup> ions to stabilize  $Fe_{13}$  in solution, whereby the Bi<sup>3+</sup> acts to neutralize high negative cluster charge. Stability in the present study may be promoted by the elevated ionic strength of the solution, with H<sup>+</sup> and Na<sup>+</sup> ions acting as inherent stabilizing ions. Indeed, Sadeghi et al.<sup>31</sup> found Cs<sup>+</sup> could partially displace Bi<sup>3+</sup> and maintain the discrete  $Fe_{13}$  units (albeit with a small amount of aggregation), consistent with monovalent ions being able to stabilize these clusters.

Environmental Significance. Given the apparent dependence of Fe<sub>13</sub> formation on solution conditions, it is unclear whether the ferrihydrite formation pathway observed in the present study also occurs in the natural environment. A similar debate has taken place about the Al system.<sup>64,75,79</sup> Furrer et al.<sup>80</sup> reported that Al flocs, which were generated by the mixing of acidic mining streams with higher pH water, comprised of aggregated  $Al_{13}$  clusters. Our study indicates that  $Fe_{13}$  is only stable at pH < 1-1.5, above which it rapidly aggregates. In the natural environment ferrihydrite often forms by oxidation of  $Fe(II)_{aq}$  at near neutral pH,<sup>1</sup> and under these conditions  $Fe_{13}$ , if formed, would be expected to be highly transitory. In acidic conditions, such as in acid mine runoff,  $Fe_{13}$  may be more persistent. Indeed, Zhu et al.<sup>67</sup> reported formation of "ferrihydrite-like" molecular clusters during neutralization of simulated acid mine drainage solutions, speculating that these clusters may resemble the Fe13 motif. Additionally, Sadeghi et al.<sup>31</sup> note that complexing ligands similar to the TCA ligand utilized to stabilize the cluster in their work have parallel models in nature (e.g., carboxylic groups) which may act to stabilize the clusters. Ultimately, further work is required to investigate the importance of an Fe<sub>13</sub> pathway to ferrihydrite formation in the natural environment.

#### ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.est.6b02481.

Further experimental details of SAXS analysis, TEM image collection, ultrafiltration experiments and PHREEQC modeling, XRD pattern, aged sample SAXS patterns, and further solution data, SAXS figures and TEM images (PDF)

Time lapse video (Video S1) of reaction progress (MPG)

# AUTHOR INFORMATION

### **Corresponding Author**

\*Phone: 0161 275-3826. E-mail: sam.shaw@manchester.ac.uk. Corresponding author address: Williamson Building, School of Earth and Environmental Sciences, The University of Manchester, Manchester M13 9PL, U.K.

# **Present Address**

<sup>V</sup>Department of Civil and Environmental Engineering, University of Strathclyde, Glasgow G1 1XJ, U.K.

#### Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

#### Notes

The authors declare no competing financial interest.

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